

The Ontario Securities Commission

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The Ontario Securities Commission exercises its regulatory oversight function through the administration and enforcement of Ontario's *Securities Act* (R.S.O. 1990, c. S.5) and *Commodity Futures Act* (R.S.O. 1990, c. C.20), and administration of certain provisions of the *Business Corporations Act* (R.S.O. 1990, c. B.16).

The Ontario Securities Commission

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A. Capital Markets Tribunal

A.1 Notices of Hearing

A.1.1 Harry Stinson et al. – s. 127(1)

FILE NO.: 2022-3

IN THE MATTER OF
HARRY STINSON,
BUFFALO GRAND HOTEL INC.,
STINSON HOSPITALITY MANAGEMENT INC.,
STINSON HOSPITALITY CORP.,
RESTORATION FUNDING CORPORATION,
BUFFALO CENTRAL LLC, AND
STEPHEN KELLEY

NOTICE OF HEARING

Subsection 127(1) of the *Securities Act*, RSO 1990, c S.5

PROCEEDING TYPE: Public Settlement Hearing

HEARING DATE AND TIME: March 23, 2023 at 2:00 p.m.

LOCATION: By videoconference

PURPOSE

The purpose of this hearing is to consider whether it is in the public interest for the Capital Markets Tribunal to approve the Settlement Agreement dated March 20, 2023, between Staff of the Commission and Stephen Kelley in respect of the Statement of Allegations filed by Staff of the Commission dated February 10, 2022.

REPRESENTATION

Any party to the proceeding may be represented by a representative at the hearing.

FAILURE TO ATTEND

IF A PARTY DOES NOT ATTEND, THE HEARING MAY PROCEED IN THE PARTY'S ABSENCE AND THE PARTY WILL NOT BE ENTITLED TO ANY FURTHER NOTICE IN THE PROCEEDING.

FRENCH HEARING

This Notice of Hearing is also available in French on request of a party. Participation may be in either French or English. Participants must notify the Tribunal in writing as soon as possible if the participant is requesting a proceeding be conducted wholly or partly in French.

AVIS EN FRANÇAIS

L'avis d'audience est disponible en français sur demande d'une partie, que la participation à l'audience peut se faire en français ou en anglais et que les participants doivent aviser le Tribunal par écrit dès que possible si le participant demande qu'une instance soit tenue entièrement ou partiellement en français.

Dated at Toronto this March 20, 2023

Registrar, Governance & Tribunal Secretariat
Ontario Securities Commission

For more information

Please visit capitalmarketstribunal.ca or contact the Registrar at registrar@osc.gov.on.ca.

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A.2 Other Notices

A.2.1 Jiubin Feng and CIM International Group Inc.

FOR IMMEDIATE RELEASE
March 16, 2023

**JIUBIN FENG AND
CIM INTERNATIONAL GROUP INC.,
File No. 2021-27**

TORONTO – The Tribunal issued its Reasons and Decision in the above named matter.

A copy of the Reasons and Decision dated March 15, 2023 is available at capitalmarketstribunal.ca.

Registrar, Governance & Tribunal Secretariat
Ontario Securities Commission

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A.2.2 Amin Mohammed Ali

FOR IMMEDIATE RELEASE
March 20, 2023

**AMIN MOHAMMED ALI,
File No. 2022-6**

TORONTO – The Tribunal issued an Order in the above-named matter.

A copy of the Order dated March 20, 2023 is available at capitalmarketstribunal.ca.

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A.2.3 Harry Stinson et al.

FOR IMMEDIATE RELEASE
March 20, 2023

**HARRY STINSON,
BUFFALO GRAND HOTEL INC.,
STINSON HOSPITALITY MANAGEMENT INC.,
STINSON HOSPITALITY CORP.,
RESTORATION FUNDING CORPORATION,
BUFFALO CENTRAL LLC, AND
STEPHEN KELLEY,
File No. 2022-3**

TORONTO – The Tribunal issued a Notice of Hearing for a hearing to consider whether it is in the public interest for the Capital Markets Tribunal to approve the Settlement Agreement dated March 20, 2023, between Staff of the Commission and Stephen Kelley.

The hearing will be held on March 23, 2023 at 2:00 p.m.

A copy of the Notice of Hearing dated March 20, 2023 is available at capitalmarketstribunal.ca.

Registrar, Governance & Tribunal Secretariat
Ontario Securities Commission

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A.3 Orders

A.3.1 Amin Mohammed Ali

**IN THE MATTER OF
AMIN MOHAMMED ALI**

File No. 2022-6

Adjudicators: M. Cecilia Williams (chair of the panel)
William Furlong

March 20, 2023

ORDER

WHEREAS on March 20, 2023, the Capital Markets Tribunal held a hearing by videoconference in relation to the application brought by Amin Mohammed Ali to review the decisions of the Mutual Fund Dealers Association (**MFDA**) dated February 10, 2022, and considered a request from Mr. Ali to adjourn this hearing so that he may seek new legal representation;

ON HEARING the submissions of the representative of Ali, appearing by telephone, and of the representatives of Staff of the New Self Regulatory Organization of Canada (formerly MFDA) (**New SRO**) and of Staff of the Ontario Securities Commission, and on considering that Staff of the New SRO and Staff of the Commission do not object to the adjournment request;

IT IS ORDERED THAT this hearing is adjourned to May 31, 2023, at 10:00 a.m. by videoconference, or on such other date and time as may be agreed to by the parties and set by the Governance & Tribunal Secretariat.

“M. Cecilia Williams”

“William Furlong”

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A.4

Reasons and Decisions

A.4.1 Jiubin Feng and CIM International Group Inc. – s. 127(1)

Citation: *Feng (Re)*, 2023 ONCMT 12

Date: 2023-03-15

File No. 2021-27

**IN THE MATTER OF
JIUBIN FENG AND
CIM INTERNATIONAL GROUP INC.**

**REASONS AND DECISION
(Subsection 127(1) of the *Securities Act*, RSO 1990, c S.5)**

Adjudicators:	Cathy Singer (chair of the panel) Sandra Blake Russell Juriansz	
Hearing:	By videoconference, September 14, 15, 16, 19, 20 and 21, 2022; final written submissions received November 8, 2022	
Appearances:	Adam Gotfried Rikin Morzaria Rohit Kumar Tina Kaye	For Staff of the Ontario Securities Commission For Jiubin Feng and CIM International Group Inc.

REASONS AND DECISION

1. OVERVIEW

- [1] These are our reasons for finding that the respondents, CIM International Group Inc. (**CIM**) and Jiubin Feng, breached the *Securities Act*¹ (the **Act**) by perpetrating a securities fraud. The respondents raised funds from investors to be used exclusively to develop a specific real estate project, but then misapplied investor funds for purposes other than what was disclosed in the offering documents which ultimately caused investors to suffer significant losses.
- [2] Between December 2017 and November 2018 (the **Material Time**), CIM was a public Ontario corporation involved in the development of several real estate projects. Feng was its principal directing mind. In 2018, CIM raised \$10 million by issuing secured debentures. The CIM board of directors' resolution authorizing the offering, the offering documents and subscription agreements provided to investors, and the in-person representations, all stipulated that CIM would use the net proceeds of the offering to finance a specific real estate project.
- [3] We find that a significant portion of the net proceeds was not used to develop the stipulated real estate project but was instead directed back to CIM by unsecured loans or invested in or loaned to other real estate projects controlled by Feng.
- [4] We conclude that the respondents engaged in a course of conduct relating to securities that they knew perpetrated a fraud on the investors contrary to s. 126.1(1)(b) of the *Act*.

2. BACKGROUND

- [5] Feng is a real estate developer who became the CEO and Chairman of CIM's board of directors in 2016 through the reverse takeover (**RTO**) of a small mining company listed on the TSX Venture Exchange.
- [6] Feng had immigrated to Canada from China with a PhD in structural engineering. Prior to coming to Canada, Feng worked in real estate development but had no experience operating a public company. As CEO and Chairman of CIM,

¹ RSO 1990, c S.5

Feng shifted the business of CIM from mining to real estate development. Following the RTO, CIM's only significant business activity was making investments in or loans to real estate projects controlled by Feng.

- [7] In Autumn 2017, CIM embarked on a proposed secured bond offering (the **Proposed Offering**). CIM announced the Proposed Offering by press release dated December 6, 2017, with the first \$10 million of proceeds to be loaned to Bayview Creek (CIM) LP (**Bayview Creek LP**), a real estate project controlled by Feng, to finance the development of townhouses at 10747 Bayview Avenue in Richmond Hill, Ontario (the **Bayview Creek Project**). Feng and other CIM representatives started meeting with potential investors commencing in December 2017. The Proposed Offering did not proceed once CIM was advised in January 2018 that Bayview Creek LP could not obtain the required consent for the second mortgage that was to secure the loan under the Proposed Offering.
- [8] On February 1, 2018, CIM's board of directors approved moving ahead with a new debt financing with a revised security package. Rather than a second mortgage, the loan from CIM to Bayview Creek LP would be secured by a negative pledge, covenant and undertaking (that would register a second mortgage on a triggering event) (the **Proceeds Loan**). CIM's board of directors then also approved the issuance of secured debentures in order to raise funds for the Bayview Creek Project. The debentures were secured by units of another Feng controlled project owned by CIM.
- [9] Between February 6 and August 2, 2018, CIM raised \$10 million through the sale of three-year secured debentures, paying 13.5% interest per year semi-annually (the **Offering**), to 36 investors in Ontario, Hong Kong and the United Kingdom.
- [10] Offering documents for the CIM debentures and all but one of the subscription agreements completed by CIM investors stipulated that CIM would use the net proceeds to make the Proceeds Loan to Bayview Creek LP to finance the Bayview Creek Project.
- [11] CIM made the Proceeds Loan to Bayview Creek LP in tranches between February 7 and August 8, 2018. Bayview Creek LP delivered seven debentures to CIM to record each tranche of the Proceeds Loan. Each Bayview Creek debenture provided that the principal bore interest at an annual rate of 20%, payable semi-annually.
- [12] Between February 7 and November 14, 2018, approximately \$3.39 million of the Proceeds Loan was used for non-Bayview Creek expenses, which included unsecured loans from Bayview Creek LP back to CIM and investments in or unsecured loans to other real estate projects controlled by Feng.
- [13] CIM had originally borrowed funds from Bayview Creek LP shortly after the RTO was completed in 2016 and continued to borrow funds from Bayview Creek LP in 2017 and 2018, including the Proceeds Loan. By June 30, 2019, CIM's debt to Bayview Creek LP was nearly as large as Bayview Creek LP's debt to CIM under the Proceeds Loan, and Feng caused CIM and Bayview Creek LP to offset their indebtedness to each other. This offset of debts reduced Bayview Creek LP's interest obligations to CIM.
- [14] CIM was unable to pay interest to investors without the interest payments from Bayview Creek LP on the Proceeds Loan. CIM defaulted on interest payments owing to investors commencing on December 16, 2019. In 2019, the units held by CIM in another Feng controlled entity that were provided as security for the CIM debentures were valued at \$1 due to a lawsuit. Also in 2019, a second mortgage was registered on the Bayview Creek property to secure a loan made by Feng on behalf of Bayview Creek LP, contrary to the series of pledges and covenants made by Bayview Creek LP for the Proceeds Loan.

3. PRELIMINARY MATTER – RESPONDENTS' REQUEST TO ADJOURN THE MERITS HEARING

- [15] On the eve of the start of the merits hearing, the panel received a written request from the respondents to adjourn the merits hearing so the parties may discuss the possibility of settlement. The respondents indicated that a two-to-three-week adjournment was necessary in order to have meaningful discussions.
- [16] Staff of the Ontario Securities Commission (**Staff**) opposed the adjournment, citing Rule 29(1) of the Tribunal's *Rules of Procedure and Forms*, which states that every merits hearing shall proceed on the scheduled date unless a party satisfies the panel that there are exceptional circumstances requiring an adjournment.
- [17] Staff advised it did not believe there was a reasonable prospect of settlement in this case and submitted that a respondent's bare hope that the matter may settle does not constitute exceptional circumstances.
- [18] The standard set out in Rule 29(1) is a high one that reflects the important objective set out in Rule 1 that Tribunal proceedings be conducted in a "just, expeditious, and cost-effective manner".
- [19] We were not persuaded that there were any exceptional circumstances warranting an adjournment, as there was not a reasonable prospect of settlement, the respondents were prepared to proceed with the merits hearing, and there would be significant delay should the merits hearing need to be re-scheduled.

[20] We dismissed the respondents' request and proceeded with the merits hearing as scheduled.

4. EVIDENCE

[21] Staff called three witnesses at the merits hearing: Louisa Fiorini, a Senior Investigator in the Enforcement Branch of the Commission, RP, who as the former President and director of CIM was one of its directing minds between May 2017 and March 2018, and an investor (JH). The respondents called Feng as their only witness.

4.1 Credibility and reliability of witnesses

[22] In assessing the credibility and reliability of witnesses, the Tribunal has accepted the guidance that "the most satisfactory judicial test of truth lies in its harmony or lack of harmony with the preponderance of probabilities disclosed by the facts and circumstances in the conditions of the particular case."²

[23] We may accept some, all or none of a witness's evidence and we may find the evidence of a witness credible in some respects but not in others.

[24] We find Staff's Senior Investigator to be a credible witness and we accept her evidence. The investigator introduced the findings of Staff's investigation and introduced documents obtained and the financial analysis conducted by Staff. We are able to assess and determine the import of the documents introduced and to accept, reject or modify the financial analysis conducted by Staff as necessary.

[25] We also find Staff's remaining two witnesses, RP and JH, to be credible and reliable.

[26] RP was a director and the president of CIM from approximately May 2017 (when he was hired by Feng) until his resignation in March 2018 and was previously registered with the Commission when he worked for an investment advisor in the early 2000s. RP remained a director of CIM after his resignation as president until the summer of 2018. During the merits hearing, RP demonstrated a clear understanding of his obligations as a witness. Where there may have been some inconsistencies during aspects of his testimony, they were inconsequential, and did not undermine our overall assessment of RP's credibility. RP's evidence was generally consistent and supported by documentary evidence and the larger circumstances of the case.

[27] JH was a Hong Kong investor in CIM who invested \$1 million in CIM debentures. JH advised that her sister invested \$3 million in CIM debentures. JH studied economics, worked in banking, owned an insurance brokerage and a restaurant and indicated she had some knowledge of securities. She was introduced to CIM by her accountant. JH's testimony was largely related to what she had been told by Feng in early 2018 in a few different settings related to her proposed investment in the Bayview Creek Project. JH also acted as a referral agent and earned \$200,000 in referral fees by referring multiple investors to CIM – a fact that we find has no bearing on the reliability of her testimony.

[28] We consider JH's credibility together with Feng's as their respective testimonies stand in stark contradiction.

[29] We find JH's testimony to be credible and Feng's testimony to suffer from a range of deficiencies. While at times JH was unable to remember some specific details of her interactions with Feng, we do not find this to be unusual, and her recollection of substantive matters discussed with Feng appeared to us to be strong. Feng's testimony, on the other hand, was inconsistent with earlier statements he had made to Staff in material aspects, inconsistent with RP's testimony in important respects, and his main assertions do not accord with reasonable likelihood. His assertion that the transcripts of his earlier interviews with Staff were inaccurate also damages his credibility.

[30] We note in our analysis below where inconsistencies in witness testimony require a credibility finding on our part.

4. ANALYSIS

4.1 Introduction

[31] One of the main purposes of the *Act*, as stated in s. 1.1, is to protect investors from unfair, improper or fraudulent practices.

[32] In this case, Staff alleges that the respondents committed securities fraud after raising \$10 million through the sale of debentures to 36 investors in Ontario, Hong Kong and the United Kingdom. Staff alleges that the respondents represented to investors that their investments would be loaned to Bayview Creek LP and used to finance the Bayview Creek Project but ended up diverting approximately \$3.39 million of the Proceeds Loan back to CIM or to other Feng controlled projects without the investors' knowledge or approval. Staff further alleges that Feng as a directing mind of

² *Springer v Aird & Berlis LLP*, 2009 CanLII 15661 at para 14

CIM authorized, permitted or acquiesced in CIM's fraudulent conduct and that the respondents engaged in an activity that was contrary to the public interest.

[33] The following questions are before us:

- a. Did the respondents directly or indirectly breach s. 126.1(1)(b) of the Act by engaging or participating in acts, practices, or courses of conduct relating to securities that they knew or reasonably ought to have known perpetrated a fraud on persons or companies?
- b. If CIM breached s. 126.1(1)(b) of the Act, is Feng deemed to have not complied with Ontario securities law pursuant to s. 129.2 because he authorized, permitted or acquiesced in the non-compliance?
- c. Did the respondents engage in an activity that was contrary to the public interest?

[34] For the reasons that follow, we find that Staff has established a. above, and we find it unnecessary to consider b. and c. as a result.

5.2 Fraud

[35] Section 126.1(1)(b) of the *Act* provides:

A person or company shall not, directly or indirectly, engage or participate in any act, practice or course of conduct relating to securities, derivatives or the underlying interest of a derivative that the person or company knows or reasonably ought to know,

...

(b) perpetrates a fraud on any person or company.

[36] The allegation of fraud in this case is focused on the respondents' and Bayview Creek LP's use of the Proceeds Loan for purposes contrary to what CIM investors were told.

[37] The term "fraud" is not defined in the *Act*. The parties agree, and previous Tribunal decisions have held, that the definition in the Supreme Court of Canada's decision in *R v Theroux*³ applies. Accordingly, fraud consists of the following elements:

- a. the *actus reus*, which is an act of deceit, falsehood or some other fraudulent means, and deprivation caused by that act; and
- b. the *mens rea*, which is subjective knowledge of the act, and subjective knowledge that the act could result in the deprivation of another.

5.2.1 Actus Reus

[38] The Tribunal has repeatedly held that an unauthorized diversion, or misuse, of investor funds is an act, practice or course of conduct that constitutes "other fraudulent means" for the purposes of a fraud analysis.⁴ "Other fraudulent means" is determined objectively, by reference to "what a reasonable person would consider to be a dishonest act".⁵

[39] The "deprivation" of the *actus reus* element is established by proof of:

- a. actual loss to the victim;
- b. prejudice to the victim's economic interest; or
- c. risk of prejudice to the economic interests of a victim.⁶

[40] We emphasize that a risk of prejudice, without actual loss, may be sufficient to establish deprivation.⁷

5.2.1.a The respondents told investors the proceeds would be used to develop the Bayview Creek Project

[41] The offering documents that define the terms of the Offering (i.e., CIM's board resolution, a confidential offering memorandum, term sheets and subscription agreements) state that CIM would use the money raised by the debentures

³ [1993] 2 SCR 5 (*Theroux*)

⁴ *First Global Data Ltd (Re)*, 2022 ONCMT 25 (*FGD*) at paras 360-361

⁵ *Theroux* at p 14

⁶ *Theroux* at p 13-14

⁷ *Theroux* at p 14

to finance the Bayview Creek Project. The term sheet, which is attached to and forms part of the subscription agreement, explicitly states that "The net proceeds of [this] Offering will be used by the Corporation as a loan (the "Loan") to Bayview Creek (CIM) LP (the Bayview Creek LP) to finance the Bayview Creek real estate project located at 10747 Bayview Avenue, Richmond Hill, Ontario (the "Property")".⁸ These documents were reviewed by and/or signed by Feng, the principal directing mind of CIM. In addition, CIM's marketing material relating to the Offering, including a press release and PowerPoint presentation, provided descriptions of the Bayview Creek Project and stated that the proceeds would be used to develop the project.

- [42] The respondents do not contest this. In his testimony, Feng did not address the import of the language in the documentation; he merely stated what he claimed his subjective understanding was – that he believed that he and CIM were authorized to use investor funds to make loans to or investments in other Feng-controlled real estate projects in addition to the Bayview Creek Project.
- [43] There were oral representations made to investors about the use of investor funds in addition to the documentation. We heard evidence at the merits hearing that investor meetings were conducted in both English and Mandarin (Feng's native language) with interpreters present. RP testified that he told investors in the English portion of investor meetings that the money raised by investors would be used to finance the Bayview Creek Project.
- [44] JH testified that, in the portion of a meeting with her conducted in Mandarin, and at dinners with her and Feng in attendance, she and her family were told, repeatedly, that their investments would go "100% to Bayview Creek". She was shown a PowerPoint presentation about CIM and its projects that stated that the proceeds would be used for the Bayview Creek Project and was told how the secured debentures would work.
- [45] The respondents called no evidence contradicting the testimony of RP and JH that these oral representations were made at the investor meetings. Feng's testimony was that he did not know what investors were told as he was not present at the meetings when the Offering was discussed, other than to greet them at the door. We find that representatives from CIM, including CIM's CFO, orally represented to JH and other investors that the proceeds would be used for the Bayview Creek Project.
- [46] We do not accept the respondents' submission that we should disregard the statements JH attributed to CIM's CFO because Staff did not call him as a witness at the merits hearing. The *Statutory Powers Procedure Act*⁹ permits us to admit and consider the statements attributed to CIM's CFO and we do so, noting those statements are not necessary for our findings but provide additional support for them.
- [47] Furthermore, we find that Feng made the same oral representations. We accept the testimony of JH that Feng assured her, repeatedly, that the money she was investing would be used to finance the Bayview Creek Project and reject Feng's testimony that he did not do so. JH also alerted the panel to WeChat messages between her and Feng to the same effect (though we acknowledge that these messages were not produced during the merits hearing and are of limited value). RP also testified that Feng attended nearly all meetings with potential investors and communicated with them in Mandarin.
- [48] We find that CIM raised \$10 million from investors through a private placement of three-year debentures with the promise to use the net proceeds to finance the Bayview Creek Project. We find, further, that Feng, along with other CIM representatives, including RP, made oral representations to investors that their investments would be used to finance the Bayview Creek Project.

5.2.1.b The money was misapplied

- [49] The documentary evidence presented at the merits hearing, including bank statements, loan agreements and financial statements, show that the respondents diverted a portion of the Proceeds Loan (that had been made by CIM to Bayview Creek LP) from Bayview Creek LP (i) back to CIM, and (ii) to other real estate projects Feng controlled.
- [50] Staff provided evidence that indicated that Bayview Creek LP used a portion of the Proceeds Loan (approximately \$3.39 million) to:
- a. make loans back to CIM;
 - b. make transfers to CIM Port McNicoll LP to pay project debts;
 - c. make loans to the Valleyview Garden Project;

⁸ Exhibit 1, Affidavit of Louisa Fiorini affirmed August 4, 2022, at para 69

⁹ RSO 1990, c S.22, s 15

- d. make loans to the Victoria Harbour Golf Club Community; and
- e. make loans or investments in the Hwy 7 Project.

- [51] We do not intend to discuss the real estate projects above in any detail other than to confirm that they were projects controlled by Feng.
- [52] Staff arrived at the \$3.39 million figure by reviewing the Bayview Creek LP bank account between February 7 and November 14, 2018. November 14, 2018, was selected as the end-point of the review period as this was the first time the bank account reached a negative balance, indicating that all of the investor funds had been dispersed. By adding the bank account's opening balance at the beginning of the review period (\$743,310) with other, non-Proceed Loan deposits into the account (\$3,842,703.23), and then subtracting the total non-Bayview Creek expenses (\$7,973,139.19), the resulting shortfall (\$-3,387,125.96) represents the amount of CIM investor funds that were used to pay for non-Bayview Creek expenses.
- [53] Feng does not contest that the funds from the Proceeds Loan were used for these other purposes. He submits the funds were used in a manner consistent with his understanding of their intended purposes, and in advancing that submission, he points out that the funds were used with the knowledge and approval of RP, CIM's CFO, and CIM's board of directors. In fact, Feng, in his testimony, itemized and confirmed the various amounts that were expended from the Bayview Creek bank account and said he authorized all of these amounts to go out from the Bayview Creek LP bank account to these entities.
- [54] We find that approximately \$3.39 million of the Proceeds Loan was diverted from the Bayview Creek Project to CIM and to other real estate projects controlled by Feng. We find that Fiorini's testimony was straightforward in this regard. Absent any evidence to the contrary, which was not adduced, any amount that left the Bayview Creek LP bank account and went to CIM or to a different real estate project is not an expense related to financing the Bayview Creek Project. We also find it illogical that if, as Feng submits, the Proceeds Loan was intended for multiple real estate projects, the Proceeds Loan would be deposited entirely to the Bayview Creek LP bank account and then parsed out to other projects. No explanation was provided for why the money was not deposited directly to the bank accounts of the other projects if that was the intention.
- [55] Finally, with respect to the monetary figure provided by Staff, we reject the respondents' submission that the review period of the Bayview Creek LP bank account was flawed because the account never reached a zero balance. We see no reason to doubt Staff's calculations and as Staff submits, if necessary, we can simply subtract the balance of \$1,794.63 (the amount the respondents argue was remaining in the account as of November 13, 2018) from the shortfall amount, resulting in a negligible change to the amount allegedly diverted.

5.2.1.c The misapplication caused deprivation

- [56] The question in the *actus reus* stage of the analysis is whether the respondents caused deprivation in fact. Whether the respondents intended to cause deprivation or honestly believed deprivation would not occur is considered in the *mens rea* stage of the analysis.
- [57] In *Theroux*, McLachlin J. (as she then was), writing for the majority of the court, said that the prohibited consequence of depriving another "may consist in merely placing another's property at risk".¹⁰ She referred to Dickson J.'s (as he then was) earlier statement in *R v Olan*¹¹ that "...the element of deprivation is established by proof of detriment, prejudice, or risk of prejudice to the economic interests of the victim caused by the dishonest act".¹²
- [58] Previous panels of the Tribunal have applied this principle finding that the mere creation of a financial risk to another by a dishonest act is sufficient to establish deprivation.¹³
- [59] In this case, CIM was bound to use the Proceeds Loan to finance the Bayview Creek Project. CIM was not authorized to use the proceeds for other purposes. The documents setting out the terms of the Offering, CIM's marketing materials about the Offering and oral representations made to investors by CIM's directing minds clearly stated that the proceeds would be used to finance the Bayview Creek Project.
- [60] There is also no doubt that a significant portion of the Proceeds Loan was misapplied. The bank statements, loan agreements and CIM's financial statements in the record show that a significant portion of the net proceeds of the Offering were used for CIM's operating expenses and to make investments in or loans to real estate projects that Feng controlled other than the Bayview Creek Project.

¹⁰ at p 24

¹¹ [1978] 2 SCR 1175

¹² *Theroux* at p 15

¹³ *Quadrex Hedge Capital Management Ltd (Re)*, 2017 ONSEC 3 at para 21

- [61] We are satisfied that the mere diversion of funds to purposes for which they were not intended placed the investors' investments at risk and constitutes deprivation. While no more is needed, we observe that funds secured by the CIM debentures were used to extend unsecured loans, that actual losses were incurred because some loans were not repaid, that interest payments on the debentures were not made, and that the investors suffered capital losses. JH testified that she, her sister, and the other investors she referred to CIM all lost their entire investments.
- [62] The respondents point to the "Risk Disclosure" section in the subscription agreements, submitting that investors were put on notice that they could lose their entire investment. This acknowledgment of risk does not apply to investments fraudulently misapplied. The investors undertook the inherent risks of investment on the basis that the funds would be invested as stipulated.
- [63] We find that the respondents' misapplication of the Proceeds Loan caused deprivation. As a result, the *actus reus* component of the fraud test is met in this case.

5.2.2 *Mens Rea*

- [64] The *mens rea* element of the fraud analysis consists of subjective knowledge that one is undertaking a prohibited act and that that act could cause deprivation by depriving another of property or could put another's property at risk.¹⁴ The Tribunal has confirmed that a fraud allegation against a corporation is established where the corporation's directing minds knew or reasonably ought to have known that the corporation perpetrated a fraud.¹⁵ A directing mind can be an officer, director or a person who authorized, permitted or acquiesced in the non-compliance.¹⁶
- [65] The *mens rea* for fraud will be established if Feng "knowingly undertook the acts in question" and was "aware that deprivation, or the risk of deprivation, could follow as a likely consequence".¹⁷ It is no defence for a respondent to maintain that they did not think the acts were wrong, or that they hoped that no deprivation would occur.¹⁸

5.2.2.a Subjective Awareness

- [66] Staff submits that the evidence in this case clearly establishes that Feng was subjectively aware that:
- a. he made representations to investors that CIM investor funds were to be used to finance the Bayview Creek Project; and
 - b. CIM investor funds were not used exclusively to finance the Bayview Creek Project contrary to the representations he made to CIM investors.
- [67] During his testimony, Feng sought to portray himself as largely uninvolved in the Offering given his limited proficiency in English. He claims he trusted others involved with CIM to make sure things were done properly. Feng claimed he did not take part in meetings with investors, did not review CIM's press releases until their "final stages" and that he did not look over any marketing materials that were provided to investors. This is inconsistent with evidence provided by other witnesses in this hearing and does not accord with reasonable likelihood given Feng's involvement with CIM and its projects.
- [68] We reject Feng's testimony that he believed the loans were permitted. We find that Feng reviewed documents related to the Offering, had the benefit of a Mandarin interpreter explaining them to him, and understood their contents. JH testified, and we believe, that Feng assured her that her funds would be used for the Bayview Creek Project. To say otherwise would be inconsistent with the various documents he himself signed, such as Board resolutions and offering documents. Feng was aware that the Proceeds Loan was supposed to be used to finance the Bayview Creek Project and was similarly aware the funds were then used for other purposes.
- [69] Based on this evidence, we find that Feng was subjectively aware the Proceeds Loan was not used to finance the Bayview Creek Project. We also find that CIM is deemed to be subjectively aware of the fraudulent act given Feng was, during the Material Time, a directing mind of CIM and intimately involved with all its dealings.
- [70] We reject outright Feng's submission that he believed the expenditures from the Bayview Creek bank account were for the benefit of Bayview Creek. We infer that the directing mind of CIM, as a sophisticated businessperson, subjectively understood that investments and loans carry an inherent risk. We find that Feng and CIM were subjectively aware that its misapplication of the net proceeds of the Offering could cause the investors deprivation by putting their property at risk for other than the stipulated purpose.

¹⁴ Theroux at p 21

¹⁵ FGD at para 347

¹⁶ *Al-Tar Energy Corp (Re)*, 2010 ONSEC 11 at para 320

¹⁷ Theroux at p 22

¹⁸ *Money Gate Mortgage Investment Corporation (Re)*, 2019 ONSEC 40 at para 217

5.2.3 Conclusion

[71] For these reasons, we find that both the *actus reus* and *mens rea* components of the fraud test are clearly established against the respondents. Therefore, the respondents have breached s. 126.1(1)(b) of the *Act*.

5.3 Section 129.2 of the Act

[72] Section 129.2 of the *Act* is a “deeming” provision that attributes liability to directors and officers of a company where that company has been found to have breached Ontario securities law and Staff establishes that a director or officer “authorized, permitted or acquiesced” in the breach.

[73] Staff alleges that Feng authorized, permitted or acquiesced in CIM’s non-compliance with the *Act* and ought to be deemed to have not complied with Ontario securities law as a result. However, having found that Feng directly contravened s. 126.1(1)(b) of the *Act*, we find it unnecessary to consider separately any potential liability under s. 129.2 of the *Act* and we decline to do so.

5.4 Conduct contrary to the public interest

[74] Finally, Staff alleges that, in addition to the contraventions of the *Act* outlined above, the respondents’ conduct was also contrary to the public interest and harmful to the integrity of the capital markets.

[75] As the Tribunal has previously noted,¹⁹ the words “contrary to the public interest” do not appear in the *Act*. In this proceeding, Staff has not identified conduct, other than the alleged contraventions of the *Act*, that would warrant an order under s. 127 of the *Act*. As such, we dismiss this additional allegation against the respondents.

6. CONCLUSION

[76] We find that Feng and CIM caused Bayview Creek LP to transfer a significant portion of the Proceeds Loan back to CIM or to other real estate projects controlled by Feng, which was contrary to the representations Feng and CIM made to CIM investors, contrary to s. 126.1(1)(b) of the *Act*.

[77] The parties shall contact the Registrar by 4:30 p.m. on April 5, 2023 to arrange an attendance in respect of a hearing regarding sanctions and costs. The attendance is to take place on a date that is mutually convenient, that is fixed by the Governance & Tribunal Secretariat, and that is no later than April 28, 2023.

[78] If the parties are unable to present a mutually convenient date to the Registrar, then each party may submit to the Registrar, for consideration by a panel of the Tribunal, one-page written submissions regarding a date for the attendance. Any such submissions shall be submitted by 4:30 p.m. on April 5, 2023.

[79] Dated at Toronto this 15th day of March, 2023

“Cathy Singer”

“Sandra Blake”

“Russell Juriansz”

¹⁹ *Solar Income Fund Inc (Re)*, 2021 ONSEC 2 at paras 70-76

B. Ontario Securities Commission

B.2 Orders

B.2.1 UEX Corporation

Headnote

National Policy 11-206 Process for Cease to be a Reporting Issuer Applications – The issuer ceased to be a reporting issuer under securities legislation.

Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S.5, as am., s. 1(10)(a)(ii).

March 8, 2023

**IN THE MATTER OF
THE SECURITIES LEGISLATION OF
BRITISH COLUMBIA AND
ONTARIO
(the Jurisdictions)**

AND

**IN THE MATTER OF
THE PROCESS FOR CEASE TO BE
A REPORTING ISSUER APPLICATIONS**

AND

**IN THE MATTER OF
UEX CORPORATION
(the Filer)**

ORDER

Background

¶ 1 The securities regulatory authority or regulator in each of the Jurisdictions (Decision Maker) has received an application from the Filer for an order under the securities legislation of the Jurisdictions (the Legislation) that the Filer has ceased to be a reporting issuer in all jurisdictions of Canada in which it is a reporting issuer (the Order Sought).

Under the Process for Cease to be a Reporting Issuer Applications (for a dual application):

- (a) the British Columbia Securities Commission is the principal regulator for this application;
- (b) the Filer has provided notice that subsection 4C.5(1) of Multilateral Instrument 11-102 *Passport*

System (MI 11-102) is intended to be relied upon in Alberta, Saskatchewan, Manitoba, and Newfoundland and Labrador; and

- (c) this order is the order of the principal regulator and evidences the decision of the securities regulatory authority or regulator in Ontario;

Interpretation

- ¶ 2 Terms defined in National Instrument 14-101 *Definitions* and MI 11-102 have the same meaning if used in this order, unless otherwise defined.

Representations

- ¶ 3 This order is based on the following facts represented by the Filer:
1. the Filer was incorporated under the *Canada Business Corporations Act* (the CBCA);
 2. prior to the Arrangement (as defined below), the Filer's head office was located at 2465 Berton Place, North Vancouver, BC, V7H 2W9;
 3. the common shares in the capital of the Filer (the UEX Shares) traded on the Toronto Stock Exchange (the TSX) under the symbol "UEX" and on the OTCQB under the symbol "UEXCF", and no other securities of the Filer were listed on any marketplace;
 4. Uranium Energy Corp. (UEC) is a corporation existing under the laws of the State of Nevada, and its authorized share capital consists of 750,000,000 common shares with a par value of \$0.001 per share (the UEC Shares), which are listed on the NYSE American Exchange under the symbol "UEC";
 5. immediately prior to the Effective Time (as defined below), the Filer had the following issued and outstanding securities: (i) 557,585,284 UEX Shares (not inclusive of 1,017,875 UEX Shares converted from outstanding restricted share units of UEX

- (UEX RSUs), (ii) stock options exercisable to purchase 25,575,000 UEX Shares (the UEX Options), (iii) common share purchase warrants to purchase 46,637,768.5 UEX Shares (the UEX Warrants), and (iv) broker warrants to purchase 5,151,489 UEX Shares (the Broker Warrants);
6. to the best of the Filer's knowledge and belief, there are (i) 52 beneficial holders of UEX Warrants, 4 of which are in Quebec, 4 of which are in Saskatchewan, 20 of which are in Ontario, 13 of which are in British Columbia, 1 of which is in Newfoundland & Labrador, 6 of which are in the United States, and 4 of which are in a foreign jurisdiction, and (ii) 6 beneficial holders of Broker Warrants, one of which is in Quebec, 3 of which are in Ontario and 2 of which are in British Columbia;
7. under the terms and conditions of an arrangement agreement dated June 13, 2022, as amended, among UEC, UEC 2022 Acquisition Corp. and the Filer, effective at 12:01 a.m. (Pacific Time) on August 19, 2022 (the Effective Time), UEC acquired all of the issued and outstanding UEX Shares by way of a statutory plan of arrangement under the CBCA (the Arrangement);
8. the notice of special meeting of holders of UEX Shares, UEX Options and UEX RSUs (the Voting UEX Securityholders) and management information circular of the Filer dated July 8, 2022 was delivered to the Voting UEX Securityholders entitled to vote at the special meeting of the Voting UEX Securityholders that took place on August 9, 2022 to consider the Arrangement;
9. under the Arrangement,
- (a) UEC acquired all of the UEX Shares;
- (b) all RSUs vested and were deemed to be settled and fully extinguished;
- (c) all UEX Options were exchanged into replacement options to acquire UEC Shares (the Replacement Options); and
- (d) all UEX Warrants and Broker Warrants holders became entitled to receive, and UEC became obligated to provide, upon exercise of such warrants, such number of UEC Shares which the holders would have been entitled to receive if the holders exercised their warrants immediately prior to the Effective Time;
10. the Filer is not required to remain a reporting issuer pursuant to the terms of the relevant warrant indentures or warrant certificates, and no consents or approvals were required from the holders of the UEX Warrants and the Broker Warrants;
11. in connection with the Arrangement, additional UEC Shares were authorized for issuance upon exercise of the Replacement Options, the UEX Warrants and the Broker Warrants;
12. the UEX Shares were delisted from the TSX effective at the close of business on August 24, 2022;
13. UEC is a reporting issuer in each of British Columbia, Alberta, Saskatchewan, Manitoba, Ontario, New Brunswick, Nova Scotia, Prince Edward Island, and Newfoundland and Labrador, and as such, UEC is subject to continuous disclosure requirements which is relevant to holders of UEX Warrants, Broker Warrants and UEX Options as such holders are entitled to receive UEC Shares upon exercise of such securities;
14. UEC is not in default of securities legislation in any jurisdiction;
15. the Filer is no longer an OTC issuer as that term is defined under Multilateral Instrument 51-105 *Issuers Quoted in the U.S. Over-the-Counter Markets*;
16. the Filer has no intention to seek public financing by way of an offering of securities;
17. no securities of the Filer, including any debt securities, are traded in Canada or another country on a marketplace as defined in National Instrument 21-101 *Marketplace Operation* or any other facility for bringing together buyers and sellers of securities where trading data is publicly reported;
18. the Filer is applying for an order that it has ceased to be a reporting issuer in all of the jurisdictions of Canada in which it is currently a reporting issuer;
19. the Filer has not filed its interim financial statements and related management's discussion and analysis for the three and nine months ended September 30, 2022, and with the exception of the foregoing,

- the Filer is not in default of securities legislation in any jurisdiction;
20. the Filer is not eligible to use the simplified procedure under National Policy 11-206 *Process for Cease to be a Reporting Issuer Applications* because the securities of the Filer, namely the UEX Warrants and Broker Warrants, are not beneficially owned, directly or indirectly, by fewer than 15 securityholders in each of the jurisdictions of Canada and fewer than 51 securityholders in total worldwide; and
21. upon the granting of the Order Sought, the Filer will not be a reporting issuer or the equivalent in any jurisdiction in Canada.

Order

¶ 4 Each of the Decision Markers is satisfied that the order meets the test set out in the Legislation for the Decision Marker to make the order.

The decision of the Decision Makers under the Legislation is that the Order Sought is granted.

“Noreen Bent”
Chief, CF Legal Services
Corporate Finance
British Columbia Securities Commission

B.2.2 Southern Pacific Resource Corp. – s. 144

Headnote

Section 144 – Application for revocation of cease trade order – issuer subject to cease trade order as a result of failure to file financial statements – issuer has made a separate application to not be a reporting issuer in all of the jurisdictions in which it is currently a reporting issuer – full revocation granted effective as of the date the issuer is determined to not be a reporting issuer.

Statutes Cited

Securities Act, R.S.O. 1990, c. S.5, as am., ss. 127 and 144.

**IN THE MATTER OF
THE SECURITIES ACT,
R.S.O. 1990, C. S.5, AS AMENDED
(the Act)**

AND

**IN THE MATTER OF
SOUTHERN PACIFIC RESOURCE CORP.**

**ORDER
(Section 144 of the Act)**

WHEREAS the securities of Southern Pacific Resource Corp. (the **Filer**) were subject to a temporary cease trade order dated February 26, 2015 and a further cease trade order dated March 9, 2015 as varied on July 17, 2015 pursuant to paragraph 2 of subsection 127(1) and subsection 127(5) of the Act (the **Cease Trade Order**) issued by the Ontario Securities Commission (the **Commission**) directing that trading in the securities of the Filer cease until further ordered by the Director;

AND WHEREAS the Cease Trade Order was made on the basis that the Filer was in default of certain filing requirements under Ontario securities laws as described in the Cease Trade Order;

AND WHEREAS cease trade orders were issued by the Alberta Securities Commission (the **ASC**) on February 20, 2015 as varied on January 12, 2016, by the Manitoba Securities Commission (the **MSC**) on February 23, 2015 and by the British Columbia Securities Commission (the **BCSC**) on June 8, 2015 (collectively, the **Foreign Cease Trade Orders**);

AND WHEREAS the Filer has applied to the Commission for an order pursuant to section 144 of the Act for a full revocation of the Cease Trade Order (the **Application**);

AND WHEREAS the Filer has represented to the Commission that:

1. The Filer is a corporation existing under the *Business Corporations Act* (Alberta).
2. The authorized capital of the Filer consists of an unlimited number of common shares without nominal or par value, an unlimited number of Class A common shares without nominal or par value and

- an unlimited number of preferred shares without nominal or par value.
3. The head office of the Filer is in Calgary, Alberta.
4. The Filer is a reporting issuer in each of British Columbia, Alberta, Saskatchewan, Manitoba, Ontario, New Brunswick, Nova Scotia, Newfoundland and Labrador and Prince Edward Island (the **Jurisdictions**).
5. The Cease Trade Order and Foreign Cease Trade Orders were issued due to the failure of the Filer to file interim financial statements, interim management's discussion and analysis and certification of interim filings for the period ended December 31, 2014 (the **Interim Filing Default**) within the time period prescribed by securities regulation. Subsequent to the Interim Filing Default, the Filer has not filed all of the continuous disclosure documents that it is required to be filed.
6. On January 15, 2015, the Filer and its affiliates, Southern Pacific Energy Ltd., 1614789 Alberta Ltd., 1717712 Alberta Ltd. and Southern Pacific Resource Partnership initiated proceedings under the *Companies' Creditors Arrangement Act* (Canada).
7. On May 13, 2022, an approval and reverse vesting order was confirmed by the Court of Queen's Bench of Alberta, Judicial District of Calgary. The order approved a subscription agreement (the **Subscription Agreement**) among the Filer, 2436544 Alberta Ltd. (**ResidualCo**) and five individual purchasers (collectively, the **Purchasers**), the sale transaction contemplated by the Subscription Agreement and the associated reorganization transactions contemplated in Schedule "B" to the Subscription Agreement.
8. Pursuant to the Subscription Agreement, on June 16, 2022 all outstanding debentures of the Filer were transferred to and assumed by ResidualCo and are no longer an obligation of the Filer.
9. Also pursuant to the Subscription Agreement, on June 16, 2022 all common share holders of the Filer had their shares redeemed for a nominal amount and the Purchasers subscribed for Class A Common Shares of the Filer, such that the Purchasers are the only security holders of the Filer.
10. The common shares and debentures of the Filer were delisted from the Toronto Stock Exchange at the close of trading on February 27, 2015, and no securities of the Filer are traded on a marketplace as defined in National Instrument 21-101 – *Marketplace Operation*.
11. The Filer is not an OTC reporting issuer under Multilateral Instrument 51-105 *Issuers Quoted in the U.S. Over-the-Counter Markets*.
12. The Filer's outstanding securities, are beneficially owned, directly or indirectly, by fewer than 15 securityholders in each jurisdiction of Canada and by fewer than 51 securityholders in total worldwide.
13. The Filer's securities are not traded in Canada or another country on a marketplace or any other facility for bringing together buyers and sellers of securities where trading data is publicly reported.
14. The Filer has no intention to seek public financing by way of an offering of securities in Canada or elsewhere or to make or maintain a market of securities of the Filer.
15. The Filer has concurrently applied to the ASC, MSC and BCSC for orders for revocation of the Foreign Cease Trade Orders.
16. On December 8, 2022, the Filer applied for, and expects to be granted concurrently with this full revocation order, a decision that the Filer has ceased to be a reporting issuer in the Jurisdictions. If that decision is granted, the Filer will not be a reporting issuer in any jurisdiction in Canada.
17. The Filer is not in default of the requirements of securities legislation of the Jurisdictions except for:
- (a) its failure to file the required continuous disclosure, and
- (b) in initiating proceedings under the *Companies and Creditors Arrangement Act* (Canada) and effecting the transaction contemplated by the Subscription Agreement, the Filer may have engaged in certain acts in furtherance of trades in the securities of the Filer, which may be in violation of the requirements of the Cease Trade Order.
18. The Filer acknowledges, in granting the relief sought, the Commission is not expressing any opinion or approval as to the terms of the Subscription Agreement.

AND UPON considering the Application and the recommendation of the staff of the Commission;

AND UPON the Director being satisfied that to do so would not be prejudicial to the public interest to revoke the Cease Trade Order;

IT IS ORDERED pursuant to section 144 of the Act that the Cease Trade Order is revoked as of the date on which the Filer ceases to be a reporting issuer under the securities legislation of the Jurisdictions.

DATED this 14th day of March, 2023

"David Surat"
Manager (Acting), Corporate Finance
Ontario Securities Commission

OSC File #: 2022/0556

B.2.3 Resolute Forest Products Inc.

Headnote

National Policy 11-206 Process for Cease to be a Reporting Issuer Applications – The issuer ceased to be a reporting issuer under securities legislation.

Applicable Legislative Provisions

Securities Act (Québec), CQLR, c. V-1, s. 69.

[TRANSLATION]

March 15, 2023

**IN THE MATTER OF
THE SECURITIES LEGISLATION OF
QUÉBEC AND
ONTARIO
(the Jurisdictions)**

AND

**IN THE MATTER OF
THE PROCESS FOR CEASE TO BE
A REPORTING ISSUER APPLICATIONS**

AND

**IN THE MATTER OF
RESOLUTE FOREST PRODUCTS INC.
(the Filer)**

ORDER

Background

The securities regulatory authority or regulator in each of the Jurisdictions (Decision Maker) has received an application from the Filer for an order under the securities legislation of the Jurisdictions (the Legislation) that the Filer has ceased to be a reporting issuer in all jurisdictions of Canada in which it is a reporting issuer (the Order Sought).

Under the Process for Cease to be a Reporting Issuer Applications (for a dual application):

- a) the Autorité des marchés financiers is the principal regulator for this application,
- b) the Filer has provided notice that subsection 4C.5(1) of *Regulation 11-102 respecting Passport System* (Regulation 11-102) is intended to be relied upon, and
- c) this order is the order of the principal regulator and evidences the decision of the securities regulatory authority or regulator in Ontario.

Interpretation

Terms defined in *Regulation 14-101 respecting Definitions*, Regulation 11-102 and, in Québec, in *Regulation 14-501Q on definitions* have the same meaning if used in this order, unless otherwise defined.

Representations

This order is based on the following facts represented by the Filer:

1. the Filer is not an OTC reporting issuer under *Regulation 51-105 respecting Issuers Quoted in the U.S. Over-the-Counter Markets*;
2. the outstanding securities of the Filer, including debt securities, are beneficially owned, directly or indirectly, by fewer than 15 securityholders in each of the jurisdictions of Canada and fewer than 51 securityholders in total worldwide;
3. no securities of the Filer, including debt securities, are traded in Canada or another country on a marketplace as defined in *Regulation 21-101 respecting Marketplace Operation* or any other facility for bringing together buyers and sellers of securities where trading data is publicly reported;
4. the Filer is applying for an order that the Filer has ceased to be a reporting issuer in all of the jurisdictions of Canada in which it is a reporting issuer; and
5. the Filer is not in default of securities legislation in any jurisdiction.

Order

Each of the Decision Makers is satisfied that the order meets the test set out in the Legislation for the Decision Maker to make the order.

The decision of the Decision Makers under the Legislation is that the Order Sought is granted.

“Marie-Claude Brunet-Ladrie”
Directrice de la surveillance des émetteurs et initiés
Autorité des marchés financiers
OSC File #: 2023/0080

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B.3 Reasons and Decisions

B.3.1 Brookfield Corporation

Headnote

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions.

Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S.5, as am.

February 14, 2023

IN THE MATTER OF
THE SECURITIES LEGISLATION OF
ONTARIO
(the Jurisdiction)

AND

IN THE MATTER OF
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS
IN MULTIPLE JURISDICTIONS

AND

IN THE MATTER OF
BROOKFIELD CORPORATION
(the Filer)

DECISION

Background

The principal regulator in the Jurisdiction has received an application from the Filer for a decision under the securities legislation of the Jurisdiction of the principal regulator (the **Legislation**) exempting the Filer from the requirement in item 11.1(1)(7) of Form 44-101F1 *Short Form Prospectus (Form 44-101F1)* to incorporate by reference in any short form prospectus of the Filer, including any prospectus that is a base shelf prospectus pursuant to National Instrument 44-102 *Shelf Distributions (NI 44-102)* and any supplement thereto (each, a **Prospectus**), the Excluded Sections (as defined below) of the Circular (as defined below) as they are no longer material, relevant, or applicable to the Filer, its securityholders or potential purchasers of the Filer's securities (the **Exemption Sought**).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

- (a) the Ontario Securities Commission is the principal regulator for this application; and
- (b) the Filer has provided notice that section 4.7(1) of Multilateral Instrument 11-102 *Passport System (MI 11-102)* is intended to be relied upon in each province and territory of Canada, other than Ontario.

Interpretation

Terms defined in National Instrument 14-101 *Definitions* and MI 11-102 and have the same meaning if used in this decision, unless otherwise defined.

Representations

This decision is based on the following facts represented by the Filer:

1. The Filer is a corporation existing and in good standing under the *Business Corporations Act* (Ontario). The Filer's registered and head office is located at Suite 100, Brookfield Place, 181 Bay Street, Toronto, Ontario M5J 2T3.
2. The Filer is a reporting issuer in all of the provinces and territories of Canada and is not in default of any requirement of securities legislation in the jurisdictions in which it is a reporting issuer.
3. The class A limited voting shares of the Filer are listed on the New York Stock Exchange and on the Toronto Stock Exchange, each under the symbol "BN".
4. The Filer satisfies the basic qualification criteria as set out in section 2.2 of National Instrument 44-101 *Short Form Prospectus Distribution* (NI 44-101) and, as a result, is qualified to file short form prospectus that is a base shelf prospectus under section 2.2 of NI 44-102.
5. The Filer has a current annual information form and current annual financial statements (each as defined in section 1.1 of NI 44-101).
6. The Filer has filed (or has been deemed to have filed) the notice required by section 2.8 of NI 44-101 and such notice has not been withdrawn.
7. As of the date hereof, the Filer has two effective short form prospectuses that are base shelf prospectuses:
 - a. the short form base shelf prospectus of the Filer dated September 16, 2022 qualifying the issuance and sale of US\$3.5 billion aggregate principal amount of debt securities, class A preference shares, and class A limited voting shares of the Filer and debt securities of Brookfield Finance Inc., Brookfield Finance II Inc., Brookfield Capital Finance LLC, Brookfield Finance (Australia) Pty Ltd, Brookfield Finance I (UK) PLC and preferred shares of Brookfield Finance II LLC; and
 - b. the short form base shelf prospectus of the Filer dated July 30, 2021 qualifying the issuance and sale of US\$1 billion of class A exchangeable limited voting shares of Brookfield Asset Management Reinsurance Partners Ltd. and class A limited voting shares of the Filer (issuable or deliverable upon exchange, redemption or acquisition of class A exchangeable limited voting shares).
8. On October 6, 2022, the Filer filed on the System for Electronic Document Analysis and Retrieval a management information circular dated September 30, 2022 (the **Circular**) in respect of a plan of arrangement (the **Arrangement**) pursuant to which: (i) shareholders (the **Existing Shareholders**) of the Filer (at such time, known as Brookfield Asset Management Inc.) would receive shares of Brookfield Asset Management Ltd. (the **Manager**), while retaining their shares of the Filer; and (ii) the Manager would acquire a 25% interest in Brookfield Asset Management ULC (the **Asset Management Company**). The Filer would retain the remaining 75% interest in the Asset Management Company.
9. On November 9, 2022, the Filer held a special meeting of shareholders at which the shareholders of the Filer approved the Arrangement.
10. On December 9, 2022, the Filer completed the Arrangement. Prior to closing of the Arrangement, the Manager did not carry on an active business and had not issued any shares. Immediately following the Arrangement, the Existing Shareholders owned 100% of both the Filer and the Manager, which became a publicly-traded reporting issuer in all jurisdictions in Canada.
11. Subject to Item 11.1(3) of Form 44-101F1, Item 11.1(1)(7) of Form 44-101F1 requires the Filer to incorporate by reference in any Prospectus of the Filer any information circular filed by the Filer under Part 9 of National Instrument 51-102 *Continuous Disclosure Obligations* since the beginning of the financial year in respect of which the Filer's current AIF is filed. Item 11.1(3) of Form 44-101F1 permits, among other things, the Filer to exclude from any Prospectus an opinion contained in an information circular prepared in connection with a special meeting of securityholders if the opinion was prepared in respect of a specific transaction contemplated in the information circular, unrelated to the distribution of securities under the Prospectus, and the transaction has been completed. Accordingly, other than as permitted under 11.1(3) to exclude certain portions of the Circular, the Filer is required to incorporate by reference in any such Prospectus of the Filer the entire Circular from the date hereof until the Filer files an annual information form in respect of its financial year ended December 31, 2023.
12. Other than pursuant to the Exemption Sought, any Prospectus prepared by the Filer will comply with the applicable requirements of NI 44-101, NI 44-102 and Form 44-101F1, as applicable.

13. The **Excluded Sections** of the Circular are:
- a. “Information Concerning the Manager Post-Arrangement” starting on page 59 of the Circular;
 - b. “Other Matters to be Acted Upon” starting on page 66 of the Circular;
 - c. “Legal Matters” at page 92 of the Circular;
 - d. “Consents” at page 93 of the Circular;
 - e. “Appendix E – Information Concerning the Manager Post-Arrangement”;
 - f. “Appendix F – Consolidated Financial Statements of Brookfield Asset Management Ltd.”;
 - g. “Appendix G – Combined Consolidated Carve-Out Financial Statements of Brookfield Asset Management ULC”;
and
 - h. “Appendix H – Unaudited Condensed Combined Carve-Out Financial Statements of Brookfield Asset Management ULC”.
14. Since the Arrangement has now been completed and the Manager’s shares have been distributed, the information in the Excluded Sections that relates to the Manager is no longer relevant to potential purchasers of the Filer’s securities.
15. Both before and after the completion of the Arrangement, the results and financial position of the Asset Management Company are, and will be, consolidated by the Filer. As a result, the outdated, standalone financial information of the Asset Management Company contained in Appendix G and Appendix H of the Circular is neither material to investors in the Filer’s securities, nor necessary for the Filer to fulfill its obligation to provide full, true, and plain disclosure.
16. The Arrangement’s impact on the Filer’s financial position is the movement of the net book value of the Manager’s 25% interest in the Asset Management Company from the Filer’s common equity to non-controlling interests. This change is immaterial in the context of the Filer’s financial statements.
17. In addition, to the extent that the information in the Excluded Sections relates to the Asset Management Company, the information contained in the Excluded Sections is now outdated and superseded since the financial information relating to the Asset Management Company was as of June 30, 2022 and the Filer has now released its third quarter financial statements.
18. If portions of the Circular that name Canadian counsel and U.S. counsel will be incorporated by reference into a Prospectus, the Filer will file their written consents if required by and in accordance with paragraph 4.2(a)(vii) of NI 44-101 or subsection 7.2(2) of NI 44-102.
19. As such, incorporating by reference the Excluded Sections in a Prospectus is of no benefit to the Filer’s securityholders or potential purchasers of the Filer’s securities.

Decision

The principal regulator is satisfied that the decision meets the test set out in the Legislation for the principal regulator to make the decision.

The decision of the principal regulator under the Legislation is that the Exemption Sought is granted provided that:

- (a) at the time of filing any Prospectus, the Filer satisfies the basic qualification criteria as set out in section 2.2 of NI 44-101;
- (b) the Filer complies with all of the other applicable requirements of NI 44-101, NI 44-102 and Form 44-101F1, in respect of any Prospectus of the Filer (except as varied by this decision); and
- (c) the Filer discloses in each Prospectus that it has obtained exemptive relief from the requirement to incorporate by reference in such Prospectus the Excluded Sections of the Circular, and includes a statement identifying the decision and explaining how a copy of this decision can be obtained.

“Erin O’Donovan”
Manager, Corporate Finance
Ontario Securities Commission

OSC File #: 2022/0584

B.3.2 Fédération des caisses Desjardins du Québec

Headnote

Process for Exemptive Relief Applications in Multiple Jurisdictions – Fédération des caisses Desjardins du Québec – relief from the requirements under a) National Instrument 51-102 to file its annual and interim financial statements and accompanying annual and interim management’s discussion and analysis on the condition that it files annual and interim financial statements and accompanying management’s discussion and analysis of Desjardins Group; b) National Instrument 52-109 in respect of filing of the chief executive officer and chief financial officer certificates that the Filer has to file as it currently prepares its own annual and interim financial statements and accompanying management discussion and analysis; c) National Instrument 44-101 to have, in at least one jurisdiction in which it is a reporting issuer, current annual financial statements, in order to meet one of the qualification criteria for use of the short form prospectus regime under National Instrument 44-101; and d) National Instrument 44-102 to have, in at least one jurisdiction in which it is a reporting issuer, current annual financial statements, in order to meet one of the qualification criteria for use of the shelf prospectus regime under National Instrument 44-102.

Applicable Legislative Provisions

National Instrument 51-102, Parts 4, 5, 8.
National Instrument 52-109, ss. 4.2 and 5.2.
National Instrument 44-101, Part 2.
National Instrument 44-102, Part 2.

April 23, 2021

IN THE MATTER OF
THE SECURITIES LEGISLATION OF
QUÉBEC AND
ONTARIO
(the “Jurisdictions”)

AND

THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS
IN MULTIPLE JURISDICTIONS

AND

FÉDÉRATION DES CAISSES DESJARDINS DU QUÉBEC
(the “Filer”)

DECISION

Background

The securities regulatory authority or regulator in each of the Jurisdictions (the “**Decision Makers**”) has received an application (the “**Application**”) from the Filer for a decision under the securities legislation of the Jurisdictions (the “**Legislation**”) for the following relief (the “**Exemption Sought**”):

- (a) the requirements set forth in Parts 4 and 5 of Regulation 51-102 *respecting Continuous Disclosure Obligations*, c. V-1.1, r. 24 (“**Regulation 51-102**”) relating to the filing, on a stand-alone basis, of annual and interim financial statements (the “**Filer Financial Statements**”), along with the accompanying annual or interim management’s discussion and analysis (the “**Filer MD&As**”);
- (b) the requirements of Part 8 of Regulation 51-102 to (a) determine whether an acquisition or probable acquisition is a significant acquisition with reference to the Filer Financial Statements, and (b) present historical and *pro forma* financial statements in a business acquisition report using the Filer Financial Statements;
- (c) the requirements of sections 4.2 and 5.2 of *Regulation 52-109 respecting Certification of Disclosure in Issuers’ Annual and Interim Filings*, c. V-1.1, r. 27 (“**Regulation 52-109**”) in respect of filing of the chief executive officer and chief financial officer certificates that the Filer has to file as it currently prepares Filer Financial Statements and Filer MD&As;
- (d) the requirements of Part 2 of *Regulation 44-101 respecting Short Form Prospectus Distributions*, c. V-1.1, r. 16 (“**Regulation 44-101**”) for the Filer to have, in at least one jurisdiction in which it is a reporting issuer, current

annual financial statements, in order to meet one of the qualification criteria for use of the short form prospectus regime under Regulation 44-101; and

- (e) the requirements of Part 2 of *Regulation 44-102 respecting Shelf Distributions*, c. V-1.1, r. 17 (“**Regulation 44-102**”) which, by reference to the requirements of NI 44-101, require the Filer to have, in at least one jurisdiction in which it is a reporting issuer, current annual financial statements, in order to meet one of the qualification criteria for use of the shelf prospectus regime under Regulation 44-102.

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a dual application):

- (a) the *Autorité des marchés financiers* is the principal regulator for the Application (the “**Principal Regulator**” or the “**AMF**”);
- (b) the Filer has provided notice that subsection 4.7(1) of *Regulation 11-102 respecting the Passport System*, c. V-1.1, r. 1 (“**Regulation 11-102**”) is intended to be relied upon in British Columbia, Alberta, Saskatchewan, Manitoba, New Brunswick, Nova Scotia, Prince Edward Island and Newfoundland and Labrador; and
- (c) the decision is the decision of the Principal Regulator and evidences the decision of the securities regulatory authority or regulator in Ontario.

Interpretation

Terms defined in *Regulation 14-101 respecting Definitions*, c. V-1.1, r. 3 and Regulation 11-102 have the same meaning if used in this decision, unless otherwise defined.

“**stand-alone basis**” refers to the filing of the Filer Financial Statements, Filer MD&As and other financial information of the Filer only. The Filer Financial Statements currently present the financial position, financial performance and cash flows of the Filer and its subsidiaries on a consolidated basis, in accordance with International Financial Reporting Standards as issued by the International Accounting Standards Board (“**IFRS**”).

“**Canadian Securities Laws**” means the securities legislation applicable in each of the provinces of Canada, and the rules, regulations and national, multi-jurisdictional or local instruments, policy statements, published policies, notices, blanket rulings and orders of the Canadian securities regulatory authorities, and all discretionary rulings and orders applicable to the Filer granted by one or more of the Canadian securities regulatory authorities from time to time.

Representations

This decision is based on the following facts represented by the Filer:

1. The Filer is a federation of financial services cooperatives amalgamated under the *Act respecting financial services cooperatives* (Québec), c. 67.3 (the “**Cooperatives Act**”).
2. The Filer’s head office is located in the province of Québec.
3. The Filer is a reporting issuer in all of the provinces of Canada and is not in default of securities legislation in any of those jurisdictions.
4. The mission of the Filer is to, among other things, (i) protect the interests of the Desjardins credit unions, including the Caisse Desjardins Ontario Credit Union Inc. (collectively, the “**Desjardins Caisses**”), (ii) act as a control and supervisory body over the Desjardins Caisses, (iii) see to the orderly development of the financial services cooperatives’ network, (iv) define common objectives for, and look after the risk management of, the Desjardins Group (as defined below), and coordinate its activities, and (v) see to the financial health of the Groupe coopératif Desjardins (as defined below) and its sustainability. The Filer also provides the Desjardins Caisses with a variety of services, including certain technical, financial and administrative services.
5. As at December 31, 2020, there were 219 member Desjardins Caisses.
6. The Filer’s outstanding share capital is composed of various classes of capital shares, all of which are owned or controlled by members and auxiliary members of the Filer or of the Desjardins Caisses.
7. The financial group to which the Filer belongs is called the “**Desjardins Group**”. For the purpose of this decision, the Desjardins Group is comprised of the Filer and its subsidiaries, the Desjardins Caisses in Québec (the “**Québec Caisses**”), the Fonds de sécurité Desjardins and the Caisse Desjardins Ontario Credit Union Inc. (the “**Ontario Caisse**”). The Desjardins Group is not a single legal entity nor a reporting issuer.

B.3: Reasons and Decisions

8. Founded in 1900, the Desjardins Group is the largest financial cooperative group in North America, with assets of \$362.0 billion as at December 31, 2020. The Desjardins Group employs more than 48,900 employees.
9. In June 2013, the Principal Regulator determined that the Desjardins Group met the criteria to be designated a domestic systemically important financial institution, which subjects the Desjardins Group to additional capital requirements as well as enhanced supervision and disclosure requirements, much like those of Canadian banks that are declared to be domestic systemically important banks by the Office of the Superintendent of Financial Institutions.
10. The cooperative group to which the Filer belongs, including for purposes of the Cooperatives Act, is called the “**Groupe coopératif Desjardins**”. The Groupe coopératif Desjardins is comprised of the Filer, the Québec Caisses and the Fonds de sécurité Desjardins. For the purpose of this decision, Groupe coopératif Desjardins includes the subsidiaries of the Filer.
11. The Groupe coopératif Desjardins is not a single legal entity nor a reporting issuer. The Groupe coopératif Desjardins is the cooperative group of the Desjardins network in Québec.
12. The delineation of the Groupe coopératif Desjardins results from the jurisdictional reach of the Cooperatives Act, which in concept cannot encompass unconsolidated financial services cooperatives outside of Québec. Accordingly, the Ontario Caisse does not form part of the Groupe coopératif Desjardins.
13. While the Ontario Caisse is not part of the Groupe coopératif Desjardins, it is a Filer «participating auxiliary member” within the Desjardins Group. As such, the Ontario Caisse is required to implement, and has implemented, all necessary actions to benefit from the same rights and comply with the same obligations as the Québec Caisses (subject to the requirements as set out in applicable Ontario laws), as provided for in agreements entered into between the Filer and the Ontario Caisse.
14. The amount dedicated to member dividend payments of the Desjardins Caisses is proportionate to the level of surplus earnings of the Desjardins Group. Therefore, it takes into consideration the performance of the Desjardins Group as a whole.
15. The Ontario Caisse is the only entity outside the scope of the Groupe coopératif Desjardins that is combined in the Group Financial Statements.
16. The Financial Services Regulatory Authority of Ontario (“FSRA”) regulates the Ontario Caisse in accordance with the framework applicable to credit unions and caisses populaires subject to Ontario laws. Such regulatory framework includes, without limitation, comprehensive requirements relating to capital adequacy, liquidity, and deposit insurance. In this respect, the Ontario Caisse relies on the Filer for capital and compliance support, and the obligations of the Ontario Caisse under the FSRA regulatory framework are monitored by the Filer in its capacity as control and supervisory body for the Desjardins Caisses.
17. The Fonds de sécurité Desjardins is part of the Desjardins Group and the Groupe coopératif Desjardins. It was created in 1980 by the Desjardins Group to reinforce the financial security of the Québec Caisses. It is an independent entity whose reserves collectively support all of the Québec Caisses. It is not a reporting issuer.
18. The Fonds de sécurité Desjardins plays an essential role in the Desjardins Group, as it (i) ensures the distribution of capital and other assets between the legal persons belonging to the Groupe coopératif Desjardins as defined in the Cooperatives Act as well as allows each such legal person to perform its obligations to its depositors and other creditors in full, correctly and without delay, and (ii) intervenes with regard to a member of the Groupe coopératif Desjardins as defined in the Cooperatives Act each time it appears necessary to do so in order to protect such member’s creditors.
19. As a reporting issuer, the Filer currently reports financial information in compliance with Regulation 51-102 and Regulation 52-109 and prepares Filer Financial Statements in accordance with IFRS as required under *Regulation 52-107 respecting Acceptable Accounting Principles and Auditing Standards*, c. V-1.1, r. 25 (“**Regulation 52-107**”).
20. Although not a reporting issuer, the Desjardins Group has established internal controls and procedures consistent with Regulation 52-109 to, among other things, demonstrate its willingness to comply with best practices in financial governance. The Desjardins Group prepares combined financial statements in accordance with IFRS as required under Regulation 52-107 (the “**Group Financial Statements**”), and corresponding management’s discussion and analysis (the “**Group MD&As**”). The Group Financial Statements and Group MD&As have been incorporated in offering materials and filed with securities regulatory authorities (including on SEDAR) in connection with offerings of debt securities completed by the Filer and other entities comprising the Desjardins Group since 2002. In practice, the Filer believes that the Group Financial Statements and Group MD&As have been for several years considered by market participants as the most relevant source of financial information in respect of the Filer.

21. The Desjardins Audit and Inspection Commission (the “**AIC**”) is the Filer’s “audit committee” as required under *Regulation 52-110 respecting Audit Committees*, c. V-1.1, r. 28 (“**Regulation 52-110**”). The AIC reviews the Filer Financial Statements, Filer MD&As, Group Financial Statements and Group MD&As. It is also responsible for ensuring that management has designed and implemented an effective internal control system with respect to, among others, financial reporting, and ensures that management has set up systems to manage the principal risks that may influence the financial results of the Desjardins Caisses network and Desjardins Group. The Filer considers that the Group Financial Statements, Group MD&As and related financial information constitute financial statements of the Filer for purposes of Regulation 52-110 and the AIC exercises its review and oversight responsibilities accordingly. In addition, with respect to Desjardins Group’s relations with the AMF, as prudential regulator, the AIC reviews and follows up on the inspection reports issued by the AMF and reviews the financial reports that are submitted each quarter to the AMF. The AIC is composed entirely of independent directors of the Filer.
22. The Desjardins Group is an integrated financial services group, which in and of itself is a complete, distinct economic entity. The Desjardins Group prepares combined financial statements in accordance with IFRS to present the financial position, the financial performance and the cash flows of the Desjardins Group as an economic entity. The Group Financial Statements are a combination of the accounts of the Filer and its subsidiaries, the Desjardins Caisses and the Fonds de sécurité Desjardins. The capital stock of the Desjardins Group represents the aggregate of the capital stock issued externally by the Filer and the Desjardins Caisses.
23. Given the nature of the Filer as a control and supervisory body for the Desjardins Caisses and as the “core” entity which binds the other entities within the Desjardins Group, the Filer considers that changes in its affairs and changes in the affairs of the Desjardins Group are one and the same and that a material change in the affairs of the Filer would result in a material change in the affairs of the Desjardins Group, and vice versa. As such, the Exemption Sought is not expected to alter how the Filer would continue to meet the timely disclosure and material change report requirements under Regulation 51-102. For similar reasons, the Exemption Sought is not expected to alter how the Filer would continue to meet requirements relating to the preparation of annual information forms under Regulation 51-102, and annual information forms of the Filer would continue to include extensive disclosure relating to the Desjardins Group and its various components. By nature, the Filer does not hold meetings of holders of voting securities within the meaning of Regulation 51-102 or applicable corporate law and as such does not prepare or file management information circulars.
24. The Desjardins Caisses are also required to fund the Filer through basic annual assessments for each fiscal year. Assessments may also increase the general and stabilization reserves of the Filer, to the extent deemed necessary to maintain a sound financial profile. The organizational documents to which Desjardins Caisses are subject contain the terms and conditions for the establishment and payment of basic assessments. In addition to the basic assessments which the Desjardins Caisses are bound to pay as per the organizational documents applicable to all Desjardins Caisses, the Filer may establish any such assessment it considers necessary for the pursuit of its missions. Furthermore, entities within the scope of the Groupe coopératif Desjardins, as defined in the Cooperatives Act, are bound by the Cooperatives Act to pay such assessments.
25. The Fonds de sécurité Desjardins levies and collects assessments from the Québec Caisses annually in the normal course and may pool the cost of its interventions between financial services cooperatives that are part of the Groupe coopératif Desjardins as defined in the Cooperatives Act. In addition, the Fonds de sécurité Desjardins may take (and the Filer may request the Fonds de sécurité Desjardins to take) any special intervention measures granted to it under the Cooperatives Act, including the right for the Fonds de sécurité Desjardins to determine and collect assessments from the Québec Caisses. Such caisses are bound by the Cooperatives Act to pay such assessments.
26. The Cooperatives Act also provides that the Filer, the Fonds de sécurité Desjardins and the Québec Caisses may only be wound up by amalgamating all such entities into a single legal person to be wound-up (the “**Universal Liquidation Mechanism**”).
27. In the case of the Ontario Caisse, solidarity mechanisms are found under the organizational documents applicable to all Desjardins Caisses, which provide for assessments and other support and control provisions applicable to all Desjardins Caisses (and which pre-date the additional layer of mandatory provisions that the Cooperatives Act now provide for in respect of Québec Caisses). Such solidarity mechanisms in respect of the Ontario Caisse are also provided under agreements between the Filer and the Ontario Caisse (the “**Contractual Financial Solidarity Mechanisms**”).
28. In addition, *de facto* perennial solidarity among the Ontario Caisse and the other entities comprising Desjardins Group is also achieved for reasons that are not related to the legal nature of solidarity mechanisms (the “**de facto Perennial Solidarity**”). The Ontario Caisse is not material to Desjardins Group from a financial or operational perspective, is dependent on the Filer and cannot reasonably be construed as an operation severable from that of the Desjardins Group. The Ontario Caisse is highly integrated within the Desjardins Group. The financial products and services offered by the Ontario Caisse are Desjardins products and services which require ongoing structuring, capital and support from the Filer and Desjardins Group. The Ontario Caisse also relies on the Filer in whole or in part for all specialized business functions. As a result, in the opinion of the Filer, it is highly unlikely that the Ontario Caisse could fail to fulfill its obligations to the

Desjardins Group in respect of assessments for a prolonged period of time or otherwise evade Filer oversight and direction with respect to its operations and capital management. Furthermore, the Ontario Caisse is fully integrated within the Desjardins Group and the network of Desjardins Caisses from the perspective of the public, as the Ontario Caisse members access the same financial products and services as other members and such product and services are offered under the Desjardins brand. The failure or material default of any Desjardins Caisse, including the Ontario Caisse, could be expected to severely compromise the Desjardins Group as a whole, both reputationally and operationally. In such a case, depositor confidence could be affected, leading to significant withdrawals and increases in wholesale funding costs. As such, in the opinion of the Filer, it is highly unlikely that the Ontario Caisse could be forced into winding-up or otherwise fail as an operation, as the Filer would inevitably intervene in advance and have ample wherewithal to do so.

29. The system of assessments, together with the Universal Liquidation Mechanism, the Contractual Financial Solidarity Mechanisms and the *de facto* Perennial Solidarity form the basis of the financial solidarity mechanisms that are the foundation of the Desjardins Group.
30. Pursuant to the *Deposit Institutions and Deposit Protection Act* (the “**Deposit Institutions Act**”), the AMF is responsible for any resolution operations in respect of the Groupe coopératif Desjardins as defined in the Cooperatives Act. The objective of such operations is to ensure the sustainability of deposit institution activities in the event of failure and without recourse to public funds.
31. In the event of the resolution of the Filer, the Principal Regulator may exercise several powers, including those conferred upon it under section 40.50 of the Deposit Institutions Act in respect of debt securities of the Filer that are bail-in instruments (the “**Bail-in Powers**”) (as prescribed by the *Regulation respecting the classes of negotiable and transferable unsecured debts and the issuance of such debts and of shares (Québec)*). Because of the jurisdictional reach of the Deposit Institutions Act, the Bail-in Powers do not formally extend to the Ontario Caisse.
32. The Filer is subject to the capital adequacy guidelines of the Principal Regulator (in its capacity as regulator of certain financial services cooperatives) (the “**AMF Guidelines**”), consistent with the Third Basel Accord (Basel III). For purposes of computing regulatory capital in accordance with the AMF Guidelines, the Principal Regulator looks to the Desjardins Group as a whole, using the Group Financial Statements, as opposed to the Filer Financial Statements, as the Group Financial Statements combine the accounts of, *inter alios*, the Desjardins Caisses, the Filer and its subsidiaries, and the Fonds de sécurité Desjardins, as required by the AMF Guidelines.
33. The Cooperatives Act and the requirements of the Principal Regulator provide that the sound and prudent management practices that must be adhered to by the financial services cooperatives belonging to the Desjardins Group must provide for the maintenance of adequate capital to ensure the financial services cooperatives' network's sustainability (as opposed to the Filer's). Furthermore, the Cooperatives Act provides that AMF may require the Filer to adopt, to the AMF's satisfaction, a compliance program, where the AMF considers that the capital of the financial services cooperatives' network is not adequate to ensure its sustainability.
34. The Filer believes that the Filer Financial Statements and Filer MD&As do not provide securityholders, creditors and depositors with an adequate picture of the financial condition, performance and cash flows of the relevant economic entity with which they engage.
35. The Filer believes that as a result of the various financial solidarity mechanisms among entities part of the Desjardins Group, securityholders, depositors and creditors of the Desjardins Group are directly or indirectly protected by the collective capitalization of the Desjardins Group and its components, as opposed to that of the Filer only. In addition, the Filer believes that the financial information, particularly on the individual capitalization of each of the entities within the Desjardins Group (including that of the Filer), does not provide securityholders, creditors and depositors with an adequate picture of the capital available to support deposits and investments.
36. The financial information most relevant and useful to securityholders, depositors and creditors of the Filer is that of the Desjardins Group, as it (i) presents the financial information of the Filer combined with that of the other entities comprised in the Desjardins Group which are required to participate in the various financial solidarity mechanisms applicable to Desjardins Group as described herein and in the Application, and (ii) faithfully represents the risks to which such persons are exposed, including as a result of the financial solidarity mechanisms applicable to the Desjardins Group.

Decision

Each of the Decision Makers is satisfied that the decision meets the test set out in the Legislation for the Decision Makers to make the decision.

The decision of the Decision Makers under the Legislation is that the Exemption Sought is granted, provided that:

- (a) the Group Financial Statements and the Group MD&As be filed and delivered, as applicable, by the Filer in lieu and place of the Filer Financial Statements and Filer MD&As, in accordance with Regulation 51-102, and the

- Filer maintains in respect of same internal controls over financial reporting as well as disclosure controls and procedures in compliance with Regulation 52-109;
- (b) the Filer uses the Group Financial Statements and the Group MD&As for all relevant purposes under applicable Canadian Securities Laws (including under Regulation 51-102, Regulation 52-109, Regulation 44-101 and Regulation 44-102);
 - (c) any entity outside the scope of the Groupe coopératif Desjardins included in the Group Financial Statements must be (and continue to be) subject to contractual arrangements that allow the Filer to exercise supervisory powers over such entities for the purpose of facilitating the AMF's role as prudential regulator over the entities within the scope of the Groupe coopératif Desjardins;
 - (d) each of the following consolidated items of any entity outside the scope of the Groupe coopératif Desjardins included in the Group Financial Statements represent, relative to the corresponding combined items of Desjardins Group, no more than 10% of such corresponding combined items of Desjardins Group, calculated using the Group Financial Statements, for the periods covered by the combined interim financial report or combined annual financial statements of Desjardins Group, as the case may be, and the applicable quarterly or annual stand-alone financial statements of the applicable entity outside the scope of the Groupe coopératif Desjardins: (i) total income; (ii) total assets; and (iii) total liabilities;
 - (e) the Filer provides in each Group MD&As quarterly or annual (as applicable) summary financial information regarding the total income, total assets and liabilities, total equity, net surplus earnings before member dividend and net surplus earnings after member dividend of the Ontario Caisse (together with any other entity outside the scope of the Groupe coopératif Desjardins included in the Group Financial Statements) relative to the total income, total assets and liabilities, total equity, net surplus earnings before member dividend and net surplus earnings after member dividend of Desjardins Group; and
 - (f) the Principal Regulator continues to recognize the Desjardins Group as a domestic systemically important financial institution under the regulatory framework applicable in the province of Québec.

“Benoît Gascon”
Directeur principal du financement des sociétés
Autorité des marchés financiers

OSC File #: 2021/0213

B.3.3 Énergir Inc.

Headnote

Regulation 11-102 respecting Passport System and Policy Statement 11-203 respecting Process for Exemptive Relief Applications in Multiple Jurisdictions – Regulation 52-107 respecting Acceptable Accounting Principles and Auditing Standards (Regulation 52-107), s. 5.1 – the Filer applied for relief from the requirements in section 3.2 of Regulation 52-107 that financial statements be prepared in accordance with Canadian GAAP applicable to publicly accountable enterprises to permit the Filer to prepare its financial statements in accordance with U.S. GAAP.

Applicable Legislative Provisions

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions.

[TRANSLATION]

DECISION N°: 2023-FS-1015380
March 14, 2023

IN THE MATTER OF
THE SECURITIES LEGISLATION OF
QUÉBEC AND
ONTARIO
(the Jurisdictions)

AND

IN THE MATTER OF
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS
IN MULTIPLE JURISDICTIONS

AND

IN THE MATTER OF
ÉNERGIR INC.
(the Filer)

DECISION

Background

The securities regulatory authority or regulator in each of the Jurisdictions (each a **Decision Maker**) has received an application from the Filer for a decision under the securities legislation of the Jurisdictions (the **Legislation**) for an exemption (the **Exemption Sought**) from the requirements of section 3.2 of *Regulation 52-107 respecting Acceptable Accounting Principles and Auditing Standards*, CQLR, c. V-1.1, r. 25 (**Regulation 52-107**) that the financial statements of the Filer: a) be prepared in accordance with Canadian generally accepted accounting principles (**Canadian GAAP**) applicable to publicly accountable enterprises; and b) disclose an unreserved statement of compliance with IFRS in the case of annual financial statements and an unreserved statement of compliance with IAS 34 in the case of an interim financial report. The Exemption Sought is similar to the exemption granted by the Decision Makers under the Legislation to the Filer on March 9, 2018 (the **U.S. GAAP Relief**).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a dual application):

1. The Autorité des marchés financiers is the principal regulator for this application;
2. The Filer has provided notice that subsection 4.7(1) of *Regulation 11-102 respecting Passport System*, CQLR, c. V-1.1, r. 1 (**Regulation 11-102**) is intended to be relied upon in British Columbia, Alberta, Saskatchewan, Manitoba, Nova Scotia, New Brunswick, Prince Edward Island, Newfoundland and Labrador, Yukon, the Northwest Territories and Nunavut (the **Passport Jurisdictions**);
3. The decision is the decision of the principal regulator and evidences the decision of the securities regulatory authority or regulator in Ontario.

Interpretation

The terms defined in *Regulation 14-101 respecting Definitions*, CQLR, c. V-1.1, r. 3, Regulation 11-102 and Regulation 52-107 have the same meaning if used in this decision, unless otherwise defined.

“Rate-regulated activities” has the meaning ascribed in the Chartered Professional Accountants of Canada Handbook (the **Handbook**).

Representations

This decision is based on the following facts represented by the Filer:

1. The Filer is a corporation existing under the *Business Corporations Act* (Québec), R.S.Q., c. S-31.1. The head office of the Filer is in Montréal, Québec.
2. The Filer is a reporting issuer in the Jurisdictions and each of the Passport Jurisdictions and is not in default of securities legislation in any jurisdiction.
3. The Filer undertakes rate-regulated activities.
4. The Filer is not a SEC issuer and therefore cannot rely on section 3.7 of Regulation 52-107 to file financial statements prepared in accordance with U.S. GAAP.
5. The Filer currently prepares its financial statements for annual and interim periods in accordance with U.S. GAAP, relying on the U.S. GAAP Relief.
6. The U.S. GAAP Relief will cease to apply to the Filer on the earliest of:
 - a) January 1, 2024;
 - b) if the Filer ceases to have activities subject to rate regulation, the first day of the Filer's financial year that commences after the Filer ceases to have activities subject to rate regulation;
 - c) the effective date prescribed by the International Accounting Standards Board (the **IASB**) for the mandatory application of a standard within IFRS specific to entities with activities subject to rate regulation.
7. Accordingly, in the absence of further relief provided by Canadian securities regulators, the Filer would become subject to Canadian GAAP no later than January 1, 2024. Canadian GAAP includes IFRS as incorporated into the Handbook.
8. In January 2021, the IASB published the Exposure Draft – Regulatory Assets and Regulatory Liabilities, which introduces a proposed standard of accounting for regulatory assets and liabilities, applicable to entities with rate-regulated activities. The issuance by the IASB of a standard within IFRS for entities with rate-regulated activities (a **Mandatory Rate-regulated Standard**) would have resulted in the expiry of the U.S. GAAP Relief, giving rise to the obligation of the Filer to commence financial statement preparation and reporting in accordance with IFRS pursuant to Regulation 52-107. It is not yet known when the IASB will finalize and implement such a standard and the Filer will require sufficient time to: (a) interpret and implement such standard and transition from financial statement preparation and reporting in accordance with U.S. GAAP to IFRS; and (b) interpret and reconcile the implications on the customer rate setting process resulting from the implementation.

Decision

Each of the Decision Makers is satisfied that the decision meets the test set out in the Legislation for the Decision Makers to make the decision.

The decision of the Decision Makers under the Legislation is that:

1. The U.S. GAAP Relief is revoked;
2. The Exemption Sought is granted to the Filer in respect of the Filer's financial statements required to be filed on or after the date of this decision, provided that the Filer prepares those financial statements in accordance with U.S. GAAP; and
3. The Exemption Sought will terminate in respect of the Filer on the earliest of the following:
 - a) January 1, 2027;
 - b) if the Filer ceases to have rate-regulated activities, the first day of the Filer's financial year that commences after the Filer ceases to have rate-regulated activities; and
 - c) the first day of the Filer's financial year that commences on or following the later of:
 - i) the effective date prescribed by the IASB for a Mandatory Rate-regulated Standard; and
 - ii) two years after the IASB publishes the final version of a Mandatory Rate-regulated Standard.

"Benoît Gascon"
Senior Director, Corporate Finance

OSC File #: 2023/0047

B.3.4 CIBC Asset Management Inc. et al.

Headnote

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – relief granting extensions of the lapse dates of two prospectuses to permit their incorporation into a third prospectus when it is renewed – relief granted from subsection 5.1(4) of NI 81-101 to permit simplified prospectus of alternative mutual funds to be consolidated with simplified prospectus of mutual funds that are not alternative mutual funds.

Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S. 5, as am., s. 62(5).

National Instrument 81-101 Mutual Fund Prospectus Disclosure, ss. 5.1(4) and 6.1.

March 16, 2023

**IN THE MATTER OF
THE SECURITIES LEGISLATION OF
ONTARIO
(the Jurisdiction)**

AND

**IN THE MATTER OF
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS
IN MULTIPLE JURISDICTIONS**

AND

**IN THE MATTER OF
CIBC ASSET MANAGEMENT INC.
(the Filer)**

AND

**CIBC ALTERNATIVE FUNDS AND
CIBC FIXED INCOME FUNDS AS SET OUT IN SCHEDULE A**

DECISION

Background

The principal regulator in the Jurisdiction has received an application from the Filer on behalf of the CIBC Fixed Income Funds and CIBC Alternative Funds (the **Current Alternative Funds** and, together with the CIBC Fixed Income Funds, the **Funds** and each, a **Fund**) and any alternative mutual fund established or restructured in the future and managed by the Filer or an affiliate of the Filer (collectively with the Current Alternative Funds, the **Alternative Funds**) for a decision under the securities legislation of the Jurisdiction (the **Legislation**) that:

- (a) the time limits for the renewal of each simplified prospectus and fund facts document of the Funds (each, a **Current Prospectus**) be extended to those time limits that would apply if the lapse date was August 26, 2023 (the **Lapse Date Extension**); and
- (b) grants relief to the Alternative Funds from the requirement in subsection 5.1(4) of National Instrument 81-101 *Mutual Fund Prospectus Disclosure (NI 81-101)* that states that a simplified prospectus for an alternative mutual fund must not be consolidated with a simplified prospectus of another mutual fund if the other mutual fund is not an alternative mutual fund (the **Simplified Prospectus Consolidation** and, together with the Lapse Date Extension, the **Exemption Sought**).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

- (a) the Ontario Securities Commission is the principal regulator for this application; and
- (b) the Filer has provided notice that subsection 4.7(1) of Multilateral Instrument 11-102 Passport System (**MI 11-102**) is intended to be relied upon in each of the other provinces and territories of Canada (the **Other Jurisdictions** and together with Ontario, the **Canadian Jurisdictions**).

Interpretation

Terms defined in National Instrument 14-101 Definitions, MI 11-102, and National Instrument 81-102 Investment Funds (**NI 81-102**) have the same meaning if used in this decision, unless otherwise defined.

Representations

This decision is based on the following facts represented by the Filer:

The Filer

1. The Filer is a corporation incorporated under the laws of Canada and has its head office located in Toronto, Ontario.
2. The Filer is registered as follows: (i) as an investment fund manager in each of the provinces of Quebec, Ontario and Newfoundland and Labrador, (ii) as a portfolio manager in all Canadian Jurisdictions, (iii) as a commodity trading manager in Ontario and (iv) as a derivatives portfolio manager in Quebec.
3. The Filer is the trustee and investment fund manager of the Funds.
4. The Filer is also the trustee and investment fund manager of the Renaissance Investments family of funds, the Axiom Portfolios, the Renaissance Private Pools and the CIBC Fixed Income Pools as listed in Schedule A (collectively, the **Other Funds**), which are offered in each of the Canadian Jurisdictions under a simplified prospectus dated August 26, 2022 (the **Other Funds Prospectus**) and so have a lapse date of August 26, 2023.
5. Neither the Filer nor any of the Funds is in default of securities legislation in any of the Canadian Jurisdictions.

The Funds

6. Each of the Funds is an open-end mutual fund trust established under the laws of the Province of Ontario and is a reporting issuer in each of the Canadian Jurisdictions.
7. Securities of the CIBC Fixed Income Funds are currently qualified for distribution in each of the Canadian Jurisdictions under a simplified prospectus dated May 6, 2022 (the **CFIF Prospectus**).
8. Securities of the Current Alternative Funds are currently qualified for distribution in each of the Canadian Jurisdictions under a simplified prospectus dated June 3, 2022, as amended on November 21, 2022 (collectively, the **Alternative Funds Prospectus** and together with the CFIF Prospectus, the **Current Prospectuses**).
9. Pursuant to subsection 62(1) of the *Securities Act (Ontario)* (the **Act**), the lapse date of the CFIF Prospectus is May 6, 2023 (the **CFIF Prospectus Lapse Date**) and the lapse date of the Alternative Funds Prospectus is June 3, 2023 (the **Alternative Funds Prospectus Lapse Date** and, together with the CFIF Prospectus Lapse Date, the **Current Lapse Dates**). Accordingly, under subsection 62(2) of the Act, the distribution of securities of each Fund would have to cease on its respective Current Lapse Date unless: (i) the Fund files a pro forma simplified prospectus at least 30 days prior to its Current Lapse Date; (ii) the Fund files a final simplified prospectus no later than 10 days after its Current Lapse Date; and (iii) a receipt for the final simplified prospectus is obtained by a Fund within 20 days after its Current Lapse Date.

Reasons for the Lapse Date Extension

10. The Filer wishes to combine each of the CFIF Prospectus and the Alternative Fund Prospectus with the Other Funds Prospectus in order to reduce renewal, printing and related costs. Offering the Funds under the same renewal simplified prospectus as the Other Funds would facilitate the distribution of the Funds in the Canadian Jurisdictions under the same prospectus and enable the Filer to streamline disclosure across the Filer's fund platform.
11. Even though the Current Alternative Funds are alternative mutual funds, the Funds share many common operational and administrative features with each other and with the Other Funds and combining them in the same simplified prospectus will allow investors to more easily compare the features of the Other Funds and the Funds.
12. The Filer may make changes to the features of the Other Funds as part of the process of renewing the Other Funds Prospectus. The ability to combine the simplified prospectuses of the Funds with that of the Other Funds will ensure that the Filer can make the operational and administrative features of the Funds and the Other Funds consistent with each other, if necessary.
13. If the Lapse Date Extension is not granted, it will be necessary to renew the CFIF Prospectus and the Alternative Funds Prospectus twice within a short period of time in order to consolidate the CFIF Prospectus and the Alternative Funds Prospectus with the Other Funds Prospectus and it would be unreasonable for the Filer to incur the costs and expenses associated therewith, given investors would not be prejudiced by the Lapse Date Extension.

B.3: Reasons and Decisions

14. There have been no material changes in the affairs of the Funds since the date of each Fund's respective Current Prospectus, other than as described in the amendment to the Alternative Funds Prospectus. Accordingly:
 - (a) the CFIF Prospectus and current fund facts document(s) of each of the CIBC Fixed Income Funds represent current information regarding the CIBC Fixed Income Funds, and
 - (b) the Alternative Funds Prospectus and current fund facts document(s) of each of the Current Alternative Funds represent current information regarding the Current Alternative Funds.
15. Given the disclosure obligations of the Filer and the Funds, should any material change in the business, operations or affairs of any of the Funds occur, the applicable Current Prospectus and related fund facts document(s) of the impacted Fund(s) will be amended as required under the Act.
16. New investors of the Funds will receive delivery of the most recently filed fund facts document(s) of the applicable Fund(s). The Current Prospectuses of the Funds will remain available to investors upon request.
17. The Lapse Date Extension will not affect the accuracy of the information contained in the Current Prospectuses or fund facts document(s) of each of the Funds, and will therefore not be prejudicial to the public interest.

Reasons for the Simplified Prospectus Consolidation

18. The Filer wishes to combine the simplified prospectus of the Alternative Funds with the simplified prospectus of the mutual funds existing today (currently, the Other Funds Prospectus) or created in the future (i) that are reporting issuers to which NI 81-101 and NI 81-102 apply, (ii) that are not alternative mutual funds, and (iii) for which the Filer acts as the investment fund manager (the **Conventional Mutual Funds**), in order to reduce renewal, printing and related costs. Offering the Alternative Funds using the same simplified prospectus as the majority of the mutual funds managed by the Filer would facilitate the distribution of the Alternative Funds in the Canadian Jurisdictions under the same prospectus disclosure and enable the Filer to streamline disclosure across the Filer's fund platform.
19. Even though the Alternative Funds are, or will be, alternative mutual funds, they share, or will share, many common operational and administrative features with the Conventional Mutual Funds and combining them in the same simplified prospectus will allow investors to more easily compare the features of the Alternative Funds and the Conventional Mutual Funds.
20. Investors will continue to receive a fund facts document when purchasing securities of an Alternative Fund as required by applicable securities legislation. The form and content of the fund facts document of the Alternative Funds will not change as a result of the Simplified Prospectus Consolidation.
21. The simplified prospectus of the Alternative Funds will continue to be provided to investors, upon request, as required by applicable securities legislation.
22. National Instrument 41-101 General Prospectus Requirements (**NI 41-101**) does not contain a provision equivalent to subsection 5.1(4) of NI 81-101. Accordingly, an investment fund manager that manages exchange-traded funds (**ETFs**) is permitted to consolidate a prospectus under NI 41-101 for its ETFs that are alternative mutual funds with a prospectus for its ETFs that are conventional mutual funds. The Filer submits that there is no reason why mutual funds filing a prospectus under NI 81-101 should be treated differently from ETFs filing a prospectus under NI 41-101.

Decision

The principal regulator is satisfied that the decision meets the test set out in the Legislation for the principal regulator to make the decision.

The decision of the principal regulator under the Legislation is that the Exemption Sought is granted.

"Darren McKall"
Manager, Investment Funds and Structured Products Branch
Ontario Securities Commission

Application File #: 2023/0093

Schedule A

CIBC ALTERNATIVE FUNDS

CIBC Multi-Asset Absolute Return Strategy
CIBC Alternative Credit Strategy

CIBC FIXED INCOME FUNDS

CIBC Diversified Fixed Income Fund
CIBC Global Credit Fund
CIBC Emerging Markets Local Currency Bond Fund

OTHER FUNDS

RENAISSANCE INVESTMENTS FAMILY OF FUNDS

Renaissance Money Market Fund
Renaissance U.S. Money Market Fund
Renaissance Short-Term Income Fund
Renaissance Canadian Bond Fund
Renaissance Real Return Bond Fund
Renaissance Corporate Bond Fund
Renaissance U.S. Dollar Corporate Bond Fund
Renaissance High-Yield Bond Fund
Renaissance Floating Rate Income Fund
Renaissance Flexible Yield Fund
Renaissance Global Bond Fund
Renaissance Canadian Balanced Fund
Renaissance U.S. Dollar Diversified Income Fund
Renaissance Optimal Conservative Income Portfolio
Renaissance Optimal Income Portfolio
Renaissance Optimal Growth & Income Portfolio
Renaissance Canadian Dividend Fund
Renaissance Canadian Monthly Income Fund
Renaissance Diversified Income Fund
Renaissance High Income Fund
Renaissance Canadian Core Value Fund
Renaissance Canadian Growth Fund
Renaissance Canadian All-Cap Equity Fund
Renaissance Canadian Small-Cap Fund
Renaissance U.S. Equity Income Fund
Renaissance U.S. Equity Value Fund
Renaissance U.S. Equity Growth Fund
Renaissance U.S. Equity Growth Currency Neutral Fund
Renaissance U.S. Equity Fund
Renaissance International Dividend Fund
Renaissance International Equity Fund
Renaissance International Equity Currency Neutral Fund
Renaissance Global Markets Fund
Renaissance Optimal Global Equity Portfolio
Renaissance Global Growth Fund
Renaissance Global Growth Currency Neutral Fund
Renaissance Global Focus Fund
Renaissance Global Small-Cap Fund
Renaissance China Plus Fund
Renaissance Emerging Markets Fund
Renaissance Optimal Inflation Opportunities Portfolio
Renaissance Global Infrastructure Fund
Renaissance Global Infrastructure Currency Neutral Fund
Renaissance Global Real Estate Fund
Renaissance Global Real Estate Currency Neutral Fund
Renaissance Global Health Care Fund
Renaissance Global Science & Technology Fund

AXIOM PORTFOLIOS

Axiom Balanced Income Portfolio
Axiom Diversified Monthly Income Portfolio
Axiom Balanced Growth Portfolio
Axiom Long-Term Growth Portfolio
Axiom Canadian Growth Portfolio
Axiom Global Growth Portfolio
Axiom Foreign Growth Portfolio
Axiom All Equity Portfolio

RENAISSANCE PRIVATE POOLS

Renaissance Ultra Short-Term Income Private Pool
Renaissance Canadian Fixed Income Private Pool
Renaissance Multi-Sector Fixed Income Private Pool
Renaissance Global Bond Private Pool
Renaissance Multi-Asset Global Balanced Income Private Pool
Renaissance Multi-Asset Global Balanced Private Pool
Renaissance Equity Income Private Pool
Renaissance Canadian Equity Private Pool
Renaissance U.S. Equity Private Pool
Renaissance U.S. Equity Currency Neutral Private Pool
Renaissance International Equity Private Pool
Renaissance Global Equity Private Pool
Renaissance Emerging Markets Equity Private Pool
Renaissance Real Assets Private Pool

CIBC FIXED INCOME POOLS

CIBC Conservative Fixed Income Pool
CIBC Core Fixed Income Pool
CIBC Core Plus Fixed Income Pool

B.3.5 CI Investments Inc.

Headnote

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – Relief from sections 6.8(1) and 6.8(2)(c) of NI 81-102 exempting an investment fund from margin deposit limits to invest in specified futures – subject to conditions.

Applicable Legislative Provisions

National Instrument 81-102 Investment Funds, ss. 6.8(1), 6.8(2)(c) and 19.1.

March 17, 2023

**IN THE MATTER OF
THE SECURITIES LEGISLATION OF
ONTARIO
(the Jurisdiction)**

AND

**IN THE MATTER OF
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS
IN MULTIPLE JURISDICTIONS**

AND

**IN THE MATTER OF
CI INVESTMENTS INC.
(the Filer)**

DECISION

Background

The principal regulator (**Principal Regulator**) in the Jurisdiction has received an application from the Filer for a decision under the securities legislation of the Jurisdiction (**Legislation**) on behalf of CI Auspice Broad Commodity ETF and other existing or future investment funds subject to National Instrument 81-102 *Investment Funds* (**NI 81-102**) (together with the CI Auspice Broad Commodity ETF, the **Funds**) to exempt the Funds from:

- (a) Section 6.8(1) of NI 81-102, which restricts an investment fund from depositing portfolio assets as margin with a member of a regulated clearing agency or dealer that is a member of a self-regulatory organization that is a participating member of the Canadian Investor Protection Fund for a transaction in Canada involving certain specified derivatives in excess of 10% of the net asset value (**NAV**) of the investment fund at the time of deposit; and
- (b) Section 6.8(2)(c) of NI 81-102, which restricts an investment fund from depositing portfolio assets as margin with a member of a regulated clearing agency or dealer for a transaction outside of Canada involving certain specified derivatives in excess of 10% of the NAV of the investment fund as at the time of deposit;

to permit each Fund to deposit as margin portfolio assets of up to 35% of the Fund's NAV as at the time of deposit with any one futures commission merchant in Canada or the United States (each a **Dealer**) and up to 70% of each Fund's NAV at the time of deposit with all Dealers in the aggregate, for transactions involving standardized futures, clearing corporation options, options on futures, or cleared specified derivatives (**Exchange Traded Specified Derivatives**) (the **Requested Relief**).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

- (a) the Ontario Securities Commission is the principal regulator for this application,
- (b) the Filer has provided notice that subsection 4.7(1) of Multilateral Instrument 11-102 *Passport System* (**MI 11-102**) is to be relied upon by the Funds in each of the other provinces and territories of Canada (together with Ontario, the **Jurisdictions**)

Interpretation

Terms defined in NI 81-102, National Instrument 14-101 *Definitions* and MI 11-102 have the same meaning if used in this decision, unless otherwise defined.

Representations

This decision is based on the following facts represented by the Filer:

Background Facts

The Filer

1. The Filer is a corporation amalgamated under the laws of the Province of Ontario with its head office and registered office located in Toronto, Ontario.
2. The Filer is registered as follows:
 - (a) as an investment fund manager under the securities legislation in Ontario, Québec and Newfoundland and Labrador;
 - (b) as a portfolio manager and exempt market dealer under the securities legislation of each of the Jurisdictions; and
 - (c) as a commodity trading counsel and commodity trading manager under the *Commodity Futures Act* (Ontario).
3. The Filer or an affiliate or associate of the Filer acts, or will act, as manager of each Fund.
4. The Filer is not in default of securities legislation in any of the Jurisdictions.

The Funds

5. Each Fund is or will be an alternative mutual fund established under the laws of the Province of Ontario and will be governed by the provisions of NI 81-102, subject to any relief therefrom granted by the securities regulatory authorities.
6. Securities of each Fund are or will be offered pursuant to a simplified prospectus prepared in accordance with National Instrument 81-101 *Mutual Fund Prospectus Disclosure* or a long form prospectus prepared in accordance with NI 41-101 *General Prospectus Requirements (NI 41-101)*.
7. Each Fund is or will be a reporting issuer in each of the Jurisdictions.
8. Securities of CI Auspice Broad Commodity ETF are offered for sale pursuant to a long form prospectus prepared in accordance with NI 41-101 dated September 19, 2022.
9. The investment objective and strategies of each Fund permit or will permit the Fund to invest in Exchange Traded Specified Derivatives.
10. The CI Auspice Broad Commodity ETF's investment objective seeks to replicate, to the extent reasonably possible and before fees and taxes, the performance of the Auspice Broad Commodity Excess Return Index (the "**Index**"), or any successor thereto, by investing in financial instruments, including futures contracts and derivatives, to gain exposure to the Index. The Index currently uses a quantitative methodology to track a diversified portfolio of commodity futures contracts, or components, across 3 sectors: Agriculture, Energy, and Metals.
11. Except to the extent that the Requested Relief is granted and other exemptive relief is applicable, the investment strategies of the Funds are and will be limited to the investment practices permitted by NI 81-102. The Funds may seek to use leverage.
12. The Filer is or will be authorized to establish, maintain, change and close brokerage accounts on behalf of the Funds. In order to facilitate transactions on behalf of the Funds, the Filer will establish one or more accounts (each an **Account**) with one or more Dealers.
13. Each Dealer in Canada (each a **Canadian Dealer**) is a member of the Investment Industry Regulatory Organization in Canada and is registered in the applicable Jurisdictions as a futures commission merchant or equivalent.
14. Each Dealer in the United States (each a **U.S. Dealer**) is regulated by the Commodity Futures Trading Commission (the **CFTC**) and the National Futures Association (the **NFA**) in the United States and is required to segregate all assets held on behalf of clients, including the Funds. Each U.S. Dealer is subject to regulatory audit and must have insurance to guard against employee fraud. Each U.S. Dealer has a net worth, determined from its most recent audited financial statements, in excess of the equivalent of C\$50 million. Each U.S. Dealer has an exchange assigned to it as its designated self-regulatory organization (the **DSRO**). As a member of a DSRO, each U.S. Dealer must meet capital

requirements, comply with the conduct rules of the CFTC, NFA and its DSRO, and participate in an arbitration process with a complainant.

15. A Dealer will require, for each Account, that portfolio assets of the Fund be deposited with the Dealer as collateral for transactions in Exchange Traded Specified Derivatives (**Initial Margin**). Initial Margin represents the minimum initial amount of portfolio assets that must be deposited with a Dealer to initiate trading in specified derivatives transactions or to maintain the Dealer's open position in standardized futures.
16. Levels of Initial Margin are established at a Dealer's discretion. At no time will more than 70% of the NAV of each Fund be deposited as Initial Margin with one or more Dealers in the aggregate.
17. The records of each Dealer will show that the applicable Fund is the beneficial owner of the Initial Margin, and evidence that, subject to the satisfaction of the Dealer's applicable margin requirements, the applicable Fund will have the right to the return of the portfolio assets deposited as Initial Margin with the Dealer, such assets being of the same issue as the deposited margin, including the same class and series, if applicable, and having the same current aggregate market value of the deposited margin at the time of such return.

Reasons for the Requested Relief

18. The use of Initial Margin is an essential element of investing in Exchange Traded Specified Derivatives for the Funds.
19. The Requested Relief would allow the Funds to invest in Exchange Traded Specified Derivatives more extensively with any one Dealer, which would allow the Funds to pursue their investment strategies more efficiently and flexibly.
20. Opening Accounts and transacting with multiple Dealers adds complexity and cost to the management of the Funds. Using fewer Dealers will considerably simplify the Funds' investments and operations and will reduce the cost of implementing each Fund's strategy. Using fewer Dealers also simplifies compliance and risk management, as monitoring the data, controls and policies of a smaller number of Dealers is less complex.
21. Each of the Decision Makers is satisfied that it would not be prejudicial to the public interest for the Requested Relief to be granted.

Decision

Each of the Decision Makers is satisfied that the decision meets the test set out in the Legislation for the Decision Maker to make the decision.

The decision of the Decision Makers under the Legislation is that the Requested Relief is granted provided that

- (a) each Fund shall only use Initial Margin such that the amount of Initial Margin held by any one Dealer on behalf of the Fund does not exceed 35% of the net assets of the Fund, taken at market value as at the time of the deposit, and
- (b) each Fund shall only use Initial Margin such that the amount of Initial Margin held by Dealers in aggregate on behalf of each Fund does not exceed 70% of the NAV of each Fund as at the time of the deposit.

"Darren McKall"
Manager
Investment Funds and Structured Products Branch
Ontario Securities Commission

Application File #: 2022/0586
SEDAR File #: 3475473

B.3.6 AGF Investments Inc. and Highstreet Asset Management Inc.

Headnote

Under paragraph 4.1(1)(b) of National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations a registered firm must not permit an individual to act as a dealing, advising or associate advising representative of the registered firm if the individual is registered as a dealing, advising or associate advising representative of another registered firm. The Filers are affiliated entities and have valid business reasons for the individual to be registered with both firms. The Filers have policies in place to handle potential conflicts of interest. The Filers are exempted from the prohibition.

Applicable Legislative Provisions

Multilateral Instrument 11-102 Passport System, s. 4.7.

National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations, ss. 4.1 and 15.1.

March 20, 2023

IN THE MATTER OF
THE SECURITIES LEGISLATION OF
ONTARIO
(the Jurisdiction)

AND

IN THE MATTER OF
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS
IN MULTIPLE JURISDICTIONS

AND

IN THE MATTER OF
AGF INVESTMENTS INC.
(AGF)

AND

HIGHSTREET ASSET MANAGEMENT INC.
(Highstreet, and together with AGF, the Filers)

DECISION

Background

The principal regulator in the Jurisdiction has received an application from the Filers for a decision under the securities legislation of the Jurisdiction of the principal regulator (the **Legislation**) for relief from the restriction in paragraph 4.1(1)(b) of National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* (**NI 31-103**) (the **Dual Registration Restriction**), pursuant to section 15.1 of NI 31-103, to permit Mark Stacey (the **Representative**) to be registered as an advising representative of each of AGF and Highstreet (the **Exemption Sought**).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

- a) the Ontario Securities Commission (**OSC**) is the principal regulator for this application; and
- b) the Filers have provided notice that subsection 4.7(1) of Multilateral Instrument 11-102 *Passport System* (**MI 11-102**) is intended to be relied upon by the Filers in each province and territory of Canada (together with Ontario, the **Jurisdictions**).

Interpretation

Terms defined in National Instrument 14-101 *Definitions* and MI 11-102 have the same meaning if used in this decision, unless otherwise defined.

Representations

The decision is based on the following facts represented by the Filers:

1. Highstreet is a wholly owned subsidiary of AGF. Highstreet is registered as a portfolio manager and as an exempt market dealer in each of the Jurisdictions. The head office of Highstreet is in London, Ontario.
2. As a portfolio manager, Highstreet is authorized to provide discretionary investment management services to high-net-worth clients. As an exempt market dealer, Highstreet is authorized to distribute AGF's Highstreet Pooled Funds to high-net-worth clients pursuant to certain prospectus exemptions.
3. AGF is a wholly owned subsidiary of AGF Management Limited and is registered as an exempt market dealer in Alberta, British Columbia, Manitoba, Ontario, Québec and Saskatchewan, as a portfolio manager in each of the Jurisdictions, as an investment fund manager in Alberta, British Columbia, Newfoundland and Labrador, Ontario and Québec, as a mutual fund dealer in British Columbia, Ontario and Québec and as a commodity trading manager in Ontario. The head office of AGF is in Toronto, Ontario.
4. AGF is the Investment Fund Manager, Portfolio Manager and Trustee of the Highstreet Pooled Funds.
5. Since Highstreet is a wholly owned subsidiary of AGF, each such entity is an affiliate of the other and are affiliated registrants.
6. The Representative is a resident of London, Ontario and is a registered advising representative and dealing representative of Highstreet. In his role as Senior Director of Investments, Private Wealth, the Representative works directly with Highstreet Private Client Team, providing insight on macro-economic views and in-depth knowledge of the Highstreet Pooled Funds. The Representative also provides discretionary asset management to a client portfolio and supervisory oversight to the Highstreet relationship managers to help better analyze asset mix, risk tolerance and allocations to Highstreet Pooled Funds. Additionally, the Representative is a member of the Highstreet Private Client Management Committee ("**Committee**"). The Committee provides guidance and oversight on legal, compliance, governance, product and marketing and other operational matters related to the successful execution of the growth strategy.
7. AGF requires the Representative's investment management capabilities, leadership, and expertise as a member of the various AGF asset allocation committees, including AGF's Highstreet Balanced Fund Committee. The Representative was previously registered as an advising representative of AGF. In his role of Co-CIO AGFiQ Quantitative Investing, Head of Portfolio Management, he led the firm's investment management functions for AGF's quantitative investment platform, AGFiQ and the Highstreet Pooled Funds. The Representative has previously provided asset allocation and investment advice to the Highstreet Pooled Funds and other AGF funds and is intimately familiar with their investment processes and strategies. His continued involvement in the asset allocation committees is in the best interest of the investors of AGF products, including the Highstreet Pooled Funds.
8. Pursuant to exemptive relief granted on January 14, 2022 to AGF and AGFWave Asset Management Inc. (**AGFWave**), the Representative was dually registered as an advising representative of AGFWave (the **January 2022 Decision**).
9. The Representative is no longer registered as an advising representative of AGFWave and his registration is no longer in reliance on the January 2022 Decision.
10. If the Exemption Sought is granted, the Representative will register as an advising representative of AGF, while maintaining his registration as an advising representative of Highstreet. The Representative will be appointed to the position of registered advising representative (portfolio manager) with AGF.
11. AGF requires the investment management capabilities and expertise of the Representative to achieve its business objectives. The Representative is familiar with the business model of each of AGF and Highstreet and is in the best position to act in the existing and proposed dual roles with AGF and Highstreet.
12. Dual registration would allow the Representative to continue to act as an advising representative of Highstreet while also acting as an advising representative of AGF.
13. The terms and conditions, if any, on the Representative's registration as an advising representative of Highstreet would be the same as under his advising representative registration with AGF. As of the date hereof, there are no terms and conditions on the Representative's registration as an advising representative of Highstreet.
14. The Representative will be subject to supervision by, and the applicable compliance requirements of, both Filers.

