

The Ontario Securities Commission

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Cumulative Index 2022

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December 22, 2022

The Ontario Securities Commission carries out the powers, duties and functions given to it pursuant to the *Securities Commission Act, 2021* (S.O. 2021, c. 8, Sched. 9).

The Ontario Securities Commission exercises its regulatory oversight function through the administration and enforcement of Ontario's *Securities Act* (R.S.O. 1990, c. S.5) and *Commodity Futures Act* (R.S.O. 1990, c. C.20), and administration of certain provisions of the *Business Corporations Act* (R.S.O. 1990, c. B.16).

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Introduction to the OSC Bulletin Cumulative Index

This publication is a cumulative index of documents published in the OSC Bulletin (Volume 45) for the period January – December 2022, inclusive.

On Friday, April 29, 2022, the *Securities Commission Act, 2021*, (SCA) came into force by proclamation of the Lieutenant Governor of Ontario. The SCA's proclamation implemented key structural and governance changes to the OSC: the separation of the OSC Chair and Chief Executive Officer roles, and the creation of a new Capital Markets Tribunal. These new structural and governance changes are now reflected in the Bulletin, with one section to report and record the activities of the Capital Markets Tribunal and one section to report and record the activities of the Ontario Securities Commission. The changes are also reflected in the Bulletin index with the use of the "Capital Markets Tribunal" designation to differentiate those proceedings from the proceedings of the Ontario Securities Commission: www.capitalmarketstribunal.ca.

The index includes material found in the following Chapters, as named prior to April 29, 2022: 1 (Notices); 2 (Decisions, Orders and Rulings); 3 (Reasons: Decisions, Orders and Rulings); 4 (Cease Trading Orders); 5 (Rules and Policies); 6 (Request for Comments); 9 (Legislation); 12 (Registrations); 13 (SROs, Marketplaces and Clearing Agencies); and, 25 (Other Information).

The index includes material found in the following Chapters, as named after April 29, 2022: A.1 (Notices of Hearing); A.2 (Other Notices); A.3 (Orders); A.4 (Reasons and Decisions); B.1 (Notices); B.2 (Orders); B.3 (Reasons and Decisions); B.4 (Cease Trading Orders); B.5 (Rules and Policies); B.6 (Request for Comments); B.8 (Legislation); B.10 (Registrations); B.11 (SROs, Marketplaces, Clearing Agencies and Trade Repositories); and, B.12 (Other Information).

Material found in Chapters 7/B.7 (Insider Reporting) and 11/B.9 (IPOs, New Issues and Secondary Financings) are not indexed.

All corporate and personal names that appear in the bold titles of each document published in the indexed chapters of the Bulletin are indexed. The tables found in Chapters 4/B.4 and 12/B.10 are indexed by corporate name only. When tables appear in Chapter 25/B.12, they are indexed by corporate name only.

Main index entries are arranged alphabetically by a corporate or personal last name in boldface font. Sub-entries include a statutory instrument cited (if applicable) and finding information consisting of the issue number, issue date, and page number. Citations are to the *Securities Act* unless otherwise specified.

Supplements in 2022

Three (3) Supplements were published in 2022:

1. **CSA Staff Notice and Request for Comment 25-304 Application for Recognition of New Self-Regulatory Organization and CSA Staff Notice and Request for Comment 25-305 Application for Approval of the New Investor Protection Fund** – Volume 45, Issue 19, Supplement 1 (May 12, 2022).
2. **CSA Staff Notice of Approval 25-307 Recognition of New Self-Regulatory Organization of Canada and CSA Staff Notice of Approval 25-308 Approval and Acceptance of Canadian Investor Protection Fund** – Volume 45, Issue 47, Supplement 2 (November 24, 2022).
3. **OSC Notice of Publication – Repeal and Replacement of Ontario Securities Commission Rule 13-502 Fees and Ontario Securities Commission Rule 13-503 (Commodity Futures Act) Fees, Replacement of their Companion Policies and Related Consequential Amendments and Changes** – Volume 45, Issue 48, Supplement 3 (December 1, 2022).

Particular Instruments, Policies and Forms affected in the Supplements appear in the Cumulative Index.

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BMO Capital Markets Corp.

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Decision – s. 5.1 of OSC Rule 48-501 #12 (March 24) 3029

Decision – s. 5.1 of OSC Rule 48-501 #33 (August 18) 7422

BMO Capital Markets Limited

Decision – s. 5.1 of OSC Rule 48-501 #7 (February 17) 1633

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Decision – s. 5.1 of OSC Rule 48-501 #33 (August 18) 7422

BMO Delaware Trust Company

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Decision – s. 5.1 of OSC Rule 48-501	#12 (March 24) 3029
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Brandywine Global Investment Management (Canada), ULC

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Bridging Finance Inc.

