

# B.11

## SROs, Marketplaces, Clearing Agencies and Trade Repositories

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### B.11.1 SROs

#### B.11.1.1 Investment Industry Regulatory Organization of Canada (IIROC) – Amendments Respecting the Trading of Derivatives on a Marketplace – Notice of Commission Approval

##### NOTICE OF COMMISSION APPROVAL

##### AMENDMENTS RESPECTING THE TRADING OF DERIVATIVES ON A MARKETPLACE

##### INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA (IIROC)

The Ontario Securities Commission has approved IIROC's proposed amendments to the Universal Market Integrity Rules (UMIR) to regulate the trading of listed derivatives on a marketplace for which IIROC acts as the regulation service provider (**Amendments**). The Amendments help to provide a regulatory framework for the trading of listed derivatives on a marketplace that strengthens market integrity and investor protection.

IIROC originally published the Amendments for comment on October 8, 2020. Seven comment letters were received. IIROC has made non-material changes to the Amendments in response to comments received. A summary of the public comments and IIROC's responses to those comments, as well as the IIROC Notice of Approval/Implementation, including text of the Amendments, can be found at [www.osc.ca](http://www.osc.ca).

The Amendments will be effective on December 14, 2022, being 90 days after the publication of the Notice of Approval/Implementation.

In addition, the Alberta Securities Commission; the Autorité des marchés financiers; the British Columbia Securities Commission; the Financial and Consumer Affairs Authority of Saskatchewan; the Financial and Consumer Services Commission of New Brunswick; the Manitoba Securities Commission; the Northwest Territories Office of the Superintendent of Securities; the Nova Scotia Securities Commission; the Nunavut Securities Office; the Office of the Superintendent of Securities, Service Newfoundland and Labrador; the Office of the Yukon Superintendent of Securities; and the Prince Edward Island Office of the Superintendent of Securities have either not objected to or have approved the Amendments.