B.3: Reasons and Decisions

15. Each of the Filers' respective Ultimate Designated Person will ensure that the Representative has sufficient time and resources to adequately serve each Filer and its clients. Each of the Filers' respective Chief Compliance Officers and management will ensure the Representative has sufficient time and resources to adequately serve each Filer and its clients.
16. Neither AGF nor Highstreet is in default of any requirement of securities or derivatives legislation in any of the Jurisdictions.
17. The dual registration of the Representative will not give rise to the conflicts of interest that may be present in a similar arrangement involving unrelated, arm's length firms. The interests of the Filers are aligned, and because the role of the Representative will be incremental to his existing roles with both Highstreet and AGF and to support the business activities and interests of the Filers, the potential for conflicts of interests is remote. Further there is little expected overlap of the business mandates, client base or investment strategies of Highstreet and AGF.
18. Each Filer has adequate policies and procedures in place to address any potential conflicts of interest that may arise because of the dual registration of the Representative and will be able to appropriately deal with any such conflicts, should they arise.
19. There is adequate supervision of any identified potential conflicts of interest to ensure that the Representative, and each of the Filers, can take appropriate measures.
20. The Filers do not expect that the dual registration of the Representative will create significant additional work and are confident that the Representative will have sufficient time to adequately serve both firms.
21. The relationship between Highstreet and AGF and the fact that the Representative is dually registered with both Highstreet and AGF will be fully disclosed to clients and prospective clients of Highstreet and AGF, as applicable. The Filers will provide written disclosure to the investors of the funds and accounts, as applicable, managed by each Filer of the affiliated registrant relationship between the Filers as well as the dual registration of the Representative in disclosure documents provided by any affected fund to their investors.
22. The Representative will act in the best interest of all clients of each Filer and will deal fairly, honestly and in good faith with these clients.
23. If the Exemption Sought is granted, it will not be relied upon by the Filers if the Representative is sponsored for registration in any of the Jurisdictions as an advising representative or dealing representative of another registered firm.
24. In the absence of the Exemption Sought, the Filers would be prohibited by the Dual Registration Restriction from permitting the Representative to be registered as an advising representative of each Filer, even though the Filers have controls and compliance procedures in place to deal with such advising and associate advising activities.

Decision

The principal regulator is satisfied that the decision meets the test set out in the Legislation for the principal regulator to make the decision.

The decision of the principal regulator under the Legislation is that the Exemption Sought is granted on the following conditions:

- i. The Representative is subject to supervision by, and the applicable compliance requirements of, both Filers;
- ii. The Chief Compliance Officer and Ultimate Designated Person of each Filer ensures that the Representative has sufficient time and resources to adequately service each Filer and its respective clients;
- iii. The Filers each have adequate policies and procedures in place to address any potential conflicts of interest that may arise as a result of the dual registration of the Representative and deal appropriately with any such conflicts; and
- iv. The relationship between the Filers and the fact that the Representative is dually registered with both is fully disclosed in writing to clients of each of them that deal with the Representative.

"Felicia Tedesco"
Deputy Director, Compliance and Registrant Regulation
Ontario Securities Commission

OSC File #: 2023/0022

B.4 Cease Trading Orders

B.4.1 Temporary, Permanent & Rescinding Issuer Cease Trading Orders

Company Name	Date of Temporary Order	Date of Hearing	Date of Permanent Order	Date of Lapse/Revoke
THERE IS NOTHING TO REPORT THIS WEEK.				

Failure to File Cease Trade Orders

Company Name	Date of Order	Date of Revocation
THERE IS NOTHING TO REPORT THIS WEEK.		

B.4.2 Temporary, Permanent & Rescinding Management Cease Trading Orders

Company Name	Date of Order	Date of Lapse
THERE IS NOTHING TO REPORT THIS WEEK.		

B.4.3 Outstanding Management & Insider Cease Trading Orders

Company Name	Date of Order or Temporary Order	Date of Hearing	Date of Permanent Order	Date of Lapse/Expire	Date of Issuer Temporary Order
Performance Sports Group Ltd.	19 October 2016	31 October 2016	31 October 2016		

Company Name	Date of Order	Date of Lapse
Agrios Global Holdings Ltd.	September 17, 2020	
Gatos Silver, Inc.	April 1, 2022	
Gatos Silver, Inc.	April 12, 2022	
Sproutly Canada, Inc.	June 30, 2022	
Gatos Silver, Inc.	July 7, 2022	
iMining Technologies Inc.	September 30, 2022	
Molecule Holdings Inc.	March 1, 2023	

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B.5 Rules and Policies

B.5.1 CSA Notice of National Instrument 13-103 System for Electronic Data Analysis and Retrieval + (SEDAR+)



CSA NOTICE OF NATIONAL INSTRUMENT 13-103 SYSTEM FOR ELECTRONIC DATA ANALYSIS AND RETRIEVAL + (SEDAR+)

March 23, 2023

Introduction

The Canadian Securities Administrators (the **CSA** or **we**) are adopting National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* (**NI 13-103**) and Companion Policy 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* (**13-103CP**). This Notice should be read together with the CSA notice relating to the repeal and replacement of Multilateral Instrument 13-102 *System Fees for SEDAR and NRD* (**MI 13-102**), which is also being published today.

We are making consequential amendments and changes to existing instruments and policies as set out in Annex E.

We are repealing National Instrument 13-101 *System for Electronic Document Analysis and Retrieval* (**NI 13-101**), which includes the repeal of the SEDAR Filer Manual.

In this Notice, we refer to the adoption of NI 13-103 and 13-103CP, the repeal of NI 13-101 and the SEDAR Filer Manual, and the consequential amendments and changes to existing instruments and policies, collectively, as the **Amendments**.

Provided all necessary Ministerial approvals are obtained, the Amendments will come into force on June 9, 2023 in all CSA jurisdictions.

The text of the Amendments is published with this Notice and is also available on the websites of the following CSA jurisdictions:

www.bcsc.bc.ca
www.albertasecurities.com
www.fcaa.gov.sk.ca
www.mbsecurities.ca
www.osc.ca
www.lautorite.qc.ca
www.fcnb.ca
nssc.novascotia.ca

Substance and Purpose

The National Systems Renewal Program (**NSRP**) is an initiative of the CSA that will replace existing CSA national systems with a centralized CSA IT system, System for Electronic Data Analysis and Retrieval + (**SEDAR+**).

The first phase of NSRP replaces the System for Electronic Document Analysis and Retrieval (**SEDAR**), the National Cease Trade Order (**CTO**) Database, the Disciplined List, and certain filings in the British Columbia Securities Commission's eServices system and the Ontario Securities Commission's electronic filing portal. Accordingly, filings made by issuers, including foreign issuers, will be made in SEDAR+ once the Amendments become effective. We expect that future phases of NSRP will incorporate into SEDAR+ those filings made by insiders, registrants, derivatives market participants, marketplaces, self-regulatory bodies and clearing agencies.

NI 13-103 provides the requirement that filers must transmit electronically through SEDAR+ each document required or permitted to be filed with or delivered to a securities regulatory authority or regulator under securities legislation. NI 13-103 also requires filers to complete a current profile containing the information specified in SEDAR+. Certain types of documents will never be filed

or delivered through SEDAR+, as specified in subsections 3(a) to (g) of NI 13-103. These include documents filed or delivered in connection with a hearing, compliance review, proceeding or investigation.

As part of the phased implementation of SEDAR+, NI 13-103 does not apply to certain documents, referred to in Column A of the Appendix to NI 13-103, which we expect to bring into SEDAR+ in a future phase of NSRP. Column B of the Appendix provides for an exception for certain types of filers – mainly issuers – that are nevertheless required to file such documents in SEDAR+ at this time.

CP 13-103 provides guidance to market participants on how we will apply and interpret certain provisions of NI 13-103, including guidance on a number of system-related matters, determining jurisdiction for the payment of system fees, and the public accessibility of documents in SEDAR+.

SEDAR+ will offer online help to guide filers in navigating and using the system. This online help feature will replace the SEDAR Filer Manual.

Anticipated Costs and Benefits

SEDAR+ will provide more secure, single-window access for market participants to file documents and pay fees. The filing process will be more uniform, and the system will enforce modern access controls and expand public search functionality. Some filers may have to adapt their internal processes and systems.

We believe that the benefits of a modernized, more secure and centralized system with improved search capabilities will outweigh the associated costs.

Background

The CSA published the proposed NI 13-103 and CP 13-103 for a 90-day comment period on May 2, 2019.

Summary of Written Comments

The comment period expired on July 31, 2019. We received 9 submissions from commenters on the proposed Amendments. Several of these submissions combined comments on the proposed Amendments with comments on the proposed repeal and replacement of MI 13-102, or provided comments on the system design and functionality. We have considered all of the comments received and thank the commenters for their input. The names of the commenters are contained in Annex C and summary of the comments, together with our responses, is contained in Annex D. The comment letters can be viewed on the websites of the Alberta Securities Commission at www.albertasecurities.com, Ontario Securities Commission at www.osc.ca and Autorité des marchés financiers at www.lautorite.qc.ca.

Summary of Changes Since Publication for Comment

Since the publication for comment, we removed the requirement in NI 13-103 that a person or company must not have more than one profile in SEDAR+, as the system has been designed to handle profile administration and potential duplication administratively.

We have made some changes to section 3 of NI 13-103 and clarified a number of provisions of the Appendix to NI 13-103, in each case to accurately reflect the scope of filings permitted in SEDAR+.

Finally, we changed references to “the Renewed System” to “SEDAR+” to reflect the name of the system.

After considering the written comments received, we made one change to CP 13-103, to broaden the limited circumstances in which the CSA will consider changing the access status of a document from public to private in SEDAR+ without a formal request for confidentiality. The provision now includes all circumstances where a filer is entitled to file a redacted version of a material contract or agreement, and transmits a non-redacted version in error. This would include technical software errors in electronic redaction software, as well as other errors.

Consequential Amendments

The Amendments will update certain existing instruments and policies to remove references to SEDAR and include necessary references to SEDAR+, where appropriate. In many cases, the amendments involve revising or deleting references to format, electronic or otherwise. In certain instruments, we are making housekeeping amendments, such as repealing or deleting transitional provisions that are no longer applicable and correcting grammatical or typographical errors. In these limited cases, the changes are not related to SEDAR+.

Withdrawal and Revision of CSA Notices and CSA Staff Notices

As a result of the Amendments, we are withdrawing a number of CSA Notices and CSA Staff Notices that are no longer required.

B.5: Rules and Policies

Also, we are publishing concurrently with this Notice a list of CSA Staff Notices that will be revised to change references to SEDAR to SEDAR+, and to provide guidance on how to file certain documents on SEDAR+.

The list of CSA Notices and CSA Staff Notices being withdrawn and revised can be found in Annex F.

Local Matters

Annex G is being published in any local jurisdiction that is making related changes to local securities laws, including local notices or other policy instruments in that jurisdiction. It also includes any additional information that is relevant to that jurisdiction only.

List of Annexes

The notice contains the following annexes:

- Annex A – NI 13-103
- Annex B – CP 13-103
- Annex C – List of commenters
- Annex D – Summary of comments and CSA responses
- Annex E – Consequential amendments to instruments and policies
- Annex F – Withdrawal and revision of CSA staff notices
- Annex G – Local matters

Questions

Please refer your questions to any of the following:

British Columbia Securities Commission
Victoria Steeves
Senior Legal Counsel, Corporate Finance
604-899-6791
vsteeves@bcsc.bc.ca

British Columbia Securities Commission
Laura Lam
Senior Legal Counsel, Corporate Finance
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Alberta Securities Commission
Lanion Beck
Senior Legal Counsel
403-355-3884
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Manitoba Securities Commission
Arian Poushangji
Legal Counsel
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Ontario Securities Commission
Melissa Taylor
Senior Legal Counsel, Corporate Finance
416-596-4295
mtaylor@osc.gov.on.ca

Autorité des marchés financiers
Sylvia Pateras
Senior Legal Counsel, Legal Affairs
514-395-0337 ext. 2536
sylvia.pateras@lautorite.qc.ca

Financial and Consumer Services Commission
Frank McBrearty
Manager of Corporate Finance
506-658-3119
frank.mcbrearty@fcnb.ca

Nova Scotia Securities Commission
Doug Harris
General Counsel, Director of Market Regulation and Policy
and Secretary
902-424-4106
doug.harris@novascotia.ca

ANNEX A

NATIONAL INSTRUMENT 13-103
SYSTEM FOR ELECTRONIC DATA ANALYSIS AND RETRIEVAL +
(SEDAR+)

Definitions and Interpretation

1 (1) In this Instrument:

“**deliver**” includes deposit, furnish, provide, send or submit;

“**document**” includes information and material that is required or permitted to be filed with or delivered to a securities regulatory authority or regulator;

“**profile**” means a set of information providing a profile of a person or company;

“**SEDAR+**” means the system for the transmission of documents known as the System for Electronic Data Analysis and Retrieval +.

(2) In this Instrument, a reference to a document that is permitted to be filed includes an application for a decision of the regulator or securities regulatory authority.

Transmission of documents through SEDAR+

2 Subject to section 3, if a person or company is required or permitted, under securities legislation or under a decision of the securities regulatory authority or regulator, to file a document with, or deliver a document to, the securities regulatory authority or regulator, the person or company must file or deliver the document by transmitting it through SEDAR+.

Transmission of documents outside of SEDAR+

3 Unless a decision made under securities legislation provides for filing or delivery through SEDAR+, a person or company must not file or deliver the following through SEDAR+:

- (a) a document required or permitted to be filed with or delivered to the securities regulatory authority or regulator in connection with a hearing, compliance review, proceeding or investigation;
- (b) a letter required to be delivered under subsection 4.11(8) or (9) of National Instrument 51-102 *Continuous Disclosure Obligations*;
- (c) a Form 51-102F3 *Material Change Report* filed on a confidential basis under subsection 7.1(2) of National Instrument 51-102 *Continuous Disclosure Obligations*, subsection 11.2(2) of National Instrument 81-106 *Investment Fund Continuous Disclosure* or, in Ontario, subsection 75(3) of the *Securities Act* (Ontario);
- (d) a notice under subsection 7.1(5) of National Instrument 51-102 *Continuous Disclosure Obligations*, subsection 11.2(4) of National Instrument 81-106 *Investment Fund Continuous Disclosure* or, in Ontario, subsection 75(4) of the *Securities Act* (Ontario);
- (e) a notice under subsection 13.2(2) of National Instrument 51-102 *Continuous Disclosure Obligations*;
- (f) a notice under subsection 5(1) or 6(1) of National Instrument 52-108 *Auditor Oversight*;
- (g) a Form 62-104F1 *Take-Over Bid Circular* filed by an offeror in respect of a take-over bid to acquire securities of an issuer that is not a reporting issuer and that has not filed a profile under subsection 4(1);
- (h) a notice under subsection 18.6(2) of National Instrument 81-106 *Investment Fund Continuous Disclosure*;
- (i) a document that a person or company is required or permitted to file or deliver pursuant to a provision of, or a decision of the securities regulatory authority or regulator issued in respect of, securities legislation listed in Column A of the Appendix, other than the exceptions listed in Column B of the Appendix.

Profile requirements

4 (1) Before a person or company transmits a document through SEDAR+ for the first time, the person or company must file a profile by transmitting it through SEDAR+.

- (2) If information contained in a profile becomes inaccurate, the person or company must file an updated profile with the accurate information by transmitting it through SEDAR+ at the earlier of
- (a) the next time the person or company transmits a document through SEDAR+ after the date on which the person or company knew or reasonably should have known that the information contained in the profile is inaccurate, and
 - (b) 10 days after the date on which the person or company knew or reasonably should have known that the information contained in the profile is inaccurate.

Payment of fees

- 5 (1) At the time that a person or company transmits a document through SEDAR+, a person or company must pay through SEDAR+
- (a) the prescribed fee for that document, other than a fee prescribed under Multilateral Instrument 13-102 *System Fees* or, in Manitoba, an equivalent regulation, to the securities regulatory authority or regulator, and
 - (b) the fee for that document prescribed under Multilateral Instrument 13-102 *System Fees* or, in Manitoba, an equivalent regulation, to the person or company's principal regulator if the principal regulator is the securities regulatory authority in the local jurisdiction.
- (2) For the purposes of subsection (1), if the person or company is transmitting through SEDAR+ a document to which Multilateral Instrument 11-102 *Passport System* applies, "principal regulator" has the meaning set out in Part 3, 4, 4A, 4B or 4C of Multilateral Instrument 11-102 *Passport System*, as applicable.
- (3) For the purposes of subsection (1), if the person or company is transmitting through SEDAR+ a document to which Multilateral Instrument 11-102 *Passport System* does not apply, the principal regulator is the securities regulatory authority or regulator that would be the principal regulator if Part 3 of Multilateral Instrument 11-102 *Passport System* applied.
- (4) Despite subsection (3), if the person or company is transmitting through SEDAR+ a Form 45-106F1 *Report of Exempt Distribution*, and the person or company does not have a head office in Canada or is an investment fund with an investment fund manager that does not have a head office in Canada, the principal regulator is the securities regulatory authority or regulator of the jurisdiction with which the person or company has the most significant connection.

Temporary hardship exemption

- 6 (1) If technical difficulties prevent a person or company from transmitting a document through SEDAR+ within the time required or permitted under securities legislation, the person or company may file the document with or deliver the document to the securities regulatory authority or regulator outside of SEDAR+ no later than 2 business days after the date on or by which the person or company was required or permitted to file the document with, or deliver the document to, the securities regulatory authority or regulator.
- (2) A person or company must include the following legend in capital letters at the top of the first page of a document filed or delivered outside of SEDAR+ in reliance on subsection (1):

IN ACCORDANCE WITH SECTION 6 OF NATIONAL INSTRUMENT 13-103 SYSTEM FOR ELECTRONIC DATA ANALYSIS AND RETRIEVAL + (SEDAR+), THIS [SPECIFY DOCUMENT] IS BEING FILED OR DELIVERED OUTSIDE OF SEDAR+ UNDER A TEMPORARY HARDSHIP EXEMPTION

- (3) If a person or company files or delivers a document to the securities regulatory authority or regulator in the manner and within the time prescribed by this section, the person or company is exempt from the requirement to file or deliver the document by the date prescribed in securities legislation.
- (4) If a person or company files or delivers a document to the securities regulatory authority or regulator outside of SEDAR+ in reliance on this section, the person or company must transmit the document to the securities regulatory authority or regulator through SEDAR+ as soon as practicable and in any event within 3 business days of the date on which the technical difficulties have been resolved, and must include the following legend in capital letters at the top of the first page of the document :

THIS DOCUMENT IS A COPY OF [SPECIFY DOCUMENT] FILED WITH OR DELIVERED TO [LIST ALL SECURITIES REGULATORY AUTHORITIES OR REGULATORS WITH WHOM THE DOCUMENT WAS FILED OR TO WHOM IT WAS DELIVERED] ON [DATE]

UNDER A TEMPORARY HARDSHIP EXEMPTION UNDER SECTION 6 OF NATIONAL INSTRUMENT 13-103 *SYSTEM FOR ELECTRONIC DATA ANALYSIS AND RETRIEVAL + (SEDAR+)*.

Decisions

- 7 (1) Despite paragraph 3(i), if a decision made under securities legislation requires a person or company to file a document with, or deliver a document to, the securities regulatory authority or regulator through the System for Electronic Document Analysis and Retrieval (SEDAR), the person or company must file or deliver the document by transmitting it through SEDAR+.
- (2) In British Columbia, subsection (1) does not apply.

Exemptions

- 8 (1) The securities regulatory authority or regulator may grant an exemption from this Instrument, in whole or in part, subject to such conditions or restrictions as may be imposed in the exemption.
- (2) Despite subsection (1), in Ontario only the regulator may grant an exemption from this Instrument.
- (3) Except in Alberta and Ontario, an exemption referred to in subsection (1) is granted under the statute referred to in Appendix B of National Instrument 14-101 *Definitions* opposite the name of the local jurisdiction.

Repeal of former instrument

- 9 National Instrument 13-101 *System for Electronic Document Analysis and Retrieval (SEDAR)* is repealed.

Effective date

- 10 This Instrument comes into force on June 9, 2023.
- 11 In Saskatchewan, despite section 10, if this Instrument is filed with the Registrar of Regulations after June 9, 2023, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

**APPENDIX
TO
NATIONAL INSTRUMENT 13-103
SYSTEM FOR ELECTRONIC DATA ANALYSIS AND RETRIEVAL +
(SEDAR+)**

**Securities legislation pursuant to which documents must not be
transmitted through SEDAR+
(Paragraph 3(i))**

Column A	Column B
National and multilateral instruments pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Part 4A Registration and Part 4B Application to Become a Designated Rating Organization of Multilateral Instrument 11-102 <i>Passport System</i>	N/A
National Instrument 21-101 <i>Marketplace Operation</i>	N/A
National Instrument 23-101 <i>Trading Rules</i>	N/A
National Instrument 23-102 <i>Use of Client Brokerage Commissions</i>	N/A
National Instrument 23-103 <i>Electronic Trading and Direct Electronic Access to Marketplace</i>	N/A
National Instrument 24-101 <i>Institutional Trade Matching and Settlement</i>	N/A
National Instrument 24-102 <i>Clearing Agency Requirements</i>	N/A
National Instrument 25-101 <i>Designated Rating Organizations</i>	N/A
National Instrument 31-102 <i>National Registration Database</i>	N/A
National Instrument 31-103 <i>Registration Requirements, Exemptions and Ongoing Registrant Obligations</i>	N/A
Multilateral Instrument 32-102 <i>Registration Exemptions for Non-Resident Investment Fund Managers</i>	N/A
National Instrument 33-105 <i>Underwriting Conflicts</i>	N/A
National Instrument 33-109 <i>Registration Information</i>	N/A
National Instrument 35-101 <i>Conditional Exemption From Registration For United States Broker-Dealers and Agents</i>	N/A

B.5: Rules and Policies

Column A	Column B
National and multilateral instruments pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Multilateral Instrument 45-108 <i>Crowdfunding</i>	An issuer filing or delivering a document under section 15, section 16 or section 17 An issuer filing an application for an exemption under section 44
National Instrument 45-110 <i>Start-Up Crowdfunding Registration and Prospectus Exemptions</i>	An issuer filing a document under section 6 An issuer filing an application for an exemption under section 7
National Instrument 52-107 <i>Acceptable Accounting Principles and Auditing Standards</i>	An issuer filing an application for an exemption under subsection 5.1(1)
National Instrument 55-102 <i>System for Electronic Disclosure by Insiders (SEDI)</i>	An issuer filing an application for an exemption under subsection 6.1(1)
National Instrument 55-104 <i>Insider Reporting Requirements and Exemptions</i>	An issuer filing an application for an exemption under subsection 10.1(1)
Multilateral Instrument 91-101 <i>Derivatives: Product Determination</i>	N/A
Multilateral Instrument 91-102 <i>Prohibition of Binary Options</i>	N/A
Multilateral Instrument 91-506 <i>Derivatives: Product Determination</i>	N/A
Multilateral Instrument 91-507 <i>Trade Repositories and Derivatives Data Reporting</i>	N/A
National Instrument 94-101 <i>Mandatory Central Counterparty Clearing of Derivatives</i>	N/A
National Instrument 94-102 <i>Derivatives: Customer Clearing and Protection of Customer Collateral and Positions</i>	N/A
Multilateral Instrument 96-101 <i>Trade Repositories and Derivatives Data Reporting</i>	N/A

Column A	Column B
British Columbia securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Exemption orders (Part 1) – section 3.1 of the <i>Securities Act</i> R.S.B.C. 1996, c 418	An issuer filing an application for an order under section 3.1 An issuer required or permitted to file or deliver a document pursuant to an exemption order
Designations (Part 1) – section 3.2 of the <i>Securities Act</i> R.S.B.C. 1996, c 418	A person filing an application under paragraph 3.2(1)(b) for an order that a person or a person within a class of persons is a mutual fund, a non-redeemable investment fund or a reporting issuer An issuer required or permitted to file or deliver a document pursuant to a designation order
Benchmark Administrators, Clearing Agencies, Exchanges, Information Processors, Quotation and Trade Reporting Systems, Self-Regulatory Bodies and Trade Repositories (Part 4) – sections 23-33 of the <i>Securities Act</i> R.S.B.C. 1996, c 418	N/A
Registration (Part 5) – sections 34-41 of the <i>Securities Act</i> R.S.B.C. 1996, c 418	N/A
Exemption order by commission or executive director (Part 6) – section 48 of the <i>Securities Act</i> R.S.B.C. 1996, c 418	A person filing an application for an exemption from the prospectus requirement
Trading in Derivatives (Part 8) – sections 58 – 60 of the <i>Securities Act</i> R.S.B.C. 1996, c 418	N/A
Initial and subsequent insider report – section 87 of the <i>Securities Act</i> R.S.B.C. 1996, c 418	N/A
Exemption order by commission or executive director (Part 12) – section 91 of the <i>Securities Act</i> R.S.B.C. 1996, c 418	An issuer filing an application under section 91 for an exemption order other than an application for an exemption from the insider reporting requirement An issuer required or permitted to file or deliver a document pursuant to an exemption order
Filing and inspection of records (Part 20) – section 169 of the <i>Securities Act</i> R.S.B.C. 1996, c 418	An issuer filing an application under section 169

B.5: Rules and Policies

Column A	Column B
British Columbia securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Discretion to revoke or vary decision (Part 20) – section 171 of the <i>Securities Act</i> R.S.B.C. 1996, c 418	An issuer filing an application under section 171 An issuer required or permitted to file or deliver a document pursuant to an order
Administrative powers respecting commission rules (Part 20) – section 187 of the <i>Securities Act</i> R.S.B.C. 1996, c 418	An issuer filing an application under section 187 An issuer required or permitted to file or deliver a document pursuant to an order

B.5: Rules and Policies

Column A	Column B
Alberta securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Form 4 Report by a Registered Owner of Securities Beneficially Owned by an Insider Under Section 183 of the <i>Securities Act</i> – section 17 of <i>Alberta Securities Commission Rules (General)</i>	N/A
Designation orders – section 10 of the <i>Securities Act</i> RSA 2000, c S-4	An issuer filing an application under section 10
Regulation, Recognition and Designation of Entities and Benchmarks – Part 4 of the <i>Securities Act</i> RSA 2000, c S-4	N/A
Surrender of registration – section 78 of the <i>Securities Act</i> RSA 2000, c S-4	N/A
Further Information – section 82 of the <i>Securities Act</i> RSA 2000, c S-4	N/A
Trading in Securities and Derivatives Generally – Part 7 of the <i>Securities Act</i> RSA 2000, c S-4	N/A
Discretionary exemptions – section 144 of the <i>Securities Act</i> RSA 2000, c S-4	A person or company filing an application for relief from the prospectus requirement
Applications to the Commission – section 179 of the <i>Securities Act</i> RSA 2000, c S-4	An issuer filing an application under section 179
General Exemption – section 213 of the <i>Securities Act</i> RSA 2000, c S-4	An issuer filing an application under section 213, other than a registrant An issuer transmitting a document pursuant to a blanket order
Revoke or vary decisions – section 214 of the <i>Securities Act</i> RSA 2000, c S-4	An issuer filing an application under section 214
Filing and confidentiality – section 221 of the <i>Securities Act</i> RSA 2000, c S-4	An issuer filing an application under section 221

B.5: Rules and Policies

Column A	Column B
<p>Alberta securities legislation pursuant to which documents must not be filed or delivered through SEDAR+</p>	<p>Exceptions to Column A: Filers who must file or deliver the document through SEDAR+</p>
<p>Alberta Securities Commission Rule 13-501 <i>Fees</i></p>	<p>An issuer filing any of the following:</p> <ul style="list-style-type: none"> • an application under section 3 • Form 13-501F1 <i>Class 1 Reporting Issuers and Class 3B Reporting Issuers – Participation Fee</i> • Form 13-501F2 <i>Class 2 Reporting Issuers – Participation Fee</i> • Form 13-501F3 <i>Adjustment of Fee Payment for Class 2 Reporting Issuer</i> • Form 13-501F4 <i>Class 3A Reporting Issuers – Participation Fee</i> • Form 13-501F5 <i>Investment Fund – Participation Fee</i> • Form 13-501F6 <i>Subsidiary Exemption Notice</i>
<p>Alberta Securities Commission Rule 91-504 <i>Strip Bonds</i></p>	<p>A person or company filing an application for exemption under section 4.1, other than a person or company that is a registrant, or would be a registrant but for reliance on the rule</p>
<p>Compensation fund or contingency trust fund – section 6 of <i>Alberta Securities Commission Rules (General)</i></p>	<p>N/A</p>
<p>Trading in Securities and Derivatives Generally – Part 4 of <i>Alberta Securities Commission Rules (General)</i></p>	<p>N/A</p>

B.5: Rules and Policies

Column A	Column B
Saskatchewan securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Designation – section 11.1 of <i>The Securities Act, 1988</i> SS 1988-89, c S-42.2	An issuer filing an application for an order pursuant to section 11.1
Recognition of Entities (Part V) – sections 21-25 of <i>The Securities Act, 1988</i> SS 1988-89, c S-42.2	N/A
Designation of Entities (Part V.1) of <i>The Securities Act, 1988</i> SS 1988-89, c S-42.2	N/A
Voluntary surrender of registration – section 29 of <i>The Securities Act, 1988</i> SS 1988-89, c S-42.2	N/A
Trading in Securities and Derivatives (Part IX) of <i>The Securities Act, 1988</i> SS 1988-89, c S-42.2	N/A
Saskatchewan General Ruling/Order 91-906 <i>Strip Bonds</i>	A person or company other than a registrant filing an application pursuant to Saskatchewan General Ruling/Order 91-906 <i>Strip Bonds</i>
Order relieving reporting issuer of status as reporting issuer, section 92 of <i>The Securities Act, 1988</i> SS 1988-89, c S-42.2	An issuer filing an application for an order pursuant to section 92
Applications to the Commission-section 101 of <i>The Securities Act, 1988</i> SS 1988-89, c S-42.2	N/A
Part XVIII-Enforcement-section 135.6 of <i>The Securities Act, 1988</i> SS 1988-89, c S-42.2 Financial compensation	N/A
Order re exemption or declaration-section 83 of <i>The Securities Act, 1988</i> SS 1988-89, c S-42.2	An issuer filing an application pursuant to section 83
Filing in other Jurisdictions- section 130 of <i>The Securities Act, 1988</i> SS 1988-89, c S-42.2	An issuer filing an application pursuant to section 130
Filing and Inspection of material-section 152 (1) of <i>The Securities Act, 1988</i> SS 1988-89, c S-42.2	An issuer filing an application pursuant to section 152
Revoke or vary decisions-section 158(3) of <i>The Securities Act, 1988</i> SS 1988-89, c S-42.2	An issuer filing an application pursuant to section 158(3)
General Exemption-section 160 of <i>The Securities Act, 1988</i> SS 1988-89, c S-42.2	An issuer filing an application pursuant to section 160

B.5: Rules and Policies

Column A	Column B
Manitoba securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Exemption by commission – section 20 of the <i>Securities Act</i> C.C.S.M. c.S50	An issuer filing an application under section 20
Blanket Order – section 20 of the <i>Securities Act</i> C.C.S.M. c.S50	A person or company required or permitted to file or deliver a document through SEDAR pursuant to the blanket order. An issuer required or permitted to file or deliver a document pursuant to the blanket order.
Self-regulatory organizations (Part IV.1) – sections 31.1, 31.3 and 31.4 of the <i>Securities Act</i> C.C.S.M. c.S50	N/A
Trade repositories and clearing agencies (Part IV.2) – sections 31.6, 31.11 and 31.12 of the <i>Securities Act</i> C.C.S.M. c.S50	N/A
Trading in derivatives (Part VIII.1) – section 79.1 of the <i>Securities Act</i> C.C.S.M. c.S50	N/A
Designating a person or company as an insider – section 108.1 of the <i>Securities Act</i> C.C.S.M. c.S50	A person or company filing an application for an order that an issuer or class of issuers is, or is not, a mutual fund or a non-redeemable investment fund
Exemption and extension orders section 116 of the <i>Securities Act</i> C.C.S.M. c.S50	An issuer filing an application under section 116
Audit oversight bodies (Part XX) – sections 204 and 206 of the <i>Securities Act</i> C.C.S.M. c.S50	N/A

Column A	Column B
Ontario securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Relieving orders – subsection 1(10) of the <i>Securities Act</i> , RSO 1990, c S.5	An issuer filing an application for an order under subsection 1(10)
Designation – subsection 1(11) of the <i>Securities Act</i> , RSO 1990, c S.5	An issuer filing an application for an order under subsection 1(11)
Exchanges, alternative trading systems, self-regulatory organizations, clearing agencies, quotation and trade reporting systems, information processors – Part VIII of the <i>Securities Act</i> , RSO 1990, c S.5	N/A
Credit rating organizations – Part IX of the <i>Securities Act</i> , RSO 1990, c S.5	N/A
Benchmarks – Part X of the <i>Securities Act</i> , RSO 1990, c S.5	N/A
Registration – Part XI of the <i>Securities Act</i> , RSO 1990, c S.5	N/A
Disclosure of trade information to the Commission – subsection 36(2) of the <i>Securities Act</i> , RSO 1990, c S.5	N/A
Exemption order – subsection 74(1) of the <i>Securities Act</i> , RSO 1990, c S.5	A person or company filing an application for relief from the prospectus requirement An issuer required or permitted to file or deliver a document pursuant to an exemption order
Insider reporting – section 107 of the <i>Securities Act</i> , RSO 1990, c S.5	N/A
Report of transfer by insider – section 109 of the <i>Securities Act</i> , RSO 1990, c S.5	N/A
Filing in other jurisdictions – section 121 of the <i>Securities Act</i> , RSO 1990, c S.5	An issuer filing an application under section 121
Filing and inspection of material – section 140 of the <i>Securities Act</i> , RSO 1990, c S.5	An issuer filing an application under section 140

B.5: Rules and Policies

Column A	Column B
Ontario securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Class order exemption – subsection 143.11(2) of the <i>Securities Act</i> , RSO 1990, c S.5	A person or company required or permitted to file or deliver a document through SEDAR pursuant to a class order An issuer required or permitted to file or deliver a document pursuant to a class order
Revocation or variation of decision – section 144 of the <i>Securities Act</i> , RSO 1990, c S.5	An issuer filing an application under section 144
Exemption – section 147 of the <i>Securities Act</i> , RSO 1990, c S.5	An issuer filing an application under section 147
OSC Rule 11-501 <i>Electronic Delivery of Documents to the Ontario Securities Commission</i>	N/A
OSC Rule 13-502 <i>Fees</i>	An issuer filing any of the following: <ul style="list-style-type: none"> • Form 13-502F1 <i>Class 1 and Class 3B Reporting Issuers – Participation Fee</i> • Form 13-502F2 <i>Class 2 Reporting Issuers – Participation Fee</i> • Form 13-502F2A <i>Adjustment of Fee for Class 2 Reporting Issuers</i> • Form 13-502F3A <i>Class 3A Reporting Issuers – Participation Fee</i> • Form 13-502F6 <i>Subsidiary Exemption Notice</i> • an application under section 8.1
OSC Rule 31-505 <i>Conditions of Registration</i>	N/A
OSC Rule 32-501 <i>Direct Purchase Plans</i>	N/A
OSC Rule 32-505 <i>Conditional Exemption from Registration for United States Broker-Dealers and Advisers Servicing U.S. Clients from Ontario</i>	N/A

B.5: Rules and Policies

Column A	Column B
Ontario securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
OSC Rule 35-502 <i>Non-Resident Advisers</i>	An issuer filing an application under OSC Rule 35-502
OSC Rule 91-501 <i>Strip Bonds</i>	A person or company other than a registrant filing an application under OSC Rule 91-501
OSC Rule 91-502 <i>Trades in Recognized Options</i>	N/A
OSC Rule 91-507 <i>Trade Repositories and Derivatives Data Reporting</i>	N/A

B.5: Rules and Policies

Column A	Column B
Québec securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Insider reports – sections 89 to 98 of the <i>Securities Act</i> , CQLR, c. V-1.1	N/A
Surrender of registration – section 153 of the <i>Securities Act</i> , CQLR, c. V-1.1	N/A
Self-Regulatory Organizations, Securities Exchange or Clearing Activities, Credit rating Organization, Benchmarks and Benchmark Administrators – sections 169 to 186.6 of the <i>Securities Act</i> , CQLR, c. V-1.1	N/A
Exemption order by the Autorité des marchés financiers – section 263 of the <i>Securities Act</i> , CQLR, c. V-1.1	<p>An issuer filing an application for an exemption</p> <p>A person filing an application for an exemption from the prospectus requirement</p> <p>An issuer required or permitted to file or deliver a document pursuant to an exemption order</p>
Blanket order by Autorité des marchés financiers – section 263 of the <i>Securities Act</i> , CQLR, c. V-1.1	An issuer required or permitted to file or deliver a document pursuant to a blanket order
Designation – section 272.2 of the <i>Securities Act</i> , CQLR, c. V-1.1	<p>A person filing an application to be designated a non-redeemable investment fund, a mutual fund or a reporting issuer</p> <p>An issuer required or permitted to file or deliver a document pursuant to a designation order</p>
<i>Derivatives Act</i> , CQLR, c. I-14.01	N/A
<i>An Act Respecting the Regulation of the Financial Sector</i> , CQLR, c. A-33.2	N/A

Column A	Column B
New Brunswick securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Designations – section 1.1(1) of the <i>Securities Act</i> SNB 2004, c S-5.5.	An issuer filing an application for an order under section 1.1(1) An issuer required or permitted to file or deliver a document pursuant to a designation order
Self-Regulated Organizations and other regulated entities – sections 35-39 of the <i>Securities Act</i> SNB 2004, c S-5.5.	N/A
Exemption orders – section 44.02(1) of the <i>Securities Act</i> SNB 2004, c S-5.5.	N/A
Further information – section 50 of the <i>Securities Act</i> SNB 2004, c S-5.5.	N/A
Surrender of registration– section 51(1) of the <i>Securities Act</i> SNB 2004, c S-5.5.	N/A
Exemption order – section 55(1) of the <i>Securities Act</i> SNB 2004, c S-5.5.	A person filing an application that also includes relief from the prospectus requirement
Derivatives – section 70.5(1) of the <i>Securities Act</i> SNB 2004, c S-5.5.	N/A
Prospectus and distribution – section 80(1) of the <i>Securities Act</i> SNB 2004, c S-5.5.	A person required or permitted to file or deliver a document through SEDAR pursuant to an exemption order An issuer required or permitted to file or deliver a document pursuant to an exemption order.
Continuous Disclosure – section 92(1) of the <i>Securities Act</i> SNB 2004, c S-5.5.	An issuer filing an application under section 92 for an exemption from the insider reporting requirement An issuer required or permitted to file or deliver a document pursuant to an exemption order
Insider trading and self-dealing – section 148(1) of the <i>Securities Act</i> SNB 2004, c S-5.5.	A person required or permitted to file or deliver a document through SEDAR pursuant to an exemption order An issuer required or permitted to file or deliver a document pursuant to an exemption order

B.5: Rules and Policies

Column A	Column B
New Brunswick securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Filing and inspection of material – section 198 of the <i>Securities Act</i> SNB 2004, c S-5.5.	An issuer filing an application under section 198
Powers to revoke or vary decision – section 205.1(1) of the <i>Securities Act</i> SNB 2004, c S-5.5	An issuer filing an application under section 205.1(1) An issuer required or permitted to file or deliver a document pursuant to an order
General – Exemption order – section 208(1) of the <i>Securities Act</i> SNB 2004, c S-5.5.	An issuer filing an application under section 208(1) An issuer required or permitted to file or deliver a document pursuant to an order

B.5: Rules and Policies

Column A	Column B
Nova Scotia securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Recognition of self-regulatory organizations – section 30 of the <i>Securities Act</i> , RSNS 1989, c. 418, as amended	N/A
Designation – section 30 A of the <i>Securities Act</i> , RSNS 1989, c. 418, as amended	A person or company filing an application under section 30 A to be designated a mutual fund, non-redeemable investment fund or reporting issuer
Designation of credit rating agencies – sections 30 EA and 30 F of the <i>Securities Act</i> , RSNS 1989, c. 418, as amended	N/A
Recognition of exchanges, quotation and trade reporting systems, clearing agencies, derivatives trading facilities, and derivative trade repositories – section 30 I of the <i>Securities Act</i> , RSNS 1989, c. 418, as amended	N/A
Designation of benchmarks and benchmark administrators –sections 30 N and 30 O of the <i>Securities Act</i> , RSNS 1989, c. 418, as amended	N/A
Voluntary surrender or suspension of registration –section 33 of the <i>Securities Act</i> , RSNS 1989, c. 418, as amended	N/A
Discretionary exemptions – section 79 of the <i>Securities Act</i> , RSNS 1989, c. 418, as amended	A person or company filing an application for relief from the prospectus requirement
Commission orders – section 98 of the <i>Securities Act</i> , RSNS 1989, c. 418, as amended	An issuer filing an application under section 98
Relieving orders – section 121 of the <i>Securities Act</i> , RSNS 1989, c. 418, as amended	An issuer filing an application under section 121
Exemption Order – section 128 of the <i>Securities Act</i> , RSNS 1989, c.418, as amended	An issuer filing an application under section 128
Filing and confidentiality – subsection 148(2) of the <i>Securities Act</i> , RSNS 1989, c. 418, as amended	An issuer filing an application under subsection 148(2)
Revocation or variation of a decision – section 151 of the <i>Securities Act</i> , RSNS 1989, c.418, as amended	An issuer filing an application under section 151
Discretionary exemptions – section 151A of the <i>Securities Act</i> , RSNS 1989, c. 418, as amended	An issuer filing an application under section 151A

B.5: Rules and Policies

Column A	Column B
Nova Scotia securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Blanket order – section 151A of the <i>Securities Act</i> , RSNS 1989, c.418, as amended	A person or company required or permitted to file or deliver a document through SEDAR pursuant to the blanket order. An issuer required or permitted to file or deliver a document pursuant to the blanket order.

B.5: Rules and Policies

Column A	Column B
Prince Edward Island securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Recognition orders – sections 72 and 73 of the <i>Securities Act</i> Chapter S-3.1	N/A
Designation orders – sections 6 and 71 of the <i>Securities Act</i> Chapter S-3.1	N/A
Authorization orders – section 76 of the <i>Securities Act</i> Chapter S-3.1	N/A
Exemption orders – section 16 of the <i>Securities Act</i> Chapter S-3.1	N/A
Superintendent orders – subsection 15(1) of the <i>Securities Act</i> Chapter S-3.1	N/A
Insider filings – subsection 104(2) and section 105 of the <i>Securities Act</i> Chapter S-3.1	N/A
Exchanges and quotation and trade reporting systems – section 70 of the <i>Securities Act</i> Chapter S-3.1	N/A

B.5: Rules and Policies

Column A	Column B
Newfoundland and Labrador securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Trading in Securities Generally – Part XII of the <i>Securities Act</i> RSNL 1990, c S-13	N/A
Exemptions from Registration Requirements – Part XI of the <i>Securities Act</i> RSNL 1990, c S-13	A person or company filing an application for relief from the prospectus requirement
Exemption – section 142.1 of the <i>Securities Act</i> RSNL 1990, c S-13	An issuer filing an application under section 142.1
Surrender of registration – section 28 of the <i>Securities Act</i> RSNL 1990, c S-13	N/A
Self-regulation – Part VIII of the <i>Securities Act</i> RSNL 1990, c S-13	N/A
Investigations and Examinations – Part VI of the <i>Securities Act</i> RSNL 1990, c S-13	N/A
Applications to superintendent – section 93 of the <i>Securities Act</i> RSNL 1990, c S-13	An issuer filing an application under section 93
Further information – section 32 of the <i>Securities Act</i> RSNL 1990, c S-13	N/A
Filing and inspection of material – section 140 of the <i>Securities Act</i> RSNL 1990, c S-13	An issuer filing an application under section 140

B.5: Rules and Policies

Column A	Column B
Yukon securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Recognition orders – sections 72 and 73 of the <i>Securities Act</i> S.Y. 2007, c.16	N/A
Designation orders – sections 6 and 71 of the <i>Securities Act</i> S.Y. 2007, c.16	N/A
Authorization orders – section 76 of the <i>Securities Act</i> S.Y. 2007, c.16	N/A
Exemption orders – section 16 of the <i>Securities Act</i> S.Y. 2007, c.16	N/A
Superintendent orders – subsection 15(1) of the <i>Securities Act</i> S.Y. 2007, c.16	N/A
Designation of credit rating organizations – section 83.1 of the <i>Securities Act</i> S.Y. 2007, c.16	N/A
Insider filings – subsection 104(2) and section 105 of the <i>Securities Act</i> S.Y. 2007, c.16	N/A
Exchanges and quotation and trade reporting systems – section 70 of the <i>Securities Act</i> S.Y. 2007, c.16	N/A

B.5: Rules and Policies

Column A	Column B
Nunavut securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Recognition orders – sections 72 and 73 of the <i>Securities Act</i> , SNU 2008, c 12	N/A
Designation orders – sections 6 and 71 of the <i>Securities Act</i> , SNU 2008, c 12	N/A
Authorization orders – section 76 of the <i>Securities Act</i> , SNU 2008, c 12	N/A
Exemption orders – section 16 of the <i>Securities Act</i> , SNU 2008, c 12	N/A
Superintendent orders – subsection 15(1) of the <i>Securities Act</i> , SNU 2008, c 12	N/A
Designation of credit rating organizations – section 83.1 of the <i>Securities Act</i> , SNU 2008, c 12	N/A
Insider filings – subsection 104(2) and section 105 of the <i>Securities Act</i> , SNU 2008, c 12	N/A

B.5: Rules and Policies

Column A	Column B
Northwest Territories securities legislation pursuant to which documents must not be filed or delivered through SEDAR+	Exceptions to Column A: Filers who must file or deliver the document through SEDAR+
Recognition orders – sections 72 and 73 of the <i>Securities Act</i> , SNWT 2008, c. 10	N/A
Designation orders – sections 6 and 71 of the <i>Securities Act</i> , SNWT 2008, c. 10	N/A
Authorization orders – section 76 of the <i>Securities Act</i> , SNWT 2008, c. 10	N/A
Exemption orders – section 16 of the <i>Securities Act</i> , SNWT 2008, c. 10	N/A
Superintendent orders – subsection 15(1) of the <i>Securities Act</i> , SNWT 2008, c. 10	N/A
Designation of credit rating organizations – section 83.1 of the <i>Securities Act</i> , SNWT 2008, c. 10	N/A
Insider filings – subsection 104(2) and section 105 of the <i>Securities Act</i> , SNWT 2008, c. 10	N/A
Exchanges and quotation and trade reporting systems – section 70 of the <i>Securities Act</i> , SNWT 2008, c. 10	N/A

ANNEX B

COMPANION POLICY 13-103
**SYSTEM FOR ELECTRONIC DATA ANALYSIS AND RETRIEVAL +
(SEDAR+)**

Introduction

The purpose of this Companion Policy is to provide guidance on how securities regulatory authorities and regulators (we or us) interpret or apply certain provisions of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* (the Instrument). This Companion Policy includes explanations, discussion and examples of the application of various parts of the Instrument.

Phased approach to SEDAR+

The National Systems Renewal Program of the Canadian Securities Administrators (CSA) proposes to implement the System for Electronic Data Analysis and Retrieval + (SEDAR+) in several phases, with the objective of replacing all current CSA national systems. The first phase (Phase 1) replaces the System for Electronic Document Analysis and Retrieval (SEDAR), the National Cease Trade Order Database and the Disciplined List. Phase 1 relates principally to documents filed by issuers with securities regulatory authorities or regulators, including:

- all documents previously filed with or delivered through SEDAR;
- Form 45-106F1 *Report of Exempt Distribution*, previously filed in the British Columbia Securities Commission (BCSC) eServices system, the Ontario Securities Commission (OSC) Electronic Filing Portal, and in all other jurisdictions on SEDAR.

In future phases of SEDAR+, we expect SEDAR+ will be capable of accepting the transmission of documents filed with or delivered to securities regulatory authorities and regulators by insiders, registrants, derivatives market participants and regulated entities (such as a marketplace, a self-regulatory body or self-regulatory organization, or a clearing agency).

Phasing of applications, pre-filings and documents under decisions

Applications, pre-filings, and documents filed or delivered under decisions, are being brought into SEDAR+ in a phased manner. Phase 1 of SEDAR+ generally includes all applications, pre-filings and documents previously filed or delivered by issuers, whether in the BCSC eServices system, the OSC Electronic Filing Portal, or by email, courier or regular mail including, but not limited to, applications:

- for an exemption from a provision of securities legislation;
- to be designated as a reporting issuer, mutual fund or non-redeemable investment fund;
- to cease to be a reporting issuer;
- for a full or partial revocation of a cease trade order;
- for a management cease trade order.

Generally, a person or company that is an issuer will file or deliver through SEDAR+ an application, pre-filing, or document filed or delivered under a decision, while a person or company that is an insider, a registrant, a derivatives market participant or a regulated entity will file or deliver the document in the same manner as it currently does, until a future phase of SEDAR+. In future phases of SEDAR+, we expect that SEDAR+ will be capable of accepting the transmission of applications, pre-filings or other documents filed or delivered to securities regulatory authorities or regulators by insiders, registrants, derivatives market participants and regulated entities.

If a provision of Canadian securities legislation is included in Column A of the Appendix of the Instrument, a pre-filing in relation to that provision or an application for an exemption from that provision will not be filed through SEDAR+ at this time, except in the limited circumstances outlined in Column B of the Appendix.

Generally when an application is filed in SEDAR+ pursuant to Column B of the Appendix, and a decision is made, the filer should also transmit through SEDAR+ all documents required to be filed or delivered pursuant to the decision.

Section 1 – Definitions and Interpretation

Unless defined in the Instrument, terms used in the Instrument have the same meanings as in National Instrument 14-101 *Definitions*.

The term “document” includes any report, form, application, pre-filing, information, material and notice, as well as a copy thereof, and applies to a document that is required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

Section 2 – Transmission of documents through SEDAR+

We consider the requirement in section 2 that documents filed with or delivered to us be transmitted through SEDAR+ will generally include filings referred to in policies or staff notices. Examples include a “pre-filing” or “waiver application” under National Policy 11-202 *Process for Prospectus Reviews in Multiple Jurisdictions* (NP 11-202), a “pre-filing” under National Policy 11-203 *Process for Exemptive Relief Applications in Multiple Jurisdictions* and the review of a prospectus on confidential pre-file basis under CSA Staff Notice 43-310 *Confidential Pre-File Review of Prospectuses (for non-investment fund issuers)*.

Section 3 – Transmission of documents outside of SEDAR+

Under paragraph 3(i), the Instrument does not apply to a document required or permitted to be filed or delivered under any of the provisions of securities legislation set out in Column A of the Appendix of the Instrument, unless an exception in Column B applies. The same applies to any document that a person or company is required or permitted to file or deliver pursuant to a decision or order issued in respect of any of the provisions of securities legislation set out in Column A of the Appendix, unless an exception in Column B applies. We expect that all of these documents will be incorporated into SEDAR+ in future phases of SEDAR+.

A person or company will file these documents with, or deliver them to, the securities regulatory authority or regulator in the same manner that it currently does, including by email, courier or regular mail, through the System for Electronic Disclosure by Insiders (SEDI), or through the National Registration Database. For example, an issuer filing an issuer event report under National Instrument 55-102 *System for Electronic Disclosure by Insiders* will transmit this information through SEDI.

The Appendix also contains certain exceptions set out in Column B relating to documents filed under the provisions of securities legislation indicated in Column A that will be transmitted through SEDAR+ in Phase 1. For example, Multilateral Instrument 45-108 *Crowdfunding* contains certain registration requirements for funding portals and registered individuals of funding portals, which will be filed outside of SEDAR+ until a future phase of SEDAR+. The exceptions in Column B that relate to Multilateral Instrument 45-108 *Crowdfunding* are the following documents that an issuer will transmit through SEDAR+ as part of Phase 1:

- a Form 45-106F1 *Report of Exempt Distribution*;
- a crowdfunding offering document;
- additional distribution material;
- financial statements filed by an issuer that is not a reporting issuer;
- a notice of use of proceeds.

Subsection 5(1) – Payment of fees

A filer should consult Multilateral Instrument 13-102 *System Fees* to determine whether it is required to pay a system fee when transmitting a document in SEDAR+, and the amount of any applicable system fee. A filer should consult the local fee rules in each jurisdiction to determine whether it is also required to pay a regulatory filing fee when transmitting a document to the securities regulatory authority or regulator, and the amount of any applicable regulatory filing fee.

Subsection 5(4) – Significant connection

In order to determine the jurisdiction with which a person or company has the most significant connection, a filer should refer to the factors outlined in subsection 3.4(7) of NP 11-202.

Subsection 7(3) – Decisions and orders in British Columbia

Because of differing legislative requirements, subsection 7(1) of the Instrument does not apply in British Columbia. The British Columbia Securities Commission has issued BC Instrument 13-505 *Filings Made under Decisions*, which has the same effect as subsection 7(1) of the Instrument.

Using format and templates specified in SEDAR+

A person or company satisfies a requirement in securities legislation to file a document with, or deliver a document to, the securities regulatory authority or regulator in a prescribed format by transmitting the information in the manner and using the format and templates, if any, specified in SEDAR+. For example, SEDAR+ requires a filer to transmit Form 45-106F1 *Report of Exempt Distribution* in the format and using the templates specified in SEDAR+. In doing so, the filer satisfies all requirements of Form 45-106F1 *Report of Exempt Distribution* that relate to the prescribed format of the report.

Effective time of filing or delivery

SEDAR+ is generally available 24 hours a day, 7 days a week. We consider a document to be filed with or delivered to the securities regulatory authority or regulator when the transmission of the document through SEDAR+ has been completed. SEDAR+ allows a filer to confirm the date and time that the transmission of a document was completed.

Although SEDAR+ is generally available every day to receive documents, Canadian securities legislation or Canadian securities directions that refer to a review of a document by the securities regulatory authority or regulator (for example our timelines to provide a filer with comments on our review of a preliminary prospectus) will continue to provide that the review be carried out within a certain number of business days.

Consent

In certain jurisdictions, the securities regulatory authority or regulator must consent to a document being filed with or delivered to it by the transmission of the document through SEDAR+. We take the view that the acceptance of documents transmitted through SEDAR+ in accordance with the Instrument satisfies any such consent requirement of the securities regulatory authority or regulator contained in electronic commerce legislation.

Requirement to file more than one copy of a document

If any provision of securities legislation requires a person or company to file with or deliver to the securities regulatory authority or regulator more than one copy of a document, the transmission of the document through SEDAR+ in accordance with the Instrument satisfies such a requirement.

Official copy of documents

We consider that, for purposes of securities legislation, securities directions or any other related purpose, an official record of any document transmitted through SEDAR+ is the document stored in SEDAR+.

Certified copy of documents

Securities legislation in certain jurisdictions contains a requirement to produce or make available an original or certified copy of information filed under the securities legislation. We take the view that the securities regulatory authority or regulator satisfies such a requirement by providing a printed copy of the information that contains, or is accompanied by, a certificate of the securities regulatory authority or regulator confirming that the printed copy is a copy of the information filed in SEDAR+.

Use of SEDAR+ by the securities regulatory authority or regulator

The securities regulatory authority or regulator will transmit certain documents through SEDAR+. For example, we will generally transmit through SEDAR+ a receipt and other confirmation of acceptance for a document transmitted through SEDAR+, such as a receipt for a prospectus. We will also generally transmit through SEDAR+ comment related to a prospectus or an application for an exemption that has been filed through SEDAR+.

Public accessibility of documents in SEDAR+

Securities legislation generally requires that the securities regulatory authority or regulator make documents filed with it available for public inspection during normal business hours. We consider that the securities regulatory authority or regulator satisfies this requirement by making such documents publicly available in SEDAR+.

Under securities legislation, documents required or permitted to be delivered are not required to be publicly available but may be subject to an application made under freedom of information legislation. Filers that transmit information in SEDAR+ are responsible for complying with applicable privacy laws.

Changing access to transmitted documents

To change the access status of a document from public to private, a person or company should submit a formal request for confidentiality, generally by way of an application made to the securities regulatory authority or regulator, under the relevant provisions of securities legislation. The securities regulatory authority or regulator will generally not change the access status of a

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document from public to private until the request has been reviewed, and a decision has been made in favour of the person or company.

In the following limited circumstances, we will consider changing the access status of a document from public to private in SEDAR+ without a formal request for confidentiality:

- a person or company transmits a document under the incorrect profile;
- a person or company transmits a fee form with an incorrect calculation;
- a person or company transmits a document that contains a virus;
- a person or company entitled to file a redacted version of a material contract or agreement transmits a non-redacted version of the document in error;
- a recipient agency inadvertently makes a document public in connection with a prospectus filing which should have remained private.

To request a change in the access status of a document from public to private in SEDAR+ in these circumstances, a person or company should make a written request to its principal regulator, determined in accordance with subsection 5(2), 5(3) or 5(4) of the Instrument. Since there may be no formal request for confidentiality and, as a result, no confidentiality decision in these limited circumstances, filers should note that documents that are required to be filed and whose access is changed to private in SEDAR+ may still be made available for public inspection under securities legislation.

Filers should also note that changing the access status of a document from public to private in SEDAR+ does not necessarily remove the document from the public domain. For example, various search engines may continue to index the document, notwithstanding that we have changed the access status of the document to private in SEDAR+. We do not assist filers to remove documents from the public domain.

Documents filed with or delivered to us may also be subject to disclosure under freedom of information legislation.

ANNEX C

LIST OF COMMENTERS

1. Canadian Advocacy Council of CFA Societies Canada
2. Investment Funds Institute of Canada
3. Portfolio Management Association of Canada
4. Investment Industry Association of Canada
5. Canadian Investor Relations Institute
6. CI Investment Inc.
7. Borden Ladner Gervais LLP
8. VigilantCS
9. The Investment Funds Institute of Canada, the Federation of Mutual Fund Dealers, the Investment Industry Association of Canada and the Portfolio Management Association of Canada

ANNEX D

SUMMARY OF COMMENTS AND CSA RESPONSES

This Annex summarizes the comments we received and our responses to those comments.

ITEM	TOPIC	SUMMARIZED COMMENT	CSA RESPONSE
1.	Support for the adoption of a new national filing system	<p>We received 9 comment letters. The commenters generally support the adoption of a new national system to replace the CSA national systems.</p> <p>The following are examples of the comments received:</p> <ul style="list-style-type: none"> • We are broadly supportive of the updates being made by the CSA to the CSA national systems, including SEDAR. • Our members look forward to the implementation of a new integrated national information and filing system. • The potential benefits of [SEDAR+] to regulators, market participants and investors are clear and significant. For regulators, a single structured database presents the opportunity to streamline internal workflow processes, break down silos, develop analytics to optimize organizational performance, and identify compliance review priorities. For market participants, the opportunity to easily access the information and data they are required to file would reduce the need for multiple manual data entries, streamline their own internal work processes, and improve compliance by enabling firms to leverage this data in their business operations and compliance supervision activities. • [We are] very supportive of the CSA's proposed centralized information technology system, as well as the harmonized approach the CSA is taking with respect to [SEDAR+]. We believe that replacing outdated, fragmented reporting systems and databases with more efficient, centralized, and secure technology is a key step in reducing regulatory burden, increasing information security, and facilitating information flow in an efficient and cost-effective manner. • We view [SEDAR+] as an important opportunity to improve information flow that is worth the short-term investment for the longer-term benefit of all stakeholders, including for investors and the CSA. • We thank the CSA for the work being done to create and roll out [SEDAR+]. Technology and database updates are often more complex than they seem, but this undertaking is well overdue and, we believe, very worth the resources and time devoted by CSA staff to make it a reality. • [We have] been an advocate for modernizing the national records filing system for years and [are] pleased that the CSA has moved forward on long awaited revisions to this system. • The redevelopment of the existing outdated and unwieldy systems into an integrated framework is an important step in ensuring that the regulatory infrastructure employs 	We acknowledge the comments of support and thank the commenters.

ITEM	TOPIC	SUMMARIZED COMMENT	CSA RESPONSE
		<p>appropriate technology and system design to meet the industry's current and future requirements.</p> <ul style="list-style-type: none"> • [We commend] the CSA for undertaking the proposed integrated information and filing system, as the existing databases and processes are outdated and have exceeded their useful lifespan. • We are very encouraged that the CSA has adopted a harmonized approach to [SEDAR+] as we anticipate that this approach will result in a more efficient exchange of information, thereby increasing productivity for both registrants and regulators. • We are fully in support of the concept behind [SEDAR+] referred to in the CSA Notice. Replacing these outdated platforms with a single, nationally harmonized platform could reduce the regulatory burden of compliance while improving the efficient flow of information that underpins fair and transparent capital markets. • [SEDAR+] will provide long-term added value to industry, regulators and investors if an open architecture path is chosen. • We appreciate the CSA's adoption of the existing principal jurisdiction analysis in Multilateral Instrument 11-102 <i>Passport System</i> in [NI 13-103]. 	
2.	Comments related to [SEDAR+] generally	<p>Many of the commenters provided feedback and suggestions on matters related to the design, development and implementation of [SEDAR+]. These include comments related to system design, implementation, testing prior to launch, search functionality, systems governance, functionality related to data mining and data. We also received comments on the design of the components of the system related to the Disciplined List, the CTO Database, and the National Registration Database, and comments related to filing of reports of exempt distribution and associated fees.</p>	<p>We thank the commenters for their feedback and suggestions, however these comments are outside of the scope of the NI 13-103. [SEDAR+] program staff have reached out directly to commenters to discuss these comments further in connection with their ongoing work on system design, development and implementation.</p>
3.	Documents required in connection with a hearing, compliance review, proceeding or investigation	<p>Two commenters commented on the decision that documents required in connection with a hearing, compliance review, proceeding or investigation will never be filed or delivered through [SEDAR+].</p> <p>One of the commenters questioned why these documents should not be filed or delivered through [SEDAR+], given the system security presumed to be included. The commenter noted that allowing for the electronic exchange of these types of documents would further eliminate regulatory burden for registrants and increase efficiency for regulators.</p> <p>The other commenter urged the CSA to expand its system capabilities to allow for the filing of these documents to be delivered securely and seamlessly to the relevant CSA staff. The commenter stated that parallel systems of this nature would considerably improve information flow, reduce regulatory</p>	<p>Hearings, compliance reviews, proceedings, and investigations are local jurisdictional responsibilities. SEDAR+ in its initial phases is focused primarily on national filings, and not on local filings or transfers of large files. Local jurisdictions will continue to receive documents required in connection with a hearing, compliance review, proceeding, or investigation outside of SEDAR+ as they do now.</p>

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ITEM	TOPIC	SUMMARIZED COMMENT	CSA RESPONSE
		burden, and address several long-standing technology challenges and frustrations that registrants experience when communicating information during a compliance review or otherwise; several firms have difficulties transmitting large files via email, necessitating several smaller emails to the regulator, causing unnecessary work and fragmentation of documents during what is already a resource, time and document-intensive process.	
4.	Changing access status of a document	Two commenters noted that CP 13-103 states that there are limited circumstances in which the CSA will consider changing the access status of a document from public to private in [SEDAR+] without a formal request for confidentiality, which include instances in which a person or company that is entitled to file a redacted version of a material contract or agreement “transmits a non-redacted version of the document as a result of technical software errors in electronic redaction software”. The commenter believes that this is too restrictive, as it would not permit the change of access status where information is mistakenly filed without redaction, and recommended that the provision be amended to permit a change of access status to private when there has been any mistake in filing a properly redacted version.	We have considered the comments and agree that the provision is too restrictive. We have broadened the provision to permit a change of access status to private in all circumstances where a filer is entitled to file a redacted version of a material contract or agreement, and transmits a non-redacted version in error. This would include technical software errors in electronic redaction software, as well as other errors.
5.	Requirement to update profile	Two commenters commented on the provision of NI 13-103 requiring that, where information in a profile becomes inaccurate, the profile must be updated at the earlier of the next time a document is transmitted through the system, or 10 days after the date on which the information becomes inaccurate. The commenter recommended that, in order to reduce the potential burden imposed by this obligation, the CSA consider a more nuanced approach by assessing the relative importance of the information within the profile, and that more critical profile information should be updated within 10 days, while less critical profile information could be updated when a document is next transmitted through [SEDAR+].	We have considered the suggestions made by the commenters; however, we think that profile information is important information used by market participants, investors and the CSA that needs to be kept current. Also, we do not think that there is a significant burden associated with updating profile information within the timeframes provided.

ANNEX E

CONSEQUENTIAL AMENDMENTS TO INSTRUMENTS AND POLICIES

The adoption of NI 13-103 results in certain consequential amendments and changes to existing instruments and policies. Consequential amendments and changes involve replacing references to SEDAR, the SEDAR website, the SEDAR Filing Manual and NI 13-101. The amendments and changes also address electronic transmission of documents that are required or permitted to be provided to a securities regulatory authority or regulator, including the types of documents that are not required to be transmitted on SEDAR+ because of the phased implementation of SEDAR+.

We are making consequential amendments and changes to the following instruments and policies effective on the date of the coming into force of NI 13-103:

- Multilateral Instrument 11-102 *Passport System* and Companion Policy 11-102CP *Passport System*;
- National Policy 11-201 *Electronic Delivery of Documents*;
- National Policy 11-202 *Process for Prospectus Reviews in Multiple Jurisdictions*;
- National Policy 11-203 *Process for Exemptive Relief Applications in Multiple Jurisdictions*;
- National Policy 11-206 *Process for Cease to be a Reporting Issuer Applications*;
- National Policy 11-207 *Failure-to-File Cease Trade Orders and Revocations in Multiple Jurisdictions*;
- National Policy 12-202 *Revocation of Certain Cease Trade Orders*;
- National Policy 12-203 *Management Cease Trade Orders*;
- National Instrument 41-101 *General Prospectus Requirements* and Companion Policy 41-101CP *General Prospectus Requirements*;
- National Policy 41-201 *Income Trusts and Other Indirect Offerings*;
- National Instrument 43-101 *Standards of Disclosure for Mineral Projects* and Companion Policy 43-101CP *Standards of Disclosure for Mineral Projects*;
- National Instrument 44-101 *Short Form Prospectus Distributions* and Companion Policy 44-101CP *Short Form Prospectus Distributions*;
- National Instrument 44-102 *Shelf Distributions* and Companion Policy 44-102CP *Shelf Distributions*;
- Companion Policy 44-103CP *Post-Receipt Pricing*;
- National Instrument 45-102 *Resale of Securities* and Companion Policy 45-102CP *Resale of Securities*;
- National Instrument 45-106 *Prospectus Exemptions* and Companion Policy 45-106CP *Prospectus Exemptions*;
- National Policy 46-201 *Escrow for Initial Public Offerings*;
- National Instrument 51-101 *Standards of Disclosure for Oil and Gas Activities* and Companion Policy 51-101CP *Standards of Disclosure for Oil and Gas Activities*;
- National Instrument 51-102 *Continuous Disclosure Obligations* and Companion Policy 51-102CP *Continuous Disclosure Obligations*;
- Multilateral Instrument 51-105 *Issuers Quoted in the U.S. Over-the-Counter Markets* and Companion Policy 51-105CP *Issuers Quoted in the U.S. Over-the-Counter Markets*;
- National Policy 51-201 *Disclosure Standards*;
- Companion Policy 52-107CP *Acceptable Accounting Principles and Auditing Standards*;
- Companion Policy 52-108CP *Auditor Oversight*;

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- Companion Policy 52-109CP *Certification of Disclosure in Issuers' Annual and Interim Filings*;
- National Instrument 52-112 *Non-GAAP and Other Financial Measures Disclosure* and Companion Policy 52-112 *Non-GAAP and Other Financial Measures Disclosure*;
- National Instrument 54-101 *Communication with Beneficial Owners of Securities of a Reporting Issuer* and Companion Policy 54-101CP *Communication with Beneficial Owners of Securities of a Reporting Issuer*;
- National Instrument 55-102 *System for Electronic Disclosure by Insiders (SEDI)*;
- National Instrument 55-104 *Insider Reporting Requirements and Exemptions* and Companion Policy 55-104CP *Insider Reporting Requirements and Exemptions*;
- National Instrument 58-101 *Disclosure of Corporate Governance Practices*;
- National Instrument 62-104 *Take-Over Bids and Issuer Bids*;
- Companion Policy 71-102CP *Continuous Disclosure and Other Exemptions Relating to Foreign Issuers*;
- National Instrument 81-101 *Mutual Fund Prospectus Disclosure* and Companion Policy 81-101CP *Mutual Fund Prospectus Disclosure*;
- Companion Policy 81-102CP *Investment Funds*;
- National Instrument 81-106 *Investment Fund Continuous Disclosure* and Companion Policy 81-106CP *Investment Fund Continuous Disclosure*;
- Commentary to National Instrument 81-107 *Independent Review Committee for Investment Funds*, and related Quebec Policy Statement to Regulation 81-107 *Respecting Independent Review Committee for Investment Funds*, if applicable.

In all jurisdictions other than BC, the CSA is making consequential amendments and changes to the following instruments and policies effective on the date of the coming into force of NI 13-103:

- Multilateral Instrument 45-108 *Crowdfunding* and Companion Policy 45-108CP *Crowdfunding*;
- Companion Policy 61-101CP *Protection of Minority Security Holders in Special Transactions*.

**AMENDMENTS TO
MULTILATERAL INSTRUMENT 11-102 PASSPORT SYSTEM**

1. **Multilateral Instrument 11-102 Passport System is amended by this Instrument.**
2. **Section 1.1 is amended by**
 - (a) **deleting the definition of “SEDAR”, and**
 - (b) **adding the following definition:**

“SEDAR+” has the same meaning as in National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)*;
3. **Paragraph 3.3(1)(b) is amended by replacing “SEDAR” with “SEDAR+”.**
4. **Paragraph 3.3(2)(b) is amended by replacing “SEDAR” with “SEDAR+”.**
5. **Appendix D is amended by**
 - (a) **replacing “SEDAR” with “SEDAR+”, and**
 - (b) **replacing “NI 13-101” with “NI 13-103”.**

Effective Date

- 6.(1) This Instrument comes into force on June 9, 2023.
- (2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after June 9, 2023, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

**CHANGES TO
COMPANION POLICY 11-102CP PASSPORT SYSTEM**

1. *Companion Policy 11-102CP Passport System is changed by this Document.*
2. *Section 1.1 is changed by deleting the definition of “MI 11-101”.*
3. *Subsection 1.3(3) is changed by deleting “through SEDAR”.*
4. *Section 3.3 is changed by*
 - (a) *replacing “SEDAR” with “SEDAR+”, and*
 - (b) *deleting “by sending a refusal letter”.*
5. *Section 3.5 is deleted.*
6. *Section 4.5 is deleted.*
7. *Section 4C.5 is deleted.*
8. *Appendix A is deleted.*

Effective Date

9. These changes become effective on June 9, 2023.

**CHANGES TO
NATIONAL POLICY 11-201 *ELECTRONIC DELIVERY OF DOCUMENTS***

1. ***National Policy 11-201 Electronic Delivery of Documents is changed by this Document.***
2. ***Section 3.1 is replaced by*** “Documents proposed to be delivered electronically should be recreated in electronic format, rather than scanned into electronic format. This is recommended because scanned documents can be difficult to transmit, store and retrieve on a cost-efficient basis and may be difficult to view upon retrieval.”.
3. ***Subsection 3.3(5) is deleted.***
4. ***Subsection 3.3(6) is changed by deleting*** “, such as SEDAR,”.

Effective Date

5. These changes become effective on June 9, 2023.

**CHANGES TO
NATIONAL POLICY 11-202
PROCESS FOR PROSPECTUS REVIEWS IN MULTIPLE JURISDICTIONS**

1. **National Policy 11-202 Process for Prospectus Reviews in Multiple Jurisdictions is changed by this Document.**
2. **Part 2 is changed by adding “AND INTERPRETATION” to its title.**
3. **Section 2.1 is changed by deleting the definition of “NI 13-101”.**
4. **Section 2.2 is changed by replacing “NI 13-101” with “National Instrument 13-103 System for Electronic Data Analysis and Retrieval + (SEDAR+)”.**
5. **Part 2 is changed by adding the following section:**
 - 2.3 **Electronic transmission** – National Instrument 13-103 System for Electronic Data Analysis and Retrieval + (SEDAR+) prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 System for Electronic Data Analysis and Retrieval + (SEDAR+) sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 System for Electronic Data Analysis and Retrieval + (SEDAR+) should be consulted when providing any document to a securities regulatory authority or regulator under MI 11-102 and this policy..
6. **Section 4.1 is changed by**
 - (a) **deleting** “electronic”,
 - (b) **deleting** “on SEDAR”, **and**
 - (c) **deleting the sentence** “If the filer files a prospectus in paper format under NI 13-101, the filer should include this information in the cover letter for the prospectus.”.
7. **Section 4.3 is changed by deleting** “on SEDAR”.
8. **Section 5.4 is changed by**
 - (a) **replacing** “a first comment letter” **with** “initial comments”, **and**
 - (b) **replacing** “SEDAR” **with** “SEDAR+”.
9. **Section 5.5 is changed by**
 - (a) **replacing** “a first comment letter” **with** “initial comments”, **and**
 - (b) **replacing** “SEDAR” **with** “SEDAR+”.
10. **Section 5.7 is changed by replacing** “comment letter” **with** “comments”.
11. **The following provisions are changed by replacing** “SEDAR” **with** “SEDAR+”:
 - (a) **subsection 6.1(1);**
 - (b) **subsection 7.1(2).**
12. **Subsection 7.2(2) is changed by replacing** “the filer provides a letter to the principal regulator with the materials confirming the following” **with** “at the same time as filing the materials, the filer confirms to the principal regulator that”.

13. **Section 7.3 is changed by**
 - (a) **replacing “SEDAR” with “SEDAR+”, and**
 - (b) **replacing “the filer provides a letter to the principal regulator with the materials confirming the following” with “at the same time as filing the materials, the filer confirms to the principal regulator that”.**
14. **Subsection 8.1(1.1) is deleted.**
15. **Subsection 8.2(1) is changed by deleting “by letter”.**
16. **The following provisions are changed by replacing “SEDAR” with “SEDAR+”:**
 - (a) **subsection 8.3(1);**
 - (b) **subsection 8.4(3);**
 - (c) **section 9.3.**
17. **Subsection 10.1(2) is changed by replacing “the filer provides a letter to the principal regulator with the materials confirming the following” with “at the same time as filing the materials, the filer confirms to the principal regulator that”.**
18. **Section 10.3 is changed**
 - (a) **in subsection (1) by:**
 - (i) **replacing “issues its comment letter” with “provides its comments”, and**
 - (ii) **replacing “issue its comment letter” wherever the expression occurs with “provide its comments”.**
 - (b) **in paragraph (1)(a) by replacing “comment letter” with “comments”,**
 - (c) **in paragraph (1)(b) by replacing “comment letter” with “comments”,**
 - (d) **in subsection (2) by replacing “issued its comment letter” with “provided its comments”,**
 - (e) **in paragraph (2)(a) by replacing “issue a comment letter” with “provide comments”,**
 - (f) **in subsection (3) by replacing “issued its comment letter” with “provided its comments”, and**
 - (g) **in paragraph (3)(a) by replacing “issue a comment letter” with “provide comments”.**
19. **Section 10.4 is changed by replacing “issue a comment letter” wherever the expression occurs with “provide comments”.**
20. **Section 10.5 is changed by**
 - (a) **replacing “SEDAR” with “SEDAR+”, and**
 - (b) **replacing “the filer provides a letter to the principal regulator with the materials confirming the following” with “at the same time as filing the materials, the filer confirms to the principal regulator that”.**
21. **Subsection 10.6(2) is changed by replacing “SEDAR” with “SEDAR+”.**
22. **Part 12 is changed by deleting “AND TRANSITION” in its title.**
23. **Section 12.2 is deleted.**

Effective Date

24. These changes become effective on June 9, 2023.

**CHANGES TO
NATIONAL POLICY 11-203
PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS IN MULTIPLE JURISDICTIONS**

1. **National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions is changed by this Document.**
2. **Part 2 is changed by adding “AND INTERPRETATION” at the end of its title.**
3. **The Policy is changed by adding the following section:**
 - 2.3 **Electronic transmission** – National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under MI 11-102 and this policy..
4. **Subsection 3.8(5) is deleted.**
5. **Section 4.2 is changed by**
 - (a) **deleting** “by letter”, **and**
 - (b) **deleting** “in the pre-filing”.
6. **Subsections 4.3(1) and 4.4(1) are changed by deleting** “in the pre-filing”.
7. **Section 5.1 is changed by replacing** “In its application, the” **with** “The”.
8. **Section 5.3 is changed**
 - (a) **in its heading by replacing** “sections 4.7 and 4.8” **with** “section 4.7”,
 - (b) **by deleting subsection (2),**
 - (c) **in subsection (3) by deleting** “or 4.8” **and** “and 4.8”,
 - (d) **in paragraph (4)(a) by deleting** “or 4.8(1)”,
 - (e) **by replacing paragraph (4)(b) with the following:**
 - (b) include the date of the decision of the principal regulator for the initial application if the notice is given under section 4.7(1)(c) of MI 11-102., **and**
 - (f) **in subsection (6) by deleting** “or (2)”.
9. **Section 5.5 is replaced with the following:**
 - 5.5 **Filing**
 - (1) As indicated in section 2.3 of this policy, National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under MI 11-102 or this policy. A filer should consult the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* to determine whether pre-filing or application materials are excluded from being filed or delivered in the System for Electronic Data Analysis and Retrieval + (SEDAR+).

- (2) When pre-filing or application materials are to be transmitted through the System for Electronic Data Analysis and Retrieval + (SEDAR+), a filer should send the application materials together with the fees to
- (a) the principal regulator, in the case of a passport application,
 - (b) the principal regulator and the OSC, in the case of a dual application, or
 - (c) each regulator from which the filer seeks exemptive relief, in the case of a coordinated review application.
- (3) When pre-filing or application materials are excluded from being transmitted through the System for Electronic Data Analysis and Retrieval + (SEDAR+), a filer should send the application materials together with the fees to
- (a) the principal regulator, in the case of a passport application,
 - (b) the principal regulator and the OSC, in the case of a dual application, or
 - (c) each regulator from which the filer seeks exemptive relief, in the case of a coordinated review application.

Filing the application concurrently in all required jurisdictions will make it easier for the principal regulator and non-principal regulators, if applicable, to process the application expeditiously.

When pre-filing or application materials are excluded from being transmitted through the System for Electronic Data Analysis and Retrieval + (SEDAR+), filers should send pre-filing or application materials using the relevant e-mail address or addresses listed below or by following the instructions at the linked web page below:

British Columbia	www.bcsc.bc.ca (click on BCSC e-services and follow the steps)
Alberta	legalapplications@asc.ca
Saskatchewan	exemptions@gov.sk.ca
Manitoba	exemptionsmsc@gov.mb.ca
Ontario	https://www.osc.ca/en/filing-documents-online
Québec	Dispenses-Passeport@lautorite.qc.ca
New Brunswick	Passport-passeport@nbsc-cvmnb.ca
Nova Scotia	nsscexemptions@gov.ns.ca
Prince Edward Island	CCIS@gov.pe.ca
Newfoundland and Labrador	securitiesexemptions@gov.nl.ca
Yukon	Corporateaffairs@gov.yk.ca
Northwest Territories	SecuritiesRegistry@gov.nt.ca
Nunavut	legal.registries@gov.nu.ca

10. Section 5.7 is changed by

- (a) **deleting** “, fax number”, **and**
- (b) **replacing** “tell the filer, in the acknowledgement,” **with** “notify the filer of”.

11. Subsection 5.8(2) is changed by replacing “mark” **with** “treat”.

12. Subsection 7.2(1) is changed by replacing “circulate” **with** “provide”.

13. Subsection 8.1(2) is changed by deleting “or 4.8(l)(c)”.

14. Part 9 is changed by deleting sections 9.2 and 9.3.

Effective Date

15. These changes become effective on June 9, 2023.

**CHANGES TO
NATIONAL POLICY 11-206
PROCESS FOR CEASE TO BE A REPORTING ISSUER APPLICATIONS**

1. **National Policy 11-206 Process for Cease to be a Reporting Issuer Applications is changed by this Document.**
 2. **Part 2 is changed by adding “AND INTERPRETATION” in its title.**
 3. **The Policy is changed by adding the following section:**
 - 4.1. **Electronic transmission** – National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under Multilateral Instrument 11-102 *Passport System* and this policy..
 4. **Subsection 10(1) is deleted.**
 5. **Section 16 is changed by**
 - (a) **deleting “by letter”, and**
 - (b) **deleting “in the pre-filing”.**
 6. **Subsection 17(1) is changed by deleting “in the pre-filing”.**
 7. **Subsection 22(1) is changed by replacing “In its application, the” with “The”.**
 8. **Section 27 is replaced with the following:**

Filing

 27. A filer should send the application materials together with the fees to
 - (a) the principal regulator, in the case of a passport application, or
 - (b) the principal regulator and the OSC, in the case of a dual application..
 9. **Subsection 30(2) is changed by replacing “mark” with “treat”.**
 10. **Subsection 34(1) is changed by replacing “circulate” with “provide”.**
 11. **Part 10 is changed**
 - (a) **in its title by deleting “TRANSITION AND”, and**
 - (b) **by deleting section 40.**
- Effective Date**
12. These changes become effective on June 9, 2023.

**CHANGES TO
NATIONAL POLICY 11-207
FAILURE-TO-FILE CEASE TRADE ORDERS AND REVOCATIONS IN MULTIPLE JURISDICTIONS**

1. **National Policy 11-207 Failure-to-File Cease Trade Orders and Revocations in Multiple Jurisdictions is changed by this Document.**
2. **Section 3 is changed by**
 - (a) **deleting the definition of “SEDAR”, and**
 - (b) **adding the following definition:**

“SEDAR+” means System for Electronic Data Analysis and Retrieval +;
3. **The Policy is changed by adding the following section:**
 - 5.1. **Electronic Transmission** – National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under this policy..
4. **Section 13 is changed by replacing “SEDAR” with “SEDAR+”.**
5. **Subsection 16(1) is changed by replacing “circulate” with “provide”.**
6. **Subsection 19(2) is changed by deleting “a copy of”.**
7. **Section 28 is changed by replacing “to hold an annual meeting requirement” with “to hold an annual meeting”.**
8. **Paragraph 33(1)(e) is changed by replacing “SEDAR” with “SEDAR+”.**
9. **Section 37 is replaced with the following:**

Filing

 37. A filer should send the application materials, including the draft order together with the fees, where applicable, to
 - (a) the principal regulator or
 - (b) the principal regulator and the OSC, in the case of a dual application..
10. **Subsection 40(2) is changed by replacing “mark” with “treat”.**
11. **Subsection 45(1) is changed by replacing “circulate” with “provide”.**

Effective Date

12. These changes become effective on June 9, 2023.

**CHANGES TO
NATIONAL POLICY 12-202 REVOCATION OF CERTAIN CEASE TRADE ORDERS**

1. **National Policy 12-202 Revocation of Certain Cease Trade Orders is changed by this Document.**
 2. **Section 2 is changed by**
 - (a) **deleting the definition of “SEDAR”, and**
 - (b) **adding the following definition:**

“SEDAR+” means System for Electronic Data Analysis and Retrieval +;
 3. **The Policy is changed by adding the following section:**
 - 4.1. **Electronic transmission** – National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under this policy.
 4. **Section 9 is changed by replacing “to hold an annual meeting requirement” with “to hold an annual meeting”.**
 5. **Paragraph 14(2)(f) is changed by replacing “SEDAR” with “SEDAR+”.**
- Effective Date**
6. These changes become effective on June 9, 2023.

**CHANGES TO
NATIONAL POLICY 12-203 MANAGEMENT CEASE TRADE ORDERS**

1. **National Policy 12-203 Management Cease Trade Orders is changed by this Document.**
2. **Section 2 is changed by deleting the definition of “SEDAR”.**
3. **The Policy is changed by adding the following section:**
 - 4.1. **Electronic transmission** – National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under this policy..
4. **Section 9 is changed by deleting “on SEDAR”.**
5. **Section 14 is changed by**
 - (a) **deleting “then, for the purposes of filing on SEDAR,”, and**
 - (b) **deleting “electronic”.**

Effective Date

6. These changes become effective on June 9, 2023.

**AMENDMENTS TO
NATIONAL INSTRUMENT 41-101 GENERAL PROSPECTUS REQUIREMENTS**

1. ***National Instrument 41-101 General Prospectus Requirements is amended by this Instrument.***
2. ***Schedule 1 – Part A of Appendix A is amended by replacing “System for Electronic Document Analysis and Retrieval (SEDAR)” with “System for Electronic Data Analysis and Retrieval + (SEDAR+)” under the heading “General Instructions”.***
3. ***Form 41-101F1 is amended in Item 36A.1(3)(b)(ii) by replacing “www.sedar.com” with “www.sedarplus.com”.***
4. ***Form 41-101F1 is amended in Item 36A.1(5) by replacing “SEDAR” with “SEDAR+”.***
5. ***Form 41-101F2 is amended in Item 37.1 by replacing “www.sedar.com” with “www.sedarplus.com”.***
6. ***Form 41-101F3 is amended in the following items of Part B by replacing “www.sedar.com” with “www.sedarplus.com”:***
 - (a) ***Item 4.1(1);***
 - (b) ***Item 15.1(2).***

Effective Date

- 7.(1) This Instrument comes into force on June 9, 2023.
- (2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after June 9, 2023, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

**CHANGES TO
COMPANION POLICY 41-101CP TO
NATIONAL INSTRUMENT 41-101 GENERAL PROSPECTUS REQUIREMENTS**

1. ***Companion Policy 41-101CP to National Instrument 41-101 General Prospectus Requirements is changed by this Document.***

2. ***Section 1.2 is changed by adding the following subsection:***

(8) Electronic transmission

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under the Instrument and this Policy..

3. ***Subsection 3.5.1(2) is changed by replacing “System for Electronic Document Analysis and Retrieval (SEDAR)” with “System for Electronic Data Analysis and Retrieval + (SEDAR+)”.***

4. ***Subsection 3.5.1(3) is changed by replacing “SEDAR” with “SEDAR+”.***

5. ***Subsection 6.5B(9) is changed by replacing “SEDAR” wherever it occurs with “SEDAR+”.***

6. ***Subsection 6.5B(11) is changed by replacing “SEDAR” with “SEDAR+”.***

7. ***Subsection 6.5B(12) is changed by replacing “SEDAR” with “SEDAR+”.***

8. ***Subsection 6.12(4) is changed by replacing “SEDAR” with “SEDAR+”.***

9. ***Subsection 6.12(7) is changed by replacing “SEDAR” wherever it occurs with “SEDAR+”.***

Effective Date

10. These changes become effective on June 9, 2023.

**CHANGES TO
NATIONAL POLICY 41-201 INCOME TRUSTS AND OTHER INDIRECT OFFERINGS**

1. ***National Policy 41-201 Income Trusts and Other Indirect Offerings is changed by this Document.***
2. ***In the following provisions, “SEDAR” is replaced with “SEDAR+”:***
 - (a) ***section 3.3;***
 - (b) ***section 3.10 and its heading;***
 - (c) ***section 6.1(B).***

Effective Date

3. These changes become effective on June 9, 2023.

**AMENDMENTS TO
NATIONAL INSTRUMENT 43-101 STANDARDS OF DISCLOSURE FOR MINERAL PROJECTS**

1. ***National Instrument 43-101 Standards of Disclosure for Mineral Projects is amended by this Instrument.***
2. ***Paragraph 6.4(1)(b) is amended by replacing “SEDAR” with “SEDAR+”.***

Effective Date

- 3.(1) This Instrument comes into force on June 9, 2023.
- (2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after June 9, 2023, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

**CHANGES TO
COMPANION POLICY 43-101CP TO
NATIONAL INSTRUMENT 43-101 STANDARDS OF DISCLOSURE FOR MINERAL PROJECTS**

1. ***Companion Policy 43-101CP to National Instrument 43-101 Standards of Disclosure for Mineral Projects is changed by this Document.***

2. ***Subsection 4.2(1) is changed by replacing “SEDAR” wherever it occurs with “SEDAR+”.***

3. ***Subsection 4.2(11) is replaced with the following:***

- (11) **Electronic transmission** – National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under the Instrument and this Policy..

4. ***Subsection 4.3(2) is changed by replacing “SEDAR” with “SEDAR+”.***

5. ***Section 6.1 is changed by deleting the following paragraph:***

In addition, SEDAR might not be able to accommodate large technical report files. An issuer could have difficulty filing, and more importantly, the public could have difficulty accessing and downloading, large technical reports. An issuer should consider limiting the size of its technical reports to facilitate filing and public access to the reports.

Effective Date

6. These changes become effective on June 9, 2023.

**AMENDMENTS TO
NATIONAL INSTRUMENT 44-101 SHORT FORM PROSPECTUS DISTRIBUTIONS**

1. **National Instrument 44-101 Short Form Prospectus Distributions is amended by this Instrument.**
2. **Section 1.1 is amended by**
 - (a) **deleting the definition of “NI 13-101”, and**
 - (b) **adding the following definition:**

“SEDAR+” has the same meaning as in National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)*;
3. **Section 2.2 is amended by replacing paragraph (a) with the following:**
 - (a) the issuer is required to transmit documents through SEDAR+;
4. **Subsection 2.3(1) is amended by replacing paragraph (a) with the following:**
 - (a) the issuer is required to transmit documents through SEDAR+;
5. **Subsection 2.6(1) is amended by replacing paragraph (a) with the following:**
 - (a) the issuer is required to transmit documents through SEDAR+;
6. **Form 44-101F1 is amended in Item 1.3 by replacing “www.sedar.com” with “www.sedarplus.com”.**
7. **Form 44-101F1 is amended in Item 11.6(3)(b)(ii) by replacing “www.sedar.com” with “www.sedarplus.com”.**

Effective Date

- 8.(1) This Instrument comes into force on June 9, 2023.
- (2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after June 9, 2023, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

**CHANGES TO
COMPANION POLICY 44-101CP TO
NATIONAL INSTRUMENT 44-101 SHORT FORM PROSPECTUS DISTRIBUTIONS**

1. ***Companion Policy 44-101CP to National Instrument 44-101 Short Form Prospectus Distributions is changed by this Document.***
2. ***The Policy is changed by adding the following section:***
 - 1.10 **Electronic transmission** – National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under NI 44-101 and this Policy..
3. ***Section 3.1 is changed by replacing “the issuer’s filer profile for SEDAR” with “the issuer’s profile for SEDAR+”.***
4. ***Subsection 3.2.1(2) is changed by replacing “System for Electronic Document Analysis and Retrieval (SEDAR)” with “System for Electronic Data Analysis and Retrieval + (SEDAR+)”.***
5. ***Subsection 3.2.1(3) is changed by replacing “SEDAR” with “SEDAR+”.***

Effective Date

6. These changes become effective on June 9, 2023.

**AMENDMENTS TO
NATIONAL INSTRUMENT 44-102 SHELF DISTRIBUTIONS**

1. ***National Instrument 44-102 Shelf Distributions is amended by this Instrument.***
2. ***Clause 9A.3(7)(b)(iv)(B) is amended by replacing “www.sedar.com” with “www.sedarplus.com”.***

Effective Date

- 3.(1) This Instrument comes into force on June 9, 2023.
- (2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after June 9, 2023, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

**CHANGES TO
COMPANION POLICY 44-102CP TO
NATIONAL INSTRUMENT 44-102 SHELF DISTRIBUTIONS**

1. ***Companion Policy 44-102CP to National Instrument 44-102 Shelf Distributions is changed by this Document.***

2. ***The Policy is changed by adding the following section:***

1.1.1 Electronic transmission

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under NI 44-102 and this policy..

3. ***Section 1.3 is changed by replacing “SEDAR” with “SEDAR+”.***

Effective Date

4. These changes become effective on June 9, 2023.

**CHANGES TO
COMPANION POLICY 44-103CP TO
NATIONAL INSTRUMENT 44-103 POST-RECEIPT PRICING**

1. ***Companion Policy 44-103CP to National Instrument 44-103 Post-Receipt Pricing is changed by this Document.***
2. ***The Policy is changed by adding the following section:***

1.4 Electronic transmission

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under the Instrument and this policy..

Effective Date

3. These changes become effective on June 9, 2023.

**AMENDMENTS TO
NATIONAL INSTRUMENT 45-102 RESALE OF SECURITIES**

1. **National Instrument 45-102 Resale of Securities is amended by this Instrument.**
2. **Section 1.1 is amended by repealing the definition of “SEDAR”.**
3. **In the following provisions, “on SEDAR” is deleted:**
 - (a) **paragraph 2.8(3)(b);**
 - (b) **paragraph 2.11(a);**
 - (c) **paragraph 2.12(a).**
4. **Form 45-102F1 is amended under “INSTRUCTION:” by deleting “electronically through SEDAR”.**

Effective Date

- 5.(1) This Instrument comes into force on June 9, 2023.
- (2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after June 9, 2023, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

**CHANGES TO
COMPANION POLICY 45-102 RESALE OF SECURITIES**

1. **Companion Policy 45-102 Resale of Securities is changed by this Document.**

2. **Section 1.1 is changed by adding the following paragraph:**

(4) **Electronic transmission** - National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under NI 45-102 and this policy..

3. **Section 1.16 is changed:**

(a) **by replacing** “SEDAR” **with** “SEDAR+”, **and**

(b) **by replacing** “13-101 *System for Electronic Document Analysis and Retrieval (SEDAR)* and the current CSA SEDAR Filer Manual (including code updates)” **with** “13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* and its Companion Policy”.

Effective Date

4. These changes become effective on June 9, 2023.

**AMENDMENTS TO
NATIONAL INSTRUMENT 45-106 PROSPECTUS EXEMPTIONS**

1. ***National Instrument 45-106 Prospectus Exemptions is amended by this Instrument.***
2. ***Section 1.1 is amended***
 - (a) ***in the definition of “qualifying issuer” by repealing paragraph (a), and***
 - (b) ***by repealing the definition of “SEDAR filer”.***
3. ***Section 5.2 is amended by repealing paragraph (b).***
4. ***Subparagraph 5A.2(k)(i)(B) is amended by replacing “www.sedar.com” with “www.sedarplus.com”.***
5. ***Form 45-106F1 is repealed and replaced with the following form:***

Form 45-106F1 Report of Exempt Distribution**A. General Instructions****1. Filing instructions**

An issuer or underwriter that is required to file a report of exempt distribution and pay the applicable filing fee must pay the filing fee and file the information required by this form in the manner and using the templates specified in the System for Electronic Data Analysis and Retrieval + (SEDAR+) in accordance with National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* (in Québec, Regulation 13-103 respecting System for Electronic Data Analysis and Retrieval + (SEDAR+)).

The issuer or underwriter must file the report in a jurisdiction of Canada if the distribution occurs in the jurisdiction, and the issuer or underwriter is relying on a specific exemption from the prospectus requirement set out in section 6.1 of the Instrument. The requirement to file this report might also be a condition of a prospectus exemption provided in a national, multilateral or local rule or instrument, or a condition of an exemptive relief order. If a distribution is made in more than one jurisdiction of Canada, the issuer or underwriter may satisfy its obligation to file the report by completing a single report identifying all purchasers, and file the report in each jurisdiction of Canada in which the distribution occurs. Filing fees payable in a particular jurisdiction are not affected by identifying all purchasers in a single report.

In order to determine the applicable filing fee in a particular jurisdiction of Canada, consult the securities legislation of that jurisdiction. The issuer or underwriter must pay the filing fee through SEDAR+ in accordance with National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)*(NI 13-103)(in Québec, Regulation 13-103 respecting System for Electronic Data Analysis and Retrieval + (SEDAR+)).

2. Issuers located outside of Canada

If an issuer located outside of Canada determines that a distribution has taken place in a jurisdiction of Canada, include information about purchasers resident in that jurisdiction only.

3. Multiple distributions

An issuer may use one report for multiple distributions occurring within 10 days of each other, provided the report is filed on or before the 10th day following the first distribution date. However, an investment fund issuer that is relying on the exemptions set out in subsection 6.2(2) of NI 45-106 (in Québec, Regulation 45-106 respecting Prospectus Exemptions) may file the report annually in accordance with that subsection.

4. References to purchaser

References to a purchaser in this form are to the beneficial owner of the securities.

However, if a trust company, trust corporation, or registered adviser described in paragraph (p) or (q) of the definition of “accredited investor” in section 1.1 of NI 45-106 (in Québec, Regulation 45-106 respecting Prospectus Exemptions) has purchased the securities on behalf of a fully managed account, provide information about the trust company, trust corporation or registered adviser only; do not include information about the beneficial owner of the fully managed account.

Joint purchasers may be treated as one purchaser for the purposes of Item 7(f) of this form.

5. References to issuer

References to “issuer” in this form include an investment fund issuer and a non-investment fund issuer, unless otherwise specified.

6. Investment fund issuers

If the issuer is an investment fund, complete Items 1-3, 6-8, 10, 11 and Schedule 1 of this form.

7. Mortgage investment entities

If the issuer is a mortgage investment entity, complete all applicable items of this form other than Item 6.

8. Language

The report must be filed in English or in French. In Québec, the issuer or underwriter must comply with linguistic rights and obligations prescribed by Québec law.

9. Currency

All dollar amounts in the report must be in Canadian dollars. If the distribution was made or any compensation was paid in connection with the distribution in a foreign currency, convert the currency to Canadian dollars using the daily exchange rate of the Bank of Canada on the distribution date. If the distribution date occurs on a date when the daily exchange rate of the Bank of Canada is not available, convert the currency to Canadian dollars using the most recent daily exchange rate of the Bank of Canada available before the distribution date. For investment funds in continuous distribution, convert the currency to Canadian dollars using the average daily exchange rate of the Bank of Canada for the distribution period covered by the report.

If the distribution was not made in Canadian dollars, provide the foreign currency in Item 7(a) of the report.

10. Date of information in report

Unless otherwise indicated in this form, provide the information as of the distribution end date.

11. Date of formation

For the date of formation, provide the date on which the issuer was incorporated, continued or organized (formed). If the issuer resulted from an amalgamation, arrangement, merger or reorganization, provide the date of the most recent amalgamation, arrangement, merger or reorganization.

12. Security codes

Wherever this form requires disclosure of the type of security, use the following security codes:

Security code	Security type
BND	Bonds
CER	Certificates <i>(including pass-through certificates, trust certificates)</i>
CMS	Common shares
CVD	Convertible debentures
CVN	Convertible notes
CVP	Convertible preferred shares
DCT	Digital coins or tokens
DEB	Debentures
DRS	Depository receipts <i>(such as American or Global depository receipts/shares)</i>
FTS	Flow-through shares
FTU	Flow-through units
LPU	Limited partnership units and limited partnership interests <i>(including capital commitments)</i>
MTG	Mortgages <i>(other than syndicated mortgages)</i>
NOT	Notes <i>(include all types of notes except convertible notes)</i>
OPT	Options
PRS	Preferred shares
RTS	Rights
SMG	Syndicated mortgages
SUB	Subscription receipts
UBS	Units of bundled securities <i>(such as a unit consisting of a common share and a warrant)</i>
UNT	Units <i>(exclude units of bundled securities, include trust units and mutual fund units)</i>
WNT	Warrants <i>(including special warrants)</i>
OTH	Other securities not included above <i>(if selected, provide details of security type in Item 7d)</i>

13. Distributions by more than one issuer of a single security

If two or more issuers distributed a single security, provide the full legal names of the co-issuers in Item 3.

B. Terms used in the form

1. For the purposes of this form:

“**designated foreign jurisdiction**” means Australia, France, Germany, Hong Kong, Italy, Japan, Mexico, the Netherlands, New Zealand, Singapore, South Africa, Spain, Sweden, Switzerland or the United Kingdom of Great Britain and Northern Ireland;

“**eligible foreign security**” means a security offered primarily in a foreign jurisdiction as part of a distribution of securities in either of the following circumstances:

- (a) the security is issued by an issuer
 - (i) that is incorporated, formed or created under the laws of a foreign jurisdiction,
 - (ii) that is not a reporting issuer in a jurisdiction of Canada,
 - (iii) that has its head office outside of Canada, and
 - (iv) that has a majority of the executive officers and a majority of the directors ordinarily resident outside of Canada;
- (b) the security is issued or guaranteed by the government of a foreign jurisdiction;

“**foreign public issuer**” means an issuer where any of the following apply:

- (a) the issuer has a class of securities registered under section 12 of the 1934 Act;
- (b) the issuer is required to file reports under section 15(d) of the 1934 Act;
- (c) the issuer is required to provide disclosure relating to the issuer and the trading in its securities to the public, to security holders of the issuer or to a regulatory authority and that disclosure is publicly available in a designated foreign jurisdiction;

“**legal entity identifier**” means a unique identification code assigned to the person

- (a) in accordance with the standards set by the Global Legal Entity Identifier System, or
- (b) that complies with the standards established by the Legal Entity Identifier Regulatory Oversight Committee for pre-legal entity identifiers;

“**NRD**” means National Registration Database;

“**permitted client**” has the same meaning as in National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* (in Québec, Regulation 31-103 *respecting Registration Requirements, Exemptions and Ongoing Registrant Obligations*);

“**SEDAR+**” has the same meaning as in National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* (in Québec, Regulation 13-103 *respecting System for Electronic Data Analysis and Retrieval + (SEDAR+)*);

“**SEDAR+ profile**” means a profile required under section 4 of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* (in Québec, Regulation 13-103 *respecting System for Electronic Data Analysis and Retrieval + (SEDAR+)*).

2. For the purposes of this form, a person is connected with an issuer or an investment fund manager if either of the following applies:

- (a) one of them is controlled by the other;
- (b) each of them is controlled by the same person.

Form 45-106F1 Report of Exempt Distribution

ITEM 1 – REPORT TYPE

New report

Amended report

If amended, provide filing date of report that is being amended.

(YYYY-MM-DD)

ITEM 2 – PARTY CERTIFYING THE REPORT

Indicate the party certifying the report (select only one). For guidance regarding whether an issuer is an investment fund, refer to section 1.1 of National Instrument 81-106 Investment Fund Continuous Disclosure and the companion policy to NI 81-106 (in Québec, Regulation 81-106 respecting Investment Fund Continuous Disclosure and Policy Statement to Regulation 81-106 respecting Investment Fund Continuous Disclosure).

Investment fund issuer

Issuer (other than an investment fund)

Underwriter

ITEM 3 – ISSUER NAME AND OTHER IDENTIFIERS

Provide the following information about the issuer, or if the issuer is an investment fund, about the fund.

Full legal name

Previous full legal name

If the issuer's name changed in the last 12 months, provide most recent previous legal name.

Website (if applicable)

If the issuer has a legal entity identifier, provide below. Refer to Part B of the Instructions for the definition of "legal entity identifier".

Legal entity identifier

If two or more issuers distributed a single security, provide the full legal name(s) of the co-issuer(s) other than the issuer named above.

Full legal name(s) of co-issuer(s) (if applicable)

ITEM 4 – UNDERWRITER INFORMATION

If an underwriter is completing the report, provide the underwriter’s full legal name, firm NRD number, and SEDAR+ profile number.

Full legal name

Firm NRD number (if applicable)

SEDAR+ profile number

ITEM 5 – ISSUER INFORMATION

If the issuer is an investment fund, do not complete Item 5. Proceed to Item 6.

a) Primary industry

Provide the issuer’s North American Industry Classification Standard (NAICS) code (6 digits only) that in your reasonable judgment most closely corresponds to the issuer’s primary business activity.

NAICS industry code

*If the issuer is in the **mining industry**, indicate the stage of operations. This does not apply to issuers that provide services to issuers operating in the mining industry. Select the category that best describes the issuer’s stage of operations.*

Exploration Development Production

Is the issuer’s primary business to invest all or substantially all of its assets in any of the following? If yes, select all that apply.

Mortgages Real estate Commercial/business debt Consumer debt Private companies

Cryptoassets

b) Number of employees

Number of employees: 0 – 49 50 – 99 100 – 499 500 or more

c) SEDAR+ profile number

Provide the issuer’s SEDAR+ profile number

ITEM 6 – INVESTMENT FUND ISSUER INFORMATION

If the issuer is an investment fund, provide the following information.

a) Investment fund manager information

Full legal name

Firm NRD number (if applicable)

SEDAR + profile number

b) Type of investment fund

Type of investment fund that most accurately identifies the issuer (select only one).

- Money market
 Equity
 Fixed income
 Balanced
 Alternative strategies
 Cryptoasset
 Other (describe)

Indicate whether one or both of the following apply to the investment fund.

- Invests primarily in other investment fund issuers
 Is a UCITs Fund¹

¹Undertaking for the Collective Investment of Transferable Securities funds (UCITs Funds) are investment funds regulated by the European Union (EU) directives that allow collective investment schemes to operate throughout the EU on a passport basis on authorization from one member state.

c) Net asset value (NAV) of the investment fund

Select the NAV range of the investment fund as of the date of the most recent NAV calculation (Canadian \$).

- \$0 to under \$5M
 \$5M to under \$25M
 \$25M to under \$100M
 \$100M to under \$500M
 \$500M to under \$1B
 \$1B or over
 Date of NAV calculation:
YYYY MM DD

f) Summary of the distribution by jurisdiction and exemption

State the total dollar amount of securities distributed and the number of purchasers for each jurisdiction of Canada and foreign jurisdiction where a purchaser resides and for each exemption relied on in Canada for that distribution. However, if an issuer located outside of Canada completes a distribution in a jurisdiction of Canada, include distributions to purchasers resident in that jurisdiction of Canada only.

This table requires a separate line item for: (i) each jurisdiction where a purchaser resides, (ii) each exemption relied on in the jurisdiction where a purchaser resides, if a purchaser resides in a jurisdiction of Canada, and (iii) each exemption relied on in Canada, if a purchaser resides in a foreign jurisdiction.

For jurisdictions within Canada, state the province or territory, otherwise state the country.

Province or country	Exemption relied on	Number of unique purchasers ^{2a}	Total amount (Canadian \$)
Total dollar amount of securities distributed			
Total number of unique purchasers^{2b}			

^{2a}In calculating the number of unique purchasers per row, count each purchaser only once. Joint purchasers may be counted as one purchaser.

^{2b}In calculating the total number of unique purchasers to which the issuer distributed securities, count each purchaser only once, regardless of whether the issuer distributed multiple types of securities to, and relied on multiple exemptions for, that purchaser.

g) Net proceeds to the investment fund by jurisdiction

If the issuer is an investment fund, provide the net proceeds to the investment fund for each jurisdiction of Canada and foreign jurisdiction where a purchaser resides.³ If an issuer located outside of Canada completes a distribution in a jurisdiction of Canada, include net proceeds for that jurisdiction of Canada only. For jurisdictions within Canada, state the province or territory, otherwise state the country.

Province or country	Net proceeds (Canadian \$)
Total net proceeds to the investment fund	

³“Net proceeds” means the gross proceeds realized in the jurisdiction from the distributions for which the report is being filed, less the gross redemptions that occurred during the distribution period covered by the report.

ITEM 8 – COMPENSATION INFORMATION

Provide information for each person (as defined in NI 45-106 (in Québec, Regulation 45-106 respecting Prospectus Exemptions)) to whom the issuer directly provides, or will provide, any compensation in connection with the distribution. **Complete additional copies of this page if more than one person was, or will be, compensated.**

Indicate whether any compensation was paid, or will be paid, in connection with the distribution.

No Yes If yes, indicate number of persons compensated.

a) Name of person compensated and registration status

Indicate whether the person compensated is a registrant.

No Yes

If the person compensated is an individual, provide the name of the individual.

Full legal name of individual

Family name	First given name	Secondary given names

If the person compensated is not an individual, provide the following information.

Full legal name of non-individual

Firm NRD number (if applicable)

Indicate whether the person compensated facilitated the distribution through a funding portal or an internet-based portal.

No Yes

b) Business contact information

If a firm NRD number is not provided in Item 8(a), provide the business contact information of the person being compensated.

Street address

Municipality Province/State

Country Postal code/Zip code

Email address Telephone number

c) Relationship to issuer or investment fund manager

Indicate the person's relationship with the issuer or investment fund manager (select all that apply). Refer to the meaning of "connected" in Part B(2) of the Instructions and the meaning of "control" in section 1.4 of NI 45-106 (in Québec, Regulation 45-106 respecting Prospectus Exemptions) for the purposes of completing this section.

- Connected with the issuer or investment fund manager
- Insider of the issuer (other than an investment fund)
- Director or officer of the investment fund or investment fund manager
- Employee of the issuer or investment fund manager
- None of the above

d) Compensation details									
<i>Provide details of all compensation paid, or to be paid, to the person identified in Item 8(a) in connection with the distribution. Provide all amounts in Canadian dollars. Include cash commissions, securities-based compensation, gifts, discounts or other compensation. Do not report payments for services incidental to the distribution, such as clerical, printing, legal or accounting services. An issuer is not required to ask for details about, or report on, internal allocation arrangements with the directors, officers or employees of a non-individual compensated by the issuer.</i>									
Cash commissions paid									
Value of all securities distributed as compensation ⁴		Security codes	<table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <thead> <tr style="background-color: #e1eef6;"> <th style="padding: 2px;">Security code 1</th> <th style="padding: 2px;">Security code 2</th> <th style="padding: 2px;">Security code 3</th> </tr> </thead> <tbody> <tr> <td style="width: 33px; height: 15px;"></td> <td style="width: 33px; height: 15px;"></td> <td style="width: 33px; height: 15px;"></td> </tr> </tbody> </table>	Security code 1	Security code 2	Security code 3			
Security code 1	Security code 2	Security code 3							
Describe terms of warrants, options or other rights									
Other compensation ⁵		Describe							
Total compensation paid									
<input type="checkbox"/> Check box if the person will or may receive any deferred compensation (describe the terms below) <div style="border: 1px solid black; height: 20px; width: 100%; margin-top: 5px;"></div>									
⁴ Provide the aggregate value of all securities distributed as compensation, <u>excluding</u> options, warrants or other rights exercisable to acquire additional securities of the issuer. Indicate the security codes for all securities distributed as compensation, <u>including</u> options, warrants or other rights exercisable to acquire additional securities of the issuer.									
⁵ Do not include deferred compensation.									

ITEM 9 – DIRECTORS, EXECUTIVE OFFICERS AND PROMOTERS OF THE ISSUER
If the issuer is an investment fund, do not complete Item 9. Proceed to Item 10.
Indicate whether the issuer is any of the following (select the one that applies – if more than one applies, select only one).
<input type="checkbox"/> Reporting issuer in any jurisdiction of Canada
<input type="checkbox"/> Foreign public issuer
<input type="checkbox"/> Wholly owned subsidiary of a reporting issuer in any jurisdiction of Canada ⁶
Provide name of reporting issuer
<input type="checkbox"/> Wholly owned subsidiary of a foreign public issuer ⁶
Provide name of foreign public issuer
<input type="checkbox"/> Issuer distributing only eligible foreign securities and the distribution is to permitted clients only ⁷
If the issuer is at least one of the above, do not complete Item 9(a) – (c). Proceed to Item 10.
⁶ An issuer is a wholly owned subsidiary of a reporting issuer or a foreign public issuer if all of the issuer’s outstanding voting securities, other than securities that are required by law to be owned by its directors, are beneficially owned by the reporting issuer or the foreign public issuer, respectively.
⁷ Check this box if it applies to the current distribution even if the issuer made previous distributions of other types of securities to non-permitted clients. Refer to the definitions of “eligible foreign security” and “permitted client” in Part B(1) of the Instructions.
<input type="checkbox"/> If the issuer is none of the above, check this box and complete Item 9(a) – (c).