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Bridging Income Fund LP

Notice from the Office of the Secretary	#12 (March 24) 3028
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Bridging Income RSP Fund

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Notice from the Office of the Secretary	#13 (March 31) 3255
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Bridging Indigenous Impact Fund

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Notice from the Office of the Secretary	#13 (March 31) 3255
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Notice from the Office of the Secretary	#14 (April 7) 3539
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Notice from the Governance & Tribunal Secretariat.....	#30 (July 28) 7052
Notice from the Governance & Tribunal Secretariat.....	#30 (July 28) 7053
Notice from the Governance & Tribunal Secretariat.....	#35 (September 1) 7712
Notice from the Governance & Tribunal Secretariat.....	#39 (September 29) 8312
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Bridging Infrastructure Fund LP

Notice from the Office of the Secretary	#12 (March 24)	3028
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Notice from the Governance & Tribunal Secretariat.....	#30 (July 28)	7053
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Bridging Mid-Market Debt Fund LP

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Notice from the Governance & Tribunal Secretariat.....	#30 (July 28)	7053
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Notice from the Governance & Tribunal Secretariat.....	#39 (September 29)	8312
Capital Markets Tribunal Order – ss. 127(8), 127(1).....	#39 (September 29)	8314
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Capital Markets Tribunal Reasons and Decision – ss. 16.1, 25.0.1 of the Statutory Powers Procedure Act	#48 (December 1)	9925

Bridging Mid-Market Debt RSP Fund

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Notice from the Office of the Secretary	#13 (March 31) 3255
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Capital Markets Tribunal Order – ss. 127(8), 127(1).....	#39 (September 29) 8314
Notice from the Governance and Tribunal Secretariat	#48 (December 1) 9921
Capital Markets Tribunal Reasons and Decision – ss. 16.1, 25.0.1 of the Statutory Powers Procedure Act	#48 (December 1) 9925

Bridging Private Debt Institutional LP

Notice from the Office of the Secretary	#12 (March 24) 3028
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Notice from the Office of the Secretary	#13 (March 31) 3255
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Capital Markets Tribunal Reasons and Decision – s. 2(2) of Tribunal Adjudicative Records Act, 2019 and subrules 22(2)(c), 22(4) of Capital Markets Tribunal Rules of Procedure and Forms	#28 (July 14) 6711
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Notice from the Governance & Tribunal Secretariat.....	#30 (July 28) 7053
Notice from the Governance & Tribunal Secretariat.....	#35 (September 1) 7712
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Capital Markets Tribunal Order – ss. 127(8), 127(1).....	#39 (September 29) 8314
Notice from the Governance and Tribunal Secretariat	#48 (December 1) 9921
Capital Markets Tribunal Reasons and Decision – ss. 16.1, 25.0.1 of the Statutory Powers Procedure Act	#48 (December 1) 9925

Bridging Real Estate Lending Fund LP

Notice from the Office of the Secretary	#12 (March 24) 3028
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Notice from the Office of the Secretary	#13 (March 31) 3255
Order.....	#13 (March 31) 3274
Notice from the Office of the Secretary	#14 (April 7) 3539
Reasons for Decision – s. 144	#14 (April 7) 3589
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Capital Markets Tribunal Order – ss. 127(8), 127(2), 127(1).....	#27 (July 7) 6551
Notice from the Governance & Tribunal Secretariat.....	#28 (July 14) 6699
Capital Markets Tribunal Order – s. 2(2) of Tribunal Adjudicative Records Act, 2019 and subrules 22(2)(c), 22(4) of Capital Markets Tribunal Rules of Procedure and Forms	#28 (July 14) 6705
Capital Markets Tribunal Reasons and Decision – s. 2(2) of Tribunal Adjudicative Records Act, 2019 and subrules 22(2)(c), 22(4) of Capital Markets Tribunal Rules of Procedure and Forms	#28 (July 14) 6711
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Notice from the Governance & Tribunal Secretariat.....	#35 (September 1) 7712
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Bridging SMA 1 LP

Notice from the Office of the Secretary	#12 (March 24) 3028
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Notice from the Governance & Tribunal Secretariat.....	#30 (July 28) 7053
Notice from the Governance & Tribunal Secretariat.....	#35 (September 1) 7712
Notice from the Governance & Tribunal Secretariat.....	#39 (September 29) 8312
Capital Markets Tribunal Order – ss. 127(8), 127(1).....	#39 (September 29) 8314
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Brix RCR Inc.