B.5: Rules and Policies

a) Directors, executive officers and promoters of the issuer

Provide the following information for each director, executive officer and promoter of the issuer. For locations within Canada, state the province or territory, otherwise state the country. For "Relationship to issuer", "D" – Director, "O" – Executive Officer, "P" – Promoter.

Organization or company name	Family name	First given name	Secondary given names	Business location of non-individual or residential jurisdiction of individual	Relationship to issuer (select all that apply)		
				Province or country	D	O	P

b) Promoter information

If the promoter listed above is not an individual, provide the following information for each director and executive officer of the promoter. For locations within Canada, state the province or territory, otherwise state the country. For "Relationship to promoter", "D" – Director, "O" – Executive Officer.

Organization or company name	Family name	First given name	Secondary given names	Residential jurisdiction of individual	Relationship to promoter (select one or both if applicable)	
				Province or country	D	O

c) Residential address of each individual

Complete Schedule 2 of this form, including the full residential address of each individual whose name appears in Item 9(a) or (b). Schedule 2 also requires information to be provided about control persons.

ITEM 10 – CERTIFICATION

Provide the following certification and business contact information of an officer, director or agent of the issuer or underwriter. If the issuer or underwriter is not a company, an individual who performs functions similar to that of a director or officer may certify the report. For example, if the issuer is a trust, the report may be certified by the issuer's trustee. If the issuer is an investment fund, a director or officer of the investment fund manager (or, if the investment fund manager is not a company, an individual who performs similar functions) may certify the report if the director or officer has been authorized to do so by the investment fund.

The certification may be delegated, but only to an agent that has been authorized by an officer or director of the issuer or underwriter to prepare and certify the report on behalf of the issuer or underwriter. If the report is being certified by an agent on behalf of the issuer or underwriter, provide the applicable information for the agent in the boxes below.

If the individual completing and filing the report is different from the individual certifying the report, provide the name and contact details for the individual completing and filing the report in Item 11.

The signature on the report must be in typed form rather than handwritten form. The report may include an electronic signature provided the name of the signatory is also in typed form.

Securities legislation requires an issuer or underwriter that makes a distribution of securities under certain prospectus exemptions to file a completed report of exempt distribution.

By completing the information below, I certify, on behalf of the issuer/underwriter/investment fund manager, to the securities regulatory authority or regulator, as applicable, that I have reviewed this report and to my knowledge, having exercised reasonable diligence, the information provided in this report is true and, to the extent required, complete.

Name of issuer/underwriter/ investment fund manager/agent	
--	--

Full legal name			
	Family name	First given name	Secondary given names

Title	
-------	--

Telephone number		Email address	
------------------	--	---------------	--

Signature		Date			
			YYYY	MM	DD

ITEM 11 – CONTACT PERSON

Provide the following business contact information for the individual that the securities regulatory authority or regulator may contact with any questions regarding the contents of this report, if different than the individual certifying the report in Item 10.

Same as individual certifying the report

Full legal name Title
Family name First given name Secondary given names

Name of company

Telephone number Email address

Notice – Collection and use of personal information

The personal information required under this form is collected on behalf of and used by the securities regulatory authority or regulator under the authority granted in securities legislation for the purposes of the administration and enforcement of the securities legislation.

If you have any questions about the collection and use of this information, contact the securities regulatory authority or regulator in the local jurisdiction(s) where the report is filed, at the address(es) listed at the end of this form.

Schedules 1 and 2 may contain personal information of individuals and details of the distribution(s). The information in Schedules 1 and 2 will not be placed on the public file of any securities regulatory authority or regulator. However, freedom of information legislation may require the securities regulatory authority or regulator to make this information available if requested.

By signing this report, the issuer/underwriter confirms that each individual listed in Schedule 1 or 2 of the report who is resident in a jurisdiction of Canada:

- a) has been notified by the issuer/underwriter of the delivery to the securities regulatory authority or regulator of the information pertaining to the individual as set out in Schedule 1 or 2, that this information is being collected by the securities regulatory authority or regulator under the authority granted in securities legislation, that this information is being collected for the purposes of the administration and enforcement of the securities legislation of the local jurisdiction, and of the title, business address and business telephone number of the public official in the local jurisdiction, as set out in this form, who can answer questions about the security regulatory authority's or regulator's indirect collection of the information, and
- b) has authorized the indirect collection of the information by the securities regulatory authority or regulator.

SCHEDULE 1 TO FORM 45-106F1 (CONFIDENTIAL PURCHASER INFORMATION)

Schedule 1 must be filed in the format of an Excel spreadsheet in a form acceptable to the securities regulatory authority or regulator.

The information in this schedule will not be placed on the public file of any securities regulatory authority or regulator. However, freedom of information legislation may require the securities regulatory authority or regulator to make this information available if requested.

a) General information (*provide only once*)

1. Name of issuer
2. Certification date (YYYY-MM-DD)

Provide the following information for each purchaser that participated in the distribution. For each purchaser, create separate entries for each distribution date, security type and exemption relied on for the distribution.

b) Legal name of purchaser

If two or more individuals have purchased a security as joint purchasers, provide information for each purchaser under the columns for family name, first given name and secondary given names, if applicable, and separate the individuals' names with an ampersand. For example, if Jane Jones and Robert Smith are joint purchasers, indicate "Jones & Smith" in the family name column.

1. Family name
2. First given name
3. Secondary given names (*if applicable*)
4. Full legal name of non-individual (*if applicable*)

c) Contact information of purchaser

1. Residential street address
2. Municipality
3. Province/State
4. Postal code/Zip code
5. Country
6. Telephone number
7. Email address (*if available*)

d) Details of securities purchased

1. Date of distribution (YYYY-MM-DD)
2. Number of securities
3. Security code
4. Amount paid (Canadian \$)

e) Details of exemption relied on

1. Rule, section and subsection number
2. If relying on section 2.3 [*Accredited investor*] of NI 45-106 (in Québec, Regulation 45-106 respecting Prospectus Exemptions), provide the paragraph number in the definition of "accredited investor" in

section 1.1 of NI 45-106 (in Québec, Regulation 45-106 respecting Prospectus Exemptions) that applies to the purchaser. (*select only one – if the purchaser is a permitted client that is not an individual, “NIPC” can be selected instead of the paragraph number*)

3. If relying on section 2.5 [*Family, friends and business associates*] of NI 45-106 (in Québec, Regulation 45-106 respecting Prospectus Exemptions), provide:
 - a. the paragraph number in subsection 2.5(1) that applies to the purchaser (*select only one*); and
 - b. if relying on paragraphs 2.5(1)(b) to (i), provide:
 - i. the name of the director, executive officer, control person, or founder of the issuer or affiliate of the issuer claiming a relationship to the purchaser. (*Note: if Item 9(a) has been completed, the name of the director, executive officer or control person must be consistent with the name provided in Item 9 and Schedule 2.*)
 - ii. the position of the director, executive officer, control person, or founder of the issuer or affiliate of the issuer claiming a relationship to the purchaser.
4. If relying on subsection 2.9(2) or, in Alberta, New Brunswick, Nova Scotia, Ontario, Québec, or Saskatchewan, subsection 2.9(2.1) [*Offering memorandum*] of NI 45-106 (in Québec, Regulation 45-106 respecting Prospectus Exemptions) and the purchaser is an eligible investor, provide the paragraph number in the definition of “eligible investor” in section 1.1 of NI 45-106 (in Québec, Regulation 45-106 respecting Prospectus Exemptions) that applies to the purchaser. (*select only one*)

f) Other information

Paragraphs f)1. and f)2. do not apply if any of the following apply:

- (a) *the issuer is a foreign public issuer;*
 - (b) *the issuer is a wholly owned subsidiary of a foreign public issuer;*
 - (c) *the issuer is distributing only eligible foreign securities and the distribution is to permitted clients only.*
1. Is the purchaser a registrant? (Y/N)
 2. Is the purchaser an insider of the issuer? (Y/N) (*not applicable if the issuer is an investment fund*)
 3. Full legal name of person compensated for distribution to purchaser. If a person compensated is a registered firm, provide the firm NRD number only. (*Note: the names must be consistent with the names of the persons compensated as provided in Item 8.*)

INSTRUCTIONS FOR SCHEDULE 1

Any securities issued as payment for commissions or finder’s fees must be disclosed in Item 8 of the report, not in Schedule 1.

Details of exemption relied on – When identifying the exemption the issuer relied on for the distribution to each purchaser, refer to the rule, statute or instrument in which the exemption is provided and identify the specific section and, if applicable, subsection or paragraph. For example, if the issuer is relying on an exemption in a National Instrument, refer to the number of the National Instrument, and the subsection or paragraph number of the specific provision. If the issuer is relying on an exemption in a local blanket order, refer to the blanket order by number.

For exemptions that require the purchaser to meet certain characteristics, such as the exemption in section 2.3 [*Accredited investor*], section 2.5 [*Family, friends and business associates*] or subsection 2.9(2) or, in Alberta, New Brunswick, Nova Scotia, Ontario, Québec, or Saskatchewan, subsection 2.9(2.1) [*Offering memorandum*] of NI 45-106 (in Québec, Regulation 45-106 respecting Prospectus Exemptions), provide the specific paragraph in the definition of those terms that applies to each purchaser.

Reports filed under paragraph 6.1(1)(j) [TSX Venture Exchange offering] of NI 45-106 (in Québec, Regulation 45-106 respecting Prospectus Exemptions) – For reports filed under paragraph 6.1(1)(j) [*TSX Venture Exchange offering*] of NI 45-106 (in Québec, Regulation 45-106 respecting Prospectus Exemptions), Schedule 1 must list the total number of purchasers by jurisdiction only, and is not required to include the name, residential address, telephone number or email address of the purchasers.

SCHEDULE 2 TO FORM 45-106F1 (CONFIDENTIAL DIRECTOR, EXECUTIVE OFFICER, PROMOTER AND CONTROL PERSON INFORMATION)

Schedule 2 must be filed in the format of an Excel spreadsheet in a form acceptable to the securities regulatory authority or regulator.

Complete the following only if Item 9(a) is required to be completed. **This schedule also requires information to be provided about control persons of the issuer at the time of the distribution.**

The information in this schedule will not be placed on the public file of any securities regulatory authority or regulator. However, freedom of information legislation may require the securities regulatory authority or regulator to make this information available if requested.

a) General information (*provide only once*)

1. Name of issuer
2. Certification date (YYYY-MM-DD)

b) Business contact information of Chief Executive Officer (*if not provided in Item 10 or 11 of report*)

1. Email address
2. Telephone number

c) Residential address of directors, executive officers, promoters and control persons of the issuer

Provide the following information for each individual who is a director, executive officer, promoter or control person of the issuer at the time of the distribution. If the promoter or control person is not an individual, provide the following information for each director and executive officer of the promoter and control person. (Note: names of directors, executive officers and promoters must be consistent with the information in Item 9 of the report, if required to be provided.)

1. Family name
2. First given name
3. Secondary given names
4. Residential street address
5. Municipality
6. Province/State
7. Postal code/Zip code
8. Country
9. Indicate whether the individual is a control person, or a director and/or executive officer of a control person (*if applicable*)

d) Non-individual control persons (*if applicable*)

If the control person is not an individual, provide the following information. For locations within Canada, state the province or territory, otherwise state the country.

1. Organization or company name
2. Province or country of business location

Questions:

Refer any questions to:

Alberta Securities Commission

Suite 600, 250 – 5th Street SW
Calgary, Alberta T2P 0R4
Telephone: 403-297-6454
Facsimile: 403-297-6156
Toll free in Canada: 1-877-355-0585
Public official contact regarding indirect collection of information: FOIP Coordinator

British Columbia Securities Commission

P.O. Box 10142, Pacific Centre
701 West Georgia Street
Vancouver, British Columbia V7Y 1L2
Inquiries: 604-899-6854
Toll free in Canada: 1-800-373-6393
Facsimile: 604-899-6506
Email: FOI-privacy@bcsc.bc.ca
Public official contact regarding indirect collection of information: Privacy Officer

The Manitoba Securities Commission

500 – 400 St. Mary Avenue
Winnipeg, Manitoba R3C 4K5
Telephone: 204-945-2561
Toll free in Manitoba: 1-800-655-5244
Facsimile: 204-945-0330
Public official contact regarding indirect collection of information: Director

Financial and Consumer Services Commission (New Brunswick)

85 Charlotte Street, Suite 300
Saint John, New Brunswick E2L 2J2
Telephone: 506-658-3060
Toll free in Canada: 1-866-933-2222
Facsimile: 506-658-3059
Email: info@fcnb.ca
Public official contact regarding indirect collection of information: Chief Executive Officer and Privacy Officer

Government of Newfoundland and Labrador

Office of the Superintendent

Department of Digital Government and Service NL
P.O. Box 8700
Confederation Building
2nd Floor, West Block
Prince Philip Drive
St. John's, Newfoundland and Labrador A1B 4J6
Attention: Superintendent of Securities
Telephone: 709-729-2571
Facsimile: 709-729-6187
Public official contact regarding indirect collection of information: Superintendent of Securities

Government of the Northwest Territories

Office of the Superintendent of Securities
P.O. Box 1320
Yellowknife, Northwest Territories X1A 2L9
Telephone: 867-767-9305
Facsimile: 867-873-0243
Public official contact regarding indirect collection of information: Superintendent of Securities

Nova Scotia Securities Commission

Suite 400, 5251 Duke Street
Duke Tower
P.O. Box 458
Halifax, Nova Scotia B3J 2P8
Telephone: 902-424-7768
Facsimile: 902-424-4625
Public official contact regarding indirect collection of information: Executive Director

Government of Nunavut Office of the Superintendent of Securities

Legal Registries Division
P.O. Box 1000, Station 570
4th Floor, Building 1106
Iqaluit, Nunavut X0A 0H0
Telephone: 867-975-6590
Facsimile: 867-975-6594
Public official contact regarding indirect collection of information: Superintendent of Securities

Ontario Securities Commission

20 Queen Street West, 22nd Floor
Toronto, Ontario M5H 3S8
Telephone: 416-593-8314
Toll free in Canada: 1-877-785-1555
Facsimile: 416-593-8122
Email: exemptmarketfilings@osc.gov.on.ca
Public official contact regarding indirect collection of information: Inquiries Officer

Prince Edward Island Securities Office

95 Rochford Street, 4th Floor Shaw Building
P.O. Box 2000
Charlottetown, Prince Edward Island C1A 7N8
Telephone: 902-368-4569
Facsimile: 902-368-5283
Public official contact regarding indirect collection of information: Superintendent of Securities

Autorité des marchés financiers

800, rue du Square-Victoria, 22e étage
C.P. 246, Place Victoria
Montréal, Québec H4Z 1G3
Telephone: 514-395-0337 or 1-877-525-0337
Facsimile: 514-873-6155 (For filing purposes only)
Facsimile: 514-864-6381 (For privacy requests only)
Email: financementdessocietes@lautorite.qc.ca (For corporate finance issuers); fonds_dinvestissement@lautorite.qc.ca (For investment fund issuers)
Public official contact regarding indirect collection of information: Corporate Secretary

Financial and Consumer Affairs Authority of Saskatchewan

Suite 601 - 1919 Saskatchewan Drive
Regina, Saskatchewan S4P 4H2
Telephone: 306-787-5842
Facsimile: 306-787-5899
Public official contact regarding indirect collection of information: Executive Director, Securities Division

Office of the Superintendent of Securities

Government of Yukon

Department of Community Services

307 Black Street, 1st Floor
P.O. Box 2703, C-6
Whitehorse, Yukon Y1A 2C6
Telephone: 867-667-5466
Facsimile: 867-393-6251
Email: securities@yukon.ca
Public official contact regarding indirect collection of information: Superintendent of Securities

B.5: Rules and Policies

6. **Form 45-106F3 is amended in Item 2.2 by replacing “SEDAR website at www.sedar.com” with “SEDAR+ website at www.sedarplus.com”.**
7. **Form 45-106F3 is amended in Item 2.3 by replacing “SEDAR” with “SEDAR+”.**
8. **Form 45-106F3 is amended in Item C.1 by replacing “SEDAR” with “SEDAR+”.**
9. **Form 45-106F3 is amended in Item D.2 by replacing “SEDAR” with “SEDAR+”.**
10. **Form 45-106F14 is amended in Item 9 by replacing “SEDAR” with “SEDAR+”.**
11. **Form 45-106F15 is amended in Item 40 by replacing “SEDAR” with “SEDAR+”.**
12. **Form 45-106F19 is amended in Item 14 by replacing “www.sedar.com” with “www.sedarplus.com”.**

Effective Date

- 13.(1) This Instrument comes into force on June 9, 2023.
- (2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after June 9, 2023, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

**CHANGES TO
COMPANION POLICY 45-106CP PROSPECTUS EXEMPTIONS**

1. ***Companion Policy 45-106CP Prospectus Exemptions is changed by this Document.***

2. ***Part 2 is changed by adding the following paragraph:***

2.1.01 Electronic Transmission

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under NI 45-106 and this Companion Policy..

3. ***Subsection 5.1(3) is replaced with the following:***

(3) *Electronic filing of Form 45-106F1 Report of Exempt Distribution*

Form 45-106F1 is required to be filed in all CSA jurisdictions through the System for Electronic Data Analysis and Retrieval + (SEDAR+) in the manner and using the templates specified in SEDAR+..

Effective Date

4. These changes become effective on June 9, 2023.

**AMENDMENTS TO
MULTILATERAL INSTRUMENT 45-108 CROWDFUNDING**

1. ***Multilateral Instrument 45-108 Crowdfunding is amended by this Instrument.***
2. ***Item 10 of Form 45-108F1 is amended by replacing “SEDAR website at www.sedar.com” with “SEDAR+ website at www.sedarplus.com”.***

Effective Date

- 3.(1) This Instrument comes into force on June 9, 2023.
- (2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after June 9, 2023, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

**CHANGES TO
COMPANION POLICY 45-108CP CROWDFUNDING**

1. ***Companion Policy 45-108CP Crowdfunding is changed by this Document.***
2. ***The Preamble to Companion Policy is changed by adding the following after the section “Multi-jurisdictional distributions” and before “PART 1 DEFINITIONS AND INTERPRETATION”:***

Electronic transmission

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under the Instrument and this Companion Policy..

Effective Date

3. These changes become effective on June 9, 2023.

**CHANGES TO
NATIONAL POLICY 46-201 ESCROW FOR INITIAL PUBLIC OFFERINGS**

1. ***National Policy 46-201 Escrow for Initial Public Offerings is changed by this Document.***

2. ***Section 1.2 is changed by adding the following paragraph:***

(4) National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under this Policy..

Effective Date

3. These changes become effective on June 9, 2023.

**AMENDMENTS TO
NATIONAL INSTRUMENT 51-101 STANDARDS OF DISCLOSURE FOR OIL AND GAS ACTIVITIES**

1. ***National Instrument 51-101 Standards of Disclosure for Oil and Gas Activities is amended by this Instrument.***
2. ***Paragraph 5.18(2)(c) is amended by replacing “SEDAR” with “SEDAR+”.***
3. ***Form 51-101F4 is amended by replacing***
 - (a) ***“SEDAR” with “SEDAR+”, and***
 - (b) ***“SEDAR at www.sedar.com” with “SEDAR+ at www.sedarplus.com”.***

Effective Date

- 4.(1) This Instrument comes into force on June 9, 2023.
- (2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after June 9, 2023, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

**CHANGES TO
COMPANION POLICY 51-101 STANDARDS OF DISCLOSURE FOR OIL AND GAS ACTIVITIES**

1. **Companion Policy 51-101 Standards of Disclosure for Oil and Gas Activities is changed by this Document.**
2. **The fourth opening paragraph is changed by replacing “SEDAR” with “SEDAR+”.**
3. **The Policy is changed by adding the following section:**

1.5 Electronic transmission

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under *NI 51-101* and this Companion Policy..

4. **Section 2.1 is changed in the heading by replacing “SEDAR” with “SEDAR+”.**
5. **Section 2.1 is changed by**
 - (a) **replacing “SEDAR” wherever it occurs with “SEDAR+”, and**
 - (b) **replacing “National Instrument 13-101 System for Electronic Document Analysis and Retrieval (SEDAR) and the current CSA “SEDAR Filer Manual”” with “National Instrument 13-103 System for Electronic Data Analysis and Retrieval + (SEDAR+) and its Companion Policy”.**
6. **Subsection 2.4(2) is changed by replacing “SEDAR” wherever it occurs with “SEDAR+”.**
7. **Subsection 5.2(5) is changed by replacing “SEDAR” with “SEDAR+”.**

Effective Date

8. These changes become effective on June 9, 2023.

**AMENDMENTS TO
NATIONAL INSTRUMENT 51-102 CONTINUOUS DISCLOSURE OBLIGATIONS**

1. **National Instrument 51-102 Continuous Disclosure Obligations is amended by this Instrument.**
2. **Subsection 1.1(1) is amended by deleting the definition of “electronic format”.**
3. **Paragraph 4.9(i) is amended by replacing “in electronic format” with “electronically”.**
4. **Section 5.8 is amended by replacing “www.sedar.com” wherever it occurs with “www.sedarplus.com”.**
5. **Section 9.1.1 is amended by replacing “SEDAR” wherever it occurs with “SEDAR+” and “non-SEDAR” wherever it occurs with “non-SEDAR+”.**
6. **Section 9.2 is amended by replacing “SEDAR” wherever it occurs with “SEDAR+”.**
7. **Paragraph 12.1(2)(b) is amended by replacing “in an acceptable electronic format” with “electronically”.**
8. **In the following provisions, “in electronic format” is replaced with “electronically”:**
 - (a) **Section 13.3;**
 - (b) **Section 13.4.**
9. **Form 51-102F1 is amended in Item 1.15(a) by replacing “SEDAR at www.sedar.com” with “SEDAR+ at www.sedarplus.com”.**
10. **Form 51-102F2 is amended in Part 1 under “Incorporating Information by Reference” by replacing**
 - (a) **“SEDAR” with “SEDAR+”, and**
 - (b) **“SEDAR at www.sedar.com” with “SEDAR+ at www.sedarplus.com”.**
11. **Form 51-102F2 is amended in Item 17.1(1) by replacing “SEDAR at www.sedar.com” with “SEDAR+ at www.sedarplus.com”.**
12. **Form 51-102F3 is amended in Item 5.2(ii) under “INSTRUCTIONS” by replacing “SEDAR at www.sedar.com” with “SEDAR+ at www.sedarplus.com”.**
13. **Form 51-102F4 is amended in Part 1 under “Incorporating Material by Reference” by replacing “SEDAR at www.sedar.com” with “SEDAR+ at www.sedarplus.com”.**
14. **Form 51-102F5 is amended in Part 1 under “Incorporating Material by Reference” by replacing “SEDAR at www.sedar.com” with “SEDAR+ at www.sedarplus.com”.**
15. **Form 51-102F5 is amended in Item 16.1 of Part 2 by replacing “SEDAR at www.sedar.com” with “SEDAR+ at www.sedarplus.com”.**

Effective Date

- 16.(1) This Instrument comes into force on June 9, 2023.
- (2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after June 9, 2023, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

**CHANGES TO
COMPANION POLICY 51-102CP CONTINUOUS DISCLOSURE OBLIGATIONS**

1. ***Companion Policy 51-102CP Continuous Disclosure Obligations is changed by this Document.***
2. ***Subsection 1.2(2) is changed by replacing “SEDAR” wherever it occurs with “SEDAR+”.***
3. ***The Policy is changed by adding the following section:***

1.11 Electronic transmission

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under the Instrument and this Policy..

4. ***Paragraph 3.9(1)(b) is replaced with “the reporting issuer files the material change report or news release with the securities regulatory authority or regulator under the Change in Corporate Structure category on SEDAR+.”.***
5. ***Section 6.1 is changed by replacing “SEDAR” wherever it occurs with “SEDAR+”.***
6. ***Section 9.2 is changed by replacing “SEDAR” with “SEDAR+”.***
7. ***Section 9.3 is changed by replacing “SEDAR” with “SEDAR+”.***
8. ***Subsection 10.3(5) is changed by replacing “SEDAR” wherever it occurs with “SEDAR+”.***

Effective Date

9. These changes become effective on June 9, 2023.

**AMENDMENTS TO
MULTILATERAL INSTRUMENT 51-105
ISSUERS QUOTED IN THE U.S. OVER-THE-COUNTER MARKETS**

1. ***Multilateral Instrument 51-105 Issuers Quoted in the U.S. Over-the-Counter Markets is amended by this Instrument.***
2. ***In the following provisions, “National Instrument 13-101 System for Electronic Document Analysis and Retrieval (SEDAR)” is replaced with “National Instrument 13-103 System for Electronic Data Analysis and Retrieval + (SEDAR+)”:***
 - (a) ***paragraph 5(a);***
 - (b) ***subsection 7(2);***
 - (c) ***subsection 8(3).***
3. ***Form 51-105F3A is amended in the General Instructions under “Delivery” by replacing “System for Electronic Document Analysis and Retrieval (SEDAR)” with “ System for Electronic Data Analysis and Retrieval + (SEDAR+)”.***

Effective Date

- 4.(1) This Instrument comes into force on June 9, 2023.
- (2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after June 9, 2023, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

**CHANGES TO
COMPANION POLICY 51-105CP MULTILATERAL INSTRUMENT 51-105
ISSUERS QUOTED IN THE U.S. OVER-THE-COUNTER MARKETS**

1. ***Companion Policy 51-105CP Multilateral Instrument 51-105 Issuers Quoted in the U.S. Over-the-Counter Markets is changed by this Document.***

2. ***The Policy is changed by adding the following section:***

1.1 Electronic transmission

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under the Instrument and this Companion Policy..

3. ***Section 5 is changed by replacing “SEDAR” wherever it occurs with “SEDAR+”.***

Effective Date

4. These changes become effective on June 9, 2023.

**CHANGES TO
NATIONAL POLICY 51-201 DISCLOSURE STANDARDS**

1. ***National Policy 51-201 Disclosure Standards is changed by this Document.***
2. ***Footnote 23 is changed by replacing “SEDAR” wherever it occurs with “SEDAR+”.***
3. ***Subsection 6.11(2) is changed by replacing “SEDAR” with “SEDAR+”.***

Effective Date

4. These changes become effective on June 9, 2023.

**CHANGES TO
COMPANION POLICY 52-107CP
ACCEPTABLE ACCOUNTING PRINCIPLES AND AUDITING STANDARDS**

1. ***Companion Policy 52-107CP Acceptable Accounting Principles and Auditing Standards is changed by this Document.***
2. ***Part 1 is changed by adding the following section:***

1.5.1 Electronic Transmission - National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under the Instrument and this Companion Policy..

Effective Date

3. These changes become effective on June 9, 2023.

**CHANGES TO
COMPANION POLICY 52-108CP AUDITOR OVERSIGHT**

1. ***Companion Policy 52-108CP Auditor Oversight is changed by this Document.***
2. ***The Companion Policy is changed by adding the following section after the Introduction and before section 1:***

Electronic Transmission

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under National Instrument *52-108 Auditor Oversight* and this Policy..

Effective Date

3. These changes become effective on June 9, 2023.

**CHANGES TO
COMPANION POLICY 52-109CP TO
NATIONAL INSTRUMENT 52-109
CERTIFICATION OF DISCLOSURE IN ISSUERS' ANNUAL AND INTERIM FILINGS**

1. ***Companion Policy 52-109CP to National Instrument 52-109 Certification of Disclosure in Issuers' Annual and Interim Filings is changed by this Document.***

2. ***Part 1 is changed by adding the following section:***

1.8 **Electronic transmission** – National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under the Instrument and this Policy..

Effective Date

3. These changes become effective on June 9, 2023.

**AMENDMENTS TO
NATIONAL INSTRUMENT 52-112 NON-GAAP AND OTHER FINANCIAL MEASURES DISCLOSURE**

1. ***National Instrument 52-112 Non-GAAP and Other Financial Measures Disclosure is amended by this Instrument.***
2. ***Paragraph 5(2)(c) is amended by replacing “SEDAR at www.sedar.com” with “SEDAR+ at www.sedarplus.com” .***

Effective Date

- 3.(1) This Instrument comes into force on June 9, 2023.
- (2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after June 9, 2023, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

**CHANGES TO
COMPANION POLICY 52-112 NON-GAAP AND OTHER FINANCIAL MEASURES DISCLOSURE**

1. *Companion Policy 52-112 Non-GAAP and Other Financial Measures Disclosure is changed by this Document.*
2. *The Introduction under “Interpretation of “made available to the public” and “filed”, “delivered” or “submitted”” is changed by replacing “SEDAR” with “SEDAR+”.*
3. *Section 5 is changed by replacing “SEDAR” wherever it occurs with “SEDAR+”.*

Effective Date

4. These changes become effective on June 9, 2023.

**AMENDMENTS TO
NATIONAL INSTRUMENT 54-101
COMMUNICATION WITH BENEFICIAL OWNERS OF SECURITIES OF A REPORTING ISSUER**

1. ***National Instrument 54-101 Communication with Beneficial Owners of Securities of a Reporting Issuer is amended by this Instrument.***
2. ***Section 1.1 is amended by adding the following definition:***

“SEDAR+” has the same meaning as in National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)*;
3. ***Section 2.7.1 is amended by replacing “SEDAR” wherever it occurs with “SEDAR+” and “non-SEDAR” wherever it occurs with “non-SEDAR+”.***
4. ***Section 2.7.2 is amended by replacing “SEDAR” with “SEDAR+”.***

Effective Date

- 5.(1) This Instrument comes into force on June 9, 2023.
- (2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after June 9, 2023, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

**CHANGES TO
COMPANION POLICY 54-101CP TO
NATIONAL INSTRUMENT 54-101
COMMUNICATION WITH BENEFICIAL OWNERS OF SECURITIES OF A REPORTING ISSUER**

1. ***Companion Policy 54-101CP to National Instrument 54-101 Communication with Beneficial Owners of Securities of a Reporting Issuer is changed by this Document.***

2. ***The Policy is changed by adding the following section:***

2.8 Electronic Transmission – National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under the Instrument and this policy..

3. ***Table A in Section 5.1 is changed by replacing “SEDAR” wherever it occurs with “SEDAR+”.***

4. ***Table B in Section 5.1 is changed by replacing “SEDAR” wherever it occurs with “SEDAR+”.***

5. ***Section 5.4 is changed:***

(a) ***in subsection (1) by replacing “SEDAR” with “SEDAR+”,***

(b) ***in subsection (5) by replacing “SEDAR” wherever it occurs with “SEDAR+”,***

(c) ***in subsection (6) by replacing “SEDAR” wherever it occurs with “SEDAR+”, and***

(d) ***in subsection (8) by replacing “SEDAR” with “SEDAR+”.***

Effective Date

6. These changes become effective on June 9, 2023.

**AMENDMENTS TO
NATIONAL INSTRUMENT 55-102 SYSTEM FOR ELECTRONIC DISCLOSURE BY INSIDERS (SEDI)**

1. **National Instrument 55-102 System for Electronic Disclosure by Insiders (SEDI) is amended by this Instrument.**
2. **Section 1.1 is amended in the definition of “SEDI issuer” by replacing “that is required to comply with National Instrument 13-101 System for Electronic Document Analysis and Retrieval (SEDAR), including a foreign issuer referred to under paragraph 2 of subsection 2.1(1) of that Instrument” with “that is required to comply with National Instrument 13-103 System for Electronic Data Analysis and Retrieval + (SEDAR+)”.**
3. **Form 55-102F1 in section 9 is amended by replacing “SEDAR” wherever it occurs with “SEDAR+”.**
4. **Form 55-102F3 is amended in Item 1 by**
 - (a) **replacing “SEDAR” wherever it occurs with “SEDAR+”, and**
 - (b) **deleting “See National Instrument 13-101 System for Electronic Document Analysis and Retrieval (SEDAR).”.**

Effective Date

- 5.(1) This Instrument comes into force on June 9, 2023.
- (2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after June 9, 2023, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

**AMENDMENTS TO
NATIONAL INSTRUMENT 55-104 INSIDER REPORTING REQUIREMENTS AND EXEMPTIONS**

1. ***National Instrument 55-104 Insider Reporting Requirements and Exemptions is amended by this Instrument.***
2. ***Paragraph 6.2(a) is amended by replacing “SEDAR” with “SEDAR+”.***
3. ***Paragraph 6.3(e) is amended by replacing “SEDAR” with “SEDAR+”.***
4. ***Section 7.3 is amended by replacing “SEDAR” with “SEDAR+”.***

Effective Date

- 5.(1) This Instrument comes into force on June 9, 2023.
- (2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after June 9, 2023, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

**CHANGES TO
COMPANION POLICY 55-104CP INSIDER REPORTING REQUIREMENTS AND EXEMPTIONS**

1. *Companion Policy 55-104CP Insider Reporting Requirements and Exemptions is changed by this Document.*
2. *Section 7.2 is changed by replacing “SEDAR” with “SEDAR+”.*

Effective Date

3. These changes become effective on June 9, 2023.

**AMENDMENTS TO
NATIONAL INSTRUMENT 58-101 DISCLOSURE OF CORPORATE GOVERNANCE PRACTICES**

1. **National Instrument 58-101 Disclosure of Corporate Governance Practices is amended by this Instrument.**
2. **Section 1.1 is amended by**
 - (a) **deleting the definition of “SEDAR”, and**
 - (b) **adding the following definition:**

“SEDAR+” has the same meaning as in National Instrument 13-103 System for Electronic Data Analysis and Retrieval + (SEDAR+);.
3. **Section 2.3 is amended by replacing “SEDAR” with “SEDAR+”.**
4. **Form 58-101F1 is amended under “INSTRUCTION” by replacing “SEDAR” with “SEDAR+”.**

Effective Date

- 5.(1) This Instrument comes into force on June 9, 2023.
- (2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after June 9, 2023, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

**CHANGES TO
COMPANION POLICY 61-101CP TO
MULTILATERAL INSTRUMENT 61-101
PROTECTION OF MINORITY SECURITY HOLDERS IN SPECIAL TRANSACTIONS**

1. ***Companion Policy 61-101CP to Multilateral Instrument 61-101 Protection of Minority Security Holders in Special Transactions is changed by this Document.***

2. ***The Policy is changed by adding the following section:***

1.2. **Electronic Transmission** – National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under the Instrument and this policy..

Effective Date

3. These changes become effective on June 9, 2023.

**AMENDMENTS TO
NATIONAL INSTRUMENT 62-104 TAKE-OVER BIDS AND ISSUER BIDS**

1. **National Instrument 62-104 Take-Over Bids and Issuer Bids is amended by this Instrument.**
2. **Subsection 3.2(5) is amended by replacing** “National Instrument 13-101 System for Electronic Document Analysis and Retrieval (SEDAR)” **with** “National Instrument 13-103 System for Electronic Data Analysis and Retrieval + (SEDAR+)”.
3. **Subsection 3.2(6) is amended by**
 - (a) **replacing** “in an acceptable electronic format” **with** “electronically”;
 - (b) **replacing** “National Instrument 13-101 System for Electronic Document Analysis and Retrieval (SEDAR)” **with** “National Instrument 13-103 System for Electronic Data Analysis and Retrieval + (SEDAR+)”.
4. **Form 62-104F1 is amended in Part 1(b) by replacing** “SEDAR at www.sedar.com” **with** “SEDAR+ at www.sedarplus.com”.
5. **Form 62-104F2 is amended in Part 1(b) by replacing** “SEDAR at www.sedar.com” **with** “SEDAR+ at www.sedarplus.com”.

Effective Date

- 6.(1) This Instrument comes into force on June 9, 2023.
- (2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after June 9, 2023, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

**CHANGES TO
COMPANION POLICY 71-102CP
CONTINUOUS DISCLOSURE AND OTHER EXEMPTIONS RELATING TO FOREIGN ISSUERS**

1. ***Companion Policy 71-102CP Continuous Disclosure and Other Exemptions Relating to Foreign Issuers is changed by this Document.***

2. ***Part 1 is changed by adding the following section:***

1.5 Electronic Transmission

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under the Instrument and this Companion Policy..

3. ***Section 4.1 is changed in the heading by replacing “SEDAR” with “SEDAR+”.***

4. ***Section 4.1 is changed by replacing “SEDAR” wherever it occurs with “SEDAR+”.***

Effective Date

5. These changes become effective on June 9, 2023.

**AMENDMENTS TO
NATIONAL INSTRUMENT 81-101 *MUTUAL FUND PROSPECTUS DISCLOSURE***

1. ***National Instrument 81-101 Mutual Fund Prospectus Disclosure is amended by this Instrument.***
2. ***Form 81-101F1 is amended by replacing “www.sedar.com” wherever it occurs with “www.sedarplus.com” in the following provisions:***
 - (a) ***Item 3 of Part A;***
 - (b) ***Item 12 of Part B.***
3. ***Form 81-101F2 is amended in Item 24(2) by replacing “www.sedar.com” with “www.sedarplus.com”.***

Effective Date

- 4.(1) This Instrument comes into force on June 9, 2023.
- (2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after June 9, 2023, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

**CHANGES TO
COMPANION POLICY 81-101CP TO
NATIONAL INSTRUMENT 81-101 *MUTUAL FUND PROSPECTUS DISCLOSURE***

1. ***Companion Policy 81-101CP to National Instrument 81-101 Mutual Fund Prospectus Disclosure is changed by this Document.***

2. ***Subsection 2.5(1) is changed by replacing***

“All documents required to be filed under the Instrument must be filed in accordance with National Instrument 13-101 *System for Electronic Document Analysis and Retrieval (SEDAR)*.”

with

“National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under the Instrument and this Policy.”

3. ***Section 2.5.1 is changed***

(a) ***in subsection (2) by replacing “System for Electronic Document Analysis and Retrieval (SEDAR)” with “System for Electronic Data Analysis and Retrieval + (SEDAR+)”, and***

(b) ***in subsection (3) by replacing “SEDAR” with “SEDAR+”.***

Effective Date

4. These changes become effective on June 9, 2023.

**CHANGES TO
COMPANION POLICY 81-102CP TO
NATIONAL INSTRUMENT 81-102 INVESTMENT FUNDS**

1. *Companion Policy 81-102CP to National Instrument 81-102 Investment Funds is changed by this Document.*
2. *The Companion Policy is changed by adding after Part 2:*

“PART 2.1 ELECTRONIC TRANSMISSION

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under the Instrument and this Policy.”.

Effective Date

3. These changes become effective on June 9, 2023.

**AMENDMENTS TO
NATIONAL INSTRUMENT 81-106 INVESTMENT FUND CONTINUOUS DISCLOSURE**

1. **National Instrument 81-106 Investment Fund Continuous Disclosure is amended by this Instrument.**
2. **In the following provisions, “SEDAR” is replaced with “SEDAR+”:**
 - (a) **paragraph 5.2(5)(d);**
 - (b) **paragraph 5.3(4)(b).**
3. **Paragraph 9.4(2.2)(f) is amended by replacing “www.sedar.com” with “www.sedarplus.com”.**
4. **Paragraph 12.2.1(a)(iii) is amended by replacing “SEDAR” with “SEDAR+” and “non-SEDAR” with “non-SEDAR+”.**
5. **In the following provisions, “SEDAR” is replaced with “SEDAR+”:**
 - (a) **paragraph 12.2.1(f);**
 - (b) **subparagraph 12.2.1(g)(i);**
 - (c) **clause 12.2.1(g)(ii)(B);**
 - (d) **subparagraph 12.2.1(i)(ii).**
6. **Form 81-106F1 is amended in Item 1 of Part B by replacing “SEDAR at www.sedar.com” with “SEDAR+ at www.sedarplus.com”.**
7. **Form 81-106F1 is amended in Item 5(9) of Part B under “INSTRUCTIONS” by replacing “www.sedar.com” with “www.sedarplus.com”.**
8. **Form 81-106F1 is amended in Item 1 of Part C by replacing “SEDAR at www.sedar.com” with “SEDAR+ at www.sedarplus.com”.**

Effective Date

- 9.(1) This Instrument comes into force on June 9, 2023.
- (2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after June 9, 2023, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

**CHANGES TO
COMPANION POLICY 81-106CP TO
NATIONAL INSTRUMENT 81-106 INVESTMENT FUND CONTINUOUS DISCLOSURE**

1. **Companion Policy 81-106CP to National Instrument 81-106 Investment Fund Continuous Disclosure is changed by this Document.**
2. **Section 1.6 is replaced with the following:**
 - 1.6 **Electronic Transmission to a Securities Regulatory Authority or Regulator** - National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under the Instrument and this Policy..
3. **Subsection 8.2(4) is changed by replacing “non-SEDAR” with “non-SEDAR+”.**

Effective Date

4. These changes become effective on June 9, 2023.

**CHANGES TO
COMMENTARY IN
NATIONAL INSTRUMENT 81-107 INDEPENDENT REVIEW COMMITTEE FOR INVESTMENT FUNDS**

1. *The Commentary to National Instrument 81-107 Independent Review Committee for Investment Funds is changed by this Document.*
2. *In the following provisions, “SEDAR” is replaced with “SEDAR+”:*
 - (a) *paragraph 1 of the Commentary to section 4.4;*
 - (b) *paragraph 5 of the Commentary to section 5.3;*
 - (c) *paragraph 3 of the Commentary to section 6.2;*
 - (d) *paragraph 4 of the Commentary to section 6.3;*
 - (e) *paragraph 4 of the Commentary to section 6.4.*

Effective Date

3. These changes become effective on June 9, 2023.

**CHANGES TO
POLICY STATEMENT TO
REGULATION 81-107 RESPECTING INDEPENDENT REVIEW COMMITTEE FOR INVESTMENT FUNDS**

1. ***Policy Statement to Regulation 81-107 Respecting Independent Review Committee for Investment Funds is changed by this Document.***

2. ***Section 1.1 is changed by adding the following paragraph:***

3. **Electronic transmission to a securities regulatory authority or regulator –**

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under the Instrument and this policy.

3. ***In the following provisions “SEDAR group profile number” is replaced with “SEDAR+ group profile number”:***

(a) ***Subsection 4.4(1);***

(b) ***Subsection 5.3(5);***

(c) ***Subsection 6.2(3);***

(d) ***Subsection 6.3(4);***

(e) ***Subsection 6.4(4).***

Effective Date

4. These changes become effective on June 9, 2023.

ANNEX F

WITHDRAWAL AND REVISION OF CSA NOTICES AND CSA STAFF NOTICES

As a result of the Amendments, we are withdrawing the following CSA Notices and CSA Staff Notices effective on the date of the coming into force of NI 13-103:

- CSA Staff Notice 11-318 *Guidance for Cease Trade Order Database Users*;
- CSA Staff Notice 13-317 *Amendments to the SEDAR Filer Manual*;
- CSA Staff Notice 13-318 *Changes to www.SEDAR.com*;
- CSA Staff Notice 13-319 *SEDAR Filer Manual Update*;
- CSA Staff Notice 13-320 *Regarding Implementation of Multilateral Instrument 13-102 System Fees for SEDAR and NRD and Related Consequential Amendments to CSA National Systems Rules*;
- CSA Staff Notice 13-321 *Update on new service provider for the operation of the CSA National Systems and implementation of Related Consequential Amendments to CSA National Systems Rules*;
- CSA Staff Notice 13-322 *Service Transition Cutover Date for Information Management Services and implementation of Related Consequential Amendments to CSA National Systems Rules*;
- Multilateral CSA Staff Notice 13-323 *Frequently Asked Questions About Making Exempt Market Offering and Disclosure Filings on SEDAR*, if applicable; and
- CSA Notice 51-323 *XBRL filing program and request for volunteers*.

In addition, we will be revising certain CSA Staff Notices on the date of the coming into force of NI 13-103, including the following:

- CSA Staff Notice 43-310 *Confidential Pre-File Review of Prospectuses (for non-investment fund issuers)* (revised) to update guidance on the filing of pre-filed prospectuses on SEDAR+;
- CSA Staff Notice 45-308 *Guidance for Preparing and Filing Reports of Exempt Distribution under National Instrument 45-106 Prospectus Exemptions (Revised)* to update guidance on the filing of reports of exempt distribution on SEDAR+;
- CSA Staff Notice 51-324 *Revised Glossary to NI 51-101 Standards of Disclosure for Oil and Gas Activities* to change the references to SEDAR to SEDAR+.

ANNEX G

LOCAL MATTERS (ONTARIO)

Introduction

This Annex to the accompanying CSA Notice sets out matters required to be addressed by the *Securities Act* (Ontario) (the **OSA**). The Ontario Securities Commission (the **Commission**) is publishing this Annex to supplement the CSA Notice.

In Ontario, the Amendments, the Local Amendments (defined below), as well as other required materials, were delivered to the Minister of Finance on March 22, 2023. The Minister may approve or reject the Amendments and the Local Amendments or return them for further consideration within 60 days of delivery. If, in that time frame, the Minister approves the Amendments and the Local Amendments or does not take any further action, the Amendments and the Local Amendments will come into force on June 9, 2023.

Consequential Amendments and Changes to Rules and Policies

Please note that although Annex E includes amendments and changes to the following rules and policies, they will not be adopted in Ontario as the rule or policy does not apply in Ontario:

- Multilateral Instrument 51-105 *Issuers Quoted in the U.S. Over-the-Counter Markets*;
- Companion Policy 51-105 *Issuers Quoted in the U.S. Over-the-Counter Market*;
- Québec Policy Statement to Regulation 81-107 *Respecting Independent Review Committee for Investment Funds*.

In addition to the consequential amendments and changes included in Annex E, in Ontario, we will be adopting consequential amendments and changes to local rules and policies under the OSA as set out in Schedule 1 to this Annex (the **Local Amendments**). The Local Amendments are intended to update the existing rules and policies with references to SEDAR+ and remove references to existing CSA systems. For example, references to SEDAR are replaced with references to SEDAR+, where appropriate, and OSC Rule 11-501 *Electronic Delivery of Documents to the Ontario Securities Commission* will be amended to remove reference to documents required or permitted to be transmitted through SEDAR+.

Staff Notices

Similar to the CSA Staff Notices referred to in Annex F, we will also consider whether any local staff notices should be revised to reflect filing through SEDAR+. For example, once the Amendments are in effect, OSC Staff Notice 12-703 *Applications for a Decision that an Issuer is not a Reporting Issuer* should direct issuers to file their application through SEDAR+ instead of the OSC Filing Portal. We expect to issue any such revised staff notices on or after the effective date of the Amendments.

**SCHEDULE 1 TO ANNEX G
AMENDMENTS AND CHANGES TO EXISTING LOCAL RULES AND POLICIES**

**AMENDMENTS TO
ONTARIO SECURITIES COMMISSION RULE 11-501
ELECTRONIC DELIVERY OF DOCUMENTS TO THE ONTARIO SECURITIES COMMISSION**

1. *Ontario Securities Commission Rule 11-501 Electronic Delivery of Documents to the Ontario Securities Commission is amended by this Instrument.*
2. *Subsection 1(1) is amended by:*
 - (a) *deleting the definition of “SEDAR”, and*
 - (b) *adding the following definition:*

“SEDAR+” has the same meaning as in National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)*;
3. *Paragraph 2(2)(a) is amended by replacing “SEDAR” with “SEDAR+”.*
4. *The Table in Appendix A is amended:*
 - (a) *by replacing the following rows:*

<i>Securities Act, s. 1(10)</i>	<i>Applications to the Commission under clause 1(10) of the Securities Act</i>
<i>Securities Act, s. 1(11)</i>	<i>Applications to the Commission under clause 1(11) of the Securities Act</i>
11-203	<i>Pre-filings, as defined in National Policy 11-203 <i>Process for Exemptive Relief Applications in Multiple Jurisdictions</i></i>
11-203	<i>Applications, as defined in National Policy 11-203 <i>Process for Exemptive Relief Applications in Multiple Jurisdictions</i></i>

with the following:

<i>Securities Act, s. 1(10)</i>	<i>Applications to the Commission under clause 1(10) of the Securities Act, except applications required to be transmitted through SEDAR+</i>
<i>Securities Act, s. 1(11)</i>	<i>Applications to the Commission under clause 1(11) of the Securities Act, except applications required to be transmitted through SEDAR+</i>
11-203	<i>Pre-filings, as defined in National Policy 11-203 <i>Process for Exemptive Relief Applications in Multiple Jurisdictions</i>, except pre-filings required to be transmitted through SEDAR+</i>
11-203	<i>Applications, as defined in National Policy 11-203 <i>Process for Exemptive Relief Applications in Multiple Jurisdictions</i>, except applications required to be transmitted through SEDAR+</i>

and

- (b) *by deleting the following rows:*

11-202	<i>Pre-filings or waiver applications within the meaning of National Policy 11-202 <i>Process for Prospectus Reviews in Multiple Jurisdictions</i></i>
12-202	<i>Applications to vary or revoke a CTO as defined in National Policy 12-202 <i>Revocation of Certain Cease Trade Orders</i></i>
13-101 s. 2.1	<i>Documents to be filed with the Commission by issuers not required to comply with National Instrument 13-101 <i>System for Electronic Document Analysis and Retrieval</i> in accordance with section 2.1 of that Instrument</i>
13-101 s. 2.3	<i>Documents to be filed with the Commission in paper format under section 2.3 of National Instrument 13-101 <i>System for Electronic Document Analysis and Retrieval</i></i>

B.5: Rules and Policies

43-101F1	Form 43-101F1 <i>Technical Report</i>
45-106F1	Form 45-106F1 <i>Report of Exempt Distribution</i>
45-106F2	Form 45-106F2 <i>Offering Memorandum for Non-Qualifying Issuers</i> or any amendment to a previously filed Form 45-106F2
45-106F3	Form 45-106F3 <i>Offering Memorandum for Qualifying Issuers</i> or any amendment to a previously filed Form 45-106F3
45-106 s. 2.9(17.1)	Filing of marketing materials pursuant to subsection 2.9(17.1) of National Instrument 45-106 <i>Prospectus Exemptions</i>
45-106F16	Form 45-106F16 <i>Notice of Use of Proceeds</i>
45-106 s. 2.9(17.13)	Delivery of a notice of change in financial year end pursuant to subsection 2.9(17.13) of National Instrument 45-106 <i>Prospectus Exemptions</i>
45-106 s. 2.9(17.5)	Delivery of annual financial statements pursuant to subsection 2.9(17.5) of National Instrument 45-106 <i>Prospectus Exemptions</i>
45-106 s. 2.9(19.4)	Filing of an appraisal report pursuant to subsection 2.9(19.4) of National Instrument 45-106 <i>Prospectus Exemptions</i>
45-106F15	Form 45-106F15 <i>Rights Offering Circular for Reporting Issuers</i>
45-106 s. 2.1.2	Notice and materials sent pursuant to section 2.1.2 of National Instrument 45-106 <i>Prospectus Exemptions</i>
45-106 s. 2.42(2)(a)	Notice to the Commission pursuant to paragraph 2.42(2)(a) of National Instrument 45-106 <i>Prospectus Exemptions</i>
45-106 s. 4.1(4)	Letters filed with the Commission pursuant to subsection 4.1(4) of National Instrument 45-106 <i>Prospectus Exemptions</i>
45-106F19	Form 45-106F19 <i>Listed Issuer Financing Document</i>
45-108 s. 12(1)(a)	A term sheet made available to a purchaser pursuant to clause 12(1)(a) of Multilateral Instrument 45-108 <i>Crowdfunding</i>
45-108 s. 12(1)(c)	Materials summarizing the information in a crowdfunding offering document made available to a purchaser pursuant to clause 12(1)(c) of Multilateral Instrument 45-108 <i>Crowdfunding</i>
45-108F1	Form 45-108F1 <i>Crowdfunding Offering Document</i>
45-110F1	Form 45-110F1 <i>Offering Document</i>
45-501 s. 5.4	Delivery of an offering memorandum or any amendment to a previously delivered offering memorandum in accordance with section 5.4 of OSC Rule 45-501 <i>Ontario Prospectus and Registration Exemptions</i>
71-101F1	Form 71-101F1 <i>Forms of Submission to Jurisdiction and Appointment of Agent for Service of Process</i>
72-503F	Form 72-503F <i>Report of Distributions Outside Canada</i>
81-102 s. 5.8(3)	Notice to the Commission by a manager under subsection 5.8(3) of National Instrument 81-102 <i>Investment Funds</i>
81-102 s. 6.7(3)	Delivery of custodian compliance reports under subsection 6.7(3) of National Instrument 81-102 <i>Investment Funds</i>
81-102 s. 12.1(2), 12.1(3)	Compliance reports under subsection 12.1(2) or 12.1(3) of National Instrument 81-102 <i>Investment Funds</i>
81-106 s. 2.11(c)	Notice to the Commission that a mutual fund is relying on the exemption not to file its financial statements in section 2.11 of National Instrument 81-106 <i>Investment Fund Continuous Disclosure</i>

Effective Date

5. This Instrument comes into force on June 9, 2023.

**CHANGES TO
ONTARIO SECURITIES COMMISSION POLICY 12-602
DESIGNATING A REPORTING ISSUER IN CERTAIN OTHER CANADIAN JURISDICTIONS
TO BE A REPORTING ISSUER IN ONTARIO**

1. *Ontario Securities Commission Policy 12-602 Designating a Reporting Issuer in Certain Other Canadian Jurisdictions to be a Reporting Issuer in Ontario is changed by this Document.*

2. *Part 5 is replaced with the following:*

PART 5 – SYSTEM FOR ELECTRONIC DATA ANALYSIS AND RETRIEVAL + (SEDAR+)

5.1 Immediately upon receipt of a Designation Order, the issuer will be expected to amend its SEDAR+ profile to indicate that it is a reporting issuer in Ontario..

Effective Date

3. This change becomes effective on June 9, 2023.

**CHANGES TO
ONTARIO SECURITIES COMMISSION POLICY 51-601 REPORTING ISSUER DEFAULTS**

1. ***Ontario Securities Commission Policy 51-601 Reporting Issuer Defaults is changed by this Document.***
2. ***Subsection 3.4(2) is replaced with the following:***
 - (2) As stated in Companion Policy 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* (NI 13-103), we consider a document filed through the System for Electronic Data Analysis and Retrieval + (SEDAR+) to be filed on the day that the transmission of the document is completed. A temporary hardship exemption is available under NI 13-103 to an issuer that encounters unanticipated technical difficulties when attempting to file through SEDAR+.
3. ***Subsection 3.4(3) is amended by replacing “SEDAR” with “SEDAR+”.***

Effective Date

4. These changes become effective on June 9, 2023.

**CHANGES TO
COMPANION POLICY 72-503 DISTRIBUTIONS OUTSIDE CANADA**

1. ***Companion Policy 72-503 Distributions Outside Canada is changed by this Document.***
2. ***The first paragraph in Part 4 is replaced with the following:***

Issuers are required to file the information required by Form 72-503F *Report of Distributions Outside Canada* (the **Form**) electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+). Please see National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* and its companion policy for further information..

Effective Date

3. This change becomes effective on June 9, 2023.

B.5.2 CSA Notice of Repeal and Replacement of Multilateral Instrument 13-102 System Fees for SEDAR and NRD



**CSA NOTICE OF
REPEAL AND REPLACEMENT OF
MULTILATERAL INSTRUMENT 13-102 SYSTEM FEES FOR SEDAR AND NRD**

March 23, 2023

Introduction

The Canadian Securities Administrators (the **CSA** or **we**) are repealing and replacing Multilateral Instrument 13-102 *System Fees for SEDAR and NRD* (**MI 13-102**),¹ with a new instrument shown in Annex A (the **Amendments**, or the **New Instrument**). This Notice should be read together with the CSA notice relating to the adoption of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* (**NI 13-103**), which is also being published today. Reference is made in this Notice to the version of the Amendments published for comment on May 2, 2019 (the **Proposed Amendments**).

Provided all ministerial approvals are obtained, the Amendments will come into force on June 9, 2023.

The CSA is renewing its national records filing systems. A new system (**SEDAR+**) will replace:

- existing CSA national systems including the System for Electronic Document Analysis and Retrieval (**SEDAR**), the System for Electronic Disclosure by Insiders (**SEDI**) and the National Registration Database (**NRD**); and
- various local records filing systems.

In connection with the development of SEDAR+, the CSA has reviewed system fees for specified filings made by market participants. As a result of this review, MI 13-102 is being repealed and replaced, primarily to change the structure of the system fees provided by MI 13-102. Under the Amendments,

- system fees continue to be established on a cost-recovery basis, and
- the total system fees collected by the CSA are projected to decline.

We note that system fees are separate from any regulatory fees users must pay in any province or territory.

This notice is available on the websites of CSA jurisdictions, including:

www.lautorite.qc.ca
www.albertasecurities.com
www.bcsc.bc.ca
nssc.novascotia.ca
www.fcnc.ca
www.osc.gov.on.ca
www.fcaa.gov.sk.ca
www.mbsecurities.ca

Background

In 2013, MI 13-102 was adopted to replace the filing service charge schedules under the SEDAR Filer Manual and the NRD User Guide. Adopting MI 13-102 reduced some fee rates to reflect cost saving opportunities based on filing patterns, but retained the fee structure. The fee structure had remained unchanged since the fee models were implemented in 1997 for SEDAR and in 2003 for NRD.

¹ While the Manitoba Securities Commission has not adopted MI 13-102, it is a participant in the system fee regime as a result of Regulation 158/2013 under *The Securities Act* (Manitoba). Corresponding amendments to Regulation 158/2013 are expected as a result of the repeal and replacement of MI 13-102.

SEDAR+ is intended to

- accept most securities law filings and documents,
- be secure and easy to use, and
- be more cost-effective to operate and change.

SEDAR+ is projected to be delivered in phases starting in June 2023. The first phase (**Phase 1**) will replace SEDAR, the Cease-Trade Order Database, the Disciplined List and certain filings in the British Columbia Securities Commission eServices system and the Ontario Securities Commission Electronic Filing Portal.

Additional requirements applicable to insiders (SEDI), registrants (NRD), regulated entities such as exchanges and self-regulatory organizations, and derivatives market participants will be addressed in future phases.

Purpose

In conjunction with the systems renewal, the CSA is revising system fees to align them to projected national system operating costs and to provide for future developments and enhancements. The changes are designed to

- reduce annual system fee revenue,
- minimize fee changes, especially for smaller filers,
- simplify the fee design by adopting flat fees and eliminating some fees, and
- add new fees for significant new services.

Substance of the Amendments

The Amendments replace principal and non-principal regulator fees with flat fees per filing type (**flat fee design**) paid only to a filer's principal regulator. This change substantially simplifies the system fee regime. Further, system fees for certain filing types are being removed, while some new fees for filing types will be introduced, as described below. System fees will rise in some cases (or be newly created) and fall in other cases, based primarily on filing behaviour and volume of use. Total system fees collected by the CSA are projected to decline by approximately \$1.7 million (7%).

Summary of the Amendments

(i) *Flat fee design*

Currently, the majority of system fees are based on the number of jurisdictions with which market participants file. The Amendments instead reflect a flat fee design. This flat fee design better aligns system fees that users must pay with the CSA's anticipated costs to operate SEDAR+, based on market participants' expected system usage. A flat fee design offers significantly improved administrative simplicity for both market participants and the CSA.

(ii) *Eliminating certain system fees*

We have eliminated system fees associated with certain filing types, which reduces costs and simplifies the system fees levied in some areas. The filing types and their related fees that we have removed from MI 13-102 are as follows:

- Prospectus – Distribution outside Quebec;
- Registration of an individual in an additional jurisdiction;
- Related Party Transaction Filings;
- Going Private Transaction Filings.

SEDAR users currently pay a one-time charge for creating a profile in SEDAR. Under SEDAR+, there is no charge for creating a profile.

(iii) *Harmonizing system fees for similar filings and introducing system fees for new filing types*

Under Item 2 of Appendix A of the New Instrument, international dealers and advisers pay a new system fee for filing a notice of reliance on the international dealer or adviser registration exemption in National Instrument 31-103 *Registration Requirements*,

Exemptions and Ongoing Registrant Obligations (NI 31-103). However, international dealers and advisers will not be required to file this document using SEDAR+ until a future phase.

Items 13 and 14 of Appendix A of the New Instrument also provide new system fees for a “pre-filing” or “application” transmitted through SEDAR+. “Pre-filing” and “application” are defined broadly at the beginning of Appendix A. Both definitions include applications for exemptions, but do not cover filer profiles. However, a system fee under Item 14 is nil if it relates to a pre-filing for which a system fee has already been paid under Item 13.

Requiring a system fee for all applications aligns with the existing requirement to pay a system fee for applications for exemptions sought in connection with a prospectus filing or exemptions relating to National Instrument 81-102 *Investment Funds*. This means, for example, that once registrant activities are included in SEDAR+, a registrant that requires an exemption from a registration requirement would file that application for an exemption through SEDAR+ and would pay a system fee for filing that application.

Under SEDAR+, all jurisdictions require reports of exempt distribution (Form 45-106F1 *Report of Exempt Distribution*) to be filed through SEDAR+ and filers to pay a system fee. Today, most jurisdictions require that form to be filed on SEDAR and to pay a system fee, while British Columbia and Ontario currently require that form to be filed using local filing systems and do not require a system fee.

The above-noted new filings represent significant new activities to be handled by SEDAR+.

(iv) *Transitional provision*

The replacement for NRD is not a part of Phase 1. Subsection 7(1) of the New Instrument provides that all system fees relating to a registrant requirement that are required to be paid by registrants will continue to be paid through NRD until NI 13-103 requires filings and renewal through NRD to be made through SEDAR+.

Likewise, notices of reliance on an international dealer or adviser registration exemption filed by international dealers and advisers are not part of Phase 1. Under subsection 7(2) of MI 13-102, system fees for this notice do not come into effect until the notices are required to be filed through SEDAR+.

Related Provisions under NI 13-103 – determination of principal regulator

Under NI 13-103, system fees are to be paid to a filer’s “principal regulator”, as defined in section 5 of NI 13-103. NI 13-103 clarifies how a filer’s principal regulator is determined for the purposes of system fees. The approach taken in NI 13-103 aligns with the approach taken today in Multilateral Instrument 11-102 *Passport System (MI 11-102)*.

Summary of Written Comments Received by the CSA

As noted above, the Proposed Amendments, together with proposed NI 13-103, were published for comment on May 2, 2019.

We received submissions from 6 commenters on the Proposed Amendments. Most of these submissions combined comments on proposed amendments to MI 13-102 and proposed NI 13-103. We have considered the comments received and thank all of the commenters for their input. The names of the commenters are contained in Annex B. A summary of comments together with our responses is in Annex C.

Copies of the comment letters were posted on the following websites:

- www.albertasecurities.com;
- www.lautorite.qc.ca;
- www.osc.gov.on.ca.

Summary of Changes in the New Instrument

There have been no substantive changes made to the New Instrument arising from the public comment period. References to the Renewed System have been changed to “SEDAR+” to reflect the name of the new system.

List of Annexes

This notice contains the following annexes:

- Annex A - Multilateral Instrument 13-102 *System Fees*
- Annex B - List of commenters
- Annex C - Summary of Comments
- Annex D - Local matters – this annex is being published in any local jurisdiction that is making related changes to local securities laws, local notices or other policy instruments in that jurisdiction. It also includes any additional information that is relevant to that jurisdiction only.

Questions

Please refer your questions to any of the following:

Alberta Securities Commission

Lanion Beck
Senior Legal Counsel
Corporate Finance
403-355-3884
lanion.beck@asc.ca

Autorité des marchés financiers

Mathieu Laberge
Legal Counsel
Legal Affairs
514-395-0337 ext.2537
1-877-525-0337 ext. 2537
mathieu.laberge@lautorite.qc.ca

British Columbia Securities Commission

Noreen Bent
Chief
Corporate Finance Legal Services
604-899-6741
nbent@bcsc.bc.ca

Ontario Securities Commission

Ashley Hsu
Legal Counsel
General Counsel's Office
647-448-9337
ahsu@osc.gov.on.ca

Financial and Consumer Services Commission (New Brunswick)

Frank McBrearty
Senior Legal Counsel
Securities
506-658-3119
frank.mcbrearty@fcnb.ca

Nova Scotia Securities Commission

Doug Harris
General Counsel, Director of Market Regulation and Policy
and Secretary
902-424-4106
doug.harris@novascotia.ca

ANNEX A

MULTILATERAL INSTRUMENT 13-102 SYSTEM FEES

Definitions

1 (1) In this Instrument,

“annual information form” means

- a) an “AIF” as defined by National Instrument 51-102 *Continuous Disclosure Obligations*, or
- b) an annual information form referred to in Part 9 of National Instrument 81-106 *Investment Fund Continuous Disclosure*;

“principal regulator” means the principal regulator determined under section 5 of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* ;

“shelf prospectus” means a prospectus filed under National Instrument 44-102 *Shelf Distributions*;

“system fee” means a fee set out in Appendix A or B.

(2) In this Instrument, a term referred to in Column 1 of the following table has the meaning ascribed to it in the Instrument referred to in Column 2 opposite that term:

Column 1 Defined Term	Column 2 Instrument
CPC instrument	National Instrument 45-106 <i>Prospectus Exemptions</i>
document	National Instrument 13-103 <i>System for Electronic Data Analysis and Retrieval + (SEDAR+)</i>
long form prospectus	National Instrument 41-101 <i>General Prospectus Requirements</i>
preliminary MJDS prospectus	National Instrument 71-101 <i>The Multijurisdictional Disclosure System</i>
rights offering circular	Section 2.1 of National Instrument 45-106 <i>Prospectus Exemptions</i>
SEDAR+	National Instrument 13-103 <i>System for Electronic Data Analysis and Retrieval + (SEDAR+)</i>
short form prospectus	National Instrument 41-101 <i>General Prospectus Requirements</i>
sponsoring firm	National Instrument 33-109 <i>Registration Information</i>

Inconsistency with other instruments

2 If there is any conflict or inconsistency between this Instrument and National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)*, this Instrument prevails.

System fees for transmission

- 3 (1) A person or company described in Column A of Appendix A must pay the corresponding system fee specified in Column C of the Appendix to the person or company’s principal regulator, if the person or company transmits a filing of a type described in Column B of the Appendix.
- (2) Subsection (1) does not apply unless the securities regulatory authority in the local jurisdiction is the person or company’s principal regulator.

Annual registrant system fee

4 On December 31 of each year, a sponsoring firm must, for each individual registrant of the sponsoring firm, pay the system fee specified in Column C of Appendix B to the securities regulatory authority if the securities regulatory authority in the local jurisdiction is the individual registrant’s principal regulator on that date.

Means of payment

5 A person or company required to pay a system fee must pay the fee through SEDAR+.

Exemption

- 6 (1) The regulator or the securities regulatory authority may grant an exemption from this Instrument, in whole or in part, subject to such conditions or restrictions as may be imposed in the exemption.
- (2) Despite subsection (1), in Ontario, only the regulator may grant such an exemption.
- (3) Except in Alberta and Ontario, an exemption referred to in subsection (1) is granted under the statute referred to in Appendix B of National Instrument 14-101 *Definitions*, opposite the name of the local jurisdiction.

Transition

- 7 (1) Despite section 5, a person or company required to pay a system fee under Item 1 of Appendix A or under Appendix B must pay the fee through NRD, as defined in National Instrument 31-102 *National Registration Database*, until National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* requires that the person or company transmit, through SEDAR+, a filing of a type described in Item 1 of Appendix A or in Appendix B.
- (2) Despite section 3, a person or company is not required to pay a system fee under Item 2 of Appendix A until National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* requires that the person or company transmit, through SEDAR+, a filing of a type described in Item 2 of Appendix A.

Repeal

8 Multilateral Instrument 13-102 *System Fees for SEDAR and NRD*, which came into force on October 12, 2013, is repealed.

Effective date

- 9 (1) This Instrument comes into force on June 9, 2023.
- (2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after June 9, 2023, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

Appendix A

System Fees

In this Appendix,

“**application**” means a request transmitted through SEDAR+ for a decision of the regulator or securities regulatory authority but, for greater certainty, does not include a pre-filing;

“**pre-filing**” means a request to consult with the principal regulator regarding the application of securities legislation or securities directions generally or the application of securities legislation or a direction to a particular transaction or matter or proposed transaction or matter.

Item	Column A Person or company required to file	Column B Filing Type	Column C System Fee
1	Sponsoring firm – in respect of an individual registrant	Application for registration or reactivation of registration	\$86
2	International dealer or international adviser	Annual notice of reliance on exemption from dealer registration requirement or adviser registration requirement	\$350
3	Investment fund that is a reporting issuer	Annual financial statements	\$525
4	Investment fund	Preliminary, pro forma, or combined preliminary and pro forma long form prospectus	\$2200, regardless of whether the applicable long form prospectus relates to the distribution of the securities of one or more than one investment fund
		Preliminary, pro forma, or combined preliminary and pro forma simplified prospectus	\$2200, regardless of whether the applicable simplified prospectus relates to the distribution of the securities of one or more than one investment fund
5	Reporting issuer other than an investment fund	Annual financial statements	\$765
6	Reporting issuer, other than an investment fund, that is not a short form prospectus issuer	Annual information form	\$430
7	Investment fund that is not a short form prospectus issuer	Annual information form	\$430
8	Reporting issuer that is a short form prospectus issuer	Annual information form	\$2530
9	Issuer other than an investment fund	Preliminary long form prospectus	\$950
		Preliminary prospectus governed by a CPC instrument	
		Preliminary short form prospectus, preliminary shelf prospectus or preliminary MJDS prospectus	\$1500
10	All filers	Issuer bid circular filed under Part 2 of National Instrument 62-104 <i>Take-Over Bids and Issuer Bids</i> or take-over bid circular filed under Part 2 of National Instrument 62-104 <i>Take-Over Bids and Issuer Bids</i>	\$350
11	Issuer, other than an investment fund	Rights offering circular	\$1500

Appendix B

System Fees

Column A Person or company required to file	Column B Filing Type	Column C System Fee
Sponsoring firm – in respect of each individual registrant sponsored by the firm	Annual registration renewal	\$86

ANNEX B

List of Commenters

1. Canadian Investor Relations Institute (Yvette Lokker)
2. Portfolio Management Association of Canada (Katie Walmsley and Margaret Gunawan)
3. The Investment Funds Institute of Canada (Minal Upadhyaya)
4. Borden Ladner Gervais LLP (Rebecca Cowdery, Prema K.R. Thiele, Stephen Robertson and Jessica Evans)
5. Investment Industry Association of Canada (Susan Copland)
6. CI Investments Inc. (Tim Currie)

ANNEX C

Summary of Comments and CSA Responses

The following is a summary of comments and CSA responses in respect of the Proposed Amendments, as described in CSA Notice and Request for Comment *Proposed Repeal and Replacement of Multilateral Instrument 13-102 System Fees for SEDAR and NRD* published on May 2, 2019.

#	TOPIC	SUMMARIZED COMMENT	CSA RESPONSE
1	Support for the adoption of a new national filing system	<p>The commenters generally support the adoption of a new national system to replace the CSA national systems.</p> <p>The following are examples of the comments received:</p> <ul style="list-style-type: none"> • We are broadly supportive of the updates being made by the CSA to the CSA national systems, including SEDAR. • Our members look forward to the implementation of a new integrated national information and filing system. • The potential benefits of the National Systems Renewal Program (NSRP) to regulators, market participants and investors are clear and significant. For regulators, a single structured database presents the opportunity to streamline internal workflow processes, break down silos, develop analytics to optimize organizational performance, and identify compliance review priorities. For market participants, the opportunity to easily access the information and data they are required to file would reduce the need for multiple manual data entries, streamline their own internal work processes, and improve compliance by enabling firms to leverage this data in their business operations and compliance supervision activities. • We are very supportive of the CSA's proposed centralized information technology system, as well as the harmonized approach the CSA is taking with respect to SEDAR+. We believe that replacing outdated, fragmented reporting systems and databases with more efficient, centralized, and secure technology is a key step in reducing regulatory burden, increasing information security, and facilitating information flow in an efficient and cost-effective manner. • We view SEDAR+ as an important opportunity to improve information flow that is worth the short-term investment for the longer-term benefit of all stakeholders, including for investors and the CSA. • We thank the CSA for the work being done to create and roll out SEDAR+. Technology and database updates are often more complex than they seem, but this undertaking is well overdue and, we believe, very worth the resources and time devoted by CSA staff to make it a reality. • We have been an advocate for modernizing the national records filing system for years and [are] pleased that the CSA has moved forward on long awaited revisions to this system. • The redevelopment of the existing outdated and unwieldy systems into an integrated framework is an important 	<p>We acknowledge the comments of support and thank the commenters.</p>

#	TOPIC	SUMMARIZED COMMENT	CSA RESPONSE
		<p>step in ensuring that the regulatory infrastructure employs appropriate technology and system design to meet the industry's current and future requirements.</p> <ul style="list-style-type: none"> • We commend the CSA for undertaking the proposed integrated information and filing system, as the existing databases and processes are outdated and have exceeded their useful lifespan. • We are very encouraged that the CSA has adopted a harmonized approach to the NSRP as we anticipate that this approach will result in a more efficient exchange of information, thereby increasing productivity for both registrants and regulators. • We are fully in support of the <i>concept</i> behind SEDAR+. Replacing these outdated platforms with a single, nationally harmonized platform could reduce the regulatory burden of compliance while improving the efficient flow of information that underpins fair and transparent capital markets. • The NSRP will provide long-term added value to industry, regulators and investors if an open architecture path is chosen. 	
2	Comments related to NSRP generally	<p>Many of the commenters provided feedback and suggestions on matters related to the design, development and implementation of the new NSRP system. These include comments related to system design, implementation, testing prior to launch, search functionality, systems governance, functionality related to data mining and data. We also received comments on the design of the components of this system, related to the Disciplined List, the Cease Trade Order Database, and the National Registration Database, and comments related to filing of reports of exempt distribution reports and associated fees.</p>	<p>We thank the commenters for their feedback and suggestions, however these comments are outside of the scope of MI 13-102. NSRP staff are reaching out directly to commenters to discuss these comments further in connection with their ongoing work on system design, development and implementation.</p> <p>Comments related to system fees are addressed in this annex.</p>
3	General support for proposed system fees	<p>Five commenters were generally supportive of the proposed system fees. The following are examples of the comments received:</p> <ul style="list-style-type: none"> • We are generally supportive of the CSA's proposed system fees for specified filings made by market participants. We agree that the flat fee structure, paid only to the principal regulator, will simplify and improve upon the current fee system. • We are generally supportive of the system fees consultation and applaud the CSA for simplifying its fee design through the imposition of flat fees, the elimination of certain fees, minimizing fee changes – especially for small registrants, and for reducing system fees overall. • The CSA's proposal that filers only will pay fees to their principal regulator is a sensible way to simplify fee calculations and payment. 	<p>We acknowledge the comments of support and thank the commenters.</p>

#	TOPIC	SUMMARIZED COMMENT	CSA RESPONSE
		<ul style="list-style-type: none"> • We are pleased that the CSA devised a new system fee schedule that is anticipated to result in only 1% of filers having fee increases of over \$1000. • We are supportive of the CSA proposed system fees revisions to provide filers with a simplified one-stop process for submitting required disclosure documentation to the various regulators across Canadian capital markets. We also believe that the adoption of a flat fee design as opposed to the current multi-jurisdictional fee structure to both principal and non-principal regulators is a major improvement. Both of these changes are expected to reduce the administrative burden and complexity faced by reporting issuers and others as they meet their commitment to fulsome continuous disclosure. • We appreciate that the new approach to system user fees has the potential to improve the simplicity of calculating, inputting and transmitting system user fees. • The proposed fee structure provides a coherent, simplified, and fair means for charging for access and use of the system. The flat fee design, payable only to the filer's principal regulator significantly simplifies the process and will reduce the resources required by users to manage these administrative takes. It is appropriate and fair that system fees will be based primarily on filing behaviour and volume of use. We are pleased that SEDAR+ will be run on a cost recovery basis and it has been developed in an efficient manner, so that the total system fees are expected to decline. 	
4	Impact of proposed system fees on investment fund managers	<p>Two commenters were concerned that investment fund managers can expect their system fees to increase by approximately 8%, due mainly to the new \$40 fee proposed for Ontario and British Columbia exempt distribution filings in SEDAR+. The commenters noted that many investment fund managers may need to pass these increased costs on to investors.</p> <p>One of the commenters noted that increased costs resulting from the growing regulatory burden is contrary to the objectives of most fund companies, as they negatively affect investors' ability to achieve their savings objectives.</p> <p>The other commenter noted that it is difficult for the industry to lower investment product costs for investors if regulatory costs continue to increase and urged the CSA to be mindful of the impact of fee increases on the costs of products and services to investors.</p>	<p>The system fee proposal sought to have a balanced approach to fees. Overall there is a projected 7% reduction in system fees. As system fees are a very small part of an investment fund managers cost structure, we do not anticipate a noticeable resulting increase in investment product costs.</p>
5	Provide regular reports	<p>One commenter noted that the proposed fee model is designed to reflect the costs of using SEDAR+ and allow for future enhancements. The commenter encouraged the CSA to provide regular reports on system fees, finances, operations and progress updates to users of SEDAR+.</p> <p>A second commenter noted that the CSA acknowledge that certain issuers, most notably investment funds, will see their fees increase under the new system, and there will also be significant fee increases applicable to non-Canadian firms who</p>	<p>The proposed fee model is designed to reflect the costs of using SEDAR+. In some cases, relief has been provided in connection with start-up cost of SEDAR+ (i.e, no charge for initial profiles). Regular reports of the nature noted are contemplated.</p>

#	TOPIC	SUMMARIZED COMMENT	CSA RESPONSE
		<p>rely on the international adviser and international dealer exemptions provided for in NI 31-103. The commenter considers it incumbent on the CSA to explain how it arrived at the overall distribution of fees amongst the various constituents using SEDAR+, and continuously report on a regular basis on that distribution. The commenter suggested that changes may be necessary based on experience in the use of SEDAR+.</p>	
6	<p>New annual fee to file notice of reliance on the international adviser exemption</p>	<p>One commenter discussed the new annual fee payable by international advisers to file a notice of reliance on the international adviser exemption, other than for those operating solely in Ontario and satisfying the Ontario rule requirements. The commenter suggested that the proposal is unclear about how to determine the CSA member to which payment should be made, and remarked that, since the new fee model is generally dictated by a registrant's principal regulator, further clarity is needed as to how international advisers should pay the new fee.</p>	<p>We thank the commenter for its comment.</p> <p>Subsection 5(3) of NI 13-103 outlines how a filer must determine their principal regulator for the purposes of determining the jurisdiction that is to be paid the system fee for a filing that is not otherwise addressed in MI 11-102. This test was designed to apply to all filers, including foreign filers.</p> <p>Under this test, foreign filers will generally need to determine their principal regulator by identifying the jurisdiction with which they have the most "significant connection". The factors for determining "significant connection" are found in section 3.4 of National Policy 11-202 <i>Process for Prospectus Reviews in Multiple Jurisdictions</i>. Relying on this "significant connection" approach provides the most flexibility to a person filing a document that is not otherwise addressed by MI 11-102.</p> <p>We note that registration-related filing requirements are not part of this phase of the systems replacement. Accordingly, international dealers and advisers will not be filing in SEDAR+ until a later phase of this project. They will not pay a fee until they are required to file in SEDAR+.</p>
7	<p>Late fee waiver</p>	<p>One commenter suggested that there should be a waiver of late fees levied under OSC Rule 13-502 and other equivalent provincial fee rules if delays are caused by SEDAR+.</p>	<p>There are no late fees provided in proposed MI 13-102, so a waiver under MI 13-102 is not necessary. Under section 6 of NI 13-103, temporary exemptive relief is available in the event of unanticipated technical difficulties. The temporary exemption provides 2 extra business days for a person or</p>

B.5: Rules and Policies

#	TOPIC	SUMMARIZED COMMENT	CSA RESPONSE
			company to file a document with, or deliver a document to, the securities regulatory authority or regulator, if unanticipated technical difficulties prevent the person or company from transmitting the document through SEDAR+ within the time required or permitted under securities legislation.

ANNEX D

Local Matters

In Ontario, the Amendments as well as other required materials, were delivered to the Minister of Finance on March 22, 2023. The Minister may approve or reject the Amendments or return them for further consideration within 60 days of delivery. If, in that time frame, the Minister approves the Amendments or does not take any further action, the Amendments will come into force on June 9, 2023.

In Québec, the Amendments are adopted as a regulation made under section 331 of the *Securities Act* (Québec) and must be approved, with or without amendment, by the Minister of Finance. The regulation will come into force on the date of its publication in the *Gazette officielle du Québec* or on any later date specified in the regulations. It is also published in the *Bulletin of the Autorité des marchés financiers*.

In Saskatchewan:

- (1) the Instrument comes into force on June 9, 2023;
- (2) despite subsection (1), if the Instrument is filed with the Registrar of Regulations after June 9, 2023, the Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

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B.7 Insider Reporting

The following is a weekly summary of insider transactions by insiders of *Ontario reporting issuers* in SEDI® (the System for Electronic Disclosure by Insiders).¹ The weekly summary contains insider transactions reported during the 7-day period ending Sunday at 11:59 p.m. (i.e. the Sunday prior to the Bulletin Issue date).²

Guide to Codes

Relationship of Insider to Issuer (Rel'n)

- 1 Issuer
- 2 Subsidiary of Issuer
- 3 10% Security Holder of Issuer
- 4 Director of Issuer
- 5 Senior Officer of Issuer
- 6 Director or Senior Officer of 10% Security Holder
- 7 Director or Senior Officer of Insider or Subsidiary of Issuer (other than in 4,5,6)
- 8 Deemed Insider – 6 Months before becoming Insider

Nature of Transaction (T/O)

- 00 Opening Balance-Initial SEDI Report
- 10 Acquisition or disposition in the public market
- 11 Acquisition or disposition carried out privately
- 15 Acquisition or disposition under a prospectus
- 16 Acquisition or disposition under a prospectus exemption
- 22 Acquisition or disposition pursuant to a take-over bid, merger or acquisition
- 30 Acquisition or disposition under a purchase/ ownership plan
- 35 Stock dividend
- 36 Conversion or exchange
- 37 Stock split or consolidation
- 38 Redemption, retraction, cancellation, repurchase
- 40 Short sale
- 45 Compensation for property
- 46 Compensation for services
- 47 Acquisition or disposition by gift
- 48 Acquisition by inheritance or disposition by bequest
- 50 Grant of options
- 51 Exercise of options
- 52 Expiration of options
- 53 Grant of warrants
- 54 Exercise of warrants
- 55 Expiration of warrants
- 56 Grant of rights
- 57 Exercise of rights
- 59 Exercise for cash
- 70 Acquisition or disposition (writing) of third party derivative
- 71 Exercise of third party derivative
- 72 Other settlement of third party
- 73 Expiration of third party derivative
- 90 Change in nature of ownership
- 97 Other
- 99 Correction of Information

Note: The asterisk in the "Date/Month End Holding" column indicates the insider disagreed with the system calculated balance when the transaction was reported.

¹ SEDI® is a registered trademark owned by CDS INC.

² ©CDS INC.

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
1317774 B.C. Ltd.	Common Shares	PENN Entertainment, Inc.	3	2023-03-17	11		1,000,351	10
37 Capital Inc.	Common Shares	Kalpakistan, Jacob H	4	2023-03-16	10	0.02	1,417,656	1,000
4Front Ventures Corp.	Subordinate Voting Shares (Class A Subordinate Voting Shares)	Pratte, Trevor John	3	2023-03-15	10	0.33	22,560,276	-7,500
4Front Ventures Corp.	Subordinate Voting Shares (Class A Subordinate Voting Shares)	Rosen, Joshua Nathaniel	3	2023-03-15	10	0.33	19,132,270	-7,500
5N Plus Inc.	Common Shares	Perron, Richard	5	2023-03-13	10	3.48	0	-36,488
AcuityAds Holdings Inc.	Common Shares	Pollack, Sheldon M.	4	2023-03-17	10	2.081	1,365,319	20,000
Aecon Group Inc.	Deferred Share Units	Beck, John Michael	4	2023-03-10	30	12.72	388,308	9,041
Aecon Group Inc.	Deferred Share Units	Borgatti, Adam Robert	5	2023-03-10	30	12.72	28,554	6,094
Aecon Group Inc.	Restricted Share Units	Borgatti, Adam Robert	5	2023-03-10	30	12.72	26,837	16,704
Aecon Group Inc.	Deferred Share Units	Chan, Ernest	5	2023-03-10	30	12.72	24,955	9,020
Aecon Group Inc.	Restricted Share Units	Chan, Ernest	5	2023-03-10	30	12.72	12,569	9,111
Aecon Group Inc.	Deferred Share Units	Clochard, Thomas	5	2023-03-10	30	12.72	34,960	12,956
Aecon Group Inc.	Restricted Share Units	Clochard, Thomas	5	2023-03-10	30	12.72	23,953	12,955
Aecon Group Inc.	Deferred Share Units	Doyle, Martina	5	2023-03-10	30	12.72	13,654	7,260
Aecon Group Inc.	Restricted Share Units	Doyle, Martina	5	2023-03-10	30	12.72	10,624	7,260
Aecon Group Inc.	Deferred Share Units	Franceschini, Anthony P.	4	2023-03-10	30	12.72	95,215	9,041
Aecon Group Inc.	Deferred Share Units	Hole, James Douglas	4	2023-03-10	30	12.72	92,499	9,041
Aecon Group Inc.	Deferred Share Units	MacCallum, Alistair	5	2023-03-10	30	12.72	28,610	1,388
Aecon Group Inc.	Restricted Share Units	MacCallum, Alistair	5	2023-03-10	30	12.72	46,120	26,096
Aecon Group Inc.	Common Shares	MacDonald, James Eric	5	2023-02-22	00		14,202	
Aecon Group Inc.	Deferred Share Units	MacDonald, James Eric	5	2023-02-22	00		59,661	
Aecon Group Inc.	Deferred Share Units	MacDonald, James Eric	5	2023-03-10	30	12.72	73,010	13,349
Aecon Group Inc.	Restricted Share Units	MacDonald, James Eric	5	2023-02-22	00		36,811	
Aecon Group Inc.	Restricted Share Units	MacDonald, James Eric	5	2023-03-10	30	12.72	50,160	13,349
Aecon Group Inc.	Deferred Share Units	Nackan, Steven Neil	5	2002-01-01	00		101,595	
Aecon Group Inc.	Deferred Share Units	Nackan, Steven Neil	5	2023-03-10	30	12.72	116,112	14,517
Aecon Group Inc.	Restricted Share Units	Nackan, Steven Neil	5	2002-01-01	00		42,794	
Aecon Group Inc.	Restricted Share Units	Nackan, Steven Neil	5	2023-03-10	30	12.72	58,906	16,112
Aecon Group Inc.	Deferred Share Units	Rivaya Fernandez-Santa Eulalia, Manuel	5	2023-03-10	30	12.72	39,155	11,852
Aecon Group Inc.	Restricted Share Units	Rivaya Fernandez-Santa Eulalia, Manuel	5	2023-03-10	30	12.72	27,973	16,418
Aecon Group Inc.	Deferred Share Units	Rosenfeld, Eric Stuart	4	2023-03-10	30	12.72	59,571	9,041
Aecon Group Inc.	Deferred Share Units	Servranckx, Jean-Louis Robert Georges	4, 5	2023-03-10	30	12.72	243,622	42,409
Aecon Group Inc.	Restricted Share Units	Servranckx, Jean-Louis Robert Georges	4, 5	2023-03-10	30	12.72	340,007	204,037
Aecon Group Inc.	Deferred Share Units	Sloan, Monica	4	2023-03-10	30	12.72	89,341	9,041
Aecon Group Inc.	Restricted Share Units	Smales, David Andrew	5	2023-03-10	30	12.72	183,720	111,164
Aecon Group Inc.	Deferred Share Units	Stein, Deborah Susan	4	2023-03-10	30	12.72	32,161	9,041
Aecon Group Inc.	Deferred Share Units	Terkalas, Gordana	5	2023-03-10	30	12.72	27,307	4,736
Aecon Group Inc.	Restricted Share Units	Terkalas, Gordana	5	2023-03-10	30	12.72	52,226	29,430
Aecon Group Inc.	Deferred Share Units	Thon, Scott William	4	2023-03-10	30	12.72	26,737	9,041
Aecon Group Inc.	Deferred Share Units	Wolburgh Jenah, Susan	4	2023-03-10	30	12.72	65,817	9,041
Africa Oil Corp.	Common Shares	Bartlett, Andrew Donald	4	2023-03-16	57	2.82	379,050	63,150
Africa Oil Corp.	Restricted Share Units	Bartlett, Andrew Donald	4	2022-12-15	59	2.82		-63,150
Africa Oil Corp.	Restricted Share Units	Bartlett, Andrew Donald	4	2023-02-16	56	2.92		44,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Africa Oil Corp.	Restricted Share Units	Bartlett, Andrew Donald	4	2023-03-16	59	2.82	214,450	-63,150
Africa Oil Corp.	Restricted Share Units	Bartlett, Andrew Donald	4	2023-03-16	57	2.82		63,150
Africa Oil Corp.	Restricted Share Units	Bartlett, Andrew Donald	4	2023-03-16	57	2.82		-63,150
Africa Oil Corp.	Restricted Share Units	Bartlett, Andrew Donald	4	2023-03-16	57	2.82		-63,150
Africa Oil Corp.	Restricted Share Units	Bartlett, Andrew Donald	4	2023-03-16	56	2.92		44,000
Africa Oil Corp.	Restricted Share Units	Bartlett, Andrew Donald	4	2023-03-16	56	2.92	258,850	44,400
Africa Oil Corp.	Restricted Share Units	Bartlett, Andrew Donald	4	2023-03-16	56	2.82	266,512	7,662
Africa Oil Corp.	Restricted Share Units	Bartlett, Andrew Donald	4	2023-03-16	59	2.82	263,026	-3,486
Africa Oil Corp.	Restricted Share Units	Bartlett, Andrew Donald	4	2023-03-16	57	2.82	199,876	-63,150
Africa Oil Corp.	Performance Share Units	Bowe, Amy Deanna	5	2023-03-16	56	2.92	116,000	53,500
Africa Oil Corp.	Performance Share Units	Bowe, Amy Deanna	5	2023-03-16	56		117,725	1,725
Africa Oil Corp.	Common Shares	Gibbs, Ian	5	2023-03-16	57		1,199,217	63,150
Africa Oil Corp.	Restricted Share Units	Gibbs, Ian	5	2023-03-16	56		322,000	44,400
Africa Oil Corp.	Restricted Share Units	Gibbs, Ian	5	2023-03-16	56		329,662	7,662
Africa Oil Corp.	Restricted Share Units	Gibbs, Ian	5	2023-03-16	57		266,512	-63,150
Africa Oil Corp.	Restricted Share Units	Gibbs, Ian	5	2023-03-16	59		203,362	-63,150
Africa Oil Corp.	Restricted Share Units	Gibbs, Ian	5	2023-03-16	59		199,876	-3,486
Africa Oil Corp.	Restricted Share Units	Hill, Keith Charles	4, 5	2023-03-16	57			-1
Africa Oil Corp.	Restricted Share Units	Hill, Keith Charles	4, 5	2023-03-16	57			-1
Africa Oil Corp.	Restricted Share Units	Hill, Keith Charles	4, 5	2023-03-16	57		251,499	-1
Africa Oil Corp.	Restricted Share Units	Hill, Keith Charles	4, 5	2023-03-17	57			-1
Africa Oil Corp.	Restricted Share Units	Hill, Keith Charles	4, 5	2023-03-17	57			-1
Africa Oil Corp.	Common Shares	Martinez, Paul	5	2023-03-16	57	2.82	237,022	24,333
Africa Oil Corp.	Common Shares	Martinez, Paul	5	2023-03-16	57	2.82	535,052	298,030
Africa Oil Corp.	Performance Share Units	Martinez, Paul	5	2023-03-16	56	2.92	1,521,470	318,600
Africa Oil Corp.	Performance Share Units	Martinez, Paul	5	2023-03-16	57	2.82	1,819,500	298,030
Africa Oil Corp.	Performance Share Units	Martinez, Paul	5	2023-03-16	59	2.82	2,117,530	298,030
Africa Oil Corp.	Performance Share Units	Martinez, Paul	5	2023-03-16	56	2.82	2,155,015	37,485
Africa Oil Corp.	Performance Share Units	Martinez, Paul	5	2023-03-16	59	2.82	2,171,129	16,114
Africa Oil Corp.	Restricted Share Units	Martinez, Paul	5	2023-03-16	57	2.82	73,000	24,333
Africa Oil Corp.	Restricted Share Units	Martinez, Paul	5	2023-03-16	59	2.82	97,333	24,333
Africa Oil Corp.	Restricted Share Units	Martinez, Paul	5	2023-03-16	56	2.82	99,361	2,028
Africa Oil Corp.	Restricted Share Units	Martinez, Paul	5	2023-03-16	59	2.82	101,389	2,028
Ag Growth International Inc.	Common Shares	Lambert, William Allen	4	2023-03-13	11	60.47	75,834	25,900
Ag Growth International Inc.	Common Shares	Lambert, William Allen	4	2023-03-13	11	60.47	0	-25,900
Agra Ventures Ltd. (formerly AgraFlora Organics International Inc.)	Common Shares	Kuzyk, Nicholas Jonathan	4, 5	2023-03-13	99		245,450	-400,000
Agra Ventures Ltd. (formerly AgraFlora Organics International Inc.)	Common Shares	Kuzyk, Nicholas Jonathan	4, 5	2023-03-13	99		1,747,686	400,000
Agra Ventures Ltd. (formerly AgraFlora Organics International Inc.)	Common Shares	Kuzyk, Nicholas Jonathan	4, 5	2023-03-17	10	0.01	1,553,686	-194,000
Air Canada	Share Units (Long-Term Incentive Plan)	Abbott, Michael	5	2023-03-13	59	20.16	17,358	-1,080
Air Canada	Share Units (Long-Term Incentive Plan)	Crocker, Melvin	5	2023-03-13	59	20.16	18,222	-991
Air Canada	Share Units (Long-Term Incentive Plan)	Doyle, Anthony	5	2023-03-13	59	20.16	14,742	-764
Air Canada	Class B Voting Shares	Elfassy, Samuel	5	2023-03-16	10	18.37	23,267	718

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Air Canada	Share Units (Long-Term Incentive Plan)	Elfassy, Samuel	5	2023-03-13	59	20.16	10,906	-1,408
Air Canada	Share Units (Long-Term Incentive Plan)	Galardo, Mark	5	2023-03-13	59	20.16	49,007	-2,347
Air Canada	Share Units (Long-Term Incentive Plan)	Guercio, Giuseppina	5	2023-03-13	59	20.16	12,150	-1,037
Air Canada	Share Units (Long-Term Incentive Plan)	Guillemette, Lucie	5	2023-03-13	59	20.16	76,733	-9,723
Air Canada	Share Units (Long-Term Incentive Plan)	Hadrovic, Carolyn	5	2023-03-13	59	20.16	16,842	-1,341
Air Canada	Share Units (Long-Term Incentive Plan)	Houle, Pierre	5	2023-03-13	59	20.16	14,659	-905
Air Canada	Share Units (Long-Term Incentive Plan)	Isford, Chris	5	2023-03-13	59	20.16	20,408	-1,475
Air Canada	Share Units (Long-Term Incentive Plan)	Kazzaz, Amos	5	2023-03-13	59	20.16	90,031	-3,017
Air Canada	Class B Voting Shares	Landry, Craig	5	2023-03-13	10	19.33	22,830	3,998
Air Canada	Share Units (Long-Term Incentive Plan)	Landry, Craig	5	2023-03-13	59	20.16	95,343	-8,214
Air Canada	Class B Voting Shares	Meloul-Wechsler, Arielle	5	2023-03-13	10	19.39	11,822	2,539
Air Canada	Share Units (Long-Term Incentive Plan)	Meloul-Wechsler, Arielle	5	2023-03-13	59	20.16	74,775	-5,230
Air Canada	Class B Voting Shares	Nasr, Mark Youssef	5	2023-03-16	10	18.37	9,776	1,196
Air Canada	Share Units (Long-Term Incentive Plan)	Nasr, Mark Youssef	5	2023-03-13	59	20.16	54,049	-2,347
Air Canada	Class B Voting Shares	O'Connor, Kevin Patrick Cornelius	5	2023-03-13	51	9.23	7,282	3,537
Air Canada	Class B Voting Shares	O'Connor, Kevin Patrick Cornelius	5	2023-03-13	10	19.5	3,745	-3,537
Air Canada	Class B Voting Shares	O'Connor, Kevin Patrick Cornelius	5	2023-03-16	10	18.37	4,599	854
Air Canada	Options (Long-Term Incentive Plan)	O'Connor, Kevin Patrick Cornelius	5	2023-03-13	51	9.23	75,295	-3,537
Air Canada	Share Units (Long-Term Incentive Plan)	O'Connor, Kevin Patrick Cornelius	5	2023-03-13	59	20.16	24,117	-1,676
Air Canada	Share Units (Long-Term Incentive Plan)	O'Leary, Scott	5	2023-03-13	59	20.16	19,489	-979
Air Canada	Share Units (Long-Term Incentive Plan)	Pierce, Lisa	5	2023-03-13	59	20.16	11,378	-1,102
Air Canada	Share Units (Long-Term Incentive Plan)	Romanelli, Gilda	5	2023-03-13	59	20.16	14,331	-901
Air Canada	Class B Voting Shares	Rousseau, Michael Stewart	4, 5	2023-03-13	10	19.33	153,305	6,528
Air Canada	Share Units (Long-Term Incentive Plan)	Rousseau, Michael Stewart	4, 5	2023-03-13	59	20.16	470,516	-13,411
Air Canada	Share Units (Long-Term Incentive Plan)	Strom, Murray Douglas	5	2023-03-13	59	20.16	38,317	-1,676
Air Canada	Share Units (Long-Term Incentive Plan)	TURNER, Jon	5	2023-03-13	59	20.16	20,174	-1,341
Air Canada	Share Units (Long-Term Incentive Plan)	Yiu, Andrew Ka Hoi	5	2023-03-13	59	20.16	18,637	-1,408
Alamos Gold Inc.	Common Shares Class A	Bostwick, Christopher John	5	2023-02-28	30	13.678	70,627	372
Alamos Gold Inc.	Common Shares Class A	Chavez - Martinez, Mario Luis	5	2023-02-28	30	10.085	88,166	403
Alamos Gold Inc.	Common Shares Class A	Elhaj, Khalid	5	2023-02-28	30	13.678	11,710	229
Alamos Gold Inc.	Common Shares Class A	Engelstad, Nils Frederik Jonas	8, 5	2023-02-28	30	13.678	25,153	363
Alamos Gold Inc.	Common Shares Class A	Engelstad, Nils Frederik Jonas	8, 5	2023-03-17	51	9.62	40,153	15,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Alamos Gold Inc.	Common Shares Class A	Engelstad, Nils Frederik Jonas	8, 5	2023-03-17	10	15.2	25,153	-15,000
Alamos Gold Inc.	Options	Engelstad, Nils Frederik Jonas	8, 5	2023-03-17	51	9.62	205,008	-15,000
Alamos Gold Inc.	Common Shares Class A	Fisher, Gregory S.	5	2023-02-28	30	13.678	32,990	196
Alamos Gold Inc.	Common Shares Class A	Fitzgerald, John Michael	5	2023-02-28	30	13.678	9,478	330
Alamos Gold Inc.	Common Shares Class A	Fitzgerald, John Michael	5	2023-03-17	51	10.27	24,527	15,049
Alamos Gold Inc.	Common Shares Class A	Fitzgerald, John Michael	5	2023-03-17	10	15.568	9,478	-15,049
Alamos Gold Inc.	Options	Fitzgerald, John Michael	5	2023-03-17	51	10.27	110,267	-15,049
Alamos Gold Inc.	Common Shares Class A	Guimond, Luc	5	2023-02-28	30	13.678	18,396	383
Alamos Gold Inc.	Common Shares Class A	McCluskey, John	4, 5	2023-02-28	30	13.678	670,726	845
Alamos Gold Inc.	Rights Deferred Share Units	Murphy, Paul	4	2023-03-15	30		247,843	719
Alamos Gold Inc.	Common Shares Class A	Parsons, Scott Kyle	5	2023-02-28	30	13.678	53,354	290
Alamos Gold Inc.	Common Shares Class A	Parsons, Scott Russell Gordon	5	2023-02-28	30	13.678	3,177	248
Alamos Gold Inc.	Common Shares Class A	PAULSE, ADRIAN	5	2023-02-28	30	13.678	24,384	248
Alamos Gold Inc.	Common Shares Class A	Porter, James	5	2023-02-28	30	13.678	129,780	525
Alamos Gold Inc.	Rights Deferred Share Units	Prichard, John Robert Stobo	4	2023-03-15	30		115,578	499
Alamos Gold Inc.	Common Shares Class A	Tang, Grace	5	2023-02-28	30	13.678	3,756	224
Alamos Gold Inc.	Common Shares Class A	Tang, Grace	5	2023-02-28	30	13.678	3,996	240
Alamos Gold Inc.	Common Shares Class A	Webster, Colin	5	2023-02-28	30	13.678	24,265	213
Alaska Hydro Corporation	Common Shares	Grandison, Clifford Allan	4, 5	2023-03-13	10	0.025	7,639,030	1,000
Alaska Hydro Corporation	Common Shares	Grandison, Clifford Allan	4, 5	2023-03-17	10	0.025	7,641,030	2,000
Algernon Pharmaceuticals Inc.	Common Shares	Attariwala, Rajpaul	4	2023-03-13	37		62,680	47,010
Algernon Pharmaceuticals Inc.	Options	Attariwala, Rajpaul	4	2022-05-18	55		11,000	-500
Algernon Pharmaceuticals Inc.	Options	Attariwala, Rajpaul	4	2023-03-01	55		15,500	-500
Algernon Pharmaceuticals Inc.	Options	Attariwala, Rajpaul	4	2023-03-13	37		21,500	6,000
Algernon Pharmaceuticals Inc.	Options	Attariwala, Rajpaul	4	2023-03-13	37		27,500	6,000
Algernon Pharmaceuticals Inc.	Options	Attariwala, Rajpaul	4	2023-03-13	37		47,000	19,500
Algernon Pharmaceuticals Inc.	Options	Attariwala, Rajpaul	4	2023-03-13	37		62,000	15,000
Algernon Pharmaceuticals Inc.	Rights RSUs	Attariwala, Rajpaul	4	2023-03-13	37		26,668	20,001
Algernon Pharmaceuticals Inc.	Common Shares	Bloomfield, Harry J.F.	4	2023-03-13	37		33,332	24,999
Algernon Pharmaceuticals Inc.	Common Shares	Bloomfield, Harry J.F.	4	2023-03-13	37		24,000	18,000
Algernon Pharmaceuticals Inc.	Options	Bloomfield, Harry J.F.	4	2023-03-13	37		55,000	30,000
Algernon Pharmaceuticals Inc.	Options	Bloomfield, Harry J.F.	4	2023-03-13	37		100,000	45,000
Algernon Pharmaceuticals Inc.	Rights RSUs	Bloomfield, Harry J.F.	4	2023-03-13	37		66,668	50,001
Algernon Pharmaceuticals Inc.	Options	BRYAN, CHRISTOPHER	5	2023-03-13	37		33,500	7,500

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Algernon Pharmaceuticals Inc.	Options	BRYAN, CHRISTOPHER	5	2023-03-13	37		74,000	40,500
Algernon Pharmaceuticals Inc.	Options	BRYAN, CHRISTOPHER	5	2023-03-13	37		104,000	30,000
Algernon Pharmaceuticals Inc.	Rights RSUs	BRYAN, CHRISTOPHER	5	2023-03-13	37		120,000	90,000
Algernon Pharmaceuticals Inc.	Common Shares	Gutman, Howard	4	2023-03-13	37		8,180	6,135
Algernon Pharmaceuticals Inc.	Common Shares	Gutman, Howard	4	2023-03-14	57		21,512	13,332
Algernon Pharmaceuticals Inc.	Options	Gutman, Howard	4	2023-03-13	37		40,000	30,000
Algernon Pharmaceuticals Inc.	Rights RSUs	Gutman, Howard	4	2023-03-13	37		40,000	30,000
Algernon Pharmaceuticals Inc.	Rights RSUs	Gutman, Howard	4	2023-03-14	57		26,668	-13,332
Algernon Pharmaceuticals Inc.	Common Shares	Kinley, James	5	2023-03-13	37		5,496	4,122
Algernon Pharmaceuticals Inc.	Options	Kinley, James	5	2023-03-13	37	1.3475	53,500	30,000
Algernon Pharmaceuticals Inc.	Options	Kinley, James	5	2023-03-13	37	1.025	94,000	40,500
Algernon Pharmaceuticals Inc.	Rights RSUs	Kinley, James	5	2023-03-13	37		120,000	90,000
Algernon Pharmaceuticals Inc.	Common Shares	Moreau, Christopher	4, 5	2023-03-13	37		72,068	54,051
Algernon Pharmaceuticals Inc.	Options	Moreau, Christopher	4, 5	2023-03-01	55		69,500	-2,500
Algernon Pharmaceuticals Inc.	Options	Moreau, Christopher	4, 5	2023-03-13	37		84,500	15,000
Algernon Pharmaceuticals Inc.	Options	Moreau, Christopher	4, 5	2023-03-13	37		122,000	37,500
Algernon Pharmaceuticals Inc.	Options	Moreau, Christopher	4, 5	2023-03-13	37		218,000	96,000
Algernon Pharmaceuticals Inc.	Options	Moreau, Christopher	4, 5	2023-03-13	37		278,000	60,000
Algernon Pharmaceuticals Inc.	Rights RSUs	Moreau, Christopher	4, 5	2023-03-13	37		240,000	180,000
Algernon Pharmaceuticals Inc.	Common Shares	Williams, Mark	4	2023-03-13	37		18,132	13,599
Algernon Pharmaceuticals Inc.	Options	Williams, Mark	4	2023-03-13	37		31,000	19,500
Algernon Pharmaceuticals Inc.	Options	Williams, Mark	4	2023-03-13	37		46,000	15,000
Algernon Pharmaceuticals Inc.	Rights RSUs	Williams, Mark	4	2023-03-13	37		26,668	20,001
Alianza Minerals Ltd. (formerly Tarsis Resources Ltd.)	Options	Blythe, Marc Girthon	4	2023-03-14	52	0.1	850,000	-50,000
Alianza Minerals Ltd. (formerly Tarsis Resources Ltd.)	Common Shares	Brown, Mark Thomas	4	2023-03-15	54	0.05	15,113,226	239,300
Alianza Minerals Ltd. (formerly Tarsis Resources Ltd.)	Options	Brown, Mark Thomas	4	2023-03-14	52	0.1	1,350,000	-150,000
Alianza Minerals Ltd. (formerly Tarsis Resources Ltd.)	Warrants	Brown, Mark Thomas	4	2023-03-15	54	0.5	856,467	-239,300
Alianza Minerals Ltd. (formerly Tarsis Resources Ltd.)	Warrants	Brown, Mark Thomas	4	2023-03-15	53	0.1	1,095,767	239,300
Alianza Minerals Ltd. (formerly Tarsis Resources Ltd.)	Common Shares	Duncan, Robert Andrew	5	2023-03-13	10	0.025	2,055,111	120,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Alianza Minerals Ltd. (formerly Tarsis Resources Ltd.)	Warrants	Duncan, Robert Andrew	5	2023-03-15	55	0.05	100,000	-100,000
Alianza Minerals Ltd. (formerly Tarsis Resources Ltd.)	Common Shares	Weber, Jason	4, 5	2023-03-10	10	0.025	554,333	175,000
Alianza Minerals Ltd. (formerly Tarsis Resources Ltd.)	Options	Wong, Winnie Sze Wan	5	2023-03-14	52	0.1	950,000	-100,000
Alimentation Couche-Tard Inc.	Common Shares	Anderton, Niall	5	2022-12-31	30	55.549	705	111
Alimentation Couche-Tard Inc.	Deferred Share Units	Anderton, Niall	5	2022-12-31	35		3,773	22
Alimentation Couche-Tard Inc.	Performance Share Units	Anderton, Niall	5	2022-12-31	35		7,009	51
Alimentation Couche-Tard Inc.	Restricted Share Units	Anderton, Niall	5	2022-12-31	35		0	6
Alimentation Couche-Tard Inc.	Common Shares	Bednarz, Brian John	5	2022-12-31	30	55.76	5,516	579
Alimentation Couche-Tard Inc.	Deferred Share Units	Bednarz, Brian John	5	2022-12-31	35		3,119	6
Alimentation Couche-Tard Inc.	Performance Share Units	Bednarz, Brian John	5	2022-12-31	35		13,417	98
Alimentation Couche-Tard Inc.	Restricted Share Units	Bednarz, Brian John	5	2022-12-31	35		7,223	53
Alimentation Couche-Tard Inc.	Performance Share Units	Bouchard, Alain	4, 6, 7, 5	2022-12-31	35		157,567	909
Alimentation Couche-Tard Inc.	Restricted Share Units	Bouchard, Alain	4, 6, 7, 5	2022-12-31	35		84,848	496
Alimentation Couche-Tard Inc.	Common Shares	Brooks, Aaron Donald	5	2022-01-03	00			
Alimentation Couche-Tard Inc.	Common Shares	Brooks, Aaron Donald	5	2022-12-31	30	55.906	1,214	1,214
Alimentation Couche-Tard Inc.	Deferred Share Units	Brooks, Aaron Donald	5	2022-12-31	35		5,678	27
Alimentation Couche-Tard Inc.	Performance Share Units	Brooks, Aaron Donald	5	2022-12-31	35		7,471	48
Alimentation Couche-Tard Inc.	Common Shares	Cunnington, Kathy	5	2022-12-31	30	55.893	8,134	451
Alimentation Couche-Tard Inc.	Performance Share Units	Cunnington, Kathy	5	2022-12-31	35		12,596	157
Alimentation Couche-Tard Inc.	Restricted Share Units	Cunnington, Kathy	5	2022-12-31	35		6,782	53
Alimentation Couche-Tard Inc.	Common Shares	Da Silva Nogueira, Filipe	5	2023-03-06	00			
Alimentation Couche-Tard Inc.	Options	Da Silva Nogueira, Filipe	5	2023-03-06	00			
Alimentation Couche-Tard Inc.	Common Shares	Dzadovsky, Edward	5	2022-12-31	30	61.298	946	268
Alimentation Couche-Tard Inc.	Deferred Share Units	Dzadovsky, Edward	5	2022-12-31	35		5,830	18
Alimentation Couche-Tard Inc.	Performance Share Units	Dzadovsky, Edward	5	2022-12-31	35		10,957	48
Alimentation Couche-Tard Inc.	Restricted Share Units	Dzadovsky, Edward	5	2022-12-31	35		1,383	11
Alimentation Couche-Tard Inc.	Common Shares	Hannasch, Brian Patrick	4, 5	2022-12-31	30	55.75	14,696	488
Alimentation Couche-Tard Inc.	Deferred Share Units	Hannasch, Brian Patrick	4, 5	2022-12-31	35		79,147	177
Alimentation Couche-Tard Inc.	Performance Share Units	Hannasch, Brian Patrick	4, 5	2022-12-31	35		246,383	1,814
Alimentation Couche-Tard Inc.	Restricted Share Units	Hannasch, Brian Patrick	4, 5	2022-12-31	35		86,615	886

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Alimentation Couche-Tard Inc.	Common Shares	Høidahl, Hans-Olav	7	2022-12-31	30	55.368	2,763	587
Alimentation Couche-Tard Inc.	Deferred Share Units	Høidahl, Hans-Olav	7	2022-12-31	35		5,076	26
Alimentation Couche-Tard Inc.	Performance Share Units	Høidahl, Hans-Olav	7	2022-12-31	35		7,255	52
Alimentation Couche-Tard Inc.	Restricted Share Units	Høidahl, Hans-Olav	7	2022-12-31	35		1,175	14
Alimentation Couche-Tard Inc.	Common Shares	LEWIS, KEVIN ANDREW	5	2022-12-31	30	55.973	4,543	1,495
Alimentation Couche-Tard Inc.	Deferred Share Units	LEWIS, KEVIN ANDREW	5	2022-12-31	35		21,094	107
Alimentation Couche-Tard Inc.	Performance Share Units	LEWIS, KEVIN ANDREW	5	2022-12-31	35		27,361	206
Alimentation Couche-Tard Inc.	Restricted Share Units	LEWIS, KEVIN ANDREW	5	2022-12-31	35		0	20
Alimentation Couche-Tard Inc.	Common Shares	Madsen, Jørn	7	2022-12-31	30	55.796	5,117	1,839
Alimentation Couche-Tard Inc.	Performance Share Units	Madsen, Jørn	7	2022-12-31	35		8,970	67
Alimentation Couche-Tard Inc.	Restricted Share Units	Madsen, Jørn	7	2022-12-31	35		7,045	42
Alimentation Couche-Tard Inc.	Common Shares	Miller, Alex	5	2022-12-31	30	55.916	10,106	1,640
Alimentation Couche-Tard Inc.	Deferred Share Units	Miller, Alex	5	2022-12-31	35		25,845	115
Alimentation Couche-Tard Inc.	Performance Share Units	Miller, Alex	5	2022-12-31	35		39,812	229
Alimentation Couche-Tard Inc.	Restricted Share Units	Miller, Alex	5	2022-12-31	35		0	21
Alimentation Couche-Tard Inc.	Common Shares	Poirier, Suzanne	5	2022-12-31	30	55.446	1,603	3
Alimentation Couche-Tard Inc.	Deferred Share Units	Poirier, Suzanne	5	2022-12-31	35		5,999	19
Alimentation Couche-Tard Inc.	Performance Share Units	Poirier, Suzanne	5	2022-12-31	35		5,995	18
Alimentation Couche-Tard Inc.	Common Shares	Provencher, Sophie	5	2022-12-31	30	53.777	1,479	59
Alimentation Couche-Tard Inc.	Deferred Share Units	Provencher, Sophie	5	2022-12-31	35		1,040	4
Alimentation Couche-Tard Inc.	Performance Share Units	Provencher, Sophie	5	2022-12-31	35		5,990	20
Alimentation Couche-Tard Inc.	Restricted Share Units	Provencher, Sophie	5	2022-12-31	35		2,550	8
Alimentation Couche-Tard Inc.	Common Shares	Strand, Ina	7	2022-12-31	30	55.796	3,809	928
Alimentation Couche-Tard Inc.	Deferred Share Units	Strand, Ina	7	2022-12-31	35		1,197	2
Alimentation Couche-Tard Inc.	Performance Share Units	Strand, Ina	7	2022-12-31	35		6,371	46
Alimentation Couche-Tard Inc.	Restricted Share Units	Strand, Ina	7	2022-12-31	35		2,233	23
Alimentation Couche-Tard Inc.	Common Shares	Tessier, Claude	5	2022-12-31	30	55.918	754	281
Alimentation Couche-Tard Inc.	Deferred Share Units	Tessier, Claude	5	2022-12-31	35		26,638	148
Alimentation Couche-Tard Inc.	Performance Share Units	Tessier, Claude	5	2022-12-31	35		36,999	244
Alimentation Couche-Tard Inc.	Restricted Share Units	Tessier, Claude	5	2022-12-31	35		0	23
Alimentation Couche-Tard Inc.	Common Shares	Therrien, Julie	5	2022-12-31	30	55.434	1,960	49

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Alimentation Couche-Tard Inc.	Performance Share Units	Therrien, Julie	5	2022-12-31	35		1,596	4
Alimentation Couche-Tard Inc.	Restricted Share Units	Therrien, Julie	5	2022-12-31	35		857	2
Alimentation Couche-Tard Inc.	Common Shares	Trudel, Stéphane	5	2022-12-31	30	54.99	3,048	456
Alimentation Couche-Tard Inc.	Deferred Share Units	Trudel, Stéphane	5	2022-12-31	35		7,766	69
Alimentation Couche-Tard Inc.	Performance Share Units	Trudel, Stéphane	5	2022-12-31	35		7,791	78
Alimentation Couche-Tard Inc.	Restricted Share Units	Trudel, Stéphane	5	2022-12-31	35		0	9
Alimentation Couche-Tard Inc.	Deferred Share Units	Warner, Louise Renee	5	2022-12-31	35		10,501	60
Alimentation Couche-Tard Inc.	Performance Share Units	Warner, Louise Renee	5	2022-12-31	35		18,065	108
Alimentation Couche-Tard Inc.	Common Shares	Zamuner, Valery	5	2022-12-31	30	56.033	1,022	447
Alimentation Couche-Tard Inc.	Deferred Share Units	Zamuner, Valery	5	2022-12-31	35		9,713	62
Alimentation Couche-Tard Inc.	Performance Share Units	Zamuner, Valery	5	2022-12-31	35		10,938	83
Alimentation Couche-Tard Inc.	Restricted Share Units	Zamuner, Valery	5	2022-12-31	35		0	7
Allied Properties Real Estate Investment Trust	Units	Andrade, Matthew Philip	4	2023-03-15	10	26.3	232	1
Allied Properties Real Estate Investment Trust	Units	Andrade, Matthew Philip	4	2022-05-03	00			
Allied Properties Real Estate Investment Trust	Units	Andrade, Matthew Philip	4	2023-03-16	10	24.88	100	100
AltaGas Ltd.	Options	Amirali, Shaheen	5	2023-03-14	52		249,899	-18,000
AltaGas Ltd.	Options at \$31.05 expiring March 6, 2023	Bushfield, Corine Renae Knight	5	2023-03-14	52		0	-50,000
AltaGas Ltd.	Options at \$31.05 expiring March 6, 2023	Grant, Bradley, Bertram	5	2023-03-14	52		0	-20,000
AltaGas Ltd.	Options at \$31.05 expiring March 6, 2023	Toone, Randy Warren	5	2023-03-14	52		0	-10,000
Altius Renewable Royalties Corp.	Common Shares	Gaumond, André	4, 6	2023-03-15	10	8.25	35,000	1,000
Altius Renewable Royalties Corp.	Common Shares	Gaumond, André	4, 6	2023-03-16	10	8.5	36,000	1,000
Altus Group Limited	Common Share Performance Share Units	Bartosiewicz, Kamila (Camilla)	5	2023-03-07	56		12,004	3,722
Altus Group Limited	Options	Bartosiewicz, Kamila (Camilla)	5	2023-03-07	50		39,220	5,747
Altus Group Limited	Restricted Shares	Bartosiewicz, Kamila (Camilla)	5	2023-03-07	56		1,735	716
Altus Group Limited	Common Share Performance Share Units	BLANCO, JORGE	5	2023-03-07	56		94,989	30,345
Altus Group Limited	Options	BLANCO, JORGE	5	2023-03-07	50		192,292	46,851
Altus Group Limited	Restricted Share Units	BLANCO, JORGE	5	2023-03-07	56	59.7	10,323	6,770
Altus Group Limited	Common Share Performance Share Units	Carter, Kimberly	5	2023-03-07	56		20,008	3,722
Altus Group Limited	Options	Carter, Kimberly	5	2023-03-07	50		47,389	5,747
Altus Group Limited	Restricted Shares	Carter, Kimberly	5	2023-03-07	56		3,422	1,231
Altus Group Limited	Common Share Performance Share Units	Clark, Ernest	5	2023-03-07	56		24,923	4,616
Altus Group Limited	Options	Clark, Ernest	5	2023-03-07	50		58,952	7,127

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Altus Group Limited	Restricted Share Units	Clark, Ernest	5	2023-03-07	56	59.7	7,987	1,678
Altus Group Limited	Common Share Performance Share Units	Devonish, Terrie-Lynne	5	2023-03-07	56		24,657	4,653
Altus Group Limited	Options	Devonish, Terrie-Lynne	5	2023-03-07	50		58,267	7,184
Altus Group Limited	Restricted Shares	Devonish, Terrie-Lynne	5	2023-03-07	56		3,490	1,074
Altus Group Limited	Common Share Performance Share Units	Hannon, James	5	2023-03-07	56		113,282	37,930
Altus Group Limited	Options	Hannon, James	5	2023-03-07	50		249,140	58,563
Altus Group Limited	Restricted Share Units	Hannon, James	5	2023-03-07	56	59.7	10,168	8,273
Altus Group Limited	Common Share Performance Share Units	Probyn, Alex	7	2023-03-07	56		44,739	9,233
Altus Group Limited	Options	Probyn, Alex	7	2023-03-07	50		138,235	14,255
Altus Group Limited	Restricted Share Units	Probyn, Alex	7	2023-03-07	56	59.7	17,129	4,155
Altus Group Limited	Common Share Performance Share Units	Ross, David	5	2023-03-07	56		30,319	8,463
Altus Group Limited	Options	Ross, David	5	2023-03-07	50		70,768	13,067
Altus Group Limited	Restricted Share Units	Ross, David	5	2023-03-07	56	59.7	3,192	2,380
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-21	10	0.5		7,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-21	10	0.52		1,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-21	10	0.6		39,500
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-21	10	0.45		4,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-21	10	0.39		1,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-21	10	0.4		37,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-21	10	0.46		6,500
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-21	10	0.49		4,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-21	10	0.48		1,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-21	10	0.48		1,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-21	10	0.54		10,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-22	10	0.54		5,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-22	10	0.52		20,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-23	10	0.5		1,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-27	10	0.5		9,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-28	10	0.5		10,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-29	10	0.499		10,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-10-03	10	0.5		10,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-10-04	10	0.519		10,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-10-04	10	0.5		10,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-10-04	10	0.5		10,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-10-05	10	0.499		10,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-11-21	10	0.4		6,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-11-21	10	0.35		1,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-11-22	10	0.43		1,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-11-22	10	0.4		3,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-11-24	10	0.4		4,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-11-24	10	0.42		3,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-11-25	10	0.42		6,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-11-28	10	0.425		2,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-11-28	10	0.42		2,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-11-28	10	0.41		2,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-11-29	10	0.41		2,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-11-29	10	0.415		4,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-11-30	10	0.425		1,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-11-30	10	0.42		4,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-01	10	0.43		6,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-02	10	0.425		2,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-02	10	0.43		3,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-13	10	0.4		4,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-13	10	0.395		2,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-14	10	0.395		5,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-14	10	0.4		1,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-15	10	0.395		2,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-15	10	0.4		4,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-16	10	0.395		3,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-16	10	0.4		3,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-19	10	0.4		6,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-21	10	0.4		6,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-28	10	0.4		5,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-28	10	0.3		1,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-21	10	0.5	26,849,049	7,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-21	10	0.52	26,850,049	1,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-21	10	0.6	26,889,549	39,500
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-21	10	0.45	26,893,549	4,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-21	10	0.39	26,894,549	1,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-21	10	0.4	26,931,549	37,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-21	10	0.46	26,938,049	6,500
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-21	10	0.49	26,942,049	4,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-21	10	0.54	26,952,049	10,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-22	10	0.54	26,957,049	5,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-22	10	0.52	26,977,049	20,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-23	10	0.5	26,978,049	1,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-27	10	0.5	26,987,049	9,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-28	10	0.5	26,997,049	10,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-09-29	10	0.499	27,007,049	10,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-10-03	10	0.5	27,017,049	10,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-10-04	10	0.519	27,027,049	10,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-10-04	10	0.5	27,037,049	10,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-10-05	10	0.499	27,047,049	10,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-11-21	10	0.4	27,053,049	6,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-11-21	10	0.35	27,054,049	1,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-11-22	10	0.43	27,055,049	1,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-11-22	10	0.4	27,058,049	3,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-11-24	10	0.4	27,062,049	4,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-11-24	10	0.42	27,065,049	3,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-11-25	10	0.42	27,071,049	6,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-11-28	10	0.425	27,073,049	2,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-11-28	10	0.42	27,075,049	2,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-11-28	10	0.41	27,077,049	2,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-11-29	10	0.41	27,079,049	2,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-11-29	10	0.415	27,083,049	4,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-11-30	10	0.425	27,084,049	1,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-11-30	10	0.42	27,088,049	4,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-01	10	0.43	27,094,049	6,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-02	10	0.425	27,096,049	2,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-02	10	0.43	27,099,049	3,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-13	10	0.4	27,103,049	4,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-13	10	0.395	27,105,049	2,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-14	10	0.395	27,110,049	5,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-14	10	0.4	27,111,049	1,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-15	10	0.395	27,113,049	2,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-15	10	0.4	27,117,049	4,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-16	10	0.395	27,120,049	3,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-16	10	0.4	27,123,049	3,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-19	10	0.4	27,129,049	6,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-21	10	0.4	27,135,049	6,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-28	10	0.4	27,140,049	5,000
Amcomri Entertainment Inc. (formerly, Appreciated Media Holdings Inc.)	Common Shares	McGowan, Paul Patrick	3, 4	2022-12-28	10	0.3	27,141,049	1,000
American Eagle Gold Corp.	Common Shares	Moreau, Anthony	4, 5	2023-03-10	10	0.09	1,320,000	50,000
American Eagle Gold Corp.	Common Shares	Moreau, Anthony	4, 5	2023-03-14	10	0.085	1,420,000	100,000
American Hotel Income Properties REIT LP	Restricted Stock	Beatty, David Travis	5	2023-03-16	58 - Expiration of rights		136,996	-3,211
American Hotel Income Properties REIT LP	Restricted Stock	Beatty, David Travis	5	2023-03-16	57		134,205	-2,791
American Hotel Income Properties REIT LP	Units	Beatty, David Travis	5	2023-03-16	57		26,791	2,791
American Hotel Income Properties REIT LP	Restricted Stock	Korol, Jonathan Bruce	5	2023-03-16	58 - Expiration of rights		434,785	-12,952
American Hotel Income Properties REIT LP	Restricted Stock	Korol, Jonathan Bruce	5	2023-03-16	57		423,528	-11,257
American Hotel Income Properties REIT LP	Units	Korol, Jonathan Bruce	5	2023-03-16	57		303,390	11,257
American Hotel Income Properties REIT LP	Restricted Stock	Pittet, Bruce Douglas	5	2023-03-16	58 - Expiration of rights		325,296	-1,666
American Hotel Income Properties REIT LP	Restricted Stock	Pittet, Bruce Douglas	5	2023-03-16	57		316,342	-8,954
American Hotel Income Properties REIT LP	Units	Pittet, Bruce Douglas	5	2023-03-16	57		87,910	8,954
Amerigo Resources Ltd	Common Shares	Leiva, Julio	7	2023-03-16	10	1.46	29,174	-20,000
Amerigo Resources Ltd	Common Shares	Leiva, Julio	7	2023-03-16	10	1.4712	9,174	-20,000
Amerigo Resources Ltd	Common Shares	Leiva, Julio	7	2023-03-16	10	1.49	0	-9,174
Ansar Financial and Development Corporation	Common Shares	Jalaluddin, Mohammed	3, 4, 5	2023-03-15	11	0.9	1,661,713	32,932
Ansar Financial and Development Corporation	Common Shares	Nasim, Pervez	3, 4, 5	2023-03-15	11	0.9	1,661,713	32,932
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Options	Berardelli, Luigi	5	2023-03-14	22		0	-67,000
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Share Appreciation Rights Plan (SAR)	Berardelli, Luigi	5	2023-03-14	22		0	-42,000
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Common Shares	Burkett, Mary-Jane Eleanor	5	2023-03-14	22		0	-1,000
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Common Shares	Burkett, Mary-Jane Eleanor	5	2023-03-14	22		0	-15,145

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Options	Burkett, Mary-Jane Eleanor	5	2023-03-14	22		0	-115,783
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Share Appreciation Rights Plan (SAR)	Burkett, Mary-Jane Eleanor	5	2023-03-14	22		0	-49,500
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Common Shares	Chiasson, Bernard	5	2023-03-14	22		0	-8,118
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Common Shares	Chiasson, Bernard	5	2023-03-14	22		0	-9,485
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Common Shares	Chiasson, Bernard	5	2023-03-14	22		0	-3,000
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Options	Chiasson, Bernard	5	2023-03-14	22		0	-113,720
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Share Appreciation Rights Plan (SAR)	Chiasson, Bernard	5	2023-03-14	22		0	-42,000
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Common Shares	Chicoine, Daniel	4	2023-03-14	22		0	-223,157
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Common Shares	Chicoine, Daniel	4	2023-03-14	22		0	-12,627
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Deferred Share Unit Plan (DSU)	Chicoine, Daniel	4	2023-03-14	22		0	-19,793
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Options	Chicoine, Daniel	4	2023-03-14	22		0	-85,845
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Common Shares	Dobranowski, Anthony Edward	4	2023-03-14	22		0	-769

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Common Shares	Dobranowski, Anthony Edward	4	2023-03-14	22		0	-7,050
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Common Shares	Dobranowski, Anthony Edward	4	2023-03-14	22		0	-62,181
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Deferred Share Unit Plan (DSU)	Dobranowski, Anthony Edward	4	2023-03-14	22		0	-19,793
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Options	Dobranowski, Anthony Edward	4	2023-03-14	22		0	-26,687
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Common Shares	Harris, Robert Paul	4	2023-03-14	22		0	-75,000
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Deferred Share Unit Plan (DSU)	Harris, Robert Paul	4	2023-03-14	22		0	-19,793
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Options	Harris, Robert Paul	4	2023-03-14	22		0	-110,655
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Common Shares	Ledger, Jesse Francis	5	2023-03-14	22		0	-123,786
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Options	Ledger, Jesse Francis	5	2023-03-14	22		0	-383,408
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Share Appreciation Rights Plan (SAR)	Ledger, Jesse Francis	5	2023-03-14	22		0	-195,750
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Common Shares	London, John	4, 5	2023-03-14	22		0	-155,313
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Common Shares	London, John	4, 5	2023-03-14	22		0	-473

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Deferred Share Unit Plan (DSU)	London, John	4, 5	2023-03-14	22		0	-19,793
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Options	London, John	4, 5	2023-03-14	22		0	-275,103
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Common Shares	Loucaides, Katina	5	2023-03-14	22		0	-13,855
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Common Shares	Loucaides, Katina	5	2023-03-14	22		0	-8,400
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Common Shares	Loucaides, Katina	5	2023-03-14	22		0	-871
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Options	Loucaides, Katina	5	2023-03-14	22		0	-192,822
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Share Appreciation Rights Plan (SAR)	Loucaides, Katina	5	2023-03-14	22		0	-42,000
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Deferred Share Unit Plan (DSU)	Ritchie, Mary C.	4	2023-03-14	22		0	-35,152
Aralez Pharmaceuticals Canada Inc. (formerly Nuvo Pharmaceuticals Inc. (d/b/a Miravo Healthcare))	Deferred Share Unit Plan (DSU)	Snow, Anthony Yung	4	2023-03-14	22		0	-25,644
ARC Resources Ltd.	Performance Share Units (PSU) (Cash based only)	Anderson, Terry Michael	5	2023-03-15	57	15.51	587,735	-348,665
ARC Resources Ltd.	Performance Share Units (PSU) (Cash based only)	Anderson, Terry Michael	5	2023-03-15	56	15.51	689,283	101,548
ARC Resources Ltd.	Restricted Share Units (RSU) (Cash based only)	Anderson, Terry Michael	5	2023-03-15	57	15.51	46,097	-29,736
ARC Resources Ltd.	Restricted Share Units (RSU) (Cash based only)	Anderson, Terry Michael	5	2023-03-15	56	15.51	57,380	11,283
ARC Resources Ltd.	Performance Share Units (PSU) (Cash based only)	Berrett, Ryan Victor	5	2023-03-15	57	15.51	84,744	-44,275
ARC Resources Ltd.	Performance Share Units (PSU) (Cash based only)	Berrett, Ryan Victor	5	2023-03-15	56	15.51	103,056	18,312
ARC Resources Ltd.	Restricted Share Units (RSU) (Cash based only)	Berrett, Ryan Victor	5	2023-03-15	57	15.51	14,027	-7,491
ARC Resources Ltd.	Restricted Share Units (RSU) (Cash based only)	Berrett, Ryan Victor	5	2023-03-15	56	15.51	18,604	4,577

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
ARC Resources Ltd.	Performance Share Units (PSU) (Cash based only)	Bibby, Kristen Jon	5	2023-03-15	57	15.51	188,226	-109,212
ARC Resources Ltd.	Performance Share Units (PSU) (Cash based only)	Bibby, Kristen Jon	5	2023-03-15	56	15.51	223,043	34,817
ARC Resources Ltd.	Restricted Share Units (RSU) (Cash based only)	Bibby, Kristen Jon	5	2023-03-15	57	15.51	31,898	-19,491
ARC Resources Ltd.	Restricted Share Units (RSU) (Cash based only)	Bibby, Kristen Jon	5	2023-03-15	56	15.51	40,602	8,704
ARC Resources Ltd.	Performance Share Units (PSU) (Cash based only)	Calder, Sean Ross Allen	5	2023-03-15	57	15.51	84,345	-50,178
ARC Resources Ltd.	Performance Share Units (PSU) (Cash based only)	Calder, Sean Ross Allen	5	2023-03-15	56	15.51	97,499	13,154
ARC Resources Ltd.	Restricted Share Units (RSU) (Cash based only)	Calder, Sean Ross Allen	5	2023-03-15	57	15.51	11,754	-6,580
ARC Resources Ltd.	Restricted Share Units (RSU) (Cash based only)	Calder, Sean Ross Allen	5	2023-03-15	56	15.51	15,042	3,288
ARC Resources Ltd.	Performance Share Units (PSU) (Cash based only)	Cerny, Kristin Lyn	5	2023-03-15	57	15.51	41,987	-21,695
ARC Resources Ltd.	Performance Share Units (PSU) (Cash based only)	Cerny, Kristin Lyn	5	2023-03-15	56	15.51	49,467	7,480
ARC Resources Ltd.	Restricted Share Units (RSU) (Cash based only)	Cerny, Kristin Lyn	5	2023-03-15	57	15.51	8,149	-5,849
ARC Resources Ltd.	Restricted Share Units (RSU) (Cash based only)	Cerny, Kristin Lyn	5	2023-03-15	56	15.51	10,018	1,869
ARC Resources Ltd.	Performance Share Units (PSU) (Cash based only)	Conrad, Larissa Marianne	5	2023-03-15	57	15.51	126,962	-65,674
ARC Resources Ltd.	Performance Share Units (PSU) (Cash based only)	Conrad, Larissa Marianne	5	2023-03-15	56	15.51	150,947	23,985
ARC Resources Ltd.	Restricted Share Units (RSU) (Cash based only)	Conrad, Larissa Marianne	5	2023-03-15	57	15.51	22,629	-13,580
ARC Resources Ltd.	Restricted Share Units (RSU) (Cash based only)	Conrad, Larissa Marianne	5	2023-03-15	56	15.51	28,625	5,996
ARC Resources Ltd.	Performance Share Units (PSU) (Cash based only)	Gomes, Katherine Joyce	5	2023-03-15	57	15.51	43,621	-29,701
ARC Resources Ltd.	Performance Share Units (PSU) (Cash based only)	Gomes, Katherine Joyce	5	2023-03-15	56	15.51	50,843	7,222
ARC Resources Ltd.	Restricted Share Units (RSU) (Cash based only)	Gomes, Katherine Joyce	5	2023-03-15	57	15.51	9,773	-7,608
ARC Resources Ltd.	Restricted Share Units (RSU) (Cash based only)	Gomes, Katherine Joyce	5	2023-03-15	56	15.51	11,578	1,805
ARC Resources Ltd.	Performance Share Units (PSU) (Cash based only)	Groundwater, Brian Rognvald	5	2023-03-15	57	15.51	52,129	-27,764
ARC Resources Ltd.	Performance Share Units (PSU) (Cash based only)	Groundwater, Brian Rognvald	5	2023-03-15	56	15.51	61,672	9,543
ARC Resources Ltd.	Restricted Share Units (RSU) (Cash based only)	Groundwater, Brian Rognvald	5	2023-03-15	57	15.51	8,225	-5,468
ARC Resources Ltd.	Restricted Share Units (RSU) (Cash based only)	Groundwater, Brian Rognvald	5	2023-03-15	56	15.51	10,610	2,385
ARC Resources Ltd.	Performance Share Units (PSU) (Cash based only)	Haugen, Tejay Dustin	5	2023-03-15	57	15.51	30,484	-12,452
ARC Resources Ltd.	Performance Share Units (PSU) (Cash based only)	Haugen, Tejay Dustin	5	2023-03-15	56	15.51	37,706	7,222
ARC Resources Ltd.	Restricted Share Units (RSU) (Cash based only)	Haugen, Tejay Dustin	5	2023-03-15	57	15.51	6,326	-5,555
ARC Resources Ltd.	Restricted Share Units (RSU) (Cash based only)	Haugen, Tejay Dustin	5	2023-03-15	56	15.51	8,131	1,805
ARC Resources Ltd.	Performance Share Units (PSU) (Cash based only)	Jahangiri, Armin	5	2023-03-15	57	15.51	128,516	-61,985
ARC Resources Ltd.	Performance Share Units (PSU) (Cash based only)	Jahangiri, Armin	5	2023-03-15	56	15.51	154,307	25,791
ARC Resources Ltd.	Restricted Share Units (RSU) (Cash based only)	Jahangiri, Armin	5	2023-03-15	57	15.51	18,478	-8,660

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
ARC Resources Ltd.	Restricted Share Units (RSU) (Cash based only)	Jahangiri, Armin	5	2023-03-15	56	15.51	24,925	6,447
ARC Resources Ltd.	Performance Share Units (PSU) (Cash based only)	Olsen, Lisa Ann	5	2023-03-15	57	15.51	82,167	-47,277
ARC Resources Ltd.	Performance Share Units (PSU) (Cash based only)	Olsen, Lisa Ann	5	2023-03-15	56	15.51	97,126	14,959
ARC Resources Ltd.	Restricted Share Units (RSU) (Cash based only)	Olsen, Lisa Ann	5	2023-03-15	57	15.51	14,268	-8,806
ARC Resources Ltd.	Restricted Share Units (RSU) (Cash based only)	Olsen, Lisa Ann	5	2023-03-15	56	15.51	18,007	3,739
ARC Resources Ltd.	Performance Share Units (PSU) (Cash based only)	Stuart, Sean William	5	2023-03-15	57	15.51	52,386	-29,701
ARC Resources Ltd.	Performance Share Units (PSU) (Cash based only)	Stuart, Sean William	5	2023-03-15	56	15.51	61,929	9,543
ARC Resources Ltd.	Restricted Share Units (RSU) (Cash based only)	Stuart, Sean William	5	2023-03-15	57	15.51	8,842	-6,246
ARC Resources Ltd.	Restricted Share Units (RSU) (Cash based only)	Stuart, Sean William	5	2023-03-15	56	15.51	11,227	2,385
Archer Exploration Corp.	Common Shares	Roberge, Sherry Anna Elizabeth	5	2023-03-01	00			
Arctic Fox Lithium Corp.	Common Shares	Chew, Sonny	4, 5	2023-03-14	10	0.125	965,000	25,000
Arctic Fox Lithium Corp.	Common Shares	Chew, Sonny	4, 5	2023-03-15	10	0.12	990,000	25,000
Argonaut Gold Inc.	Performance Share Units	Arkell, Brian Wendell	5	2023-03-08	56		694,493	513,812
Argonaut Gold Inc.	Restricted Shares	Arkell, Brian Wendell	5	2023-03-08	56	0.47	696,303	513,812
Argonaut Gold Inc.	Performance Share Units	LEDUC, MARC PAUL FRANCOIS	5	2023-02-01	00			
Argonaut Gold Inc.	Performance Share Units	LEDUC, MARC PAUL FRANCOIS	5	2023-03-10	56		656,665	656,665
Argonaut Gold Inc.	Restricted Shares	LEDUC, MARC PAUL FRANCOIS	5	2023-02-01	00			
Argonaut Gold Inc.	Restricted Shares	LEDUC, MARC PAUL FRANCOIS	5	2023-03-10	56		656,665	656,665
Argonaut Gold Inc.	Performance Share Units	Ponczoeh, David	5	2023-03-08	56		1,134,719	703,397
Argonaut Gold Inc.	Restricted Shares	Ponczoeh, David	5	2023-03-08	56		968,756	703,397
Argonaut Gold Inc.	Performance Share Units	Rose, William Robert	5	2023-03-08	56		931,741	571,168
Argonaut Gold Inc.	Restricted Shares	Rose, William Robert	5	2023-03-08	56		987,006	571,168
Argonaut Gold Inc.	Common Shares	Young, Richard Scott	4, 5	2023-03-16	10	0.49	2,495,500	3,000
Argonaut Gold Inc.	Common Shares	Young, Richard Scott	4, 5	2023-03-16	10	0.51	2,797,500	302,000
Argonaut Gold Inc.	Common Shares	Young, Richard Scott	4, 5	2022-12-08	00			
Argonaut Gold Inc.	Common Shares	Young, Richard Scott	4, 5	2023-03-16	10	0.51	260,000	260,000
Argonaut Gold Inc.	Performance Share Units	Young, Richard Scott	4, 5	2022-12-08	00			
Argonaut Gold Inc.	Performance Share Units	Young, Richard Scott	4, 5	2023-03-10	56		1,459,255	1,459,255
Argonaut Gold Inc.	Restricted Shares	Young, Richard Scott	4, 5	2022-12-08	00			
Argonaut Gold Inc.	Restricted Shares	Young, Richard Scott	4, 5	2023-03-08	56	0.47	1,459,255	1,459,255
Artemis Gold Inc.	Options	Alderson, Candice	5	2023-03-10	50	4.88	1,083,000	331,000
Artemis Gold Inc.	Restricted Share Units	Alderson, Candice	5	2021-02-15	00			
Artemis Gold Inc.	Restricted Share Units	Alderson, Candice	5	2023-03-10	97		71,000	71,000
Artemis Gold Inc.	Deferred Share Units	Armstrong, William Paul	4	2019-07-19	00			
Artemis Gold Inc.	Deferred Share Units	Armstrong, William Paul	4	2023-03-10	97		9,000	9,000
Artemis Gold Inc.	Options	Armstrong, William Paul	4	2023-03-10	50	4.88	370,000	40,000
Artemis Gold Inc.	Deferred Share Units	Beedie, Ryan K	4	2019-07-19	00			
Artemis Gold Inc.	Deferred Share Units	Beedie, Ryan K	4	2023-03-10	97		7,000	7,000
Artemis Gold Inc.	Options	Beedie, Ryan K	4	2023-03-10	50		360,000	30,000
Artemis Gold Inc.	Deferred Share Units	Black, W. David	4	2019-07-19	00			
Artemis Gold Inc.	Deferred Share Units	Black, W. David	4	2023-03-10	97		11,000	11,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Artemis Gold Inc.	Options	Black, W. David	4	2023-03-10	50	4.88	378,000	48,000
Artemis Gold Inc.	Options	Dean, Steven	4, 5	2023-03-10	50	4.88	3,310,000	706,000
Artemis Gold Inc.	Restricted Share Units	Dean, Steven	4, 5	2019-07-19	00			
Artemis Gold Inc.	Restricted Share Units	Dean, Steven	4, 5	2023-03-10	97		150,000	150,000
Artemis Gold Inc.	Deferred Share Units	Ethans, Lisa Diane	4	2021-08-10	00			
Artemis Gold Inc.	Deferred Share Units	Ethans, Lisa Diane	4	2023-03-10	97		8,000	8,000
Artemis Gold Inc.	Options	Ethans, Lisa Diane	4	2023-03-10	50	4.88	115,000	35,000
Artemis Gold Inc.	Common Shares	Langford, Jeremy	5	2023-03-16	10	4.8	445,000	30,200
Artemis Gold Inc.	Options	Langford, Jeremy	5	2023-03-10	50	4.88	1,238,000	409,000
Artemis Gold Inc.	Restricted Share Awards	Langford, Jeremy	5	2021-01-06	00			
Artemis Gold Inc.	Restricted Share Units	Langford, Jeremy	5	2023-03-10	97		87,000	87,000
Artemis Gold Inc.	Deferred Share Units	Rees, Helena Elise	4	2021-05-03	00			
Artemis Gold Inc.	Deferred Share Units	Rees, Helena Elise	4	2023-03-10	97		8,000	8,000
Artemis Gold Inc.	Options	Rees, Helena Elise	4	2023-03-10	50	4.88	128,000	38,000
Artemis Gold Inc.	Deferred Share Units	Shandro, Janis Alexandra	4	2021-08-10	00			
Artemis Gold Inc.	Deferred Share Units	Shandro, Janis Alexandra	4	2023-03-10	97		8,000	8,000
Artemis Gold Inc.	Options	Shandro, Janis Alexandra	4	2023-03-10	50	4.88	118,000	38,000
Artemis Gold Inc.	Restricted Share Units	Van der Westhuizen, Gerhardus	5	2023-01-01	00			
Artemis Gold Inc.	Restricted Share Units	Van der Westhuizen, Gerhardus	5	2023-03-10	56		57,000	57,000
Artis Real Estate Investment Trust	Preferred Shares Series E	Artis Real Estate Investment Trust	1	2023-03-10	38	23.52	3,000	1,000
Artis Real Estate Investment Trust	Preferred Shares Series I	Artis Real Estate Investment Trust	1	2023-03-10	38	24.929	4,300	2,800
Artis Real Estate Investment Trust	Units	Artis Real Estate Investment Trust	1	2023-03-10	38	8.5862	579,176	360,110
Artis Real Estate Investment Trust	Units	Manji, Salim	6	2023-03-13	10	8.269	2,697,825	21,000
Artis Real Estate Investment Trust	Units	Manji, Salim	6	2023-03-14	10	8.2247	2,707,825	10,000
Artis Real Estate Investment Trust	Units	Manji, Salim	6	2023-03-15	10	8.125	2,717,825	10,000
Artis Real Estate Investment Trust	Units	Manji, Salim	6	2023-03-16	10	8	2,732,825	15,000
Artis Real Estate Investment Trust	Units	Manji, Salim	6	2023-03-17	10	7.9	2,747,825	15,000
Artis Real Estate Investment Trust	Units	Manji, Samir Aziz	4, 6, 5	2023-03-13	10	8.25	91,500	17,500
Artis Real Estate Investment Trust	Units	Manji, Samir Aziz	4, 6, 5	2023-03-14	10	8.18	93,100	1,600
Artis Real Estate Investment Trust	Units	Manji, Samir Aziz	4, 6, 5	2023-03-15	10	8.1262	101,500	8,400
Artis Real Estate Investment Trust	Units	Manji, Samir Aziz	4, 6, 5	2023-03-16	10	8.03	106,500	5,000
Artis Real Estate Investment Trust	Units	Manji, Samir Aziz	4, 6, 5	2023-03-17	10	7.98	111,500	5,000
Artis Real Estate Investment Trust	Units	Manji, Samir Aziz	4, 6, 5	2023-03-13	10	8.269	2,697,825	21,000
Artis Real Estate Investment Trust	Units	Manji, Samir Aziz	4, 6, 5	2023-03-14	10	8.2247	2,707,825	10,000
Artis Real Estate Investment Trust	Units	Manji, Samir Aziz	4, 6, 5	2023-03-15	10	8.125	2,717,825	10,000
Artis Real Estate Investment Trust	Units	Manji, Samir Aziz	4, 6, 5	2023-03-16	10	8	2,732,825	15,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Artis Real Estate Investment Trust	Units	Manji, Samir Aziz	4, 6, 5	2023-03-17	10	7.9	2,747,825	15,000
ASEP Medical Holdings Inc.	Options	Murphy Enterprises Inc.	4	2022-11-24	50		500,000	200,000
Atco Mining Inc.	Common Shares	Moshevich, Etienne	3	2023-03-17	10	0.16	350,000	200,000
Atlas Global Brands Inc.	Common Shares	Cervi, Jason	5	2023-03-13	10	0.31	35,000	3,500
Atlas Global Brands Inc.	Common Shares	Cervi, Jason	5	2023-03-13	10	0.305	36,500	1,500
Atlas Global Brands Inc.	Common Shares	Cervi, Jason	5	2023-03-13	10	0.31	38,000	1,500
Atlas Global Brands Inc.	Common Shares	Cervi, Jason	5	2023-03-14	10	0.44	38,500	500
Atlas Global Brands Inc.	Common Shares	Cervi, Jason	5	2023-03-14	10	0.44	40,000	1,500
Atlas Global Brands Inc.	Common Shares	Cervi, Jason	5	2023-03-14	10	0.44	40,500	500
Atlas Global Brands Inc.	Common Shares	Cervi, Jason	5	2023-03-14	10	0.44	41,000	500
Atlas Global Brands Inc.	Common Shares	Cervi, Jason	5	2023-03-14	10	0.41	48,000	7,000
Atlas Global Brands Inc.	Common Shares	Cervi, Jason	5	2023-03-16	10	0.465	49,500	1,500
Atlas Global Brands Inc.	Common Shares	Cervi, Jason	5	2023-03-16	10	0.47	51,500	2,000
Atlas Global Brands Inc.	Common Shares	Cervi, Jason	5	2023-03-16	10	0.475	53,500	2,000
Atlas Global Brands Inc.	Common Shares	Cervi, Jason	5	2023-03-16	10	0.48	54,000	500
Atlas Global Brands Inc.	Common Shares	Gedo, Tamir	3	2023-03-13	10	0.3	27,885,263	2,000
Atlas Global Brands Inc.	Common Shares	Gedo, Tamir	3	2023-03-14	10	0.44	27,887,263	2,000
Atlas Global Brands Inc.	Common Shares	Gedo, Tamir	3	2023-03-14	10	0.45	27,889,763	2,500
Atlas Global Brands Inc.	Common Shares	Gedo, Tamir	3	2023-03-14	10	0.48	27,891,763	2,000
Atlas Global Brands Inc.	Common Shares	Gedo, Tamir	3	2023-03-15	10	0.49	27,897,763	6,000
Atlas Global Brands Inc.	Common Shares	Gedo, Tamir	3	2023-03-15	10	0.48	27,898,763	1,000
Atlas Global Brands Inc.	Common Shares	Gossain, Jeffrey Robert	7, 5	2023-03-13	10	0.28	1,756,323	8,000
Atlas Global Brands Inc.	Common Shares	Gossain, Jeffrey Robert	7, 5	2023-03-13	10	0.31	1,759,823	3,500
Atlas Global Brands Inc.	Common Shares	Gossain, Jeffrey Robert	7, 5	2023-03-13	10	0.305	1,761,823	2,000
Atlas Global Brands Inc.	Common Shares	Gossain, Jeffrey Robert	7, 5	2023-03-13	10	0.31	1,762,323	500
Atlas Global Brands Inc.	Common Shares	Gossain, Jeffrey Robert	7, 5	2023-03-13	10	0.3	1,762,823	500
Atlas Global Brands Inc.	Common Shares	Gossain, Jeffrey Robert	7, 5	2023-03-14	10	0.35	1,763,823	1,000
Atlas Global Brands Inc.	Common Shares	Gossain, Jeffrey Robert	7, 5	2023-03-14	10	0.38	1,773,323	9,500
Atlas Global Brands Inc.	Common Shares	Gossain, Jeffrey Robert	7, 5	2023-03-14	10	0.36	1,778,323	5,000
Atlas Global Brands Inc.	Common Shares	Yeung, Bernard	5	2022-12-30	00			
Atlas Global Brands Inc.	Common Shares	Yeung, Bernard	5	2023-03-13	10	0.3	4,000	4,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Atlas Global Brands Inc.	Common Shares	Yeung, Bernard	5	2023-03-13	10	0.3	9,500	5,500
Atlas Global Brands Inc.	Common Shares	Yeung, Bernard	5	2023-03-13	10	0.3	11,500	2,000
Atlas Global Brands Inc.	Common Shares	Yeung, Bernard	5	2023-03-13	10	0.3	16,500	5,000
Atlas Global Brands Inc.	Common Shares	Yeung, Bernard	5	2023-03-13	10	0.3	18,000	1,500
Atlas Global Brands Inc.	Common Shares	Yeung, Bernard	5	2023-03-13	10	0.3	18,163	163
Atlas Global Brands Inc.	Common Shares	Yeung, Bernard	5	2023-03-13	10	0.3	21,663	3,500
Atlas Global Brands Inc.	Common Shares	Yeung, Bernard	5	2023-03-13	10	0.31	22,163	500
Atlas Global Brands Inc.	Common Shares	Yeung, Bernard	5	2023-03-13	10	0.31	22,663	500
Atlas Global Brands Inc.	Common Shares	Yeung, Bernard	5	2023-03-13	10	0.31	26,163	3,500
Atlas Global Brands Inc.	Common Shares	Yeung, Bernard	5	2023-03-13	10	0.31	26,263	100
Atlas Global Brands Inc.	Common Shares	Yeung, Bernard	5	2023-03-13	10	0.305	29,763	3,500
Atlas Global Brands Inc.	Common Shares	Yeung, Bernard	5	2023-03-13	10	0.305	29,963	200
Atmfizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Common Shares	Centner, Olivier Marc	4, 5	2021-11-12	00			
Atmfizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Common Shares	Centner, Olivier Marc	4, 5	2023-03-14	36		37,500	37,500
Atmfizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Common Shares	Centner, Olivier Marc	4, 5	2023-01-12	37		37,500	-712,500
Atmfizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Restricted Share Units	Centner, Olivier Marc	4, 5	2023-01-12	37		112,500	-2,137,500
Atmfizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Restricted Share Units	Centner, Olivier Marc	4, 5	2023-03-14	36		75,000	-37,500
Atmfizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Common Shares	Galloro, Michael Bernardino	4	2023-01-12	37		6,000	-114,000
Atmfizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Common Shares	Galloro, Michael Bernardino	4	2023-03-14	36		12,000	6,000
Atmfizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Common Shares	Galloro, Michael Bernardino	4	2023-01-12	37		18,500	-351,500
Atmfizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Common Shares	Galloro, Michael Bernardino	4	2023-03-14	36		25,000	6,500
Atmfizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Restricted Share Units	Galloro, Michael Bernardino	4	2023-01-12	37		6,000	-114,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Atmofizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Restricted Share Units	Galloro, Michael Bernardino	4	2023-03-14	36		0	-6,000
Atmofizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Restricted Share Units	Galloro, Michael Bernardino	4	2023-01-12	37		31,500	-598,500
Atmofizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Restricted Share Units	Galloro, Michael Bernardino	4	2023-03-14	36		25,000	-6,500
Atmofizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Common Shares	Helman, Joshua Bennett	4	2023-01-12	37		15,750	-299,250
Atmofizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Common Shares	Helman, Joshua Bennett	4	2023-03-14	36		19,500	3,750
Atmofizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Restricted Share Units	Helman, Joshua Bennett	4	2023-01-12	37		11,250	-213,750
Atmofizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Restricted Share Units	Helman, Joshua Bennett	4	2023-03-14	36		7,500	-3,750
Atmofizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Common Shares	Meadows, Brian	4, 5	2023-03-14	57	0.12		18,750
Atmofizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Common Shares	Meadows, Brian	4, 5	2023-03-14	57	0.12		18,750
Atmofizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Common Shares	Meadows, Brian	4, 5	2023-03-14	57	0.12	393,750	18,750
Atmofizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Restricted Share Units	Meadows, Brian	4, 5	2023-03-14	57			-18,750
Atmofizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Restricted Share Units	Meadows, Brian	4, 5	2023-03-14	57			-18,750
Atmofizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Restricted Share Units	Meadows, Brian	4, 5	2023-03-14	57		1,106,250	-18,750
Atmofizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Common Shares	Mills, Nareda Lisette	4	2023-01-12	37		15,750	-299,250
Atmofizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Common Shares	Mills, Nareda Lisette	4	2023-03-14	36		19,500	3,750
Atmofizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Common Shares	Mills, Nareda Lisette	4	2023-01-12	37		2,500	-47,500
Atmofizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Restricted Share Units	Mills, Nareda Lisette	4	2023-01-12	37		11,250	-213,750

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Atmfizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Restricted Share Units	Mills, Nareda Lisette	4	2023-03-14	36		7,500	-3,750
Atmfizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Common Shares	Simeon, Peter Emmanouil	4	2023-01-12	37		28,250	-536,750
Atmfizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Common Shares	Simeon, Peter Emmanouil	4	2023-03-14	36		47,000	18,750
Atmfizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Options	Simeon, Peter Emmanouil	4	2023-01-12	37		20,576	-390,942
Atmfizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Restricted Share Units	Simeon, Peter Emmanouil	4	2023-01-12	37		18,750	-356,250
Atmfizer Technologies Inc. (formerly Consolidated HCI Holdings Corporation)	Restricted Share Units	Simeon, Peter Emmanouil	4	2023-03-14	36		0	-18,750
ATS Corporation (formerly ATS Automation Tooling Systems Inc.)	Common Shares	Patten, Jeremy	5	2019-05-27	00			
ATS Corporation (formerly ATS Automation Tooling Systems Inc.)	Common Shares	Patten, Jeremy	5	2023-03-15	51	20.3	3,956	3,956
ATS Corporation (formerly ATS Automation Tooling Systems Inc.)	Common Shares	Patten, Jeremy	5	2023-03-15	51	20.89	7,732	3,776
ATS Corporation (formerly ATS Automation Tooling Systems Inc.)	Common Shares	Patten, Jeremy	5	2023-03-15	51	20.22	11,988	4,256
ATS Corporation (formerly ATS Automation Tooling Systems Inc.)	Common Shares	Patten, Jeremy	5	2023-03-15	10	54.4	0	-11,988
ATS Corporation (formerly ATS Automation Tooling Systems Inc.)	Options	Patten, Jeremy	5	2023-03-15	51	20.3	25,112	-3,956
ATS Corporation (formerly ATS Automation Tooling Systems Inc.)	Options	Patten, Jeremy	5	2023-03-15	51	20.89	21,336	-3,776
ATS Corporation (formerly ATS Automation Tooling Systems Inc.)	Options	Patten, Jeremy	5	2023-03-15	51	20.22	17,080	-4,256
Aura Minerals Inc.	Common Shares	dos Santos Cardoso, Joao Kleber	5	2023-03-10	10	9.6	83,582	-4,000
Aura Minerals Inc.	Common Shares	dos Santos Cardoso, Joao Kleber	5	2023-03-13	10	9.75	80,882	-2,700
Aurion Resources Ltd.	Common Shares	Lotan Holdings Inc.	4	2023-03-08	10	0.57	12,076,082	18,000
Auxico Resources Canada Inc.	Common Shares	Kozak, John Frederick	5	2023-03-14	10	0.335	150,000	25,000
Avant Brands Inc. (formerly GTEC Holdings Ltd.)	Options	Singhavon, Norton	3, 4, 5	2023-03-14	50		2,870,600	1,470,600
B2Gold Corp.	Common Shares	Chatwin, Randall	5	2023-03-09	10	4.55	28,165	-3,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Ballard Power Systems Inc.	Units Deferred Share Units	Le, Duy-Loan	4	2023-02-28	56	7.8	60,312	2,777
Bank of Montreal	Common Shares	Eichenbaum, Martin Stewart	4	2023-03-15	10	85.78	1,666	1,166
Barsele Minerals Corp.	Common Shares	Cope, Gary Gordon	4	2023-03-09	10	0.37	17,156,800	4,000
Barsele Minerals Corp.	Common Shares	Cope, Gary Gordon	4	2023-03-09	10	0.36	17,157,800	1,000
Barsele Minerals Corp.	Common Shares	Cope, Gary Gordon	4	2023-03-13	10	0.345	17,166,800	9,000
Barsele Minerals Corp.	Common Shares	Cope, Gary Gordon	4	2023-03-13	10	0.35	17,167,800	1,000
Barsele Minerals Corp.	Common Shares	Cope, Gary Gordon	4	2023-03-13	10	0.375	17,175,300	7,500
Barsele Minerals Corp.	Common Shares	Cope, Gary Gordon	4	2023-03-13	10	0.38	17,202,300	27,000
Barsele Minerals Corp.	Common Shares	Cope, Gary Gordon	4	2023-03-15	10	0.41	17,209,300	7,000
Barsele Minerals Corp.	Common Shares	Cope, Gary Gordon	4	2023-03-15	10	0.4	17,242,300	33,000
Barsele Minerals Corp.	Common Shares	Cope, Gary Gordon	4	2023-03-15	10	0.395	17,243,300	1,000
Barsele Minerals Corp.	Common Shares	Cope, Gary Gordon	4	2023-03-15	10	0.415	17,245,300	2,000
Barsele Minerals Corp.	Common Shares	Cope, Gary Gordon	4	2023-03-16	10	0.415	17,251,300	6,000
Barsele Minerals Corp.	Common Shares	Cope, Gary Gordon	4	2023-03-16	10	0.41	17,269,800	18,500
Barsele Minerals Corp.	Common Shares	Cope, Gary Gordon	4	2023-03-16	10	0.4	17,271,800	2,000
Baytex Energy Corp.	Common Shares	Greager, Eric Thomas	5	2023-03-13	10	4.9438	401,000	140,000
Baytex Energy Corp.	Common Shares	Greager, Eric Thomas	5	2023-03-16	10	3.2062	500,000	99,000
BBTV Holdings Inc.	Rights Restricted Share Units	Brinkley, Khamphiou	5	2023-01-18	00			
BBTV Holdings Inc.	Rights Restricted Share Units	Brinkley, Khamphiou	5	2023-03-13	56		75,000	75,000
BBTV Holdings Inc.	Rights Restricted Share Units	Cass, Martin	7	2023-03-13	56		50,000	30,000
BetterLife Pharma Inc.	Common Shares	Doroudian, Ahmad	4, 5	2023-03-14	16	0.1	6,331,063	2,000,000
BetterLife Pharma Inc.	Warrants	Doroudian, Ahmad	4, 5	2023-03-14	16	0.15	2,000,000	2,000,000
Bettermoo(d) Food Corporation (formerly Happy Gut Brands Limited)	Options	Bahrami, Nima	4	2023-03-13	36		50,000	-450,000
Bettermoo(d) Food Corporation (formerly Happy Gut Brands Limited)	Options	Balderson, Geoffrey	4	2023-03-13	36		10,000	-90,000
Bettermoo(d) Food Corporation (formerly Happy Gut Brands Limited)	Options	Morris, Patrick	4	2023-03-13	36		7,500	-67,500
Bettermoo(d) Food Corporation (formerly Happy Gut Brands Limited)	Options	Pear, Steven	4, 5	2022-01-25	50		400,000	200,000
Bettermoo(d) Food Corporation (formerly Happy Gut Brands Limited)	Options	Pear, Steven	4, 5	2023-03-13	37		40,000	-360,000
Bettermoo(d) Food Corporation (formerly Happy Gut Brands Limited)	Common Shares	Shacker, Joel	4	2023-03-13	36		765,000	-6,885,000
Bettermoo(d) Food Corporation (formerly Happy Gut Brands Limited)	Common Shares	Shacker, Joel	4	2023-03-14	11	0.8	510,000	-255,000
BioSyent Inc.	Common Shares	Arcuri, Joseph	5	2022-12-31	30	8.39	104,787	152
BioSyent Inc.	Common Shares	Arcuri, Joseph	5	2022-12-31	30	7.05	104,816	29
BioSyent Inc.	Common Shares	Ashrafi, Seyed Ahmad	4	2022-12-31	30	8.11	48,611	1,953
BioSyent Inc.	Common Shares	Ashrafi, Seyed Ahmad	4	2022-12-31	30	7.05	48,700	89
BioSyent Inc.	Common Shares	Atwal, Neelu	5	2022-12-31	30	8.07	16,286	681

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
BioSyent Inc.	Common Shares	Atwal, Neelu	5	2022-12-31	30	7.08	16,344	58
BioSyent Inc.	Common Shares	MOOTHAN, RAMESH	4	2022-12-31	30	8.09	20,701	777
BioSyent Inc.	Common Shares	MOOTHAN, RAMESH	4	2022-12-31	30	7.07	20,769	68
BioSyent Inc.	Common Shares	Raghubir, Sharan	5	2022-12-31	30	8.11	15,396	884
BioSyent Inc.	Common Shares	Raghubir, Sharan	5	2022-12-31	30	7.13	15,476	80
BioSyent Inc.	Common Shares	Wilson, Kevin	5	2022-12-31	30	8.08	67,188	998
BioSyent Inc.	Common Shares	Wilson, Kevin	5	2022-12-31	30	7.05	67,277	89
Bird Construction Inc.	PSU (Phantom Shares)	Bergman, J. Paul	5	2023-03-15	53		74,189	15,829
Bird Construction Inc.	RSU (Phantom Shares)	Bergman, J. Paul	5	2023-03-15	53		74,189	15,829
Bird Construction Inc.	PSU (Phantom Shares)	CAZA, Charles Joseph	5	2023-03-15	53		54,844	12,534
Bird Construction Inc.	RSU (Phantom Shares)	CAZA, Charles Joseph	5	2023-03-15	53		54,844	12,534
Bird Construction Inc.	PSU (Phantom Shares)	GINGRICH, WAYNE RICHARD	5	2023-03-15	53			26,205
Bird Construction Inc.	PSU (Phantom Shares)	GINGRICH, WAYNE RICHARD	5	2023-03-15	53		113,340	26,205
Bird Construction Inc.	RSU (Phantom Shares)	GINGRICH, WAYNE RICHARD	5	2023-03-15	53		113,340	26,205
Bird Construction Inc.	PSU (Phantom Shares)	Henry, Brian Claude	5	2023-03-15	53		45,253	10,336
Bird Construction Inc.	RSU (Phantom Shares)	Henry, Brian Claude	5	2023-03-15	53		45,253	10,336
Bird Construction Inc.	PSU (Phantom Shares)	McKibbon, Terrance Lloyd	4, 5	2023-03-15	53		261,040	59,585
Bird Construction Inc.	RSU (Phantom Shares)	McKibbon, Terrance Lloyd	4, 5	2023-03-15	53		261,040	59,585
Bird Construction Inc.	PSU (Phantom Shares)	Otway, Robert James	5	2023-03-15	53		51,752	17,350
Bird Construction Inc.	RSU (Phantom Shares)	Otway, Robert James	5	2023-03-15	53		51,752	17,350
Bird Construction Inc.	PSU (Phantom Shares)	ROYER, GILLES GERALD	5	2023-03-15	53		114,156	32,756
Bird Construction Inc.	RSU (Phantom Shares)	ROYER, GILLES GERALD	5	2023-03-15	53		114,156	32,756
Black Diamond Group Limited	Common Shares	Haynes, Trevor	4, 5	2023-03-06	51	2.525	3,279,868	54,000
Black Diamond Group Limited	Common Shares	Haynes, Trevor	4, 5	2023-03-06	51	1.2852	3,333,868	54,000
Black Diamond Group Limited	Common Shares	Haynes, Trevor	4, 5	2023-03-14	10	6.39	0	-6,000
Black Diamond Group Limited	Options	Haynes, Trevor	4, 5	2023-03-06	51	2.525	971,734	-54,000
Black Diamond Group Limited	Options	Haynes, Trevor	4, 5	2023-03-06	51	1.2852	917,734	-54,000
Black Diamond Group Limited	Options	Haynes, Trevor	4, 5	2023-03-14	50	6.6522	1,142,404	224,670
Black Diamond Group Limited	Rights Performance Share Units	Haynes, Trevor	4, 5	2023-03-14	56	6.6522	113,951	60,000
Black Diamond Group Limited	Rights RSU	Haynes, Trevor	4, 5	2023-03-14	56	6.6522	156,498	40,589
Black Diamond Group Limited	Rights DSU	Hedges, Brian Robie	4	2023-03-14	56	6.6522	51,559	2,819
Black Diamond Group Limited	Rights DSU	Herdman, Robert	4	2023-03-14	56	6.6522	64,908	2,819
Black Diamond Group Limited	Rights DSU	Kelley, Barbara Jean	4	2023-03-14	56	6.6522	61,562	2,819
Black Diamond Group Limited	Rights DSU	Kernaghan, Edward Hume	3, 4	2023-03-14	56	6.6522	50,774	2,819
Black Diamond Group Limited	Common Shares	LaBrie, Tobias Gerald	5	2023-03-09	51	7.0856	306,191	40,549
Black Diamond Group Limited	Options	LaBrie, Tobias Gerald	5	2023-03-09	51	2.525	352,228	-63,000
Black Diamond Group Limited	Options	LaBrie, Tobias Gerald	5	2023-03-14	50	6.6522	427,118	74,890

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Black Diamond Group Limited	Rights Performance Share Units	LaBrie, Tobias Gerald	5	2023-03-14	56	6.6522	90,474	60,000
Black Diamond Group Limited	Rights RSU	LaBrie, Tobias Gerald	5	2023-03-14	56	6.6522	68,724	15,334
Black Diamond Group Limited	Rights DSU	Latimer, Leilani	4	2023-03-14	56	6.6522	28,214	2,819
Black Diamond Group Limited	Common Shares	Melanson, Patrick	5	2023-03-08	51	2.525		4,000
Black Diamond Group Limited	Common Shares	Melanson, Patrick	5	2023-03-08	51	2.525	120,183	4,000
Black Diamond Group Limited	Common Shares	Melanson, Patrick	5	2023-03-08	10	6.92	118,183	-2,000
Black Diamond Group Limited	Common Shares	Melanson, Patrick	5	2023-03-08	10	6.93	116,183	-2,000
Black Diamond Group Limited	Common Shares	Melanson, Patrick	5	2023-03-10	51	2.525		10,000
Black Diamond Group Limited	Common Shares	Melanson, Patrick	5	2023-03-10	51	2.525	126,183	10,000
Black Diamond Group Limited	Common Shares	Melanson, Patrick	5	2023-03-10	10	6.92	124,183	-2,000
Black Diamond Group Limited	Common Shares	Melanson, Patrick	5	2023-03-10	10	6.63	122,183	-2,000
Black Diamond Group Limited	Common Shares	Melanson, Patrick	5	2023-03-10	10	6.61	120,183	-2,000
Black Diamond Group Limited	Common Shares	Melanson, Patrick	5	2023-03-10	10	6.6	118,183	-2,000
Black Diamond Group Limited	Common Shares	Melanson, Patrick	5	2023-03-10	10	6.56	116,183	-2,000
Black Diamond Group Limited	Common Shares	Melanson, Patrick	5	2023-03-13	51	2.525		6,500
Black Diamond Group Limited	Common Shares	Melanson, Patrick	5	2023-03-13	51	2.525	122,683	6,500
Black Diamond Group Limited	Common Shares	Melanson, Patrick	5	2023-03-13	10	6.3	120,683	-2,000
Black Diamond Group Limited	Common Shares	Melanson, Patrick	5	2023-03-13	10	6.3	118,683	-2,000
Black Diamond Group Limited	Common Shares	Melanson, Patrick	5	2023-03-13	10	6.2436	116,183	-2,500
Black Diamond Group Limited	Options	Melanson, Patrick	5	2023-03-08	51	2.525	346,910	-4,000
Black Diamond Group Limited	Options	Melanson, Patrick	5	2023-03-10	51	2.525	336,910	-10,000
Black Diamond Group Limited	Options	Melanson, Patrick	5	2023-03-13	51	2.525	330,410	-6,500
Black Diamond Group Limited	Options	Melanson, Patrick	5	2023-03-14	50	6.6522	400,895	70,485
Black Diamond Group Limited	Rights Performance Share Units	Melanson, Patrick	5	2023-03-14	56	6.6522	120,474	90,000
Black Diamond Group Limited	Rights RSU	Melanson, Patrick	5	2023-03-14	56	6.6522	66,445	14,431
Black Diamond Group Limited	Options	Redmond, Edward John	5	2023-03-14	50	6.6522	480,989	88,106
Black Diamond Group Limited	Rights RSU	Redmond, Edward John	5	2023-03-14	56	6.6522	75,444	18,039
Black Diamond Group Limited	Common Shares	Ridley, Michael Lenard	5	2023-03-06	51	6.7761	214,265	39,524
Black Diamond Group Limited	Options	Ridley, Michael Lenard	5	2023-03-06	51	2.525	352,987	-63,000
Black Diamond Group Limited	Options	Ridley, Michael Lenard	5	2023-03-14	50	6.6522	432,282	79,295
Black Diamond Group Limited	Rights RSU	Ridley, Michael Lenard	5	2023-03-14	56	6.6522	71,000	16,235

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Black Diamond Group Limited	Common Shares	Stein, Steve	4	2023-03-14	10	6.5	127,704	-10,000
Black Diamond Group Limited	Common Shares	Stein, Steve	4	2023-03-14	10	6.51	117,704	-10,000
Black Diamond Group Limited	Common Shares	Stein, Steve	4	2023-03-14	10	6.5507	112,704	-5,000
Black Diamond Group Limited	Common Shares	Stein, Steve	4	2023-03-14	10	6.55	107,704	-5,000
Black Diamond Group Limited	Rights DSU	Stein, Steve	4	2023-03-14	56	6.6522	56,021	2,819
Black Diamond Group Limited	Rights DSU	Wagemakers, Robert	4	2023-03-14	56	6.6522	61,616	2,819
Blacksteel Energy Inc.	Common Shares	Callaway, Jeffrey	4, 5	2020-09-11	00			
Blacksteel Energy Inc.	Common Shares	Callaway, Jeffrey	4, 5	2023-03-14	22		3,735	3,735
Blacksteel Energy Inc.	Common Shares	Callaway, Jeffrey	4, 5	2023-03-14	22		2,062,711	5,547
Blacksteel Energy Inc.	Common Shares	Callaway, Jeffrey	4, 5	2020-09-11	00			
Blacksteel Energy Inc.	Common Shares	Callaway, Jeffrey	4, 5	2023-03-14	22		1,307	1,307
Blender Bites Limited (formerly "Balsam Technologies Corp.")	Common Shares	Pear, Steven	5	2023-03-01	00			
Blender Bites Limited (formerly "Balsam Technologies Corp.")	Options	Pear, Steven	5	2023-03-01	00			
Blender Bites Limited (formerly "Balsam Technologies Corp.")	Warrants	Pear, Steven	5	2023-03-01	00			
Blue Star Gold Corp.	Common Shares	Schmid, Klaus Georg	3, 4	2023-03-13	10	0.4	8,395,500	100,000
BlueRush Inc.	Options	Eckert, John Frederick	4	2023-03-06	52		350,000	-350,000
Bluesky Digital Assets Corp.	Common Shares	Kordy, Frank	4	2023-03-13	10	0.03	2,302,628	300,000
Boardwalk Real Estate Investment Trust	Deferred Units (Convert to TU and/or cash)	Abramsohn, Mandy Kim	4	2023-03-10	56		2,747	328
Boardwalk Real Estate Investment Trust	Deferred Units (Convert to TU and/or cash)	Bowers, John Eric	5	2023-03-10	56		10,224	500
Boardwalk Real Estate Investment Trust	Deferred Units (Convert to TU and/or cash)	Bowers, John Eric	5	2023-03-10	56		12,183	1,959
Boardwalk Real Estate Investment Trust	Deferred Units (Convert to TU and/or cash)	Davids, Leonora Heloise	5	2023-03-10	56		13,376	500
Boardwalk Real Estate Investment Trust	Deferred Units (Convert to TU and/or cash)	Davids, Leonora Heloise	5	2023-03-10	56		15,742	2,366
Boardwalk Real Estate Investment Trust	Deferred Units (Convert to TU and/or cash)	Goertz, Andrea	4	2023-03-10	56		13,052	290
Boardwalk Real Estate Investment Trust	Deferred Units (Convert to TU and/or cash)	Goodman, Gary Michael	4	2023-03-10	56		24,386	270
Boardwalk Real Estate Investment Trust	Deferred Units (Convert to TU and/or cash)	Ha, James	5	2023-03-10	56		20,420	500
Boardwalk Real Estate Investment Trust	Deferred Units (Convert to TU and/or cash)	Ha, James	5	2023-03-10	56		23,296	2,876
Boardwalk Real Estate Investment Trust	Deferred Units (Convert to TU and/or cash)	Jaraim, Bhavnesh	5	2023-03-10	56		7,467	2,210
Boardwalk Real Estate Investment Trust	Deferred Units (Convert to TU and/or cash)	Klaus, Jeffrey Dave	5	2023-03-10	56		14,270	500
Boardwalk Real Estate Investment Trust	Deferred Units (Convert to TU and/or cash)	Klaus, Jeffrey Dave	5	2023-03-10	56		16,464	2,194
Boardwalk Real Estate Investment Trust	Deferred Units (Convert to TU and/or cash)	Kolias-Gunn, Samantha Alyssa	4	2023-03-10	56		10,177	116
Boardwalk Real Estate Investment Trust	Deferred Units (Convert to TU and/or cash)	Mix, Helen May	5	2023-03-10	56		31,997	2,035
Boardwalk Real Estate Investment Trust	Deferred Units (Convert to TU and/or cash)	Morrison, Scott Andrew	4	2023-03-10	56		14,855	232

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Boardwalk Real Estate Investment Trust	Deferred Units (Convert to TU and/or cash)	Robinson, Brian	4	2023-03-10	56		17,996	174
Boardwalk Real Estate Investment Trust	Deferred Units (Convert to TU and/or cash)	Smandych, Lisa Dawn	5	2023-03-10	56		19,531	500
Boardwalk Real Estate Investment Trust	Deferred Units (Convert to TU and/or cash)	Smandych, Lisa Dawn	5	2023-03-10	56		22,383	2,852
Boosh Plant-Based Brands Inc.	Common Shares	Kordy, Frank	5	2023-02-16	00			
Boosh Plant-Based Brands Inc.	Common Shares	Kordy, Frank	5	2023-03-14	46		100,000	100,000
BQE Water Inc.	Common Shares	Tingley, Hall	3	2023-03-15	10	30	102,757	100
BSR Real Estate Investment Trust	Deferred Units	Bailey, John Stanley	3, 4	2022-12-31	30		10,008	115
BSR Real Estate Investment Trust	Performance Units	Barger, Brandon Roy	5	2022-12-31	30	16.2	7,607	226
BSR Real Estate Investment Trust	Performance Units	Barger, Brandon Roy	5	2023-03-13	56	13.41	15,437	7,830
BSR Real Estate Investment Trust	Performance Units	Barger, Brandon Roy	5	2023-03-13	57	13.41	13,551	-1,886
BSR Real Estate Investment Trust	Performance Units	Barger, Brandon Roy	5	2023-03-13	38		12,164	-1,387
BSR Real Estate Investment Trust	Restricted Units	Barger, Brandon Roy	5	2022-12-31	30	16.06	3,032	87
BSR Real Estate Investment Trust	Restricted Units	Barger, Brandon Roy	5	2023-03-13	56	13.41	6,562	5,220
BSR Real Estate Investment Trust	Trust Units	Barger, Brandon Roy	5	2023-03-13	57	13.41	14,113	3,772
BSR Real Estate Investment Trust	Deferred Units	Halter, William Amos	4	2022-12-31	30		37,363	1,038
BSR Real Estate Investment Trust	Deferred Units	Held, Bryan	4	2022-12-31	30		42,919	1,190
BSR Real Estate Investment Trust	Deferred Units	Hughes, Jr., William Daniel	4	2022-12-31	30		37,551	1,044
BSR Real Estate Investment Trust	Performance Units	Koehn, Susan Rosenbaum	5	2022-12-31	30	16.17	35,200	1,037
BSR Real Estate Investment Trust	Performance Units	Koehn, Susan Rosenbaum	5	2023-03-13	56	13.41	49,697	14,497
BSR Real Estate Investment Trust	Performance Units	Koehn, Susan Rosenbaum	5	2023-03-13	57	13.41		-8,150
BSR Real Estate Investment Trust	Performance Units	Koehn, Susan Rosenbaum	5	2023-03-13	57	13.41		-8,150
BSR Real Estate Investment Trust	Performance Units	Koehn, Susan Rosenbaum	5	2023-03-13	38			-6,353
BSR Real Estate Investment Trust	Performance Units	Koehn, Susan Rosenbaum	5	2023-03-13	38			-6,353
BSR Real Estate Investment Trust	Performance Units	Koehn, Susan Rosenbaum	5	2023-03-13	57	13.41	41,547	-8,150
BSR Real Estate Investment Trust	Performance Units	Koehn, Susan Rosenbaum	5	2023-03-13	38		35,194	-6,353
BSR Real Estate Investment Trust	Restricted Units	Koehn, Susan Rosenbaum	5	2022-12-31	30	16.05	14,436	411
BSR Real Estate Investment Trust	Restricted Units	Koehn, Susan Rosenbaum	5	2023-03-13	56	13.41	16,277	9,664
BSR Real Estate Investment Trust	Trust Units	Koehn, Susan Rosenbaum	5	2023-03-13	57	13.41	58,624	16,300
BSR Real Estate Investment Trust	Deferred Units	Labatte, Neil Joseph	4	2022-12-31	30		68,399	1,886
BSR Real Estate Investment Trust	Deferred Units	Marshall, Jane	4	2022-12-31	30		4,688	33
BSR Real Estate Investment Trust	Deferred Units	Neto, Teresa	4	2022-12-31	30		6,727	77

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
BSR Real Estate Investment Trust	Performance Units	Oberste, Daniel Martin	4, 5	2022-12-31	30	16.15	55,388	1,613
BSR Real Estate Investment Trust	Performance Units	Oberste, Daniel Martin	4, 5	2023-03-13	56	13.41	84,471	29,083
BSR Real Estate Investment Trust	Performance Units	Oberste, Daniel Martin	4, 5	2023-03-13	57	13.41	74,584	-9,887
BSR Real Estate Investment Trust	Performance Units	Oberste, Daniel Martin	4, 5	2023-03-13	38		66,854	-7,730
BSR Real Estate Investment Trust	Restricted Units	Oberste, Daniel Martin	4, 5	2022-12-31	30	16.02	24,431	685
BSR Real Estate Investment Trust	Restricted Units	Oberste, Daniel Martin	4, 5	2023-03-13	56	13.41	31,513	19,388
BSR Real Estate Investment Trust	Trust Units	Oberste, Daniel Martin	4, 5	2023-03-13	57	13.41	72,495	19,773
BSR Real Estate Investment Trust	Performance Units	Ray, Scott Allen	5	2022-12-31	30	16.19	12,438	373
BSR Real Estate Investment Trust	Performance Units	Ray, Scott Allen	5	2023-03-13	56	13.41	15,883	3,445
BSR Real Estate Investment Trust	Performance Units	Ray, Scott Allen	5	2023-03-13	57	13.41	12,636	-3,247
BSR Real Estate Investment Trust	Performance Units	Ray, Scott Allen	5	2023-03-13	38		10,286	-2,350
BSR Real Estate Investment Trust	Restricted Units	Ray, Scott Allen	5	2022-12-31	30	16.14	4,806	140
BSR Real Estate Investment Trust	Restricted Units	Ray, Scott Allen	5	2023-03-13	56	13.41	4,338	2,297
BSR Real Estate Investment Trust	Trust Units	Ray, Scott Allen	5	2023-03-13	57	13.41	52,992	6,493
BSR Real Estate Investment Trust	Deferred Units	Senst, Graham David	4	2022-12-31	30		49,598	1,376
BSR Real Estate Investment Trust	Deferred Units	Wademan, Elizabeth Anne	4	2022-12-31	30		1,350	1,350
BTB Real Estate Investment Trust	Parts à la performance	Bolté, Mathieu	5	2020-05-25	00			
BTB Real Estate Investment Trust	Parts à la performance	Bolté, Mathieu	5	2023-03-14	56	3.685	25,780	25,780
BTB Real Estate Investment Trust	Parts avec restrictions	Bolté, Mathieu	5	2022-09-12	57			-9,111
BTB Real Estate Investment Trust	Parts avec restrictions	Bolté, Mathieu	5	2022-09-12	57			-9,111
BTB Real Estate Investment Trust	Parts avec restrictions	Bolté, Mathieu	5	2023-03-14	56	3.685	43,844	19,273
BTB Real Estate Investment Trust	Trust Units	Bolté, Mathieu	5	2022-06-30	35	4.0517		326
BTB Real Estate Investment Trust	Trust Units	Bolté, Mathieu	5	2022-06-30	35	4.0517	29,955	448
BTB Real Estate Investment Trust	Trust Units	Bolté, Mathieu	5	2022-09-12	57	3.7504		9,111
BTB Real Estate Investment Trust	Trust Units	Bolté, Mathieu	5	2022-09-12	57	3.7504		9,111
BTB Real Estate Investment Trust	Trust Units	Bolté, Mathieu	5	2022-09-12	35	3.9588		448
BTB Real Estate Investment Trust	Trust Units	Bolté, Mathieu	5	2022-09-12	35	3.9588		448
BTB Real Estate Investment Trust	Trust Units	Bolté, Mathieu	5	2022-12-31	35	3.76	35,973	1,541
BTB Real Estate Investment Trust	Trust Units	Bolté, Mathieu	5	2023-03-13	10	3.8	30,996	-4,977
BTB Real Estate Investment Trust	Parts à la performance	Léonard, Michel	4, 5	2006-09-27	00			
BTB Real Estate Investment Trust	Parts à la performance	Léonard, Michel	4, 5	2023-03-14	56	3.685	69,053	69,053

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
BTB Real Estate Investment Trust	Parts avec restrictions	Léonard, Michel	4, 5	2022-09-12	57			-15,923
BTB Real Estate Investment Trust	Parts avec restrictions	Léonard, Michel	4, 5	2022-09-12	57			-15,923
BTB Real Estate Investment Trust	Parts avec restrictions	Léonard, Michel	4, 5	2023-03-13	56	3.685	109,733	34,415
BTB Real Estate Investment Trust	Trust Units	Léonard, Michel	4, 5	2022-06-30	35	4.0517		599
BTB Real Estate Investment Trust	Trust Units	Léonard, Michel	4, 5	2022-06-30	35	4.0517	331,857	823
BTB Real Estate Investment Trust	Trust Units	Léonard, Michel	4, 5	2022-09-12	57	3.7504		15,923
BTB Real Estate Investment Trust	Trust Units	Léonard, Michel	4, 5	2022-09-12	57	3.7504		15,923
BTB Real Estate Investment Trust	Trust Units	Léonard, Michel	4, 5	2022-09-12	35	3.9588		823
BTB Real Estate Investment Trust	Trust Units	Léonard, Michel	4, 5	2022-09-12	35	3.9588		823
Builders Capital Mortgage Corp.	Non-Voting Shares Class A	Loutitt, Sandy Luke	3, 4	2023-03-13	10	9.1	64,915	6,100
Calian Group Ltd.	Deferred Share Units (Cash Value of Common Shares)	Basler, Raymond Gregory	4	2023-03-10	56	60.64	4,728	20
Calian Group Ltd.	Deferred Share Units (Cash Value of Common Shares)	Park, Young	4	2023-03-10	56	60.64	3,115	12
Calian Group Ltd.	Deferred Share Units (Cash Value of Common Shares)	Poirier, Jo-Anne Cecile	4	2023-03-10	56	60.64	4,347	18
Calian Group Ltd.	Deferred Share Units (Cash Value of Common Shares)	Richardson, Ronald	4	2023-03-10	56	60.64	1,332	4
Calian Group Ltd.	Deferred Share Units (Cash Value of Common Shares)	Sorbie, Valerie	4	2023-03-10	56	60.64	955	2
Calian Group Ltd.	Deferred Share Units (Cash Value of Common Shares)	weber, george brian	4	2023-03-10	56	60.64	6,483	26
Calibre Mining Corp.	Common Shares	King, Ryan	5	2023-03-16	10	1.1	302,700	1,500
Can-Gow Capital Inc.	Common Shares	WALKER, DOUGLAS	4, 5	2020-02-24	00		200,000	
Can-Gow Capital Inc.	Options	WALKER, DOUGLAS	4, 5	2020-02-24	00		90,000	
Canada Nickel Company Inc.	Common Shares	Selby, Mark Thomas Henry	4, 5	2023-03-16	10	1.56	2,962,643	40,000
Canadian Apartment Properties Real Estate Investment Trust	Rights Restricted Unit Rights	Co, Stephen	5	2022-12-31	30		13,335	317
Canadian Apartment Properties Real Estate Investment Trust	Trust Units	Co, Stephen	5	2022-12-31	30		4,145	688
Canadian Apartment Properties Real Estate Investment Trust	Rights Restricted Unit Rights	Kenney, Mark	5	2022-12-31	30		148,421	4,524
Canadian Apartment Properties Real Estate Investment Trust	Trust Units	Kenney, Mark	5	2022-12-31	30		278,880	2,631
Canadian Apartment Properties Real Estate Investment Trust	Rights Restricted Unit Rights	Lieberman-zbar, Jodi	5	2022-12-31	30		54,990	1,660
Canadian Apartment Properties Real Estate Investment Trust	Trust Units	Lieberman-zbar, Jodi	5	2022-12-31	30		21,204	601
Canadian Apartment Properties Real Estate Investment Trust	Rights Restricted Unit Rights	Schonfeldt, Julian	5	2022-12-31	30		6,813	58

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Canadian Apartment Properties Real Estate Investment Trust	Rights Deferred Units	Stoddart, Jennifer	4	2023-02-27	00			
Canadian Apartment Properties Real Estate Investment Trust	Trust Units	Stoddart, Jennifer	4	2023-02-27	00			
Canadian Critical Minerals Inc. (formerly Braveheart Resources Inc.)	Common Shares	Matlock, Aaron	4, 5	2023-03-17	10	0.05	6,775,000	100,000
Canadian Critical Minerals Inc. (formerly Braveheart Resources Inc.)	Common Shares	Vinck, Dwayne Albert	5	2023-03-16	10	0.05	2,466,571	100,000
Canadian Imperial Bank of Commerce	Common Shares	Dodig, Victor George	4, 5	2023-03-16	10	57.65	188,402	34,850
Canadian Imperial Bank of Commerce	Common Shares	Maher, Mary Lou Katherine	4	2023-03-13	10	56.57	3,356	1,300
Canadian Imperial Bank of Commerce	Common Shares	Stevenson, Katharine Berghuis	4	2023-03-13	10	56.74	600	600
Canadian Imperial Bank of Commerce	Common Shares	Stevenson, Katharine Berghuis	4	2023-03-13	10	56.74	1,000	1,000
Canadian National Railway Company	Options	DRYSDALE, Janet	5	2023-03-07	50	162.17		838
Canadian National Railway Company	Options	DRYSDALE, Janet	5	2023-03-08	50	162.17		838
Canadian National Railway Company	Options	DRYSDALE, Janet	5	2023-03-08	50	162.17	64,152	838
Canadian National Railway Company	Performance Share Units/Unités d'actions de performance	DRYSDALE, Janet	5	2023-03-07	56	162.17		250
Canadian National Railway Company	Performance Share Units/Unités d'actions de performance	DRYSDALE, Janet	5	2023-03-08	56	162.17	7,109	250
Canadian National Railway Company	Restricted Share Units	DRYSDALE, Janet	5	2012-12-01	00			
Canadian National Railway Company	Restricted Share Units	DRYSDALE, Janet	5	2023-03-07	99		2,079	2,079
Canadian Natural Resources Limited	Options	Darel, Victor Clinton	5	2023-03-09	50	79.64	61,838	12,500
Canadian Natural Resources Limited	Options	Giggs, Dwayne Frederick	5	2023-03-09	50	79.64	118,170	25,000
Canadian Natural Resources Limited	Options	Lunn, Erin Louise	5	2023-03-09	50	79.64	52,074	12,500
Canadian Natural Resources Limited	Options	Overwater, Mark Anthony	5	2023-03-09	50	79.64	57,854	12,500
Canadian Natural Resources Limited	Options	Pisio, Kyle Grayson	5	2023-03-09	50	79.64	68,046	12,500
Canadian Natural Resources Limited	Options	Stainthorpe, Mark Allen	5	2023-03-09	50	79.64	467,000	43,750
Canadian Pacific Railway Limited	Common Shares	Creel, Keith E.	5	2023-03-10	51	30.2	74,589	1,629
Canadian Pacific Railway Limited	Common Shares	Creel, Keith E.	5	2023-03-10	10	73.95	72,960	-1,629
Canadian Pacific Railway Limited	Common Shares	Creel, Keith E.	5	2023-03-10	51	30.23	107,042	34,082
Canadian Pacific Railway Limited	Common Shares	Creel, Keith E.	5	2023-03-10	10	74.009	72,960	-34,082
Canadian Pacific Railway Limited	Common Shares	Creel, Keith E.	5	2023-03-10	51	30.23	76,568	3,608
Canadian Pacific Railway Limited	Common Shares	Creel, Keith E.	5	2023-03-10	10	74.015	72,960	-3,608
Canadian Pacific Railway Limited	Options	Creel, Keith E.	5	2023-03-10	51	30.2	3,334,426	-1,629

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Canadian Pacific Railway Limited	Options	Creel, Keith E.	5	2023-03-10	51	30.23	3,300,344	-34,082
Canadian Pacific Railway Limited	Options	Creel, Keith E.	5	2023-03-10	51	30.23	3,296,736	-3,608
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	2023-03-06	38	170.78	30,791	30,791
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	2023-03-06	38		0	-30,791
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	2023-03-07	38	171.68	15,000	15,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	2023-03-07	38		0	-15,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	2023-03-08	38	170.27	15,000	15,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	2023-03-08	38		0	-15,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	2023-03-09	38	171.22	15,000	15,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	2023-03-09	38		0	-15,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	2023-03-10	38	167.81	15,000	15,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	2023-03-10	38		0	-15,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	2023-03-13	38	166.02	15,000	15,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	2023-03-13	38		0	-15,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	2023-03-14	38	166.62	15,000	15,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	2023-03-14	38		0	-15,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	2023-03-15	38	164.73	15,000	15,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	2023-03-15	38		0	-15,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	2023-03-16	38	166.12	15,000	15,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	2023-03-16	38		0	-15,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	2023-03-17	38	164.22	15,000	15,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	2023-03-17	38		0	-15,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A (CT Savings Plan)	Christie, James Robert	5	2022-12-31	30	166.61	6,345	630
Canadian Tire Corporation, Limited	Non-Voting Shares Class A (CT Savings Plan)	Christie, James Robert	5	2022-12-31	35	163.83	6,562	217
Canadian Tire Corporation, Limited	Non-Voting Shares Class A (CT Savings Plan)	Craig, Gregory George	5	2022-12-31	30	166.59	4,650	606
Canadian Tire Corporation, Limited	Non-Voting Shares Class A (CT Savings Plan)	Craig, Gregory George	5	2022-12-31	35	163.7	4,806	156
Canadian Tire Corporation, Limited	Non-Voting Shares Class A (Share Purchase Plan)	Craig, Gregory George	5	2022-12-31	35	164.19	5,239	182
Canadian Tire Corporation, Limited	Non-Voting Shares Class A (CT Savings Plan)	Flood, Thomas Joseph (TJ)	7, 5	2022-12-31	30	166.58	5,318	560
Canadian Tire Corporation, Limited	Non-Voting Shares Class A (CT Savings Plan)	Flood, Thomas Joseph (TJ)	7, 5	2022-12-31	35	157.02	5,498	180
Canadian Tire Corporation, Limited	Non-Voting Shares Class A (Share Purchase Plan)	Flood, Thomas Joseph (TJ)	7, 5	2022-12-31	35	164.19	720	25
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Hicks, Gregory Hubert	4, 5	2022-12-31	35	164.19	66	2

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Hicks, Gregory Hubert	4, 5	2022-12-31	35	164.19	554	19
Canadian Tire Corporation, Limited	Non-Voting Shares Class A (CT Savings Plan)	Hicks, Gregory Hubert	4, 5	2022-12-31	30	166.56	7,412	1,118
Canadian Tire Corporation, Limited	Non-Voting Shares Class A (CT Savings Plan)	Hicks, Gregory Hubert	4, 5	2022-12-31	35	163.43	7,656	244
Canadian Tire Corporation, Limited	Non-Voting Shares Class A (Share Purchase Plan)	Hicks, Gregory Hubert	4, 5	2022-12-31	35	164.19	213	7
Canadian Tire Corporation, Limited	Non-Voting Shares Class A (CT Savings Plan)	O'Brien, Susan M	5	2022-12-31	30	166.59	3,178	359
Canadian Tire Corporation, Limited	Non-Voting Shares Class A (CT Savings Plan)	O'Brien, Susan M	5	2022-12-31	35	163.58	3,285	107
Canadian Tire Corporation, Limited	Non-Voting Shares Class A (Share Purchase Plan)	O'Brien, Susan M	5	2022-12-31	35	164.19	1,156	40
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Pershing, Johnathan Edward	5	2022-12-31	30	184.19	15	1
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Pershing, Johnathan Edward	5	2022-12-31	35	164.19	16	1
Canadian Tire Corporation, Limited	Non-Voting Shares Class A (CT Savings Plan)	Pershing, Johnathan Edward	5	2022-12-31	30	166.61	1,142	585
Canadian Tire Corporation, Limited	Non-Voting Shares Class A (CT Savings Plan)	Pershing, Johnathan Edward	5	2022-12-31	35	161.77	1,172	30
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Pira, Aayaz	7, 5	2022-12-31	30	177.16		180
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Pira, Aayaz	7, 5	2022-12-31	30	177.16		180
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Pira, Aayaz	7, 5	2022-12-31	30	177.16	294	180
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Pira, Aayaz	7, 5	2022-12-31	35	161.13	303	9
Canadian Tire Corporation, Limited	Non-Voting Shares Class A (CT Savings Plan)	Pira, Aayaz	7, 5	2022-12-31	30	159.59		109
Canadian Tire Corporation, Limited	Non-Voting Shares Class A (CT Savings Plan)	Pira, Aayaz	7, 5	2022-12-31	30	159.59		109
Canadian Tire Corporation, Limited	Non-Voting Shares Class A (CT Savings Plan)	Pira, Aayaz	7, 5	2022-12-31	35	156.76		2
Canadian Tire Corporation, Limited	Non-Voting Shares Class A (CT Savings Plan)	Pira, Aayaz	7, 5	2022-12-31	35	156.76		2
Canadian Tire Corporation, Limited	Non-Voting Shares Class A (CT Savings Plan)	Pira, Aayaz	7, 5	2022-12-31	30	159.59	120	109
Canadian Tire Corporation, Limited	Non-Voting Shares Class A (CT Savings Plan)	Pira, Aayaz	7, 5	2022-12-31	35	156.76	122	2
Canadian Tire Corporation, Limited	Non-Voting Shares Class A (CT Savings Plan)	Sheldon, Joseph Barry	7	2022-12-31	30	149.59	32	32
Canadian Tire Corporation, Limited	Non-Voting Shares Class A (CT Savings Plan)	Sheldon, Joseph Barry	7	2022-12-31	35	150.36	38	6
Canadian Western Bank	Common Shares	Ahmad, Vladimir	5	2022-12-31	30	29.45	7,133	1,394
Canadian Western Bank	Common Shares	Ahmad, Vladimir	5	2022-12-31	30	25.82	7,184	51
Canadian Western Bank	Options	Ahmad, Vladimir	5	2022-12-12	50		44,311	11,469
Canadian Western Bank	Rights Performance Share Units	Ahmad, Vladimir	5	2022-12-31	56		13,987	5,116
Canadian Western Bank	Rights Performance Share Units	Ahmad, Vladimir	5	2022-12-31	59		12,027	-1,960
Canadian Western Bank	Rights Performance Share Units	Ahmad, Vladimir	5	2022-12-31	56		13,113	1,086
Canadian Western Bank	Rights Performance Share Units	Ahmad, Vladimir	5	2022-12-31	59		10,543	-2,570
Canadian Western Bank	Common Shares	James, Supriya Anna Maria	5	2022-12-31	30	29.45	6,422	1,238

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Canadian Western Bank	Options	James, Supriya Anna Maria	5	2022-12-12	50		36,267	10,203
Canadian Western Bank	Rights Performance Share Units	James, Supriya Anna Maria	5	2022-12-31	56		10,303	4,467
Canadian Western Bank	Rights Restricted Share Units	James, Supriya Anna Maria	5	2022-12-31	56		4,042	943
Canadian Western Bank	Rights Restricted Share Units	James, Supriya Anna Maria	5	2022-12-31	59		1,977	-2,065
Canfor Corporation	Common Shares	Canfor Corporation	1	2023-03-10	38	23.508	148,300	29,700
Canfor Corporation	Common Shares	Canfor Corporation	1	2023-03-13	38	22.41	180,400	32,100
Canfor Corporation	Common Shares	Canfor Corporation	1	2023-03-14	38	22.433	211,300	30,900
Canfor Corporation	Common Shares	Canfor Corporation	1	2023-03-15	38	221.21	242,800	31,500
Cannara Biotech Inc.	Common Shares	Sherman, Brian	5	2021-12-07	50	0.18		1,000,000
Cannara Biotech Inc.	Common Shares	Sherman, Brian	5	2021-12-07	50	0.18		1,000,000
Canoe EIT Income Fund	Trust Units	Colic, Renata Monica	5	2022-12-31	30	10.33		1,937
Canoe EIT Income Fund	Trust Units	Colic, Renata Monica	5	2022-12-31	30	10.33		1,937
Canoe EIT Income Fund	Trust Units	Colic, Renata Monica	5	2022-12-31	30	10.33		1,937
Canoe EIT Income Fund	Trust Units	Colic, Renata Monica	5	2022-12-31	30	12.64		-1,937
Canoe EIT Income Fund	Trust Units	Colic, Renata Monica	5	2022-12-31	30	12.64		-1,937
Canoe EIT Income Fund	Trust Units	Colic, Renata Monica	5	2022-12-31	30	10.33	16,905	1,937
Canoe EIT Income Fund	Trust Units	Colic, Renata Monica	5	2022-12-31	30	10.53	14,968	-1,937
Canoe EIT Income Fund	Trust Units	Colic, Renata Monica	5	2022-12-31	30	12.64	16,905	1,937
Capitan Mining Inc.	Common Shares	Gentile, Michael	3	2023-03-10	10	0.197	12,825,000	179,000
Capitan Mining Inc.	Common Shares	Gentile, Michael	3	2023-03-13	10	0.202	13,000,000	175,000
Caravan Energy Corporation	Common Shares	Thurston, Brian	4	2022-05-04	00			
Caravan Energy Corporation	Options	Thurston, Brian	4	2022-05-04	00			
Cardinal Energy Ltd.	Common Shares	Brussa, John Albert	4	2023-03-15	10	6.6	339,000	20,000
Carlyle Commodities Corp.	Common Shares	Brown, Andrew Bruce	5	2023-01-27	00			
Carlyle Commodities Corp.	Common Shares	Brown, Andrew Bruce	5	2023-03-10	16	0.15	10,000	10,000
Cenovus Energy Inc.	Common Shares	Little, Melanie Anne	4	2023-01-01	00			
Cenovus Energy Inc.	Common Shares	Little, Melanie Anne	4	2023-03-16	10	15.42	1,000	1,000
Cenovus Energy Inc.	Common Shares	Pourbaix, Alex	4, 5	2023-03-16	10	21.36	1,277,938	25,000
Cenovus Energy Inc.	Common Shares	RAMSAY, Norrie Carson	5	2023-03-13	10	24.28	41,412	2,000
Cenovus Energy Inc.	Common Shares	RAMSAY, Norrie Carson	5	2023-03-15	10	21.435	50,112	8,700
Cenovus Energy Inc.	Common Shares	Sandhar, Karamjit Singh	5	2023-03-15	10	20.97	76,588	5,000
Centamin plc	Ordinary Shares	Horgan, Martin	4	2023-03-17	10	1.007	206,507	99,314
Centamin plc	Conditional Award	Jerrard, Ross	5	2023-03-14	30		1,781,000	-617,000
Cerrado Gold Inc. (formerly BB1 Acquisition Corp.)	Common Shares	Ball, David	5	2023-03-15	10	0.75	126,000	500
Cerrado Gold Inc. (formerly BB1 Acquisition Corp.)	Common Shares	Ball, David	5	2023-03-15	10	0.77	130,500	4,500

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Cerrado Gold Inc. (formerly BB1 Acquisition Corp.)	Common Shares	Brennan, Mark Peter	4	2023-03-15	47		4,653,839	-30,000
CERRO DE PASCO RESOURCES INC. (formerly Genius Properties Ltd.)	Common Shares	Alpha Capital Anstalt	3	2023-03-14	10	0.1161	52,885,256	-90,000
CES Energy Solutions Corp.	Restricted Share Units	Armour III, Spencer Davis	4	2023-03-14	56	2.8527	63,806	50,459
CES Energy Solutions Corp.	Restricted Share Units	Aulicino, Anthony Michael	5	2023-03-14	56	2.8527	1,696,710	552,867
CES Energy Solutions Corp.	Restricted Share Units	Aulicino, Anthony Michael	5	2023-03-15	59	2.849	1,595,345	-101,365
CES Energy Solutions Corp.	Common Shares	Baxter, Richard Lee	5	2023-03-15	57	2.849	1,018,945	101,365
CES Energy Solutions Corp.	Common Shares	Baxter, Richard Lee	5	2023-03-15	58 - Expiration of rights	2.849	976,732	-42,213
CES Energy Solutions Corp.	Restricted Share Units	Baxter, Richard Lee	5	2023-03-14	56	2.8527	1,889,952	552,867
CES Energy Solutions Corp.	Restricted Share Units	Baxter, Richard Lee	5	2023-03-15	57	2.849	1,788,587	-101,365
CES Energy Solutions Corp.	Restricted Share Units	Cosby, Stella	4	2023-03-14	56	2.8527	63,806	50,459
CES Energy Solutions Corp.	Common Shares	Disney, Vernon James	5	2023-03-15	57	2.849	1,294,741	101,365
CES Energy Solutions Corp.	Common Shares	Disney, Vernon James	5	2023-03-15	58 - Expiration of rights	2.849	1,251,916	-42,825
CES Energy Solutions Corp.	Restricted Share Units	Disney, Vernon James	5	2023-03-14	56	2.8527	1,889,952	552,867
CES Energy Solutions Corp.	Restricted Share Units	Disney, Vernon James	5	2023-03-15	57	2.849	1,788,587	-101,365
CES Energy Solutions Corp.	Common Shares	EdgePoint Investment Group Inc.	3	2022-01-21	00			
CES Energy Solutions Corp.	Common Shares	EdgePoint Investment Group Inc.	3	2022-01-21	00			
CES Energy Solutions Corp.	Common Shares	EdgePoint Investment Group Inc.	3	2023-03-09	10	2.91	118,577	118,577
CES Energy Solutions Corp.	Restricted Share Units	Hardacre, Ian Donald	4	2023-03-14	56	2.8527	63,960	50,459
CES Energy Solutions Corp.	Restricted Share Units	Hooks, John Michael	4	2023-03-14	56	2.8527	63,806	50,459
CES Energy Solutions Corp.	Restricted Share Units	Kitagawa, Kyle	4	2023-03-14	56	2.8527	63,806	50,459
CES Energy Solutions Corp.	Restricted Share Units	Scherman, Philip	4	2023-03-14	56	2.8527	63,806	50,459
CES Energy Solutions Corp.	Restricted Share Units	Wright, Edwin Joseph	4	2023-03-14	56	2.8527	63,960	50,459
CES Energy Solutions Corp.	Common Shares	Zinger, Kenneth Earl	5	2023-03-15	57	2.849	1,576,099	105,119
CES Energy Solutions Corp.	Common Shares	Zinger, Kenneth Earl	5	2023-03-15	58 - Expiration of rights	2.849	1,525,641	-50,458
CES Energy Solutions Corp.	Restricted Share Units	Zinger, Kenneth Earl	5	2023-03-14	56	2.8527	2,150,299	737,156
CES Energy Solutions Corp.	Restricted Share Units	Zinger, Kenneth Earl	5	2023-03-15	57	2.849	2,045,180	-105,119
CGI Inc.	Options	Labbé, Gilles	4	2023-03-13	51	26.62	18,792	-1,925
CGI Inc.	Options	Labbé, Gilles	4	2023-03-13	51	30.79	17,127	-1,665
CGI Inc.	Subordinate Voting Shares Classe A	Labbé, Gilles	4	2023-03-13	51	26.62	3,240	1,925

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
CGI Inc.	Subordinate Voting Shares Classe A	Labbé, Gilles	4	2023-03-13	10	123.31	1,315	-1,925
CGI Inc.	Subordinate Voting Shares Classe A	Labbé, Gilles	4	2023-03-13	51	30.79	2,980	1,665
CGI Inc.	Subordinate Voting Shares Classe A	Labbé, Gilles	4	2023-03-13	10	123.29	1,315	-1,665
Chartwell Retirement Residences	Rights Restricted Trust Units	Boulakia, Jonathan	5	2023-03-13	56	9.2457	162,459	58,535
Chartwell Retirement Residences	Rights Restricted Trust Units	Harris, Sheri Lynn	5	2023-03-13	56	9.2457	164,459	59,314
Chartwell Retirement Residences	Rights Restricted Trust Units	Sullivan, Karen Leslie	5	2023-03-13	56	9.2457	184,584	65,977
Chartwell Retirement Residences	Rights Restricted Trust Units	Volodarski, Vlad	4, 5	2023-03-13	56	9.2457	378,935	130,136
Choice Properties Real Estate Investment Trust	Trust Units	Currie, Gordon Andrew McDonald	4, 6	2023-03-13	30		4,776	39
Choice Properties Real Estate Investment Trust	Trust Units	Diamond, Rael Lee	5	2023-03-13	30		11,023	764
Choice Properties Real Estate Investment Trust	Trust Units	Radic, Ana	5	2023-03-13	30		9,019	495
Chorus Aviation Inc.	Class B Voting Shares	Osborne, Gary James	7	2023-02-24	10	3.14		2,000
Chorus Aviation Inc.	Class B Voting Shares	Osborne, Gary James	7	2023-02-24	99	3.14	2,970	2,000
Churchill Resources Inc.	Common Shares	Liu-Ernsting, Jessie	4	2022-06-16	00			
Churchill Resources Inc.	Common Shares	Liu-Ernsting, Jessie	4	2023-03-07	10	0.08	105,000	105,000
Churchill Resources Inc.	Common Shares	Liu-Ernsting, Jessie	4	2023-03-08	10	0.08	125,000	20,000
Cipher Pharmaceuticals Inc.	Common Shares	Mull, Craig	3, 4, 5	2019-03-26	00			
Cipher Pharmaceuticals Inc.	Common Shares	Mull, Craig	3, 4, 5	2022-06-30	97		9,566,150	9,566,150
Cipher Pharmaceuticals Inc.	Common Shares	Mull, John	3	2022-06-30	97		0	-9,436,150
Cloud DX Inc. (formerly Roosevelt Capital Group Inc.)	Common Shares	Kaul, Robert Manson	5	2023-03-15	16	0.14	7,419,160	500,000
Cloud DX Inc. (formerly Roosevelt Capital Group Inc.)	Common Shares	Miller, Brad	4, 6	2023-03-15	16	0.14	12,699,894	4,551,121
Cloud DX Inc. (formerly Roosevelt Capital Group Inc.)	Common Shares	Puri, Gaurav	4	2023-03-15	16	0.14	10,045,178	6,640,907
Cloud DX Inc. (formerly Roosevelt Capital Group Inc.)	Common Shares	Zachos, Constantine	4	2023-03-15	16	0.14	2,059,676	1,250,000
Coelacanth Energy Inc.	Common Shares	Vermilion Energy Inc.	3	2023-03-13	10	0.7259	63,157,490	17,000
Coelacanth Energy Inc.	Common Shares	Vermilion Energy Inc.	3	2023-03-14	10	0.7277	63,163,990	6,500
Coelacanth Energy Inc.	Common Shares	Vermilion Energy Inc.	3	2023-03-15	10	0.7046	63,244,990	81,000
Coelacanth Energy Inc.	Common Shares	Vermilion Energy Inc.	3	2023-03-16	10	0.7086	63,251,990	7,000
Coelacanth Energy Inc.	Common Shares	Vermilion Energy Inc.	3	2023-03-17	10	0.6962	63,300,490	48,500
Colabor Group Inc.	Common Shares	Bergeron, Danièle	4	2019-11-25	00			
Colabor Group Inc.	Common Shares	Bergeron, Danièle	4	2023-03-15	10	0.77	6,000	6,000
COLLECTIVE METALS INC. (Formerly Arctic Fox Minerals Corp.)	Common Shares	Chung, Paul	4	2023-03-13	37		30,000	-270,000
Computer Modelling Group Ltd.	Rights Deferred Share Units	Antony, Christine (Tina)	4	2023-03-15	30		10,155	69

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Computer Modelling Group Ltd.	Rights Deferred Share Units	Athaide, Judith	4	2023-03-15	30		26,182	178
Computer Modelling Group Ltd.	Rights Performance Share Units	Balic, Sandra	5	2023-03-15	30		21,514	115
Computer Modelling Group Ltd.	Rights Restricted Share Units	Balic, Sandra	5	2023-03-15	30		21,633	147
Computer Modelling Group Ltd.	Rights Deferred Share Units	Billowits, John Edward	4	2023-03-15	30		17,258	117
Computer Modelling Group Ltd.	Rights Deferred Share Units	Dedeluk, Kenneth Michael	4	2023-03-15	30		24,237	164
Computer Modelling Group Ltd.	Rights Deferred Share Units	Fong, Christopher Lee	4	2023-03-15	30		26,182	178
Computer Modelling Group Ltd.	Rights Performance Share Units	Hicks, Robert David	5	2023-03-15	30		21,514	115
Computer Modelling Group Ltd.	Rights Restricted Share Units	Hicks, Robert David	5	2023-03-15	30		13,421	50
Computer Modelling Group Ltd.	Rights Deferred Share Units	Kinash, Peter Harry	4	2023-03-15	30		28,649	194
Computer Modelling Group Ltd.	Rights Performance Share Units	Kumar, Anjani	5	2023-03-15	30		21,514	115
Computer Modelling Group Ltd.	Rights Restricted Share Units	Kumar, Anjani	5	2023-03-15	30		7,417	50
Computer Modelling Group Ltd.	Rights Deferred Share Units	Miller, Mark Robert	4	2023-03-15	30		30,452	207
Computer Modelling Group Ltd.	Common Shares	Mortimer, John Andrew	5	2022-11-01	00			
Computer Modelling Group Ltd.	Common Shares	Mortimer, John Andrew	5	2023-03-13	10	7.06	7,000	7,000
Computer Modelling Group Ltd.	Rights Performance Share Units	Nghiem, Long X	5	2023-03-15	30		21,514	115
Computer Modelling Group Ltd.	Rights Restricted Share Units	Nghiem, Long X	5	2023-03-15	30		7,417	50
Consolidated Uranium Inc.	Common Shares	Raguz, Mark	4	2023-03-15	10	1.185	237,979	20,000
Contact Gold Corp.	Common Shares	Dorward, John Andrew	4	2023-03-01	16	0.02	10,760,000	5,000,000
Contact Gold Corp.	Warrants	Dorward, John Andrew	4	2023-03-01	16	0.05	7,250,000	5,000,000
Copper Road Resources Inc.	Common Shares	Waring, Michael James	4	2023-03-14	10	0.1118	1,549,500	33,000
Copper Road Resources Inc.	Common Shares	Waring, Michael James	4	2023-03-14	10	0.1265	1,568,500	19,000
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Alonso, Juan	4, 5	2022-12-12	35	16.901	219	1
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Alonso, Juan	4, 5	2022-12-23	30	16.58	311	92
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Alonso, Juan	4, 5	2023-01-23	30	16.597	403	92
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Alonso, Juan	4, 5	2023-02-23	30	15.914	499	96
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Cote, Stephane	5	2022-12-12	35	16.901	9,693	123
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Cote, Stephane	5	2022-12-23	30	16.58	9,879	186
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Cote, Stephane	5	2023-01-23	30	16.597	10,064	185
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Cote, Stephane	5	2023-02-23	30	15.914	10,258	194

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Di Clemente, Lucio	4	2022-11-09	00			
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Di Clemente, Lucio	4	2022-12-23	30	16.58	188	188
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Krantz, Nicolas	4, 5	2022-12-12	35	16.901	3,142	38
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Krantz, Nicolas	4, 5	2022-12-23	30	16.58	3,275	133
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Krantz, Nicolas	4, 5	2023-01-23	30	16.597	3,408	133
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Krantz, Nicolas	4, 5	2023-02-23	30	15.914	3,546	138
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	McCarthy, George	4	2022-12-12	35	16.901	34,564	334
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	McCarthy, George	4	2022-12-23	30	16.58	34,865	301
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Nielsen, Patricia	4	2022-12-23	30	16.58	27,876	188
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Reidel, Helga	4	2022-12-12	35	16.901	873	11
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Reidel, Helga	4	2022-12-23	30	16.58	1,061	188
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Smith, Ryan Thomas Joseph	5	2023-02-01	00		342	
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Smith, Ryan Thomas Joseph	5	2023-02-23	30	15.914	478	136
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Thorne, Mark	5	2022-12-12	35	16.901	7,920	99
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Thorne, Mark	5	2022-12-23	30	16.58	8,101	181
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Valencia, Marc Andrew	5	2022-12-12	35	16.901	47,264	605
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Valencia, Marc Andrew	5	2022-12-23	30	16.58	47,476	212
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Valencia, Marc Andrew	5	2023-01-23	30	16.597	47,688	212
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Valencia, Marc Andrew	5	2023-02-23	30	15.914	47,910	222
Cosa Resources Corp.	Common Shares	Carmichael, Andrew Cameron	5	2023-02-01	00		1,050,000	
Crew Energy Inc.	Common Shares	Brussa, John Albert	4	2023-03-10	57	5.21	1,634,036	12,667
Crew Energy Inc.	Common Shares	Brussa, John Albert	4	2023-03-10	10	5.21	1,627,019	-7,017
Crew Energy Inc.	Common Shares	Brussa, John Albert	4	2023-03-15	10	4.53	598,500	10,000
Crew Energy Inc.	Restricted Awards	Brussa, John Albert	4	2023-03-10	57		138,833	-12,667
Crew Energy Inc.	Common Shares	Hannon, Gail	4	2023-03-10	57	5.213	70,855	12,667
Crew Energy Inc.	Common Shares	Hannon, Gail	4	2023-03-10	10	5.213	64,612	-6,243

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Crew Energy Inc.	Restricted Awards	Hannon, Gail	4	2023-03-10	57		35,333	-12,667
Crew Energy Inc.	Common Shares	Nielsen, Karen	4	2023-03-10	57	5.213	210,926	12,667
Crew Energy Inc.	Common Shares	Nielsen, Karen	4	2023-03-10	10	5.213	203,909	-7,017
Crew Energy Inc.	Restricted Awards	Nielsen, Karen	4	2023-03-10	57		100,833	-12,667
Crombie Real Estate Investment Trust	Trust Units Special Voting	Empire Company Limited	3	2023-03-15	30	15.137	73,303,159	89,769
Crombie Real Estate Investment Trust	Rights Performance Units	Holly, Mark	4, 5	2023-03-01	00			
Crombie Real Estate Investment Trust	Rights Performance Units	Holly, Mark	4, 5	2023-03-01	56		16,973	16,973
Crombie Real Estate Investment Trust	Rights Restricted Units	Holly, Mark	4, 5	2023-03-01	00			
Crombie Real Estate Investment Trust	Rights Restricted Units	Holly, Mark	4, 5	2023-03-01	56		16,973	16,973
Cross River Ventures Corp.	Common Shares	Clarke, Thomas William	5	2023-03-16	00			
Cross River Ventures Corp.	Common Shares	Clarke, Thomas William	5	2023-03-16	00		20,000	
Cross River Ventures Corp.	Options	Clarke, Thomas William	5	2023-03-16	00			
Cross River Ventures Corp.	Warrants	Clarke, Thomas William	5	2023-03-16	00			
Crown Capital Partners Inc.	Common Shares	Lee-Chin, Michael	3	2023-03-10	10	8.2	616,400	400
Crown Capital Partners Inc.	Common Shares	Lee-Chin, Michael	3	2023-03-13	10	8.2	616,700	300
Crown Capital Partners Inc.	Common Shares	Lee-Chin, Michael	3	2023-03-15	10	8.2	618,000	1,300
Cruz Battery Metals Corp.	Common Shares	Adam, Negar	4	2023-03-09	57			-20,000
Cruz Battery Metals Corp.	Common Shares	Adam, Negar	4	2023-03-09	57		80,000	20,000
Cruz Battery Metals Corp.	Rights Restricted share units	Adam, Negar	4	2023-03-09	57			20,000
Cruz Battery Metals Corp.	Rights Restricted share units	Adam, Negar	4	2023-03-09	57		20,000	-20,000
Cruz Battery Metals Corp.	Common Shares	Bain, George Franklin	4	2023-03-09	57		80,000	20,000
Cruz Battery Metals Corp.	Rights Restricted share units	Bain, George Franklin	4	2023-03-09	57		20,000	-20,000
Cruz Battery Metals Corp.	Common Shares	CAI, YANGPING	5	2023-03-09	57		2,151,500	100,000
Cruz Battery Metals Corp.	Rights Restricted share units	CAI, YANGPING	5	2023-03-09	57		768,000	-100,000
Cruz Battery Metals Corp.	Common Shares	Kay, Seth	4	2023-03-09	57		1,782,000	100,000
Cruz Battery Metals Corp.	Rights Restricted share units	Kay, Seth	4	2023-03-09	57		888,000	-100,000
Cruz Battery Metals Corp.	Common Shares	Nelson, James	4	2023-03-08	57			100,000
Cruz Battery Metals Corp.	Common Shares	Nelson, James	4	2023-03-09	57		1,633,500	100,000
Cruz Battery Metals Corp.	Rights Restricted share units	Nelson, James	4	2023-03-09	57		888,000	-100,000
CT Real Estate Investment Trust	Performance Units	Gibson, Lesley P.	5	2023-03-09	56	16.602	67,746	17,618
CT Real Estate Investment Trust	Performance Units	Graham, Kimberley	5	2023-03-09	56	16.602	45,004	11,826
CT Real Estate Investment Trust	Performance Units	Salsberg, Kevin Mark	4, 5	2023-03-09	56	16.602	120,521	47,434