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BrokerTec Europe Limited

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Brookfield Asset Management Inc.

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Brookfield Business Corporation

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 Decision #10 (March 10) 2452
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Brookfield Business Partners L.P.

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Brookfield Infrastructure Partners L.P.

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Brookfield Property Finance ULC

Decision #42 (October 20) 9003

Brookfield Property Partners L.P.

Decision #42 (October 20) 9003

Brookfield Property Preferred Equity Inc.

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Brownstone Asset Management Inc.

New Registration #31 (August 4) 7247
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BRP Inc.

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Buffalo Central LLC

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Buffalo Grand Hotel Inc.

Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127.1 #7 (February 17) 1609
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National Instrument 94-101 Mandatory Central Counterparty Clearing of Derivatives

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NatWealth Management (USA) Inc.

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NDG Capital Inc. / Investissements NDG Inc.

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Neo Exchange Inc.

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Neptune Wellness Solutions Inc.	
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Cease Trading Order	#15 (April 14) 3977
Netcoins Inc.	
Decision	#14 (April 7) 3549
New Carolin Gold Corp.	
Order.....	#40 (October 6) 8535
New Klondike Exploration Ltd.	
Cease Trading Order	#34 (August 25) 7607
Order – s. 144.....	#35 (September 1) 7731
New Placer Dome Gold Corp.	
Order.....	#23 (June 9) 5635
Newfoundland Power Inc.	
Decision	#23 (June 9) 5658
Newlox Gold Ventures Corp.	
Cease Trading Order	#32 (August 11) 7287
Cease Trading Order	#36 (September 8) 7887
Newton Crypto Ltd.	
Decision	#33 (August 18) 7429
New Registration.....	#33 (August 18) 7557
NexJ Systems Inc.	
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NextPoint Financial Inc.	
Cease Trading Order	#14 (April 7) 3607
Cease Trading Order	#15 (April 14) 3977
Cease Trading Order	#16 (April 21) 4307
Cease Trading Order	#17 (April 28) 4523
Cease Trading Order	#18 (May 5) 4727
Cease Trading Order	#19 (May 12) 4845
Cease Trading Order	#20 (May 20) 5083
Cease Trading Order	#21 (May 26) 5237
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NI 44-102 Shelf Distributions

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NI 45-102 Resale of Securities

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NI 45-106 Prospectus Exemptions

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NI 81-101 Mutual Fund Prospectus Disclosure

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CSA Notice and Third Request for Comment#3 (January 20) 709

NI 94-101 Mandatory Central Counterparty Clearing of Derivatives

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NiCAN Limited

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Ninepoint Partners LP

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NISA Investment Advisors, LLC

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Noah Canada Wealth Management Limited

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Northcore Resources Inc.

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NOVA Gas Transmission Ltd.

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Nova Scotia Power Incorporated

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Novamind Inc.

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NP Investment Management Inc.

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Nuance Communications, Inc.

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One Queen Capital Inc.

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OSC Rule 11-501 Electronic Delivery of Documents to the Ontario Securities Commission

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PBY Capital Limited	
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Cease Trading Order	#15 (April 14)	3977
Cease Trading Order	#16 (April 21)	4307
Cease Trading Order	#17 (April 28)	4523
Cease Trading Order	#18 (May 5)	4727
Cease Trading Order	#19 (May 12)	4844
Cease Trading Order	#20 (May 20)	5083
Cease Trading Order	#21 (May 26)	5237
Cease Trading Order	#22 (June 2)	5539
Cease Trading Order	#23 (June 9)	5685
Cease Trading Order	#24 (June 16)	6043
Cease Trading Order	#25 (June 23)	6267
Cease Trading Order	#26 (June 30)	6451
Cease Trading Order	#27 (July 7)	6587
Cease Trading Order	#28 (July 14)	6754
Cease Trading Order	#29 (July 21)	6971
Cease Trading Order	#30 (July 28)	7093
Cease Trading Order	#31 (August 4)	7197
Cease Trading Order	#32 (August 11)	7287
Cease Trading Order	#33 (August 18)	7453
Cease Trading Order	#34 (August 25)	7607
Cease Trading Order	#35 (September 1)	7763
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Zonia Holdings Corp.

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Zymeworks BC Inc.

Order #45 (November 10) 9531

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