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
CT Real Estate Investment Trust	Performance Units	Shpigel, Jodi	5	2023-03-09	56	16.602	23,426	12,257
CubicFarm Systems Corp.	Common Shares	Ospraie Ag Science LLC	3	2023-03-13	10	0.055	27,299,630	-200,000
Cult Food Science Corp. (formerly Triangle Industries Ltd.)	Common Shares	Lustig, Marc	3	2023-03-14	00			
Cult Food Science Corp. (formerly Triangle Industries Ltd.)	Common Shares	Lustig, Marc	3	2023-03-14	11	0.025	27,518,900	27,518,900
Cult Food Science Corp. (formerly Triangle Industries Ltd.)	Warrants	Lustig, Marc	3	2023-03-14	00			
Cult Food Science Corp. (formerly Triangle Industries Ltd.)	Warrants	Lustig, Marc	3	2023-03-14	11	0.002	30,725,000	30,725,000
CWC Energy Services Corp.	Common Shares	Au, Duncan Tak-Yan	4, 5	2023-03-13	57	0.205		968,000
CWC Energy Services Corp.	Common Shares	Au, Duncan Tak-Yan	4, 5	2023-03-15	57	0.2	9,475,500	968,000
CWC Energy Services Corp.	Restricted Share Units	Au, Duncan Tak-Yan	4, 5	2023-03-13	57	0.205		-968,000
CWC Energy Services Corp.	Restricted Share Units	Au, Duncan Tak-Yan	4, 5	2023-03-15	57	0.2	2,278,000	-968,000
Cybin Inc.	Common Shares	Greene, Brett	5	2023-03-14	36		2,887,222	2,387,222
Cybin Inc.	Subsidiary shares exchangeable into Common Shares	Greene, Brett	5	2023-03-14	36		144,353	-238,722
DataMetrex AI Limited (previously, Everfront Ventures Corp.)	Common Shares	Sharif, Omar	5	2023-03-13	10	0.1	2,423,513	10,000
DataMetrex AI Limited (previously, Everfront Ventures Corp.)	Common Shares	Sharif, Omar	5	2023-03-14	10	0.1	2,467,828	44,315
Definity Financial Corporation	Deferred Share Units	Ince, Donna Marie	5	2022-09-07	00			
Definity Financial Corporation	Deferred Share Units	Ince, Donna Marie	5	2023-03-16	56		1,332	1,332
Definity Financial Corporation	Deferred Share Units	Mather, Philip John	5	2023-03-16	56		20,646	10,067
Definity Financial Corporation	Deferred Share Units	McFarlane, Liam Michael	5	2023-03-16	56		21,514	10,273
Definity Financial Corporation	Deferred Share Units	Pelino, Brigid V.	5	2023-03-16	56		11,633	4,413
Definity Financial Corporation	Deferred Share Units	Reikman, Toomas Peeter	5	2023-03-16	56		4,180	1,998
Definity Financial Corporation	Deferred Share Units	Richenberger, Fabian	5	2023-03-16	56		11,119	5,734
Delta 9 Cannabis Inc.	Common Shares	Starkey, Stuart Michael	4	2022-12-19	00		2,179,872	
Delta 9 Cannabis Inc.	Warrants	Starkey, Stuart Michael	4	2022-12-19	00		693,182	
Denison Mines Corp.	Options	An, Byeong Min	4	2023-03-09	00			
Denison Mines Corp.	Options	An, Byeong Min	4	2023-03-13	50	1.49	60,000	60,000
Denison Mines Corp.	Restricted Share Units	An, Byeong Min	4	2023-03-09	00			
Denison Mines Corp.	Restricted Share Units	An, Byeong Min	4	2023-03-13	56		42,000	42,000
Denison Mines Corp.	Options	Cates, David Daniel	4, 5	2023-03-13	50	1.49	2,968,000	360,000
Denison Mines Corp.	Restricted Share Units	Cates, David Daniel	4, 5	2023-03-13	56		2,683,250	253,000
Denison Mines Corp.	Options	Edgar, Brian Douglas	4	2023-03-13	50		263,000	60,000
Denison Mines Corp.	Restricted Share Units	Edgar, Brian Douglas	4	2023-03-13	56		261,000	42,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Denison Mines Corp.	Options	Himbeault, Kevin	5	2023-03-13	50	1.49	175,000	99,000
Denison Mines Corp.	Restricted Share Units	Himbeault, Kevin	5	2023-03-13	56		121,000	70,000
Denison Mines Corp.	Options	Hochstein, Ronald F.	4	2023-03-13	50	1.49	263,000	60,000
Denison Mines Corp.	Restricted Share Units	Hochstein, Ronald F.	4	2023-03-13	56		144,667	42,000
Denison Mines Corp.	Options	McDonald, Gabriel Ernst	5	2023-03-13	50		1,845,000	174,000
Denison Mines Corp.	Restricted Share Units	McDonald, Gabriel Ernst	5	2023-03-13	56		1,708,750	122,000
Denison Mines Corp.	Options	Neuburger, David Mark	4	2023-03-13	50	1.49	263,000	60,000
Denison Mines Corp.	Restricted Share Units	Neuburger, David Mark	4	2023-03-13	56		132,000	42,000
Denison Mines Corp.	Options	Sidle, Elizabeth	5	2023-03-13	50		201,000	98,000
Denison Mines Corp.	Restricted Share Units	Sidle, Elizabeth	5	2023-03-13	56		176,500	69,000
Denison Mines Corp.	Options	Traub, Jennifer June	4	2023-03-13	50		263,000	60,000
Denison Mines Corp.	Restricted Share Units	Traub, Jennifer June	4	2023-03-13	56		158,000	42,000
Denison Mines Corp.	Options	Volker, Patricia Mary	4	2023-03-13	50	1.49	263,000	60,000
Denison Mines Corp.	Restricted Share Units	Volker, Patricia Mary	4	2023-03-13	56		179,334	42,000
Denison Mines Corp.	Options	Willett, Amanda	5	2023-03-13	50	1.49	267,000	95,000
Denison Mines Corp.	Restricted Share Units	Willett, Amanda	5	2023-03-13	56		449,250	67,000
Desert Mountain Energy Corp.	Common Shares	Stout, Weldon	4	2023-03-13	10	1.514	283,962	2,800
Dexterra Group Inc.	Common Shares	Garden, Mary	4	2023-03-14	10	5.35	55,849	3,895
Dexterra Group Inc.	Common Shares	Landy, Simon	4	2023-03-14	10	5.3	59,166	10,000
DFR Gold Inc. (formerly Diamond Fields Resources Inc.)	Options	Reading, David	4	2023-02-06	52		1,100,000	-400,000
Diagnamed Holdings Corp.	Common Shares	Chianelli, Fabio	4, 5	2023-03-14	10	0.06	6,680,000	20,000
Diagnamed Holdings Corp.	Common Shares	Chianelli, Fabio	4, 5	2023-03-15	10	0.05	6,694,117	14,117
Diagnamed Holdings Corp.	Common Shares	Chianelli, Fabio	4, 5	2023-03-16	10	0.04	6,719,117	25,000
Digihost Technology Inc.	Subordinate Voting Shares	Amar, Michel Thierry	3, 4, 5	2023-03-09	10	1.1884	946,552	17,575
Diversified Royalty Corp.	Restricted Share Units (Common Shares)	Chouinard, Roger	4	2023-03-17	56	3.0908	33,378	16,177
Diversified Royalty Corp.	Restricted Share Units (Common Shares)	Ciampi, Johnny	4	2023-03-17	56	3.0908	111,054	16,177
Diversified Royalty Corp.	Restricted Share Units (Common Shares)	Herdler, Garry Peter	4	2023-03-13	57		105,240	-5,059
Diversified Royalty Corp.	Restricted Share Units (Common Shares)	Herdler, Garry Peter	4	2023-03-17	56	3.0908	121,417	16,177
Diversified Royalty Corp.	Restricted Share Units (Common Shares)	Smith, Kevin Ross	4	2023-03-17	56	3.0908	46,505	16,177
Dore Copper Mining Corp.	Common Shares	Mast, Ernest Mast	4, 5	2023-03-13	10	0.253	1,829,800	100,000
Dore Copper Mining Corp.	Common Shares	Nelson, Gavin Wesley Millar	5	2019-12-02	00			
Dore Copper Mining Corp.	Common Shares	Nelson, Gavin Wesley Millar	5	2023-03-10	10	0.27	25,000	25,000
Dream Impact Trust	Units	Cooper, Michael	7, 5	2023-03-10	10	3.289	14,911,568	11,100
Dream Impact Trust	Units	Cooper, Michael	7, 5	2023-03-14	10	3.2463	14,941,568	30,000
Dream Impact Trust	Units	Dream Asset Management Corporation	5	2023-03-10	10	3.289	15,149,185	11,100
Dream Impact Trust	Units	Dream Asset Management Corporation	5	2023-03-14	10	3.2463	15,179,185	30,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Dream Office Real Estate Investment Trust	Rights deferred trust units	Bhalla, Amar	4	2022-12-31	30		4,895	106
Dream Office Real Estate Investment Trust	Rights deferred trust units	Charter, Donald Kinloch	4, 6	2022-12-31	30		112,584	5,205
Dream Office Real Estate Investment Trust	Rights deferred trust units	Cooper, Michael	4, 7, 5	2022-12-31	30		476,795	22,984
Dream Office Real Estate Investment Trust	Rights deferred trust units	GAVAN, JANE	4	2022-12-31	30		1,329	138
Dream Office Real Estate Investment Trust	Rights deferred trust units	Jiang, Jay Zhijiang	5	2022-12-31	30		95,201	4,454
Dream Office Real Estate Investment Trust	Rights deferred trust units	MacIndoe, Karine	4	2022-12-31	30		55,974	2,657
Dream Office Real Estate Investment Trust	Rights deferred trust units	Tang, Qi	4	2022-12-31	30		11,640	388
Dream Office Real Estate Investment Trust	Rights deferred trust units	Wadley, Gordon	5	2022-12-31	30		90,601	4,231
DRI Healthcare Trust	Rights Restricted Units	Anastasopoulos, Chris	5	2022-10-20	30		14,712	181
DRI Healthcare Trust	Rights Restricted Units	Anastasopoulos, Chris	5	2023-01-20	30		14,906	194
DRI Healthcare Trust	Rights Restricted Units	Busbridge, Stewart Michael	5	2022-07-20	30		36,125	477
DRI Healthcare Trust	Rights Restricted Units	Busbridge, Stewart Michael	5	2022-10-20	30		36,577	452
DRI Healthcare Trust	Rights Restricted Units	Busbridge, Stewart Michael	5	2023-01-20	30		37,059	482
DRI Healthcare Trust	Rights Restricted Units	Coeytaux, Emmanuel	5	2022-10-20	30		50,625	625
DRI Healthcare Trust	Rights Restricted Units	Coeytaux, Emmanuel	5	2023-01-20	30		51,292	667
DRI Healthcare Trust	Rights Restricted Units	Farahmand, Babak	7	2022-10-20	30		14,712	181
DRI Healthcare Trust	Rights Restricted Units	Farahmand, Babak	7	2023-01-20	30		14,906	194
DRI Healthcare Trust	Rights Restricted Units	Jacob, Navin	7	2023-01-20	30		145,634	1,894
Dundee Precious Metals Inc.	Common Shares	Gillin, Robert Peter Charles	4	2023-03-14	51	3.28		22,500
Dundee Precious Metals Inc.	Common Shares	Gillin, Robert Peter Charles	4	2023-03-14	51	3.28		22,500
Dundee Precious Metals Inc.	Common Shares	Gillin, Robert Peter Charles	4	2023-03-14	10	9.11		-22,500
Dundee Precious Metals Inc.	Common Shares	Gillin, Robert Peter Charles	4	2023-03-14	10	9.11		-22,500
Dundee Precious Metals Inc.	Common Shares	Gillin, Robert Peter Charles	4	2023-03-14	10	9.13	5,000	-10,000
Dundee Precious Metals Inc.	Common Shares	Gillin, Robert Peter Charles	4	2023-03-14	51	3.28	15,000	10,000
Dundee Precious Metals Inc.	Options	Gillin, Robert Peter Charles	4	2023-03-14	51	3.28		-22,500
Dundee Precious Metals Inc.	Options	Gillin, Robert Peter Charles	4	2023-03-14	51	3.28		-22,500
Dundee Precious Metals Inc.	Options	Gillin, Robert Peter Charles	4	2023-03-14	51	3.28	40,060	-10,000
Dundee Precious Metals Inc.	Common Shares	Kasete, Zebra Karirondua	7	2023-03-14	10	9.11	-22,500	-22,500
Dundee Precious Metals Inc.	Common Shares	Kasete, Zebra Karirondua	7	2023-03-14	51	3.28	0	22,500
Dundee Precious Metals Inc.	Options	Kasete, Zebra Karirondua	7	2023-03-14	51	3.28	68,600	-22,500
Dundee Precious Metals Inc.	Common Shares	Tawil, Marie-Anne	4	2023-03-13	10	9.22	-4,986	-10,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Dundee Precious Metals Inc.	Common Shares	Tawil, Marie-Anne	4	2023-03-13	51	3.28	5,014	10,000
Dundee Precious Metals Inc.	Options	Tawil, Marie-Anne	4	2023-03-13	51	3.28	36,021	-10,000
Dynacor Gold Mines Inc.	Common Shares	Chave, Philippe	4	2020-08-12	00			
Dynacor Gold Mines Inc.	Common Shares	Chave, Philippe	4	2020-08-12	00			
Dynacor Gold Mines Inc.	Common Shares	Chave, Philippe	4	2020-08-12	00		950,175	
Edgemont Gold Corp.	Common Shares	Campbell, Joseph William	4	2023-03-02	37		525,000	-525,000
Edgemont Gold Corp.	Options	Campbell, Joseph William	4	2023-03-02	37	0.12	125,000	-125,000
Edgewater Wireless Systems Inc.	Common Shares	Imrie, Brian Crompton	4	2023-03-10	97	0.0545	2,100,398	2,262
Eguana Technologies Inc.	Common Shares	ITOCHU Corporation	3	2023-03-13	54	0.2	50,680,664	16,666,666
Eguana Technologies Inc.	Common Shares	ITOCHU Corporation	3	2023-03-13	36		54,923,281	4,242,617
Eguana Technologies Inc.	Warrants	ITOCHU Corporation	3	2023-03-13	54		0	-16,666,666
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Options	Cardoso, Renata de Araujo Bezerra	5	2023-03-10	50	2.4	66,996	32,552
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Rights Deferred Share Units	Cardoso, Renata de Araujo Bezerra	5	2022-04-11	00			
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Rights Deferred Share Units	Cardoso, Renata de Araujo Bezerra	5	2023-03-10	56		15,625	15,625
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Rights Restricted Share Units	Cardoso, Renata de Araujo Bezerra	5	2022-04-11	00			
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Rights Restricted Share Units	Cardoso, Renata de Araujo Bezerra	5	2023-03-10	56		2,083	2,083
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Options	Cunningham, Craig	5	2023-03-10	50	2.4	87,552	32,552
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Rights Deferred Share Units	Cunningham, Craig	5	2022-06-07	00			
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Rights Deferred Share Units	Cunningham, Craig	5	2023-03-10	56		15,625	15,625
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Rights Restricted Share Units	Cunningham, Craig	5	2023-03-10	56		2,083	2,083
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Rights Deferred Share Units	INSULAN, MICHAEL	5	2023-03-10	56		45,475	41,667
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Rights Restricted Share Units	INSULAN, MICHAEL	5	2021-04-01	00			

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Rights Restricted Share Units	INSULAN, MICHAEL	5	2023-03-10	56		18,750	18,750
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Rights Deferred Share Units	Macdonald, Garett	4	2023-03-10	56		45,308	16,667
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Options	Marshall, David	5	2023-03-10	50	2.4	77,552	32,552
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Rights Deferred Share Units	Marshall, David	5	2022-06-21	00			
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Rights Deferred Share Units	Marshall, David	5	2023-03-10	56		15,625	15,625
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Rights Restricted Share Units	Marshall, David	5	2022-06-21	00			
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Rights Restricted Share Units	Marshall, David	5	2023-03-10	56		2,083	2,083
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Options	Mell, Trent	5	2023-03-10	50	2.4	382,869	173,611
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Rights Deferred Share Units	Mell, Trent	5	2023-03-10	56		114,563	83,333
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Rights Restricted Share Units	Mell, Trent	5	2023-03-10	56		102,490	97,917
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Rights Deferred Share Units	Otter, Clement Leroy Butch	4	2023-03-10	56		58,312	16,667
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Rights Deferred Share Units	Pollesel, John Joseph	4	2023-03-10	56		99,194	33,333
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Options	Racanelli, Joseph	5	2023-03-10	50	2.4	66,996	32,552
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Rights Deferred Share Units	Racanelli, Joseph	5	2022-05-24	00			
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Rights Deferred Share Units	Racanelli, Joseph	5	2023-03-10	56		15,625	15,625
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Rights Restricted Share Units	Racanelli, Joseph	5	2022-05-24	00			
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Rights Restricted Share Units	Racanelli, Joseph	5	2023-03-10	56		2,083	2,083

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Options	Trevisiol, Mark Steven	5	2023-03-10	50	2.4	117,569	52,083
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Rights Deferred Share Units	Trevisiol, Mark Steven	5	2023-03-10	56		28,808	25,000
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Rights Restricted Share Units	Trevisiol, Mark Steven	5	2023-03-10	56		53,472	39,583
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Rights Deferred Share Units	Uthayakumar, Vasuki Susan	4	2023-03-10	56		43,798	16,667
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Options	Watts, Regan Patrick	5	2023-03-10	50	2.4	32,118	21,701
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Rights Deferred Share Units	Watts, Regan Patrick	5	2023-03-10	56		12,657	10,417
Electra Battery Materials Corporation (formerly "First Cobalt Corp.")	Rights Restricted Share Units	Watts, Regan Patrick	5	2023-03-10	56		8,102	6,250
Electric Royalties Ltd. (formerly Rebel Capital Inc.)	Common Shares	Gleason, Stefan	3	2023-03-15	10	0.232	4,375,206	41,912
Electric Royalties Ltd. (formerly Rebel Capital Inc.)	Common Shares	Gleason, Stefan	3	2023-03-16	10	0.2315	4,433,294	58,088
Electric Royalties Ltd. (formerly Rebel Capital Inc.)	Common Shares	Gleason, Stefan	3	2023-03-17	10	0.23	4,444,194	10,900
Electrovaya Inc.	Options	Dang, Jeremy	8	2022-06-24	50	0.57		60,000
Electrovaya Inc.	Options	Dang, Jeremy	8	2022-06-24	50	0.57		60,000
Electrovaya Inc.	Options	Dang, Jeremy	8	2023-01-01	00			
Electrovaya Inc.	Options	Dang, Jeremy	8	2023-01-01	00		110,000	
Element 29 Resources Inc.	Common Shares	Johnston, Paul	5	2023-03-13	57		1,511,000	62,500
Element 29 Resources Inc.	RSU	Johnston, Paul	5	2023-03-13	57		62,500	-62,500
Element 29 Resources Inc.	Common Shares	Montoya, Manuel	6	2023-03-13	57		1,562,833	12,500
Element 29 Resources Inc.	RSU	Montoya, Manuel	6	2023-03-13	57		12,500	-12,500
Element 29 Resources Inc.	Common Shares	Osmond, Richard	4	2023-03-13	57		1,300,835	87,500
Element 29 Resources Inc.	Common Shares	Osmond, Richard	4	2023-03-17	10	0.22	1,317,335	16,500
Element 29 Resources Inc.	Common Shares	Osmond, Richard	4	2023-03-17	10	0.225	1,325,835	8,500
Element 29 Resources Inc.	RSU	Osmond, Richard	4	2023-03-13	57		87,500	-87,500
Element Fleet Management Corp. (formerly Element Financial Corporation)	Deferred Share Units	Addicott, Virginia Claire	4	2023-03-15	56	18.982	37,076	2,897
Element Fleet Management Corp. (formerly Element Financial Corporation)	Deferred Share Units	Clarke, Andrew	4	2023-03-15	56	18.982	91,340	2,897

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Element Fleet Management Corp. (formerly Element Financial Corporation)	Performance Share Units	Colman, David	5	2023-03-15	56	18.982	71,382	20,298
Element Fleet Management Corp. (formerly Element Financial Corporation)	Restricted Share Units	Colman, David	5	2023-03-15	56	18.982	23,794	6,766
Element Fleet Management Corp. (formerly Element Financial Corporation)	Deferred Share Units	Denison, David Francis	4	2023-03-15	56	18.982	142,705	5,070
Element Fleet Management Corp. (formerly Element Financial Corporation)	Common Shares	Dottori-Attanasio, Laura Lee	5	2023-03-13	10	18.169	89,300	10,900
Element Fleet Management Corp. (formerly Element Financial Corporation)	Performance Share Units	Dottori-Attanasio, Laura Lee	5	2023-02-15	00			
Element Fleet Management Corp. (formerly Element Financial Corporation)	Performance Share Units	Dottori-Attanasio, Laura Lee	5	2023-03-15	56	18.982	177,796	177,796
Element Fleet Management Corp. (formerly Element Financial Corporation)	Restricted Share Units	Dottori-Attanasio, Laura Lee	5	2023-02-15	00			
Element Fleet Management Corp. (formerly Element Financial Corporation)	Restricted Share Units	Dottori-Attanasio, Laura Lee	5	2023-03-15	56	18.982	59,265	59,265
Element Fleet Management Corp. (formerly Element Financial Corporation)	Performance Share Units	Forbes, Jay A.	4, 5	2023-03-15	56	18.982	757,743	118,531
Element Fleet Management Corp. (formerly Element Financial Corporation)	Restricted Share Units	Forbes, Jay A.	4, 5	2023-03-15	56	18.982	252,581	39,510
Element Fleet Management Corp. (formerly Element Financial Corporation)	Performance Share Units	Gittens, Christopher Michael Wyndham	5	2023-03-15	56	18.982	71,117	23,336
Element Fleet Management Corp. (formerly Element Financial Corporation)	Restricted Share Units	Gittens, Christopher Michael Wyndham	5	2023-03-15	56	18.982	23,705	7,779
Element Fleet Management Corp. (formerly Element Financial Corporation)	Deferred Share Units	Graham, George Keith	4	2023-03-15	56	18.982	64,258	1,613
Element Fleet Management Corp. (formerly Element Financial Corporation)	Common Shares	Halliday, James	5	2023-03-10	51	13.06	118,665	33,664
Element Fleet Management Corp. (formerly Element Financial Corporation)	Common Shares	Halliday, James	5	2023-03-10	10	18.5	85,001	-33,664
Element Fleet Management Corp. (formerly Element Financial Corporation)	Options	Halliday, James	5	2023-03-10	51	13.06	621,920	-33,664
Element Fleet Management Corp. (formerly Element Financial Corporation)	Performance Share Units	Halliday, James	5	2023-03-15	56	18.982	217,181	75,113

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Element Fleet Management Corp. (formerly Element Financial Corporation)	Restricted Share Units	Halliday, James	5	2023-03-15	56	18.982	68,888	25,038
Element Fleet Management Corp. (formerly Element Financial Corporation)	Performance Share Units	Kaufman, Israel	5	2023-03-15	56	18.982	74,785	23,101
Element Fleet Management Corp. (formerly Element Financial Corporation)	Restricted Share Units	Kaufman, Israel	5	2023-03-15	56	18.982	24,929	7,700
Element Fleet Management Corp. (formerly Element Financial Corporation)	Deferred Share Units	Lamm-Tennant, Joan	4	2023-03-15	56	18.982	215,151	3,227
Element Fleet Management Corp. (formerly Element Financial Corporation)	Performance Share Units	Madrigal Gonzalez, Carlos David	5	2023-03-15	56	18.982	125,177	48,634
Element Fleet Management Corp. (formerly Element Financial Corporation)	Restricted Share Units	Madrigal Gonzalez, Carlos David	5	2023-03-15	56	18.982	41,725	16,211
Element Fleet Management Corp. (formerly Element Financial Corporation)	Deferred Share Units	McDougal, Rubin Jay	4	2023-03-15	56	18.982	96,760	3,227
Element Fleet Management Corp. (formerly Element Financial Corporation)	Performance Share Units	McGillivray, Jacqueline Angela Thomson	5	2023-03-15	56	18.982	86,366	22,299
Element Fleet Management Corp. (formerly Element Financial Corporation)	Restricted Share Units	McGillivray, Jacqueline Angela Thomson	5	2023-03-15	56	18.982	28,788	7,433
Element Fleet Management Corp. (formerly Element Financial Corporation)	Deferred Share Units	Meloul-Wechsler, Arielle	4	2023-03-15	56	18.982	27,347	2,897
Element Fleet Management Corp. (formerly Element Financial Corporation)	Deferred Share Units	Rosen, Andrea Sarah	4	2023-03-15	56	18.982	67,835	2,897
Element Fleet Management Corp. (formerly Element Financial Corporation)	Performance Share Units	Ruperto, Frank	5	2023-03-15	56	18.982	255,210	86,529
Element Fleet Management Corp. (formerly Element Financial Corporation)	Restricted Share Units	Ruperto, Frank	5	2023-03-15	56	18.982	210,663	28,843
Emperor Metals Inc.	Common Shares	Horsley, Nigel Alexander	4, 5	2023-03-15	10	0.135	3,327,500	10,500
Emperor Metals Inc.	Common Shares	Horsley, Nigel Alexander	4, 5	2023-03-16	10	0.135	3,337,500	10,000
Emperor Metals Inc.	Common Shares	Horsley, Nigel Alexander	4, 5	2023-03-16	10	0.14	3,347,500	10,000
Enbridge Inc.	Rights 2021 Restricted Share Units (RSUs)	Yu, Vernon Dai-Chung	5	2023-03-17	59	53.96	45,425	-10,225
Endurance Gold Corporation	Warrants	Boyd, Robert T.	4, 5	2023-03-12	55		48,750	-32,500
Endurance Gold Corporation	Warrants	Pease, Robert	4	2023-03-12	55	0.55	31,250	-42,500
Enerflex Ltd.	Rights Restricted Share Units	Bishnoi, Sanjay	5	2023-03-13	56		151,335	38,987
Enerflex Ltd.	Rights Restricted Share Units	Izett, David Hamilton	5	2023-03-13	56		89,902	28,135

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Enerflex Ltd.	Rights Deferred Share Units (cash settled)	Pyle, Philip Antoni John	5	2023-03-13	56		68,361	10,652
Enerflex Ltd.	Rights Restricted Share Units	Rossiter, Marc Edward	5	2023-03-13	56		466,034	107,181
Enerplus Corporation	Common Shares	DUNDAS, Ian Charles	5	2023-03-15	10	18.63	329,352	5,000
Enerplus Corporation	Common Shares	DUNDAS, Ian Charles	5	2023-03-15	10	18.36	334,352	5,000
Enerplus Corporation	Common Shares	DUNDAS, Ian Charles	5	2023-03-15	10	18.153	339,352	5,000
Enghouse Systems Limited	Options Employee Stock Option	Floujeh, Bobby Baback	7	2023-03-14	00			
Enghouse Systems Limited	Options Employee Stock Option	Floujeh, Bobby Baback	7	2023-03-14	50	35.64	20,000	20,000
Enghouse Systems Limited	Options Employee Stock Option	MEDVED, ROBERT	5	2023-03-14	50	35.64	111,000	10,000
Ensign Energy Services Inc.	Rights Performance Share Units - 2020 Grant	Conway, Brent John	5	2023-03-15	59		0	-120,800
Ensign Energy Services Inc.	Options	Culshaw, Eldon John	5	2022-12-31	52		129,000	-12,500
Ensign Energy Services Inc.	Rights Performance Share Units - 2020 Grant	Culshaw, Eldon John	5	2021-09-01	00		51,793	
Ensign Energy Services Inc.	Rights Performance Share Units - 2020 Grant	Culshaw, Eldon John	5	2023-03-15	59		0	-51,793
Ensign Energy Services Inc.	Rights Performance Share Units - 2021 Grant	Culshaw, Eldon John	5	2021-09-01	00		101,279	
Ensign Energy Services Inc.	Rights Performance Share Units - 2021 Grant	Culshaw, Eldon John	5	2023-03-15	59		0	-101,279
Ensign Energy Services Inc.	Rights Performance Share Units - 2020 Grant	Edwards, Norman Murray	3, 4, 5	2023-03-15	59		0	-301,999
Ensign Energy Services Inc.	Options	Geddes, Robert Harold	5	2022-12-31	52		507,000	-130,000
Ensign Energy Services Inc.	Rights Performance Share Units - 2020 Grant	Geddes, Robert Harold	5	2023-03-15	59		0	-422,799
Ensign Energy Services Inc.	Common Shares	Gray, Michael	5	2023-03-15	10	2.98	26,945	10,000
Ensign Energy Services Inc.	Options	Gray, Michael	5	2022-12-31	52		149,500	-50,000
Ensign Energy Services Inc.	Rights Performance Share Units - 2020 Grant	Gray, Michael	5	2023-03-15	59		0	-98,149
Ensign Energy Services Inc.	Options	Nuss, Michael Rudy	7	2022-12-31	52		173,500	-50,000
Ensign Energy Services Inc.	Rights Performance Share Units - 2020 Grant	Nuss, Michael Rudy	7	2023-03-15	59		0	-166,603
EnWave Corporation	Common Shares	Charleton, Brent Gilbert	4, 5	2023-03-16	10	0.33	174,000	8,000
EnWave Corporation	Common Shares	Charleton, Brent Gilbert	4, 5	2023-03-16	10	0.34	178,500	4,500
EnWave Corporation	Common Shares	Charleton, Brent Gilbert	4, 5	2023-03-16	10	0.35	186,000	7,500
EnWave Corporation	Options	Murray, Dylan	5	2023-03-15	50		600,000	300,000
EQB Inc. (formerly Equitable Group Inc.)	Rights Deferred Share Units	Emory, Michael R.	4	2022-05-19	56		11,823	1,019
EQB Inc. (formerly Equitable Group Inc.)	Rights Deferred Share Units	Emory, Michael R.	4	2022-12-31	30		12,078	255
EQB Inc. (formerly Equitable Group Inc.)	Rights Deferred Share Units	Ericksen, Susan	4	2022-12-31	30		8,316	175
EQB Inc. (formerly Equitable Group Inc.)	Rights Deferred Share Units	Hanley, Michael	4	2022-12-31	30		734	160
EQB Inc. (formerly Equitable Group Inc.)	Rights Deferred Share Units	Kapoor, Kishore	4	2022-12-31	30		11,931	253
EQB Inc. (formerly Equitable Group Inc.)	Rights Deferred Share Units	Kim, Yongah	4	2022-12-31	30		3,587	663
EQB Inc. (formerly Equitable Group Inc.)	Rights Deferred Share Units	LeGresley, David Malcolm Balfour	4	2022-12-31	30			1,572
EQB Inc. (formerly Equitable Group Inc.)	Rights Deferred Share Units	LeGresley, David Malcolm Balfour	4	2022-12-31	30			1,572

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
EQB Inc. (formerly Equitable Group Inc.)	Rights Deferred Share Units	LeGresley, David Malcolm Balfour	4	2022-12-31	30		38,281	1,573
EQB Inc. (formerly Equitable Group Inc.)	Rights Deferred Share Units	Lopez, Marcos	4	2022-12-31	30		734	160
EQB Inc. (formerly Equitable Group Inc.)	Rights Deferred Share Units	McDonald, Lynn Marie	4	2022-12-31	30		20,698	829
EQB Inc. (formerly Equitable Group Inc.)	Rights Deferred Share Units	Saunders, Rowan	4	2022-12-31	30		19,359	1,190
EQB Inc. (formerly Equitable Group Inc.)	Common Shares	Schuetz, Carolyn Margaret	4	2022-11-08	00			
EQB Inc. (formerly Equitable Group Inc.)	Common Shares	Schuetz, Carolyn Margaret	4	2023-03-14	10	58.27	1,200	1,200
EQB Inc. (formerly Equitable Group Inc.)	Rights Deferred Share Units	Schuetz, Carolyn Margaret	4	2022-12-31	30		734	160
EQB Inc. (formerly Equitable Group Inc.)	Rights Deferred Share Units	Sera, Maria Vincenza	4	2022-12-31	30		13,632	289
EQB Inc. (formerly Equitable Group Inc.)	Rights Deferred Share Units	Stramaglia, Michael	4	2022-12-31	30		12,818	339
Ero Copper Corp.	Common Shares	Drier, Wayne	5	2023-03-10	51		258,842	25,000
Ero Copper Corp.	Common Shares	Drier, Wayne	5	2023-03-10	10		233,842	-25,000
Ero Copper Corp.	Options	Drier, Wayne	5	2023-03-10	51		180,622	-25,000
Ero Copper Corp.	Common Shares	Strang, David Maxwell	4, 5	2023-03-09	10		5,601,910	-215,125
Ero Copper Corp.	Common Shares	Wright, John H	4	2023-03-09	10		761,332	-5,000
Ero Copper Corp.	Common Shares	Wright, John H	4	2023-03-13	10		751,332	-10,000
Ero Copper Corp.	Common Shares	Wright, John H	4	2023-03-14	10		741,332	-10,000
Eskay Mining Corp	Common Shares	Balkam, Hugh McLellan	4	2023-03-16	10	0.62		13,000
Eskay Mining Corp	Common Shares	Balkam, Hugh McLellan	4	2023-03-16	10	0.62	3,099,000	13,000
Essential Energy Services Ltd.	Common Shares	Essential Energy Services Ltd.	1	2023-03-10	38	0.375	184,000	20,500
Essential Energy Services Ltd.	Common Shares	Essential Energy Services Ltd.	1	2023-03-13	38	0.369	204,500	20,500
Essential Energy Services Ltd.	Common Shares	Essential Energy Services Ltd.	1	2023-03-14	38	0.36	225,000	20,500
Essential Energy Services Ltd.	Common Shares	Essential Energy Services Ltd.	1	2023-03-15	38	0.3274	245,500	20,500
Essential Energy Services Ltd.	Common Shares	Essential Energy Services Ltd.	1	2023-03-16	38	0.3466	266,000	20,500
Etruscus Resources Corp.	Common Shares	Leikam, Jason	5	2023-03-09	11	0.15	250,000	-250,000
European Residential Real Estate Investment Trust	Trust Units	Burns, Phillip Wesley	4, 5	2022-12-31	30		901,387	33,099
European Residential Real Estate Investment Trust	Trust Units	Chou, Jenny	5	2022-12-31	30		17,220	220
European Residential Real Estate Investment Trust	Options	Stoddart, Jennifer	6	2023-02-27	00			
European Residential Real Estate Investment Trust	Trust Units	Stoddart, Jennifer	6	2023-02-27	00			
EverGen Infrastructure Corp.	Common Shares	Sean, Hennessy	5	2023-03-09	57		15,867	6,667
EverGen Infrastructure Corp.	Restricted Share Units	Sean, Hennessy	5	2023-03-09	57		33,333	-6,667
Exchange Income Corporation	Common Shares	Craik, Polly	4	2023-03-13	10	49.5	4,060	140
Extendicare Inc.	Rights - Performance Share Units	Abou-Sweid, Samer Yassine	7	2023-03-14	56		25,499	14,402
Extendicare Inc.	Common Shares	Bacon, David Eric	5	2023-03-16	57	6.36	80,879	27,769

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Extendicare Inc.	Rights - Performance Share Units	Bacon, David Eric	5	2023-03-14	56		275,760	67,081
Extendicare Inc.	Rights - Performance Share Units	Bacon, David Eric	5	2023-03-16	30		276,906	1,146
Extendicare Inc.	Rights - Performance Share Units	Bacon, David Eric	5	2023-03-16	38		273,156	-3,750
Extendicare Inc.	Rights - Performance Share Units	Bacon, David Eric	5	2023-03-16	57		245,387	-27,769
Extendicare Inc.	Rights - Performance Share Units	Bacon, David Eric	5	2023-03-16	59		213,398	-31,989
Extendicare Inc.	Common Shares	Bradley, Kathryn Killoran	5	2023-03-16	57	6.36	5,988	2,777
Extendicare Inc.	Rights - Performance Share Units	Bradley, Kathryn Killoran	5	2023-03-14	56		41,626	11,462
Extendicare Inc.	Rights - Performance Share Units	Bradley, Kathryn Killoran	5	2023-03-16	30		41,741	115
Extendicare Inc.	Rights - Performance Share Units	Bradley, Kathryn Killoran	5	2023-03-16	38			-357
Extendicare Inc.	Rights - Performance Share Units	Bradley, Kathryn Killoran	5	2023-03-16	38			-357
Extendicare Inc.	Rights - Performance Share Units	Bradley, Kathryn Killoran	5	2023-03-16	57		38,964	-2,777
Extendicare Inc.	Rights - Performance Share Units	Bradley, Kathryn Killoran	5	2023-03-16	59		35,765	-3,199
Extendicare Inc.	Rights - Performance Share Units	Bradley, Kathryn Killoran	5	2023-03-16	38		35,390	-375
Extendicare Inc.	Rights - Performance Share Units	Chou, Rhonda Maureen	7	2023-03-14	56		24,150	12,772
Extendicare Inc.	Common Shares	Everson, Elaine E.	5	2023-03-16	57	6.36	33,029	5,810
Extendicare Inc.	Common Shares	Everson, Elaine E.	5	2023-03-16	57	6.36	1,387	94
Extendicare Inc.	Common Shares	Everson, Elaine E.	5	2023-03-16	57	6.36	10,287	1,808
Extendicare Inc.	Rights - Performance Share Units	Everson, Elaine E.	5	2023-03-14	56		67,108	17,138
Extendicare Inc.	Rights - Performance Share Units	Everson, Elaine E.	5	2023-03-16	30		67,424	316
Extendicare Inc.	Rights - Performance Share Units	Everson, Elaine E.	5	2023-03-16	38		66,389	-1,035
Extendicare Inc.	Rights - Performance Share Units	Everson, Elaine E.	5	2023-03-16	57		58,677	-7,712
Extendicare Inc.	Rights - Performance Share Units	Everson, Elaine E.	5	2023-03-16	59		49,901	-8,776
Extendicare Inc.	Common Shares	Fountain, Jillian Elizabeth	5	2023-03-16	57	6.36	12,303	1,259
Extendicare Inc.	Common Shares	Fountain, Jillian Elizabeth	5	2023-03-16	57	6.36	12,934	1,415
Extendicare Inc.	Common Shares	Fountain, Jillian Elizabeth	5	2023-03-16	57	6.36	11,578	3,144
Extendicare Inc.	Rights - Performance Share Units	Fountain, Jillian Elizabeth	5	2023-03-14	56		44,614	11,604
Extendicare Inc.	Rights - Performance Share Units	Fountain, Jillian Elizabeth	5	2023-03-16	30		44,823	209
Extendicare Inc.	Rights - Performance Share Units	Fountain, Jillian Elizabeth	5	2023-03-16	38			-683
Extendicare Inc.	Rights - Performance Share Units	Fountain, Jillian Elizabeth	5	2023-03-16	38			-683
Extendicare Inc.	Rights - Performance Share Units	Fountain, Jillian Elizabeth	5	2023-03-16	57		39,005	-5,818
Extendicare Inc.	Rights - Performance Share Units	Fountain, Jillian Elizabeth	5	2023-03-16	59		33,931	-5,074
Extendicare Inc.	Rights - Performance Share Units	Fountain, Jillian Elizabeth	5	2023-03-16	38		33,248	-683

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Extendicare Inc.	Common Shares	Guerriere, Michael Robert	4, 5	2023-03-16	57	6.36	131,299	41,653
Extendicare Inc.	Rights - Performance Share Units	Guerriere, Michael Robert	4, 5	2023-03-14	56		399,294	93,168
Extendicare Inc.	Rights - Performance Share Units	Guerriere, Michael Robert	4, 5	2023-03-16	30		401,013	1,719
Extendicare Inc.	Rights - Performance Share Units	Guerriere, Michael Robert	4, 5	2023-03-16	38		395,388	-5,625
Extendicare Inc.	Rights - Performance Share Units	Guerriere, Michael Robert	4, 5	2023-03-16	57		353,735	-41,653
Extendicare Inc.	Rights - Performance Share Units	Guerriere, Michael Robert	4, 5	2023-03-16	59		305,752	-47,983
Extendicare Inc.	Common Shares	Harris, Michael Andrew	7	2023-03-16	57	6.36	5,696	3,082
Extendicare Inc.	Common Shares	Harris, Michael Andrew	7	2023-03-16	57	6.36	6,788	1,886
Extendicare Inc.	Common Shares	Harris, Michael Andrew	7	2023-03-16	57	6.36		3,144
Extendicare Inc.	Common Shares	Harris, Michael Andrew	7	2023-03-16	57	6.36		3,144
Extendicare Inc.	Common Shares	Harris, Michael Andrew	7	2023-03-16	57	6.36	10,266	1,022
Extendicare Inc.	Rights - Performance Share Units	Harris, Michael Andrew	7	2023-03-14	56		43,906	11,420
Extendicare Inc.	Rights - Performance Share Units	Harris, Michael Andrew	7	2023-03-16	30		44,112	206
Extendicare Inc.	Rights - Performance Share Units	Harris, Michael Andrew	7	2023-03-16	38		43,439	-673
Extendicare Inc.	Rights - Performance Share Units	Harris, Michael Andrew	7	2023-03-16	57		37,449	-5,990
Extendicare Inc.	Rights - Performance Share Units	Harris, Michael Andrew	7	2023-03-16	59		32,720	-4,729
Extendicare Inc.	Common Shares	Mir, Ali Mohammad	7	2023-03-16	57	6.36	18,748	4,916
Extendicare Inc.	Common Shares	Mir, Ali Mohammad	7	2023-03-16	57	6.36	13,530	1,037
Extendicare Inc.	Rights - Performance Share Units	Mir, Ali Mohammad	7	2023-03-14	56		53,710	14,402
Extendicare Inc.	Rights - Performance Share Units	Mir, Ali Mohammad	7	2023-03-16	30		53,956	246
Extendicare Inc.	Rights - Performance Share Units	Mir, Ali Mohammad	7	2023-03-16	38		53,152	-804
Extendicare Inc.	Rights - Performance Share Units	Mir, Ali Mohammad	7	2023-03-16	57		47,199	-5,953
Extendicare Inc.	Rights - Performance Share Units	Mir, Ali Mohammad	7	2023-03-16	59		40,341	-6,858
Extendicare Inc.	Rights - Performance Share Units	Paraskevopoulos, Steven	5	2023-03-14	56		66,044	27,174
Extendicare Inc.	Rights - Performance Share Units	Pearson, Lisa Nicole	5	2023-03-14	56		29,342	11,530
Extendicare Inc.	Common Shares	Sarauer, Leslie Jan	5	2023-03-16	57	6.36	19,281	11,281
Extendicare Inc.	Rights - Performance Share Units	Sarauer, Leslie Jan	5	2023-03-14	56		104,460	27,174
Extendicare Inc.	Rights - Performance Share Units	Sarauer, Leslie Jan	5	2023-03-16	30		104,926	466
Extendicare Inc.	Rights - Performance Share Units	Sarauer, Leslie Jan	5	2023-03-16	38		103,403	-1,523
Extendicare Inc.	Rights - Performance Share Units	Sarauer, Leslie Jan	5	2023-03-16	57		92,122	-11,281
Extendicare Inc.	Rights - Performance Share Units	Sarauer, Leslie Jan	5	2023-03-16	59		79,127	-12,995
Extendicare Inc.	Common Shares	Toffoletto, John	5	2023-03-16	57	6.36	36,817	13,537
Extendicare Inc.	Rights - Performance Share Units	Toffoletto, John	5	2023-03-14	56		184,060	50,311
Extendicare Inc.	Rights - Performance Share Units	Toffoletto, John	5	2023-03-16	30			599

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Extencicare Inc.	Rights - Performance Share Units	Toffoletto, John	5	2023-03-16	30			599
Extencicare Inc.	Rights - Performance Share Units	Toffoletto, John	5	2023-03-16	38		182,232	-1,828
Extencicare Inc.	Rights - Performance Share Units	Toffoletto, John	5	2023-03-16	57		168,695	-13,537
Extencicare Inc.	Rights - Performance Share Units	Toffoletto, John	5	2023-03-16	59		153,100	-15,595
Extencicare Inc.	Rights - Performance Share Units	Toffoletto, John	5	2023-03-16	30		153,659	559
Extencicare Inc.	Common Shares	TRENHOLM, MARK	7	2020-02-10	00			
Extencicare Inc.	Common Shares	TRENHOLM, MARK	7	2023-03-16	57	6.36	4,970	4,970
Extencicare Inc.	Common Shares	TRENHOLM, MARK	7	2020-02-10	00			
Extencicare Inc.	Common Shares	TRENHOLM, MARK	7	2023-03-16	57	6.36	7,232	7,232
Extencicare Inc.	Rights - Performance Share Units	TRENHOLM, MARK	7	2023-03-14	56		68,019	18,245
Extencicare Inc.	Rights - Performance Share Units	TRENHOLM, MARK	7	2023-03-16	30		68,363	344
Extencicare Inc.	Rights - Performance Share Units	TRENHOLM, MARK	7	2023-03-16	38		67,238	-1,125
Extencicare Inc.	Rights - Performance Share Units	TRENHOLM, MARK	7	2023-03-16	57		55,036	-12,202
Extencicare Inc.	Rights - Performance Share Units	TRENHOLM, MARK	7	2023-03-16	59		49,311	-5,725
Fairfax Financial Holdings Limited	Options	Allen, Jennifer	5	2023-03-09	50		11,676	215
Fairfax Financial Holdings Limited	Options	Allen, Jennifer	5	2023-03-09	50		12,052	376
Fairfax Financial Holdings Limited	Options	Bailey, Bryan Christopher	5	2023-03-09	50		8,655	2,509
Fairfax Financial Holdings Limited	Options	Bailey, Bryan Christopher	5	2023-03-09	50		8,870	215
Fairfax Financial Holdings Limited	Options	Bradstreet, F. Brian	7	2023-03-09	50		11,533	215
Fairfax Financial Holdings Limited	Options	Bradstreet, F. Brian	7	2023-03-09	50		11,636	103
Fairfax Financial Holdings Limited	Subordinate Voting Shares	Bradstreet, F. Brian	7	2023-03-14	10	900.76	29,059	-1,500
Fairfax Financial Holdings Limited	Options	Bulas, Derek Robert James	5	2023-03-09	50		7,439	215
Fairfax Financial Holdings Limited	Options	Bulas, Derek Robert James	5	2023-03-09	50		7,717	278
Fairfax Financial Holdings Limited	Options	Burton, Wade Sebastian	7	2023-03-09	50		4,278	215
Fairfax Financial Holdings Limited	Options	Clarke, Peter	5	2023-03-09	50		42,215	1,673
Fairfax Financial Holdings Limited	Options	Clarke, Peter	5	2023-03-09	50		42,427	212
Fairfax Financial Holdings Limited	Options	Cloutier, Jean	5	2023-03-09	50		25,865	215
Fairfax Financial Holdings Limited	Subordinate Voting Shares	Johnston, David Lloyd	4	2023-03-08	47		2,206	-2
Fairfax Financial Holdings Limited	Options	Loganadhan, Vinodh	7	2023-03-09	50		7,000	215
Fairfax Financial Holdings Limited	Options	Martin, Bradley	5	2023-03-09	50		12,324	215
Fairfax Financial Holdings Limited	Options	Quesnel, Olivier Elie	5	2023-03-09	50		9,178	215
Fairfax Financial Holdings Limited	Options	Ratnaswami, Chandran	7	2023-03-09	50		17,650	215

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Fairfax Financial Holdings Limited	Options	Ratnaswami, Chandran	7	2023-03-09	50		18,156	506
Fairfax Financial Holdings Limited	Options	Rowe, Thomas Brian Caerhayes	5	2023-03-09	50		5,743	215
Fairfax Financial Holdings Limited	Options	Soundarajan, Gopalakrishnan	7	2021-09-13	00			
Fairfax Financial Holdings Limited	Options	Soundarajan, Gopalakrishnan	7	2021-09-13	00			
Fairfax Financial Holdings Limited	Options	Soundarajan, Gopalakrishnan	7	2023-03-09	50			215
Fairfax Financial Holdings Limited	Options	Soundarajan, Gopalakrishnan	7	2023-03-09	50			215
Fairfax Financial Holdings Limited	Options	Varnell, John Charles	5	2023-03-09	50		11,138	215
Fairfax Financial Holdings Limited	Options	Wallace, Michael Anthony	5	2023-03-09	50		7,235	215
FansUnite Entertainment Inc.	Common Shares	Grove, Christopher	4	2023-03-15	16	0.08	802,578	125,000
FansUnite Entertainment Inc.	Warrants	Grove, Christopher	4	2020-08-11	00			
FansUnite Entertainment Inc.	Warrants	Grove, Christopher	4	2023-03-15	16		125,000	125,000
FansUnite Entertainment Inc.	Common Shares	Singleton, Quinton	4	2023-03-15	16	0.08	434,742	429,742
FansUnite Entertainment Inc.	Warrants	Singleton, Quinton	4	2022-10-06	00			
FansUnite Entertainment Inc.	Warrants	Singleton, Quinton	4	2023-03-15	16		429,742	429,742
Fiera Capital Corporation	Restricted Share Units (CASH)	Desjardins, Jean-Guy	4, 6, 5	2023-03-15	59	8.8438	932,801	-226,898
Fiera Capital Corporation	Restricted Share Units (CASH)	Desjardins, Jean-Guy	4, 6, 5	2023-03-15	59	8.8438	869,774	-63,027
Fiera Capital Corporation	Deferred Share Units (DSUs)	Quigley, Michael David Joseph	5	2023-03-07	56	8.7566		1,425
Fiera Capital Corporation	Deferred Share Units (DSUs)	Quigley, Michael David Joseph	5	2019-08-13	00			
Fiera Capital Corporation	Deferred Share Units (DSUs)	Quigley, Michael David Joseph	5	2023-03-07	56	8.7566	1,425	1,425
Filo Mining Corp.	Common Shares	O'Callaghan, Peter	4	2023-03-13	00			
Filo Mining Corp.	Options	O'Callaghan, Peter	4	2023-03-13	00			
Fireweed Metals Corp. (formerly Fireweed Zinc Ltd.)	Options	GORZYNSKI, George Arthur	4	2023-03-14	52	1.45	500,000	-75,000
Fireweed Metals Corp. (formerly Fireweed Zinc Ltd.)	Options	Macdonald, Brandon	4, 5	2023-03-14	52	1.45	915,000	-75,000
Fireweed Metals Corp. (formerly Fireweed Zinc Ltd.)	Options	Robins, John Edward	4	2023-03-14	52	1.45	510,000	-75,000
Fireweed Metals Corp. (formerly Fireweed Zinc Ltd.)	Options	Rothwell, Adrian	4	2023-03-14	52	1.45	315,000	-50,000
First Capital Real Estate Investment Trust	Trust Units	Paul, Adam Elliot	4, 7, 5	2023-03-13	10	16	140,973	10,000
First Majestic Silver Corp.	Common Shares	Lopez, Ana Magdalena	4	2023-03-13	57		16,883	976
First Majestic Silver Corp.	Common Shares	Lopez, Ana Magdalena	4	2023-03-13	57		18,068	1,185
First Majestic Silver Corp.	Rights Restricted Share Units	Lopez, Ana Magdalena	4	2023-03-13	57		10,218	-976
First Majestic Silver Corp.	Rights Restricted Share Units	Lopez, Ana Magdalena	4	2023-03-13	57		9,033	-1,185

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
FOBI AI Inc. (formerly Loop Insights Inc.)	Options	Anson, Robert	5	2023-03-16	50		4,219,008	850,000
FOBI AI Inc. (formerly Loop Insights Inc.)	Options	Lee, Gavin	5	2023-03-16	50		2,610,385	300,000
Focus Graphite Inc.	Common Shares	Mazvihwa, Judith Tendai	5	2011-05-11	00		400,000	
Focus Graphite Inc.	Common Shares	Mazvihwa, Judith Tendai	5	2023-03-13	11	0.58	0	-400,000
Focus Graphite Inc.	Options	Mazvihwa, Judith Tendai	5	2023-03-13	51		3,600,000	-400,000
Focus Graphite Inc.	Common Shares	York, Jeffrey	4	2023-03-13	11	0.58	31,111,322	400,000
Fortis Inc.	Performance Share Units (CH)	Laurito, James P.	7	2023-03-10	59			-24,316
Fortis Inc.	Performance Share Units (CH)	Laurito, James P.	7	2023-03-10	59	42.071	0	-24,316
Fortis Inc.	Common Shares	Woodbury, Kevin	5	2023-03-13	51	33.58	16,409	2,636
Fortis Inc.	Common Shares	Woodbury, Kevin	5	2023-03-13	10	54.509	13,773	-2,636
Fortis Inc.	Options	Woodbury, Kevin	5	2023-03-13	51		4,984	-2,636
Fortuna Silver Mines Inc.	Options	Ganoza Durant, Jorge A.	4	2023-03-18	52		0	-221,863
Fortuna Silver Mines Inc.	Options	Ganoza Durant, Luis Dario	5	2023-03-18	52		0	-114,240
Fox River Resources Corporation	Common Shares	Lotan Holdings Inc.	3	2023-03-15	16	0.2	5,653,500	500,000
Fox River Resources Corporation	Common Shares	Lotan, David	4	2023-03-15	16	0.2	5,718,600	500,000
FPX Nickel Corp. (formerly First Point Minerals Corp.)	Common Shares	Gilbert, James	4	2023-03-13	51	0.15	1,107,551	172,199
FPX Nickel Corp. (formerly First Point Minerals Corp.)	Options	Gilbert, James	4	2023-03-13	51	0.15	950,000	-250,000
Freehold Royalties Ltd.	Common Shares	Spyker, David Michael	5	2023-03-17	10	13.796	115,200	17,750
Freehold Royalties Ltd.	Common Shares	Spyker, David Michael	5	2023-03-17	10	13.825	6,300	1,500
Freehold Royalties Ltd.	Common Shares	Spyker, David Michael	5	2023-03-17	10	13.825	18,500	750
Frontera Energy Corporation	Restricted Stock Units	Arevalo Vergara, Ivan Dario	5	2023-03-13	56	12.95	119,666	35,000
Frontera Energy Corporation	Restricted Stock Units	Bonilla Lagos, Alejandra	5	2023-03-13	56	12.95	110,000	40,000
Frontera Energy Corporation	Restricted Stock Units	Burgos Diaz, Rene Roberto	5	2023-03-13	56	12.95	197,500	67,500
Frontera Energy Corporation	Restricted Stock Units	Burgos Diaz, Rene Roberto	5	2023-03-13	38		132,500	-65,000
Frontera Energy Corporation	Restricted Stock Units	Cabrales Segovia, Orlando	4, 5	2023-03-13	56	12.95	224,460	90,000
Frontera Energy Corporation	Restricted Stock Units	Campagnaro, Renata	5	2023-03-13	56	12.95	158,340	45,000
Frontera Energy Corporation	Restricted Stock Units	Vega, Victor	5	2023-03-13	56	12.95	70,000	35,000
FSD Pharma Inc.	Subordinate Voting Shares Class B	FSD Pharma Inc.	1	2023-03-10	38	2.0057	1,386,700	20,100
FSD Pharma Inc.	Subordinate Voting Shares Class B	FSD Pharma Inc.	1	2023-03-13	38	2.0471	1,390,800	4,100
FSD Pharma Inc.	Subordinate Voting Shares Class B	FSD Pharma Inc.	1	2023-03-14	38	2.0144	1,421,600	30,800
FSD Pharma Inc.	Subordinate Voting Shares Class B	FSD Pharma Inc.	1	2023-03-15	38	1.919	1,445,500	23,900
FSD Pharma Inc.	Subordinate Voting Shares Class B	FSD Pharma Inc.	1	2023-03-16	38	1.9931	1,458,800	13,300
FSD Pharma Inc.	Subordinate Voting Shares Class B	FSD Pharma Inc.	1	2023-03-17	38	2.0532	1,472,800	14,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
G2 Energy Corp. (formerly G2 Technologies Corp.)	Common Shares	Smulewicz, Slawomir	3, 4, 5	2023-03-16	10	0.015	683,065	20,000
Gamelancer Media Corp. (formerly, Gamelancer Gaming Corp.)	Common Shares	Cotton, Michael	4, 5	2022-02-18	16	0.2	13,575,000	125,000
Gamelancer Media Corp. (formerly, Gamelancer Gaming Corp.)	Warrants	Cotton, Michael	4, 5	2021-05-03	00			
Gamelancer Media Corp. (formerly, Gamelancer Gaming Corp.)	Warrants	Cotton, Michael	4, 5	2022-02-18	16	0.3	62,500	62,500
Gamelancer Media Corp. (formerly, Gamelancer Gaming Corp.)	Common Shares	Dwyer, Jonathan	4, 5	2021-05-03	00			
Gamelancer Media Corp. (formerly, Gamelancer Gaming Corp.)	Common Shares	Dwyer, Jonathan	4, 5	2022-02-18	16	0.2	125,000	125,000
Gamelancer Media Corp. (formerly, Gamelancer Gaming Corp.)	Warrants	Dwyer, Jonathan	4, 5	2021-05-03	00			
Gamelancer Media Corp. (formerly, Gamelancer Gaming Corp.)	Warrants	Dwyer, Jonathan	4, 5	2022-02-18	16	0.3	62,500	62,500
GameSquare Esports Inc.	RSU	Armitage, Craig	4	2020-10-02	00			
GameSquare Esports Inc.	RSU	Armitage, Craig	4	2020-10-02	00			
GameSquare Esports Inc.	RSU	Armitage, Craig	4	2020-10-02	00			
GameSquare Esports Inc.	RSU	Armitage, Craig	4	2020-10-02	00			
GameSquare Esports Inc.	RSU	Armitage, Craig	4	2020-10-02	00			
GameSquare Esports Inc.	RSU	Armitage, Craig	4	2023-03-13	56		200,000	200,000
GameSquare Esports Inc.	RSU	Blue & Silver Ventures, Ltd.	3	2021-06-30	00			
GameSquare Esports Inc.	RSU	Blue & Silver Ventures, Ltd.	3	2023-03-13	55			200,000
GameSquare Esports Inc.	RSU	Blue & Silver Ventures, Ltd.	3	2023-03-13	55			200,000
GameSquare Esports Inc.	RSU	Blue & Silver Ventures, Ltd.	3	2023-03-13	56		200,000	200,000
GameSquare Esports Inc.	RSU	Goff, Travis	3, 4	2021-09-21	00			
GameSquare Esports Inc.	RSU	Goff, Travis	3, 4	2023-03-13	56		200,000	200,000
GameSquare Esports Inc.	RSU	Kenna, Justin	4, 5	2023-03-13	56		200,000	200,000
GameSquare Esports Inc.	RSU	LeBreux, Paul Richard	4	2023-03-13	56		200,000	200,000
GameSquare Esports Inc.	RSU	Wright, Kevin	4, 5	2020-10-02	00			
GameSquare Esports Inc.	RSU	Wright, Kevin	4, 5	2023-03-13	56		100,000	100,000
Gear Energy Ltd.	Common Shares	Ressler, Dustin	5	2023-03-10	51	1.06	66,014	6,700
Gear Energy Ltd.	Common Shares	Ressler, Dustin	5	2023-03-10	10	1.06	59,314	-6,700

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Gear Energy Ltd.	Options	Ressler, Dustin	5	2023-03-10	51	0.99	1,168,000	-102,000
Geodrill Limited	Options	Borsk, Gregory	5	2023-03-13	50		745,000	180,000
Geodrill Limited	Options	Burling, Terrance Frederick	5	2023-03-13	50		790,000	180,000
Geodrill Limited	Options	Harper, David Michael	5	2023-03-13	50	3.05	1,200,000	240,000
George Weston Limited	Common Shares	Currie, Gordon Andrew McDonald	5	2023-03-13	30		6,773	79
George Weston Limited	Common Shares	Currie, Gordon Andrew McDonald	5	2023-03-13	30		347	1
George Weston Limited	Options	Currie, Gordon Andrew McDonald	5	2023-03-08	50		226,043	20,221
George Weston Limited	Performance Share Units	Currie, Gordon Andrew McDonald	5	2023-03-08	56		15,961	4,121
George Weston Limited	Common Shares	Dufresne, Richard	5	2023-03-13	30		5,386	92
George Weston Limited	Common Shares	Dufresne, Richard	5	2023-03-13	30		211	1
George Weston Limited	Options	Dufresne, Richard	5	2023-03-08	50		490,342	10,293
George Weston Limited	Performance Share Units	Dufresne, Richard	5	2023-03-08	56		22,752	2,097
George Weston Limited	Common Shares	Wasti, Rashid	5	2023-03-09	51	104.81	11,709	4,009
George Weston Limited	Common Shares	Wasti, Rashid	5	2023-03-09	10	169.48	7,700	-4,009
George Weston Limited	Common Shares	Wasti, Rashid	5	2023-03-10	51	93.17	8,680	1,280
George Weston Limited	Common Shares	Wasti, Rashid	5	2023-03-10	10	166.79	7,400	-1,280
George Weston Limited	Common Shares	Wasti, Rashid	5	2023-03-10	51	104.15	9,486	2,086
George Weston Limited	Common Shares	Wasti, Rashid	5	2023-03-10	10	165.3	7,400	-2,086
George Weston Limited	Common Shares	Wasti, Rashid	5	2023-03-14	51	100.86	8,189	789
George Weston Limited	Common Shares	Wasti, Rashid	5	2023-03-14	10	162.89	7,400	-789
George Weston Limited	Common Shares	Wasti, Rashid	5	2023-03-15	51	100.86	8,400	1,000
George Weston Limited	Common Shares	Wasti, Rashid	5	2023-03-15	10	165.58	7,400	-1,000
George Weston Limited	Common Shares	Wasti, Rashid	5	2023-03-17	51	100.86	8,400	1,000
George Weston Limited	Common Shares	Wasti, Rashid	5	2023-03-17	10	165.24	7,400	-1,000
George Weston Limited	Common Shares	Wasti, Rashid	5	2023-03-17	51	104.15	8,400	1,000
George Weston Limited	Common Shares	Wasti, Rashid	5	2023-03-17	10	165.3	7,400	-1,000
George Weston Limited	Options	Wasti, Rashid	5	2023-03-08	50		109,147	16,120
George Weston Limited	Options	Wasti, Rashid	5	2023-03-09	51	104.81	105,138	-4,009
George Weston Limited	Options	Wasti, Rashid	5	2023-03-10	51	93.17	103,858	-1,280
George Weston Limited	Options	Wasti, Rashid	5	2023-03-10	51	104.15	101,772	-2,086
George Weston Limited	Options	Wasti, Rashid	5	2023-03-14	51	100.86	100,983	-789
George Weston Limited	Options	Wasti, Rashid	5	2023-03-15	51	100.86	99,983	-1,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
George Weston Limited	Options	Wasti, Rashid	5	2023-03-17	51	100.86	98,983	-1,000
George Weston Limited	Options	Wasti, Rashid	5	2023-03-17	51	104.15	97,983	-1,000
George Weston Limited	Performance Share Units	Wasti, Rashid	5	2023-03-08	56		11,722	3,285
George Weston Limited	Preferred Shares Series 4	Wasti, Rashid	5	2023-03-13	10	21.064	2,000	1,000
George Weston Limited	Preferred Shares Series 4	Wasti, Rashid	5	2023-03-14	10	20.75	3,000	1,000
George Weston Limited	Options	Weston, Willard Galen Garfield	3, 4, 5	2023-03-08	50		613,871	32,758
George Weston Limited	Performance Share Units	Weston, Willard Galen Garfield	3, 4, 5	2023-03-08	56		32,140	6,676
GFL Environmental Inc.	Subordinate Voting Shares	Chiesa, Dino	4	2023-03-08	90		18,890	6,298
GFL Environmental Inc.	Subordinate Voting Shares	Chiesa, Dino	4	2023-03-08	90		0	-6,298
GFL Environmental Inc.	Subordinate Voting Shares	Dover, Christian James Wallace	5	2023-03-08	90			30,224
GFL Environmental Inc.	Subordinate Voting Shares	Dover, Christian James Wallace	5	2023-03-08	90			30,224
GFL Environmental Inc.	Subordinate Voting Shares	Dover, Christian James Wallace	5	2023-03-08	90			-30,224
GFL Environmental Inc.	Subordinate Voting Shares	Dover, Christian James Wallace	5	2023-03-08	90			-30,224
GFL Environmental Inc.	Subordinate Voting Shares	Gilbert, Mindy Beth	5	2023-03-08	90		92,217	28,336
GFL Environmental Inc.	Subordinate Voting Shares	Gilbert, Mindy Beth	5	2023-03-08	90			-28,336
GFL Environmental Inc.	Subordinate Voting Shares	Gilbert, Mindy Beth	5	2023-03-08	90			-28,336
GFL Environmental Inc.	Subordinate Voting Shares	Gilbert, Mindy Beth	5	2023-03-08	90		0	-28,336
GFL Environmental Inc.	Subordinate Voting Shares	Glavina, Edward Christian	5	2023-03-08	90		50,112	30,224
GFL Environmental Inc.	Subordinate Voting Shares	Glavina, Edward Christian	5	2023-03-08	90		0	-30,224
GFL Environmental Inc.	Subordinate Voting Shares	Grahek, Elizabeth Joy	5	2023-03-08	90		66,669	18,891
GFL Environmental Inc.	Subordinate Voting Shares	Grahek, Elizabeth Joy	5	2023-03-08	90		0	-18,891
GFL Environmental Inc.	Subordinate Voting Shares	Nayar, Arun	4	2023-03-09	90		56,344	8,139
GFL Environmental Inc.	Subordinate Voting Shares	Nayar, Arun	4	2023-03-08	90			-8,139
GFL Environmental Inc.	Subordinate Voting Shares	Nayar, Arun	4	2023-03-08	90			-8,139
GFL Environmental Inc.	Subordinate Voting Shares	Nayar, Arun	4	2023-03-09	90		0	-8,139
GFL Environmental Inc.	Subordinate Voting Shares	Pelosi, Jonathan Lucas (Luke)	5	2023-03-08	90		332,031	124,491
GFL Environmental Inc.	Subordinate Voting Shares	Pelosi, Jonathan Lucas (Luke)	5	2023-03-08	90		0	-124,491
GFL Environmental Inc.	Subordinate Voting Shares	Yorston, Gregory Gerald	5	2022-03-16	10	29.754		-42,845
GFL Environmental Inc.	Subordinate Voting Shares	Yorston, Gregory Gerald	5	2023-03-09	90		347,473	103,815
GFL Environmental Inc.	Subordinate Voting Shares	Yorston, Gregory Gerald	5	2023-03-13	10	31.788	304,599	-42,874
GFL Environmental Inc.	Subordinate Voting Shares	Yorston, Gregory Gerald	5	2022-03-16	10	29.754	103,815	-42,845

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
GFL Environmental Inc.	Subordinate Voting Shares	Yorston, Gregory Gerald	5	2023-03-09	90		0	-103,815
Gibson Energy Inc.	Restricted Share Units	Brown, Sean	5	2023-03-15	56	22.91	135,408	32,133
Gibson Energy Inc.	Restricted Share Units	DeGruchy, Kyle John	5	2023-03-15	56	22.91	126,947	28,822
Gibson Energy Inc.	Restricted Share Units	Saif, Omar Ali Khan	5	2023-03-15	56	22.91	93,512	28,360
Gibson Energy Inc.	Restricted Share Units	SPAULDING, STEVEN R.	4, 5	2023-03-15	56	22.91	394,363	80,932
Gibson Energy Inc.	Restricted Share Units	WILSON, SEAN	7	2023-03-15	56	22.91	110,795	28,360
Gildan Activewear Inc.	Common Shares	Chamandy, Glenn J.	4, 5	2023-03-10	51	42.84	550,414	100,000
Gildan Activewear Inc.	Options	Chamandy, Glenn J.	4, 5	2023-03-10	51	42.84	1,419,391	-100,000
Global Atomic Corporation	Common Shares	Rance, Derek C.	4	2023-03-15	51	0.25	566,576	81,818
Global Atomic Corporation	Options	Rance, Derek C.	4	2023-03-15	51	0.25	225,000	-81,818
Glow Lifetech Corp. (formerly, Ateba Resources Inc.)	Common Shares	Kent, William Gordon Clark	4, 5	2023-02-28	10	0.095	867,000	42,000
Golcap Resources Corp.	Common Shares	Crest Resources Inc.	3	2023-03-13	10	0.07	889,000	-60,000
Gold Hunter Resources Inc.	Common Shares	Sutton, Brayden Robert	3	2023-03-17	10	0.36	2,265,000	20,000
Gold Mountain Mining Corp.	Common Shares	Bayer, Alex	5	2023-03-13	10	0.2	1,236,000	20,000
Gold Mountain Mining Corp.	Common Shares	Smith, Kevin	5	2023-03-13	10	0.2	743,400	50,000
Gold Springs Resource Corp. (formerly, TriMetals Mining Inc.)	Common Shares	Moore, Randall	5	2023-01-01	10	0.1		-40,000
Gold Springs Resource Corp. (formerly, TriMetals Mining Inc.)	Common Shares	Moore, Randall	5	2023-02-01	10	0.1	512,732	-40,000
Gold79 Mines Ltd. (formerly Aura Resources Inc.)	Common Shares	Thompson, Gary Robert	4	2023-03-15	10	0.04	4,312,000	200,000
GOLDSHORE RESOURCES INC. (formerly Sierra Madre Developments Inc.)	Common Shares	Wesdome Gold Mines Ltd	3	2022-01-01	90		0	-30,085,000
GOLDSHORE RESOURCES INC. (formerly Sierra Madre Developments Inc.)	Common Shares	Wesdome Gold Mines Ltd	3	2021-05-31	00			
GOLDSHORE RESOURCES INC. (formerly Sierra Madre Developments Inc.)	Common Shares	Wesdome Gold Mines Ltd	3	2022-01-01	90		30,085,000	30,085,000
GOLDSHORE RESOURCES INC. (formerly Sierra Madre Developments Inc.)	Common Shares	Wesdome Gold Mines Ltd	3	2022-06-06	11	0.6	38,418,333	8,333,333
Goliath Resources Limited	Warrants	2176423 Ontario Ltd.	3	2021-03-08	16	0.86		3,636,364
Goliath Resources Limited	Warrants	2176423 Ontario Ltd.	3	2021-03-08	16	0.86	3,636,364	3,636,364
Goliath Resources Limited	Warrants	Sprott, Eric S.	3	2021-03-08	16	0.86		3,636,364
Goliath Resources Limited	Warrants	Sprott, Eric S.	3	2021-03-08	16	0.86	3,636,364	3,636,364
good natured Products Inc.	Options	Antoniadis, Paul John	4	2023-03-15	50		2,244,009	130,000
good natured Products Inc.	Options	Antoniadis, Paul John	4	2023-03-15	50		370,000	60,000
good natured Products Inc.	Performance Share Units 2022	Antoniadis, Paul John	4	2023-03-15	56		934,696	470,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
good natured Products Inc.	Performance Share Units 2022	Antoniadis, Paul John	4	2023-03-15	56		600,000	300,000
good natured Products Inc.	Restricted Share Units (3 year)	Antoniadis, Paul John	4	2023-03-15	56		855,000	370,000
good natured Products Inc.	Restricted Share Units (3 year)	Antoniadis, Paul John	4	2023-03-15	56		566,667	240,000
good natured Products Inc.	Options	Holmstrom, Donald Leonard	7	2023-03-15	50		812,016	80,758
good natured Products Inc.	Performance Share Units 2022	Holmstrom, Donald Leonard	7	2023-03-15	56		807,576	403,788
good natured Products Inc.	Restricted Share Units (3 year)	Holmstrom, Donald Leonard	7	2023-03-15	56		799,394	323,030
good natured Products Inc.	Options	Labonte, Michel	5	2023-03-15	50		722,155	25,606
good natured Products Inc.	Performance Share Units 2022	Labonte, Michel	5	2023-03-15	56		256,060	128,030
good natured Products Inc.	Restricted Share Units (3 year)	Labonte, Michel	5	2023-03-15	56		291,515	102,424
good natured Products Inc.	Options	Leong, Kevin	5	2023-03-15	50		277,889	10,000
good natured Products Inc.	Performance Share Units 2022	Leong, Kevin	5	2023-03-15	56		214,444	50,000
good natured Products Inc.	Restricted Share Units (3 year)	Leong, Kevin	5	2023-03-15	56		258,222	40,000
good natured Products Inc.	Options	Marsh, Joel Michael	4	2023-03-15	50		58,712	41,667
good natured Products Inc.	Restricted Share Units (3 year)	Marsh, Joel Michael	4	2023-03-15	56		176,136	125,000
good natured Products Inc.	Options	Spencer, Keith Evan	4	2022-12-05	00			
good natured Products Inc.	Options	Spencer, Keith Evan	4	2023-03-15	50		41,667	41,667
good natured Products Inc.	Restricted Share Units (3 year)	Spencer, Keith Evan	4	2022-12-05	00			
good natured Products Inc.	Restricted Share Units (3 year)	Spencer, Keith Evan	4	2023-03-15	56		125,000	125,000
good natured Products Inc.	Options	Zadra, Jim	4	2023-03-15	50		365,782	55,555
good natured Products Inc.	Restricted Share Units (3 year)	Zadra, Jim	4	2023-03-15	56		234,849	166,667
Goodfood Market Corp.	Common Shares	Yanofsky, Terry	4	2020-11-16	10	8.9807	2,800	2,800
GR SILVER MINING LTD. (formerly: Goldplay Exploration Ltd.)	Common Shares	Fonseca, Marcio Bastos	4	2023-03-13	52	0.3	5,751,016	617,514
Gran Tierra Energy Inc.	Common Shares	Ellson, Ryan Paul	5	2023-03-15	10	0.71	336,030	50,000
Gran Tierra Energy Inc.	Common Shares	Ellson, Ryan Paul	5	2023-03-17	30	0.73	315,275	3,519
Gran Tierra Energy Inc.	Common Shares	Evans, Jim Randall	5	2023-03-17	30	0.73	132,335	1,552
Gran Tierra Energy Inc.	Common Shares	Gran Tierra Energy Inc.	1	2023-03-09	38	1.19	25,281,136	481,600
Gran Tierra Energy Inc.	Common Shares	Gran Tierra Energy Inc.	1	2023-03-10	38	1.14	25,795,794	514,658
Gran Tierra Energy Inc.	Common Shares	Gran Tierra Energy Inc.	1	2023-03-13	38	1.1	26,310,452	514,658
Gran Tierra Energy Inc.	Common Shares	Gran Tierra Energy Inc.	1	2023-03-14	38	1.1	26,825,110	514,658
Gran Tierra Energy Inc.	Common Shares	Gran Tierra Energy Inc.	1	2023-03-15	38	1	27,339,768	514,658
Gran Tierra Energy Inc.	Common Shares	Guidry, Gary Stephen	4, 5	2023-03-17	30	0.73	459,902	4,967
Gran Tierra Energy Inc.	Common Shares	Trimble, Rodger Derrick	5	2023-03-17	30	0.73	222,550	2,484
Granite Real Estate Investment Trust	Trust Units (traded as a component of stapled units)	Clarfield, Lawrence	5	2022-03-28	10	96.04		2,343
Granite Real Estate Investment Trust	Trust Units (traded as a component of stapled units)	Clarfield, Lawrence	5	2022-03-28	10	96.04	3,888	2,339

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Granite Real Estate Investment Trust	Trust Units (traded as a component of stapled units)	Clarfield, Lawrence	5	2022-03-28	97			34
Granite Real Estate Investment Trust	Trust Units (traded as a component of stapled units)	Clarfield, Lawrence	5	2022-03-28	97		1,226	31
Granite Real Estate Investment Trust	Trust Units (traded as a component of stapled units)	Marshall, Kelly John	4	2023-03-13	10	83.25	0	-3,000
Granite REIT Inc.	Deferred Share Units	Aghar, Peter	4	2023-03-15	35	82.65	15,881	51
Granite REIT Inc.	Common Shares (traded as a component of stapled units)	Clarfield, Lawrence	5	2022-03-28	97			45
Granite REIT Inc.	Common Shares (traded as a component of stapled units)	Clarfield, Lawrence	5	2022-03-28	97		3,888	41
Granite REIT Inc.	Common Shares (traded as a component of stapled units)	Clarfield, Lawrence	5	2022-03-28	97			34
Granite REIT Inc.	Common Shares (traded as a component of stapled units)	Clarfield, Lawrence	5	2022-03-28	97		1,226	31
Granite REIT Inc.	Performance Share Units	Clarfield, Lawrence	5	2023-03-15	35	82.65	9,569	31
Granite REIT Inc.	Restricted Share Units	Clarfield, Lawrence	5	2023-03-15	35	82.65	5,619	18
Granite REIT Inc.	Deferred Share Units	Daal, Remco	4	2023-03-15	35	82.65	13,620	44
Granite REIT Inc.	Performance Share Units	Gorrie, Kevan Stuart	4, 5	2023-03-15	35	82.65	37,394	120
Granite REIT Inc.	Restricted Share Units	Gorrie, Kevan Stuart	4, 5	2023-03-15	35	82.65	11,901	38
Granite REIT Inc.	Deferred Share Units	Grodner, Fern Phyllis	4	2023-03-15	35	82.65	5,852	19
Granite REIT Inc.	Performance Share Units	KUMER, LORNE	5	2023-03-15	35	82.65	13,841	45
Granite REIT Inc.	Restricted Share Units	KUMER, LORNE	5	2023-03-15	35	82.65	7,106	23
Granite REIT Inc.	Common Shares (traded as a component of stapled units)	Marshall, Kelly John	4	2023-03-13	10	83.25	0	-3,000
Granite REIT Inc.	Deferred Share Units	Marshall, Kelly John	4	2023-03-15	35	82.65	20,694	67
Granite REIT Inc.	Deferred Share Units	Mawani, Al	4	2023-03-15	35	44	13,711	44
Granite REIT Inc.	Deferred Share Units	Miller, Gerald	4	2023-03-15	35	82.65	15,821	51
Granite REIT Inc.	Deferred Share Units	Murray, Sheila A.	4	2023-03-15	35	82.65	8,801	28
Granite REIT Inc.	Performance Share Units	Neto, Teresa	5	2023-03-15	35	82.65	13,850	45
Granite REIT Inc.	Restricted Share Units	Neto, Teresa	5	2023-03-15	35	82.65	7,326	24
Granite REIT Inc.	Deferred Share Units	Pang, Emily	4	2023-03-15	35	82.65	3,949	13
Granite REIT Inc.	Performance Share Units	Ramparas, Michael Anthony	5	2023-03-15	35	82.65	11,976	39
Granite REIT Inc.	Restricted Share Units	Ramparas, Michael Anthony	5	2023-03-15	35	82.65	6,816	22
Granite REIT Inc.	Deferred Share Units	Warren, Edna Jennifer	4	2023-03-15	35	82.65	10,550	34
Green River Gold Corp.	Common Shares	Upright, David Charles	4	2023-03-07	10	0.055	1,351,000	30,000
Green River Gold Corp.	Common Shares	Upright, David Charles	4	2023-03-07	10	0.055		30,000
Green River Gold Corp.	Common Shares	Upright, David Charles	4	2023-03-07	10	0.055		30,000
Green River Gold Corp.	Common Shares	Upright, David Charles	4	2023-03-13	10	0.055	1,361,000	10,000
Green River Gold Corp.	Common Shares	Upright, David Charles	4	2023-03-14	10	0.055	1,380,000	19,000
GreenFirst Forest Products Inc. (formerly, Itasca Capital Ltd.)	Deferred Share Units (DSUs)	Proctor, Marty Leigh	4	2021-05-17	00			
GreenFirst Forest Products Inc. (formerly, Itasca Capital Ltd.)	Deferred Share Units (DSUs)	Proctor, Marty Leigh	4	2022-01-17	56	1.8615	16,787	16,787

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
GreenFirst Forest Products Inc. (formerly, Itasca Capital Ltd.)	Deferred Share Units (DSUs)	Proctor, Marty Leigh	4	2022-04-01	56	2.0435	32,079	15,292
GreenFirst Forest Products Inc. (formerly, Itasca Capital Ltd.)	Deferred Share Units (DSUs)	Proctor, Marty Leigh	4	2022-07-01	56	1.55	52,240	20,161
GreenFirst Forest Products Inc. (formerly, Itasca Capital Ltd.)	Deferred Share Units (DSUs)	Proctor, Marty Leigh	4	2022-10-01	56	1.5123	72,904	20,664
GreenFirst Forest Products Inc. (formerly, Itasca Capital Ltd.)	Options	Proctor, Marty Leigh	4	2022-12-23	50	1.5	329,560	174,520
Greenlane Renewables Inc.	Rights Restricted Share Units (RSU)	Balderston, Monty	5	2022-07-18	00			
Greenlane Renewables Inc.	Rights Restricted Share Units (RSU)	Balderston, Monty	5	2023-03-14	56		300,000	300,000
Greenlane Renewables Inc.	Rights Restricted Share Units (RSU)	Chassels, Alexander	5	2022-08-22	00			
Greenlane Renewables Inc.	Rights Restricted Share Units (RSU)	Chassels, Alexander	5	2023-03-14	56		275,000	275,000
Greenlane Renewables Inc.	Rights Restricted Share Units (RSU)	Douville, Brad Donald	4, 5	2023-03-14	56		633,885	375,000
Greenlane Renewables Inc.	Rights Restricted Share Units (RSU)	Goudie, Dale	5	2023-03-14	56		374,245	215,000
Greenlane Renewables Inc.	Rights Restricted Share Units (RSU)	KEYTON, SANDRA CATHERINE	5	2023-03-14	56		377,496	275,000
Greenlane Renewables Inc.	Rights Restricted Share Units (RSU)	Lendon, Heather Maura	5	2023-03-14	56		334,783	275,000
Greenlane Renewables Inc.	Rights Restricted Share Units (RSU)	MacKinnon, Donald Alexander Patrick	5	2022-08-10	00			
Greenlane Renewables Inc.	Rights Restricted Share Units (RSU)	MacKinnon, Donald Alexander Patrick	5	2023-03-14	56		180,000	180,000
Grid Metals Corp.	Common Shares	Dunbar, Robin Edward	4, 5	2023-03-15	10	0.15	2,025,172	40,000
Guardian Capital Group Limited	Non-Voting Shares Class A	Christodoulou, Petros	4	2023-03-10	10	40.386	10,000	-3,600
Guardian Capital Group Limited	Non-Voting Shares Class A	Christodoulou, Petros	4	2023-03-13	10	38	0	-10,000
H&R Real Estate Investment Trust	Units	Clow, Donald Everett	4	2023-03-12	00			
H&R Real Estate Investment Trust	Units	Clow, Donald Everett	4	2023-03-14	10	12.993	23,000	23,000
Hammerhead Energy Inc.	Common Shares Class A	McDermott, James AC	4	2023-02-24	00		83,102	
Hammerhead Energy Inc.	Warrants	McDermott, James AC	4	2023-02-24	00		66,482	
Hammond Power Solutions Inc.	Options Plan July 22 2005	David, FitzGibbon	4	2023-03-10	51	6.2	0	-10,000
Hammond Power Solutions Inc.	Subordinate Voting Shares Class A	David, FitzGibbon	4	2023-03-10	51	6.2	40,000	10,000
Hammond Power Solutions Inc.	Options Plan July 22 2005	GRANOVSKY, DAHRA	4	2023-03-10	51	6.2	0	-10,000
Hammond Power Solutions Inc.	Subordinate Voting Shares Class A	GRANOVSKY, DAHRA	4	2023-03-10	51	6.2	28,750	10,000
Hammond Power Solutions Inc.	Options Plan July 22 2005	HAMMOND, BILL	6	2023-03-10	51	6.2	0	-30,000
Hammond Power Solutions Inc.	Subordinate Voting Shares Class A	HAMMOND, BILL	6	2023-03-10	51	6.2	152,127	30,000
Hammond Power Solutions Inc.	Options Plan July 22 2005	Jaques, Frederick Maurice	4	2023-03-10	51	6.2	0	-10,000
Hammond Power Solutions Inc.	Rights Deferred Share Units	Jaques, Frederick Maurice	4	2022-06-01	56			296
Hammond Power Solutions Inc.	Rights Deferred Share Units	Jaques, Frederick Maurice	4	2022-06-01	56		38,440	1,176

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Hammond Power Solutions Inc.	Subordinate Voting Shares Class A	Jaques, Frederick Maurice	4	2023-03-10	51	6.2	15,000	10,000
Hammond Power Solutions Inc.	Options Plan July 22 2005	Robinson, Grant Cameron	4	2023-03-10	51	6.2	0	-10,000
Hammond Power Solutions Inc.	Subordinate Voting Shares Class A	Robinson, Grant Cameron	4	2023-03-10	51	6.2	65,764	10,000
Hampton Financial Corporation	Options	Juravlev, Olga	5	2022-08-26	00			
Hampton Financial Corporation	Options	Juravlev, Olga	5	2023-03-09	50	0.45	200,000	200,000
Hank Payments Corp. (formerly Nobelium Tech Corp.)	Common Shares	HILMER, MICHAEL	4, 5	2023-03-15	10	0.4		50,000
Hank Payments Corp. (formerly Nobelium Tech Corp.)	Common Shares	HILMER, MICHAEL	4, 5	2023-03-15	10	0.0403	2,452,938	50,000
Happy Supplements Inc.	Options	Pear, Steven	4, 5	2021-07-09	50	1.05		100,000
Happy Supplements Inc.	Options	Pear, Steven	4, 5	2021-07-09	50	1.05	200,000	200,000
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Grimwood, Jonathan L.	5	2023-03-14	10	6.41	0	-89,359
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Grimwood, Jonathan L.	5	2023-03-14	10	6.41	0	-171,194
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Grimwood, Jonathan L.	5	2023-03-14	10	6.41	523,624	-13,663
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Grimwood, Jonathan L.	5	2023-03-14	10	6.41	0	-91,587
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Grimwood, Jonathan L.	5	2023-03-14	10	6.41	0	-85,597
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Pearce, David Lawrence	4	2021-11-19	10	4.971	566,449	-30,000
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Pearce, David Lawrence	4	2021-11-22	10	4.9	556,449	-10,000
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Pearce, David Lawrence	4	2021-11-23	10	4.7	543,478	-12,971
Headwater Gold Inc.	Options	Currie, Dougald Graeme	4	2023-03-17	50	0.38	475,000	75,000
Heartfield Mining Corp.	Common Shares	McGrath, Sean	4, 5	2023-03-13	10	0.06	1,025,000	25,000
Hemisphere Energy Corporation	Common Shares	Arthur, Andrew John	5	2023-03-15	10	1.25	1,578,000	4,500
Hercules Silver Corp. (Formerly Bald Eagle Gold Corp.)	Options	Malcolm, Kelly James	4	2023-03-01	50	0.265	750,000	750,000
Hercules Silver Corp. (Formerly Bald Eagle Gold Corp.)	Options	Malcolm, Kelly James	4	2023-03-02	00			
Hercules Silver Corp. (Formerly Bald Eagle Gold Corp.)	Restricted Share Units	Malcolm, Kelly James	4	2023-03-01	56		750,000	750,000
Hercules Silver Corp. (Formerly Bald Eagle Gold Corp.)	Restricted Share Units	Malcolm, Kelly James	4	2023-03-02	00			
Heritage Mining Ltd.	Common Shares	Schloo, Peter	4, 5	2023-03-13	10	0.095	1,248,041	10,000
Hertz Lithium Inc.	Common Shares	Barker, Robert Wadhams	4	2022-02-01	00			

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Hertz Lithium Inc.	Common Shares	Barker, Robert Wadhams	4	2022-02-15	00			
Hertz Lithium Inc.	Common Shares	Barker, Robert Wadhams	4	2022-09-08	15	0.125		100,000
Hertz Lithium Inc.	Common Shares	Barker, Robert Wadhams	4	2022-09-08	16	0.125	100,000	100,000
Hertz Lithium Inc.	Options	Barker, Robert Wadhams	4	2022-02-01	00			
Hertz Lithium Inc.	Options	Barker, Robert Wadhams	4	2022-02-15	00			
Hertz Lithium Inc.	Options	Barker, Robert Wadhams	4	2022-09-30	16	0.125		250,000
Hertz Lithium Inc.	Options	Barker, Robert Wadhams	4	2022-09-30	16	0.125	250,000	250,000
Hertz Lithium Inc.	Warrants	Barker, Robert Wadhams	4	2022-02-01	00			
Hertz Lithium Inc.	Warrants	Barker, Robert Wadhams	4	2022-02-15	00			
Hertz Lithium Inc.	Warrants	Barker, Robert Wadhams	4	2022-09-08	16	0.125	100,000	100,000
Hertz Lithium Inc.	Warrants	Barker, Robert Wadhams	4	2022-09-22	16	0.125		100,000
High Tide Inc.	RSU - Restricted Share Units	Elliott, Andrea	4	2023-03-15	56		89,308	53,763
High Tide Inc.	Units RSU - Restricted Share Units	Grover, Harkirat	5	2018-11-20	00			
High Tide Inc.	Units RSU - Restricted Share Units	Grover, Harkirat	5	2023-03-15	56		120,967	120,967
High Tide Inc.	Units RSU - Restricted Share Units	Kaushal, Nitin	4	2023-03-15	56		151,519	53,763
High Tide Inc.	Units RSU - Restricted Share Units	Kwan, Arthur	4	2023-03-15	56		80,429	53,763
High Tide Inc.	Units RSU - Restricted Share Units	Palalas, Andreas - Alexander	5	2018-11-20	00			
High Tide Inc.	Units RSU - Restricted Share Units	Palalas, Andreas - Alexander	5	2023-03-15	56		13,440	13,440
High Tide Inc.	Units RSU - Restricted Share Units	Sinclair, Christian	4	2023-03-15	56		67,095	53,763
High Tide Inc.	Units RSU - Restricted Share Units	Sood, Aman	5	2021-03-15	00			
High Tide Inc.	Units RSU - Restricted Share Units	Sood, Aman	5	2023-03-15	56		30,241	30,241
Hill Street Beverage Company Inc.	Common Shares	Ruddle, Kevin	4	2023-03-13	54	0.05	2,984,782	690,000
Hill Street Beverage Company Inc.	Warrants	Ruddle, Kevin	4	2023-03-13	53	0.05	6,310,000	-690,000
Hill Street Beverage Company Inc.	Warrants	Ruddle, Kevin	4	2023-03-17	55		0	-6,310,000
Hillcrest Energy Technologies Ltd.	Common Shares	Berger, Ari	5	2021-06-07	11		0	-5,000,000
Hillcrest Energy Technologies Ltd.	Common Shares	Berger, Ari	5	2021-04-06	00		8,000,000	
Hillcrest Energy Technologies Ltd.	Common Shares	Berger, Ari	5	2021-06-07	11		220,000	-3,000,000
Hillcrest Energy Technologies Ltd.	Restricted Stock Units	Berger, Ari	5	2022-11-04	97			250,000
Hillcrest Energy Technologies Ltd.	Restricted Stock Units	Berger, Ari	5	2022-11-04	56		250,000	250,000
Hillcrest Energy Technologies Ltd. (formerly, Hillcrest Petroleum Ltd.)	Common Shares	Berger, Ari	5	2021-06-24	10	0.185		55,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Hillcrest Energy Technologies Ltd. (formerly, Hillcrest Petroleum Ltd.)	Common Shares	Berger, Ari	5	2022-03-31	51			562,500
Hillcrest Energy Technologies Ltd. (formerly, Hillcrest Petroleum Ltd.)	Common Shares	Berger, Ari	5	2022-03-31	57		562,500	562,500
Hillcrest Energy Technologies Ltd. (formerly, Hillcrest Petroleum Ltd.)	Common Shares	Berger, Ari	5	2021-06-24	10	0.185	8,055,000	55,000
Hillcrest Energy Technologies Ltd. (formerly, Hillcrest Petroleum Ltd.)	Restricted Stock Units	Berger, Ari	5	2022-03-31	50			562,500
Hillcrest Energy Technologies Ltd. (formerly, Hillcrest Petroleum Ltd.)	Restricted Stock Units	Berger, Ari	5	2022-03-31	56		562,500	562,500
Hillcrest Energy Technologies Ltd. (formerly, Hillcrest Petroleum Ltd.)	Restricted Stock Units	Berger, Ari	5	2022-03-31	51			-562,500
Hillcrest Energy Technologies Ltd. (formerly, Hillcrest Petroleum Ltd.)	Restricted Stock Units	Berger, Ari	5	2022-03-31	57		0	-562,500
Hilo Mining Ltd.	Restricted Share Unit	Poirier, Jeremy	4, 5	2021-11-12	00			
Hilo Mining Ltd.	Restricted Share Unit	Poirier, Jeremy	4, 5	2023-03-06	56		150,000	150,000
HIVE Blockchain Technologies Ltd.	Common Shares	Daubaras, Darcy Glenn	5	2023-03-13	10		8,900	-37,500
HIVE Blockchain Technologies Ltd.	Common Shares	Holmes, Frank Edward	4	2023-03-13	57		222,334	83,334
HIVE Blockchain Technologies Ltd.	Common Shares	Holmes, Frank Edward	4	2023-03-13	47		139,000	-83,334
HIVE Blockchain Technologies Ltd.	Restricted Share Units	Holmes, Frank Edward	4	2023-03-13	57		950,000	-83,334
Hopefield Ventures Two Inc.	Common Shares	Binns, Mark	4	2022-01-24	00			
Hopefield Ventures Two Inc.	Common Shares	Binns, Mark	4	2022-01-24	00		1,500,000	
Hopefield Ventures Two Inc.	Common Shares	Binns, Mark	4	2022-02-02	11	0.05		1,500,000
Hopefield Ventures Two Inc.	Common Shares	Binns, Mark	4	2022-02-02	11	0.05		1,500,000
Hopefield Ventures Two Inc.	Common Shares	Binns, Mark	4	2023-03-14	10	0.0963	1,545,000	4,000
Hopefield Ventures Two Inc.	Options	Binns, Mark	4	2022-01-24	00			
Hopefield Ventures Two Inc.	Options	Binns, Mark	4	2022-01-24	00		894,375	
Hopefield Ventures Two Inc.	Options	Binns, Mark	4	2022-02-10	50	0.1		299,375
Hopefield Ventures Two Inc.	Options	Binns, Mark	4	2022-02-10	50	0.1		299,375
Hopefield Ventures Two Inc.	Options	Binns, Mark	4	2022-02-28	50	0.05		470,000
Hopefield Ventures Two Inc.	Options	Binns, Mark	4	2022-02-28	50	0.05		470,000
Hopefield Ventures Two Inc.	Options	Binns, Mark	4	2023-01-09	50	0.1		125,000
Hopefield Ventures Two Inc.	Options	Binns, Mark	4	2023-01-09	50	0.1		125,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
iA Financial Corporation Inc.	Common Shares	Bergeron, Alain	5	2023-03-15	51	54.79	9,500	9,500
iA Financial Corporation Inc.	Common Shares	Bergeron, Alain	5	2023-03-15	10	82.479	0	-9,500
iA Financial Corporation Inc.	Options	Bergeron, Alain	5	2023-03-15	51	54.79	129,000	-9,500
IAMGOLD Corporation	Common Shares	Iemelin, bruno	5	2022-12-31	30		43,039	17,307
IAMGOLD Corporation	Common Shares	MacDougall, Craig Stephen	5	2022-12-31	30		338,387	27,402
IAMGOLD Corporation	Common Shares	Quinn, Dorena	5	2022-06-07	00		7,607	
IAMGOLD Corporation	Common Shares	Quinn, Dorena	5	2022-12-31	30		10,927	3,320
IAMGOLD Corporation	Restricted Share Awards	Quinn, Dorena	5	2022-06-07	00		95,924	
IAMGOLD Corporation	Common Shares	Toguyeni, Oumar	5	2022-12-31	30		147,735	14,514
IMAX Corporation	Common Shares	Berman, Gail	4	2023-03-06	00			
IMAX Corporation	Options 1:1	Berman, Gail	4	2023-03-06	00			
IMAX Corporation	Rights Performance Share Units	Berman, Gail	4	2023-03-06	00			
IMAX Corporation	Rights Restricted Share Unit	Berman, Gail	4	2023-03-06	00			
IMAX Corporation	Common Shares	Lister, Robert D.	5	2023-03-07	38	18.43		-52,052
IMAX Corporation	Common Shares	Lister, Robert D.	5	2023-03-07	38	18.43	134,618	-51,955
IMAX Corporation	Common Shares	Wong, Jennifer	4	2023-03-06	00			
IMAX Corporation	Options 1:1	Wong, Jennifer	4	2023-03-06	00			
IMAX Corporation	Rights Performance Share Units	Wong, Jennifer	4	2023-03-06	00			
IMAX Corporation	Rights Restricted Share Unit	Wong, Jennifer	4	2023-03-06	00			
Indigo Exploration Inc.	Options	Sveinson, Marino	4	2021-10-28	52		0	-41,667
Indigo Exploration Inc.	Warrants	Sveinson, Marino	4	2012-07-24	55		0	-50,000
Indigo Exploration Inc.	Warrants	Sveinson, Marino	4	2013-11-04	55		0	-200,000
Indigo Exploration Inc.	Warrants	Sveinson, Marino	4	2017-12-17	55		0	-250,000
INEO Tech Corp.	Options	Matyas, Steven Emanuel	4	2023-03-08	50	0.12	500,000	300,000
INEO Tech Corp.	Options	WATKIN, GREGORY THOMAS	3, 4, 5	2023-03-13	50	0.12	1,500,000	750,000
Infinity Stone Ventures Corp. (Formerly Kontakt World Technologies Corp.)	Subordinate Voting Shares Class A	Townsend, Michael	3, 4	2023-03-07	10	0.13	5,630,075	6,500
Infinity Stone Ventures Corp. (Formerly Kontakt World Technologies Corp.)	Subordinate Voting Shares Class A	Townsend, Michael	3, 4	2023-03-08	10	0.1275	5,644,575	14,500
Infinity Stone Ventures Corp. (Formerly Kontakt World Technologies Corp.)	Subordinate Voting Shares Class A	Townsend, Michael	3, 4	2023-03-09	10	0.125	5,650,575	6,000
Infinity Stone Ventures Corp. (Formerly Kontakt World Technologies Corp.)	Subordinate Voting Shares Class A	Townsend, Michael	3, 4	2023-03-13	10	0.11	5,682,075	31,500
Infinity Stone Ventures Corp. (Formerly Kontakt World Technologies Corp.)	Subordinate Voting Shares Class A	Townsend, Michael	3, 4	2023-03-09	10	0.125	1,294,000	10,000
Innergex Renewable Energy Inc.	Common Shares	Baribeault, Yves	5	2023-03-14	57	14.75	25,366	2,662
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	Baribeault, Yves	5	2023-03-14	57	14.75	25,033	-2,662

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	Baribeault, Yves	5	2023-03-14	38		22,355	-2,678
Innergex Renewable Energy Inc.	Common Shares	Boislard-Pépin, Alexandra	5	2023-03-14	57	14.75	2,897	2,271
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	Boislard-Pépin, Alexandra	5	2023-03-14	57	14.75	24,492	-2,271
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	Boislard-Pépin, Alexandra	5	2023-03-14	38		22,134	-2,358
Innergex Renewable Energy Inc.	Common Shares	de Batz de Trenquelléon, Renaud	5	2023-03-14	57	14.75	180,339	3,116
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	de Batz de Trenquelléon, Renaud	5	2023-03-14	57	14.75	19,973	-3,116
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	de Batz de Trenquelléon, Renaud	5	2023-03-14	38		16,720	-3,253
Innergex Renewable Energy Inc.	Common Shares	Giroux, Colleen Alana	5	2023-03-14	57	14.75	13,396	2,025
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	Giroux, Colleen Alana	5	2023-03-14	57	14.75	16,195	-2,025
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	Giroux, Colleen Alana	5	2023-03-14	38		14,079	-2,116
Innergex Renewable Energy Inc.	Common Shares	Kennedy, Matthew Grant	5	2023-03-14	57	14.75	58,978	1,975
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	Kennedy, Matthew Grant	5	2023-03-14	57	14.75	13,085	-1,975
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	Kennedy, Matthew Grant	5	2023-03-14	38		11,018	-2,067
Innergex Renewable Energy Inc.	Common Shares	Letellier, Michel	4, 5	2023-03-14	57	14.75	992,450	13,676
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	Letellier, Michel	4, 5	2023-03-14	57	14.75	124,000	-13,676
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	Letellier, Michel	4, 5	2023-03-14	38		110,297	-13,703
Innergex Renewable Energy Inc.	Common Shares	Nikolaidis, Nikolaos	5	2023-03-14	57	14.75	7,777	1,172
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	Nikolaidis, Nikolaos	5	2023-03-14	57	14.75	14,772	-1,172
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	Nikolaidis, Nikolaos	5	2023-03-14	38		13,537	-1,235
Innergex Renewable Energy Inc.	Common Shares	Trudel, Jean	5	2023-03-14	57	14.75	311,445	4,624
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	Trudel, Jean	5	2023-03-14	57	14.75	55,640	-4,624
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	Trudel, Jean	5	2023-03-14	38		51,007	-4,633
InPlay Oil Corp.	Common Shares	Bartole, Douglas	4, 5	2023-03-15	30	2.48	800,165	1,579
InPlay Oil Corp.	Common Shares	Dittmer, Darren W.	5	2023-03-15	30	2.48	357,667	1,579
InPlay Oil Corp.	Common Shares	Reese, Gordon	5	2023-03-15	30	2.48	653,966	1,546
InPlay Oil Corp.	Common Shares	Yakiwchuk, Kevin	5	2023-03-15	30	3.48	307,218	1,579
Intact Financial Corporation	Stock Incentives	Anderson, Kenneth	5	2022-06-14	57	181.38		-1,081

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Intact Financial Corporation	Stock Incentives	Anderson, Kenneth	5	2022-06-14	57	181.38	7,144	-686
Intact Financial Corporation	Stock Incentives	Barbeau, Patrick	5	2022-06-14	57	181.38		-4,023
Intact Financial Corporation	Stock Incentives	Barbeau, Patrick	5	2022-06-14	57	181.38	12,880	-2,554
Intact Financial Corporation	Stock Incentives	Brindamour, Charles	5	2022-06-14	57	181.38		-65,867
Intact Financial Corporation	Stock Incentives	Brindamour, Charles	5	2022-06-14	57	181.38	137,584	-41,821
Intact Financial Corporation	Stock Incentives	Cotnoir, Frédéric	5	2022-06-14	57	181.38		-5,919
Intact Financial Corporation	Stock Incentives	Cotnoir, Frédéric	5	2022-06-14	57	181.38	12,290	-3,758
Intact Financial Corporation	Stock Incentives	Coull-Cicchini, Debra Gail	5	2022-06-14	57	181.38		-5,739
Intact Financial Corporation	Stock Incentives	Coull-Cicchini, Debra Gail	5	2022-06-14	57	181.38	9,676	-3,644
Intact Financial Corporation	Deferred Share Units for Directors	De Silva, Janet	4	2022-12-31	30	187.03	13,219	265
Intact Financial Corporation	Stock Incentives	Fortin, Anne	5	2022-06-14	57	181.38		-3,396
Intact Financial Corporation	Stock Incentives	Fortin, Anne	5	2022-06-14	57	181.38	10,226	-2,156
Intact Financial Corporation	Stock Incentives	Gagnon, Louis	5	2022-06-14	57	181.38		-18,282
Intact Financial Corporation	Stock Incentives	Gagnon, Louis	5	2022-06-14	57	181.38	39,988	-11,608
Intact Financial Corporation	Stock Incentives	Godfrey, Darren Christopher	5	2022-06-14	57	181.38		-3,004
Intact Financial Corporation	Stock Incentives	Godfrey, Darren Christopher	5	2022-06-14	57	181.38	9,811	-1,907
Intact Financial Corporation	Common Shares	Katchen, Michael	4	2023-03-13	10	188.34	426	425
Intact Financial Corporation	Deferred Share Units for Directors	Katchen, Michael	4	2022-12-31	30	187.03	230	1
Intact Financial Corporation	Deferred Share Units for Directors	Kinney, Jane Elizabeth	4	2022-12-31	30	187.03	5,090	101
Intact Financial Corporation	Stock Incentives	Marcotte, Louis	5	2022-06-14	57	181.38		-7,314
Intact Financial Corporation	Stock Incentives	Marcotte, Louis	5	2022-06-14	57	181.38	20,843	-4,644
Intact Financial Corporation	Stock Incentives	Miller, Timothy Michael	5	2022-06-14	57	181.38		-13,709
Intact Financial Corporation	Stock Incentives	Miller, Timothy Michael	5	2022-06-14	57	181.38	124,800	-8,704
Intact Financial Corporation	Stock Incentives	Morissette, Benoit	5	2022-06-14	57	181.38		-5,353
Intact Financial Corporation	Stock Incentives	Morissette, Benoit	5	2022-06-14	57	181.38	10,813	-3,399
Intact Financial Corporation	Stock Incentives	Muehlemann, Werner	5	2022-06-14	57	181.38		-5,006
Intact Financial Corporation	Stock Incentives	Muehlemann, Werner	5	2022-06-14	57	181.38	4,657	-2,554
Intact Financial Corporation	Deferred Share Units for Directors	Paquette, Sylvie	4	2022-12-31	30	187.03	8,984	181
Intact Financial Corporation	Deferred Share Units for Directors	Samarasekera, Indira Vasanti	4	2022-12-31	30	187.03	1,988	30
Intact Financial Corporation	Stock Incentives	Smith, Carla Anne	5	2022-06-14	57	181.38		-2,437
Intact Financial Corporation	Stock Incentives	Smith, Carla Anne	5	2022-06-14	57	181.38	8,766	-1,548

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Intact Financial Corporation	Deferred Share Units for Directors	Young, William	4	2022-12-31	30	187.03	5,338	104
Integra Resources Corp.	Subscription Rights Subscription Receipts	Beedie, Ryan K	3	2022-08-04	00			
Integra Resources Corp.	Subscription Rights Subscription Receipts	Beedie, Ryan K	3	2023-03-16	16	0.7	3,375,000	3,375,000
International Clean Power Dividend Fund	Trust Units	International Clean Power Dividend Fund	1	2023-03-10	38	7.1665	1,290,200	3,400
International Clean Power Dividend Fund	Trust Units	International Clean Power Dividend Fund	1	2023-03-13	38	7.232	1,291,700	1,500
International Clean Power Dividend Fund	Trust Units	International Clean Power Dividend Fund	1	2023-03-14	38	7.2511	1,294,400	2,700
International Clean Power Dividend Fund	Trust Units	International Clean Power Dividend Fund	1	2023-03-15	38	7.22	1,295,000	600
International Petroleum Corporation	Performance Share Plan	Fountain, Jeffrey	5	2023-03-10	58 - Expiration of rights			-113,000
International Petroleum Corporation	Performance Share Plan	Fountain, Jeffrey	5	2023-03-10	58 - Expiration of rights		367,607	-58,393
International Petroleum Corporation	Performance Share Plan	NERGUARARIAN, CHRISTOPHE	5	2023-03-10	58 - Expiration of rights			-139,000
International Petroleum Corporation	Performance Share Plan	NERGUARARIAN, CHRISTOPHE	5	2023-03-10	58 - Expiration of rights		418,750	-104,250
International Petroleum Corporation	Performance Share Plan	Nicholson, Mike	4, 5	2022-08-09	58 - Expiration of rights			-122,630
International Petroleum Corporation	Performance Share Plan	Nicholson, Mike	4, 5	2022-08-09	58 - Expiration of rights		748,000	-239,557
International Tower Hill Mines Ltd.	Options	Drescher, Anton J.	4	2023-03-16	52	1	0	-60,000
InterRent Real Estate Investment Trust	Deferred Units	Amirault, Paul	4	2022-12-31	30		73,908	2,523
InterRent Real Estate Investment Trust	Deferred Units	Bellemare, Jean Louis	1	2022-06-20	56		3,693	3,693
InterRent Real Estate Investment Trust	Deferred Units	Bellemare, Jean Louis	1	2022-12-31	30		3,747	54
InterRent Real Estate Investment Trust	Deferred Units	Clancy, Michael Robert	5	2022-12-31	30		46,737	1,193
InterRent Real Estate Investment Trust	Deferred Units	Cutsey, Bradley	5	2022-12-31	30		496,517	12,841
InterRent Real Estate Investment Trust	Performance & Restricted Units	Cutsey, Bradley	5	2022-12-31	30		75,305	1,257
InterRent Real Estate Investment Trust	Performance & Restricted Units	Cutsey, Bradley	5	2023-03-06	56		139,403	64,098
InterRent Real Estate Investment Trust	Deferred Units	JUSSUP, WILLIAM JOHN	4	2022-12-31	30		28,460	709
InterRent Real Estate Investment Trust	Deferred Units	Leslie, Ronald A.	4	2022-12-31	30		131,968	4,128
InterRent Real Estate Investment Trust	Deferred Units	McGahan, Michael Darryl	4, 5	2022-12-31	30		2,690,288	70,744
InterRent Real Estate Investment Trust	Performance & Restricted Units	McGahan, Michael Darryl	4, 5	2022-12-31	30		50,204	838
InterRent Real Estate Investment Trust	Performance & Restricted Units	McGahan, Michael Darryl	4, 5	2023-03-06	30			32,049
InterRent Real Estate Investment Trust	Performance & Restricted Units	McGahan, Michael Darryl	4, 5	2023-03-06	56		82,253	32,049
InterRent Real Estate Investment Trust	Deferred Units	Millar, Curt	5	2022-12-31	30		164,830	4,169
InterRent Real Estate Investment Trust	Performance & Restricted Units	Millar, Curt	5	2022-12-31	30		29,881	499

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
InterRent Real Estate Investment Trust	Performance & Restricted Units	Millar, Curt	5	2023-03-06	56		55,862	25,981
InterRent Real Estate Investment Trust	Deferred Units	Nevins, David	5	2022-09-26	59		445,988	-22,000
InterRent Real Estate Investment Trust	Deferred Units	Nevins, David	5	2022-09-26	57		423,988	-22,000
InterRent Real Estate Investment Trust	Deferred Units	Nevins, David	5	2022-09-27	57		399,988	-24,000
InterRent Real Estate Investment Trust	Deferred Units	Nevins, David	5	2022-09-27	59		375,988	-24,000
InterRent Real Estate Investment Trust	Deferred Units	Nevins, David	5	2022-12-31	30		388,065	12,077
InterRent Real Estate Investment Trust	Performance & Restricted Units	Nevins, David	5	2018-02-15	00			
InterRent Real Estate Investment Trust	Performance & Restricted Units	Nevins, David	5	2022-04-28	56		23,696	23,696
InterRent Real Estate Investment Trust	Performance & Restricted Units	Nevins, David	5	2022-12-31	30		24,098	402
InterRent Real Estate Investment Trust	Performance & Restricted Units	Nevins, David	5	2023-03-06	56		46,524	22,426
InterRent Real Estate Investment Trust	Trust Units	Nevins, David	5	2022-09-26	57		310,578	22,000
InterRent Real Estate Investment Trust	Trust Units	Nevins, David	5	2022-09-27	57		334,578	24,000
InterRent Real Estate Investment Trust	Deferred Units	Pangborn, Cheryl Anne	4	2022-12-31	30		40,537	1,025
InterRent Real Estate Investment Trust	Deferred Units	Stewart, Craig Alexander	5	2022-12-31	30		19,987	544
InterRent Real Estate Investment Trust	Performance & Restricted Units	Stewart, Craig Alexander	5	2022-12-31	30		5,303	89
InterRent Real Estate Investment Trust	Performance & Restricted Units	Stewart, Craig Alexander	5	2023-03-06	56		10,041	4,738
Inventronics Limited	Common Shares	Wilson, W. Garth	3	2009-02-18	00		755,000	
Inventronics Limited	Common Shares	Wilson, W. Garth	3	2021-10-25	11		868,750	113,750
Inventronics Limited	Options	Wilson, W. Garth	3	2018-08-01	52		0	-100,000
Inventus Mining Corp.	Common Shares	Spears, Stephan Michael Seydegart	6	2023-03-13	10	0.105	2,065,509	4,000
Jaguar Mining Inc.	Common Shares	Baker, Vernon Casey	5	2023-03-15	10	2.55	41,509	2,911
Jourdan Resources Inc.	Common Shares	Bryce, Robert	4	2023-02-21	00		110,000	
Journey Energy Inc.	Common Shares	Bell, Aaron P	5	2022-04-01	00			
Journey Energy Inc.	Common Shares	Bell, Aaron P	5	2022-05-26	30			249
Journey Energy Inc.	Common Shares	Bell, Aaron P	5	2022-05-26	30	7.03	249	249
Journey Energy Inc.	Common Shares	Bell, Aaron P	5	2022-06-13	30			255
Journey Energy Inc.	Common Shares	Bell, Aaron P	5	2022-06-13	30	6.86	504	255
Journey Energy Inc.	Common Shares	Bell, Aaron P	5	2022-07-07	30	4.74	873	369
Journey Energy Inc.	Common Shares	Bell, Aaron P	5	2022-08-12	30	6.35	1,149	276
Journey Energy Inc.	Common Shares	Bell, Aaron P	5	2022-09-09	30	5.57	1,463	314
Journey Energy Inc.	Common Shares	Bell, Aaron P	5	2022-10-25	30	5.85	1,762	299
Journey Energy Inc.	Common Shares	Bell, Aaron P	5	2022-11-14	30	6.21	2,040	278
Journey Energy Inc.	Common Shares	Bell, Aaron P	5	2022-12-09	30	5.03	2,388	348
Journey Energy Inc.	Common Shares	Boklaschuk, Brett	1	2022-05-26	30	7.03	155,383	273
Journey Energy Inc.	Common Shares	Boklaschuk, Brett	1	2022-06-13	30	6.86	155,663	280
Journey Energy Inc.	Common Shares	Boklaschuk, Brett	1	2022-07-07	30	4.74	156,068	405
Journey Energy Inc.	Common Shares	Boklaschuk, Brett	1	2022-08-12	30	6.35	156,370	302
Journey Energy Inc.	Common Shares	Boklaschuk, Brett	1	2022-09-09	30	5.57	156,714	344
Journey Energy Inc.	Common Shares	Boklaschuk, Brett	1	2022-10-25	30	5.85	235,218	327
Journey Energy Inc.	Common Shares	Boklaschuk, Brett	1	2022-11-14	30	6.21	235,523	305

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Journey Energy Inc.	Common Shares	Boklaschuk, Brett	1	2022-12-09	30	5.03	235,904	381
Journey Energy Inc.	Common Shares	De Ciancio, Guido	5	2022-06-13	35	6.86		122
Journey Energy Inc.	Common Shares	De Ciancio, Guido	5	2022-06-13	30	6.86	122	122
Journey Energy Inc.	Common Shares	De Ciancio, Guido	5	2022-07-07	30	4.74	474	352
Journey Energy Inc.	Common Shares	De Ciancio, Guido	5	2022-08-12	30	6.35	737	263
Journey Energy Inc.	Common Shares	De Ciancio, Guido	5	2022-09-09	30	5.57	1,036	299
Journey Energy Inc.	Common Shares	De Ciancio, Guido	5	2022-10-25	30	5.85	63,471	285
Journey Energy Inc.	Common Shares	De Ciancio, Guido	5	2022-11-14	30	6.21	63,736	265
Journey Energy Inc.	Common Shares	De Ciancio, Guido	5	2022-12-09	30	5.03	64,067	331
Journey Energy Inc.	Common Shares	De Ciancio, Guido	5	2022-04-01	00			
Journey Energy Inc.	Common Shares	De Ciancio, Guido	5	2022-05-26	30	7.03	237	237
Journey Energy Inc.	Common Shares	De Ciancio, Guido	5	2022-06-13	30	6.86	359	122
Journey Energy Inc.	Common Shares	Tracy, Richard	5	2022-05-26	30	7.03	249	249
Journey Energy Inc.	Common Shares	Tracy, Richard	5	2022-06-13	30	6.86	504	255
Journey Energy Inc.	Common Shares	Tracy, Richard	5	2022-07-07	30	4.74	873	369
Journey Energy Inc.	Common Shares	Tracy, Richard	5	2022-08-12	30	6.35	11,149	276
Journey Energy Inc.	Common Shares	Tracy, Richard	5	2022-09-09	30	5.57	11,463	314
Journey Energy Inc.	Common Shares	Tracy, Richard	5	2022-10-25	30	5.85	57,382	299
Journey Energy Inc.	Common Shares	Tracy, Richard	5	2022-11-14	30	6.21	57,660	278
Journey Energy Inc.	Common Shares	Tracy, Richard	5	2022-12-09	30	5.03	58,008	348
Journey Energy Inc.	Common Shares	Verge, Alexander G.	4, 5	2022-05-26	30	7.03	2,429,102	326
Journey Energy Inc.	Common Shares	Verge, Alexander G.	4, 5	2022-06-13	30	6.86	2,429,436	334
Journey Energy Inc.	Common Shares	Verge, Alexander G.	4, 5	2022-07-07	30	4.74	2,429,920	484
Journey Energy Inc.	Common Shares	Verge, Alexander G.	4, 5	2022-08-12	30	6.35	2,470,281	361
Journey Energy Inc.	Common Shares	Verge, Alexander G.	4, 5	2022-09-09	30	5.57	2,470,693	412
Journey Energy Inc.	Common Shares	Verge, Alexander G.	4, 5	2022-10-25	30	5.85	2,657,155	392
Journey Energy Inc.	Common Shares	Verge, Alexander G.	4, 5	2022-11-14	30	6.21	2,657,520	365
Journey Energy Inc.	Common Shares	Verge, Alexander G.	4, 5	2022-12-09	30	5.03	2,657,975	455
Journey Energy Inc.	Common Shares	Yates, Ryan	5	2022-05-26	30	7.03	213	213
Journey Energy Inc.	Common Shares	Yates, Ryan	5	2022-06-13	30	6.86	450	237
Journey Energy Inc.	Common Shares	Yates, Ryan	5	2022-07-07	30	4.74	793	343
Journey Energy Inc.	Common Shares	Yates, Ryan	5	2022-08-12	30	6.35	1,049	256
Journey Energy Inc.	Common Shares	Yates, Ryan	5	2022-09-09	30	5.57	1,341	292
Journey Energy Inc.	Common Shares	Yates, Ryan	5	2022-10-25	30	5.85	28,945	278
Journey Energy Inc.	Common Shares	Yates, Ryan	5	2022-11-14	30	6.21	29,204	259
Journey Energy Inc.	Common Shares	Yates, Ryan	5	2022-12-09	30	5.03	29,527	323
Jushi Holdings Inc.	12% Second Lien Series A Notes due 2026	Cacioppo, James	3, 4, 5	2019-06-06	00			
Jushi Holdings Inc.	12% Second Lien Series A Notes due 2026	Cacioppo, James	3, 4, 5	2023-03-15	11		\$750,000	\$750,000
Killam Apartment Real Estate Investment Trust	Trust Units	Buckle-McIntosh, Ruth Mary	5	2023-03-17	90		29,337	-539
Killam Apartment Real Estate Investment Trust	Trust Units	Buckle-McIntosh, Ruth Mary	5	2023-03-17	90		12,822	539
Killam Apartment Real Estate Investment Trust	Trust Units	Buckle-McIntosh, Ruth Mary	5	2023-03-17	35	18.58	13,326	504
Killam Apartment Real Estate Investment Trust	Trust Units	Buckle-McIntosh, Ruth Mary	5	2023-03-17	35	18.58	5,343	207
Killam Apartment Real Estate Investment Trust	Rights Restricted Units	Karine, MacIndoe	4	2023-03-13	30	18.58	7,465	179

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Killam Apartment Real Estate Investment Trust	Rights Restricted Units	Karine, MacIndoe	4	2023-03-15	56	17.624	9,025	1,560
Killam Apartment Real Estate Investment Trust	Rights Restricted Units	Landry, Aldea	4	2023-03-13	35	18.58	14,065	487
Killam Apartment Real Estate Investment Trust	Rights Restricted Units	Landry, Aldea	4	2023-03-15	56	17.624	14,869	804
Killam Apartment Real Estate Investment Trust	Rights Restricted Units	MacKeigan, Laurie	4	2023-03-13	35	18.58	8,385	239
Killam Apartment Real Estate Investment Trust	Rights Restricted Units	MacKeigan, Laurie	4	2023-03-15	56	17.624	9,591	1,206
Killam Apartment Real Estate Investment Trust	Trust Units	MacKeigan, Laurie	4	2023-03-13	35	18.58	3,909	143
Killam Apartment Real Estate Investment Trust	Rights Restricted Units	Walt, Manfred	4	2023-03-15	56	17.624	30,888	1,348
Kinaxis Inc.	Common Shares	Carreiro, Paul	5	2022-09-02	51	72.51		57,782
Kinaxis Inc.	Common Shares	Carreiro, Paul	5	2022-09-02	10	144.13		-42,100
Kinaxis Inc.	Common Shares	Carreiro, Paul	5	2022-09-08	51	72.51	65,612	57,782
Kinaxis Inc.	Common Shares	Carreiro, Paul	5	2022-09-08	10	144.13	23,512	-42,100
Kinaxis Inc.	Common Shares	Carreiro, Paul	5	2023-03-13	51	76.48	13,948	1,745
Kinaxis Inc.	Common Shares	Carreiro, Paul	5	2023-03-13	10	166.05	12,203	-1,745
Kinaxis Inc.	Common Shares	Carreiro, Paul	5	2023-03-13	51	147.2	13,547	1,344
Kinaxis Inc.	Common Shares	Carreiro, Paul	5	2023-03-13	10	167.67	12,203	-1,344
Kinaxis Inc.	Common Shares	Carreiro, Paul	5	2023-03-14	51	76.48	14,208	2,005
Kinaxis Inc.	Common Shares	Carreiro, Paul	5	2023-03-14	10	167.37	12,203	-2,005
Kinaxis Inc.	Common Shares	Carreiro, Paul	5	2023-03-14	51	112.17	17,650	5,447
Kinaxis Inc.	Common Shares	Carreiro, Paul	5	2023-03-14	10	169.49	12,203	-5,447
Kinaxis Inc.	Common Shares	Carreiro, Paul	5	2023-03-14	51	132.88	16,167	3,964
Kinaxis Inc.	Common Shares	Carreiro, Paul	5	2023-03-14	10	168.55	12,203	-3,964
Kinaxis Inc.	Common Shares	Carreiro, Paul	5	2023-03-15	57	169.47	15,731	3,528
Kinaxis Inc.	Common Shares	Carreiro, Paul	5	2023-03-15	10	169.47	14,284	-1,447
Kinaxis Inc.	Options	Carreiro, Paul	5	2022-09-02	51	72.51		-57,782
Kinaxis Inc.	Options	Carreiro, Paul	5	2022-09-08	51	72.51	86,646	-57,782
Kinaxis Inc.	Options	Carreiro, Paul	5	2023-03-13	51		30,154	-1,745
Kinaxis Inc.	Options	Carreiro, Paul	5	2023-03-13	51		28,810	-1,344
Kinaxis Inc.	Options	Carreiro, Paul	5	2023-03-14	51	76.48	26,805	-2,005
Kinaxis Inc.	Options	Carreiro, Paul	5	2023-03-14	51	112.17	21,358	-5,447
Kinaxis Inc.	Options	Carreiro, Paul	5	2023-03-14	51	132.88	17,394	-3,964
Kinaxis Inc.	Rights Performance Share Units	Carreiro, Paul	5	2023-03-15	56		21,706	1,263
Kinaxis Inc.	Rights Performance Share Units	Carreiro, Paul	5	2023-03-15	57		18,178	-3,528
Kinaxis Inc.	Common Shares	Fitzgerald, Christopher John Blaine	5	2023-03-15	57	169.47	9,578	2,647
Kinaxis Inc.	Common Shares	Fitzgerald, Christopher John Blaine	5	2023-03-15	10	169.47	8,101	-1,477
Kinaxis Inc.	Rights Performance Share Units	Fitzgerald, Christopher John Blaine	5	2023-03-15	56		21,161	948
Kinaxis Inc.	Rights Performance Share Units	Fitzgerald, Christopher John Blaine	5	2023-03-15	57		18,514	-2,647
Kinaxis Inc.	Common Shares	Hollingworth, James Alexander	5	2023-03-15	57	169.47	1,437	882

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Kinaxis Inc.	Common Shares	Hollingworth, James Alexander	5	2023-03-15	10	169.47	2,319	882
Kinaxis Inc.	Rights Performance Share Units	Hollingworth, James Alexander	5	2023-03-15	56		8,306	316
Kinaxis Inc.	Rights Performance Share Units	Hollingworth, James Alexander	5	2023-03-15	57		7,424	-882
Kinaxis Inc.	Common Shares	Mauger, Michael David	5	2023-03-15	57	169.47	882	882
Kinaxis Inc.	Common Shares	Mauger, Michael David	5	2023-03-15	10	169.47	0	-882
Kinaxis Inc.	Rights Performance Share Units	Mauger, Michael David	5	2023-03-15	56		6,354	316
Kinaxis Inc.	Rights Performance Share Units	Mauger, Michael David	5	2023-03-15	57		5,472	-882
Kinaxis Inc.	Common Shares	Muelhoefer, Jay Allan	5	2023-03-14	51	81.24	14,215	9,000
Kinaxis Inc.	Common Shares	Muelhoefer, Jay Allan	5	2023-03-14	10	169.02	5,215	-9,000
Kinaxis Inc.	Common Shares	Muelhoefer, Jay Allan	5	2023-03-15	57	169.47	6,978	1,763
Kinaxis Inc.	Common Shares	Muelhoefer, Jay Allan	5	2023-03-15	10	169.47	5,215	-1,763
Kinaxis Inc.	Common Shares	Muelhoefer, Jay Allan	5	2023-03-16	51	81.24	13,215	8,000
Kinaxis Inc.	Common Shares	Muelhoefer, Jay Allan	5	2023-03-16	10	170	5,215	-8,000
Kinaxis Inc.	Options	Muelhoefer, Jay Allan	5	2023-03-14	51	81.24	51,737	-9,000
Kinaxis Inc.	Options	Muelhoefer, Jay Allan	5	2023-03-16	51	81.24	43,737	-8,000
Kinaxis Inc.	Rights Performance Share Units	Muelhoefer, Jay Allan	5	2023-03-15	56		10,442	631
Kinaxis Inc.	Rights Performance Share Units	Muelhoefer, Jay Allan	5	2023-03-15	57		8,679	-1,763
Kinaxis Inc.	Common Shares	Paterson, Megan	5	2023-03-15	57	169.47	3,024	1,411
Kinaxis Inc.	Common Shares	Paterson, Megan	5	2023-03-15	10	169.47	1,613	-1,411
Kinaxis Inc.	Rights Performance Share Units	Paterson, Megan	5	2023-03-15	56		11,224	505
Kinaxis Inc.	Rights Performance Share Units	Paterson, Megan	5	2023-03-15	57		9,813	-1,411
Kinaxis Inc.	Common Shares	Robinson, Anne Gillian	5	2023-03-15	57	169.47	2,374	882
Kinaxis Inc.	Common Shares	Robinson, Anne Gillian	5	2023-03-15	10	169.47	1,882	-492
Kinaxis Inc.	Rights Performance Share Units	Robinson, Anne Gillian	5	2023-03-15	56		6,452	316
Kinaxis Inc.	Rights Performance Share Units	Robinson, Anne Gillian	5	2023-03-15	57		5,570	-882
Kinaxis Inc.	Common Shares	Sicard, John Ernest	4, 5	2023-03-15	57	169.47	284,804	6,705
Kinaxis Inc.	Common Shares	Sicard, John Ernest	4, 5	2023-03-15	10	169.47	278,099	-6,705
Kinaxis Inc.	Rights Performance Share Units	Sicard, John Ernest	4, 5	2023-03-15	56		51,135	2,401
Kinaxis Inc.	Rights Performance Share Units	Sicard, John Ernest	4, 5	2023-03-15	57		44,430	-6,705
Kits Eyecare Ltd.	Common Shares	Hardy, Roger	3, 4, 5	2023-03-16	10	4.0941	868,500	40,000
Kiwetinohk Energy Corp.	Common Shares	Reimer-Heck, Beth	4	2023-03-14	10	12.18	6,000	1,000
Kiwetinohk Energy Corp.	Common Shares	Reimer-Heck, Beth	4	2023-03-14	10	12.19	6,100	100
Kiwetinohk Energy Corp.	Common Shares	Reimer-Heck, Beth	4	2023-03-14	10	12.2	6,300	200
Kiwetinohk Energy Corp.	Common Shares	Reimer-Heck, Beth	4	2023-03-14	10	12.34	6,800	500
Kiwetinohk Energy Corp.	Common Shares	Reimer-Heck, Beth	4	2023-03-14	10	12.35	8,500	1,700
Kiwetinohk Energy Corp.	Common Shares	Reimer-Heck, Beth	4	2023-03-14	10	12.37	8,700	200
Kiwetinohk Energy Corp.	Common Shares	Reimer-Heck, Beth	4	2023-03-14	10	12.39	9,100	400
kneat.com, inc.	Common Shares	Dawe, Wade K.	5	2023-03-10	10	2.7	3,771,528	20,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Knight Therapeutics Inc.	Common Shares	Emblem, Susan Caroline	5	2023-03-15	30	5.04	6,510	1,190
Knight Therapeutics Inc.	Common Shares	Khouri, Amal	5	2023-03-15	30	5.04	132,173	2,116
Knight Therapeutics Inc.	Common Shares	Montejo, Ricardo Adolfo	5	2021-09-01	00			
Knight Therapeutics Inc.	Common Shares	Montejo, Ricardo Adolfo	5	2023-03-15	30	5.04	407	407
Knight Therapeutics Inc.	Common Shares	Murray, Janice Jean	4	2023-03-15	30	5.04	8,493	495
Knight Therapeutics Inc.	Common Shares	Sakhia, Samira	4, 5	2023-03-15	30	5.04	166,690	2,614
Knight Therapeutics Inc.	Common Shares	Utchanah, Arvind	5	2023-03-15	30	5.04	17,421	1,096
KORE Mining Ltd.	Common Shares	Hynes, James Warwick	4, 5	2023-03-09	10	0.04	7,129,187	50,000
KORE Mining Ltd.	Common Shares	Hynes, James Warwick	4, 5	2023-03-16	10	0.035	7,179,187	50,000
KWG Resources Inc.	Options	Sharma, Rajesh	4	2023-02-07	00			
KWG Resources Inc.	Options	Sharma, Rajesh	4	2023-02-08	50	2.85	120,000	120,000
KWG Resources Inc.	Options	Sharma, Rajesh	4	2023-02-28	50	3	150,000	30,000
KWG Resources Inc.	Options	Sharma, Rajesh	4	2023-02-08	50	2.85		120,000
Laramide Resources Ltd.	Common Shares	Henderson, Marc Charles	4, 5	2023-03-10	10	0.38	17,308,997	25,000
Laurentian Bank of Canada	Common Shares	Langevin, Thierry	5	2023-03-14	59	32.67	0	-2,022
Liberty Gold Corp. (formerly Pilot Gold Inc.)	Common Shares	Gilligan, Jonathan	5	2023-02-09	36	0.53		50,000
Liberty Gold Corp. (formerly Pilot Gold Inc.)	Common Shares	Gilligan, Jonathan	5	2023-02-09	57	0.53	278,623	50,000
Liberty Gold Corp. (formerly Pilot Gold Inc.)	Rights Restricted Share Unit	Gilligan, Jonathan	5	2023-02-09	57			50,000
Liberty Gold Corp. (formerly Pilot Gold Inc.)	Rights Restricted Share Unit	Gilligan, Jonathan	5	2023-02-09	57		641,666	-50,000
Lightspeed Commerce Inc.	Options	Micak, Daniel Robert	5	2023-03-15	50	20.05	355,658	50,000
Lightspeed Commerce Inc.	Options	Saint-Martin, Jean-David	5	2023-03-15	50	20.05	484,453	100,000
Liminal BioSciences Inc. (formerly Prometic Life Sciences Inc.)	Common Shares	BEST, SIMON GEOFFREY	4	2023-03-17	37		630	-5,669
Liminal BioSciences Inc. (formerly Prometic Life Sciences Inc.)	Common Shares	BEST, SIMON GEOFFREY	4	2023-03-17	37		1,517	-13,659
Liminal BioSciences Inc. (formerly Prometic Life Sciences Inc.)	Options	BEST, SIMON GEOFFREY	4	2023-03-17	37		9,072	-81,647
Liminal BioSciences Inc. (formerly Prometic Life Sciences Inc.)	Options	BRIDGER, GARY	4	2023-03-17	37		14,885	-133,961
Liminal BioSciences Inc. (formerly Prometic Life Sciences Inc.)	Options	Iskra, Marie	5	2023-03-17	37		15,697	-141,273
Liminal BioSciences Inc. (formerly Prometic Life Sciences Inc.)	Options	Klompas, Neil	4	2023-03-17	37		4,885	-43,961
Liminal BioSciences Inc. (formerly Prometic Life Sciences Inc.)	Common Shares	Pritchard, Bruce	5	2023-03-17	37		379	-3,408
Liminal BioSciences Inc. (formerly Prometic Life Sciences Inc.)	Common Shares	Pritchard, Bruce	5	2023-03-17	37		967	-8,700

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Liminal BioSciences Inc. (formerly Prometic Life Sciences Inc.)	Common Shares	Pritchard, Bruce	5	2023-03-17	90		0	-109
Liminal BioSciences Inc. (formerly Prometic Life Sciences Inc.)	Options	Pritchard, Bruce	5	2023-03-17	37		61,354	-552,188
Liminal BioSciences Inc. (formerly Prometic Life Sciences Inc.)	Options	Rusaw, Norla Nicole	5	2023-02-01	37			-90,000
Liminal BioSciences Inc. (formerly Prometic Life Sciences Inc.)	Options	Rusaw, Norla Nicole	5	2023-03-17	37		10,000	-90,000
Liminal BioSciences Inc. (formerly Prometic Life Sciences Inc.)	Common Shares	Sartore, Patrick	5	2023-03-17	37		162	-1,465
Liminal BioSciences Inc. (formerly Prometic Life Sciences Inc.)	Common Shares	Sartore, Patrick	5	2023-03-17	37		76	-686
Liminal BioSciences Inc. (formerly Prometic Life Sciences Inc.)	Common Shares	Sartore, Patrick	5	2023-03-17	37		79	-718
Liminal BioSciences Inc. (formerly Prometic Life Sciences Inc.)	Options	Sartore, Patrick	5	2023-03-17	37		36,854	-331,688
Liminal BioSciences Inc. (formerly Prometic Life Sciences Inc.)	Common Shares	Wach, Timothy Steven	4	2023-03-17	37		900	-8,100
Liminal BioSciences Inc. (formerly Prometic Life Sciences Inc.)	Options	Wach, Timothy Steven	4	2023-03-17	37		4,885	-43,961
Linamar Corporation	Common Shares	STODDART, MARK	4, 5	2023-03-14	10	62.85	517,800	-23,880
Lithium Ionic Corp. (formerly, POCML 6 Inc.)	Common Shares	Hylands, Blake	4, 5	2023-03-16	10	2.06	140,000	10,000
Lithium Ionic Corp. (formerly, POCML 6 Inc.)	Common Shares	Rezende Guimaraes, Andre	5	2023-03-10	00		4,000,000	
Lithium Ionic Corp. (formerly, POCML 6 Inc.)	Warrants	Rezende Guimaraes, Andre	5	2023-03-10	00		1,500,000	
Lithium Royalty Corp.	Deferred Share Units (DSU)	Breen, Elizabeth	4	2023-03-08	00			
Lithium Royalty Corp.	Deferred Share Units (DSU)	Breen, Elizabeth	4	2023-03-15	56		4,045	4,045
Lithium Royalty Corp.	Common Shares	Choi, Kai Hou (Billy)	5	2023-03-08	00			
Lithium Royalty Corp.	Common Shares	Choi, Kai Hou (Billy)	5	2023-03-15	15	17	880	880
Lithium Royalty Corp.	Restricted Share Units (RSU)	Choi, Kai Hou (Billy)	5	2023-03-08	00			
Lithium Royalty Corp.	Restricted Share Units (RSU)	Choi, Kai Hou (Billy)	5	2023-03-15	56		2,941	2,941
Lithium Royalty Corp.	Deferred Share Units (DSU)	Kanellitsas, John	4	2023-03-08	00			
Lithium Royalty Corp.	Deferred Share Units (DSU)	Kanellitsas, John	4	2023-03-08	00			
Lithium Royalty Corp.	Deferred Share Units (DSU)	Kanellitsas, John	4	2023-03-08	00			
Lithium Royalty Corp.	Deferred Share Units (DSU)	Kanellitsas, John	4	2023-03-15	56		4,045	4,045
Lithium Royalty Corp.	Common Shares	Levinsky, Blair	4, 5	2023-03-08	00			
Lithium Royalty Corp.	Common Shares	Levinsky, Blair	4, 5	2023-03-15	15	17	176,480	176,480
Lithium Royalty Corp.	Convertible Common Shares	Levinsky, Blair	4, 5	2023-03-08	00		834,522	
Lithium Royalty Corp.	Convertible Common Shares	Levinsky, Blair	4, 5	2023-03-08	00		268,523	

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Lithium Royalty Corp.	Restricted Share Units (RSU)	Levinsky, Blair	4, 5	2023-03-08	00			
Lithium Royalty Corp.	Restricted Share Units (RSU)	Levinsky, Blair	4, 5	2023-03-15	56		40,453	40,453
Lithium Royalty Corp.	Convertible Common Shares	Ortega, Ernie Ortiz	4, 5	2023-03-08	00		20,863	
Lithium Royalty Corp.	Convertible Common Shares	Ortega, Ernie Ortiz	4, 5	2023-03-08	00		21,482	
Lithium Royalty Corp.	Restricted Share Units (RSU)	Ortega, Ernie Ortiz	4, 5	2023-03-08	00			
Lithium Royalty Corp.	Restricted Share Units (RSU)	Ortega, Ernie Ortiz	4, 5	2023-03-15	56		202,265	202,265
Lithium Royalty Corp.	Restricted Share Units (RSU)	Ortega, Ernie Ortiz	4, 5	2023-03-15	56		242,718	40,453
Lithium Royalty Corp.	Common Shares	Panet, Philip	5	2023-03-08	00			
Lithium Royalty Corp.	Common Shares	Panet, Philip	5	2023-03-15	15	17	17,650	17,650
Lithium Royalty Corp.	Restricted Share Units (RSU)	Panet, Philip	5	2023-03-08	00			
Lithium Royalty Corp.	Restricted Share Units (RSU)	Panet, Philip	5	2023-03-15	56		8,824	8,824
Lithium Royalty Corp.	Common Shares	Riverstone Energy GP VI, Ltd.	3	2023-03-08	00		15,912,472	
Lithium Royalty Corp.	Deferred Share Units (DSU)	Tichio, Robert	4	2023-03-08	00			
Lithium Royalty Corp.	Deferred Share Units (DSU)	Tichio, Robert	4	2023-03-08	00			
Lithium Royalty Corp.	Deferred Share Units (DSU)	Tichio, Robert	4	2023-03-08	00			
Lithium Royalty Corp.	Deferred Share Units (DSU)	Tichio, Robert	4	2023-03-15	56		4,045	4,045
Lithium Royalty Corp.	Convertible Common Shares	Waratah Advisors GP I Limited	3	2023-03-08	00		16,567,764	
Lithium Royalty Corp.	Convertible Common Shares	Waratah Advisors GP I Limited	3	2023-03-08	00		10,155,475	
Lithium Royalty Corp.	Convertible Common Shares	Waratah Advisors GP I Limited	3	2023-03-08	00			
Lithium Royalty Corp.	Convertible Common Shares	Waratah Advisors GP I Limited	3	2023-03-08	00			
Lithium Royalty Corp.	Convertible Common Shares	Waratah Advisors GP I Limited	3	2023-03-08	00			
Lithium Royalty Corp.	Convertible Common Shares	Waratah Advisors GP I Limited	3	2023-03-08	00			
Lithium Royalty Corp.	Convertible Common Shares	Waratah Capital Advisors Ltd.	3	2023-03-08	00		16,567,764	
Lithium Royalty Corp.	Convertible Common Shares	Waratah Capital Advisors Ltd.	3	2023-03-08	00		10,155,475	
Lithium Royalty Corp.	Convertible Common Shares	Waratah Capital Advisors Ltd.	3	2023-03-08	00		2,991,767	
Lithium Royalty Corp.	Convertible Common Shares	Waratah Capital Advisors Ltd.	3	2023-03-08	00		834,208	
Lithium Royalty Corp.	Common Shares	Wellings, Mark	4, 5	2023-03-08	00			
Lithium Royalty Corp.	Common Shares	Wellings, Mark	4, 5	2023-03-15	15	17	13,510	13,510
Lithium Royalty Corp.	Convertible Common Shares	Wellings, Mark	4, 5	2023-03-08	00		417,261	
Lithium Royalty Corp.	Convertible Common Shares	Wellings, Mark	4, 5	2023-03-08	00		71,606	
Lithium Royalty Corp.	Restricted Share Units (RSU)	Wellings, Mark	4, 5	2023-03-08	00			
Lithium Royalty Corp.	Restricted Share Units (RSU)	Wellings, Mark	4, 5	2023-03-15	56		11,765	11,765

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Lithium Royalty Corp.	Restricted Share Units (RSU)	Wellings, Mark	4, 5	2023-03-15	56		29,412	17,647
Lithium Royalty Corp.	Common Shares	Zaganjori, Jonida	5	2023-03-16	00		11,760	
Lithium Royalty Corp.	Restricted Share Units (RSU)	Zaganjori, Jonida	5	2023-03-16	00		2,941	
Loblaw Companies Limited	Common Shares	Columb, Kieran Barry	5	2023-03-13	30		1,686	76
Loblaw Companies Limited	Common Shares	Currie, Gordon Andrew McDonald	5	2023-03-13	30		3,427	12
Loblaw Companies Limited	Common Shares	Dufresne, Richard	6, 5	2023-03-13	30		1,546	5
Loblaw Companies Limited	Common Shares	George Weston Limited	3	2023-03-13	38	114.59	2,741,446	-22,903
Loblaw Companies Limited	Common Shares	George Weston Limited	3	2023-03-14	38	114.17	2,720,590	-20,856
Loblaw Companies Limited	Common Shares	George Weston Limited	3	2023-03-15	38	116.65	2,697,937	-22,653
Loblaw Companies Limited	Common Shares	George Weston Limited	3	2023-03-16	38	115.8	2,675,256	-22,681
Loblaw Companies Limited	Common Shares	George Weston Limited	3	2023-03-17	38	116.06	2,654,965	-20,291
Loblaw Companies Limited	Rights - Deferred Share Units	Henn, Nicholas	5	2023-03-09	56		5,843	1,855
Loblaw Companies Limited	Common Shares	Leger, Jeffrey Francis	5	2023-03-13	30		2,513	79
Lumine Group Inc.	Subordinate Voting Shares	Leonard, Mark Henri	6	2023-02-22	00		1,289,554	
Lumine Group Inc.	Subordinate Voting Shares	Leonard, Mark Henri	6	2023-02-24	11		0	-1,289,554
Lumine Group Inc.	Subordinate Voting Shares	Leonard, Mark Henri	6	2023-02-22	00		8,146	
Lumine Group Inc.	Subordinate Voting Shares	Pastor, Andrew Dennis Martin	6	2023-02-23	00		141	
Lundin Gold Inc.	Common Shares	Gibbs, Ian	4	2023-03-10	57		125,453	5,753
Lundin Gold Inc.	Rights Restricted Share Units	Gibbs, Ian	4	2023-03-10	57		8,500	-5,753
Lundin Gold Inc.	Common Shares	Jones, Craig Antony	4	2023-03-10	57	13.53	11,453	5,753
Lundin Gold Inc.	Rights Restricted Share Units	Jones, Craig Antony	4	2023-03-10	57	13.53	8,500	-5,753
Lundin Gold Inc.	Common Shares	Lundin, Jack Oliver	4	2023-03-14	51	5.14	54,700	10,000
Lundin Gold Inc.	Options	Lundin, Jack Oliver	4	2023-03-14	51	5.14		10,000
Lundin Gold Inc.	Options	Lundin, Jack Oliver	4	2023-03-14	51	5.14		10,000
Lundin Gold Inc.	Options	Lundin, Jack Oliver	4	2023-03-14	51	5.14	8,800	-10,000
Lundin Gold Inc.	Common Shares	Newcrest Mining Limited	3	2023-03-10	11	13.36	75,457,926	226,349
Lundin Gold Inc.	Common Shares	See, Chester	5	2023-03-10	51	5.14	240,680	46,900
Lundin Gold Inc.	Options	See, Chester	5	2023-03-10	51		269,500	-46,900
Lundin Gold Inc.	Common Shares	Smith, Terrence	5	2023-03-13	00			
Lundin Gold Inc.	Options	Smith, Terrence	5	2023-03-13	00			
Lundin Gold Inc.	Options	Smith, Terrence	5	2023-03-13	50	13.28	78,000	78,000
Lundin Gold Inc.	Rights Performance Share Units	Smith, Terrence	5	2023-03-13	00			
Lundin Gold Inc.	Rights Performance Share Units	Smith, Terrence	5	2023-03-13	56		24,000	24,000
Lundin Gold Inc.	Rights Restricted Share Units	Thiele, Robert Malcolm	4	2023-03-10	57			5,753
Lundin Gold Inc.	Rights Restricted Share Units	Thiele, Robert Malcolm	4	2023-03-10	57		0	-5,753
Lundin Mining Corporation	Options	Dicaire, David	5	2022-08-01	00			

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Lundin Mining Corporation	Options	Dicaire, David	5	2023-03-09	50	7.99	105,100	105,100
Lundin Mining Corporation	Rights Performance Share Units	Dicaire, David	5	2022-08-01	00			
Lundin Mining Corporation	Rights Performance Share Units	Dicaire, David	5	2023-03-09	56		71,400	71,400
Lundin Mining Corporation	Rights Share Units	Dicaire, David	5	2022-08-01	00			
Lundin Mining Corporation	Rights Share Units	Dicaire, David	5	2023-03-09	56		35,700	35,700
Lundin Mining Corporation	Options	Laurenson, Annie	5	2023-03-09	50	7.99	53,933	10,600
Lundin Mining Corporation	Rights Share Units	Laurenson, Annie	5	2023-03-09	56		8,100	3,600
Lundin Mining Corporation	Options	Lundin, Jack Oliver	4, 5	2023-03-09	50	7.99	106,400	106,400
Lundin Mining Corporation	Rights Performance Share Units	Lundin, Jack Oliver	4, 5	2021-02-18	00			
Lundin Mining Corporation	Rights Performance Share Units	Lundin, Jack Oliver	4, 5	2023-03-09	56		72,300	72,300
Lundin Mining Corporation	Rights Share Units	Lundin, Jack Oliver	4, 5	2021-02-18	00			
Lundin Mining Corporation	Rights Share Units	Lundin, Jack Oliver	4, 5	2023-03-08	56		36,200	36,200
Lundin Mining Corporation	Options	Morel Lara, Juan Andres	5	2023-03-09	50	7.99	156,400	106,400
Lundin Mining Corporation	Rights Performance Share Units	Morel Lara, Juan Andres	5	2023-03-09	56		81,300	72,300
Lundin Mining Corporation	Rights Share Units	Morel Lara, Juan Andres	5	2023-03-09	56		45,200	36,200
Lundin Mining Corporation	Options	Poulsen, Teitur Nolsoe	5	2023-03-09	50	7.99	132,900	106,400
Lundin Mining Corporation	Rights Performance Share Units	Poulsen, Teitur Nolsoe	5	2023-03-09	56		76,875	72,300
Lundin Mining Corporation	Rights Share Units	Poulsen, Teitur Nolsoe	5	2023-03-09	56		40,775	36,200
Lundin Mining Corporation	Options	Rockandel, Peter Thomas	5	2023-03-09	50	7.99	794,600	253,000
Lundin Mining Corporation	Rights Performance Share Units	Rockandel, Peter Thomas	5	2023-03-09	56		210,900	171,900
Lundin Mining Corporation	Rights Share Units	Rockandel, Peter Thomas	5	2023-03-09	56		124,900	85,900
Madison Metals Inc.	Common Shares	Parnham, Duane	4	2023-03-15	10	0.5	1,657,708	20,000
Madison Metals Inc.	Common Shares	Parnham, Duane	4	2023-03-15	10	0.52	1,662,708	5,000
Magna International Inc.	Rights Restricted Stock Units	Kotagiri, Seetarama Swamy	4, 5	2023-03-10	35		42,785	334
Magnet Forensics Inc.	Subordinate Voting Shares	Nellore Capital Management LLC	3	2023-03-13	10	44.3	403,617	17,285
Magnet Forensics Inc.	Subordinate Voting Shares	Nellore Capital Management LLC	3	2023-03-14	10	44.179	423,823	20,206
Magnet Forensics Inc.	Subordinate Voting Shares	Nellore Capital Management LLC	3	2023-03-13	10	44.3		24,504
Magnet Forensics Inc.	Subordinate Voting Shares	Nellore Capital Management LLC	3	2023-03-13	10	44.3	695,651	24,504
Magnet Forensics Inc.	Subordinate Voting Shares	Nellore Capital Management LLC	3	2023-03-14	10	44.179	724,294	28,643
Magnet Forensics Inc.	Subordinate Voting Shares	Nellore Capital Management LLC	3	2023-03-13	10	44.3	254,707	9,111
Magnet Forensics Inc.	Subordinate Voting Shares	Nellore Capital Management LLC	3	2023-03-14	10	44.179	265,358	10,651
Major Drilling Group International Inc.	Common Shares	Krcmarov, Robert Ljubomir	4	2023-03-13	10	10.056	5,600	2,500

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Makara Mining Corp.	Common Shares	Hendrickson, Grant Ablott	4, 5	2023-03-10	10	0.015	272,265	-110,000
Makara Mining Corp.	Common Shares	Yondon, Uranbileg	4	2023-03-14	10	0.015	-2,855	-50,000
Mako Mining Corp.	Options	Durand, Paolo	5	2023-03-08	37		70,000	-630,000
Mako Mining Corp.	Common Shares	Leisman, Akiba Jacob Issachar	4	2023-03-08	37		1,296,539	-11,668,855
Mako Mining Corp.	Common Shares	Leisman, Akiba Jacob Issachar	4	2023-03-14	10	2.22	1,265,639	-30,900
Mako Mining Corp.	Common Shares	Leisman, Akiba Jacob Issachar	4	2023-03-15	57		1,296,539	30,900
Mako Mining Corp.	Common Shares	Leisman, Akiba Jacob Issachar	4	2023-03-17	10	2.5029	1,235,639	-60,900
Mako Mining Corp.	Options	Leisman, Akiba Jacob Issachar	4	2023-03-08	37		2,106,000	-18,954,000
Mako Mining Corp.	RSU	Leisman, Akiba Jacob Issachar	4	2023-03-08	37		61,800	-556,200
Mako Mining Corp.	RSU	Leisman, Akiba Jacob Issachar	4	2023-03-15	57		30,900	-30,900
Mako Mining Corp.	Options	PAREDES, MARIA MILAGROS	5	2023-03-08	37		100,000	-900,000
Mako Mining Corp.	RSU	PAREDES, MARIA MILAGROS	5	2023-03-08	37		37,080	-333,720
Mandalay Resources Corporation	Common Shares	Davis, Christopher Thornton Myles Davis	5	2023-03-08	57		107,010	14,502
Mandalay Resources Corporation	Common Shares	Davis, Christopher Thornton Myles Davis	5	2023-03-08	57		165,016	58,006
Mandalay Resources Corporation	Performance Share Units	Davis, Christopher Thornton Myles Davis	5	2023-03-08	57		91,544	-29,003
Mandalay Resources Corporation	Restricted Share Unit	Davis, Christopher Thornton Myles Davis	5	2023-03-08	57		45,771	-14,502
Mandalay Resources Corporation	Common Shares	Duffy, Dominic Francis	4, 5	2023-03-08	57		117,460	49,689
Mandalay Resources Corporation	Common Shares	Duffy, Dominic Francis	4, 5	2023-03-08	57		316,218	198,758
Mandalay Resources Corporation	Performance Share Units	Duffy, Dominic Francis	4, 5	2023-03-08	57		143,649	-99,378
Mandalay Resources Corporation	Restricted Share Unit	Duffy, Dominic Francis	4, 5	2023-03-08	57		71,825	-49,689
Mandalay Resources Corporation	Common Shares	Dwyer, Nicholas Gary	5	2023-03-08	56		93,299	24,536
Mandalay Resources Corporation	Common Shares	Dwyer, Nicholas Gary	5	2023-03-08	57		191,683	98,384
Mandalay Resources Corporation	Performance Share Units	Dwyer, Nicholas Gary	5	2023-03-08	57		140,815	-49,192
Mandalay Resources Corporation	Restricted Share Unit	Dwyer, Nicholas Gary	5	2023-03-08	57		70,467	-24,536
Manulife Financial Corporation	Common Shares	Gori, Rocco	4, 5	2023-03-15	30	24.752	440,152	25,950
Manulife Financial Corporation	Common Shares	Hirji, Rahim	5	2023-03-15	30	24.752	16,326	4,026
Manulife Financial Corporation	Common Shares	Irshad, Naveed	5	2023-03-15	30	24.752	13,750	4,010
Manulife Financial Corporation	Common Shares	Ku, Tin	7	2023-03-15	30	24.752	1,408	209
Maple Gold Mines Ltd.	Options	Charland, Sean	4	2023-03-13	50	0.2	1,450,000	150,000
Maple Gold Mines Ltd.	Options	Charland, Sean	4	2023-03-14	50	0.2	1,600,000	150,000
Maple Gold Mines Ltd.	Rights Deferred Share Units	Charland, Sean	4	2023-03-13	56		250,000	75,000
Maple Gold Mines Ltd.	Rights Deferred Share Units	Charland, Sean	4	2023-03-14	56		325,000	75,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Maple Gold Mines Ltd.	Rights Restricted Share Units	Charland, Sean	4	2023-03-13	56		250,000	150,000
Maple Gold Mines Ltd.	Rights Restricted Share Units	Charland, Sean	4	2023-03-14	56		400,000	150,000
Maple Gold Mines Ltd.	Options	Hornor, Brent Matthew	4, 5	2023-03-13	50	0.2	8,450,000	1,000,000
Maple Gold Mines Ltd.	Rights Deferred Share Units	Hornor, Brent Matthew	4, 5	2023-03-13	56		1,000,000	250,000
Maple Gold Mines Ltd.	Rights Restricted Share Units	Hornor, Brent Matthew	4, 5	2023-03-13	56		3,166,667	1,500,000
Maple Gold Mines Ltd.	Options	Lang, Joness	5	2023-03-13	50	0.2	3,050,000	350,000
Maple Gold Mines Ltd.	Rights Restricted Share Units	Lang, Joness	5	2023-03-13	56		241,666	175,000
Maple Gold Mines Ltd.	Options	Lee, Wilhelmina	5	2023-03-13	50	0.2	575,000	250,000
Maple Gold Mines Ltd.	Rights Restricted Share Units	Lee, Wilhelmina	5	2023-03-13	56		183,334	150,000
Maple Gold Mines Ltd.	Options	Patankar, Kiran Uday	5	2023-03-13	50	0.2	2,000,000	450,000
Maple Gold Mines Ltd.	Rights Restricted Share Units	Patankar, Kiran Uday	5	2023-03-13	56		291,667	225,000
Maple Gold Mines Ltd.	Options	Riverin, Gérald	4	2023-03-13	50	0.2	450,000	150,000
Maple Gold Mines Ltd.	Rights Deferred Share Units	Riverin, Gérald	4	2023-03-13	57		250,000	75,000
Maple Gold Mines Ltd.	Rights Restricted Share Units	Riverin, Gérald	4	2023-03-13	56		250,000	150,000
Maple Gold Mines Ltd.	Options	Roth, Michelle	4	2023-03-13	50	0.2	550,000	150,000
Maple Gold Mines Ltd.	Rights Deferred Share Units	Roth, Michelle	4	2023-03-13	56		250,000	75,000
Maple Gold Mines Ltd.	Rights Restricted Share Units	Roth, Michelle	4	2023-03-13	56		250,000	150,000
Maple Gold Mines Ltd.	Options	Speidel, Friedrich	5	2023-03-13	50	0.2	3,375,000	200,000
Maple Gold Mines Ltd.	Rights Restricted Share Units	Speidel, Friedrich	5	2023-03-13	56		125,000	25,000
Maple Gold Mines Ltd.	Options	Tagami, Maurice Alan	4	2023-03-13	50	0.2	1,550,000	150,000
Maple Gold Mines Ltd.	Rights Deferred Share Units	Tagami, Maurice Alan	4	2023-03-13	56		250,000	75,000
Maple Gold Mines Ltd.	Rights Restricted Share Units	Tagami, Maurice Alan	4	2023-03-13	56		250,000	150,000
Maple Leaf Foods Inc.	Common Shares	Dubreuil, Stephane	5	2022-12-30	30	25.65		1,840
Maple Leaf Foods Inc.	Common Shares	Dubreuil, Stephane	5	2022-12-30	30	25.65	9,877	1,840
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Liris, Andreas	5	2015-02-26	00			
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Liris, Andreas	5	2015-02-26	00		9,200	
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Liris, Andreas	5	2015-12-21	56			4,828
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Liris, Andreas	5	2015-12-21	56		17,038	4,828
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Liris, Andreas	5	2017-03-15	57			-2,414
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Liris, Andreas	5	2017-03-15	57		19,614	-2,414

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Liris, Andreas	5	2018-03-15	57	31.8		-2,414
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Liris, Andreas	5	2018-03-15	57	31.8	10,045	-2,414
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Liris, Andreas	5	2020-05-12	57	26.275		-2,000
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Liris, Andreas	5	2020-05-12	57	26.275		-2,120
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Liris, Andreas	5	2020-05-12	57	26.275	8,075	-2,120
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Liris, Andreas	5	2021-05-12	57			-2,045
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Liris, Andreas	5	2021-05-12	57		8,880	-2,045
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Liris, Andreas	5	2015-02-26	00			
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Liris, Andreas	5	2015-02-26	00		9,200	
Maple Leaf Foods Inc.	Common Shares	Maple Leaf Foods Inc.	1	2023-03-13	38	23.169	6,500	6,500
Maple Leaf Foods Inc.	Common Shares	Maple Leaf Foods Inc.	1	2023-03-13	38	23.169	0	-6,500
Maple Leaf Foods Inc.	Common Shares	Maple Leaf Foods Inc.	1	2023-03-14	38	23.495	6,500	6,500
Maple Leaf Foods Inc.	Common Shares	Maple Leaf Foods Inc.	1	2023-03-14	38	23.495	0	-6,500
Maple Leaf Foods Inc.	Common Shares	Maple Leaf Foods Inc.	1	2023-03-15	38	23.719	6,500	6,500
Maple Leaf Foods Inc.	Common Shares	Maple Leaf Foods Inc.	1	2023-03-15	38	23.719	0	-6,500
Maple Leaf Foods Inc.	Common Shares	Maple Leaf Foods Inc.	1	2023-03-16	38	24.333	6,500	6,500
Maple Leaf Foods Inc.	Common Shares	Maple Leaf Foods Inc.	1	2023-03-16	38	24.333	0	-6,500
Marble Financial Inc.	Common Shares	Abbas, Farhan	4	2023-03-13	16	0.07	6,368,637	150,000
Marble Financial Inc.	Warrants	Abbas, Farhan	4	2023-03-13	16		2,414,318	75,000
Marble Financial Inc.	Common Shares	Scharfe, Bradley Nixon	3	2023-03-13	16	0.07	17,949,947	800,000
Marble Financial Inc.	Warrants	Scharfe, Bradley Nixon	3	2023-03-13	16	0.11	5,231,789	400,000
MariMed Inc.	Restricted Share Units	Levine, Jon R.	5	2023-03-07	56		973,333	140,000
MariMed Inc.	Restricted Share Units	Levine, Jon R.	5	2023-03-07	56			60,000
MariMed Inc.	Restricted Share Units	Levine, Jon R.	5	2023-03-07	56			60,000
MariMed Inc.	Restricted Share Units	Shaw, Timothy	5	2023-03-07	56		796,667	130,000
MariMed Inc.	Restricted Share Units	Villare, Susan	5	2022-06-29	00			
MariMed Inc.	Restricted Share Units	Villare, Susan	5	2023-03-07	56		60,000	60,000
Martinrea International Inc.	Common Shares	D'Eramo, Frank Patrick	4	2023-03-13	10	10.049	246,450	15,000
Martinrea International Inc.	Common Shares	Pagliari, Armando	5	2023-03-15	10	12.63	70,698	3,800
Marwest Apartment Real Estate Investment Trust	Deferred Units	Cain, Luke	4	2023-03-15	35	1.1	\$38,641	\$43
Marwest Apartment Real Estate Investment Trust	Deferred Units	Green, Jim	4	2023-03-15	35	1.1	\$19,628	\$22

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Marwest Apartment Real Estate Investment Trust	Deferred Units	Martens, Cornelius	4	2023-03-15	35	1.1	\$20,239	\$22
Marwest Apartment Real Estate Investment Trust	Deferred Units	Pellaers, Jason Michael	4	2023-03-15	35	1.1	\$31,898	\$36
MCAN Mortgage Corporation (d/b/a/ MCAN Financial Group)	Performance Share Units	Brown, Carl Scott	5	2023-03-13	56		13,814	5,535
MCAN Mortgage Corporation (d/b/a/ MCAN Financial Group)	Restricted Share Units	Brown, Carl Scott	5	2023-03-13	56		10,255	3,690
MCAN Mortgage Corporation (d/b/a/ MCAN Financial Group)	Performance Share Units	BUCK, AVISH	5	2023-03-13	56	16.26	15,830	5,904
MCAN Mortgage Corporation (d/b/a/ MCAN Financial Group)	Restricted Share Units	BUCK, AVISH	5	2023-03-13	56	16.26	14,088	3,936
MCAN Mortgage Corporation (d/b/a/ MCAN Financial Group)	Common Shares	Chu, Brian	4	2023-03-13	10	15.881	3,180	1,262
MCAN Mortgage Corporation (d/b/a/ MCAN Financial Group)	Performance Share Units	Cipollone, Floriana	5	2023-03-13	56	16.26	20,674	6,457
MCAN Mortgage Corporation (d/b/a/ MCAN Financial Group)	Restricted Share Units	Cipollone, Floriana	5	2023-03-13	56	16.26	16,515	4,305
MCAN Mortgage Corporation (d/b/a/ MCAN Financial Group)	Performance Share Units	Corr, Aaron John	5	2023-03-10	59		1,606	-261
MCAN Mortgage Corporation (d/b/a/ MCAN Financial Group)	Performance Share Units	Corr, Aaron John	5	2023-03-13	56	16.26	3,082	1,476
MCAN Mortgage Corporation (d/b/a/ MCAN Financial Group)	Restricted Share Units	Corr, Aaron John	5	2023-03-10	59		2,290	-261
MCAN Mortgage Corporation (d/b/a/ MCAN Financial Group)	Restricted Share Units	Corr, Aaron John	5	2023-03-13	56	16.26	4,504	2,214
MCAN Mortgage Corporation (d/b/a/ MCAN Financial Group)	Performance Deferred Share Units	Pinto, Sylvia	5	2023-03-13	56	16.26	6,336	883
MCAN Mortgage Corporation (d/b/a/ MCAN Financial Group)	Restricted Share Units	Pinto, Sylvia	5	2023-03-13	56	16.26	3,593	1,324
MCAN Mortgage Corporation (d/b/a/ MCAN Financial Group)	Performance Share Units	Rahim, Alysha	5	2023-03-10	59		1,201	-315
MCAN Mortgage Corporation (d/b/a/ MCAN Financial Group)	Performance Share Units	Rahim, Alysha	5	2023-03-13	56	16.26	3,304	2,103

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
MCAN Mortgage Corporation (d/b/a/ MCAN Financial Group)	Restricted Share Units	Rahim, Alysha	5	2023-03-10	59		3,617	-315
MCAN Mortgage Corporation (d/b/a/ MCAN Financial Group)	Restricted Share Units	Rahim, Alysha	5	2023-03-13	56	16.26	5,019	1,402
MCAN Mortgage Corporation (d/b/a/ MCAN Financial Group)	Performance Share Units	Weaver, Karen H.	4, 5	2023-03-10	59	16.461	23,924	-8,437
MCAN Mortgage Corporation (d/b/a/ MCAN Financial Group)	Performance Share Units	Weaver, Karen H.	4, 5	2023-03-13	56	16.26	38,646	14,722
MCAN Mortgage Corporation (d/b/a/ MCAN Financial Group)	Restricted Share Units	Weaver, Karen H.	4, 5	2023-03-10	59	16.461	15,949	-12,655
MCAN Mortgage Corporation (d/b/a/ MCAN Financial Group)	Restricted Share Units	Weaver, Karen H.	4, 5	2023-03-13	56	16.26	25,764	9,815
MEG Energy Corp.	Common Shares	Alson, Erik Alexander	5	2023-03-15	57	18.52	117,746	58,071
MEG Energy Corp.	Common Shares	Alson, Erik Alexander	5	2023-03-15	10	18.52	88,960	-28,786
MEG Energy Corp.	Performance Share Units	Alson, Erik Alexander	5	2023-03-15	38	1.49	158,134	-14,375
MEG Energy Corp.	Performance Share Units	Alson, Erik Alexander	5	2023-03-15	59	21.96	0	-158,134
MEG Energy Corp.	Performance Share Units	Alson, Erik Alexander	5	2023-03-15	38	6.51	56,293	-4,112
MEG Energy Corp.	Performance Share Units	Alson, Erik Alexander	5	2023-03-15	56	21.96	74,982	18,689
MEG Energy Corp.	Restricted Share Units	Alson, Erik Alexander	5	2023-03-15	57	18.52	19,481	-58,071
MEG Energy Corp.	Restricted Share Units	Alson, Erik Alexander	5	2023-03-15	56	21.96	31,941	12,460
MEG Energy Corp.	Common Shares	Bosgoed, Gary	4	2022-07-01	00			
MEG Energy Corp.	Common Shares	Bosgoed, Gary	4	2023-03-15	57	18.52	887	887
MEG Energy Corp.	Common Shares	Bosgoed, Gary	4	2023-03-15	10	18.52	446	-441
MEG Energy Corp.	Deferred Share Units	Bosgoed, Gary	4	2023-03-15	56	21.96	8,583	5,920
MEG Energy Corp.	Deferred Share Units	Bosgoed, Gary	4	2023-03-15	56	21.96	11,771	3,188
MEG Energy Corp.	Restricted Share Units	Bosgoed, Gary	4	2023-03-15	57	18.52	1,776	-887
MEG Energy Corp.	Common Shares	Bruce, Ian	4	2023-03-15	57	18.52	146,753	11,634
MEG Energy Corp.	Deferred Share Units	Bruce, Ian	4	2023-03-15	56	21.96	73,992	6,831
MEG Energy Corp.	Restricted Share Units	Bruce, Ian	4	2023-03-15	57	18.52	0	-11,634
MEG Energy Corp.	Performance Share Units	Campbell, James Vincent	5	2023-01-11	00			
MEG Energy Corp.	Performance Share Units	Campbell, James Vincent	5	2023-03-15	56	21.96	11,886	11,886
MEG Energy Corp.	Restricted Share Units	Campbell, James Vincent	5	2023-01-11	00			
MEG Energy Corp.	Restricted Share Units	Campbell, James Vincent	5	2023-03-15	56	21.96	7,924	7,924
MEG Energy Corp.	Common Shares	Evans, Derek Watson	4, 5	2023-03-15	57	18.52	1,010,186	334,179
MEG Energy Corp.	Common Shares	Evans, Derek Watson	4, 5	2023-03-15	10	18.52	844,562	-165,624
MEG Energy Corp.	Performance Share Units	Evans, Derek Watson	4, 5	2023-03-15	38	1.49	903,625	-82,147
MEG Energy Corp.	Performance Share Units	Evans, Derek Watson	4, 5	2023-03-15	59	18.52	0	-903,625
MEG Energy Corp.	Performance Share Units	Evans, Derek Watson	4, 5	2023-03-15	38	6.51	332,222	-23,502
MEG Energy Corp.	Performance Share Units	Evans, Derek Watson	4, 5	2023-03-15	56	21.96	430,201	97,979
MEG Energy Corp.	Restricted Share Units	Evans, Derek Watson	4, 5	2023-03-15	57	18.52	116,011	-334,179
MEG Energy Corp.	Restricted Share Units	Evans, Derek Watson	4, 5	2023-03-15	56	21.96	181,330	65,319
MEG Energy Corp.	Common Shares	Gates, Darlene Miriam	5	2023-03-15	57	18.52	48,742	35,783
MEG Energy Corp.	Common Shares	Gates, Darlene Miriam	5	2023-03-15	10	18.52	31,004	-17,738

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
MEG Energy Corp.	Performance Share Units	Gates, Darlene Miriam	5	2023-03-15	38	8.17	129,666	-8,739
MEG Energy Corp.	Performance Share Units	Gates, Darlene Miriam	5	2023-03-15	56	21.96	182,672	53,006
MEG Energy Corp.	Restricted Share Units	Gates, Darlene Miriam	5	2023-03-15	57	18.52	88,703	-35,783
MEG Energy Corp.	Restricted Share Units	Gates, Darlene Miriam	5	2023-03-15	56	21.96	124,040	35,337
MEG Energy Corp.	Common Shares	Gear, Thomas Richard	5	2022-07-11	00			
MEG Energy Corp.	Common Shares	Gear, Thomas Richard	5	2023-03-15	57	18.52	9,814	9,814
MEG Energy Corp.	Common Shares	Gear, Thomas Richard	5	2023-03-15	10	18.52	4,947	-4,867
MEG Energy Corp.	Performance Share Units	Gear, Thomas Richard	5	2023-03-15	56	21.96	35,597	18,361
MEG Energy Corp.	Restricted Share Units	Gear, Thomas Richard	5	2023-03-15	57	18.52	19,631	-9,814
MEG Energy Corp.	Restricted Share Units	Gear, Thomas Richard	5	2023-03-15	56	21.96	31,872	12,241
MEG Energy Corp.	Common Shares	Gizen, Jeremy James	5	2023-03-15	57	18.52	90,961	64,777
MEG Energy Corp.	Common Shares	Gizen, Jeremy James	5	2023-03-15	10	18.52	52,704	-38,257
MEG Energy Corp.	Performance Share Units	Gizen, Jeremy James	5	2023-03-15	38	1.49	180,647	-16,422
MEG Energy Corp.	Performance Share Units	Gizen, Jeremy James	5	2023-03-15	59	21.96	0	-180,647
MEG Energy Corp.	Performance Share Units	Gizen, Jeremy James	5	2023-03-15	38	6.51	59,260	-4,145
MEG Energy Corp.	Performance Share Units	Gizen, Jeremy James	5	2023-03-15	56	21.96	77,840	18,580
MEG Energy Corp.	Restricted Share Units	Gizen, Jeremy James	5	2023-03-15	57	18.52	20,756	-64,777
MEG Energy Corp.	Restricted Share Units	Gizen, Jeremy James	5	2023-03-15	56	21.96	33,143	12,387
MEG Energy Corp.	Common Shares	Granger, David Michael	5	2023-03-15	57	18.52	120,692	58,548
MEG Energy Corp.	Common Shares	Granger, David Michael	5	2023-03-15	10	18.52	62,144	-58,548
MEG Energy Corp.	Performance Share Units	Granger, David Michael	5	2023-03-15	38	1.49	153,052	-13,913
MEG Energy Corp.	Performance Share Units	Granger, David Michael	5	2023-03-15	59	21.96	0	-153,052
MEG Energy Corp.	Performance Share Units	Granger, David Michael	5	2023-03-15	38	6.51	62,509	-4,683
MEG Energy Corp.	Performance Share Units	Granger, David Michael	5	2023-03-15	56	21.96	79,996	17,487
MEG Energy Corp.	Restricted Share Units	Granger, David Michael	5	2023-03-15	57	18.52	21,477	-58,548
MEG Energy Corp.	Restricted Share Units	Granger, David Michael	5	2023-03-15	56	21.96	33,135	11,658
MEG Energy Corp.	Common Shares	Hodgins, Robert Bruce	4	2023-03-15	57	18.52	31,434	15,943
MEG Energy Corp.	Common Shares	Hodgins, Robert Bruce	4	2023-03-15	10	18.52	15,491	-15,943
MEG Energy Corp.	Deferred Share Units	Hodgins, Robert Bruce	4	2023-03-15	56	21.96	87,383	2,960
MEG Energy Corp.	Restricted Share Units	Hodgins, Robert Bruce	4	2023-03-15	57	18.52	5,293	-15,943
MEG Energy Corp.	Restricted Share Units	Hodgins, Robert Bruce	4	2023-03-15	56	21.96	8,253	2,960
MEG Energy Corp.	Common Shares	Kubik, Ryan Michael	5	2022-07-04	00			
MEG Energy Corp.	Common Shares	Kubik, Ryan Michael	5	2023-03-15	57	18.52	9,175	9,175
MEG Energy Corp.	Common Shares	Kubik, Ryan Michael	5	2023-03-15	10	18.52	4,626	-4,549
MEG Energy Corp.	Performance Share Units	Kubik, Ryan Michael	5	2023-03-15	56	21.96	77,437	36,148
MEG Energy Corp.	Restricted Share Units	Kubik, Ryan Michael	5	2023-03-15	57	18.52	18,351	-9,175
MEG Energy Corp.	Restricted Share Units	Kubik, Ryan Michael	5	2023-03-15	56	21.96	42,450	24,099
MEG Energy Corp.	Deferred Share Units	Lynch Proctor, Kimberley Elizabeth	4	2023-03-15	56	21.96	11,594	3,188
MEG Energy Corp.	Deferred Share Units	Lynch Proctor, Kimberley Elizabeth	4	2023-03-15	56	18.52	14,554	2,960
MEG Energy Corp.	Restricted Share Units	Lynch Proctor, Kimberley Elizabeth	4	2022-05-03	00			
MEG Energy Corp.	Restricted Share Units	Lynch Proctor, Kimberley Elizabeth	4	2023-03-15	56	21.96	2,960	2,960
MEG Energy Corp.	Deferred Share Units	Mackenzie, Susan Mary	4	2023-03-15	56	21.96	55,258	2,960
MEG Energy Corp.	Restricted Share Units	MacKenzie, Susan Mary	4	2020-06-17	00			
MEG Energy Corp.	Restricted Share Units	MacKenzie, Susan Mary	4	2023-03-15	56	21.96	2,960	2,960
MEG Energy Corp.	Common Shares	McCaig, Jeffrey James	4	2023-03-15	57	18.52	125,821	16,751
MEG Energy Corp.	Deferred Share Units	McCaig, Jeffrey James	4	2023-03-15	56	21.96	195,783	3,188
MEG Energy Corp.	Deferred Share Units	McCaig, Jeffrey James	4	2023-03-15	56	21.96	201,703	5,920

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
MEG Energy Corp.	Restricted Share Units	McCaig, Jeffrey James	4	2023-03-15	57	18.52	3,329	-16,751
MEG Energy Corp.	Deferred Share Units	McFarland, James D.	4	2023-03-15	56	21.96	138,928	2,960
MEG Energy Corp.	Restricted Share Units	McFarland, James D.	4	2023-03-15	56	21.96	2,960	2,960
MEG Energy Corp.	Common Shares	McQUEEN, Diana Janet	4	2023-03-15	57	18.52	31,285	3,328
MEG Energy Corp.	Common Shares	McQUEEN, Diana Janet	4	2023-03-15	10	18.52	29,634	-1,651
MEG Energy Corp.	Deferred Share Units	McQUEEN, Diana Janet	4	2023-03-15	56	21.96	119,898	5,920
MEG Energy Corp.	Restricted Share Units	McQUEEN, Diana Janet	4	2023-03-15	57	18.52	3,329	-3,328
MEG Energy Corp.	Notes 7.125% Senior Notes due 2027	MEG Energy Corp.	1	2023-03-08	38		\$2,367,000	-\$1,232,000
MEG Energy Corp.	Notes 7.125% Senior Notes due 2027	MEG Energy Corp.	1	2023-03-08	38		\$6,292,000	\$3,925,000
MEG Energy Corp.	Notes 7.125% Senior Notes due 2027	MEG Energy Corp.	1	2023-03-09	38		\$3,925,000	-\$2,367,000
MEG Energy Corp.	Notes 7.125% Senior Notes due 2027	MEG Energy Corp.	1	2023-03-10	38		\$0	-\$3,925,000
MEG Energy Corp.	Common Shares	Telang, Mark Anand	5	2022-08-01	00			
MEG Energy Corp.	Common Shares	Telang, Mark Anand	5	2023-03-15	57	18.52	2,985	2,985
MEG Energy Corp.	Common Shares	Telang, Mark Anand	5	2023-03-15	10	18.52	1,502	-1,483
MEG Energy Corp.	Performance Share Units	Telang, Mark Anand	5	2023-03-15	56	21.96	28,633	17,159
MEG Energy Corp.	Restricted Share Units	Telang, Mark Anand	5	2023-03-15	57	18.52	5,973	-2,985
MEG Energy Corp.	Restricted Share Units	Telang, Mark Anand	5	2023-03-15	56	21.96	17,412	11,439
MEG Energy Corp.	Common Shares	Yuzdepski, Lyle Stephen	5	2023-03-15	57	18.52	151,695	83,697
MEG Energy Corp.	Common Shares	Yuzdepski, Lyle Stephen	5	2023-03-15	10	18.52	110,210	-41,485
MEG Energy Corp.	Performance Share Units	Yuzdepski, Lyle Stephen	5	2023-03-15	38	1.49	214,193	-19,472
MEG Energy Corp.	Performance Share Units	Yuzdepski, Lyle Stephen	5	2023-03-15	59	21.96	0	-214,193
MEG Energy Corp.	Performance Share Units	Yuzdepski, Lyle Stephen	5	2023-03-15	38	6.51	93,935	-6,963
MEG Energy Corp.	Performance Share Units	Yuzdepski, Lyle Stephen	5	2023-03-15	56	21.96	116,558	22,623
MEG Energy Corp.	Restricted Share Units	Yuzdepski, Lyle Stephen	5	2023-03-15	57	18.52	32,374	-83,697
MEG Energy Corp.	Restricted Share Units	Yuzdepski, Lyle Stephen	5	2023-03-15	56	21.96	47,456	15,082
Metallica Metals Corp. (formerly Cameo Industries Corp.)	Common Shares	Milette, Martin	3	2023-03-08	00			
Metallica Metals Corp. (formerly Cameo Industries Corp.)	Common Shares	Milette, Martin	3	2023-03-08	11	0.03	24,000,000	24,000,000
Methanex Corporation	Common Shares	ABRARY, NOJAN	7	2023-03-15	57		25,013	1,309
Methanex Corporation	Common Shares	ABRARY, NOJAN	7	2023-03-15	57		25,452	439
Methanex Corporation	Rights Performance Share Units	ABRARY, NOJAN	7	2023-03-09	56		6,647	1,280
Methanex Corporation	Rights Performance Share Units	ABRARY, NOJAN	7	2023-03-15	56		7,723	1,076
Methanex Corporation	Rights Performance Share Units	ABRARY, NOJAN	7	2023-03-15	59		5,759	-1,964
Methanex Corporation	Rights Performance Share Units	ABRARY, NOJAN	7	2023-03-15	57		4,450	-1,309
Methanex Corporation	Rights Restricted Share Units	ABRARY, NOJAN	7	2023-03-09	56		3,328	640

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Methanex Corporation	Rights Restricted Share Units	ABRARY, NOJAN	7	2023-03-15	59		2,669	-659
Methanex Corporation	Rights Restricted Share Units	ABRARY, NOJAN	7	2023-03-15	57		2,230	-439
Methanex Corporation	Rights Share Appreciation Rights	ABRARY, NOJAN	7	2023-03-09	38		17,383	1,380
Methanex Corporation	Rights Performance Share Units	Allard, Mark	7	2023-03-09	56		15,050	6,200
Methanex Corporation	Rights Performance Share Units	Allard, Mark	7	2023-03-15	59		9,653	-5,397
Methanex Corporation	Rights Performance Share Units	Allard, Mark	7	2023-03-15	56		11,428	1,775
Methanex Corporation	Rights Share Appreciation Rights	Allard, Mark	7	2023-03-09	56		61,860	13,400
Methanex Corporation	Rights Performance Share Units	Almarza, Sergio	7	2023-03-09	56		4,467	2,100
Methanex Corporation	Rights Performance Share Units	Almarza, Sergio	7	2023-03-15	56		4,959	492
Methanex Corporation	Rights Performance Share Units	Almarza, Sergio	7	2023-03-15	59		3,464	-1,495
Methanex Corporation	Rights Restricted Share Units	Almarza, Sergio	7	2023-03-09	56		3,416	1,050
Methanex Corporation	Rights Restricted Share Units	Almarza, Sergio	7	2023-03-15	59		2,413	-1,003
Methanex Corporation	Rights Share Appreciation Rights	Almarza, Sergio	7	2023-03-09	56		9,470	2,270
Methanex Corporation	Common Shares	Bain, Colin	7	2023-03-15	57		7,328	2,698
Methanex Corporation	Common Shares	Bain, Colin	7	2023-03-15	57		8,230	902
Methanex Corporation	Options	Bain, Colin	7	2023-03-09	56			2,270
Methanex Corporation	Options	Bain, Colin	7	2023-03-09	50		18,550	2,270
Methanex Corporation	Rights Performance Share Units	Bain, Colin	7	2023-03-09	56		10,950	2,100
Methanex Corporation	Rights Performance Share Units	Bain, Colin	7	2023-03-15	56		9,175	-1,775
Methanex Corporation	Rights Performance Share Units	Bain, Colin	7	2023-03-15	59		6,476	-2,699
Methanex Corporation	Rights Performance Share Units	Bain, Colin	7	2023-03-15	57		3,778	-2,698
Methanex Corporation	Rights Restricted Share Units	Bain, Colin	7	2023-03-09	56		5,469	1,050
Methanex Corporation	Rights Restricted Share Units	Bain, Colin	7	2023-03-15	59		4,565	-904
Methanex Corporation	Rights Restricted Share Units	Bain, Colin	7	2023-03-15	57		3,663	-902
Methanex Corporation	Rights Performance Share Units	Boon, Sarah	5	2023-03-09	56		8,357	2,100
Methanex Corporation	Rights Performance Share Units	Boon, Sarah	5	2023-03-15	59		5,084	-3,273
Methanex Corporation	Rights Performance Share Units	Boon, Sarah	5	2023-03-15	56		6,160	1,076
Methanex Corporation	Rights Share Appreciation Rights	Boon, Sarah	5	2023-03-09	56		23,998	4,540
Methanex Corporation	Rights Performance Share Units	Boyd, Bradley	5	2023-03-09	56		31,647	6,500
Methanex Corporation	Rights Performance Share Units	Boyd, Bradley	5	2023-03-15	59		16,542	-15,105
Methanex Corporation	Rights Performance Share Units	Boyd, Bradley	5	2023-03-15	56		21,509	4,967
Methanex Corporation	Rights Share Appreciation Rights	Boyd, Bradley	5	2023-03-09	56		131,600	14,000
Methanex Corporation	Common Shares	Chan, Man Yee Candy	5	2023-03-15	57		11,917	2,698

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Methanex Corporation	Common Shares	Chan, Man Yee Candy	5	2023-03-15	57		12,819	902
Methanex Corporation	Rights Performance Share Units	Chan, Man Yee Candy	5	2023-03-09	56		10,950	2,100
Methanex Corporation	Rights Performance Share Units	Chan, Man Yee Candy	5	2023-03-15	56		12,725	1,775
Methanex Corporation	Rights Performance Share Units	Chan, Man Yee Candy	5	2023-03-15	59		10,026	-2,699
Methanex Corporation	Rights Performance Share Units	Chan, Man Yee Candy	5	2023-03-15	57			2,698
Methanex Corporation	Rights Performance Share Units	Chan, Man Yee Candy	5	2023-03-15	57		7,328	-2,698
Methanex Corporation	Rights Restricted Share Units	Chan, Man Yee Candy	5	2023-03-09	56		5,469	1,050
Methanex Corporation	Rights Restricted Share Units	Chan, Man Yee Candy	5	2023-03-15	59		4,565	-904
Methanex Corporation	Rights Restricted Share Units	Chan, Man Yee Candy	5	2023-03-15	57		3,663	-902
Methanex Corporation	Rights Share Appreciation Rights	Chan, Man Yee Candy	5	2023-03-09	56		20,780	2,270
Methanex Corporation	Rights Performance Share Units	Delbarre, Karine	7	2023-03-09	56		14,850	6,000
Methanex Corporation	Rights Performance Share Units	Delbarre, Karine	7	2023-03-15	59		9,453	-5,397
Methanex Corporation	Rights Performance Share Units	Delbarre, Karine	7	2023-03-15	56		11,228	1,775
Methanex Corporation	Rights Restricted Share Units	Delbarre, Karine	7	2023-03-15	59		2,613	-1,806
Methanex Corporation	Rights Share Appreciation Rights	Delbarre, Karine	7	2023-03-09	56		34,080	12,900
Methanex Corporation	Common Shares	Fuchslocher, Priscilla	5	2023-03-15	57		13,418	2,158
Methanex Corporation	Common Shares	Fuchslocher, Priscilla	5	2023-03-15	57		14,140	722
Methanex Corporation	Options	Fuchslocher, Priscilla	5	2023-03-09	50		19,480	2,270
Methanex Corporation	Rights Performance Share Units	Fuchslocher, Priscilla	5	2023-03-09	56		10,949	2,100
Methanex Corporation	Rights Performance Share Units	Fuchslocher, Priscilla	5	2023-03-15	56		12,724	1,775
Methanex Corporation	Rights Performance Share Units	Fuchslocher, Priscilla	5	2023-03-15	59		9,485	-3,239
Methanex Corporation	Rights Performance Share Units	Fuchslocher, Priscilla	5	2023-03-15	57		7,327	-2,158
Methanex Corporation	Rights Restricted Share Units	Fuchslocher, Priscilla	5	2023-03-09	56		5,470	1,050
Methanex Corporation	Rights Restricted Share Units	Fuchslocher, Priscilla	5	2023-03-15	59		4,386	-1,084
Methanex Corporation	Rights Restricted Share Units	Fuchslocher, Priscilla	5	2023-03-15	57		3,664	-722
Methanex Corporation	Common Shares	Harripersad, Leiselle	7	2023-03-15	57		3,541	306
Methanex Corporation	Common Shares	Harripersad, Leiselle	7	2023-03-15	57		3,746	205
Methanex Corporation	Rights Performance Share Units	Harripersad, Leiselle	7	2023-03-09	56		1,541	320
Methanex Corporation	Rights Performance Share Units	Harripersad, Leiselle	7	2023-03-15	56			-202
Methanex Corporation	Rights Performance Share Units	Harripersad, Leiselle	7	2023-03-15	56		1,743	202
Methanex Corporation	Rights Performance Share Units	Harripersad, Leiselle	7	2023-03-15	59		1,435	-308
Methanex Corporation	Rights Performance Share Units	Harripersad, Leiselle	7	2023-03-15	57		1,129	-306
Methanex Corporation	Rights Restricted Share Units	Harripersad, Leiselle	7	2023-03-09	56		1,541	320

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Methanex Corporation	Rights Restricted Share Units	Harripersad, Leiselle	7	2023-03-15	59		1,334	-207
Methanex Corporation	Rights Restricted Share Units	Harripersad, Leiselle	7	2023-03-15	57		1,129	-205
Methanex Corporation	Rights Performance Share Units	Hexter, Paul	7	2023-03-09	56		10,950	2,100
Methanex Corporation	Rights Performance Share Units	Hexter, Paul	7	2023-03-15	59		5,553	-5,397
Methanex Corporation	Rights Performance Share Units	Hexter, Paul	7	2023-03-15	56		7,328	1,775
Methanex Corporation	Rights Share Appreciation Rights	Hexter, Paul	7	2023-03-09	56		43,400	4,540
Methanex Corporation	Rights Performance Share Units	LARRIVE, ALEJANDRO	7	2023-03-09	56		10,950	2,100
Methanex Corporation	Rights Performance Share Units	LARRIVE, ALEJANDRO	7	2023-03-15	59		5,553	-5,397
Methanex Corporation	Rights Performance Share Units	LARRIVE, ALEJANDRO	7	2023-03-15	56		7,328	1,775
Methanex Corporation	Rights Share Appreciation Rights	LARRIVE, ALEJANDRO	7	2023-03-09	56		31,108	4,540
Methanex Corporation	Common Shares	Lee, Kyung Wan (Fred)	5	2023-03-15	57		6,142	2,158
Methanex Corporation	Common Shares	Lee, Kyung Wan (Fred)	5	2023-03-15	57		6,864	722
Methanex Corporation	Rights Performance Share Units	Lee, Kyung Wan (Fred)	5	2023-03-09	56		10,949	2,100
Methanex Corporation	Rights Performance Share Units	Lee, Kyung Wan (Fred)	5	2023-03-15	56		12,724	1,775
Methanex Corporation	Rights Performance Share Units	Lee, Kyung Wan (Fred)	5	2023-03-15	59		9,485	-3,239
Methanex Corporation	Rights Performance Share Units	Lee, Kyung Wan (Fred)	5	2023-03-15	57		7,327	-2,158
Methanex Corporation	Rights Restricted Share Units	Lee, Kyung Wan (Fred)	5	2023-03-09	56		5,470	1,050
Methanex Corporation	Rights Restricted Share Units	Lee, Kyung Wan (Fred)	5	2023-03-15	59		4,386	-1,084
Methanex Corporation	Rights Restricted Share Units	Lee, Kyung Wan (Fred)	5	2023-03-15	57		3,664	-722
Methanex Corporation	Rights Share Appreciation Rights	Lee, Kyung Wan (Fred)	5	2023-03-09	56		19,747	2,270
Methanex Corporation	Common Shares	MALONEY, KEVIN	5	2023-03-15	57		13,229	2,158
Methanex Corporation	Rights Performance Share Units	MALONEY, KEVIN	5	2023-03-09	56		15,190	6,200
Methanex Corporation	Rights Performance Share Units	MALONEY, KEVIN	5	2023-03-15	56		16,965	1,775
Methanex Corporation	Rights Performance Share Units	MALONEY, KEVIN	5	2023-03-15	59		13,726	-3,239
Methanex Corporation	Rights Performance Share Units	MALONEY, KEVIN	5	2023-03-15	57		11,568	-2,158
Methanex Corporation	Rights Share Appreciation Rights	MALONEY, KEVIN	5	2023-03-09	56		39,968	13,400
Methanex Corporation	Common Shares	McCall, Stuart	7	2023-03-15	57		4,511	715
Methanex Corporation	Common Shares	McCall, Stuart	7	2023-03-15	57		4,991	480
Methanex Corporation	Rights Performance Share Units	McCall, Stuart	7	2023-03-09	56		6,113	2,100
Methanex Corporation	Rights Performance Share Units	McCall, Stuart	7	2023-03-15	56		6,584	471
Methanex Corporation	Rights Performance Share Units	McCall, Stuart	7	2023-03-15	59		5,867	-717
Methanex Corporation	Rights Performance Share Units	McCall, Stuart	7	2023-03-15	57		5,152	-715
Methanex Corporation	Rights Restricted Share Units	McCall, Stuart	7	2023-03-09	56		3,932	1,050

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Methanex Corporation	Rights Restricted Share Units	McCall, Stuart	7	2023-03-15	59		3,451	-481
Methanex Corporation	Rights Restricted Share Units	McCall, Stuart	7	2023-03-15	57		2,971	-480
Methanex Corporation	Rights Share Appreciation Rights	McCall, Stuart	7	2023-03-09	56		7,120	2,270
Methanex Corporation	Common Shares	Parra, Gustavo	5	2023-03-15	57		18,467	4,374
Methanex Corporation	Rights Performance Share Units	Parra, Gustavo	5	2023-03-09	56		20,777	6,200
Methanex Corporation	Rights Performance Share Units	Parra, Gustavo	5	2023-03-15	56		23,654	2,877
Methanex Corporation	Rights Performance Share Units	Parra, Gustavo	5	2023-03-15	59		19,280	-4,374
Methanex Corporation	Rights Performance Share Units	Parra, Gustavo	5	2023-03-15	57		14,906	-4,374
Methanex Corporation	Rights Share Appreciation Rights	Parra, Gustavo	5	2023-03-09	56		72,690	13,400
Methanex Corporation	Rights Performance Share Units	Price, Kevin	5	2023-03-09	56		12,950	4,100
Methanex Corporation	Rights Performance Share Units	Price, Kevin	5	2023-03-15	59		7,553	-5,397
Methanex Corporation	Rights Performance Share Units	Price, Kevin	5	2023-03-15	56		9,328	1,775
Methanex Corporation	Rights Share Appreciation Rights	Price, Kevin	5	2023-03-09	56		50,360	8,800
Methanex Corporation	Common Shares	RICHARDSON, DEAN	7	2023-03-15	57		5,605	2,158
Methanex Corporation	Rights Performance Share Units	RICHARDSON, DEAN	7	2023-03-09	56		19,173	8,500
Methanex Corporation	Rights Performance Share Units	RICHARDSON, DEAN	7	2023-03-15	56		20,948	1,775
Methanex Corporation	Rights Performance Share Units	RICHARDSON, DEAN	7	2023-03-15	59		17,709	-3,239
Methanex Corporation	Rights Performance Share Units	RICHARDSON, DEAN	7	2023-03-15	57		15,551	-2,158
Methanex Corporation	Rights Share Appreciation Rights	RICHARDSON, DEAN	7	2023-03-09	56		63,590	18,300
Methanex Corporation	Common Shares	Shindy, Mohamed	7	2023-03-15	57		9,996	2,698
Methanex Corporation	Common Shares	Shindy, Mohamed	7	2023-03-15	57		10,898	902
Methanex Corporation	Rights Performance Share Units	Shindy, Mohamed	7	2023-03-09	56		10,950	2,100
Methanex Corporation	Rights Performance Share Units	Shindy, Mohamed	7	2023-03-15	56		12,725	1,775
Methanex Corporation	Rights Performance Share Units	Shindy, Mohamed	7	2023-03-15	59		10,026	-2,699
Methanex Corporation	Rights Performance Share Units	Shindy, Mohamed	7	2023-03-15	57		7,328	-2,698
Methanex Corporation	Rights Restricted Share Units	Shindy, Mohamed	7	2023-03-09	56		5,469	1,050
Methanex Corporation	Rights Restricted Share Units	Shindy, Mohamed	7	2023-03-15	59		4,565	-904
Methanex Corporation	Rights Restricted Share Units	Shindy, Mohamed	7	2023-03-15	57		3,663	-902
Methanex Corporation	Rights Share Appreciation Rights	Shindy, Mohamed	7	2023-03-09	56		29,150	2,270
Methanex Corporation	Rights Performance Share Units	Sumner, Rich	4, 5	2023-03-09	56		47,789	30,600
Methanex Corporation	Rights Performance Share Units	Sumner, Rich	4, 5	2023-03-15	59		39,041	-8,748
Methanex Corporation	Rights Performance Share Units	Sumner, Rich	4, 5	2023-03-15	56		41,918	2,877

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Methanex Corporation	Rights Share Appreciation Rights	Sumner, Rich	4, 5	2023-03-09	56		134,560	66,200
Metro inc.	Common Shares	Boivin, Pierre	4	2023-03-06	35	70.3	5,239	22
Mexican Gold Mining Corp.	Common Shares	Campbell, Jack	4, 5	2023-03-15	16	0.12	123,549	100,000
Mexican Gold Mining Corp.	Common Shares	Campbell, Jack	4, 5	2023-03-15	37		102,355	-21,194
Mexican Gold Mining Corp.	Options	Campbell, Jack	4, 5	2023-03-15	37		200,000	-1,800,000
Mexican Gold Mining Corp.	Warrants	Campbell, Jack	4, 5	2021-10-01	00			
Mexican Gold Mining Corp.	Warrants	Campbell, Jack	4, 5	2023-03-15	16	0.15	100,000	100,000
Mexican Gold Mining Corp.	Common Shares	Zamani, Ali	4	2023-03-15	37		160	-1,440
Mexican Gold Mining Corp.	Common Shares	Zamani, Ali	4	2017-02-23	00			
Mexican Gold Mining Corp.	Common Shares	Zamani, Ali	4	2023-03-15	11	0.12	208,333	208,333
Mexican Gold Mining Corp.	Options	Zamani, Ali	4	2023-03-15	37		50,000	-450,000
Mexican Gold Mining Corp.	Warrants	Zamani, Ali	4	2017-02-23	00			
Mexican Gold Mining Corp.	Warrants	Zamani, Ali	4	2023-03-15	11		208,333	208,333
Microbix Biosystems Inc.	Common Shares	Microbix Biosystems Inc.	8	2023-02-01	38	0.37	188,000	11,500
Microbix Biosystems Inc.	Common Shares	Microbix Biosystems Inc.	8	2023-02-02	38	0.365	199,500	11,500
Microbix Biosystems Inc.	Common Shares	Microbix Biosystems Inc.	8	2023-02-02	38		12,000	-187,500
Microbix Biosystems Inc.	Common Shares	Microbix Biosystems Inc.	8	2023-02-03	38	0.37	23,500	11,500
Microbix Biosystems Inc.	Common Shares	Microbix Biosystems Inc.	8	2023-02-06	38	0.37	35,000	11,500
Microbix Biosystems Inc.	Common Shares	Microbix Biosystems Inc.	8	2023-02-07	38	0.35	46,500	11,500
Microbix Biosystems Inc.	Common Shares	Microbix Biosystems Inc.	8	2023-02-08	38	0.35	58,000	11,500
Microbix Biosystems Inc.	Common Shares	Microbix Biosystems Inc.	8	2023-02-09	38	0.325	69,500	11,500
Microbix Biosystems Inc.	Common Shares	Microbix Biosystems Inc.	8	2023-02-10	38	0.31	81,000	11,500
Microbix Biosystems Inc.	Common Shares	Microbix Biosystems Inc.	8	2023-02-13	38	0.31	92,500	11,500
Microbix Biosystems Inc.	Common Shares	Microbix Biosystems Inc.	8	2023-02-14	38	0.31	104,000	11,500
Microbix Biosystems Inc.	Common Shares	Microbix Biosystems Inc.	8	2023-02-15	38	0.3613	115,500	11,500
Microbix Biosystems Inc.	Common Shares	Microbix Biosystems Inc.	8	2023-02-17	38	0.385	127,000	11,500
Microbix Biosystems Inc.	Common Shares	Microbix Biosystems Inc.	8	2023-02-21	38	0.375	138,500	11,500
Microbix Biosystems Inc.	Common Shares	Microbix Biosystems Inc.	8	2023-02-22	38	0.38	150,000	11,500
Microbix Biosystems Inc.	Common Shares	Microbix Biosystems Inc.	8	2023-02-23	38	0.375	161,500	11,500
Microbix Biosystems Inc.	Common Shares	Microbix Biosystems Inc.	8	2023-02-24	38	0.37	173,000	11,500
Microbix Biosystems Inc.	Common Shares	Microbix Biosystems Inc.	8	2023-02-27	38	0.35	184,500	11,500
Minera Alamos Inc.	Common Shares	Ramshaw, Doug	4, 5	2023-03-13	10	0.37	8,592,700	8,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Minera Alamos Inc.	Common Shares	Ramshaw, Doug	4, 5	2023-03-14	10	0.37	8,634,700	42,000
Minera Alamos Inc.	Common Shares	Ramshaw, Doug	4, 5	2023-03-15	10	0.37	8,684,700	50,000
Minera Alamos Inc.	Common Shares	Ramshaw, Doug	4, 5	2023-03-15	10	0.365	8,734,700	50,000
Minera Alamos Inc.	Common Shares	Ramshaw, Doug	4, 5	2023-03-16	10	0.365	8,769,700	35,000
MINT Income Fund	Trust Units	MINT Income Fund	1	2023-03-10	38	6.65	71,951,751	600
MINT Income Fund	Trust Units	MINT Income Fund	1	2023-03-15	38	6.4	71,952,351	600
Monarch Mining Corporation	Common Shares	Lacoste, Jean-Marc	7	2023-03-17	11	0.055	1,000,000	-160,667
Monarch Mining Corporation	Common Shares	Lacoste, Jean-Marc	7	2023-03-17	11	0.055	1,228,667	160,667
Mongolia Growth Group Ltd.	Common Shares	Mongolia Growth Group Ltd.	1	2023-02-23	38	1.45		19,990
Mongolia Growth Group Ltd.	Common Shares	Mongolia Growth Group Ltd.	1	2023-02-23	38	1.45	168,000	19,900
Montfort Capital Corp.	Options	Andrew, Abouchar	5	2023-03-16	50	0.35	515,125	160,125
Montfort Capital Corp.	Rights Restricted Share Units	Andrew, Abouchar	5	2023-03-16	56		1,050,000	1,000,000
Montfort Capital Corp.	Common Shares	Walkinshaw, Michael Christopher	4	2023-03-13	10	0.37	4,268,299	-100,000
Monumental Minerals Corp.	Common Shares	Sali, Maximilian	4	2023-03-14	10	0.2	2,983,000	15,000
Monumental Minerals Corp.	Common Shares	Sali, Maximilian	4	2023-03-17	10	0.18	2,988,000	5,000
Morguard North American Residential Real Estate Investment Trust	Trust Units	Morguard North American Residential Real Estate Investment Trust	1	2023-03-10	38	17.978	8,461	8,461
Morguard North American Residential Real Estate Investment Trust	Trust Units	Morguard North American Residential Real Estate Investment Trust	1	2023-03-10	38		0	-8,461
Morguard North American Residential Real Estate Investment Trust	Trust Units	Morguard North American Residential Real Estate Investment Trust	1	2023-03-13	38	17.78	8,461	8,461
Morguard North American Residential Real Estate Investment Trust	Trust Units	Morguard North American Residential Real Estate Investment Trust	1	2023-03-13	38		0	-8,461
Morguard North American Residential Real Estate Investment Trust	Trust Units	Morguard North American Residential Real Estate Investment Trust	1	2023-03-14	38	17.78	5,500	5,500
Morguard North American Residential Real Estate Investment Trust	Trust Units	Morguard North American Residential Real Estate Investment Trust	1	2023-03-14	38		0	-5,500
Morguard North American Residential Real Estate Investment Trust	Trust Units	Morguard North American Residential Real Estate Investment Trust	1	2023-03-15	38	17.899	8,461	8,461
Morguard North American Residential Real Estate Investment Trust	Trust Units	Morguard North American Residential Real Estate Investment Trust	1	2023-03-15	38		0	-8,461
Morguard North American Residential Real Estate Investment Trust	Trust Units	Morguard North American Residential Real Estate Investment Trust	1	2023-03-16	38	17.505	8,461	8,461
Morguard North American Residential Real Estate Investment Trust	Trust Units	Morguard North American Residential Real Estate Investment Trust	1	2023-03-16	38		0	-8,461
Morguard Real Estate Investment Trust	Units	Walker, Timothy James	4	2023-03-13	10	5.31	14,700	-300

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Mountain Valley MD Holdings Inc.	Common Shares	Hancock, Dennis Wayne	5	2023-03-08	11	0.055	2,745,313	1,000,000
Mountain Valley MD Holdings Inc.	Options	Hancock, Dennis Wayne	5	2023-03-06	50		5,750,000	1,000,000
Mountain Valley MD Holdings Inc.	Restricted Share Units	Hancock, Dennis Wayne	5	2023-01-31	58 - Expiration of rights		0	-250,000
Mountain Valley MD Holdings Inc.	Options	Lockhard, Paul	4	2023-02-06	50		425,000	75,000
Mountain Valley MD Holdings Inc.	Options	Puloski, Kevin Terry Wayne	4	2023-03-06	50		625,000	100,000
Mountain Valley MD Holdings Inc.	Options	Richardson, Nancy	4	2023-03-06	50		425,000	75,000
Mountain Valley MD Holdings Inc.	Options	Triplett, Aaron	5	2023-03-06	50	0.05	1,375,000	500,000
Mountain Valley MD Holdings Inc.	Restricted Share Units	Triplett, Aaron	5	2023-01-31	58 - Expiration of rights		0	-125,000
Mullen Group Ltd.	Common Shares	Mullen Group Ltd.	1	2023-03-13	38	14.851	772,094	37,010
Mullen Group Ltd.	Common Shares	Mullen Group Ltd.	1	2023-03-14	38	15.206	809,104	37,010
Mullen Group Ltd.	Common Shares	Mullen Group Ltd.	1	2023-03-15	38	14.723	846,114	37,010
Mullen Group Ltd.	Common Shares	Mullen Group Ltd.	1	2023-03-16	38	14.758	883,124	37,010
Mundoro Capital Inc.	Options	Calyniuk, Michael Eugene	4	2022-01-17	52		823,000	-77,000
Mundoro Capital Inc.	Options	Calyniuk, Michael Eugene	4	2022-06-13	52		725,000	-248,000
Mundoro Capital Inc.	Options	Hatch, Nick	4	2022-02-15	50	0.175	525,000	150,000
Mundoro Capital Inc.	Common Shares	HOEY, JOHN JOSEPH	4	2021-06-27	51	0.12	732,784	150,000
Mundoro Capital Inc.	Common Shares	HOEY, JOHN JOSEPH	4	2022-01-17	51	0.13	809,784	77,000
Mundoro Capital Inc.	Options	HOEY, JOHN JOSEPH	4	2021-05-04	50	0.23	875,000	250,000
Mundoro Capital Inc.	Options	HOEY, JOHN JOSEPH	4	2021-06-27	51	0.12	725,000	-150,000
Mundoro Capital Inc.	Options	HOEY, JOHN JOSEPH	4	2022-01-17	51	0.13	648,000	-77,000
Mundoro Capital Inc.	Options	HOEY, JOHN JOSEPH	4	2022-02-15	50	0.175	798,000	150,000
Mundoro Capital Inc.	Options	HOEY, JOHN JOSEPH	4	2022-06-12	52		775,000	-23,000
Muzhu Mining Ltd.	Common Shares	Yaretz, Dwayne	4	2023-03-13	10	0.125	682,000	22,000
Muzhu Mining Ltd.	Common Shares	Yaretz, Dwayne	4	2023-03-13	10	0.12	692,000	10,000
Muzhu Mining Ltd.	Common Shares	Yaretz, Dwayne	4	2023-03-15	10	0.115	719,000	27,000
Muzhu Mining Ltd.	Common Shares	Yaretz, Dwayne	4	2023-03-15	10	0.125	783,000	64,000
Muzhu Mining Ltd.	Common Shares	Yaretz, Dwayne	4	2023-03-15	10	0.125	794,000	11,000
Muzhu Mining Ltd.	Common Shares	Yaretz, Dwayne	4	2023-03-16	10	0.115	844,000	50,000
Nanalysis Scientific Corp.	Restricted Share Units	Burian, Martin Andrew	4	2017-05-18	00			
Nanalysis Scientific Corp.	Restricted Share Units	Burian, Martin Andrew	4	2023-03-13	56		45,000	45,000
Nanalysis Scientific Corp.	Restricted Share Units	Cloetens, Guido	4	2023-03-13	56		105,000	45,000
Nanalysis Scientific Corp.	Restricted Share Units	Gartner, Werner	4	2023-03-13	56		125,000	45,000
Nanalysis Scientific Corp.	Options	Krakiwsky, Sean Edward	4, 5	2023-03-13	50		500,000	75,000
Nanalysis Scientific Corp.	Options	Lenggenhager, Rene	4	2023-03-13	50	1.1		90,000
Nanalysis Scientific Corp.	Options	Lenggenhager, Rene	4	2023-03-13	50	1.1		90,000
Nanalysis Scientific Corp.	Options	Lenggenhager, Rene	4	2023-03-13	50		390,000	90,000
Nanalysis Scientific Corp.	Options	McRae, Randy	5	2023-03-13	53			75,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Nanalysis Scientific Corp.	Options	McRae, Randy	5	2023-03-13	53			75,000
Nanalysis Scientific Corp.	Options	McRae, Randy	5	2023-03-13	50		250,000	75,000
Nano One Materials Corp.	Common Shares	Blondal, Daniel John	4, 5	2023-03-09	57	3.05	1,438,884	31,384
Nano One Materials Corp.	Rights Restricted Share Units	Blondal, Daniel John	4, 5	2023-03-09	57		62,768	-31,384
Nano One Materials Corp.	Common Shares	BROWN, LYLE	4	2023-03-09	57	3.05	162,167	1,334
Nano One Materials Corp.	Rights Restricted Share Units	BROWN, LYLE	4	2023-03-09	57		5,035	-2,517
Nano One Materials Corp.	Common Shares	Campbell, Stephen Alan Clayton	5	2023-03-09	57	3.05	21,183	6,183
Nano One Materials Corp.	Rights Restricted Share Units	Campbell, Stephen Alan Clayton	5	2023-03-09	57		21,044	-10,521
Nano One Materials Corp.	Common Shares	Guy, Joseph	4	2023-03-09	57	3.05	105,393	2,517
Nano One Materials Corp.	Rights Restricted Share Units	Guy, Joseph	4	2023-03-09	57		16,537	-2,517
Nano One Materials Corp.	Common Shares	Holmes, Alexander	5	2023-03-09	57	3.05	60,000	25,000
Nano One Materials Corp.	Rights Restricted Share Units	Holmes, Alexander	5	2023-03-09	57		66,296	-33,147
Nano One Materials Corp.	Common Shares	Kinsman, Pamela	5	2023-03-09	57	3.05	3,582	582
Nano One Materials Corp.	Rights Restricted Share Units	Kinsman, Pamela	5	2023-03-09	57		1,163	-582
Nano One Materials Corp.	Common Shares	Martino, Daniel	5	2022-08-29	57	3.57		1,558
Nano One Materials Corp.	Common Shares	Martino, Daniel	5	2022-08-29	57	3.57		1,558
Nano One Materials Corp.	Common Shares	Martino, Daniel	5	2023-03-09	57	3.05	10,614	3,211
Nano One Materials Corp.	Common Shares	Martino, Daniel	5	2023-03-14	10	3.408	614	-10,000
Nano One Materials Corp.	Rights Restricted Share Units	Martino, Daniel	5	2022-08-29	57	3.57		-2,875
Nano One Materials Corp.	Rights Restricted Share Units	Martino, Daniel	5	2022-08-29	57	3.57		-2,875
Nano One Materials Corp.	Rights Restricted Share Units	Martino, Daniel	5	2023-03-09	57		11,479	-5,741
Neovasc Inc.	Common Shares	JANZEN, DOUG	4	2022-06-29	10	6		138
Neovasc Inc.	Common Shares	JANZEN, DOUG	4	2022-06-29	10	6		138
Neovasc Inc.	Common Shares	JANZEN, DOUG	4	2023-02-21	57		38,697	56
Neovasc Inc.	Rights Restricted Stock Units	JANZEN, DOUG	4	2022-04-29	37			-44,160
Neovasc Inc.	Rights Restricted Stock Units	JANZEN, DOUG	4	2022-04-29	37			-44,161
Neovasc Inc.	Rights Restricted Stock Units	JANZEN, DOUG	4	2022-04-29	37		1,840	-44,160
Neovasc Inc.	Rights Restricted Stock Units	JANZEN, DOUG	4	2023-02-21	57		2,134	-134
New Found Gold Corp.	Common Shares	Sprott Mining Inc.	3	2023-03-15	10	5.8662	24,298,700	100,000
New Found Gold Corp.	Common Shares	Sprott, Eric S.	3	2023-03-15	10	5.8662	24,298,700	100,000
New Pacific Metals Corp.	Common Shares	Silvercorp Metals Inc.	3	2023-03-16	10	3	10,922,710	29,200
Newcore Gold Ltd.	Common Shares	Forster, Douglas Burton	3, 4	2023-03-16	10	0.1775	4,052,300	30,000
Newcore Gold Ltd.	Common Shares	Forster, Douglas Burton	3, 4	2023-03-16	10	0.18	4,082,300	30,000
Newcore Gold Ltd.	Common Shares	Johnson, Blayne, Barry	4	2023-03-14	10		10,901,375	20,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Newcore Gold Ltd.	Common Shares	Johnson, Blayne, Barry	4	2023-03-17	10		10,921,375	20,000
Newcore Gold Ltd.	Common Shares	King, Ryan	4	2023-03-13	10	0.185	1,123,500	20,000
NewOrigin Gold Corp. (formerly Tri Origin Exploration Ltd.)	Common Shares	Thomson, Andrew	5	2023-03-10	10	0.045	1,927,459	35,000
Newpath Resources Inc. (formerly, Ready Set Gold Corp.)	Common Shares	McAulay, Alexander	4	2023-03-15	10	0.4	42,000	4,000
Newpath Resources Inc. (formerly, Ready Set Gold Corp.)	Common Shares	McAulay, Alexander	4	2023-03-15	10	0.394	62,000	20,000
Newpath Resources Inc. (formerly, Ready Set Gold Corp.)	Common Shares	Reynolds, Damien Edward	3	2023-03-17	10	0.33	1,020,500	8,500
Newtopia Inc.	Options	Basian, Karen	4	2023-03-11	50		891,009	575,000
Newtopia Inc.	Options	Bennie, Rikki	4	2023-03-11	50		1,500,000	700,000
Newtopia Inc.	Options	Dodo, Lara Ann Sherman	5	2017-01-23	00			
Newtopia Inc.	Options	Dodo, Lara Ann Sherman	5	2017-01-23	00			
Newtopia Inc.	Options	Dodo, Lara Ann Sherman	5	2020-03-30	00			
Newtopia Inc.	Options	Dodo, Lara Ann Sherman	5	2023-03-11	50		1,500,000	1,500,000
Newtopia Inc.	Options	Hawn, Andrea Carleen	4	2023-03-11	50		599,437	500,000
Newtopia Inc.	Options	Jackson, Barclay LaMark	5	2023-03-11	50		900,000	500,000
Newtopia Inc.	Options	Lem, Edmond	5	2023-03-11	50		600,000	400,000
Newtopia Inc.	Options	Palmer, Michael E.	4	2023-03-11	50		807,582	675,000
Newtopia Inc.	Options	Poirier, Roger Robert	4	2021-07-12	00			
Newtopia Inc.	Options	Poirier, Roger Robert	4	2023-03-11	50		450,000	450,000
Newtopia Inc.	Options	Ruby, Jeffrey	5	2023-03-11	50		8,770,024	3,500,000
Newtopia Inc.	Options	Seider, Peter Larry	5	2023-03-11	50		1,000,000	500,000
Newtopia Inc.	Options	Swenson, Collin Joseph	5	2022-05-02	00			
Newtopia Inc.	Options	Swenson, Collin Joseph	5	2023-03-11	50		400,000	400,000
Newtopia Inc.	Options	Van Wyck, William	5	2023-03-11	50		1,000,000	500,000
Nexe Innovations Inc.	Common Shares	Guglani, Ashvani	4	2023-03-09	10	0.46	2,628,501	5,000
NexGen Energy Ltd.	Common Shares	Howlett, Karri Lynn	4	2023-03-13	10	5.19	25,900	7,900
NexGen Energy Ltd.	Common Shares	Howlett, Karri Lynn	4	2023-03-13	10	5.2	26,700	800
NexGen Energy Ltd.	Common Shares	Wall, Bradley John	4	2023-03-15	10	4.85	27,400	5,700
Nickel 28 Capital Corp. (formerly, Conic Metals Corp.)	Common Shares	Milewski, Anthony	4	2023-03-15	10	0.9607	8,140,604	55,000
Niobay Metals Inc. (formerly MDN INC.)	Common Shares	David, Jean-Sébastien	4	2023-03-13	10	0.205	1,407,152	12,000
NL2 Capital Inc.	Common Shares	Dobbin, Christopher Donald	3, 4, 5	2022-03-04	00			
NL2 Capital Inc.	Common Shares	Dobbin, Christopher Donald	3, 4, 5	2022-03-30	11	0.05	2,500,000	2,500,000
Noranda Income Fund	Trust Units	Desjardins, Daniel	4	2023-03-15	22	1.98	0	-18,000
Noranda Income Fund	Trust Units	Lloyd, Anthony	4	2023-03-15	22	1.98	0	-30,000
Noranda Income Fund	Trust Units	Roy, François R.	4	2023-03-15	22	1.98	0	-35,000
Noranda Income Fund	Trust Units	wright, peter	4	2023-03-15	22	1.98	0	-10,000
Norsemont Mining Inc.	Common Shares	Burns, Patrick James	4	2023-02-17	57		9,625	3,375
Norsemont Mining Inc.	Rights Restricted Stock Units	Burns, Patrick James	4	2022-11-15	54			-3,125
Norsemont Mining Inc.	Rights Restricted Stock Units	Burns, Patrick James	4	2022-11-15	57		18,750	-3,125

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Norsemont Mining Inc.	Rights Restricted Stock Units	Burns, Patrick James	4	2023-02-17	57		15,375	-3,375
Northern Superior Resources Inc.	Options	Perron, François	4	2023-03-07	50		350,000	100,000
NorthStar Gaming Holdings Inc.	Common Shares	Barber, Jennifer	5	2023-03-03	00		234,264	
NorthStar Gaming Holdings Inc.	Options	Barber, Jennifer	5	2023-03-03	00		1,473,360	
NorthStar Gaming Holdings Inc.	Common Shares	Bertrand, Vic	4	2023-03-03	00		1,545,555	
NorthStar Gaming Holdings Inc.	Options	Bertrand, Vic	4	2023-03-03	00		368,340	
NorthStar Gaming Holdings Inc.	Common Shares	Goodman, Corey	5	2023-03-03	00		2,210,040	
NorthStar Gaming Holdings Inc.	Common Shares	Goodman, Corey	5	2023-03-03	00		552,510	
NorthStar Gaming Holdings Inc.	Options	Goodman, Corey	5	2023-03-03	00		1,036,885	
NorthStar Gaming Holdings Inc.	Common Shares	Moskowitz, Michael David	4, 5	2023-03-03	00		4,725,802	
NorthStar Gaming Holdings Inc.	Options	Moskowitz, Michael David	4, 5	2023-03-03	00		1,969,146	
NorthStar Gaming Holdings Inc.	Common Shares	Playtech plc	3	2023-03-03	00		24,500,000	
NorthStar Gaming Holdings Inc.	Warrants	Playtech plc	3	2023-03-03	00		24,500,000	
NorthStar Gaming Holdings Inc.	Common Shares	Shafran, Barry Wade	4	2023-03-07	00			
NorthStar Gaming Holdings Inc.	Options	Shafran, Barry Wade	4	2023-03-07	00			
NorthStar Gaming Holdings Inc.	Common Shares	Tevir Capital LP Ltd	8	2023-02-09	00		28,973,937	
NorthStar Gaming Holdings Inc.	Common Shares	Wolfe, Janis	8	2023-02-09	00		73,668	
NorthStar Gaming Holdings Inc.	Common Shares	Wolfe, Janis	8	2023-02-09	00		73,668	
NorthStar Gaming Holdings Inc.	Common Shares	Wolfe, Janis	8	2023-02-09	00		73,668	
NorthStar Gaming Holdings Inc.	Common Shares	Wolfe, Janis	8	2023-02-09	00		28,973,937	
NorthStar Gaming Holdings Inc.	Common Shares	Wolfe, Janis	8	2023-02-09	00		73,668	
Nova Leap Health Corp.	Common Shares	Myles, R. Wayne	4	2017-09-08	00			
Nova Leap Health Corp.	Common Shares	Myles, R. Wayne	4	2023-03-15	10	0.2	15,000	15,000
Nova Leap Health Corp.	Common Shares	Myles, R. Wayne	4	2023-03-15	10	0.199	20,000	5,000
Nova Leap Health Corp.	Common Shares	Myles, R. Wayne	4	2023-03-15	10	0.195	25,000	5,000
Nova Leap Health Corp.	Common Shares	Myles, R. Wayne	4	2023-03-16	10	0.2	50,000	25,000
Nova Royalty Corp.	Common Shares	Beedie, Ryan K	3	2023-03-10	10	1.4544	1,115,091	1,034,400
Nova Royalty Corp.	Convertible Loan	Beedie, Ryan K	3	2023-03-10	97	1.7852	\$2,695,684	\$840,241
NuLegacy Gold Corporation	Common Shares	Matter, Albert	4, 5	2023-03-15	10	0.02	8,930,574	120,000
NuLegacy Gold Corporation	Common Shares	Matter, Albert	4, 5	2023-03-15	10	0.02	9,024,574	94,000
NuLegacy Gold Corporation	Common Shares	Matter, Albert	4, 5	2023-03-16	10	0.02	9,067,574	43,000
NuLegacy Gold Corporation	Common Shares	Matter, Albert	4, 5	2023-03-16	10	0.02	9,092,574	25,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Nutrien Ltd.	Performance Share Units	Bradley, Noralee	5	2023-03-09	59	75.43	48,464	-20,531
Nutrien Ltd.	Performance Share Units	Bradley, Noralee	5	2023-03-09	58 - Expiration of rights		36,008	-12,456
Nutrien Ltd.	Performance Share Units	Farah, Pedro	5	2023-03-09	59	75.43	60,293	-14,679
Nutrien Ltd.	Performance Share Units	Farah, Pedro	5	2023-03-09	58 - Expiration of rights		51,387	-8,906
Nutrien Ltd.	Common Shares	Kelemen, Andrew	5	2023-03-10	10	107.41	4,600	1,000
Nutrien Ltd.	Performance Share Units	Kelemen, Andrew	5	2023-03-09	59	75.43	14,004	-3,327
Nutrien Ltd.	Performance Share Units	Kelemen, Andrew	5	2023-03-09	58 - Expiration of rights		11,985	-2,019
Nutrien Ltd.	Performance Share Units	Kirkpatrick, Robert	7	2023-03-09	59	75.43	11,930	-4,261
Nutrien Ltd.	Performance Share Units	Kirkpatrick, Robert	7	2023-03-09	58 - Expiration of rights		9,345	-2,585
Nutrien Ltd.	Performance Share Units	Laing, Candace Joy	5	2023-03-09	59	75.43	7,276	-1,357
Nutrien Ltd.	Performance Share Units	Laing, Candace Joy	5	2023-03-09	58 - Expiration of rights		6,452	-824
Nutrien Ltd.	Performance Share Units	Poohkay, Brent Donald	5	2023-03-09	59	75.43	17,673	-3,832
Nutrien Ltd.	Performance Share Units	Poohkay, Brent Donald	5	2023-03-09	58 - Expiration of rights		15,348	-2,325
Nutrien Ltd.	Performance Share Units	Reynolds, Christopher	5	2023-03-09	59	75.43	19,219	-3,377
Nutrien Ltd.	Performance Share Units	Reynolds, Christopher	5	2023-03-09	58 - Expiration of rights		17,170	-2,049
Nutrien Ltd.	Performance Share Units	Seitz, Kenneth Alvin	4, 5	2023-03-09	59	75.43	119,371	-44,893
Nutrien Ltd.	Performance Share Units	Seitz, Kenneth Alvin	4, 5	2023-03-09	58 - Expiration of rights		92,135	-27,236
Nutrien Ltd.	Performance Share Units	Tarsi, Jeffrey Martin	5	2023-03-09	59	75.43	46,129	-4,592
Nutrien Ltd.	Performance Share Units	Tarsi, Jeffrey Martin	5	2023-03-09	58 - Expiration of rights		43,343	-2,786
Nutrien Ltd.	Performance Share Units	Thompson, Mark	5	2023-03-09	59	75.43	29,929	-5,543
Nutrien Ltd.	Performance Share Units	Thompson, Mark	5	2023-03-09	58 - Expiration of rights		26,566	-3,363
Nutrien Ltd.	Performance Share Units	Williams, Trevor Leigh	5	2023-03-09	59	75.43	18,915	-2,494
Nutrien Ltd.	Performance Share Units	Williams, Trevor Leigh	5	2023-03-09	58 - Expiration of rights		17,402	-1,513
NUVISTA ENERGY LTD.	Notes Senior Unsecured Notes due 2026	NuVista Energy Ltd	1	2023-03-13	38		\$3,000,000	\$3,000,000
NUVISTA ENERGY LTD.	Notes Senior Unsecured Notes due 2026	NuVista Energy Ltd	1	2023-03-14	38		\$0	-\$3,000,000
NUVISTA ENERGY LTD.	Notes Senior Unsecured Notes due 2026	NuVista Energy Ltd	1	2023-03-15	38		\$4,580,000	\$4,580,000
NUVISTA ENERGY LTD.	Notes Senior Unsecured Notes due 2026	NuVista Energy Ltd	1	2023-03-15	38		\$14,580,000	\$10,000,000
NUVISTA ENERGY LTD.	Notes Senior Unsecured Notes due 2026	NuVista Energy Ltd	1	2023-03-16	38		\$10,000,000	-\$4,580,000
NUVISTA ENERGY LTD.	Notes Senior Unsecured Notes due 2026	NuVista Energy Ltd	1	2023-03-16	38		\$0	-\$10,000,000
Oberon Uranium Corp.	Common Shares	McCLEERY, JOHN BARRY	5	2023-03-16	15		1,088,500	525,000
OceanaGold Corporation	Common Shares	Bond, Gerard Michael	8	2023-03-08	57		300,494	150,494

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
OceanaGold Corporation	Options	Bond, Gerard Michael	8	2023-03-08	57		2,165,309	-150,494
OceanaGold Corporation	Common Shares	FEEBREY, CRAIG ANDREW	5	2023-03-08	51		483,149	211,634
OceanaGold Corporation	Options	FEEBREY, CRAIG ANDREW	5	2023-03-08	51		1,029,182	-277,008
OceanaGold Corporation	Common Shares	McQueen, Scott Alexander	5	2017-07-03	00			
OceanaGold Corporation	Common Shares	McQueen, Scott Alexander	5	2023-03-08	57		245,260	245,260
OceanaGold Corporation	Options	McQueen, Scott Alexander	5	2023-03-08	57		1,288,375	-321,023
OceanaGold Corporation	Common Shares	Tang, Liang	5	2023-03-08	57		366,866	201,883
OceanaGold Corporation	Options	Tang, Liang	5	2023-03-08	57		1,082,767	-264,246
Olive Resource Capital Inc.	Common Shares	Macpherson, Derek	4	2023-03-08	10	0.035	2,563,000	78,000
Olive Resource Capital Inc.	Common Shares	Macpherson, Derek	4	2023-03-10	10	0.035	2,585,000	22,000
ONEX CORPORATION	Options	Heersink, Ewout R.	4, 5	2023-03-10	59	56.92	263,829	-15,171
ONEX CORPORATION	Options	Heersink, Ewout R.	4, 5	2023-03-10	51	56.92	259,000	-4,829
ONEX CORPORATION	Subordinate Voting Shares	Heersink, Ewout R.	4, 5	2023-03-10	47	56.92	85,137	4,829
ONEX CORPORATION	Subordinate Voting Shares	Heersink, Ewout R.	4, 5	2023-03-10	51	56.92	4,829	4,829
ONEX CORPORATION	Subordinate Voting Shares	Heersink, Ewout R.	4, 5	2023-03-10	47	56.92	0	-4,829
ONEX CORPORATION	Rights Restricted Share Units	Kelly, David James	7	2023-02-28	56	72.43		66,817
ONEX CORPORATION	Rights Restricted Share Units	Kelly, David James	7	2023-02-28	56	72.96	70,684	66,817
ONEX CORPORATION	Options	Le Blanc, Robert Michael	7	2023-03-10	59	56.92		-145,512
ONEX CORPORATION	Options	Le Blanc, Robert Michael	7	2023-03-10	59	56.92	1,019,947	-145,512
ONEX CORPORATION	Options	Le Blanc, Robert Michael	7	2023-03-10	59	56.92	365,459	-654,488
ONEX CORPORATION	Options	Le Blanc, Robert Michael	7	2023-03-10	51	56.92	315,459	-50,000
ONEX CORPORATION	Subordinate Voting Shares	Le Blanc, Robert Michael	7	2023-03-10	51	56.92	860,084	50,000
Origen Resources Inc.	Common Shares	Fairhurst, Emma	3	2023-03-14	11		4,000,000	-4,000,000
Orvana Minerals Corp.	Common Shares	Davies, Michael Monier	4	2023-02-28	00		50,000	
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	Baird, John Russell	4	2022-04-14	35	17.48	26,653	83
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	Baird, John Russell	4	2022-07-15	35	12.89	35,213	150
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	Baird, John Russell	4	2022-10-14	35	13.79	35,354	141
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	Baird, John Russell	4	2023-01-16	35	17.71	35,463	109
OSISKO GOLD ROYALTIES LTD	Common Shares	Desharnais, Guy	5	2022-12-31	30	14.8	10,032	1,621

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
OSISKO GOLD ROYALTIES LTD	Common Shares	Desharnais, Guy	5	2022-12-31	35	14.52	10,135	103
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Desharnais, Guy	5	2022-04-14	35	17.48	20,708	65
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Desharnais, Guy	5	2022-07-15	35	12.89	25,647	108
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Desharnais, Guy	5	2022-10-14	35	13.79	25,749	102
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Desharnais, Guy	5	2023-01-16	35	17.71	25,829	80
OSISKO GOLD ROYALTIES LTD	Common Shares	Farmer, Iain Wesley	5	2022-12-31	30	14.8	14,376	1,620
OSISKO GOLD ROYALTIES LTD	Common Shares	Farmer, Iain Wesley	5	2022-12-31	35	14.17	14,484	108
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Farmer, Iain Wesley	5	2022-04-14	35	17.48	29,995	95
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Farmer, Iain Wesley	5	2022-07-15	35	12.89	33,411	142
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Farmer, Iain Wesley	5	2022-10-14	35	13.79	33,544	133
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Farmer, Iain Wesley	5	2023-01-16	35	17.71	33,649	105
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différés	Ferstman, Joanne Shari	4	2022-04-14	35	17.48	112,195	350
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différés	Ferstman, Joanne Shari	4	2022-07-15	35	12.89	123,229	524
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différés	Ferstman, Joanne Shari	4	2022-10-14	35	13.79	123,721	492
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différés	Ferstman, Joanne Shari	4	2023-01-16	35	17.71	124,104	383
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différés	Hofmeister, Edie	4	2022-07-15	35	12.89	14,080	60
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différés	Hofmeister, Edie	4	2022-10-14	35	13.79	14,136	56
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différés	Hofmeister, Edie	4	2023-01-16	35	17.71	14,180	44
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différés	John, William Murray	4	2022-04-14	35	17.48	26,198	82
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différés	John, William Murray	4	2022-07-15	35	12.89	34,757	149

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	John, William Murray	4	2022-10-14	35	13.79	34,896	139
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	John, William Murray	4	2023-01-16	35	17.71	35,004	108
OSISKO GOLD ROYALTIES LTD	Common Shares	Krcmarov, Robert Ljubomir	4	2022-10-11	00			
OSISKO GOLD ROYALTIES LTD	Common Shares	Krcmarov, Robert Ljubomir	4	2023-03-13	10	18.61	1,345	1,345
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	Krcmarov, Robert Ljubomir	4	2023-01-16	35	17.71	11,656	36
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	Labbé, Pierre	4	2022-04-14	35	17.48	64,325	201
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	Labbé, Pierre	4	2022-07-15	35	12.89	73,046	311
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	Labbé, Pierre	4	2022-10-14	35	13.79	73,338	292
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	Labbé, Pierre	4	2023-01-16	35	17.71	73,565	227
OSISKO GOLD ROYALTIES LTD	Common Shares	Le Bel, André	5	2022-12-31	30	14.8	100,296	1,620
OSISKO GOLD ROYALTIES LTD	Common Shares	Le Bel, André	5	2022-12-31	35	14.52	101,238	942
OSISKO GOLD ROYALTIES LTD	Common Shares	Le Bel, André	5	2023-03-10	51	12.97	111,238	10,000
OSISKO GOLD ROYALTIES LTD	Common Shares	Le Bel, André	5	2023-03-10	10	18.28	101,238	-10,000
OSISKO GOLD ROYALTIES LTD	Options	Le Bel, André	5	2023-03-10	51	12.97	346,700	-10,000
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Le Bel, André	5	2022-04-14	35	17.48	102,151	320
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Le Bel, André	5	2022-07-15	35	12.89	99,778	423
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Le Bel, André	5	2022-10-14	35	13.79	100,176	398
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Le Bel, André	5	2023-01-16	35	17.71	100,486	310
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	MacGibbon, Candace Joan	4	2022-04-14	35	17.48	17,505	55
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	MacGibbon, Candace Joan	4	2022-07-15	35	12.89	26,026	111
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	MacGibbon, Candace Joan	4	2022-10-14	35	13.79	26,130	104

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	MacGibbon, Candace Joan	4	2023-01-16	35	17.71	26,211	81
OSISKO GOLD ROYALTIES LTD	Common Shares	Moenting, Grant Kieran Richard	5	2023-01-16	00			
OSISKO GOLD ROYALTIES LTD	Common Shares	Moenting, Grant Kieran Richard	5	2023-03-17	10	20.07	895	895
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	PAGE, CHARLES ELIJAH	4	2022-04-14	35	17.48	74,831	234
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	PAGE, CHARLES ELIJAH	4	2022-07-15	35	12.89	83,597	356
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	PAGE, CHARLES ELIJAH	4	2022-10-14	35	13.79	83,931	334
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	PAGE, CHARLES ELIJAH	4	2023-01-16	35	17.71	84,191	260
OSISKO GOLD ROYALTIES LTD	Common Shares	Roosen, Sean	4, 5	2022-12-31	30	14.8	563,264	1,620
OSISKO GOLD ROYALTIES LTD	Common Shares	Roosen, Sean	4, 5	2022-12-31	35	14.51	564,964	1,700
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Roosen, Sean	4, 5	2022-04-14	35	17.48	169,322	531
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Roosen, Sean	4, 5	2022-07-15	35	12.89	152,441	647
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Roosen, Sean	4, 5	2022-10-14	35	13.79	153,049	608
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Roosen, Sean	4, 5	2023-01-16	35	17.71	153,524	475
OSISKO GOLD ROYALTIES LTD	Common Shares	Ruel, Frédéric	5	2022-12-31	30	14.8	49,969	1,620
OSISKO GOLD ROYALTIES LTD	Common Shares	Ruel, Frédéric	5	2022-12-31	35	14.54	50,682	713
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Ruel, Frédéric	5	2022-04-14	35	17.48	76,973	241
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Ruel, Frédéric	5	2022-07-15	35	12.89	84,730	360
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Ruel, Frédéric	5	2022-10-14	35	13.79	85,069	339
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Ruel, Frédéric	5	2023-01-16	35	17.71	85,333	264
OSISKO GOLD ROYALTIES LTD	Common Shares	Singh, Sandeep	5	2022-12-31	30	14.8	192,025	1,622
OSISKO GOLD ROYALTIES LTD	Common Shares	Singh, Sandeep	5	2022-12-31	35	14.63	193,344	1,319
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Singh, Sandeep	5	2022-04-14	35	17.48	234,170	734
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Singh, Sandeep	5	2022-07-15	35	12.89	317,519	1,349

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Singh, Sandeep	5	2022-10-14	35	13.79	318,785	1,266
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Singh, Sandeep	5	2022-12-31	97			-50,000
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Singh, Sandeep	5	2022-12-31	97		266,591	-52,194
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Singh, Sandeep	5	2023-01-16	35	17.71	267,581	990
OSISKO GOLD ROYALTIES LTD	Common Shares	Spencer, Michael David Saynor	7	2022-12-31	35	14.4	45,210	505
OSISKO GOLD ROYALTIES LTD	Common Shares	Spencer, Michael David Saynor	7	2023-03-13	51	12.97	55,210	10,000
OSISKO GOLD ROYALTIES LTD	Common Shares	Spencer, Michael David Saynor	7	2023-03-13	10	18.65	47,880	-7,330
OSISKO GOLD ROYALTIES LTD	Common Shares	Spencer, Michael David Saynor	7	2023-03-17	51	12.97	57,880	10,000
OSISKO GOLD ROYALTIES LTD	Common Shares	Spencer, Michael David Saynor	7	2023-03-17	10	20	47,880	-10,000
OSISKO GOLD ROYALTIES LTD	Options	Spencer, Michael David Saynor	7	2023-03-13	51	12.97	231,600	-10,000
OSISKO GOLD ROYALTIES LTD	Options	Spencer, Michael David Saynor	7	2023-03-17	51	12.97	221,600	-10,000
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Spencer, Michael David Saynor	7	2022-04-14	35	17.48	53,992	169
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Spencer, Michael David Saynor	7	2022-07-15	35	12.89	54,000	229
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Spencer, Michael David Saynor	7	2022-10-14	35	13.79	54,215	215
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Spencer, Michael David Saynor	7	2023-01-16	35	17.71	54,384	169
OSISKO GOLD ROYALTIES LTD	Common Shares	Taylor, Heather	5	2021-01-04	00			
OSISKO GOLD ROYALTIES LTD	Common Shares	Taylor, Heather	5	2022-12-31	30	14.8	648	648
OSISKO GOLD ROYALTIES LTD	Common Shares	Taylor, Heather	5	2022-12-31	35	14.78	651	3
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Taylor, Heather	5	2022-04-14	35	13.79	8,439	26
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Taylor, Heather	5	2022-07-15	35	12.89	15,907	68
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Taylor, Heather	5	2022-10-14	35	13.79	15,971	64
OSISKO GOLD ROYALTIES LTD	Rights Restricted Share Units/Unités d'actions restreintes	Taylor, Heather	5	2023-01-16	35	17.71	16,020	49
Osisko Mining Inc.	Warrants	Roosen, Sean	6	2023-02-28	16			7,500
Osisko Mining Inc.	Warrants	Roosen, Sean	6	2023-02-28	16		7,500	7,500
Palamina Corp.	Common Shares	Thomson, Andrew	4	2023-03-10	10	0.1	1,519,460	83,000
PAMBILI NATURAL RESOURCES CORPORATION	Common Shares	Gertz, Raymond H	4	2023-03-14	10	0.005	4,717,000	72,000
Pangenomic Health Inc.	Common Shares	Mydecine Innovations Group Inc.	3	2023-01-02	11	0.117	2,750,000	-15,250,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Paramount Resources Ltd.	Common Shares Class A	Reid, David Blake	5	2023-03-13	10	29.32	22,619	-3,300
Paramount Resources Ltd.	Common Shares Class A	Reid, David Blake	5	2023-03-13	10	29.321	8,919	-13,700
Paramount Resources Ltd.	Common Shares Class A	Sousa, Rodrigo	5	2023-03-15	51	3.84	175,190	10,000
Paramount Resources Ltd.	Options	Sousa, Rodrigo	5	2023-03-15	51	3.84	305,800	-10,000
Paramount Resources Ltd.	Common Shares Class A	Stotts, Garth W.J.	5	2023-03-16	51	7.28	22,350	6,000
Paramount Resources Ltd.	Options	Stotts, Garth W.J.	5	2023-03-16	51	7.28	230,440	-6,000
Park Lawn Corporation	Restricted Share Units	Dodds, Jay Dallas	4, 5	2023-03-13	56		73,971	26,779
Park Lawn Corporation	Restricted Share Units	Gilbert, Linda	5	2023-03-13	56		3,879	2,116
Park Lawn Corporation	Restricted Share Units	Green, James Bradley	4, 5	2023-03-13	56		88,249	34,083
Park Lawn Corporation	Restricted Share Units	Harlow, William Clark	5	2023-03-13	56		15,662	2,800
Park Lawn Corporation	Restricted Share Units	Hay, Jennifer Wiers	5	2023-03-13	56		33,624	4,260
Park Lawn Corporation	Restricted Share Units	Johnson, Lorie Jane	5	2023-03-13	56		19,231	2,800
Park Lawn Corporation	Restricted Share Units	Millett, Daniel	5	2023-03-13	56		25,535	4,298
Park Lawn Corporation	Restricted Share Units	PARKER, JEFFREY SCOTT	5	2023-03-13	56		15,661	2,800
Parkland Corporation	Deferred Share Unit Plan	Colnett, Lisa	4	2023-03-14	56	29.831	33,771	3,352
Parkland Corporation	Restricted Common Shares	Elliott, Christy	5	2023-03-14	56	29.831	44,852	13,204
Parkland Corporation	Share Options	Elliott, Christy	5	2023-03-14	50	29.831	118,303	31,572
Parkland Corporation	Restricted Common Shares	Espey, Robert Berthold	5	2023-03-14	56	29.831	249,530	74,127
Parkland Corporation	Share Options	Espey, Robert Berthold	5	2023-03-14	50	29.831	1,090,706	177,241
Parkland Corporation	Deferred Share Unit Plan	Hogarth, Timothy	4	2023-03-14	56	29.831	28,750	3,352
Parkland Corporation	Deferred Share Unit Plan	Hookway, Richard Mark	4	2023-03-14	56	29.831	10,822	3,352
Parkland Corporation	Deferred Share Unit Plan	John, Angela Denise	4	2023-03-14	56	29.831	9,810	3,352
Parkland Corporation	Restricted Common Shares	Krogmeier, Ryan Curtis	5	2023-03-14	56	29.831	90,341	20,198
Parkland Corporation	Share Options	Krogmeier, Ryan Curtis	5	2023-03-14	50	29.831	262,400	48,295
Parkland Corporation	Restricted Common Shares	Magnan, Pierre Patrick Gerard	5	2023-03-14	56	29.831	66,146	16,864
Parkland Corporation	Share Options	Magnan, Pierre Patrick Gerard	5	2023-03-14	50	29.831		16,864
Parkland Corporation	Share Options	Magnan, Pierre Patrick Gerard	5	2023-03-14	50	29.831	316,481	40,324
Parkland Corporation	Common Shares	Pantelidis, James	4	2023-03-16	10	27.5	278,290	800
Parkland Corporation	Deferred Share Unit Plan	Pantelidis, James	4	2023-03-14	56	29.831	133,127	6,369
Parkland Corporation	Restricted Common Shares	Pugliese, Ferio	5	2023-03-14	56	29.831	47,056	14,544
Parkland Corporation	Share Options	Pugliese, Ferio	5	2023-03-14	50	29.831	91,296	34,776
Parkland Corporation	Deferred Share Unit Plan	Richardson, Steven P.	4	2023-03-14	56	29.831	20,665	3,352
Parkland Corporation	Restricted Common Shares	Sanker, Donna Lynn	5	2023-03-14	56	29.831	66,069	19,071
Parkland Corporation	Share Options	Sanker, Donna Lynn	5	2023-03-14	50	29.831	128,212	45,601
Parkland Corporation	Restricted Common Shares	Smart, Darren Robert	5	2023-03-14	56	29.831	62,085	14,544
Parkland Corporation	Share Options	Smart, Darren Robert	5	2023-03-14	50	29.831	256,980	34,776
Parkland Corporation	Deferred Share Unit Plan	Stein, Deborah Susan	4	2023-03-14	56	29.831	25,288	3,352
Parkland Corporation	Restricted Common Shares	Teunissen, Marcel	5	2023-03-14	56	29.831	67,076	21,081
Parkland Corporation	Share Options	Teunissen, Marcel	5	2023-03-14	50	29.831	136,545	50,405
Parkland Corporation	Restricted Common Shares	White, Ian James	5	2023-03-14	56	29.831	48,596	15,198

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Parkland Corporation	Share Options	White, Ian James	5	2023-03-14	50	29.831	233,819	36,339
Pason Systems Inc.	Common Shares	MULLEN, Kenneth Brandon	4	2023-01-09	00			
Pason Systems Inc.	Common Shares	MULLEN, Kenneth Brandon	4	2023-03-13	10	12.48	2,000	2,000
Pembina Pipeline Corporation	Common Shares	Burrows, J. Scott	5	2023-03-15	10	35.1	19,324	5,150
Pembina Pipeline Corporation	Options	Burrows, J. Scott	5	2023-03-15	51	35.1	779,402	-5,150
Pembina Pipeline Corporation	Common Shares	Sprott, Jaret	5	2023-03-13	10	44.8	14,860	1,000
Pembina Pipeline Corporation	Common Shares	Sprott, Jaret	5	2023-03-15	10	41.941	16,860	2,000
Perpetual Energy Inc.	Common Shares	McKean, Linda Lee	5	2023-03-14	10	0.71	975,200	-15,000
Perpetual Energy Inc.	Common Shares	McKean, Linda Lee	5	2023-03-14	10	0.67	919,200	-56,000
Perpetual Energy Inc.	Common Shares	Spence, Steven	4	2023-03-02	00			
Perpetual Energy Inc.	Deferred Shares	Spence, Steven	4	2023-03-02	00			
Perpetual Energy Inc.	Deferred Shares	Spence, Steven	4	2023-03-14	56	0.01	20,000	20,000
Perpetual Energy Inc.	Options	Spence, Steven	4	2023-03-02	00			
Perpetual Energy Inc.	Options	Spence, Steven	4	2023-03-14	50	0.68	35,000	35,000
Peruvian Metals Corp.	Common Shares Unlimited, No Par Value	Brown, William Richard	3	2023-03-10	10	0.11	10,414,071	-2,000
Peruvian Metals Corp.	Common Shares Unlimited, No Par Value	Brown, William Richard	3	2023-03-13	10	0.11	10,413,071	-1,000
Peruvian Metals Corp.	Common Shares Unlimited, No Par Value	Brown, William Richard	3	2023-03-14	10	0.11	10,412,071	-1,000
Peruvian Metals Corp.	Common Shares Unlimited, No Par Value	Brown, William Richard	3	2023-03-15	10	0.11	10,411,071	-1,000
Pet Valu Holdings Ltd.	Common Shares	Grover, Tanbir	5	2021-06-30	00			
Pet Valu Holdings Ltd.	Common Shares	Grover, Tanbir	5	2022-12-31	30		98	98
Pet Valu Holdings Ltd.	Common Shares	Johnston, Catherine Jane	5	2021-06-30	00			
Pet Valu Holdings Ltd.	Common Shares	Johnston, Catherine Jane	5	2022-12-31	30		94	94
Pet Valu Holdings Ltd.	Common Shares	MacKay, Kendalee	5	2021-06-30	00			
Pet Valu Holdings Ltd.	Common Shares	MacKay, Kendalee	5	2022-12-31	30		167	167
Pet Valu Holdings Ltd.	Common Shares	Maltsbarger, Richard	4, 5	2022-12-31	30		20,766	102
Pet Valu Holdings Ltd.	Common Shares	Martin-Bevilacqua, Christine Anne	5	2022-12-31	30		70,855	83
Peyto Exploration & Development Corp.	Common Shares	Czember, Derick Nathan	5	2023-03-16	51	9.49	60,667	10,000
Peyto Exploration & Development Corp.	Common Shares	Czember, Derick Nathan	5	2023-03-16	10	12.15	50,667	-10,000
Peyto Exploration & Development Corp.	Options	Czember, Derick Nathan	5	2023-03-16	51		422,400	-10,000
Peyto Exploration & Development Corp.	Rights Deferred Share Units	Davis, Brian	4	2023-03-15	56		41,811	372
Peyto Exploration & Development Corp.	Rights Deferred Share Units	Fletcher, Gregory Scott	4	2023-03-15	56		41,811	371
Peyto Exploration & Development Corp.	Rights Deferred Share Units	Gerlach, Debra	4	2023-03-15	56		2,432	23
Peyto Exploration & Development Corp.	Rights Deferred Share Units	Gray, Don	4	2023-03-15	56		57,730	513
Peyto Exploration & Development Corp.	Common Shares	Lachance, Jean-Paul Henri	5	2023-03-16	10	11.9	372,133	10,000
Peyto Exploration & Development Corp.	Rights Deferred Share Units	MacBean, Michael	4	2023-03-15	56		44,995	401
Peyto Exploration & Development Corp.	Rights Deferred Share Units	Rossall, John Williamson	4	2023-03-15	56		41,811	371

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Pine Cliff Energy Ltd.	Common Shares	Hodge, Philip Blake	4, 5	2023-03-16	10	1.26	9,595,935	10,000
Pine Cliff Energy Ltd.	Common Shares	MacDonald, Alan	5	2023-03-14	51	0.145	803,008	124,621
Pine Cliff Energy Ltd.	Options	MacDonald, Alan	5	2023-03-14	51	0.145	1,050,000	-140,000
Pizza Pizza Royalty Corp.	Common Shares	Nash, Edward Peter Howard	7	2023-03-15	10	13.63	6,000	804
PJX Resources Inc.	Common Shares	Crossett, Paul	3	2023-03-15	10	0.09	4,292,500	42,500
Platinex Inc.	Common Shares	Ferron, Greg	4	2023-03-13	11	0.045	5,855,556	555,556
Platinex Inc.	Warrants 2020C (Common Shares)	Ferron, Greg	4	2023-03-13	53	0.055	777,778	277,778
Platinex Inc.	Common Shares	Warren, Graham	4	2023-03-10	11	0.045	300,000	200,000
Platinex Inc.	Warrants	Warren, Graham	4	2023-03-10	53	0.055	150,000	100,000
Plurilock Security Inc. (formerly, Libby K Industries Inc.)	Common Shares	Corbet, Blake	4	2023-01-31	00			
Plurilock Security Inc. (formerly, Libby K Industries Inc.)	Common Shares	Corbet, Blake	4	2023-01-31	00		100,000	
Plurilock Security Inc. (formerly, Libby K Industries Inc.)	Warrants	Corbet, Blake	4	2023-01-31	00			
Plurilock Security Inc. (formerly, Libby K Industries Inc.)	Warrants	Corbet, Blake	4	2023-01-31	00		100,000	
Polaris Renewable Energy Inc. (formerly Polaris Infrastructure Inc.)	Common Shares	Murnaghan, Marc	4, 5	2023-03-13	10	13.11	487,923	2,000
Pollard Banknote Limited	Options	Fingold, Steven S	5	2023-03-10	50		50,000	25,000
Pollard Banknote Limited	Options	Franzmann, Paul Edmund	5	2023-03-10	50		60,000	25,000
Pollard Banknote Limited	Options	Melo, Pedro	5	2023-03-10	50		75,000	25,000
Pollard Banknote Limited	Options	Proven, Margaret	5	2023-03-10	50		50,000	25,000
Pollard Banknote Limited	Options	Richard, Riva Jean	5	2023-03-10	50		65,000	25,000
Pollard Banknote Limited	Options	Rose, Robert William	5	2023-03-10	50		75,000	25,000
Pollard Banknote Limited	Options	Westbury, Jennifer Doris	5	2023-03-10	50		62,500	25,000
Pollard Banknote Limited	Options	Young, Robert Brock	5	2023-03-10	50		100,000	50,000
Polymet Mining Corp.	Rights	Cherry, Jonathan	4	2023-03-14	56		466,284	466,284
Polymet Mining Corp.	Rights	Dreisinger, David Bruce	4	2023-03-14	56		5,000	5,000
Polymet Mining Corp.	Rights	Hodnik, Alan	4	2023-03-14	56		23,314	23,314
Polymet Mining Corp.	Rights	Keenan, Patrick Francis	5	2023-03-14	56		214,916	214,916
Polymet Mining Corp.	Rights	Rowland, Stephen Allen	4	2023-03-14	56		23,264	23,264
Polymet Mining Corp.	Rights	Vogt, Ryan	5	2023-03-14	56		99,181	99,181
Pontus Protein Ltd.	Common Shares	Wu, Andy	5	2023-01-03	00			
POSaBIT Systems Corporation	Common Shares	Sharp, Alex	3	2023-03-08	10	1.08	19,292,333	22,400
POSaBIT Systems Corporation	Common Shares	Sharp, Alex	3	2023-03-08	10	1.1	19,332,333	40,000
POSaBIT Systems Corporation	Common Shares	Sharp, Alex	3	2023-03-09	10	1.05	19,341,333	9,000
POSaBIT Systems Corporation	Common Shares	Sharp, Alex	3	2023-03-09	10	1.06	19,352,333	11,000
POSaBIT Systems Corporation	Common Shares	Sharp, Alex	3	2023-03-09	10	1.05	19,370,333	18,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
POSaBIT Systems Corporation	Common Shares	Sharp, Alex	3	2023-03-09	10	1.04	19,380,333	10,000
POSaBIT Systems Corporation	Common Shares	Sharp, Alex	3	2023-03-09	10	1.03	19,380,933	600
POSaBIT Systems Corporation	Common Shares	Sharp, Alex	3	2023-03-10	10	1.05	19,400,933	20,000
POSaBIT Systems Corporation	Common Shares	Sharp, Alex	3	2023-03-10	10	1.04	19,410,933	10,000
POSaBIT Systems Corporation	Common Shares	Sharp, Alex	3	2023-03-13	10	1.01	19,420,933	10,000
POSaBIT Systems Corporation	Common Shares	Sharp, Alex	3	2023-03-14	10	1.01	19,436,433	15,500
POSaBIT Systems Corporation	Common Shares	Sharp, Alex	3	2023-03-15	10	1	19,446,433	10,000
Powerband Solutions Inc.	Common Shares	Abraham, Shibu	5	2023-03-16	30	0.06	294,471	175,000
Powerband Solutions Inc.	Restricted Share Units	Abraham, Shibu	5	2023-03-16	51		0	-175,000
POWR Lithium Corp.	Common Shares	Morris, Patrick	5	2023-02-24	10		80,000	-20,000
POWR Lithium Corp.	Common Shares	Morris, Patrick	5	2023-02-28	10		79,000	-1,000
POWR Lithium Corp.	Common Shares	Morris, Patrick	5	2023-03-07	10		69,000	-10,000
PPX Mining Corp.	Common Shares	TAKAHASHI, HUMBERTO	3	2020-02-03	00			
PPX Mining Corp.	Common Shares	TAKAHASHI, HUMBERTO	3	2020-02-03	00			
PPX Mining Corp.	Common Shares	TAKAHASHI, HUMBERTO	3	2020-02-03	00		72,556,000	
PPX Mining Corp.	Common Shares	TAKAHASHI, KARI	3	2020-01-30	00		109,416,335	
PPX Mining Corp.	Common Shares	TAKAHASHI, KARI	3	2023-03-08	11	0.273	207,793,869	98,377,534
PrairieSky Royalty Ltd.	Common Shares	KAZEIL, PAMELA PEARL	5	2015-06-01	00			
PrairieSky Royalty Ltd.	Common Shares	KAZEIL, PAMELA PEARL	5	2023-03-16	10	20.17	410	410
PrairieSky Royalty Ltd.	Common Shares	KAZEIL, PAMELA PEARL	5	2015-06-01	00			
PrairieSky Royalty Ltd.	Common Shares	KAZEIL, PAMELA PEARL	5	2023-03-16	10	20.02	24	24
PrairieSky Royalty Ltd.	Common Shares	KAZEIL, PAMELA PEARL	5	2023-03-16	10	20.14	106,301	510
PrairieSky Royalty Ltd.	Common Shares	Phillips, Andrew	5	2023-03-15	10	19.917	742,361	10,000
Premium Brands Holdings Corporation	Rights	Ciampi, Johnny	4	2023-03-15	56	92.733	1,867	232
Premium Brands Holdings Corporation	Rights	Delorme, Marie	4	2023-03-15	56	92.733	2,045	414
Premium Brands Holdings Corporation	Rights	Keller-Hobson, Kathleen	4	2023-03-15	56	92.733	1,592	103
Premium Brands Holdings Corporation	Common Shares	PALEOLOGOU, GEORGE	4, 5	2023-02-09	47	95.99	381,760	-1,000
Premium Brands Holdings Corporation	Rights	Wagner, Mary Kathryn	4	2023-03-15	56	92.733	3,340	414
Premium Nickel Resources Ltd.	Common Shares	Newberry, Donald Lee	4	2022-10-12	00			
Premium Nickel Resources Ltd.	Common Shares	Newberry, Donald Lee	4	2023-03-10	10	1.06	20,000	20,000
Premium Nickel Resources Ltd.	Common Shares	Newberry, Donald Lee	4	2023-03-10	10	1.08	69,600	44,600
Premium Nickel Resources Ltd.	Common Shares	Newberry, Donald Lee	4	2023-03-10	10	1.07	75,000	5,400
Premium Nickel Resources Ltd.	Common Shares	Whiteford, Sean Michael	5	2023-03-10	10	1.1	145,000	25,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Premium Nickel Resources Ltd.	Common Shares	Whiteford, Sean Michael	5	2023-03-10	10	1.09	160,000	15,000
Primaris Real Estate Investment Trust	Series A Units	Avery, Alexander Danial	4	2023-03-16	10	13.23	51,750	3,000
Primaris Real Estate Investment Trust	Series A Units	Sullivan, Patrick James	5	2021-12-31	00			
Primaris Real Estate Investment Trust	Series A Units	Sullivan, Patrick James	5	2023-03-16	10	13.458	10,000	10,000
Primo Water Corporation (formerly, Cott Corporation)	Common Shares	Foss, Eric Jay	4	2023-03-15	00		1,243	
ProntoForms Corporation	Common Shares	Croucher, David	5	2023-03-14	51	0.34	240,000	25,000
ProntoForms Corporation	Options	Croucher, David	5	2023-03-14	51		1,107,500	-25,000
ProntoForms Corporation	Common Shares	Deck, Philip	4, 5	2023-03-13	51	0.355	6,250	6,250
ProntoForms Corporation	Common Shares	Deck, Philip	4, 5	2023-03-13	51	0.34	26,250	20,000
ProntoForms Corporation	Common Shares	Deck, Philip	4, 5	2023-03-14	10	0.5	6,696,611	43,500
ProntoForms Corporation	Options	Deck, Philip	4, 5	2023-03-13	51		3,298,750	-6,250
ProntoForms Corporation	Options	Deck, Philip	4, 5	2023-03-13	51		3,278,750	-20,000
ProntoForms Corporation	Common Shares	Mawani, Aly	5	2020-06-01	00			
ProntoForms Corporation	Common Shares	Mawani, Aly	5	2023-03-17	10	0.51	19,608	19,608
ProntoForms Corporation	Options	McDonnell, David Neil	4	2023-02-16	00			
ProntoForms Corporation	Options	McDonnell, David Neil	4	2023-03-13	50	0.5	120,000	120,000
ProntoForms Corporation	Options	Sigmar, Catherine	4	2023-02-17	00			
ProntoForms Corporation	Options	Sigmar, Catherine	4	2023-03-13	50		120,000	120,000
ProntoForms Corporation	Options	Snelgrove, Lise	5	2023-03-13	50		150,000	127,500
Prospect Ridge Resources Corp.	Options	Michaud, Michael Julien	4	2023-03-16	00			
Prospect Ridge Resources Corp.	Options	Michaud, Michael Julien	4	2023-03-16	50	0.2	300,000	300,000
Pulse Seismic Inc.	Common Shares	Pulse Seismic Inc.	1	2023-03-02	38	1.761	4,200	4,200
Pulse Seismic Inc.	Common Shares	Pulse Seismic Inc.	1	2023-03-10	38		0	-4,200
Puma Exploration Inc.	Common Shares	Robillard, Marcel	4, 5	2023-03-13	10	0.18	946,747	1,000
Puma Exploration Inc.	Common Shares	Robillard, Marcel	4, 5	2023-03-14	10	0.185	947,247	500
Q Battery Metals Corp. (formerly "Black Tusk Resources Inc.")	Common Shares	Penn, Richard Ryan	3, 4, 5	2023-03-14	10	0.12	1,480,000	10,000
Q Battery Metals Corp. (formerly "Black Tusk Resources Inc.")	Common Shares	Penn, Richard Ryan	3, 4, 5	2023-03-15	10	0.125	1,484,500	4,500
Q Battery Metals Corp. (formerly "Black Tusk Resources Inc.")	Common Shares	Penn, Richard Ryan	3, 4, 5	2023-03-16	10	0.12	1,514,500	30,000
Q4 Inc.	Common Shares	Heaps, Darrell	4, 5	2023-03-08	10	2.77	2,038,555	400
Q4 Inc.	Common Shares	Heaps, Darrell	4, 5	2023-03-13	10	3.09	2,038,855	300
Q4 Inc.	Common Shares	Heaps, Darrell	4, 5	2023-03-13	10	3.08	2,039,055	200
Q4 Inc.	Common Shares	Heaps, Darrell	4, 5	2023-03-14	10	3.02	2,039,155	100
Q4 Inc.	Common Shares	Heaps, Darrell	4, 5	2023-03-14	10	3.03	2,039,255	100

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Q4 Inc.	Common Shares	Heaps, Darrell	4, 5	2023-03-17	10	2.93	2,039,655	400
Quebec Innovative Materials Corp. (formerly Quebec Silica Resources Corp.)	Common Shares	Thompson, Lisa Valerie	4	2023-03-16	00			
Quebec Innovative Materials Corp. (formerly Quebec Silica Resources Corp.)	Options	Thompson, Lisa Valerie	4	2023-03-16	00		125,000	
Quebec Innovative Materials Corp. (formerly Quebec Silica Resources Corp.)	Common Shares	Wladichuk, Raymond Edward	4, 5	2023-03-17	10	0.05	1,416,000	16,000
Razor Energy Corp.	Common Shares	Bailey, Douglas George	4, 5	2023-03-13	90		1,421,518	-25,000
Razor Energy Corp.	Common Shares	Bailey, Douglas George	4, 5	2023-03-13	90		142,052	25,000
Razor Energy Corp.	Common Shares	Sych, Stephen George	5	2023-03-14	30	1.25	81,211	-6,000
Red White & Bloom Brands Inc. (formerly Tidal Royalty Corp.)	Options	Mattei, Eddie	5	2023-03-16	00			
Red White & Bloom Brands Inc. (formerly Tidal Royalty Corp.)	Options	Mattei, Eddie	5	2023-03-16	50	0.1	1,250,000	1,250,000
RediShred Capital Corp.	Common Shares	Gaunce, Phillip Harry	4	2023-03-14	51	2.75	329,554	9,000
RediShred Capital Corp.	Options	Gaunce, Phillip Harry	4	2023-03-14	51		19,820	-9,000
RediShred Capital Corp.	Common Shares	MacMillan, Mark Jeffrey	4, 5	2023-03-14	51	2.75	352,338	8,000
RediShred Capital Corp.	Options	MacMillan, Mark Jeffrey	4, 5	2023-03-14	51		43,089	-8,000
ReGen III Corp. (formerly "Gen III Oil Corporation")	Options	Clarkes, Gregory mark	4	2023-03-13	52		3,200,000	-720,000
ReGen III Corp. (formerly "Gen III Oil Corporation")	Options	Van Hatten, Larry Michael	4	2023-03-13	52		1,000,000	-360,000
ReGen III Corp. (formerly "Gen III Oil Corporation")	Common Shares	White, William Bradford	3	2023-03-15	00			
ReGen III Corp. (formerly "Gen III Oil Corporation")	Common Shares	White, William Bradford	3	2023-03-15	00			
ReGen III Corp. (formerly "Gen III Oil Corporation")	Common Shares	White, William Bradford	3	2023-03-15	00			
ReGen III Corp. (formerly "Gen III Oil Corporation")	Common Shares	White, William Bradford	3	2023-03-15	00			
ReGen III Corp. (formerly "Gen III Oil Corporation")	Warrants	White, William Bradford	3	2023-03-15	00			
ReGen III Corp. (formerly "Gen III Oil Corporation")	Warrants	White, William Bradford	3	2023-03-15	00			
ReGen III Corp. (formerly "Gen III Oil Corporation")	Warrants	White, William Bradford	3	2023-03-15	00			
RepliCel Life Sciences Inc.	Common Shares	Mackay, Jamie	3	2023-03-14	15	0.1		4,021,500
RepliCel Life Sciences Inc.	Common Shares	Mackay, Jamie	3	2023-03-14	16	0.1	10,034,537	4,021,500
RepliCel Life Sciences Inc.	Warrants	Mackay, Jamie	3	2023-03-14	15	0.2		2,010,750
RepliCel Life Sciences Inc.	Warrants	Mackay, Jamie	3	2023-03-14	16	0.2	4,861,750	2,010,750

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
RepliCel Life Sciences Inc.	Common Shares	Schutte, Andrew	4	2023-03-14	16	0.1	17,401,616	4,042,500
RepliCel Life Sciences Inc.	Warrants	Schutte, Andrew	4	2023-03-14	16	0.2	6,744,776	2,021,250
Restaurant Brands International Inc.	Common Shares	3G Restaurant Brands Holdings General Partner Ltd.	3	2023-03-16	36		2,213,851	2,213,851
Restaurant Brands International Inc.	Common Shares	3G Restaurant Brands Holdings General Partner Ltd.	3	2023-03-16	10		0	-2,213,851
Restaurant Brands International Inc.	Exchangeable Units of Restaurant Brands International LP	3G Restaurant Brands Holdings General Partner Ltd.	3	2023-03-16	36		130,448,634	-2,213,851
Restaurant Brands International Limited Partnership	Units Class B Exchangeable Limited Partnership	3G Restaurant Brands Holdings General Partner Ltd.	3	2023-03-16	36		130,448,634	-2,213,851
RevoluGROUP Canada Inc.	Common Shares	Marshall, Stephen, Anthony	5	2023-03-09	10	0.313	21,423,250	-1,210,000
RevoluGROUP Canada Inc.	Common Shares	Marshall, Stephen, Anthony	5	2023-03-10	10	0.3009	20,898,250	-525,000
RevoluGROUP Canada Inc.	Common Shares	Marshall, Stephen, Anthony	5	2023-03-13	10	0.3033	20,736,250	-162,000
RevoluGROUP Canada Inc.	Common Shares	Marshall, Stephen, Anthony	5	2023-03-14	10	0.2932	20,519,250	-217,000
RevoluGROUP Canada Inc.	Common Shares	Marshall, Stephen, Anthony	5	2023-03-15	10	0.2655	20,165,750	-353,500
RevoluGROUP Canada Inc.	Common Shares	Marshall, Stephen, Anthony	5	2023-03-17	54	0.4	20,265,750	100,000
RevoluGROUP Canada Inc.	Warrants	Marshall, Stephen, Anthony	5	2023-03-17	54		5,246,000	-100,000
RF Capital Group Inc.	Rights Performance Share Units (PSU)	Coburn, Krista Gail JoAnne	5	2023-03-10	56		11,412	6,309
RF Capital Group Inc.	Rights Restricted Share Units (RSU)	Coburn, Krista Gail JoAnne	5	2023-03-10	56		12,202	4,206
RF Capital Group Inc.	Rights Performance Share Units (PSU)	Kapoor, Kishore	4	2023-03-10	56		65,212	36,051
RF Capital Group Inc.	Rights Restricted Share Units (RSU)	Kapoor, Kishore	4	2023-03-10	56		43,475	24,034
RF Capital Group Inc.	Rights Restricted Share Units (RSU)	Porter, David	4	2023-01-01	00		6,264	
RF Capital Group Inc.	Rights Restricted Share Units (RSU)	Porter, David	4	2023-03-10	56		11,438	5,174
RF Capital Group Inc.	Common Shares	Riley, Sanford	4	2021-05-26	00			
RF Capital Group Inc.	Common Shares	Riley, Sanford	4	2021-05-26	00		106,000	
RF Capital Group Inc.	Common Shares	Riley, Sanford	4	2022-03-25	37			-38,600
RF Capital Group Inc.	Common Shares	Riley, Sanford	4	2022-03-25	37		15,600	-40,500
RF Capital Group Inc.	Rights Performance Share Units (PSU)	Stennett, Scott Paul	7	2023-03-10	56		11,412	6,309
RF Capital Group Inc.	Rights Restricted Share Units (RSU)	Stennett, Scott Paul	7	2023-03-10	56		15,594	4,206
RF Capital Group Inc.	Common Shares	Williams, Michael	7	2023-03-07	90	12.91	10,414	-1,200
RF Capital Group Inc.	Common Shares	Williams, Michael	7	2023-03-07	90	12.9	3,514	-6,900
RF Capital Group Inc.	Common Shares	Williams, Michael	7	2021-04-05	00			
RF Capital Group Inc.	Common Shares	Williams, Michael	7	2023-03-07	90	12.9	7,500	7,500
RF Capital Group Inc.	Common Shares	Williams, Michael	7	2023-03-07	90	12.91	7,600	100
RF Capital Group Inc.	Common Shares	Williams, Michael	7	2023-03-07	90	12.94	8,100	500
RF Capital Group Inc.	Rights Performance Share Units (PSU)	Williams, Michael	7	2023-03-10	56		11,019	6,092
RF Capital Group Inc.	Rights Restricted Share Units (RSU)	Williams, Michael	7	2023-03-10	56		12,560	4,061

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
RF Capital Group Inc.	Rights Performance Share Units (PSU)	Wilson, Timothy James	5	2023-03-10	56		25,163	14,195
RF Capital Group Inc.	Rights Restricted Share Units (RSU)	Wilson, Timothy James	5	2023-03-10	56		19,856	9,463
Rhyolite Resources Ltd.	Common Shares	CHEDRAOUI, ANTOINE GEORGES	3	2020-12-14	00			
Rhyolite Resources Ltd.	Common Shares	CHEDRAOUI, ANTOINE GEORGES	3	2023-02-17	00		8,000,000	
Rhyolite Resources Ltd.	Common Shares	CHEDRAOUI, ANTOINE GEORGES	3	2020-12-14	00			
Rhyolite Resources Ltd.	Common Shares	CHEDRAOUI, ANTOINE GEORGES	3	2023-02-17	00		3,830,000	
Richards Packaging Income Fund	Trust Units	Edwards, Terry	5	2023-03-10	10	40	390	40
Richards Packaging Income Fund	Trust Units	Glynn, John James	7	2022-07-15	00			
Richards Packaging Income Fund	Trust Units	Glynn, John James	7	2023-03-13	10	40	400	400
Richards Packaging Income Fund	Trust Units	Glynn, John James	7	2022-07-15	00			
Richards Packaging Income Fund	Trust Units	Glynn, John James	7	2023-03-10	10	40.39	2,200	2,200
Richards Packaging Income Fund	Trust Units	Glynn, John James	7	2023-03-13	10	39.5	3,450	1,250
Richards Packaging Income Fund	Trust Units	Glynn, John James	7	2022-07-15	00			
Richards Packaging Income Fund	Trust Units	Glynn, John James	7	2023-03-13	10	39.4	1,000	1,000
Richelieu Hardware Ltd.	Common Shares	Auclair, Antoine	5	2023-02-22	35	41.552	3,469	11
Richelieu Hardware Ltd.	Common Shares	Auclair, Antoine	5	2023-02-28	30	40.498	3,491	22
Richelieu Hardware Ltd.	Common Shares	Auclair, Antoine	5	2023-02-22	35	41.552	13,707	42
Richelieu Hardware Ltd.	Common Shares	Auclair, Antoine	5	2023-02-28	30	40.498	13,758	51
Richelieu Hardware Ltd.	Common Shares	Grenier, Guy	5	2023-02-22	35	41.552	51,580	12
Richelieu Hardware Ltd.	Common Shares	Grenier, Guy	5	2023-02-28	30	40.498	51,621	41
Richelieu Hardware Ltd.	Common Shares	Grenier, Guy	5	2023-02-22	35	41.552	75,678	17
Richelieu Hardware Ltd.	Common Shares	Lord, Richard	4, 5	2023-02-22	35	41.552	4,224,307	215
Richelieu Hardware Ltd.	Common Shares	Lord, Richard	4, 5	2023-02-28	30	40.498	4,224,354	47
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2023-03-10	10	15.15	14,077,264	3,043
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2023-03-10	10	15.15	14,076,404	-860
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2023-03-13	10	15.15	14,081,175	4,771
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2023-03-13	10	15.15	14,078,883	-2,292
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2023-03-14	10	15.117	14,082,069	3,186
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2023-03-15	10	15.062	14,087,944	5,875

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2023-03-16	10	15.15	14,090,732	2,788
RIOCAN REAL ESTATE INVESTMENT TRUST	Deferred Units	Dansereau, Richard	4	2023-03-15	56	21.25	53,088	941
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Duncan, Andrew William	5	2023-03-16	30		609	65
RIOCAN REAL ESTATE INVESTMENT TRUST	Deferred Units	Fukakusa, Janice Rose	4	2023-03-15	56	21.25	18,573	1,235
RIOCAN REAL ESTATE INVESTMENT TRUST	Deferred Units	Lamothe, Marie Josee	4	2023-03-15	56	21.25	7,979	941
RIOCAN REAL ESTATE INVESTMENT TRUST	Deferred Units	Lastman, Dale Howard	4	2023-03-15	56	21.25	75,116	658
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Smith, Franca	5	2023-03-13	30		789	244
RIOCAN REAL ESTATE INVESTMENT TRUST	Deferred Units	Vanaselja, Siim A.	4	2023-03-15	56	21.25	70,990	1,764
RIOCAN REAL ESTATE INVESTMENT TRUST	Deferred Units	Winograd, Charles	4	2023-03-15	56	21.25	93,697	1,117
Ritchie Bros. Auctioneers Incorporated	Common Shares	Concors, Baron	5	2023-03-15	57	61.84	23,473	22,458
Ritchie Bros. Auctioneers Incorporated	Rights Performance Share Units	Concors, Baron	5	2023-03-15	57	61.84	12,481	-33,219
Ritchie Bros. Auctioneers Incorporated	Common Shares	Fandozzi, Ann Margaret	4, 5	2023-03-15	57	61.84	101,461	88,525
Ritchie Bros. Auctioneers Incorporated	Rights Performance Share Units	Fandozzi, Ann Margaret	4, 5	2023-03-15	57	61.84	46,092	-153,452
Ritchie Bros. Auctioneers Incorporated	Common Shares	Kessler, James Francis	5	2023-03-15	57	61.84	61,032	60,686
Ritchie Bros. Auctioneers Incorporated	Rights Performance Share Units	Kessler, James Francis	5	2023-03-15	57	61.84	-9,367	-103,809
Ritchie Bros. Auctioneers Incorporated	Common Shares	Smith, Jeffrey Chad	4	2023-03-14	00			
Ritchie Bros. Auctioneers Incorporated	Common Shares	Smith, Jeffrey Chad	4	2023-03-14	00			
Ritchie Bros. Auctioneers Incorporated	Common Shares	Smith, Jeffrey Chad	4	2023-03-14	00		251,163	
Ritchie Bros. Auctioneers Incorporated	Common Shares	Thiede, Carmen	5	2023-03-15	57	61.84	26,008	24,821
Ritchie Bros. Auctioneers Incorporated	Rights Performance Share Units	Thiede, Carmen	5	2023-03-15	57	61.84	-1,413	-41,108
Ritchie Bros. Auctioneers Incorporated	Common Shares	Watt, Darren	5	2023-03-15	57	61.84	24,094	2,712
Ritchie Bros. Auctioneers Incorporated	Rights Performance Share Units	Watt, Darren	5	2023-03-15	57	61.84	-3,647	-5,833

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
RIV Capital Inc. (formerly, Canopy Rivers Inc.)	Options	Totzke II, Michael Quinn	5	2022-06-20	00		300,000	
RIWI Corp.	Common Shares	Wong, Greg	5	2023-03-17	10	0.5	42,000	10,000
Rock Edge Resources Ltd.	Common Shares	Hamilton-Smith, David	4	2023-03-13	10	0.085	347,000	14,000
Rock Edge Resources Ltd.	Common Shares	Hamilton-Smith, David	4	2023-03-16	10	0.09	372,000	25,000
Rockhaven Resources Ltd.	Common Shares	Eaton, William Douglas	4	2023-03-13	10		26,481,000	242,000
Rockhaven Resources Ltd.	Common Shares	Eaton, William Douglas	4	2023-03-15	10		26,482,000	1,000
Rockhaven Resources Ltd.	Common Shares	Eaton, William Douglas	4	2023-03-17	10		26,486,000	4,000
Rockhaven Resources Ltd.	Common Shares	Turner, Matthew Arthur Thomas	5	2023-03-13	10	0.06	1,348,833	250,000
Rogers Sugar Inc.	Common Shares	Walton, Michael	5	2023-03-06	51	4.68	160,641	60,000
Rogers Sugar Inc.	Common Shares	Walton, Michael	5	2023-03-13	10	6.05	152,141	-8,500
Rogers Sugar Inc.	Common Shares	Walton, Michael	5	2023-03-14	10	6.05	100,641	-51,500
Rogers Sugar Inc.	Options	Walton, Michael	5	2023-03-06	51	4.68	1,380,402	-60,000
Rokmaster Resources Corp.	Common Shares	Mirko, John Martin	4, 5	2023-03-09	10	0.07	13,111,398	46,000
Rokmaster Resources Corp.	Common Shares	Mirko, John Martin	4, 5	2023-03-13	10	0.07	13,466,398	355,000
Roots Corporation	Common Shares	Kernaghan, Edward Hume	3	2023-03-13	10	3	4,621,100	1,900
Roots Corporation	Common Shares	Kernaghan, Edward Hume	3	2023-03-15	10	3	4,632,900	11,800
Roots Corporation	Common Shares	Kernaghan, Edward Hume	3	2023-03-15	10	2.95	4,637,900	5,000
Roots Corporation	Common Shares	Kernaghan, Edward Hume	3	2023-03-15	10	2.9	4,641,200	3,300
Roots Corporation	Common Shares	Kernaghan, Edward Hume	3	2023-03-17	10	2.9	4,642,900	1,700
Roots Corporation	Common Shares	Kernaghan, Edward Hume	3	2023-03-17	10	2.85	4,647,900	5,000
Roots Corporation	Common Shares	Kernaghan, Edward Hume	3	2023-03-17	10	2.8	4,651,200	3,300
Roscan Gold Corporation (formerly, Roscan Minerals Corporation)	Common Shares	Cao, Jun	5	2023-03-15	00			
Roscan Gold Corporation (formerly, Roscan Minerals Corporation)	Options	Cao, Jun	5	2023-03-15	00			
Roscan Gold Corporation (formerly, Roscan Minerals Corporation)	Warrants	Cao, Jun	5	2023-03-15	00			
Roscan Gold Corporation (formerly, Roscan Minerals Corporation)	Options	Ramsden, Bruce	5	2023-03-16	97		0	-1,500,000
Royal Bank of Canada	Rights Director Deferred Stock Units	Bibic, Mirko	4	2022-08-01	00			
Royal Bank of Canada	Rights Director Deferred Stock Units	Bibic, Mirko	4	2022-12-30	56		609	609
Royal Bank of Canada	Rights Director Deferred Stock Units	Cote, Jacynthe	4	2022-12-30	56		26,755	2,993
Royal Bank of Canada	Common Shares	Daruvala, Toos Noshir	4	2022-01-28	30	111.3	4,811	319
Royal Bank of Canada	Common Shares	Daruvala, Toos Noshir	4	2022-01-28	30	111.15	5,112	301
Royal Bank of Canada	Common Shares	Daruvala, Toos Noshir	4	2022-02-24	30	108.38	5,144	32

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Royal Bank of Canada	Common Shares	Daruvala, Toos Noshir	4	2022-04-29	30	102.95	5,482	338
Royal Bank of Canada	Common Shares	Daruvala, Toos Noshir	4	2022-04-29	30	102.8	5,802	320
Royal Bank of Canada	Common Shares	Daruvala, Toos Noshir	4	2022-05-24	30	100.58	5,840	38
Royal Bank of Canada	Common Shares	Daruvala, Toos Noshir	4	2022-07-29	30	97.329	6,109	269
Royal Bank of Canada	Common Shares	Daruvala, Toos Noshir	4	2022-07-29	30	97.316	6,291	182
Royal Bank of Canada	Common Shares	Daruvala, Toos Noshir	4	2022-08-24	30	95.237	6,337	46
Royal Bank of Canada	Common Shares	Daruvala, Toos Noshir	4	2022-10-28	30	92.079	6,648	311
Royal Bank of Canada	Common Shares	Daruvala, Toos Noshir	4	2022-10-28	30	91.916	6,861	213
Royal Bank of Canada	Common Shares	Daruvala, Toos Noshir	4	2022-10-28	30	92.229	6,862	1
Royal Bank of Canada	Common Shares	Daruvala, Toos Noshir	4	2022-11-24	30	97.614	6,908	46
Royal Bank of Canada	Common Shares	Daruvala, Toos Noshir	4	2022-12-30	99		6,909	1
Royal Bank of Canada	Rights Director Deferred Stock Units	Daruvala, Toos Noshir	4	2022-12-30	56		20,291	743
Royal Bank of Canada	Common Shares	Devine, Cynthia	4	2022-02-24	30	141.69	12,299	86
Royal Bank of Canada	Common Shares	Devine, Cynthia	4	2022-05-24	30	127.17	12,395	96
Royal Bank of Canada	Common Shares	Devine, Cynthia	4	2022-08-24	30	127.62	12,499	104
Royal Bank of Canada	Common Shares	Devine, Cynthia	4	2022-11-24	30	134.87	12,598	99
Royal Bank of Canada	Rights Director Deferred Stock Units	Devine, Cynthia	4	2022-12-30	56		5,900	2,495
Royal Bank of Canada	Rights Director Deferred Stock Units	Turcke, Maryann	4	2022-12-30	56		8,031	2,573
Royal Bank of Canada	Common Shares	van Kralingen, Bridget Anne	4	2022-02-24	30	108.38	1,654	12
Royal Bank of Canada	Common Shares	van Kralingen, Bridget Anne	4	2022-05-24	30	100.58	1,667	13
Royal Bank of Canada	Common Shares	van Kralingen, Bridget Anne	4	2022-08-24	30	95.237	1,682	15
Royal Bank of Canada	Common Shares	van Kralingen, Bridget Anne	4	2022-11-24	30	97.614	1,696	14
Royal Bank of Canada	Rights Director Deferred Stock Units	van Kralingen, Bridget Anne	4	2022-12-30	56		38,343	3,683
Royal Bank of Canada	Rights Director Deferred Stock Units	vandal, thierry	4	2022-12-30	56		24,187	3,545
Royal Bank of Canada	Rights Director Deferred Stock Units	Vettese, Frank	4	2022-12-30	56		10,798	3,055
Rupert Resources Ltd.	Options	van Wageningen, Martheijs Adrianus	7	2023-03-17	00		91,575	
Rupert Resources Ltd.	Rights Performance Share Units	van Wageningen, Martheijs Adrianus	7	2023-03-17	00		51,546	
Russel Metals Inc.	Rights Deferred Share Units (cash settled)	Austin, Linh	4	2023-03-15	56		4,957	52
Russel Metals Inc.	Rights Restricted Share Units (cash settled)	Bailey, Daniel	5	2023-03-15	56		4,539	48
Russel Metals Inc.	Rights Restricted Share Units (cash settled)	Bryant, Gregg Edward	5	2023-03-15	56		2,634	28
Russel Metals Inc.	Rights Deferred Share Units (cash settled)	Clark, John	4	2023-03-15	56		71,735	756
Russel Metals Inc.	Common Shares	Coleman, Lesley Margaret Seppings	5	2023-03-14	30	35.376	45,590	-10,000
Russel Metals Inc.	Rights Restricted Share Units (cash settled)	Coleman, Lesley Margaret Seppings	5	2023-03-15	56		12,919	136
Russel Metals Inc.	Rights Deferred Share Units (cash settled)	Dinning, James Francis	4	2023-03-15	56		72,040	759
Russel Metals Inc.	Rights Deferred Share Units (cash settled)	Hedges, Brian Robie	4	2023-03-15	56		39,177	413
Russel Metals Inc.	Rights Deferred Share Units (cash settled)	Johnston, Cynthia	4	2023-03-15	56		4,957	52
Russel Metals Inc.	Rights Restricted Share Units (cash settled)	Juravsky, Martin Leb	5	2023-03-15	56		94,856	1,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Russel Metals Inc.	Rights Deferred Share Units (cash settled)	Laberge, Alice D.	4	2023-03-15	56		55,146	582
Russel Metals Inc.	Rights Restricted Share Units (cash settled)	MacDermid, Ryan Wallace	5	2023-03-15	56		11,614	122
Russel Metals Inc.	Rights Restricted Share Units (cash settled)	MacLean, John	5	2023-03-15	56		12,122	127
Russel Metals Inc.	Rights Restricted Share Units (cash settled)	McKelvey, Sherri Lynn	5	2023-03-15	56		2,035	22
Russel Metals Inc.	Rights Restricted Share Units (cash settled)	Milne, Catherine	5	2023-03-15	56		4,337	45
Russel Metals Inc.	Common Shares	O'Reilly, William Michael	4	2023-03-14	10	35.56	5,872	-3,000
Russel Metals Inc.	Rights Deferred Share Units (cash settled)	O'Reilly, William Michael	4	2023-03-15	56		57,320	605
Russel Metals Inc.	Rights Deferred Share Units (cash settled)	Paiva, Roger	4	2023-03-15	56		7,202	76
Russel Metals Inc.	Rights Restricted Share Units (cash settled)	Reid, John Gregory	5	2023-03-15	56		243,869	2,570
Russel Metals Inc.	Rights Restricted Share Units (cash settled)	Schmelzer, Dan	5	2023-03-15	56		2,067	22
Russel Metals Inc.	Rights Deferred Share Units (cash settled)	Thabet, Annie	4	2023-03-15	56		34,226	361
Sailfish Royalty Corp.	Options	Lalani, Asheef	4	2023-03-01	00			
Sailfish Royalty Corp.	Options	Lalani, Asheef	4	2023-03-13	50	1.14	100,000	100,000
Sailfish Royalty Corp.	Common Shares	Leisman, Akiba Jacob Issachar	5	2023-03-15	10	1.15	1,277,802	-20,000
Sailfish Royalty Corp.	Common Shares	Leisman, Akiba Jacob Issachar	5	2023-03-16	51	1	1,580,170	302,368
Sailfish Royalty Corp.	Common Shares	Leisman, Akiba Jacob Issachar	5	2023-03-17	10	1.23	1,449,370	-130,800
Sailfish Royalty Corp.	Options	Leisman, Akiba Jacob Issachar	5	2023-03-14	50	1.14	899,498	125,000
Sailfish Royalty Corp.	Options	Leisman, Akiba Jacob Issachar	5	2023-03-16	51	1	597,130	-302,368
Sailfish Royalty Corp.	Options	Lostritto, Paolo	5	2023-03-13	50	1.14	900,000	100,000
Sailfish Royalty Corp.	Options	McKenzie, Bryan Mark Albert	5	2023-03-13	50	1.14	1,046,880	100,000
Sailfish Royalty Corp.	Options	Palladino, Alessandro	4	2023-03-13	50		225,000	100,000
Sailfish Royalty Corp.	Options	Reich, Walter Joseph Guido	4	2023-03-13	50		225,000	100,000
Sailfish Royalty Corp.	Options	Van Zoost, Peter	5	2023-03-13	50	1.14	250,000	50,000
Sangoma Technologies Corporation	Common Shares	Brett, Allan	4	2023-03-16	10	4.88	27,857	10,000
Sangoma Technologies Corporation	Common Shares	Worthington, Norman A.	3, 4	2023-03-10	10	3.9027	2,222,159	10,400
Sangoma Technologies Corporation	Common Shares	Worthington, Norman A.	3, 4	2023-03-10	10	3.993	2,222,959	800
Sangoma Technologies Corporation	Common Shares	Worthington, Norman A.	3, 4	2023-03-13	10	3.6382	2,239,259	16,300
Sangoma Technologies Corporation	Common Shares	Worthington, Norman A.	3, 4	2023-03-13	10	3.8089	2,244,224	4,965
Saturn Oil & Gas Inc.	Rights Restricted Share Units	Bergerman, Ivan Ray	4	2023-03-13	56	2.5	28,500	19,500
Saturn Oil & Gas Inc.	Rights Restricted Share Units	MacKenzie, Grant Alexandre	4	2023-03-13	56	2.5	28,500	19,500
Saturn Oil & Gas Inc.	Rights Restricted Share Units	Ryan, Christopher	4	2023-03-13	56	2.5	28,500	19,500

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Savaria Corporation	Options	Aubry, Sylvain	5	2023-03-17	50	16.49	165,000	20,000
Savaria Corporation	Options	Cushing, Laureen	7	2023-03-15	00		50,000	
Savaria Corporation	Options	Mongeau, Patrick	7	2023-03-15	00		75,000	
Savaria Corporation	Options	Temple, David	7	2023-03-15	00		55,000	
Seabridge Gold Inc.	Common Shares	Hoel, Ryan Christopher	5	2023-03-16	10		14,500	770
Secure Energy Services Inc.	Rights Performance Unit Awards	Amirault, Rene	3, 4, 5	2023-03-09	59	7.47		-220,252
Secure Energy Services Inc.	Rights Performance Unit Awards	Amirault, Rene	3, 4, 5	2023-03-09	59	7.47	917,684	-220,252
Secure Energy Services Inc.	Rights Performance Unit Awards	Amirault, Rene	3, 4, 5	2023-03-14	30		927,185	9,501
Secure Energy Services Inc.	Units Restricted Share Units	Amirault, Rene	3, 4, 5	2023-03-14	30		174,699	2,041
Secure Energy Services Inc.	Units Performance Share Units (PSUs)	Anderson, James Darryl	5	2023-03-09	59	7.47	260,703	-4,532
Secure Energy Services Inc.	Common Shares	Angelo, Gordon & Co., L.P.	3	2023-03-09	10	7.069	20,023,287	240,490
Secure Energy Services Inc.	Common Shares	Angelo, Gordon & Co., L.P.	3	2023-03-09	10	7.069	1,313,228	9,510
Secure Energy Services Inc.	Rights DSUs	Bly, Mark	4	2023-03-14	30		26,997	375
Secure Energy Services Inc.	Common Shares	Callihoo, Michael Wayne	5	2023-03-16	10	6.11	53,486	7,000
Secure Energy Services Inc.	Units PSUs	Callihoo, Michael Wayne	5	2023-03-09	59	7.47	61,995	-17,881
Secure Energy Services Inc.	Units Performance Share Unit	Engel, David Glenn	5	2023-03-09	59	7.47	315,974	-100,259
Secure Energy Services Inc.	Rights Performance Unit Awards	Gransch, Allen Peter	5	2023-03-09	59	7.47		-134,411
Secure Energy Services Inc.	Rights Performance Unit Awards	Gransch, Allen Peter	5	2023-03-09	59	7.47	0	-134,411
Secure Energy Services Inc.	Units Performance Share Unit	Gransch, Allen Peter	5	2023-03-09	59	7.47	583,733	-31,891
Secure Energy Services Inc.	Common Shares	Hanrahan, Wendy	4	2023-03-15	00			
Secure Energy Services Inc.	Rights PSU	Higham, Corey Ray	5	2023-03-09	59	7.47		-100,259
Secure Energy Services Inc.	Rights PSU	Higham, Corey Ray	5	2023-03-09	59	7.47	353,320	-100,259
Secure Energy Services Inc.	Units Performance Share Units	Magus, Chad William	5	2023-03-09	59	7.47	326,025	-100,259
Secure Energy Services Inc.	Rights DSU's	MUNRO, BRADLEY R.	4, 7	2023-03-14	30		175,472	2,439
Secure Energy Services Inc.	Rights DSUs	Riddell Rose, Susan	4	2023-03-14	30		25,594	1,550
Secure Energy Services Inc.	Rights DSUs	Zumwalt, Deanna	4	2023-03-14	30		82,744	1,150
Sekur Private Data Ltd.	Common Shares	Ghiai Chamlou, Alain Mehdi	4, 5	2022-12-13	10	0.08	33,040,056	9,000
Sekur Private Data Ltd.	Common Shares	Ghiai Chamlou, Alain Mehdi	4, 5	2023-03-06	10	0.075	34,460,056	2,500
Sekur Private Data Ltd.	Common Shares	Ghiai Chamlou, Alain Mehdi	4, 5	2023-03-07	10	0.075	34,462,556	2,500
Sekur Private Data Ltd.	Common Shares	Ghiai Chamlou, Alain Mehdi	4, 5	2023-03-07	10	0.07		5,000
Sekur Private Data Ltd.	Common Shares	Ghiai Chamlou, Alain Mehdi	4, 5	2023-03-07	10	0.07	34,470,056	7,500
Sekur Private Data Ltd.	Common Shares	Ghiai Chamlou, Alain Mehdi	4, 5	2023-03-08	10	0.07	34,472,556	2,500
Sekur Private Data Ltd.	Common Shares	Ghiai Chamlou, Alain Mehdi	4, 5	2023-03-09	10	0.07	34,482,556	10,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Sekur Private Data Ltd.	Common Shares	Ghiai Chamlou, Alain Mehdi	4, 5	2023-03-09	10	0.08	34,485,056	2,500
Sekur Private Data Ltd.	Common Shares	Ghiai Chamlou, Alain Mehdi	4, 5	2023-03-10	10	0.08	34,490,056	5,000
Sekur Private Data Ltd.	Common Shares	Ghiai Chamlou, Alain Mehdi	4, 5	2023-03-14	10	0.07		500
Sekur Private Data Ltd.	Common Shares	Ghiai Chamlou, Alain Mehdi	4, 5	2023-03-14	10	0.07	34,503,056	13,000
Sekur Private Data Ltd.	Common Shares	Ghiai Chamlou, Alain Mehdi	4, 5	2023-03-14	10	0.085	34,504,056	1,000
Sekur Private Data Ltd.	Common Shares	Ghiai Chamlou, Alain Mehdi	4, 5	2023-03-14	10	0.08	34,511,056	7,000
Sekur Private Data Ltd.	Common Shares	Ghiai Chamlou, Alain Mehdi	4, 5	2023-03-15	10	0.085	34,513,556	2,500
Sekur Private Data Ltd.	Common Shares	Ghiai Chamlou, Alain Mehdi	4, 5	2023-03-16	10	0.09	34,516,056	2,500
Sekur Private Data Ltd.	Common Shares	Ghiai Chamlou, Alain Mehdi	4, 5	2023-03-16	10	0.85	34,523,556	7,500
Sekur Private Data Ltd.	Common Shares	Ghiai Chamlou, Alain Mehdi	4, 5	2023-03-17	10	0.085	34,542,556	19,000
Shawcor Ltd.	Common Shares	Hall, Kathleen	4	2022-05-13	00			
Shawcor Ltd.	Common Shares	Hall, Kathleen	4	2023-03-14	10		5,659	5,659
Sherritt International Corporation	Common Shares	Binedell, Leon	4, 5	2022-12-31	30	0.52	317,172	28,594
Sherritt International Corporation	Common Shares	Honig, Gregory Timothy	5	2022-12-31	30	0.52	146,692	31,177
Sherritt International Corporation	Common Shares	Ross, Chad Robert	5	2022-12-31	30	0.51	174,219	30,352
Sherritt International Corporation	Common Shares	Ross, Chad Robert	5	2021-08-05	00			
Sherritt International Corporation	Common Shares	Ross, Chad Robert	5	2022-12-31	90	0.39	57,000	57,000
Sherritt International Corporation	Common Shares	Rusnell, Daniel Carr	5	2022-12-31	30	0.52	27,693	8,616
Sherritt International Corporation	Options	Saruk, Elvin	5	2023-03-11	52		1,316,862	-71,800
Sherritt International Corporation	Common Shares	Sellers, Edward Arnold	5	2022-12-31	30	0.51	198,903	20,086
Shopify Inc.	Options	Finkelstein, Harley Michael	5	2023-03-09	50	22.745		71,444
Shopify Inc.	Options	Finkelstein, Harley Michael	5	2023-03-09	50	42.478	955,614	71,444
Shopify Inc.	Options	Hertz, Jessica	5	2023-03-09	50	22.745		67,047
Shopify Inc.	Options	Hertz, Jessica	5	2023-03-09	50	42.478	112,277	67,047
Shopify Inc.	Options	Hertz, Jessica	5	2023-03-09	50	22.745		19,785
Shopify Inc.	Options	Hertz, Jessica	5	2023-03-09	50	42.478	132,062	19,785
Shopify Inc.	Options	Lutke, Tobias Albin	4, 5	2023-03-09	50	22.745		879,304
Shopify Inc.	Options	Lutke, Tobias Albin	4, 5	2023-03-09	50	42.478	2,357,694	879,304
Showcase Minerals Inc.	Common Shares	Reed, Kirk R	4	2023-01-13	00		500,000	
Showcase Minerals Inc.	Common Shares	Reed, Kirk R	4	2023-03-08	10	0.38	493,970	-6,030
Signal Gold Inc.	Options	Dufour, Robert	5	2023-03-17	52	0.46	149,000	-150,000
Silverfish Resources Inc.	Common Shares	Naughty, Blair Lawrence	3	2023-03-15	10	0.22	137,500	25,000
Silverfish Resources Inc.	Common Shares	Naughty, Blair Lawrence	3	2023-03-16	10	0.215	165,000	27,500
Simply Better Brands Corp.	Rights Right Restricted Share Units (RSU)	Casey, Kathy	5	2023-03-15	56			1,000,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Simply Better Brands Corp.	Rights Right Restricted Share Units (RSU)	Casey, Kathy	5	2023-03-15	56			1,000,000
Simply Better Brands Corp.	Rights Right Restricted Share Units (RSU)	Casey, Kathy	5	2023-03-15	56			1,000,000
Simply Better Brands Corp.	Rights Right Restricted Share Units (RSU)	Galloro, Michael Bernardino	3, 4, 5	2023-03-15	56			150,000
Simply Better Brands Corp.	Rights Right Restricted Share Units (RSU)	Galloro, Michael Bernardino	3, 4, 5	2023-03-15	56			150,000
Simply Better Brands Corp.	Rights Right Restricted Share Units (RSU)	Galloro, Michael Bernardino	3, 4, 5	2023-03-15	56			150,000
Simply Better Brands Corp.	Rights Right Restricted Share Units (RSU)	Meadows, Brian	5	2023-03-15	56			620,000
Simply Better Brands Corp.	Rights Right Restricted Share Units (RSU)	Meadows, Brian	5	2023-03-15	56			620,000
Simply Better Brands Corp.	Rights Right Restricted Share Units (RSU)	Meadows, Brian	5	2023-03-15	56			620,000
Simply Better Brands Corp.	Rights Right Restricted Share Units (RSU)	Norman, Paul	4	2023-03-15	56			150,000
Simply Better Brands Corp.	Rights Right Restricted Share Units (RSU)	Norman, Paul	4	2023-03-15	56			150,000
Simply Better Brands Corp.	Rights Right Restricted Share Units (RSU)	Norman, Paul	4	2023-03-15	56			150,000
SITKA GOLD CORP.	Common Shares	Coe, Corwin	4, 5	2023-03-16	10	0.09	2,403,000	35,000
Skyharbour Resources Ltd.	Common Shares	Ramcharan, Andrew J.	5	2023-03-13	10	0.355	156,000	1,000
Skyharbour Resources Ltd.	Common Shares	Ramcharan, Andrew J.	5	2023-03-16	10	0.35	157,000	1,000
Skyharbour Resources Ltd.	Common Shares	Trimble, Jordan	4	2023-03-13	10	0.3597	1,870,000	7,500
Skyharbour Resources Ltd.	Common Shares	Trimble, Jordan	4	2023-03-15	10	0.3523	1,875,000	5,000
Skyharbour Resources Ltd.	Common Shares	Trimble, Jordan	4	2023-03-16	10	0.3474	1,892,500	17,500
Skyharbour Resources Ltd.	Common Shares	Trimble, Jordan	4	2023-03-17	10	0.37	1,895,000	2,500
SLANG Worldwide Inc.	Restricted Shares	Shullenberger, Luke	3	2023-02-13	00		14,795,213	
SmartCentres Real Estate Investment Trust	Exchangeable Shares Class C Series 6 LP3 Units	Goldhar, Mitchell	3, 4, 5	2023-02-27	11	25.72	367,501	-4,309
SmartCentres Real Estate Investment Trust	Exchangeable Shares Class C Series 6 LP3 Units	Goldhar, Mitchell	3, 4, 5	2023-02-27	11	25.7	365,983	-1,518
SmartCentres Real Estate Investment Trust	Limited Partnership Units Class B Series 6 LP3 Units	Goldhar, Mitchell	3, 4, 5	2023-02-27	11	27.92	3,970	3,970
SmartCentres Real Estate Investment Trust	Limited Partnership Units Class B Series 6 LP3 Units	Goldhar, Mitchell	3, 4, 5	2023-02-27	90	27.92	0	-3,970
SmartCentres Real Estate Investment Trust	Limited Partnership Units Class B Series 6 LP3 Units	Goldhar, Mitchell	3, 4, 5	2023-02-27	90	27.92	679,415	3,970
SmartCentres Real Estate Investment Trust	Special Voting Units	Goldhar, Mitchell	3, 4, 5	2023-02-27	11		10,886	3,970
SmartCentres Real Estate Investment Trust	Special Voting Units	Goldhar, Mitchell	3, 4, 5	2023-02-27	90		6,916	-3,970
SmartCentres Real Estate Investment Trust	Special Voting Units	Goldhar, Mitchell	3, 4, 5	2023-02-27	90		8,034,037	3,970
SmartCentres Real Estate Investment Trust	Trust Units	Goldhar, Mitchell	3, 4, 5	2023-03-15	10	26.3	12,375,369	8,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
SmartCentres Real Estate Investment Trust	Trust Units	Goldhar, Mitchell	3, 4, 5	2023-03-15	10	26.29	12,376,469	1,100
SmartCentres Real Estate Investment Trust	Trust Units	Goldhar, Mitchell	3, 4, 5	2023-03-15	10	26.28	12,377,369	900
SmartCentres Real Estate Investment Trust	Trust Units	Goldhar, Mitchell	3, 4, 5	2023-03-16	10	26.5	12,397,369	20,000
SmartCentres Real Estate Investment Trust	Trust Units	Goldhar, Mitchell	3, 4, 5	2023-03-16	10	26.45	12,404,869	7,500
SmartCentres Real Estate Investment Trust	Trust Units	Goldhar, Mitchell	3, 4, 5	2023-03-16	10	26.43	12,410,869	6,000
SmartCentres Real Estate Investment Trust	Trust Units	Goldhar, Mitchell	3, 4, 5	2023-03-16	10	26.2	12,411,769	900
SmartCentres Real Estate Investment Trust	Trust Units	Goldhar, Mitchell	3, 4, 5	2023-03-16	10	26.14	12,411,869	100
SmartCentres Real Estate Investment Trust	Trust Units	Goldhar, Mitchell	3, 4, 5	2023-03-16	10	26.1	12,412,069	200
SmartCentres Real Estate Investment Trust	Trust Units	Goldhar, Mitchell	3, 4, 5	2023-03-16	10	26.01	12,437,069	25,000
SmartCentres Real Estate Investment Trust	Trust Units	Goldhar, Mitchell	3, 4, 5	2023-03-16	10	25.93	12,442,069	5,000
SmartCentres Real Estate Investment Trust	Trust Units	Goldhar, Mitchell	3, 4, 5	2023-03-16	10	25.9	12,443,369	1,300
SmartCentres Real Estate Investment Trust	Trust Units	Goldhar, Mitchell	3, 4, 5	2023-03-17	10	26.09	12,458,369	15,000
SmartCentres Real Estate Investment Trust	Trust Units	Goldhar, Mitchell	3, 4, 5	2023-03-17	10	26.07	12,461,369	3,000
SmartCentres Real Estate Investment Trust	Trust Units	Goldhar, Mitchell	3, 4, 5	2023-03-17	10	26.15	12,471,369	10,000
SmartCentres Real Estate Investment Trust	Performance Units	Slan, Peter Louis	5	2022-10-24	00			
SmartCentres Real Estate Investment Trust	Performance Units	Slan, Peter Louis	5	2022-10-24	56		65,000	65,000
SNC-Lavalin Group Inc.	Options	Alger, Robert Everett	5	2023-03-14	50			31,465
SNC-Lavalin Group Inc.	Options	Alger, Robert Everett	5	2023-03-14	50		46,438	31,465
SNC-Lavalin Group Inc.	Restricted Share Units/Unités d'actions inaccessibles	Alger, Robert Everett	5	2023-03-14	56	31.07	51,969	15,890
SNC-Lavalin Group Inc.	Unités de participation en actions/Performance Share Units	Alger, Robert Everett	5	2023-03-14	56	31.07	81,786	26,483
SNC-Lavalin Group Inc.	Options	Bell, Jeffrey	5	2023-03-14	50			40,475
SNC-Lavalin Group Inc.	Options	Bell, Jeffrey	5	2023-03-14	50		61,019	40,475
SNC-Lavalin Group Inc.	Restricted Share Units/Unités d'actions inaccessibles	Bell, Jeffrey	5	2023-03-14	56	31.07	102,127	20,440

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
SNC-Lavalin Group Inc.	Unités de participation en actions/Performance Share Units	Bell, Jeffrey	5	2023-03-14	56	31.07	107,274	34,066
SNC-Lavalin Group Inc.	Options	Bérubé, Andrée-Claude	5	2023-03-14	50			13,835
SNC-Lavalin Group Inc.	Options	Bérubé, Andrée-Claude	5	2023-03-14	50		15,184	13,835
SNC-Lavalin Group Inc.	Restricted Share Units/Unités d'actions inaccessibles	Bérubé, Andrée-Claude	5	2023-03-14	56	31.07	19,081	6,987
SNC-Lavalin Group Inc.	Unités de participation en actions/Performance Share Units	Bérubé, Andrée-Claude	5	2023-03-14	56	31.07	15,832	11,644
SNC-Lavalin Group Inc.	Options	Cullens, Alan James	5	2023-03-14	50			18,822
SNC-Lavalin Group Inc.	Options	Cullens, Alan James	5	2023-03-14	50		28,395	18,822
SNC-Lavalin Group Inc.	Restricted Share Units/Unités d'actions inaccessibles	Cullens, Alan James	5	2023-03-14	56	31.07	55,252	9,505
SNC-Lavalin Group Inc.	Unités de participation en actions/Performance Share Units	Cullens, Alan James	5	2023-03-14	56	31.07	50,700	15,842
SNC-Lavalin Group Inc.	Options	Edwards, Ian L.	4, 5	2023-03-14	50			86,999
SNC-Lavalin Group Inc.	Options	Edwards, Ian L.	4, 5	2023-03-14	50			86,999
SNC-Lavalin Group Inc.	Options	Edwards, Ian L.	4, 5	2023-03-14	50		133,146	86,999
SNC-Lavalin Group Inc.	Restricted Share Units/Unités d'actions inaccessibles	Edwards, Ian L.	4, 5	2023-03-14	56	31.07	202,862	43,934
SNC-Lavalin Group Inc.	Unités de participation en actions/Performance Share Units	Edwards, Ian L.	4, 5	2023-03-14	56	31.07	242,827	73,222
SNC-Lavalin Group Inc.	Options	Hoare, Philip David	5	2023-03-14	50			31,039
SNC-Lavalin Group Inc.	Options	Hoare, Philip David	5	2023-03-14	50		45,436	31,039
SNC-Lavalin Group Inc.	Restricted Share Units/Unités d'actions inaccessibles	Hoare, Philip David	5	2023-03-09	59	29.91	35,462	-14,737
SNC-Lavalin Group Inc.	Restricted Share Units/Unités d'actions inaccessibles	Hoare, Philip David	5	2023-03-14	56	31.07	51,136	15,674
SNC-Lavalin Group Inc.	Unités de participation en actions/Performance Share Units	Hoare, Philip David	5	2023-03-14	56	31.07	80,457	26,124
SNC-Lavalin Group Inc.	Options	Morriss, Steve J.	5	2023-03-14	50			30,853
SNC-Lavalin Group Inc.	Options	Morriss, Steve J.	5	2023-03-14	50		45,532	30,853
SNC-Lavalin Group Inc.	Restricted Share Units/Unités d'actions inaccessibles	Morriss, Steve J.	5	2023-03-14	56	31.07	59,799	15,581
SNC-Lavalin Group Inc.	Unités de participation en actions/Performance Share Units	Morriss, Steve J.	5	2023-03-14	56	31.07	80,186	25,967
SNC-Lavalin Group Inc.	Options	Ryan, Erik J.	5	2023-03-14	50			16,275
SNC-Lavalin Group Inc.	Options	Ryan, Erik J.	5	2023-03-14	50		24,540	16,275
SNC-Lavalin Group Inc.	Restricted Share Units/Unités d'actions inaccessibles	Ryan, Erik J.	5	2023-03-09	59	29.91	30,305	-9,196

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
SNC-Lavalin Group Inc.	Restricted Share Units/Unités d'actions inaccessibles	Ryan, Erik J.	5	2023-03-14	56	31.07	38,524	8,219
SNC-Lavalin Group Inc.	Unités de participation en actions/Performance Share Units	Ryan, Erik J.	5	2023-03-14	56	31.07	43,836	13,698
SNC-Lavalin Group Inc.	Options	St.Julian, Joseph Michael	5	2023-03-14	50			29,534
SNC-Lavalin Group Inc.	Options	St.Julian, Joseph Michael	5	2023-03-14	50		43,661	29,534
SNC-Lavalin Group Inc.	Restricted Share Units/Unités d'actions inaccessibles	St.Julian, Joseph Michael	5	2023-03-14	56	31.07	51,250	14,915
SNC-Lavalin Group Inc.	Unités de participation en actions/Performance Share Units	St.Julian, Joseph Michael	5	2023-03-14	56	31.07	49,438	24,857
SNC-Lavalin Group Inc.	Options	Vaillancourt, Stéphanie	5	2023-03-14	50			20,203
SNC-Lavalin Group Inc.	Options	Vaillancourt, Stéphanie	5	2023-03-14	50		30,133	20,203
SNC-Lavalin Group Inc.	Restricted Share Units/Unités d'actions inaccessibles	Vaillancourt, Stéphanie	5	2023-03-09	59	29.91	29,453	-8,021
SNC-Lavalin Group Inc.	Restricted Share Units/Unités d'actions inaccessibles	Vaillancourt, Stéphanie	5	2023-03-14	56	31.07	39,656	10,203
SNC-Lavalin Group Inc.	Unités de participation en actions/Performance Share Units	Vaillancourt, Stéphanie	5	2023-03-14	56	31.07	48,036	17,004
SNC-Lavalin Group Inc.	Options	White, Nigel William Meredith	5	2023-03-14	50			18,499
SNC-Lavalin Group Inc.	Options	White, Nigel William Meredith	5	2023-03-14	50		27,903	18,499
SNC-Lavalin Group Inc.	Restricted Share Units/Unités d'actions inaccessibles	White, Nigel William Meredith	5	2023-03-09	59	29.91	23,529	-19,832
SNC-Lavalin Group Inc.	Restricted Share Units/Unités d'actions inaccessibles	White, Nigel William Meredith	5	2023-03-14	56	31.07	32,871	9,342
SNC-Lavalin Group Inc.	Unités de participation en actions/Performance Share Units	White, Nigel William Meredith	5	2023-03-14	56	31.07	51,607	15,570
Softchoice Corporation	Common Shares	Caprara, Andrew Silvio	5	2023-03-15	51		154,252	59,815
Softchoice Corporation	Options	Caprara, Andrew Silvio	5	2023-03-15	51	1.53	373,902	-59,815
SOL Global Investments Corp.	Common Shares	DeFrancesco, Andrew A.	3	2023-03-13	10	0.14	10,557,852	-6,000
SOL Global Investments Corp.	Common Shares	DeFrancesco, Andrew A.	3	2023-03-14	10	0.14	10,535,852	-22,000
SOL Global Investments Corp.	Common Shares	DeFrancesco, Andrew A.	3	2023-03-15	10	0.14	10,532,852	-3,000
SOL Global Investments Corp.	Common Shares	DeFrancesco, Andrew A.	3	2023-03-17	10	0.14	10,530,352	-2,500
SolarBank Corporation	Common Shares	Lu, Richard	4, 5	2014-08-01	00			
SolarBank Corporation	Common Shares	Lu, Richard	4, 5	2023-03-14	10	2.66	7,800	7,800
Sorrento Resources Ltd.	Common Shares	Sutton, Brayden Robert	5	2023-03-15	10	0.3	2,491,666	5,000
Source Rock Royalties Ltd.	Deferred Share Units	Bell, Douglas John	4	2022-02-23	00			
Source Rock Royalties Ltd.	Deferred Share Units	Bell, Douglas John	4	2022-07-08	56	0.82	15,000	15,000
Source Rock Royalties Ltd.	Deferred Share Units	Bell, Douglas John	4	2022-12-31	30	0.73	15,308	308
Source Rock Royalties Ltd.	Restricted Share Units	Bell, Douglas John	4	2022-02-23	00			

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Source Rock Royalties Ltd.	Restricted Share Units	Bell, Douglas John	4	2022-07-08	56	0.82	45,000	45,000
Source Rock Royalties Ltd.	Restricted Share Units	Bell, Douglas John	4	2022-12-31	30	0.73	45,925	925
Source Rock Royalties Ltd.	Deferred Share Units	Clausen, Sean Paul	5	2022-02-23	00			
Source Rock Royalties Ltd.	Deferred Share Units	Clausen, Sean Paul	5	2022-07-08	56	0.82		20,000
Source Rock Royalties Ltd.	Deferred Share Units	Clausen, Sean Paul	5	2022-07-08	56	0.82		20,000
Source Rock Royalties Ltd.	Deferred Share Units	Clausen, Sean Paul	5	2022-12-31	30	0.73		411
Source Rock Royalties Ltd.	Deferred Share Units	Clausen, Sean Paul	5	2022-12-31	30	0.73		411
Source Rock Royalties Ltd.	Deferred Share Units	Docherty, Bradley Robert	4, 5	2022-07-08	56	0.82	350,000	350,000
Source Rock Royalties Ltd.	Deferred Share Units	Docherty, Bradley Robert	4, 5	2022-12-31	30	0.73	437,192	7,192
Source Rock Royalties Ltd.	Deferred Share Units	Kevol, Jordan Scott	4	2022-02-23	00			
Source Rock Royalties Ltd.	Deferred Share Units	Kevol, Jordan Scott	4	2022-07-08	56	0.82	12,500	12,500
Source Rock Royalties Ltd.	Deferred Share Units	Kevol, Jordan Scott	4	2022-12-31	30	0.73	12,757	257
Source Rock Royalties Ltd.	Restricted Share Units	Kevol, Jordan Scott	4	2022-02-23	00			
Source Rock Royalties Ltd.	Restricted Share Units	Kevol, Jordan Scott	4	2022-07-08	56	0.82	37,500	37,500
Source Rock Royalties Ltd.	Restricted Share Units	Kevol, Jordan Scott	4	2022-12-31	30	0.73	38,271	771
Source Rock Royalties Ltd.	Deferred Share Units	Loster, Brody Michael	4	2022-02-23	00			
Source Rock Royalties Ltd.	Deferred Share Units	Loster, Brody Michael	4	2022-02-23	00			
Source Rock Royalties Ltd.	Deferred Share Units	Loster, Brody Michael	4	2022-07-08	56	0.82		10,000
Source Rock Royalties Ltd.	Deferred Share Units	Loster, Brody Michael	4	2022-07-08	56	0.82		10,000
Source Rock Royalties Ltd.	Deferred Share Units	Loster, Brody Michael	4	2022-12-31	30	0.73		205
Source Rock Royalties Ltd.	Deferred Share Units	Loster, Brody Michael	4	2022-12-31	30	0.73		205
Source Rock Royalties Ltd.	Restricted Share Units	Loster, Brody Michael	4	2022-02-23	00			
Source Rock Royalties Ltd.	Restricted Share Units	Loster, Brody Michael	4	2022-02-23	00			
Source Rock Royalties Ltd.	Restricted Share Units	Loster, Brody Michael	4	2022-07-08	56	0.82		30,000
Source Rock Royalties Ltd.	Restricted Share Units	Loster, Brody Michael	4	2022-07-08	56	0.82		30,000
Source Rock Royalties Ltd.	Restricted Share Units	Loster, Brody Michael	4	2022-12-31	30	0.73		616
Source Rock Royalties Ltd.	Restricted Share Units	Loster, Brody Michael	4	2022-12-31	30	0.73		616
Source Rock Royalties Ltd.	Deferred Share Units	McMurren, Gary Patrick	4	2022-02-23	00			
Source Rock Royalties Ltd.	Deferred Share Units	McMurren, Gary Patrick	4	2022-07-08	56	0.82	15,000	15,000
Source Rock Royalties Ltd.	Deferred Share Units	McMurren, Gary Patrick	4	2022-12-31	30	0.73	15,308	308
Source Rock Royalties Ltd.	Restricted Share Units	McMurren, Gary Patrick	4	2022-02-23	00			

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Source Rock Royalties Ltd.	Restricted Share Units	McMurren, Gary Patrick	4	2022-07-08	56	0.82	45,000	45,000
Source Rock Royalties Ltd.	Restricted Share Units	McMurren, Gary Patrick	4	2022-12-31	30	0.73	45,925	925
Source Rock Royalties Ltd.	Deferred Share Units	Potter, Dean Edward George	4	2022-02-23	00			
Source Rock Royalties Ltd.	Deferred Share Units	Potter, Dean Edward George	4	2022-07-08	56	0.82	12,500	12,500
Source Rock Royalties Ltd.	Deferred Share Units	Potter, Dean Edward George	4	2022-12-31	30	0.73	12,757	257
Source Rock Royalties Ltd.	Restricted Share Units	Potter, Dean Edward George	4	2022-02-23	00			
Source Rock Royalties Ltd.	Restricted Share Units	Potter, Dean Edward George	4	2022-07-08	56	0.82	37,500	37,500
Source Rock Royalties Ltd.	Restricted Share Units	Potter, Dean Edward George	4	2022-12-31	30	0.73	38,271	771
Source Rock Royalties Ltd.	Common Shares	Rideout, Scott Earl	4	2022-02-23	00			
Source Rock Royalties Ltd.	Common Shares	Rideout, Scott Earl	4	2022-02-23	00		85,483	
Source Rock Royalties Ltd.	Common Shares	Rideout, Scott Earl	4	2023-02-28	90		0	-85,483
Source Rock Royalties Ltd.	Common Shares	Rideout, Scott Earl	4	2022-02-23	00			
Source Rock Royalties Ltd.	Common Shares	Rideout, Scott Earl	4	2023-02-28	90		85,483	85,483
Source Rock Royalties Ltd.	Deferred Share Units	Rideout, Scott Earl	4	2022-02-23	00			
Source Rock Royalties Ltd.	Deferred Share Units	Rideout, Scott Earl	4	2022-07-08	56	0.82	12,500	12,500
Source Rock Royalties Ltd.	Deferred Share Units	Rideout, Scott Earl	4	2022-12-31	30	0.73	12,757	257
Source Rock Royalties Ltd.	Restricted Share Units	Rideout, Scott Earl	4	2022-02-23	00			
Source Rock Royalties Ltd.	Restricted Share Units	Rideout, Scott Earl	4	2022-07-08	56	0.82	37,500	37,500
Source Rock Royalties Ltd.	Restricted Share Units	Rideout, Scott Earl	4	2022-12-31	30	0.73	38,271	771
Source Rock Royalties Ltd.	Deferred Share Units	Thiessen, Shaun Ashton	4	2022-02-23	00			
Source Rock Royalties Ltd.	Deferred Share Units	Thiessen, Shaun Ashton	4	2022-07-08	56	0.82	15,000	15,000
Source Rock Royalties Ltd.	Deferred Share Units	Thiessen, Shaun Ashton	4	2022-12-31	30	0.73	15,308	308
Source Rock Royalties Ltd.	Restricted Share Units	Thiessen, Shaun Ashton	4	2022-02-23	00			
Source Rock Royalties Ltd.	Restricted Share Units	Thiessen, Shaun Ashton	4	2022-07-08	56	0.82	45,000	45,000
Source Rock Royalties Ltd.	Restricted Share Units	Thiessen, Shaun Ashton	4	2022-12-31	30	0.73	45,925	925
Spanish Mountain Gold Ltd.	Common Shares	Janes, Lembit	4	2023-03-13	10	0.2	18,473,500	16,500
Spanish Mountain Gold Ltd.	Common Shares	Janes, Lembit	4	2023-03-14	10	0.2	18,523,000	49,500
Spanish Mountain Gold Ltd.	Common Shares	Janes, Lembit	4	2023-03-15	10	0.2	18,543,500	20,500
Spanish Mountain Gold Ltd.	Common Shares	Janes, Lembit	4	2023-03-16	10	0.2	18,576,000	32,500
Spanish Mountain Gold Ltd.	Common Shares	Janes, Lembit	4	2023-03-17	10	0.2	18,587,000	11,000
Spectra7 Microsystems Inc.	Common Shares	Halim, Raouf Youssef	4, 5	2023-03-15	16	1	663,692	33,800

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Spectra7 Microsystems Inc.	Warrants 2023	Halim, Raouf Youssef	4, 5	2016-09-26	00			
Spectra7 Microsystems Inc.	Warrants 2023	Halim, Raouf Youssef	4, 5	2023-03-15	16		33,800	33,800
Spectra7 Microsystems Inc.	Common Shares	Maggs, Roger	4	2023-03-15	16	1	351,107	50,000
Spectra7 Microsystems Inc.	Warrants 2023	Maggs, Roger	4	2013-02-05	00			
Spectra7 Microsystems Inc.	Warrants 2023	Maggs, Roger	4	2023-03-15	16		50,000	50,000
Spectra7 Microsystems Inc.	Common Shares	Morgan, Christopher	4	2023-03-15	16	1	1,466,024	170,000
Spectra7 Microsystems Inc.	Warrants 2023	Morgan, Christopher	4	2021-07-30	00			
Spectra7 Microsystems Inc.	Warrants 2023	Morgan, Christopher	4	2023-03-15	16		170,000	170,000
Spectra7 Microsystems Inc.	Common Shares	Pasek, Ronald James	4	2023-03-15	16	1	1,859,802	137,200
Spectra7 Microsystems Inc.	Warrants 2023	Pasek, Ronald James	4	2015-06-18	00			
Spectra7 Microsystems Inc.	Warrants 2023	Pasek, Ronald James	4	2023-03-15	16		137,200	137,200
Spectra7 Microsystems Inc.	Common Shares	Tomei, Bonnie	5	2023-03-15	16	1	53,800	13,800
Spectra7 Microsystems Inc.	Warrants 2023	Tomei, Bonnie	5	2021-07-12	00			
Spectra7 Microsystems Inc.	Warrants 2023	Tomei, Bonnie	5	2023-03-15	16		13,800	13,800
Spin Master Corp.	Deferred Share Units	Strauss, Christi	4	2023-03-08	00			
SQI Diagnostics Inc.	Common Shares	Beddoe, Clive	3, 4	2023-03-09	10	0.053	98,913,632	-33,400
SQI Diagnostics Inc.	Common Shares	Beddoe, Clive	3, 4	2023-03-13	10		98,843,632	-70,000
SQI Diagnostics Inc.	Options	Brouwer, Eric Bertrand	5	2017-10-16	00			
SQI Diagnostics Inc.	Options	Brouwer, Eric Bertrand	5	2017-10-16	00		350,000	
SQI Diagnostics Inc.	Options	Brouwer, Eric Bertrand	5	2019-05-21	50	0.15		291,600
SQI Diagnostics Inc.	Options	Brouwer, Eric Bertrand	5	2020-08-26	50	0.275		360,000
SQI Diagnostics Inc.	Options	Brouwer, Eric Bertrand	5	2021-05-27	50	0.22		725,000
SQI Diagnostics Inc.	Options	Brouwer, Eric Bertrand	5	2021-08-27	50	0.175		3,000,000
SQI Diagnostics Inc.	Options	Brouwer, Eric Bertrand	5	2021-08-27	50	0.175		3,000,000
SQI Diagnostics Inc.	Options	Brouwer, Eric Bertrand	5	2022-08-31	50	0.14		350,000
SQI Diagnostics Inc.	Options	Brouwer, Eric Bertrand	5	2022-10-16	52		1,333,333	-350,000
SQI Diagnostics Inc.	Options	Brouwer, Eric Bertrand	5	2023-03-04	52		1,000,000	-333,333
SQI Diagnostics Inc.	Options	Brouwer, Eric Bertrand	5	2023-03-09	50	0.05	1,291,600	291,600
SQI Diagnostics Inc.	Options	Brouwer, Eric Bertrand	5	2023-03-09	50	0.05	1,651,600	360,000
SQI Diagnostics Inc.	Options	Brouwer, Eric Bertrand	5	2023-03-09	50	0.05	2,376,600	725,000
SQI Diagnostics Inc.	Options	Brouwer, Eric Bertrand	5	2023-03-09	50	0.05	5,376,600	3,000,000
SQI Diagnostics Inc.	Options	Brouwer, Eric Bertrand	5	2023-03-09	50	0.05	5,726,600	350,000
SQI Diagnostics Inc.	Options	Brouwer, Eric Bertrand	5	2023-03-09	50	0.05	6,726,600	1,000,000
SQI Diagnostics Inc.	Common Shares	Connor, Gerald R.	3, 4	2023-03-10	97		426,575	-8,049
SQI Diagnostics Inc.	Common Shares	Connor, Gerald R.	3, 4	2023-03-09	10	0.053		-33,200
SQI Diagnostics Inc.	Common Shares	Connor, Gerald R.	3, 4	2023-03-09	10	0.053	94,569,054	-33,200
SQI Diagnostics Inc.	Common Shares	Connor, Gerald R.	3, 4	2023-03-13	10		94,499,054	-70,000
SQI Diagnostics Inc.	Common Shares	Cumberland Private Wealth Management Inc.	3	2023-03-10	97		2,164,743	8,049
SQI Diagnostics Inc.	Common Shares	Matthews, Wilmot Leslie	3, 4	2023-03-09	10	0.053		-33,400
SQI Diagnostics Inc.	Common Shares	Matthews, Wilmot Leslie	3, 4	2023-03-09	10	0.053	12,274,669	-33,400

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
SQL Diagnostics Inc.	Common Shares	Matthews, Wilmot Leslie	3, 4	2023-03-13	10		12,204,669	-70,000
SQL Diagnostics Inc.	Options	Morris, Andrew Charles	4	2013-02-27	52		175,000	-250,000
SQL Diagnostics Inc.	Options	Morris, Andrew Charles	4	2018-01-08	52		1,700,000	-175,000
SQL Diagnostics Inc.	Options	Morris, Andrew Charles	4	2020-09-15	52		4,462,167	-100,000
SQL Diagnostics Inc.	Options	Morris, Andrew Charles	4	2021-09-10	50			12,000,000
SQL Diagnostics Inc.	Options	Morris, Andrew Charles	4	2022-04-18	52			100,000
SQL Diagnostics Inc.	Options	Morris, Andrew Charles	4	2022-04-18	52			-100,000
SQL Diagnostics Inc.	Options	Morris, Andrew Charles	4	2022-04-18	52			-100,000
SQL Diagnostics Inc.	Options	Morris, Andrew Charles	4	2023-03-03	52		1,295,500	-1,666,667
SQL Diagnostics Inc.	Options	Morris, Andrew Charles	4	2023-03-09	50		13,295,500	12,000,000
SQL Diagnostics Inc.	Options	Morris, Andrew Charles	4	2023-03-09	38		12,100,000	-1,195,500
SQL Diagnostics Inc.	Options	Morris, Andrew Charles	4	2023-03-12	52		12,000,000	-100,000
SQL Diagnostics Inc.	Options	Reddock, Morlan	5	2021-05-27	50	0.22		579,167
SQL Diagnostics Inc.	Options	Reddock, Morlan	5	2021-08-27	50	0.175		250,000
SQL Diagnostics Inc.	Options	Reddock, Morlan	5	2022-08-31	50	0.14		350,000
SQL Diagnostics Inc.	Options	Reddock, Morlan	5	2023-03-09	50	0.05	879,167	579,167
SQL Diagnostics Inc.	Options	Reddock, Morlan	5	2023-03-09	50	0.05	1,129,167	250,000
SQL Diagnostics Inc.	Options	Reddock, Morlan	5	2023-03-09	50	0.05	1,479,167	350,000
SQL Diagnostics Inc.	Options	Reddock, Morlan	5	2023-03-09	50	0.05	2,979,167	1,500,000
SSC Security Services Corp.	Common Shares	Emsley Family Foundation Inc.	4	2023-01-30	47	2.68		140,000
SSC Security Services Corp.	Common Shares	Emsley Family Foundation Inc.	4	2023-01-30	47	2.68		140,000
SSC Security Services Corp.	Common Shares	Emsley, Doug	4, 6, 5	2023-01-30	47	2.68	408,966	140,000
SSC Security Services Corp.	Common Shares	Emsley, Doug	4, 6, 5	2023-01-30	90	2.68		-140,000
SSC Security Services Corp.	Common Shares	Emsley, Doug	4, 6, 5	2023-01-30	47	2.68		-140,000
SSC Security Services Corp.	Common Shares	Emsley, Doug	4, 6, 5	2023-01-30	47	2.68		-140,000
SSC Security Services Corp.	Common Shares	Emsley, Doug	4, 6, 5	2023-01-30	47	2.68	3,528,495	-140,000
SSR Mining Inc.	Common Shares	Antal, Rod	4, 5	2023-03-07	36		691,438	22,467
SSR Mining Inc.	Performance Share Units (Cash Settled)	Antal, Rod	4, 5	2023-03-07	56		401,009	129,196
SSR Mining Inc.	Performance Share Units (Cash Settled)	Antal, Rod	4, 5	2023-03-10	38		320,078	-80,931
SSR Mining Inc.	Restricted Share Units (Cash Settled)	Antal, Rod	4, 5	2023-03-07	38		64,899	-22,467
SSR Mining Inc.	Restricted Share Units (Cash Settled)	Antal, Rod	4, 5	2023-03-07	56		151,029	86,130
SSR Mining Inc.	Common Shares	Ebbett, John	5	2023-03-07	36		8,148	2,050
SSR Mining Inc.	Common Shares	Ebbett, John	5	2023-03-08	10	18.26	7,331	-817
SSR Mining Inc.	Performance Share Units (Cash Settled)	Ebbett, John	5	2023-03-07	56		40,502	27,041
SSR Mining Inc.	Restricted Share Units (Cash Settled)	Ebbett, John	5	2023-03-07	38		8,924	-2,050
SSR Mining Inc.	Restricted Share Units (Cash Settled)	Ebbett, John	5	2023-03-07	56		26,951	18,027
SSR Mining Inc.	Common Shares	Farid, Fady Adel Edward	5	2023-03-07	36		79,566	5,783
SSR Mining Inc.	Common Shares	Farid, Fady Adel Edward	5	2023-03-08	10	18.26	76,611	-2,955
SSR Mining Inc.	Performance Share Units (Cash Settled)	Farid, Fady Adel Edward	5	2023-03-07	56		105,223	33,050

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
SSR Mining Inc.	Performance Share Units (Cash Settled)	Farid, Fady Adel Edward	5	2023-03-10	38		82,678	-22,545
SSR Mining Inc.	Restricted Share Units (Cash Settled)	Farid, Fady Adel Edward	5	2023-03-07	38		16,822	-5,783
SSR Mining Inc.	Restricted Share Units (Cash Settled)	Farid, Fady Adel Edward	5	2023-03-07	56		38,855	22,033
SSR Mining Inc.	Performance Share Units (Cash Settled)	MACNEVIN, WILLIAM KEITH	5	2023-03-07	56		31,547	31,547
SSR Mining Inc.	Restricted Share Units (Cash Settled)	MACNEVIN, WILLIAM KEITH	5	2023-03-07	56		89,780	21,031
SSR Mining Inc.	Common Shares	Sparks, Michael John	5	2023-03-07	36		110,575	5,614
SSR Mining Inc.	Common Shares	Sparks, Michael John	5	2023-03-07	10	18.26		-2,323
SSR Mining Inc.	Common Shares	Sparks, Michael John	5	2023-03-08	10	18.26	108,252	-2,323
SSR Mining Inc.	Performance Share Units (Cash Settled)	Sparks, Michael John	5	2023-03-07	56		98,953	32,449
SSR Mining Inc.	Performance Share Units (Cash Settled)	Sparks, Michael John	5	2023-03-10	38		79,877	-19,076
SSR Mining Inc.	Restricted Share Units (Cash Settled)	Sparks, Michael John	5	2023-03-07	38		16,164	-5,614
SSR Mining Inc.	Restricted Share Units (Cash Settled)	Sparks, Michael John	5	2023-03-07	56		37,796	21,632
SSR Mining Inc.	Common Shares	White, Alison Lynn	5	2023-03-07	36		12,579	5,895
SSR Mining Inc.	Common Shares	White, Alison Lynn	5	2023-03-08	10	18.26	10,140	-2,439
SSR Mining Inc.	Performance Share Units (Cash Settled)	White, Alison Lynn	5	2023-03-07	56		109,323	33,050
SSR Mining Inc.	Restricted Share Units (Cash Settled)	White, Alison Lynn	5	2023-03-07	38		33,904	-5,895
SSR Mining Inc.	Restricted Share Units (Cash Settled)	White, Alison Lynn	5	2023-03-07	56		55,937	22,033
Stack Capital Group Inc.	Common Shares	Bell, John K.	4, 5	2023-03-15	10	6.5	3,500	1,500
Starlight U.S. Residential Fund	Class A Units	Drimmer, Daniel	3, 4, 5	2021-09-23	00			
Starlight U.S. Residential Fund	Class A Units	Drimmer, Daniel	3, 4, 5	2023-03-16	10	5.4	10,000	10,000
Stearman Resources Inc.	Common Shares	Mathiesen, Steve	4	2023-03-10	10	0.07	2,103,500	1,000
Stella-Jones Inc.	Performance Share Units (PSUs)	Bourgault, Lyne	7	2023-03-13	56	53.2	1,640	352
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Bourgault, Lyne	7	2023-03-13	56	53.2	2,006	599
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Bourgault, Lyne	7	2023-03-13	59	53.2	1,770	-236
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Bourgault, Lyne	7	2023-03-14	59	53.81	1,459	-311
Stella-Jones Inc.	Performance Share Units (PSUs)	Brandt, Jeff	7	2023-03-13	56	53.2	1,990	613
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Brandt, Jeff	7	2023-03-13	56	53.2	3,402	1,043
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Brandt, Jeff	7	2023-03-13	59	53.2	3,006	-396
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Brandt, Jeff	7	2023-03-14	59	53.81	2,484	-522
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Caric, George	7	2023-03-13	59	53.2	2,450	-495
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Caric, George	7	2023-03-14	59	53.81	1,799	-651
Stella-Jones Inc.	Performance Share Units (PSUs)	Comerford, Kevin Patrick	7	2023-03-13	56	53.2	2,740	823
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Comerford, Kevin Patrick	7	2023-03-13	56	53.2	4,684	1,399

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Comerford, Kevin Patrick	7	2023-03-13	59	53.2	4,132	-552
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Comerford, Kevin Patrick	7	2023-03-14	59	53.81	3,405	-727
Stella-Jones Inc.	Performance Share Units (PSUs)	Couture, Sylvain	5	2023-03-13	56	53.2	1,911	603
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Couture, Sylvain	5	2023-03-13	56	53.2	3,288	1,026
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Couture, Sylvain	5	2023-03-13	59	5320	2,934	-354
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Couture, Sylvain	5	2023-03-14	59	53.81	2,416	-518
Stella-Jones Inc.	Performance Share Units (PSUs)	Cuddihy, Richard	5	2022-12-14	00			
Stella-Jones Inc.	Performance Share Units (PSUs)	Cuddihy, Richard	5	2023-03-13	56	53.2	1,889	1,889
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Cuddihy, Richard	5	2022-12-14	00			
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Cuddihy, Richard	5	2023-03-13	56	53.2	3,212	3,212
Stella-Jones Inc.	Performance Share Units (PSUs)	Dallas, Jason	5	2023-03-13	56	53.2	1,683	528
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Dallas, Jason	5	2023-03-13	56	53.2	2,904	897
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Dallas, Jason	5	2023-03-13	59	53.2	2,600	-304
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Dallas, Jason	5	2023-03-14	59	53.81	2,134	-466
Stella-Jones Inc.	Performance Share Units (PSUs)	Eichenbaum, Marla	5	2023-03-13	56	53.2	3,128	964
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Eichenbaum, Marla	5	2023-03-13	56	53.2	5,360	1,639
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Eichenbaum, Marla	5	2023-03-13	59	53.2	4,753	-607
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Eichenbaum, Marla	5	2023-03-14	59	53.81	3,918	-835
Stella-Jones Inc.	Performance Share Units (PSUs)	James, Kenner	7	2023-03-13	56	53.2	2,737	822
Stella-Jones Inc.	Restricted Stock Units (RSU's)	James, Kenner	7	2023-03-13	53	53.2	4,676	1,397
Stella-Jones Inc.	Restricted Stock Units (RSU's)	James, Kenner	7	2023-03-13	59	53.2	4,125	-551
Stella-Jones Inc.	Restricted Stock Units (RSU's)	James, Kenner	7	2023-03-14	56	53.81	3,400	-725
Stella-Jones Inc.	Performance Share Units (PSUs)	Jones, Ian	7	2023-03-13	56	53.2	10,538	3,226
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Jones, Ian	7	2023-03-13	56	53.2	18,082	5,484
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Jones, Ian	7	2023-03-13	59	53.2	16,057	-2,025
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Jones, Ian	7	2023-03-14	59	53.81	13,208	-2,849
Stella-Jones Inc.	Performance Share Units (PSUs)	Kirkham, Patrick	7	2023-03-13	56	53.2	4,707	1,759
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Kirkham, Patrick	7	2023-03-13	56	53.2	9,215	2,991
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Kirkham, Patrick	7	2023-03-13	59	53.2	8,804	-411
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Kirkham, Patrick	7	2023-03-14	59	53.81	7,250	-1,554
Stella-Jones Inc.	Performance Share Units (PSUs)	Larocque, Steve	5	2023-03-13	56	53.2	1,877	649

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Larocque, Steve	5	2023-03-13	56	53.2	3,335	1,102
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Larocque, Steve	5	2023-03-13	59	53.2	3,112	-223
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Larocque, Steve	5	2023-03-14	59	53.81	2,517	-595
Stella-Jones Inc.	Performance Share Units (PSUs)	Marcell, Driessen	7	2023-03-13	56	53.2	1,969	613
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Marcell, Driessen	7	2023-03-13	56	53.2	3,369	1,043
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Marcell, Driessen	7	2023-03-13	59	53.2	2,983	-386
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Marcell, Driessen	7	2023-03-14	59	53.81	2,465	-518
Stella-Jones Inc.	Performance Share Units (PSUs)	Morgan, Andy	7	2023-03-13	56	53.2	2,111	660
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Morgan, Andy	7	2023-03-13	56	53.2	3,640	1,122
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Morgan, Andy	7	2023-03-13	59	53.2	3,255	-385
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Morgan, Andy	7	2023-03-14	59	53.81	2,673	-582
Stella-Jones Inc.	Performance Share Units (PSUs)	Murray, Gordon	5	2023-03-13	56	625	2,080	625
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Murray, Gordon	5	2023-03-13	56	53.2	3,555	1,062
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Murray, Gordon	5	2023-03-13	59	53.2	3,136	-419
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Murray, Gordon	5	2023-03-14	59	53.81	2,585	-551
Stella-Jones Inc.	Performance Share Units (PSUs)	Pittman, George Glynn	5	2023-03-13	56	53.2	1,845	578
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Pittman, George Glynn	5	2023-03-13	56	53.2	3,299	983
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Pittman, George Glynn	5	2023-03-13	59	53.2	2,935	-364
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Pittman, George Glynn	5	2023-03-14	59	53.81	2,455	-480
Stella-Jones Inc.	Performance Share Units (PSUs)	Raines, James	7	2023-03-14	56	53.2	2,264	713
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Raines, James	7	2023-03-13	56	53.2	3,116	1,211
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Raines, James	7	2023-03-13	59	53.2	2,711	-405
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Raines, James	7	2023-03-14	59	53.81	2,082	-629
Stella-Jones Inc.	Performance Share Units (PSUs)	Stark, Patrick	7	2023-03-13	56	53.2	1,844	592
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Stark, Patrick	7	2023-03-13	56	53.2	3,168	1,007
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Stark, Patrick	7	2023-03-13	59	53.2	2,826	-342
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Stark, Patrick	7	2023-03-14	59	53.81	2,334	-492
Stella-Jones Inc.	Performance Share Units (PSUs)	Travaglini, Silvana	5	2023-03-13	56	53.2	9,226	3,008
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Travaglini, Silvana	5	2023-03-13	56	53.2	15,822	5,113
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Travaglini, Silvana	5	2023-03-13	59	53.2	14,094	-1,728
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Travaglini, Silvana	5	2023-03-14	59	53.81	11,677	-2,417

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Stella-Jones Inc.	Performance Share Units (PSUs)	Vachon, Eric	7	2023-03-13	56	53.2	24,008	8,868
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Vachon, Eric	7	2023-03-13	56	53.2	41,306	15,075
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Vachon, Eric	7	2023-03-13	59	53.2	37,257	-4,049
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Vachon, Eric	7	2023-03-14	59	53.81	31,213	-6,044
Stella-Jones Inc.	Performance Share Units (PSUs)	Whitted, David	7	2023-03-13	56	53.2	2,228	713
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Whitted, David	7	2023-03-13	56	53.2	3,022	1,211
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Whitted, David	7	2023-03-13	59	53.2	2,641	-381
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Whitted, David	7	2023-03-14	59	53.81	2,012	-629
Stella-Jones Inc.	Performance Share Units (PSUs)	Younce, Jon	7	2023-03-13	56	53.2	2,228	680
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Younce, Jon	7	2023-03-13	56	53.2	3,807	1,155
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Younce, Jon	7	2023-03-13	59	53.2	3,362	-445
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Younce, Jon	7	2023-03-14	59	53.81	2,775	-587
STEP Energy Services Ltd.	Options	Boucher, Tara Lynn	5	2023-03-10	50		56,172	9,252
STEP Energy Services Ltd.	Performance Share Units - Corporate Unit	Boucher, Tara Lynn	5	2023-03-10	56		18,230	11,721
STEP Energy Services Ltd.	Restricted Share Units	Boucher, Tara Lynn	5	2023-03-10	56		45,137	11,721
STEP Energy Services Ltd.	Options	Burvill, Michael Edward	5	2023-03-10	50		180,801	14,725
STEP Energy Services Ltd.	Performance Share Units - Corporate Unit	Burvill, Michael Edward	5	2023-03-10	56		28,736	18,654
STEP Energy Services Ltd.	Restricted Share Units	Burvill, Michael Edward	5	2023-03-10	56		71,748	18,654
STEP Energy Services Ltd.	Options	Deemter, Klaas Siert	5	2023-03-10	50		88,814	25,984
STEP Energy Services Ltd.	Performance Share Units - Corporate Unit	Deemter, Klaas Siert	5	2023-03-10	56		47,555	32,918
STEP Energy Services Ltd.	Restricted Share Units	Deemter, Klaas Siert	5	2023-03-10	56		91,378	32,918
STEP Energy Services Ltd.	Options	Glanville, Stephen Murray	5	2023-03-10	50		614,747	48,898
STEP Energy Services Ltd.	Performance Share Units - Corporate Unit	Glanville, Stephen Murray	5	2023-03-10	56		86,964	61,945
STEP Energy Services Ltd.	Restricted Share Units	Glanville, Stephen Murray	5	2023-03-10	56		198,427	61,945
STEP Energy Services Ltd.	Options	Kane, Joshua Maxwell	5	2023-03-10	50			9,843
STEP Energy Services Ltd.	Options	Kane, Joshua Maxwell	5	2023-03-10	50		137,074	9,843
STEP Energy Services Ltd.	Performance Share Units - Corporate Unit	Kane, Joshua Maxwell	5	2023-03-10	56		19,432	12,469
STEP Energy Services Ltd.	Restricted Share Units	Kane, Joshua Maxwell	5	2023-03-10	56		56,627	12,469
STEP Energy Services Ltd.	Options	McFarlane, Bradley James	5	2023-03-10	50		72,434	13,484
STEP Energy Services Ltd.	Performance Share Units - Corporate Unit	McFarlane, Bradley James	5	2023-03-10	56		25,807	17,082
STEP Energy Services Ltd.	Restricted Share Units	McFarlane, Bradley James	5	2023-03-10	56		57,859	17,082

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
STEP Energy Services Ltd.	Options	Thompson, Rory John Will	5	2023-03-10	50		400,616	25,984
STEP Energy Services Ltd.	Performance Share Units - Corporate Unit	Thompson, Rory John Will	5	2023-03-10	56		48,252	32,918
STEP Energy Services Ltd.	Restricted Share Units	Thompson, Rory John Will	5	2023-03-10	56		122,451	32,918
Stock Trend Capital Inc.. (formerly, World Class Extractions Inc.)	Common Shares	Buzbuzian, Richard	4	2023-03-13	00		378,000	
Stock Trend Capital Inc.. (formerly, World Class Extractions Inc.)	Common Shares	Buzbuzian, Richard	4	2023-03-13	00		240,000	
Suncor Energy Inc.	Climate Performance Share Units	Cowan, Alister	5	2022-12-31	30	182		182
Suncor Energy Inc.	Climate Performance Share Units	Cowan, Alister	5	2022-12-31	30	182		182
Suncor Energy Inc.	Deferred Share Units	Cowan, Alister	5	2022-12-31	30			2,475
Suncor Energy Inc.	Deferred Share Units	Cowan, Alister	5	2022-12-31	30			2,475
Suncor Energy Inc.	Performance Share Units	Cowan, Alister	5	2022-12-31	30			6,688
Suncor Energy Inc.	Performance Share Units	Cowan, Alister	5	2022-12-31	30			6,688
Suncor Energy Inc.	Restricted Share Unit	Cowan, Alister	5	2022-12-31	30			693
Suncor Energy Inc.	Restricted Share Unit	Cowan, Alister	5	2022-12-31	30			693
SunOpta Inc.	Common Shares	Buick, Mike	5	2023-03-10	10	7.78	153,319	-200
SunOpta Inc.	Common Shares	Buick, Mike	5	2023-03-10	10	7.79	150,919	-2,400
SunOpta Inc.	Common Shares	Buick, Mike	5	2023-03-10	10	7.8	146,722	-4,197
SunOpta Inc.	Common Shares	Buick, Mike	5	2023-03-10	10	7.81	146,519	-203
Sustainable Innovation & Health Dividend Fund	Trust Units	Sustainable Innovation & Health Dividend Fund	1	2023-03-10	38	9.2	1,394,700	600
Sustainable Innovation & Health Dividend Fund	Trust Units	Sustainable Innovation & Health Dividend Fund	1	2023-03-13	38	9.13	1,395,300	600
Sustainable Innovation & Health Dividend Fund	Trust Units	Sustainable Innovation & Health Dividend Fund	1	2023-03-14	38	9.1178	1,398,500	3,200
Sustainable Real Estate Dividend Fund	Trust Units	Sustainable Real Estate Dividend Fund	1	2023-03-10	38	7.7043	155,400	700
Sustainable Real Estate Dividend Fund	Trust Units	Sustainable Real Estate Dividend Fund	1	2023-03-13	38	7.4225	157,000	1,600
Sustainable Real Estate Dividend Fund	Trust Units	Sustainable Real Estate Dividend Fund	1	2023-03-14	38	7.494	158,000	1,000
Sustainable Real Estate Dividend Fund	Trust Units	Sustainable Real Estate Dividend Fund	1	2023-03-15	38	7.2375	159,200	1,200
Swarmio Media Holdings Inc.	Warrants	Jeitani, Elie El	4	2023-03-10	16		3,407,390	3,407,390
Sylogist Ltd.	Common Shares	Sylogist Ltd.	1	2023-03-14	38		0	-31,800
Tamarack Valley Energy Ltd.	Common Shares	Schmidt, Brian Leslie	5	2023-03-13	51	3.8718	2,294,996	51,000
Tamarack Valley Energy Ltd.	Options	Schmidt, Brian Leslie	5	2023-03-13	51	3.8718	485,500	-51,000
Tamarack Valley Energy Ltd.	Common Shares	Screen, Kevin	5	2023-03-13	51	3.8718	1,163,549	28,000
Tamarack Valley Energy Ltd.	Options	Screen, Kevin	5	2023-03-13	51	3.87	237,000	-28,000
Taseko Mines Limited	Common Shares	Maguire, Rita	5	2023-01-30	51	0.72	83,213	39,000
Taseko Mines Limited	Options	Maguire, Rita	5	2023-01-30	30	0.72		39,000
Taseko Mines Limited	Options	Maguire, Rita	5	2023-01-30	30	0.72		39,000
Taura Gold Inc.	Common Shares	Meagher, Patrick Joseph	5	2023-03-14	11	0.005	212,545	-87,410

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Taura Gold Inc.	Common Shares	Meagher, Patrick Joseph	5	2021-07-07	00			
Taura Gold Inc.	Common Shares	Meagher, Patrick Joseph	5	2023-01-27	54	0.15	40,000	40,000
Taura Gold Inc.	Warrants	Meagher, Patrick Joseph	5	2021-07-07	00			
Taura Gold Inc.	Warrants	Meagher, Patrick Joseph	5	2023-01-27	11	0.15	40,000	40,000
Taura Gold Inc.	Warrants	Meagher, Patrick Joseph	5	2023-01-27	54	0.15	0	-40,000
TC Energy Corporation	Executive Share Units	Ali, Amir	7	2022-12-31	30		6,328	275
TC Energy Corporation	Executive Share Units	Ali, Amir	7	2023-03-14	59			-1,586
TC Energy Corporation	Executive Share Units	Ali, Amir	7	2023-03-14	59		4,742	-1,586
TC Energy Corporation	Executive Share Units	Beaton, Susanne	7	2022-12-31	30		2,202	95
TC Energy Corporation	Executive Share Units	BLACK, ROBERT	7	2022-12-31	30		5,856	324
TC Energy Corporation	Executive Share Units	BLACK, ROBERT	7	2023-03-14	59			-1,576
TC Energy Corporation	Executive Share Units	BLACK, ROBERT	7	2023-03-14	59		4,280	-1,576
TC Energy Corporation	Executive Share Units	Brast, David	7	2022-12-31	30		2,518	78
TC Energy Corporation	Executive Share Units	Brown, Nathaniel A.	7	2022-12-31	30			380
TC Energy Corporation	Executive Share Units	Brown, Nathaniel A.	7	2022-12-31	30			380
TC Energy Corporation	Executive Share Units	Brown, Nathaniel A.	7	2022-12-31	30			380
TC Energy Corporation	Executive Share Units	Brown, Nathaniel A.	7	2022-12-31	59			-2,109
TC Energy Corporation	Executive Share Units	Brown, Nathaniel A.	7	2022-12-31	30		6,879	380
TC Energy Corporation	Executive Share Units	Brown, Nathaniel A.	7	2023-03-14	30			380
TC Energy Corporation	Executive Share Units	Brown, Nathaniel A.	7	2023-03-14	59			-2,109
TC Energy Corporation	Executive Share Units	Brown, Nathaniel A.	7	2023-03-14	59		4,770	-2,109
TC Energy Corporation	Rights Deferred Share Units	Campbell, Cheryl Fae	4	2022-06-07	00			
TC Energy Corporation	Rights Deferred Share Units	Campbell, Cheryl Fae	4	2022-12-31	56		2,649	2,649
TC Energy Corporation	Rights Deferred Share Units	Campbell, Cheryl Fae	4	2022-12-31	30		2,674	25
TC Energy Corporation	Common Shares	Carlsen, Hejdi A.	7	2023-03-10	10	53.79	1,885	550
TC Energy Corporation	Executive Share Units	Carlsen, Hejdi A.	7	2022-12-31	30		7,732	428
TC Energy Corporation	Executive Share Units	Carlsen, Hejdi A.	7	2023-03-14	59			-2,089
TC Energy Corporation	Executive Share Units	Carlsen, Hejdi A.	7	2023-03-14	59		5,643	-2,089
TC Energy Corporation	Executive Share Units	Chapman III, Stanley G.	5	2022-12-31	30		85,140	4,710
TC Energy Corporation	Executive Share Units	Chapman III, Stanley G.	5	2023-03-14	59			-21,000
TC Energy Corporation	Executive Share Units	Chapman III, Stanley G.	5	2023-03-14	59		64,140	-21,000
TC Energy Corporation	Executive Share Units	Costello, Alexandra M.	7	2022-12-31	30		3,363	145
TC Energy Corporation	Executive Share Units	Costello, Alexandra M.	7	2023-03-14	59			-819
TC Energy Corporation	Executive Share Units	Costello, Alexandra M.	7	2023-03-14	59		2,544	-819
TC Energy Corporation	Performance Share Units	Costello, Alexandra M.	7	2022-12-31	30		819	36
TC Energy Corporation	Rights Deferred Share Units	Culbert, Michael Robert	4	2022-12-31	56		12,391	4,447
TC Energy Corporation	Rights Deferred Share Units	Culbert, Michael Robert	4	2022-12-31	30		12,920	529
TC Energy Corporation	Executive Share Units	de Lima, Dawn Elizabeth	5	2022-12-31	30		42,920	2,374
TC Energy Corporation	Executive Share Units	de Lima, Dawn Elizabeth	5	2022-12-31	59			-9,100
TC Energy Corporation	Executive Share Units	de Lima, Dawn Elizabeth	5	2023-03-14	59			-9,100
TC Energy Corporation	Executive Share Units	de Lima, Dawn Elizabeth	5	2023-03-14	59		33,820	-9,100
TC Energy Corporation	Executive Share Units	Draeger, Jon C.	7	2022-12-31	30		5,663	175

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
TC Energy Corporation	Executive Share Units	Draeger, Jon C.	7	2023-03-14	59			-1,439
TC Energy Corporation	Executive Share Units	Draeger, Jon C.	7	2023-03-14	59		4,224	-1,439
TC Energy Corporation	Executive Share Units	Ebl, Trevor	7	2022-12-31	30		10,766	596
TC Energy Corporation	Executive Share Units	Ebl, Trevor	7	2023-03-14	59			-2,481
TC Energy Corporation	Executive Share Units	Ebl, Trevor	7	2023-03-14	59		8,285	-2,481
TC Energy Corporation	Executive Share Units	Edwards, Juliann	7	2022-12-31	30		4,436	191
TC Energy Corporation	Executive Share Units	Eiriksson, Gillian J.	7	2022-12-31	30		8,626	477
TC Energy Corporation	Executive Share Units	Eiriksson, Gillian J.	7	2023-03-14	59			-2,105
TC Energy Corporation	Executive Share Units	Eiriksson, Gillian J.	7	2023-03-14	59		6,521	-2,105
TC Energy Corporation	Executive Share Units	Engel, Kevin B.	7	2022-12-31	30		8,007	444
TC Energy Corporation	Executive Share Units	Engel, Kevin B.	7	2023-03-14	59			-2,211
TC Energy Corporation	Executive Share Units	Engel, Kevin B.	7	2023-03-14	59		5,796	-2,211
TC Energy Corporation	Executive Share Units	Faraca, Tina	7	2022-12-31	30		30,315	1,691
TC Energy Corporation	Executive Share Units	Faraca, Tina	7	2023-03-14	59			-14,110
TC Energy Corporation	Executive Share Units	Faraca, Tina	7	2023-03-14	59		16,205	-14,110
TC Energy Corporation	Executive Share Units	Forestell, David	7	2022-12-31	30		5,015	216
TC Energy Corporation	Restricted Share Units	Forestell, David	7	2022-12-31	30			227
TC Energy Corporation	Restricted Share Units	Forestell, David	7	2022-12-31	30		5,263	227
TC Energy Corporation	Executive Share Units	Frame-Zawalykut, Yvonne	5	2022-12-31	30		7,957	126
TC Energy Corporation	Executive Share Units	Frame-Zawalykut, Yvonne	5	2022-12-31	59			-2,471
TC Energy Corporation	Executive Share Units	Frame-Zawalykut, Yvonne	5	2023-03-14	59			-2,471
TC Energy Corporation	Executive Share Units	Frame-Zawalykut, Yvonne	5	2023-03-14	59		5,486	-2,471
TC Energy Corporation	Executive Share Units	Garcia, Rafael	7	2022-12-31	30		1,625	70
TC Energy Corporation	Executive Share Units	Geggie, Jennifer M.	7	2022-12-31	30		6,701	370
TC Energy Corporation	Executive Share Units	Gelinas, Trevor L.	7	2022-12-31	30		5,364	296
TC Energy Corporation	Executive Share Units	Gelinas, Trevor L.	7	2022-12-31	59			-1,492
TC Energy Corporation	Executive Share Units	Gelinas, Trevor L.	7	2023-03-14	59		3,872	-1,492
TC Energy Corporation	Executive Share Units	Gibbon, Joshua	7	2022-12-31	30		9,016	499
TC Energy Corporation	Executive Share Units	Gibbon, Joshua	7	2023-03-14	59			-2,368
TC Energy Corporation	Executive Share Units	Gibbon, Joshua	7	2023-03-14	59		6,648	-2,368
TC Energy Corporation	Executive Share Units	Grant, Greg	7	2022-12-31	30		38,317	2,124
TC Energy Corporation	Executive Share Units	Grant, Greg	7	2023-03-14	59			-21,108
TC Energy Corporation	Executive Share Units	Grant, Greg	7	2023-03-14	59		17,209	-21,108
TC Energy Corporation	Executive Share Units	Hartl, Gloria L.	5	2022-12-31	30		8,972	497
TC Energy Corporation	Executive Share Units	Hartl, Gloria L.	5	2023-03-14	59			-2,251
TC Energy Corporation	Executive Share Units	Hartl, Gloria L.	5	2023-03-14	59		6,721	-2,251
TC Energy Corporation	Executive Share Units	Hebert, Dennis	5	2022-12-31	30		11,880	657
TC Energy Corporation	Executive Share Units	Hebert, Dennis	5	2023-03-14	59			-4,227
TC Energy Corporation	Executive Share Units	Hebert, Dennis	5	2023-03-14	59		7,653	-4,227
TC Energy Corporation	Executive Share Units	Hessen, Corey	5	2022-12-31	30		29,839	1,651
TC Energy Corporation	Executive Share Units	Hessen, Corey	5	2023-03-14	59			-7,137
TC Energy Corporation	Executive Share Units	Hessen, Corey	5	2023-03-14	59		22,702	-7,137
TC Energy Corporation	Common Shares	Hunter, Joel E.	5	2023-03-15	10	51.218	12,500	4,000
TC Energy Corporation	Executive Share Units	Hunter, Joel E.	5	2022-12-31	30		40,960	2,264
TC Energy Corporation	Executive Share Units	Hunter, Joel E.	5	2023-03-14	59			-6,892
TC Energy Corporation	Executive Share Units	Hunter, Joel E.	5	2023-03-14	59		34,068	-6,892
TC Energy Corporation	Executive Share Units	Jalbert, Andrea E	7	2022-12-31	30		9,673	535
TC Energy Corporation	Executive Share Units	Jalbert, Andrea E	7	2023-03-14	59			-3,295
TC Energy Corporation	Executive Share Units	Jalbert, Andrea E	7	2023-03-14	59		6,378	-3,295

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
TC Energy Corporation	Executive Share Units	Johnson, Nancy Angenita	5	2022-12-31	30		8,230	456
TC Energy Corporation	Executive Share Units	Johnson, Nancy Angenita	5	2023-03-14	59			-2,343
TC Energy Corporation	Executive Share Units	Johnson, Nancy Angenita	5	2023-03-14	59		5,887	-2,343
TC Energy Corporation	Rights Deferred Share Units	Johnson, William Dean	4	2022-12-31	56		8,764	5,735
TC Energy Corporation	Rights Deferred Share Units	Johnson, William Dean	4	2022-12-31	30		9,043	279
TC Energy Corporation	Common Shares	Johnston, Christine R.	5	2023-03-15	10	51.59	4,191	28
TC Energy Corporation	Executive Share Units	Johnston, Christine R.	5	2022-12-31	30		12,728	705
TC Energy Corporation	Executive Share Units	Johnston, Christine R.	5	2023-03-14	59			-3,905
TC Energy Corporation	Executive Share Units	Johnston, Christine R.	5	2023-03-14	59		8,823	-3,905
TC Energy Corporation	Rights Deferred Share Units	JONES, SUSAN C.	4	2022-12-31	56		15,783	5,707
TC Energy Corporation	Rights Deferred Share Units	JONES, SUSAN C.	4	2022-12-31	30		16,454	671
TC Energy Corporation	Executive Share Units	Keys, Patrick M.	5	2022-12-31	30		51,558	2,853
TC Energy Corporation	Executive Share Units	Keys, Patrick M.	5	2023-03-14	59			-14,215
TC Energy Corporation	Executive Share Units	Keys, Patrick M.	5	2023-03-14	59		37,343	-14,215
TC Energy Corporation	Executive Share Units	Khayum, Omar	7	2022-12-31	30		11,484	634
TC Energy Corporation	Executive Share Units	Kinsey, Asheley	7	2022-12-31	30		15,476	855
TC Energy Corporation	Executive Share Units	Kirby, Sonya	7	2022-12-31	30		10,549	584
TC Energy Corporation	Executive Share Units	Kirby, Sonya	7	2023-03-14	59			-3,216
TC Energy Corporation	Executive Share Units	Kirby, Sonya	7	2023-03-14	59		7,333	-3,216
TC Energy Corporation	Executive Share Units	Kirstine, Jessica A.	7	2022-12-31	30		7,610	421
TC Energy Corporation	Executive Share Units	Kirstine, Jessica A.	7	2023-03-14	59		5,534	-2,076
TC Energy Corporation	Executive Share Units	Kothari, Meera	7	2022-12-31	30		6,393	353
TC Energy Corporation	Executive Share Units	Kothari, Meera	7	2023-03-14	59		4,693	-1,700
TC Energy Corporation	Common Shares	Krislock, Heather	7	2023-03-14	10	54	3,415	1,800
TC Energy Corporation	Executive Share Units	Krislock, Heather	7	2022-12-31	30		8,798	486
TC Energy Corporation	Executive Share Units	Krislock, Heather	7	2023-03-14	59		7,227	-1,571
TC Energy Corporation	Executive Share Units	Lindley, Colin	7	2022-03-01	00			
TC Energy Corporation	Executive Share Units	Lindley, Colin	7	2022-03-01	00		4,923	
TC Energy Corporation	Executive Share Units	Lindley, Colin	7	2022-12-31	30		4,227	233
TC Energy Corporation	Executive Share Units	Lindley, Colin	7	2023-03-14	59		2,962	-1,265
TC Energy Corporation	Rights Deferred Share Units	Lowe, John Edward	4	2022-12-31	56		30,171	3,254
TC Energy Corporation	Rights Deferred Share Units	Lowe, John Edward	4	2022-12-31	30		31,737	1,566
TC Energy Corporation	Executive Share Units	Mackay, Lindsay	7	2022-12-31	30		5,053	156
TC Energy Corporation	Executive Share Units	Mackay, Lindsay	7	2023-03-14	59		3,769	-1,284
TC Energy Corporation	Rights Deferred Share Units	MacNaughton, David B.	4	2022-12-31	56		13,853	5,669
TC Energy Corporation	Rights Deferred Share Units	MacNaughton, David B.	4	2022-12-31	30		14,419	566
TC Energy Corporation	Executive Share Units	Mahan, Russell	7	2022-12-31	30		9,643	534
TC Energy Corporation	Executive Share Units	Mahan, Russell	7	2023-03-14	59		6,466	-3,177
TC Energy Corporation	Executive Share Units	Marselle, Victoria C.	7	2022-12-31	30		8,623	477
TC Energy Corporation	Executive Share Units	Marselle, Victoria C.	7	2023-03-14	59		6,115	-2,508
TC Energy Corporation	Executive Share Units	Masud, Jawad	5	2022-12-31	30		15,657	866
TC Energy Corporation	Executive Share Units	Masud, Jawad	5	2023-03-14	59		11,903	-3,754
TC Energy Corporation	Executive Share Units	Medarhri, Rachid	7	2022-12-31	30		12,998	719
TC Energy Corporation	Executive Share Units	Moran, Millie S.	7	2022-12-31	30		8,314	460

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
TC Energy Corporation	Executive Share Units	Moran, Millie S.	7	2023-03-14	59		5,728	-2,586
TC Energy Corporation	Executive Share Units	Muttart, Patrick C.	5	2022-12-31	30		19,698	1,088
TC Energy Corporation	Executive Share Units	Muwanga, Roland	7	2022-12-31	30		7,395	409
TC Energy Corporation	Executive Share Units	Muwanga, Roland	7	2023-03-14	59		5,164	-2,231
TC Energy Corporation	Executive Share Units	O'Donnell, Daniel T.	7	2022-12-31	30		5,790	320
TC Energy Corporation	Executive Share Units	Palazzo, Marc	7	2022-12-31	30		10,154	564
TC Energy Corporation	Executive Share Units	Palazzo, Marc	7	2023-03-14	59		4,864	-5,290
TC Energy Corporation	Executive Share Units	Parks, James M.	7	2022-12-31	30		5,733	317
TC Energy Corporation	Executive Share Units	Parks, James M.	7	2023-03-14	59		4,363	-1,370
TC Energy Corporation	Executive Share Units	Pierce, Jennifer	7	2022-12-31	30		17,719	980
TC Energy Corporation	Executive Share Units	Pierce, Jennifer	7	2023-03-14	59		12,292	-5,427
TC Energy Corporation	Common Shares	Poirier, Francois Lionel	5	2014-04-14	00			
TC Energy Corporation	Common Shares	Poirier, Francois Lionel	5	2023-03-13	10	53.604	450	450
TC Energy Corporation	Common Shares	Poirier, Francois Lionel	5	2023-03-15	10	51.072	95,450	3,850
TC Energy Corporation	Executive Share Units	Poirier, Francois Lionel	5	2022-12-31	30		181,784	10,051
TC Energy Corporation	Executive Share Units	Poirier, Francois Lionel	5	2023-03-14	59		148,700	-33,084
TC Energy Corporation	Rights Deferred Share Units	Power, Una Marie	4	2022-12-31	56		21,698	6,390
TC Energy Corporation	Rights Deferred Share Units	Power, Una Marie	4	2022-12-31	30		22,675	977
TC Energy Corporation	Common Shares	Prior, Richard	7	2023-03-15	10	36.9	16,125	1,300
TC Energy Corporation	Executive Share Units	Prior, Richard	7	2022-12-31	30		19,296	1,067
TC Energy Corporation	Executive Share Units	Prior, Richard	7	2023-03-14	59		14,917	-4,379
TC Energy Corporation	Executive Share Units	Robles Castillo, Leonardo	7	2022-12-31	30		5,150	285
TC Energy Corporation	Executive Share Units	Robles Castillo, Leonardo	7	2023-03-14	59		3,733	-1,417
TC Energy Corporation	Executive Share Units	Romero, Gregory	7	2022-12-31	30		5,960	184
TC Energy Corporation	Restricted Share Units	Romero, Gregory	7	2022-12-31	30			207
TC Energy Corporation	Restricted Share Units	Romero, Gregory	7	2022-12-31	30		6,715	207
TC Energy Corporation	Rights Deferred Share Units	Salomone, Mary Patricia	4	2022-12-31	56		34,532	3,254
TC Energy Corporation	Rights Deferred Share Units	Salomone, Mary Patricia	4	2022-12-31	30		36,341	1,809
TC Energy Corporation	Executive Share Units	Salsman, Gary M.	7	2022-12-31	30		9,606	531
TC Energy Corporation	Executive Share Units	Salsman, Gary M.	7	2023-03-14	59		6,413	-3,193
TC Energy Corporation	Rights Deferred Share Units	Samarasekera, Indira Vasanti	4	2022-12-31	56		31,157	3,254
TC Energy Corporation	Rights Deferred Share Units	Samarasekera, Indira Vasanti	4	2022-12-31	30		32,778	1,621
TC Energy Corporation	Executive Share Units	Steeves, Terri	7	2022-12-31	30		9,046	500
TC Energy Corporation	Executive Share Units	Steeves, Terri	7	2023-03-14	59		5,816	-3,230
TC Energy Corporation	Executive Share Units	Stevens, Rosemary K.	7	2022-12-31	30		5,395	299
TC Energy Corporation	Executive Share Units	Stevens, Rosemary K.	7	2023-03-14	59		4,069	-1,326
TC Energy Corporation	Executive Share Units	Sunderji, Fareen	7	2022-12-31	30		7,355	408
TC Energy Corporation	Executive Share Units	Sunderji, Fareen	7	2023-03-14	59		5,009	-2,346
TC Energy Corporation	Executive Share Units	Tally, Troy	7	2022-12-31	30		6,719	371
TC Energy Corporation	Executive Share Units	Tally, Troy	7	2023-03-14	59		4,841	-1,878
TC Energy Corporation	Executive Share Units	Tomkins, Sharon L.	7	2022-06-29	56			5,369
TC Energy Corporation	Executive Share Units	Tomkins, Sharon L.	7	2022-06-29	56		5,396	5,396
TC Energy Corporation	Executive Share Units	Tomkins, Sharon L.	7	2022-12-31	30		5,639	243
TC Energy Corporation	Executive Share Units	Trout, Blaine M.	7	2022-12-31	30		5,095	158
TC Energy Corporation	Executive Share Units	Trout, Blaine M.	7	2023-03-14	59		3,793	-1,302
TC Energy Corporation	Rights Deferred Share Units	Vanaselja, Siim A.	4	2022-12-31	56		78,440	10,747

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
TC Energy Corporation	Rights Deferred Share Units	Vanaselja, Siim A.	4	2022-12-31	30		82,427	3,987
TC Energy Corporation	Rights Deferred Share Units	vandal, thierry	4	2022-12-31	56		32,905	6,141
TC Energy Corporation	Rights Deferred Share Units	vandal, thierry	4	2022-12-31	30		34,517	1,612
TC Energy Corporation	Rights Deferred Share Units	Verma, Dheeraj	4	2022-04-29	00			
TC Energy Corporation	Rights Deferred Share Units	Verma, Dheeraj	4	2022-12-31	56		4,160	4,160
TC Energy Corporation	Rights Deferred Share Units	Verma, Dheeraj	4	2022-12-31	30		4,210	50
TC Energy Corporation	Executive Share Units	White, Jay J.	7	2022-12-31	30		9,644	534
TC Energy Corporation	Executive Share Units	White, Jay J.	7	2023-03-14	59		6,643	-3,001
TC Energy Corporation	Executive Share Units	Wirzba, Bevin Mark	5	2022-12-31	30		56,434	3,122
TC Energy Corporation	Executive Share Units	Wirzba, Bevin Mark	5	2023-03-14	59		42,793	-13,641
TC Energy Corporation	Executive Share Units	Wrathall, Jonathan E.	5	2022-12-31	30		5,423	299
TC Energy Corporation	Executive Share Units	Wrathall, Jonathan E.	5	2023-03-14	59		4,362	-1,061
TC Energy Corporation	Executive Share Units	Wylie, Gavin E.	7	2022-12-31	30		2,192	121
TC Energy Corporation	Executive Share Units	Yeager, Danika	7	2022-12-31	30		2,518	78
TC Energy Corporation	Executive Share Units	Yeomans, Mark	7	2022-12-31	30		9,527	528
TC Energy Corporation	Executive Share Units	Yeomans, Mark	7	2023-03-14	59		6,407	-3,120
TDG Gold Corp. (Formerly: Kismet Resources Corp.)	Common Shares	Kosowan, Michael	4	2023-03-09	10	0.3	8,073,600	24,000
TDG Gold Corp. (Formerly: Kismet Resources Corp.)	Common Shares	Kosowan, Michael	4	2023-03-09	10	0.325	8,098,600	25,000
TDG Gold Corp. (Formerly: Kismet Resources Corp.)	Common Shares	Kosowan, Michael	4	2023-03-13	10	0.3	8,129,600	31,000
TDG Gold Corp. (Formerly: Kismet Resources Corp.)	Common Shares	Kosowan, Michael	4	2023-03-15	10	0.33	8,146,100	16,500
TDG Gold Corp. (Formerly: Kismet Resources Corp.)	Common Shares	Kosowan, Michael	4	2023-03-16	10	0.33	8,181,500	35,400
TDG Gold Corp. (Formerly: Kismet Resources Corp.)	Common Shares	Kosowan, Michael	4	2023-03-16	10	0.335	8,195,000	13,500
TDG Gold Corp. (Formerly: Kismet Resources Corp.)	Common Shares	O'Brien, Daniel	5	2023-03-14	10	0.32	206,250	6,250
Teck Resources Limited	Class B Subordinate Voting Shares	Foley, Real	5	2022-01-05	30	36.48	11,335	12
Teck Resources Limited	Class B Subordinate Voting Shares	Foley, Real	5	2022-01-05	30	36.48	11,339	4
Teck Resources Limited	Class B Subordinate Voting Shares	Foley, Real	5	2022-04-06	30	51.5	11,373	34
Teck Resources Limited	Class B Subordinate Voting Shares	Foley, Real	5	2022-04-06	30	51.5	11,476	103
Teck Resources Limited	Class B Subordinate Voting Shares	Foley, Real	5	2022-07-06	30	38.29	11,504	28
Teck Resources Limited	Class B Subordinate Voting Shares	Foley, Real	5	2022-07-06	30	38.29	11,514	10
Teck Resources Limited	Class B Subordinate Voting Shares	Foley, Real	5	2022-10-04	30	41.47	11,523	9
Teck Resources Limited	Class B Subordinate Voting Shares	Foley, Real	5	2022-10-04	30	41.47	11,549	26
Teck Resources Limited	Class B Subordinate Voting Shares	Murray, Sheila A.	4	2023-03-13	10	49.502	10,000	2,140

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Tecsys Inc.	Common Shares	Brereton, David	3, 4, 5	2023-03-15	10	27.365		-6,300
Tecsys Inc.	Common Shares	Brereton, David	3, 4, 5	2023-03-15	10	27.365	1,050,872	-6,300
Tecsys Inc.	Common Shares	Brereton, David	3, 4, 5	2023-03-16	10	27.094	974,614	-76,258
Tecsys Inc.	Common Shares	Brereton, David	3, 4, 5	2023-03-17	10	28	973,914	-700
TELUS Corporation	Common Shares	Entwistle, Darren	4, 5	2023-03-13	10	26.579	551,998	150,400
TELUS Corporation	Common Shares	Entwistle, Darren	4, 5	2023-03-13	30		556,794	4,796
TELUS Corporation	Common Shares	Entwistle, Darren	4, 5	2023-03-13	30		556,904	110
TELUS International (Cda) Inc.	Rights Restricted Share Units	Pardee, Maria	5	2022-05-20	57	23.75	31,809	-4,286
TELUS International (Cda) Inc.	Subordinate Voting Shares	Pardee, Maria	5	2021-02-24	00			
TELUS International (Cda) Inc.	Subordinate Voting Shares	Pardee, Maria	5	2022-05-20	57	23.75	4,286	4,286
TELUS International (Cda) Inc.	Subordinate Voting Shares	Pardee, Maria	5	2022-05-20	10	23.75	3,117	-1,169
Temas Resources Corp.	Common Shares	Hardy, Samuel Anthony Kyler	4	2022-09-29	11	0.075	1,345,223	900,000
Temas Resources Corp.	Common Shares	Robinson, David	4	2022-09-29	11	0.075	542,700	-900,000
Tethys Petroleum Limited	Common Shares	Tethys Petroleum	1	2023-03-10	10	0.75	18,805	2,145
Tethys Petroleum Limited	Common Shares	Tethys Petroleum	1	2023-03-13	10	0.75	21,450	2,645
Tethys Petroleum Limited	Common Shares	Tethys Petroleum	1	2023-03-14	10	0.75	24,095	2,645
Tethys Petroleum Limited	Common Shares	Tethys Petroleum	1	2023-03-15	10	0.743	26,240	2,145
Tethys Petroleum Limited	Common Shares	Tethys Petroleum	1	2023-03-16	10	0.72	28,885	2,645
The BC Bud Corporation (formerly Entheos Capital Corp.)	Common Shares	Mohamedani, Karim	3	2023-03-14	10	0.165	4,179,500	30,000
The BC Bud Corporation (formerly Entheos Capital Corp.)	Common Shares	Mohamedani, Karim	3	2023-03-16	10	0.17	4,199,500	20,000
The BC Bud Corporation (formerly Entheos Capital Corp.)	Common Shares	Mohamedani, Karim	3	2023-03-17	10	0.16	4,239,500	40,000
The BC Bud Corporation (formerly Entheos Capital Corp.)	Common Shares	Sutton, Brayden Robert	4	2023-03-15	10	0.155	14,630,833	78,000
The BC Bud Corporation (formerly Entheos Capital Corp.)	Common Shares	Taylor, Brian	4	2022-06-13	00			
The BC Bud Corporation (formerly Entheos Capital Corp.)	Common Shares	Taylor, Brian	4	2022-09-29	36	0.21	131,250	131,250
The BC Bud Corporation (formerly Entheos Capital Corp.)	Common Shares	Taylor, Brian	4	2023-02-28	46	0.12	231,250	100,000
The BC Bud Corporation (formerly Entheos Capital Corp.)	Options	Taylor, Brian	4	2022-06-13	00		100,000	
The BC Bud Corporation (formerly Entheos Capital Corp.)	Options	Taylor, Brian	4	2023-02-03	50		350,000	250,000
The BC Bud Corporation (formerly Entheos Capital Corp.)	Restricted Share Units	Taylor, Brian	4	2022-06-13	00		262,500	
The BC Bud Corporation (formerly Entheos Capital Corp.)	Restricted Share Units	Taylor, Brian	4	2022-09-22	36		131,250	-131,250

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
The Descartes Systems Group Inc.	Common Shares	Brett, Allan	5	2023-03-16	51	24.79	47,205	12,205
The Descartes Systems Group Inc.	Common Shares	Brett, Allan	5	2023-03-16	10	105.16	35,000	-12,205
The Descartes Systems Group Inc.	Options	Brett, Allan	5	2023-03-16	51	24.79	86,877	-12,205
The Gummy Project Inc.	Common Shares	Gindin, Anthony	4	2022-11-04	38		339,467	-3,055,199
The Gummy Project Inc.	Common Shares	Gindin, Anthony	4	2023-01-10	10	0.04	315,467	-24,000
The Gummy Project Inc.	Common Shares	Gindin, Anthony	4	2023-01-13	10	0.035		-24,000
The Gummy Project Inc.	Common Shares	Gindin, Anthony	4	2023-01-13	10	0.035		-24,000
The Gummy Project Inc.	Common Shares	Gindin, Anthony	4	2023-01-13	10	0.04	306,944	-8,523
The Gummy Project Inc.	Common Shares	Gindin, Anthony	4	2023-01-17	10	0.04		-8,523
The Gummy Project Inc.	Common Shares	Gindin, Anthony	4	2023-01-17	10	0.04		-8,523
The Gummy Project Inc.	Common Shares	Gindin, Anthony	4	2023-01-19	10	0.03	0	-298,421
The Gummy Project Inc.	Warrants	Gindin, Anthony	4	2022-11-04	37		40,000	-360,000
The Gummy Project Inc.	Common Shares	Payment, Robert	5	2022-11-04	37			-1,512,000
The Gummy Project Inc.	Common Shares	Payment, Robert	5	2022-11-04	37		177,700	-1,599,300
The Gummy Project Inc.	Common Shares	Payment, Robert	5	2023-03-10	10	0.02		-177,000
The Gummy Project Inc.	Common Shares	Payment, Robert	5	2023-03-10	10	0.02	0	-177,700
The Well Told Company Inc.	Common Shares	Brault, Fabien	5	2023-03-10	10	0.015	411,672	-86,700
Thinkific Labs Inc.	Rights Deferred Share Units (DSU) May be cash settled	Kalemba, Melanie Gray	4	2023-03-14	56		59,346	13,193
Thinkific Labs Inc.	Rights Deferred Share Units (DSU) May be cash settled	May, Katie	4	2023-03-14	56		94,774	13,691
Thinkific Labs Inc.	Rights Deferred Share Units (DSU) May be cash settled	Nussey, Brandon Blair	4	2023-03-14	56		97,022	13,940
Thinkific Labs Inc.	Subordinate Voting Shares	Smith, Greg Ryan	3, 4, 5	2023-03-13	10	2.626	33,600	-2,500
Thinkific Labs Inc.	Subordinate Voting Shares	Smith, Greg Ryan	3, 4, 5	2023-03-13	10	2.643	31,100	-2,500
Thinkific Labs Inc.	Subordinate Voting Shares	Smith, Greg Ryan	3, 4, 5	2023-03-14	10	2.62	26,100	-5,000
Thomson Reuters Corporation	Common Shares	Beastrom, Elizabeth	5	2023-03-10	57		9,034	2,153
Thomson Reuters Corporation	Restricted Share Units	Beastrom, Elizabeth	5	2023-03-10	57		16,731	-3,117
Thomson Reuters Corporation	Restricted Share Units	Beastrom, Elizabeth	5	2023-03-10	38		16,461	-270
Thomson Reuters Corporation	Common Shares	Cannizzaro, Sean	7	2023-03-10	57		2,040	538
Thomson Reuters Corporation	Common Shares	Cannizzaro, Sean	7	2023-03-13	10	119.68	1,390	-650
Thomson Reuters Corporation	Common Shares	Cannizzaro, Sean	7	2023-03-13	10	119.64	1,190	-200
Thomson Reuters Corporation	Common Shares	Cannizzaro, Sean	7	2023-03-13	10	119.66	1,140	-50

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Thomson Reuters Corporation	Common Shares	Cannizzaro, Sean	7	2023-03-13	10	119.76	1,040	-100
Thomson Reuters Corporation	Common Shares	Cannizzaro, Sean	7	2023-03-13	10	119.76	940	-100
Thomson Reuters Corporation	Deferred Share Units	Cannizzaro, Sean	7	2023-03-10	57		1,748	501
Thomson Reuters Corporation	Restricted Share Units	Cannizzaro, Sean	7	2023-03-10	57		4,300	-791
Thomson Reuters Corporation	Restricted Share Units	Cannizzaro, Sean	7	2023-03-10	38		4,232	-68
Thomson Reuters Corporation	Common Shares	Chalmers, Alison	7	2023-03-10	57		127	47
Thomson Reuters Corporation	Restricted Share Units	Chalmers, Alison	7	2023-03-10	57		159	-79
Thomson Reuters Corporation	Common Shares	Eastwood, Michael Keith	5	2023-03-10	57		24,002	8,625
Thomson Reuters Corporation	Restricted Share Units	Eastwood, Michael Keith	5	2023-03-10	57		38,419	-15,389
Thomson Reuters Corporation	Restricted Share Units	Eastwood, Michael Keith	5	2023-03-10	38		37,082	-1,337
Thomson Reuters Corporation	Common Shares	Elliott-Stevens, Jimma	7	2023-03-08	00			
Thomson Reuters Corporation	Common Shares	Elliott-Stevens, Jimma	7	2023-03-10	57		1,425	1,425
Thomson Reuters Corporation	Restricted Share Units	Elliott-Stevens, Jimma	7	2023-03-10	57		5,183	-2,351
Thomson Reuters Corporation	Restricted Share Units	Elliott-Stevens, Jimma	7	2023-03-10	38		5,034	-149
Thomson Reuters Corporation	Common Shares	Escaravage, Jason	7	2023-03-08	00		679	
Thomson Reuters Corporation	Common Shares	Escaravage, Jason	7	2023-03-10	57		3,544	2,865
Thomson Reuters Corporation	Options	Escaravage, Jason	7	2023-03-08	00		9,916	
Thomson Reuters Corporation	Restricted Share Units	Escaravage, Jason	7	2023-03-08	00		34,623	
Thomson Reuters Corporation	Restricted Share Units	Escaravage, Jason	7	2023-03-10	57		28,879	-5,744
Thomson Reuters Corporation	Restricted Share Units	Escaravage, Jason	7	2023-03-10	38		28,588	-291
Thomson Reuters Corporation	Common Shares	Firlit, Brian	7	2023-03-08	00		217	
Thomson Reuters Corporation	Common Shares	Firlit, Brian	7	2023-03-10	57		834	617
Thomson Reuters Corporation	Restricted Share Units	Firlit, Brian	7	2023-03-08	00		3,294	
Thomson Reuters Corporation	Restricted Share Units	Firlit, Brian	7	2023-03-10	57		2,184	-1,110
Thomson Reuters Corporation	Restricted Share Units	Firlit, Brian	7	2023-03-10	38		2,122	-62
Thomson Reuters Corporation	Common Shares	Fischer, Paul	7	2023-03-10	57		23,603	3,086
Thomson Reuters Corporation	Restricted Share Units	Fischer, Paul	7	2023-03-10	57		24,836	-5,170
Thomson Reuters Corporation	Restricted Share Units	Fischer, Paul	7	2023-03-10	38		24,599	-237
Thomson Reuters Corporation	Common Shares	Gray, Andrew	7	2023-03-08	00		496	
Thomson Reuters Corporation	Common Shares	Hasker, Stephen John	4, 5	2023-03-10	57		29,580	29,580
Thomson Reuters Corporation	Restricted Share Units	Hasker, Stephen John	4, 5	2023-03-10	57		151,010	-60,642

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Thomson Reuters Corporation	Restricted Share Units	Hasker, Stephen John	4, 5	2023-03-10	38		145,738	-5,272
Thomson Reuters Corporation	Common Shares	Hendrikx, Kerry	7	2023-03-08	00			
Thomson Reuters Corporation	Common Shares	Hendrikx, Kerry	7	2023-03-10	57		364	364
Thomson Reuters Corporation	Restricted Share Units	Hendrikx, Kerry	7	2023-03-10	57		6,785	-567
Thomson Reuters Corporation	Common Shares	Hindt, David	5	2023-03-10	57		3,581	615
Thomson Reuters Corporation	Restricted Share Units	Hindt, David	5	2023-03-10	57		3,568	-889
Thomson Reuters Corporation	Restricted Share Units	Hindt, David	5	2023-03-10	38		3,528	-40
Thomson Reuters Corporation	Common Shares	Hirsh, Karen L.	7	2023-03-10	57		9,259	873
Thomson Reuters Corporation	Restricted Share Units	Hirsh, Karen L.	7	2023-03-10	57		3,451	-1,369
Thomson Reuters Corporation	Restricted Share Units	Hirsh, Karen L.	7	2023-03-10	38		3,379	-72
Thomson Reuters Corporation	Common Shares	Kim, Thomas Sang	5	2023-03-10	57		16,348	3,581
Thomson Reuters Corporation	Common Shares	Kim, Thomas Sang	5	2023-03-14	51	75.96	21,131	4,783
Thomson Reuters Corporation	Common Shares	Kim, Thomas Sang	5	2023-03-14	51	88.87	22,539	1,408
Thomson Reuters Corporation	Common Shares	Kim, Thomas Sang	5	2023-03-14	51	102	22,808	269
Thomson Reuters Corporation	Options	Kim, Thomas Sang	5	2023-03-14	51	75.96	47,314	-22,398
Thomson Reuters Corporation	Options	Kim, Thomas Sang	5	2023-03-14	51	88.87	38,318	-8,996
Thomson Reuters Corporation	Options	Kim, Thomas Sang	5	2023-03-14	51	102	35,031	-3,287
Thomson Reuters Corporation	Restricted Share Units	Kim, Thomas Sang	5	2023-03-10	57		19,547	-7,708
Thomson Reuters Corporation	Restricted Share Units	Kim, Thomas Sang	5	2023-03-10	38		18,878	-669
Thomson Reuters Corporation	Common Shares	Larson, David	7	2023-03-08	00			
Thomson Reuters Corporation	Common Shares	Larson, David	7	2023-03-10	57		1,393	1,393
Thomson Reuters Corporation	Common Shares	Larson, David	7	2023-03-13	51	88.87	3,817	2,424
Thomson Reuters Corporation	Common Shares	Larson, David	7	2023-03-13	51	102	4,653	836
Thomson Reuters Corporation	Common Shares	Larson, David	7	2023-03-13	10	121.61	1,393	-3,260
Thomson Reuters Corporation	Common Shares	Larson, David	7	2023-03-13	10	121.4	0	-1,393
Thomson Reuters Corporation	Options	Larson, David	7	2023-03-08	00		11,806	
Thomson Reuters Corporation	Options	Larson, David	7	2023-03-13	51	88.87	9,382	-2,424
Thomson Reuters Corporation	Options	Larson, David	7	2023-03-13	51	102	8,546	-836
Thomson Reuters Corporation	Restricted Share Units	Larson, David	7	2023-03-08	00		8,554	
Thomson Reuters Corporation	Restricted Share Units	Larson, David	7	2023-03-10	57		5,810	-2,744
Thomson Reuters Corporation	Restricted Share Units	Larson, David	7	2023-03-10	38		5,636	-174

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Thomson Reuters Corporation	Common Shares	O'Hagan, Heather Anne	7	2023-03-10	57		2,572	638
Thomson Reuters Corporation	Restricted Share Units	O'Hagan, Heather Anne	7	2023-03-10	57		2,203	-1,375
Thomson Reuters Corporation	Restricted Share Units	O'Hagan, Heather Anne	7	2023-03-10	38		2,129	-74
Thomson Reuters Corporation	Common Shares	Peccarelli, Brian S.	7, 5	2023-03-10	57		19,052	8,721
Thomson Reuters Corporation	Restricted Share Units	Peccarelli, Brian S.	7, 5	2023-03-10	57		134,980	-14,381
Thomson Reuters Corporation	Restricted Share Units	Peccarelli, Brian S.	7, 5	2023-03-10	38		133,730	-1,250
Thomson Reuters Corporation	Restricted Share Units	Perez, Pablo	7	2023-03-08	00		766	
Thomson Reuters Corporation	Common Shares	Ramseyer, Abigail	7	2023-03-10	57		692	251
Thomson Reuters Corporation	Restricted Share Units	Ramseyer, Abigail	7	2023-03-10	57		0	-268
Thomson Reuters Corporation	Common Shares	Sharma, Kriti	7	2023-03-08	00			
Thomson Reuters Corporation	Common Shares	Sharma, Kriti	7	2023-03-10	57		308	308
Thomson Reuters Corporation	Restricted Share Units	Sharma, Kriti	7	2023-03-10	57		24,522	-409
Thomson Reuters Corporation	Common Shares	Vuicic, Mary Alice	5	2023-03-10	57		27,094	3,606
Thomson Reuters Corporation	Restricted Share Units	Vuicic, Mary Alice	5	2023-03-10	57		21,729	-7,763
Thomson Reuters Corporation	Restricted Share Units	Vuicic, Mary Alice	5	2023-03-10	38		21,055	-674
Thomson Reuters Corporation	Common Shares	Walker, Linda	7, 5	2023-03-10	57		8,844	4,743
Thomson Reuters Corporation	Restricted Share Units	Walker, Linda	7, 5	2023-03-10	57		14,735	-7,507
Thomson Reuters Corporation	Restricted Share Units	Walker, Linda	7, 5	2023-03-10	38		14,311	-424
ThreeD Capital Inc.	Options	Feldman, Gerald Morris	4	2023-03-17	52		250,000	-26,915
ThreeD Capital Inc.	Options	Inwentash, Sheldon	4, 6, 5	2023-03-13	52		3,472,220	-500,000
ThreeD Capital Inc.	Options	MYERS, ALAN	4	2023-03-01	52	1.92	100,000	-20,834
ThreeD Capital Inc.	Common Shares	ThreeD Capital Inc.	1	2023-03-13	10	0.435	4,500	4,500
ThreeD Capital Inc.	Common Shares	ThreeD Capital Inc.	1	2023-03-15	10	0.3909	19,000	14,500
Tiidal Gaming Group Corp. (formerly, GTA Financecorp Inc.)	Common Shares	Goldenberg, Zachary	4, 7	2023-03-15	10	0.09	7,590,460	160,000
Tiidal Gaming Group Corp. (formerly, GTA Financecorp Inc.)	Common Shares	Goldenberg, Zachary	4, 7	2023-03-15	10	0.09	1,150,000	50,000
Tiidal Gaming Group Corp. (formerly, GTA Financecorp Inc.)	Common Shares	Goldenberg, Zachary	4, 7	2023-03-16	10	0.09	1,250,000	100,000
Tiidal Gaming Group Corp. (formerly, GTA Financecorp Inc.)	Common Shares	Goldenberg, Zachary	4, 7	2021-11-09	00			
Tiidal Gaming Group Corp. (formerly, GTA Financecorp Inc.)	Common Shares	Goldenberg, Zachary	4, 7	2023-03-15	10	0.09	125,000	125,000
Tiidal Gaming Group Corp. (formerly, GTA Financecorp Inc.)	Options	Goldenberg, Zachary	4, 7	2023-03-15	38		0	-622,021
Tiidal Gaming Group Corp. (formerly, GTA Financecorp Inc.)	Options	Goldenberg, Zachary	4, 7	2023-03-15	38		0	-250,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Timbercreek Financial Corp.	Common Shares	Robinson, Deborah Wallis	4	2023-03-15	10	7.91	19,900	3,000
TMX Group Limited	Common Shares	Anastasopoulos, Elias	7	2022-12-31	30	131.78	1,043	136
TMX Group Limited	Rights Performance Share Units	Anastasopoulos, Elias	7	2022-12-31	56	135.63	2,863	69
TMX Group Limited	Rights Restricted Share Units	Anastasopoulos, Elias	7	2022-12-31	56	135.63	1,934	47
TMX Group Limited	Common Shares	Arnold, David	5	2022-12-31	30	131.78	504	340
TMX Group Limited	Rights Deferred Share Units	Arnold, David	5	2022-12-31	56	135.63	1,506	36
TMX Group Limited	Rights Performance Share Units	Arnold, David	5	2022-12-31	56	135.63	2,521	61
TMX Group Limited	Rights Restricted Share Units	Arnold, David	5	2022-12-31	56	135.63	4,258	102
TMX Group Limited	Common Shares	Bush, Cindy	5	2022-12-31	30	131.78	142	115
TMX Group Limited	Rights Performance Share Units	Bush, Cindy	5	2022-12-31	56	135.63	2,234	54
TMX Group Limited	Rights Restricted Share Units	Bush, Cindy	5	2022-12-31	56	135.63	2,293	55
TMX Group Limited	Common Shares	Conroy, Peter Alexander	7	2022-12-31	30	131.78	760	132
TMX Group Limited	Rights Performance Share Units	Conroy, Peter Alexander	7	2022-12-31	56	135.63	3,387	82
TMX Group Limited	Rights Restricted Share Units	Conroy, Peter Alexander	7	2022-12-31	56	135.63	3,098	75
TMX Group Limited	Common Shares	Fortin, Luc	5	2022-12-31	30	131.78	659	106
TMX Group Limited	Rights Performance Share Units	Fortin, Luc	5	2022-12-31	56	135.63	5,619	136
TMX Group Limited	Rights Restricted Share Units	Fortin, Luc	5	2022-12-31	56	135.63	3,903	94
TMX Group Limited	Common Shares	Graden, Cheryl	5	2022-12-31	30	131.78	615	99
TMX Group Limited	Rights Deferred Share Units	Graden, Cheryl	5	2022-12-31	56	135.63	4,567	110
TMX Group Limited	Rights Performance Share Units	Graden, Cheryl	5	2022-12-31	56	135.63	3,591	87
TMX Group Limited	Rights Restricted Share Units	Graden, Cheryl	5	2022-12-31	56	135.63	3,382	82
TMX Group Limited	Common Shares	McKenzie, John	5	2022-12-31	30	131.78	4,222	509
TMX Group Limited	Rights Deferred Share Units	McKenzie, John	5	2022-12-31	56	135.63	16,123	389
TMX Group Limited	Rights Performance Share Units	McKenzie, John	5	2022-12-31	56	135.63	12,774	308
TMX Group Limited	Rights Restricted Share Units	McKenzie, John	5	2022-12-31	56	135.63	11,034	266
TMX Group Limited	Common Shares	Rajarathinam, Jayakumar	5	2022-12-31	30	131.78	2,009	315
TMX Group Limited	Rights Deferred Share Units	Rajarathinam, Jayakumar	5	2022-12-31	56	135.63	1,757	42
TMX Group Limited	Rights Performance Share Units	Rajarathinam, Jayakumar	5	2022-12-31	56	135.63	6,702	162
TMX Group Limited	Rights Restricted Share Units	Rajarathinam, Jayakumar	5	2022-12-31	56	135.63	4,641	112
Topicus.com Inc.	Subordinate Voting Shares	Anzarouth, Bernard	4	2023-03-13	10	90	258,474	-1,900
Topicus.com Inc.	Subordinate Voting Shares	Curak, Nikola	7	2023-03-01	10	87.566		1,375
Topicus.com Inc.	Subordinate Voting Shares	Curak, Nikola	7	2023-03-01	30	87.566		1,375
Topicus.com Inc.	Subordinate Voting Shares	Curak, Nikola	7	2023-03-14	30	87.57	3,736	1,376

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Topicus.com Inc.	Subordinate Voting Shares	van Poelje, Robin	6	2023-03-15	30	87.57	33,778	7,712
Toromont Industries Ltd.	Options	Cochrane, Jennifer	5	2023-03-06	50		144,226	3,452
Toromont Industries Ltd.	Performance Share Units	Cochrane, Jennifer	5	2023-03-06	56		1,503	743
Toromont Industries Ltd.	Executive Deferred Share Units	Couture, Joel Alain	7	2023-03-06	56		2,987	1,620
Toromont Industries Ltd.	Options	Couture, Joel Alain	7	2023-03-06	50		30,748	7,531
Toromont Industries Ltd.	Rights Deferred Share Units (cash settled)	Couture, Joel Alain	7	2023-03-06	56		1,537	733
Toromont Industries Ltd.	Options	Cuddy, Mike	5	2023-03-06	50		255,688	9,144
Toromont Industries Ltd.	Performance Share Units	Cuddy, Mike	5	2023-03-06	56		3,980	1,967
Toromont Industries Ltd.	Options	Gregg, Miles Sean Ryan	5	2023-03-06	50		62,521	7,753
Toromont Industries Ltd.	Performance Share Units	Gregg, Miles Sean Ryan	5	2023-03-06	56		3,196	1,668
Toromont Industries Ltd.	Options	Harvey, William John	7	2023-03-06	50		46,122	6,568
Toromont Industries Ltd.	Performance Share Units	Harvey, William John	7	2023-03-06	56		2,860	1,413
Toromont Industries Ltd.	Options	Korbak, Lynn Margaret	5	2023-03-06	50		42,337	3,156
Toromont Industries Ltd.	Performance Share Units	Korbak, Lynn Margaret	5	2023-03-06	56		1,235	679
Toromont Industries Ltd.	Common Shares	Malinauskas, David Allan	7	2023-03-08	10	112.25	5,692	-100
Toromont Industries Ltd.	Common Shares	Malinauskas, David Allan	7	2023-03-08	10	112.27	5,392	-300
Toromont Industries Ltd.	Common Shares	Malinauskas, David Allan	7	2023-03-08	10	112.28	4,592	-800
Toromont Industries Ltd.	Common Shares	Malinauskas, David Allan	7	2023-03-08	10	112.29	4,092	-500
Toromont Industries Ltd.	Common Shares	Malinauskas, David Allan	7	2023-03-08	10	112.32	3,692	-400
Toromont Industries Ltd.	Common Shares	Malinauskas, David Allan	7	2023-03-08	10	112.33	2,992	-700
Toromont Industries Ltd.	Common Shares	Malinauskas, David Allan	7	2023-03-08	10	112.36	2,592	-400
Toromont Industries Ltd.	Common Shares	Malinauskas, David Allan	7	2023-03-08	10	112.38	2,092	-500
Toromont Industries Ltd.	Common Shares	Malinauskas, David Allan	7	2023-03-08	10	112.39	1,492	-600
Toromont Industries Ltd.	Common Shares	Malinauskas, David Allan	7	2023-03-08	10	112.4	1,392	-100
Toromont Industries Ltd.	Common Shares	Malinauskas, David Allan	7	2023-03-08	10	112.42	1,092	-300
Toromont Industries Ltd.	Common Shares	Malinauskas, David Allan	7	2023-03-08	10	112.43	892	-200
Toromont Industries Ltd.	Common Shares	Malinauskas, David Allan	7	2023-03-08	10	112.46	692	-200
Toromont Industries Ltd.	Common Shares	Malinauskas, David Allan	7	2023-03-08	10	112.47	592	-100
Toromont Industries Ltd.	Common Shares	Malinauskas, David Allan	7	2023-03-08	10	112.52	492	-100
Toromont Industries Ltd.	Common Shares	Malinauskas, David Allan	7	2023-03-08	10	112.57	100	-392
Toromont Industries Ltd.	Common Shares	Malinauskas, David Allan	7	2023-03-08	10	112.58	0	-100

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Toromont Industries Ltd.	Options	Malinauskas, David Allan	7	2023-03-06	50		69,180	5,731
Toromont Industries Ltd.	Performance Share Units	Malinauskas, David Allan	7	2023-03-06	56		2,495	1,233
Toromont Industries Ltd.	Executive Deferred Share Units	McMillan, Michael Stanley Howie	5	2023-03-06	56		5,457	2,695
Toromont Industries Ltd.	Options	McMillan, Michael Stanley Howie	5	2023-03-06	50		107,245	12,526
Toromont Industries Ltd.	Rights Deferred Share Units (cash settled)	McMillan, Michael Stanley Howie	5	2023-03-06	56		10,037	3,088
Toromont Industries Ltd.	Options	Medhurst, Scott	4, 5	2023-03-06	50		234,189	24,847
Toromont Industries Ltd.	Performance Share Units	Medhurst, Scott	4, 5	2023-03-06	56		10,835	5,346
Torq Resources Inc.	Common Shares	Cook, Steven Mark	4	2023-03-10	16	0.6	831,300	83,300
Torq Resources Inc.	Warrants	Cook, Steven Mark	4	2011-08-12	00			
Torq Resources Inc.	Warrants	Cook, Steven Mark	4	2023-03-10	53		41,650	41,650
Torq Resources Inc.	Common Shares	Turgeon, Marie-Helene	4	2021-11-24	00			
Torq Resources Inc.	Common Shares	Turgeon, Marie-Helene	4	2023-03-09	16	0.6	47,100	47,100
Torq Resources Inc.	Warrants	Turgeon, Marie-Helene	4	2021-11-24	00			
Torq Resources Inc.	Warrants	Turgeon, Marie-Helene	4	2023-03-09	16	0.8	23,550	23,550
TORR METALS INC.	Common Shares	Williamson, John	4, 5	2023-03-15	10	0.065	605,104	23,000
TORR METALS INC.	Common Shares	Williamson, John	4, 5	2023-03-16	10	0.065	607,104	2,000
Total Energy Services Inc.	Common Shares	Halyk, Daniel Kim	4, 5	2023-03-13	10	8.3	640,000	5,000
Total Energy Services Inc.	Common Shares	Halyk, Daniel Kim	4, 5	2023-03-17	10	8	645,000	5,000
Total Energy Services Inc.	Common Shares	Halyk, Daniel Kim	4, 5	2023-03-15	10	7.9	1,910,000	5,000
Total Telcom Inc.	Common Shares	Allen, SCOTT	4	2023-03-14	10	0.2	1,047,100	-50,000
Toubani Resources Inc.	Options	Callow, Daniel John	5	2023-03-16	52		1,666,666	-333,333
Tourmaline Oil Corp.	Common Shares	Rose, Mike	5	2023-03-13	10	55.699	8,688,872	5,000
Tourmaline Oil Corp.	Common Shares	Rose, Mike	5	2023-03-15	10	53.892	8,693,872	5,000
Traction Uranium Corp.	Common Shares	Chu, Linglin	4	2023-02-07	36			289,855
Traction Uranium Corp.	Common Shares	Chu, Linglin	4	2023-02-07	36			289,855
Traction Uranium Corp.	Restricted Share Units	Chu, Linglin	4	2022-09-09	00			
Traction Uranium Corp.	Restricted Share Units	Chu, Linglin	4	2022-09-09	00			
Traction Uranium Corp.	Restricted Share Units	Chu, Linglin	4	2022-10-06	30		289,855	289,855
Traction Uranium Corp.	Restricted Share Units	Chu, Linglin	4	2023-02-07	36			-289,855
Traction Uranium Corp.	Restricted Share Units	Chu, Linglin	4	2023-02-07	36			-289,855
TransAlta Corporation	DSU (Deferred Share Units)	Ambrose, Ronalee Hope	4	2023-03-16	56	11.29	67,893	2,159
TransAlta Corporation	Common Shares	Dielwart, John Patrick	4	2023-03-16	30	11.28	34,630	2,551
TransAlta Corporation	DSU (Deferred Share Units)	Dielwart, John Patrick	4	2023-03-16	56	11.29	134,003	3,653
TransAlta Corporation	DSU (Deferred Share Units)	Fohrer, Alan John	4	2023-03-16	56	11.29	132,421	2,159
TransAlta Corporation	DSU (Deferred Share Units)	FOLSE, Laura Waters	4	2023-03-16	56	11.29	15,014	2,159
TransAlta Corporation	DSU (Deferred Share Units)	Kousinioris, John Harry	4, 5	2023-03-15	56	11.37	24,609	13,377
TransAlta Corporation	DSU (Deferred Share Units)	O'FLYNN, Thomas Mark	4	2023-03-16	56	11.29	15,014	2,159
TransAlta Corporation	Common Shares	Pinney, Bryan Daniel	4	2023-03-16	30	11.28	27,627	1,954
TransAlta Corporation	DSU (Deferred Share Units)	Pinney, Bryan Daniel	4	2023-03-16	56	11.29	80,698	2,159

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
TransAlta Corporation	DSU (Deferred Share Units)	Sharma, Manjit Kaur	4	2023-01-01	00			
TransAlta Corporation	DSU (Deferred Share Units)	Sharma, Manjit Kaur	4	2023-03-16	56	11.29	2,158	2,158
TransAlta Corporation	DSU (Deferred Share Units)	SHARMAN, SANDY	4	2023-03-16	56	11.29	44,389	4,318
TransAlta Corporation	DSU (Deferred Share Units)	Slusser, Sarah Ann	4	2023-03-16	56	11.29	15,014	2,159
TransAlta Corporation	Common Shares	van Melle, Blain Mitchell	5	2023-03-16	57	11.28	191,107	26,857
TransAlta Corporation	RSU (Restricted Share Units)	van Melle, Blain Mitchell	5	2023-03-13	58 - Expiration of rights	11.28	57,750	-38,268
TransAlta Corporation	RSU (Restricted Share Units)	van Melle, Blain Mitchell	5	2023-03-15	58 - Expiration of rights	11.28	44,366	-13,384
TransAlta Renewables Inc.	DSU (Deferred Share Units)	Drinkwater, David William	4	2023-03-16	56	11.85	39,621	738
TransAlta Renewables Inc.	DSU (Deferred Share Units)	Gellner, Brett	4	2023-03-16	56	11.85	4,272	1,054
TransAlta Renewables Inc.	DSU (Deferred Share Units)	Hodges, Georganne Marie	4	2023-03-16	56	11.85	9,898	2,532
TransAlta Renewables Inc.	DSU (Deferred Share Units)	NOVELLI, Michael Jon	4	2022-11-03	00			
TransAlta Renewables Inc.	DSU (Deferred Share Units)	NOVELLI, Michael Jon	4	2023-03-16	56	11.85	1,530	1,530
TransAlta Renewables Inc.	DSU (Deferred Share Units)	WARD, Susan Mary	4	2023-03-16	56	11.85	6,025	1,055
Transat A.T. inc.	Action à droit de vote de catégorie B	Lamontagne, Julie	5	2023-03-13	00			
Transat A.T. inc.	Options	Lamontagne, Julie	5	2023-03-13	00			
Transat A.T. inc.	Options	Lamontagne, Julie	5	2023-03-13	50	3.39	50,000	50,000
Transat A.T. inc.	Action à droit de vote variable de catégorie A	Matheu, Bruno	4	2023-03-09	00			
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Brayley, Patrick	5	2023-01-23	56	15.33		176
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Brayley, Patrick	5	2023-01-23	56	15.33		288
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Brayley, Patrick	5	2023-01-23	56	15.33	27,017	256
Transcontinental Inc.	Participation Units d'actions differees liees au rendement (UADLR) (DPSU)	Brues, Peter	4, 5	2023-01-23	56	15.33		1,473
Transcontinental Inc.	Participation Units d'actions differees liees au rendement (UADLR) (DPSU)	Brues, Peter	4, 5	2023-01-23	56	15.33		1,482
Transcontinental Inc.	Participation Units d'actions differees liees au rendement (UADLR) (DPSU)	Brues, Peter	4, 5	2023-01-23	56	15.33	121,112	1,473
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Brues, Peter	4, 5	2023-01-23	56	15.33		1,511
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Brues, Peter	4, 5	2023-01-23	56	15.33		2,468

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Brues, Peter	4, 5	2023-01-23	56	15.33	406,975	2,235
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Cannon, Nicholas	5	2023-01-23	56	15.33		109
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Cannon, Nicholas	5	2023-01-23	56	15.33		147
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Cannon, Nicholas	5	2023-01-23	56	15.33	17,278	82
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Depras, Magali	5	2023-01-23	56	15.33		131
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Depras, Magali	5	2023-01-23	56	15.33		177
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Depras, Magali	5	2023-01-23	56	15.33	20,150	163
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Desaulniers, Christine	7, 5	2023-01-23	56	15.33		291
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Desaulniers, Christine	7, 5	2023-01-23	56	15.33		394
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Desaulniers, Christine	7, 5	2023-01-23	56	15.33	44,673	363
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Deslongchamps, Pierre	5	2023-01-11	59	15.43		-6,368
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Deslongchamps, Pierre	5	2023-01-11	59	15.43		-3,266
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Deslongchamps, Pierre	5	2023-01-11	59	15.43	17,132	-3,165
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Guilbault, Benoît	5	2023-01-23	56	15.33		190
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Guilbault, Benoît	5	2023-01-23	56	15.33		238
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Guilbault, Benoît	5	2023-01-23	56	15.33	29,423	140
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	LeCavalier, Donald	5	2023-01-23	56	15.33		574

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	LeCavalier, Donald	5	2023-01-23	56	15.33		768
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	LeCavalier, Donald	5	2023-01-23	56	15.33	94,578	708
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Marcoux, Isabelle	4	2023-01-11	59	15.43		-32,171
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Marcoux, Isabelle	4	2023-01-11	59	15.43	75,960	-26,555
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Marcoux, Isabelle	4	2023-01-24	56	15.33		687
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Marcoux, Isabelle	4	2023-01-24	56	15.33	76,657	697
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Morin, Thomas Gaston Louis	5	2023-01-11	59	15.43		-54,939
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Morin, Thomas Gaston Louis	5	2023-01-11	59	15.43	154,262	-28,029
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Morin, Thomas Gaston Louis	5	2023-01-23	56	15.33		992
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Morin, Thomas Gaston Louis	5	2023-01-23	56	15.33		1,352
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Morin, Thomas Gaston Louis	5	2023-01-23	56	15.33	155,501	1,239
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Morisset, Eric	7, 5	2023-01-23	56	15.33		402
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Morisset, Eric	7, 5	2023-01-23	56	15.33		523
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Morisset, Eric	7, 5	2023-01-23	56	15.33	62,015	485
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Newcomb, Lynda Barbara	5	2023-01-23	56	15.33		641
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Newcomb, Lynda Barbara	5	2023-01-23	56	15.33		646
Transcontinental Inc.	Participation Units d'actions restreintes (UAR) / Restricted share unit (RSU)	Newcomb, Lynda Barbara	5	2023-01-23	56	15.33	61,526	641

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Treasury Metals Inc.	Rights Restricted Share Units	Baranowsky, Orin Michael	5	2023-03-10	56		765,327	615,724
Treasury Metals Inc.	Rights Restricted Share Units	Bourchier, Frazer William	4	2023-03-10	56		307,941	236,817
Treasury Metals Inc.	Rights Restricted Share Units	Fisher, William J.	4	2023-03-10	56		322,166	236,817
Treasury Metals Inc.	Options	McRae, Paul	4	2022-06-28	56	0.41		79,268
Treasury Metals Inc.	Rights Restricted Share Units	McRae, Paul	4	2022-06-28	56		79,268	79,268
Treasury Metals Inc.	Rights Restricted Share Units	McRae, Paul	4	2022-06-28	00			
Treasury Metals Inc.	Rights Restricted Share Units	McRae, Paul	4	2023-03-10	56		316,085	236,817
Treasury Metals Inc.	Rights Restricted Share Units	Naudie, Margot	4	2023-03-10	56		316,085	236,817
Treasury Metals Inc.	Common Shares	Pineault, Rachel Anne	5	2023-03-09	57	0.3	77,248	38,624
Treasury Metals Inc.	Rights Restricted Share Units	Pineault, Rachel Anne	5	2023-03-09	57		38,623	-38,624
Treasury Metals Inc.	Rights Restricted Share Units	Pineault, Rachel Anne	5	2023-03-10	56		443,918	405,295
Treasury Metals Inc.	Common Shares	Vereecke, Christophe	4	2023-03-14	57	0.305	443,708	23,708
Treasury Metals Inc.	Common Shares	Vereecke, Christophe	4	2023-03-15	57	0.305	522,647	78,939
Treasury Metals Inc.	Rights Restricted Share Units	Vereecke, Christophe	4	2023-03-10	56		284,233	236,817
Treasury Metals Inc.	Rights Restricted Share Units	Vereecke, Christophe	4	2023-03-14	57		260,525	-23,708
Treasury Metals Inc.	Rights Restricted Share Units	Vereecke, Christophe	4	2023-03-15	57		181,586	-78,939
Treasury Metals Inc.	Common Shares	Whittle, David Edward	4	2023-03-16	57		248,707	135,399
Treasury Metals Inc.	Rights Restricted Share Units	Whittle, David Edward	4	2023-03-10	56		382,487	284,180
Treasury Metals Inc.	Rights Restricted Share Units	Whittle, David Edward	4	2023-03-16	57		247,088	-135,399
Treasury Metals Inc.	Rights Restricted Share Units	Wood, Flora Emily MacKay	4	2023-03-10	56		307,941	236,817
Treasury Metals Inc.	Rights Restricted Share Units	Wyeth, Jeremy Lentz	4, 5	2023-03-10	56		1,393,086	1,132,933
Trican Well Service Ltd.	Common Shares	Alford, Thomas Malcolm	4	2023-03-16	10	3.02	35,000	10,000
Trican Well Service Ltd.	Common Shares	Matson, Scott Eric	5	2023-03-13	10	3.23	14,500	10,000
Trican Well Service Ltd.	Common Shares	Matson, Scott Eric	5	2023-03-15	10	3.1	24,500	10,000
Trican Well Service Ltd.	Performance Unit Awards (PSUs)	Onwuekwe, Chika Benjamin	5	2023-03-16	59	3.346	138,319	-80,000
Trican Well Service Ltd.	Rights Restricted Share Units (RSUs)	Onwuekwe, Chika Benjamin	5	2023-03-16	59	3.346	61,448	-22,834
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Performance Share Units	Berman, David	3, 4, 6, 5	2023-03-15	59	11.66	68,385	-51,993
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Performance Share Units	Berman, Gary	4, 5	2023-03-15	59	11.66	380,549	-183,173
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Performance Share Units	Carmody, Andrew	5	2023-03-15	59	11.66	62,052	-44,878
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Performance Share Units	Ellenzweig, Jonathan	5	2023-03-15	59	11.66	78,021	-54,982
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Performance Share Units	Francis, Wissam	5	2023-03-15	59	11.66	99,914	-49,804

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Performance Share Units	Joyner, Andrew	5	2023-03-15	59	11.66	40,100	-27,296
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Performance Share Units	MATUS, GEOFFREY	3, 4, 6, 5	2023-03-15	59	11.66	34,192	-11,287
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Performance Share Units	MATUS, GEOFFREY	3, 4, 6, 5	2023-03-15	59	11.66	0	-14,709
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Performance Share Units	Veneziano, David	5	2023-03-15	59	11.66	50,752	-27,584
Triple Flag Precious Metals Corp.	Common Shares	O'Hagan, Lawrence Peter	4	2023-03-15	10	12.539	28,000	8,000
True North Commercial Real Estate Investment Trust	Restricted Units	Allison, Margaret Ann	5	2023-03-13	57	5.8688	3,690	-655
True North Commercial Real Estate Investment Trust	Restricted Units	Allison, Margaret Ann	5	2023-03-14	56	5.8013	6,275	2,585
True North Commercial Real Estate Investment Trust	Trust Units	Allison, Margaret Ann	5	2023-03-16	10	3.41	6,775	6,775
True North Commercial Real Estate Investment Trust	Trust Units	Allison, Margaret Ann	5	2023-03-13	57	5.8688	10,563	335
True North Commercial Real Estate Investment Trust	Restricted Units	Brand, Lindsay Mara	4	2022-06-22	00			
True North Commercial Real Estate Investment Trust	Restricted Units	Brand, Lindsay Mara	4	2023-03-14	56	5.8013	965	965
True North Commercial Real Estate Investment Trust	Restricted Units	Drimmer, Daniel	3, 4	2023-03-13	57	5.8688	1,553	-327
True North Commercial Real Estate Investment Trust	Restricted Units	Drimmer, Daniel	3, 4	2023-03-14	56	5.8013	2,518	965
True North Commercial Real Estate Investment Trust	Trust Units	Drimmer, Daniel	3, 4	2023-03-13	57	5.8688	152	152
True North Commercial Real Estate Investment Trust	Trust Units	Drimmer, Daniel	3, 4	2023-03-13	90		0	-152
True North Commercial Real Estate Investment Trust	Trust Units	Drimmer, Daniel	3, 4	2023-03-13	90		6,531,481	152
True North Commercial Real Estate Investment Trust	Trust Units	Drimmer, Daniel	3, 4	2023-03-16	10	3.49	6,781,481	250,000
True North Commercial Real Estate Investment Trust	Restricted Units	OSSIP, Alon Samuel	4	2023-03-13	57	5.8688	1,553	-327
True North Commercial Real Estate Investment Trust	Restricted Units	OSSIP, Alon Samuel	4	2023-03-14	56	5.8013	2,518	965
True North Commercial Real Estate Investment Trust	Trust Units	OSSIP, Alon Samuel	4	2023-03-13	57	5.8688	35,743	152
True North Commercial Real Estate Investment Trust	Trust Units	OSSIP, Alon Samuel	4	2012-12-14	00			
True North Commercial Real Estate Investment Trust	Trust Units	OSSIP, Alon Samuel	4	2023-03-16	10	3.5166	257,700	257,700

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
True North Commercial Real Estate Investment Trust	Trust Units	Ossip, Alon Samuel	4	2022-12-31	30	6.34	536,107	63,085
True North Commercial Real Estate Investment Trust	Restricted Units	Poklar, Sandy Ivan	4	2023-03-13	57	5.8688	1,553	-327
True North Commercial Real Estate Investment Trust	Restricted Units	Poklar, Sandy Ivan	4	2023-03-14	56	5.8013	2,518	965
True North Commercial Real Estate Investment Trust	Trust Units	Poklar, Sandy Ivan	4	2023-03-13	57	5.8688	10,916	152
True North Commercial Real Estate Investment Trust	Restricted Units	Sherren, Tracy	4, 5	2023-03-13	57	5.8688	33,110	-5,244
True North Commercial Real Estate Investment Trust	Restricted Units	Sherren, Tracy	4, 5	2023-03-14	56	5.8013	48,623	15,513
True North Commercial Real Estate Investment Trust	Trust Units	Sherren, Tracy	4, 5	2023-03-13	58 - Expiration of rights	5.8688	44,004	2,160
True North Commercial Real Estate Investment Trust	Trust Units	Sherren, Tracy	4, 5	2023-03-16	10	3.584	46,504	2,500
True North Commercial Real Estate Investment Trust	Trust Units	Sherren, Tracy	4, 5	2023-03-16	10	3.3004	49,004	2,500
True North Commercial Real Estate Investment Trust	Trust Units	Sherren, Tracy	4, 5	2023-03-16	10	3.31	51,504	2,500
Trulieve Cannabis Corp.	Subordinate Voting Shares	Rivers, Kimberly	3, 4, 5	2023-03-15	10	6.08	89,588	500
Trulieve Cannabis Corp.	Subordinate Voting Shares	Rivers, Kimberly	3, 4, 5	2023-03-15	10	6.06	89,659	71
Trulieve Cannabis Corp.	Subordinate Voting Shares	Rivers, Kimberly	3, 4, 5	2023-03-15	10	6.08	89,859	200
Trulieve Cannabis Corp.	Subordinate Voting Shares	Rivers, Kimberly	3, 4, 5	2023-03-15	10	6.08	90,859	1,000
Trulieve Cannabis Corp.	Subordinate Voting Shares	Rivers, Kimberly	3, 4, 5	2023-03-15	10	6.08	91,059	200
Trulieve Cannabis Corp.	Subordinate Voting Shares	Rivers, Kimberly	3, 4, 5	2023-03-15	10	6.08	92,059	1,000
Trulieve Cannabis Corp.	Subordinate Voting Shares	Rivers, Kimberly	3, 4, 5	2023-03-15	10	6.08	93,059	1,000
Trulieve Cannabis Corp.	Subordinate Voting Shares	Rivers, Kimberly	3, 4, 5	2023-03-15	10	6.08	93,088	29
Trulieve Cannabis Corp.	Subordinate Voting Shares	Rivers, Kimberly	3, 4, 5	2023-03-15	10	6.05	93,198	110
Trulieve Cannabis Corp.	Super Voting Shares	Rivers, Kimberly	3, 4, 5	2023-03-15	10	6.08		500
Trulieve Cannabis Corp.	Super Voting Shares	Rivers, Kimberly	3, 4, 5	2023-03-15	10	6.08		500
Trulieve Cannabis Corp.	Super Voting Shares	Rivers, Kimberly	3, 4, 5	2023-03-15	10	6.06		71
Trulieve Cannabis Corp.	Super Voting Shares	Rivers, Kimberly	3, 4, 5	2023-03-15	10	6.06		71
Trulieve Cannabis Corp.	Super Voting Shares	Rivers, Kimberly	3, 4, 5	2023-03-15	10	6.08		200
Trulieve Cannabis Corp.	Super Voting Shares	Rivers, Kimberly	3, 4, 5	2023-03-15	10	6.08		200
Trulieve Cannabis Corp.	Super Voting Shares	Rivers, Kimberly	3, 4, 5	2023-03-15	10	6.08		1,000
Trulieve Cannabis Corp.	Super Voting Shares	Rivers, Kimberly	3, 4, 5	2023-03-15	10	6.08		1,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Trulieve Cannabis Corp.	Super Voting Shares	Rivers, Kimberly	3, 4, 5	2023-03-15	10	6.08		200
Trulieve Cannabis Corp.	Super Voting Shares	Rivers, Kimberly	3, 4, 5	2023-03-15	10	6.08		200
Trulieve Cannabis Corp.	Super Voting Shares	Rivers, Kimberly	3, 4, 5	2023-03-15	10	6.08		1,000
Trulieve Cannabis Corp.	Super Voting Shares	Rivers, Kimberly	3, 4, 5	2023-03-15	10	6.08		1,000
Trulieve Cannabis Corp.	Super Voting Shares	Rivers, Kimberly	3, 4, 5	2023-03-15	10	6.08		1,000
Trulieve Cannabis Corp.	Super Voting Shares	Rivers, Kimberly	3, 4, 5	2023-03-15	10	6.08		1,000
Trulieve Cannabis Corp.	Super Voting Shares	Rivers, Kimberly	3, 4, 5	2023-03-15	10	6.08		29
Trulieve Cannabis Corp.	Super Voting Shares	Rivers, Kimberly	3, 4, 5	2023-03-15	10	6.08		29
Trulieve Cannabis Corp.	Super Voting Shares	Rivers, Kimberly	3, 4, 5	2023-03-15	10	6.05		110
Trulieve Cannabis Corp.	Super Voting Shares	Rivers, Kimberly	3, 4, 5	2023-03-15	10	6.05		110
TRX Gold Corporation	Common Shares	Sinclair, James E.	4, 5	2023-03-09	10	0.401	3,244,400	-166
TRX Gold Corporation	Common Shares	Sinclair, James E.	4, 5	2023-03-10	10	0.426	3,239,566	-4,834
TRX Gold Corporation	Common Shares	Sinclair, James E.	4, 5	2023-03-13	10	0.427	3,237,066	-2,500
TRX Gold Corporation	Common Shares	Sinclair, James E.	4, 5	2023-03-14	10	0.452	3,237,019	-47
TRX Gold Corporation	Common Shares	Sinclair, James E.	4, 5	2023-03-15	10	0.463	3,234,566	-2,453
TWC Enterprises Limited	Common Shares	Turple, Donald Wayne	4, 5	2022-12-30	47		83,703	-1,700
Unigold Inc.	Options	Babcock, Gordon	5	2023-03-16	38		700,000	-350,000
UNISYNC Corp.	Common Shares	O'Brian, Charles Michael	4	2023-03-17	10	1.97	6,000	6,000
Urbana Corporation	Common Shares	Elliott, George Dickson	4	2023-03-09	10	4.15	22,500	10,000
VALEO PHARMA INC.	Options	Allard, Guy Paul	6, 5	2023-03-15	50	0.66	447,222	100,000
VALEO PHARMA INC.	Options	Komari, Nelly	5	2023-03-15	50	0.66	275,000	100,000
VALEO PHARMA INC.	Options	Mainville, Luc	5	2023-03-15	50	0.66	1,412,500	300,000
VALEO PHARMA INC.	Options	Skinner, Jeffrey Michael	5	2023-03-15	50	0.66	225,000	100,000
VALEO PHARMA INC.	Options	Steiger, Kyle	5	2023-03-15	50	0.66		150,000
VALEO PHARMA INC.	Options	Steiger, Kyle	5	2023-03-15	50	0.66	650,000	150,000
VALEO PHARMA INC.	Options	Therrien, Nathalie	5	2023-03-15	50	0.66	300,000	100,000
Verano Holdings Corp. (formerly Majesta Minerals Inc.)	Subordinate Voting Shares	Archos, George	3, 4, 5	2023-03-09	10	3.1354	358,674	-5,049
Verano Holdings Corp. (formerly Majesta Minerals Inc.)	Subordinate Voting Shares	Miles, Aaron	5	2023-03-09	10	3.1354	50,575	-1,160
Verano Holdings Corp. (formerly Majesta Minerals Inc.)	Subordinate Voting Shares	Spreckman, David	5	2023-03-09	10	3.1354	3,566	-248
Verano Holdings Corp. (formerly Majesta Minerals Inc.)	Subordinate Voting Shares	Weiss, Darren	5	2023-03-09	10	3.1354	568,441	-6,048
Verde AgriTech Ltd.	Common Shares	Veloso, Cristiano Botelho	4, 5	2022-12-29	10	5.2867		-29,600
Verde AgriTech Ltd.	Common Shares	Veloso, Cristiano Botelho	4, 5	2022-12-29	10	5.1186	9,807,934	-110,800
VersaBank	Common Shares	Brabander, Robbert-Jan	4	2023-03-13	10	10	26,348	1,000
VersaBank	Common Shares	Gardiner, James	5	2023-03-07	00		1,600	
VersaBank	Common Shares	Gardiner, James	5	2023-03-07	00		3,800	
VersaBank	Options	Gardiner, James	5	2023-03-07	00		25,200	

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
VersaBank	Common Shares US Common Shares	GBH Inc.	8	2023-03-10	00			
VersaBank	Common Shares US Common Shares	GBH Inc.	8	2023-03-10	10	7.39	100,000	100,000
VersaBank	Common Shares	TAYLOR, DAVID ROY	4, 5	2023-03-13	10	9.9142	29,100	3,600
VersaBank	Common Shares	TAYLOR, DAVID ROY	4, 5	2023-03-13	10	10.04	31,200	2,100
VerticalScope Holdings Inc.	Restricted Share Units	Bellissimo, Vincenzo	5	2023-03-10	56	8.2	19,695	2,195
VerticalScope Holdings Inc.	Subordinate Voting Shares	Evershed, Philip	4	2023-02-08	00		15,150	
VerticalScope Holdings Inc.	Restricted Share Units	Goodridge, Christopher Michael	5	2023-03-10	56	8.2	31,536	10,286
VerticalScope Holdings Inc.	Subordinate Voting Shares	Laidlaw, Rob	3, 4, 5	2023-03-10	10	7.1175		-11,000
VerticalScope Holdings Inc.	Subordinate Voting Shares	Laidlaw, Rob	3, 4, 5	2023-03-10	10	7.1175		-11,000
VerticalScope Holdings Inc.	Subordinate Voting Shares	Laidlaw, Rob	3, 4, 5	2023-03-14	10	7.1105		-11,700
VerticalScope Holdings Inc.	Subordinate Voting Shares	Laidlaw, Rob	3, 4, 5	2023-03-14	10	7.1105		-11,700
VerticalScope Holdings Inc.	Subordinate Voting Shares	Laidlaw, Rob	3, 4, 5	2023-03-14	10	7.1105	14,600	-11,700
VerticalScope Holdings Inc.	Subordinate Voting Shares	Laidlaw, Rob	3, 4, 5	2023-03-15	10	6.6526	9,300	-5,300
VerticalScope Holdings Inc.	Restricted Share Units	Lee, Paul Kisoo	5	2022-03-31	00			
VerticalScope Holdings Inc.	Restricted Share Units	Lee, Paul Kisoo	5	2023-03-10	56	8.2	1,978	1,978
VerticalScope Holdings Inc.	Restricted Share Units	Pishgar, Joseph	5	2023-03-10	56	8.2	15,965	3,465
VerticalScope Holdings Inc.	Restricted Share Units	Seibel, Brandon	5	2023-03-10	56	8.2	26,022	3,522
VerticalScope Holdings Inc.	Restricted Share Units	Yu, Diane	5	2023-03-10	56	8.2	21,706	4,206
Veta Resources Inc. (formerly, Southeast Asia Mining Corp.)	Options	Burk, Carly	5	2023-01-04	00			
Victory Square Technologies Inc.	Common Shares	Tejani, Shafin Diamond	4, 6, 5	2023-03-09	10	0.125	1,002,613	1,500
Victory Square Technologies Inc.	Common Shares	Tejani, Shafin Diamond	4, 6, 5	2023-03-10	10	0.13	1,005,613	3,000
VIP Entertainment Technologies Inc. (formerly ANC Capital Ventures Inc.)	Common Shares	Antony, David Michael	4	2023-03-13	10	0.05		4,000
VIP Entertainment Technologies Inc. (formerly ANC Capital Ventures Inc.)	Common Shares	Antony, David Michael	4	2023-03-13	10	0.35	464,956	4,000
Volatus Capital Corp.	Common Shares	Crest Resources Inc.	3	2023-02-13	10	0.03		-1,000,000
Volatus Capital Corp.	Common Shares	Crest Resources Inc.	3	2023-02-13	10	0.03		-1,000,000
Volatus Capital Corp.	Common Shares	Crest Resources Inc.	3	2023-03-15	10	0.03		1,000,000
Volatus Capital Corp.	Common Shares	Crest Resources Inc.	3	2023-03-15	10	0.03		1,000,000
Volatus Capital Corp.	Common Shares	Crest Resources Inc.	3	2023-03-15	10	0.03	8,691,416	1,000,000
Volatus Capital Corp.	Common Shares	Crest Resources Inc.	3	2023-03-17	10	0.03	7,691,416	-1,000,000
Voyager Metals Inc. (formerly Vanadium One Iron Corp.)	Common Shares	Ball, David	5	2023-03-13	10	0.135	75,000	25,000
Voyager Metals Inc. (formerly Vanadium One Iron Corp.)	Common Shares	Ball, David	5	2023-03-14	10	0.12	100,000	25,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Voyager Metals Inc. (formerly Vanadium One Iron Corp.)	Common Shares	Cerrado Gold Inc.	3	2023-03-15	00			
Voyager Metals Inc. (formerly Vanadium One Iron Corp.)	Common Shares	Cerrado Gold Inc.	3	2023-03-15	11	0.1523	24,294,156	24,294,156
Waskahigan Oil & Gas Corp.	Common Shares	Leia, Gregory	4	2023-03-13	10		1,826,100	5,000
Waskahigan Oil & Gas Corp.	Common Shares	Leia, Gregory	4	2023-03-14	10		1,830,100	4,000
Waterloo Brewing Ltd. (formerly Brick Brewing Co. Limited)	Common Shares	Benbrick Holdings Inc.	3	2023-03-08	22	4	0	-7,483,215
Waterloo Brewing Ltd. (formerly Brick Brewing Co. Limited)	Common Shares	DUNFORD, STANLEY GEORGE	3, 4	2023-03-08	22	4	0	-6,001,997
Waterloo Brewing Ltd. (formerly Brick Brewing Co. Limited)	Common Shares	Laurence Capital Fund III L.P.	3	2023-03-08	22	4	0	-8,964,436
Waterloo Brewing Ltd. (formerly Brick Brewing Co. Limited)	Common Shares	Schwartz, Peter John	4	2023-03-08	22	4	0	-7,483,215
Wesdome Gold Mines Ltd.	Options	Carpenter, Lindsay Jean Maria	5	2023-03-14	50		88,194	13,766
Wesdome Gold Mines Ltd.	Rights Performance Share Units	Carpenter, Lindsay Jean Maria	5	2023-03-14	56		24,427	10,107
Wesdome Gold Mines Ltd.	Rights Restricted Share Units	Carpenter, Lindsay Jean Maria	5	2023-03-14	56		10,548	5,053
Wesdome Gold Mines Ltd.	Options	Gilbert, Scott Lawrence	5	2023-03-14	50		109,869	58,043
Wesdome Gold Mines Ltd.	Rights Performance Share Units	Gilbert, Scott Lawrence	5	2023-03-14	56		80,922	42,616
Wesdome Gold Mines Ltd.	Rights Restricted Share Units	Gilbert, Scott Lawrence	5	2023-03-14	56		36,428	21,308
Wesdome Gold Mines Ltd.	Common Shares	Gill, Rajbir	5	2023-03-15	10	6.91	6,600	3,400
Wesdome Gold Mines Ltd.	Options	Gill, Rajbir	5	2023-03-14	50		61,033	27,720
Wesdome Gold Mines Ltd.	Rights Performance Share Units	Gill, Rajbir	5	2023-03-14	56		40,177	20,352
Wesdome Gold Mines Ltd.	Rights Restricted Share Units	Gill, Rajbir	5	2023-03-14	56		18,099	10,176
Wesdome Gold Mines Ltd.	Common Shares	Grondin, Louise	4	2023-02-01	00			
Wesdome Gold Mines Ltd.	Options	Grondin, Louise	4	2023-02-01	00			
Wesdome Gold Mines Ltd.	Rights Deferred Share Units (Common Shares or Cash Settled)	Grondin, Louise	4	2023-02-01	00			
Wesdome Gold Mines Ltd.	Rights Deferred Share Units (Common Shares or Cash Settled)	Grondin, Louise	4	2023-03-14	56		14,684	14,684
Wesdome Gold Mines Ltd.	Options	Joanna, Miller	5	2023-03-14	50		31,599	14,490
Wesdome Gold Mines Ltd.	Rights Performance Share Units	Joanna, Miller	5	2023-03-14	56		15,019	10,639
Wesdome Gold Mines Ltd.	Rights Restricted Share Units	Joanna, Miller	5	2023-03-14	56		7,509	5,319
Wesdome Gold Mines Ltd.	Options	Kimmatt, Stacy	5	2023-03-14	50		46,909	19,924
Wesdome Gold Mines Ltd.	Rights Performance Share Units	Kimmatt, Stacy	5	2023-03-14	56		33,205	14,628
Wesdome Gold Mines Ltd.	Rights Restricted Share Units	Kimmatt, Stacy	5	2023-03-14	56		14,542	7,314

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Wesdome Gold Mines Ltd.	Options	Laxton, Heather Anne	5	2023-03-14	50		50,397	14,490
Wesdome Gold Mines Ltd.	Rights Performance Share Units	Laxton, Heather Anne	5	2023-03-14	56		25,063	10,639
Wesdome Gold Mines Ltd.	Rights Restricted Share Units	Laxton, Heather Anne	5	2023-03-14	56		10,882	5,319
Wesdome Gold Mines Ltd.	Common Shares	Mercier-Langevin, Frederic	5	2023-03-15	10	7.04	10,300	2,800
Wesdome Gold Mines Ltd.	Options	Mercier-Langevin, Frederic	5	2023-03-14	50		97,359	72,191
Wesdome Gold Mines Ltd.	Rights Performance Share Units	Mercier-Langevin, Frederic	5	2023-03-14	56		53,004	53,004
Wesdome Gold Mines Ltd.	Rights Restricted Share Units	Mercier-Langevin, Frederic	5	2023-03-14	56		26,502	26,502
Wesdome Gold Mines Ltd.	Options	Michaud, Michael Julien	5	2023-03-14	50		226,895	39,330
Wesdome Gold Mines Ltd.	Rights Performance Share Units	Michaud, Michael Julien	5	2023-03-14	56		78,369	28,877
Wesdome Gold Mines Ltd.	Rights Restricted Share Units	Michaud, Michael Julien	5	2023-03-14	56		33,503	14,438
West Vault Mining Inc.	Options	Lebel, Pierre	4	2021-04-12	52	1	275,000	-40,000
West Vault Mining Inc.	Options	Lebel, Pierre	4	2022-01-04	52	1.1	235,000	-40,000
West Vault Mining Inc.	Common Shares	McVey, Sandy	5	2023-03-15	10	0.9	441,266	2,000
Westbridge Renewable Energy Corp. (formerly Westbridge Energy Corporation)	Common Shares	McKenna, Margaret Mary	4, 5	2023-03-16	51	0.3	265,000	250,000
Westbridge Renewable Energy Corp. (formerly Westbridge Energy Corporation)	Options	McKenna, Margaret Mary	4, 5	2023-03-16	51	0.3	500,000	-250,000
Western Energy Services Corp.	Common Shares	Cohen, Tomer	4	2022-06-29	00			
Western Energy Services Corp.	Common Shares	Cohen, Tomer	4	2023-03-10	10	3.12	1,000	1,000
Western Energy Services Corp.	Common Shares	Cohen, Tomer	4	2023-03-13	10	3.05	2,000	1,000
Western Energy Services Corp.	Common Shares	Cohen, Tomer	4	2023-03-14	10	2.97	3,000	1,000
Western Energy Services Corp.	Common Shares	Cohen, Tomer	4	2023-03-15	10	2.84	4,000	1,000
Western Energy Services Corp.	Common Shares	Cohen, Tomer	4	2023-03-16	10	2.83	5,000	1,000
Western Exploration Inc.	Variable Voting Shares	Schlumberger, Nicolas J.R.	4	2023-03-13	10	1.9	36,000	5,000
Western Forest Products Inc.	Common Shares	Hofer, Joseph Steven	5	2023-03-15	10	1.125	184,375	22,125
Western Forest Products Inc.	Common Shares	Hofer, Joseph Steven	5	2023-03-16	10	1.11	229,425	45,050
Western Forest Products Inc.	Common Shares	Hofer, Joseph Steven	5	2023-03-17	10	1.11	254,650	25,225
Western Forest Products Inc.	Common Shares	Nontell, Glen Douglas	5	2023-03-13	10	1.18	31,133	10,000
Western Forest Products Inc.	Common Shares	Nontell, Glen Douglas	5	2023-03-13	10	1.15	31,333	200
Western Forest Products Inc.	Common Shares	Nontell, Glen Douglas	5	2023-03-14	10	1.15	36,333	5,000
Western Forest Products Inc.	Common Shares	Nontell, Glen Douglas	5	2023-03-15	10	1.09	39,333	3,000
Western Resources Corp.	Options	Xing, Jian (Justin)	4, 5	2022-09-29	00			
Western Resources Corp.	Options	Xing, Jian (Justin)	4, 5	2022-11-16	50	0.24	1,000,000	1,000,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Westport Fuel Systems Inc.	Common Shares	Cosciani Cuncio, Nicola	5	2023-01-04	57		-4,071	2,146
Westport Fuel Systems Inc.	Common Shares	Cosciani Cuncio, Nicola	5	2023-01-04	57		-1,562	2,509
Westport Fuel Systems Inc.	Common Shares	Cosciani Cuncio, Nicola	5	2023-01-04	57		3,780	5,342
Westport Fuel Systems Inc.	Common Shares	Hodge, Philip Blake	4	2023-03-16	10	1.1324	20,000	10,000
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Bernardi, Curzio Domenico	5	2023-03-15	57	60	7,520	1,620
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Bernardi, Curzio Domenico	5	2023-03-15	10	59.923	5,900	-1,620
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Bernardi, Curzio Domenico	5	2023-03-16	57	49.86	7,757	1,857
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Bernardi, Curzio Domenico	5	2023-03-16	10	58.357	5,900	-1,857
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Options	Bernardi, Curzio Domenico	5	2023-03-14	50		159,260	23,700
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Bernardi, Curzio Domenico	5	2023-03-14	56		25,484	5,110
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Bernardi, Curzio Domenico	5	2023-03-15	57	60	23,864	-1,620
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Bernardi, Curzio Domenico	5	2023-03-16	57	49.86	22,007	-1,857
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Units Performance Shares	Bernardi, Curzio Domenico	5	2023-03-14	97		47,381	10,220
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Brack, George Leslie	4	2023-03-15	57	60	19,792	776
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Brack, George Leslie	4	2023-03-16	57	49.86	20,729	937
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Brack, George Leslie	4	2023-03-14	56		21,117	3,870
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Brack, George Leslie	4	2023-03-15	57	60	20,341	-776
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Brack, George Leslie	4	2023-03-16	57	49.86	19,404	-937
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Brough, John	4	2023-03-14	56		22,530	2,360
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Brown, Gary Duncan	5	2023-03-15	57	60	32,083	1,620
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Brown, Gary Duncan	5	2023-03-15	10	59.923	30,463	-1,620
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Brown, Gary Duncan	5	2023-03-16	57	49.86	32,320	1,857
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Brown, Gary Duncan	5	2023-03-16	10	58.357	30,463	-1,857

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Brown, Gary Duncan	5	2023-03-17	51	32.93	55,368	24,905
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Brown, Gary Duncan	5	2023-03-17	10	62.3	30,463	-24,905
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Options	Brown, Gary Duncan	5	2023-03-14	50		134,355	23,700
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Options	Brown, Gary Duncan	5	2023-03-17	51	32.93	109,450	-24,905
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Brown, Gary Duncan	5	2023-03-14	56		13,684	5,110
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Brown, Gary Duncan	5	2023-03-15	57	60	12,064	-1,620
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Brown, Gary Duncan	5	2023-03-16	57	49.86	10,207	-1,857
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Units Performance Shares	Brown, Gary Duncan	5	2023-03-14	97		47,381	10,220
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Carpenter, Bradley William	7	2023-03-14	56		1,390	460
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Donovan, Jaimie	4	2023-03-14	56		3,820	2,360
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Drouin, Patrick Eugene	5	2023-03-15	57	60	1,176	1,176
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Drouin, Patrick Eugene	5	2023-03-15	10	59.923	0	-1,176
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Drouin, Patrick Eugene	5	2023-03-16	57	49.86	1,350	1,350
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Drouin, Patrick Eugene	5	2023-03-16	10	58.357	0	-1,350
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Options	Drouin, Patrick Eugene	5	2023-03-14	50		115,660	18,840
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Drouin, Patrick Eugene	5	2023-03-14	56		10,290	4,060
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Drouin, Patrick Eugene	5	2023-03-15	57	60	9,114	-1,176
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Drouin, Patrick Eugene	5	2023-03-16	57	49.86	7,764	-1,350
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Units Performance Shares	Drouin, Patrick Eugene	5	2023-03-14	97		35,120	8,120
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Forward, Patrick William	7	2023-03-14	56		1,390	460
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Gillin, Robert Peter Charles	4	2023-03-14	56		68,026	2,360
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Gosselin, Chantal	4	2023-03-15	57	60	6,698	776

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Gosselin, Chantal	4	2023-03-16	57	49.86	7,635	937
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Gosselin, Chantal	4	2023-03-14	56		40,229	2,360
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Gosselin, Chantal	4	2023-03-15	57	60	39,453	-776
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Gosselin, Chantal	4	2023-03-16	57	49.86	38,516	-937
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Hodaly, Haytham Henry	5	2023-03-14	10	60.03	-49,810	-49,810
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Hodaly, Haytham Henry	5	2023-03-14	51	32.93	0	49,810
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Hodaly, Haytham Henry	5	2023-03-15	57	60	1,620	1,620
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Hodaly, Haytham Henry	5	2023-03-15	10	59.923	0	-1,620
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Hodaly, Haytham Henry	5	2023-03-16	57	49.86	1,857	1,857
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Hodaly, Haytham Henry	5	2023-03-16	10	58.357	0	-1,857
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Options	Hodaly, Haytham Henry	5	2023-03-14	51	32.93	85,750	-49,810
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Options	Hodaly, Haytham Henry	5	2023-03-14	50		109,450	23,700
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Hodaly, Haytham Henry	5	2023-03-14	50			23,700
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Hodaly, Haytham Henry	5	2023-03-14	50			23,700
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Hodaly, Haytham Henry	5	2023-03-14	56		13,684	5,110
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Hodaly, Haytham Henry	5	2023-03-15	57	60	12,064	-1,620
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Hodaly, Haytham Henry	5	2023-03-16	57	49.86	10,207	-1,857
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Units Performance Shares	Hodaly, Haytham Henry	5	2023-03-14	97		47,381	10,220
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Ives, Glenn Antony	4	2023-02-16	10	58.357	-1,552	-1,552
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Ives, Glenn Antony	4	2023-03-15	57	60	-776	776
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Ives, Glenn Antony	4	2023-03-15	10	59.923	-1,552	-776
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Ives, Glenn Antony	4	2023-03-16	10	59.11		2,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Ives, Glenn Antony	4	2023-03-16	57	49.86	-615	937
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Ives, Glenn Antony	4	2023-03-16	57	49.86	0	615
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Ives, Glenn Antony	4	2023-03-16	10	59.11	12,000	2,000
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Ives, Glenn Antony	4	2023-03-14	56		7,179	2,360
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Ives, Glenn Antony	4	2023-03-15	57	60	6,403	-776
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Ives, Glenn Antony	4	2023-03-16	57	49.86	5,466	-937
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Ives, Glenn Antony	4	2023-03-16	57	49.86	4,851	-615
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Jeannes, Charles A.	4	2023-03-14	56		15,630	2,360
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Koutsouras, Bill	7	2023-03-15	57	46.78		286
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Koutsouras, Bill	7	2023-03-15	57	46.78	286	286
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Koutsouras, Bill	7	2023-03-15	10	43.438	0	-286
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Koutsouras, Bill	7	2023-03-16	57	39.97		333
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Koutsouras, Bill	7	2023-03-16	57	39.97	333	333
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Koutsouras, Bill	7	2023-03-16	10	42.382	0	-333
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Koutsouras, Bill	7	2023-03-14	56	43.27	2,446	920
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Koutsouras, Bill	7	2023-03-15	57	39.97		-286
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Koutsouras, Bill	7	2023-03-15	57	46.78	2,160	-286
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Koutsouras, Bill	7	2023-03-16	57	39.97	1,827	-333
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Luna, Eduardo	7, 5	2023-03-15	57	60	80,829	776
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Luna, Eduardo	7, 5	2023-03-15	10	59.923	80,053	-776
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Luna, Eduardo	7, 5	2023-03-16	57	49.86	80,990	937
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Luna, Eduardo	7, 5	2023-03-16	10	58.357	80,053	-937

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Luna, Eduardo	7, 5	2023-03-14	56		48,294	2,360
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Luna, Eduardo	7, 5	2023-03-15	57	60	47,518	-776
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Luna, Eduardo	7, 5	2023-03-16	57	49.86	46,581	-937
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Schonberner, Marilyn Joy	4	2023-03-15	57	60	6,761	776
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Schonberner, Marilyn Joy	4	2023-03-15	10	59.923	5,985	-776
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Schonberner, Marilyn Joy	4	2023-03-16	57	49.86	6,922	937
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Schonberner, Marilyn Joy	4	2023-03-16	10	58.357	5,985	-937
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Schonberner, Marilyn Joy	4	2023-03-14	56		17,585	2,360
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Schonberner, Marilyn Joy	4	2023-03-15	57	60	16,809	-776
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Schonberner, Marilyn Joy	4	2023-03-16	57	49.86	15,872	-937
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Smallwood, Randy	5	2023-03-15	57	60	327,034	4,834
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Smallwood, Randy	5	2023-03-15	10	59.923	322,200	-4,834
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Smallwood, Randy	5	2023-03-16	57	49.86	326,720	4,520
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Smallwood, Randy	5	2023-03-16	10	58.357	322,200	-4,520
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Options	Smallwood, Randy	5	2023-03-14	50		526,670	70,650
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Smallwood, Randy	5	2023-03-14	56	59.41	38,770	15,230
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Smallwood, Randy	5	2023-03-15	57	60	33,936	-4,834
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Smallwood, Randy	5	2023-03-16	57	49.86	29,416	-4,520
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Units Performance Shares	Smallwood, Randy	5	2023-03-14	97		126,202	30,460
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Storie, Anne	7	2022-12-16	00			
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Storie, Anne	7	2023-03-14	56		460	460
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Tatarkin, Nikola	5	2023-03-15	57	46.78	32,430	1,144

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Tatarin, Nikola	5	2023-03-16	57	39.97	33,707	1,277
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Options	Tatarin, Nikola	5	2023-03-14	50		90,010	17,370
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Tatarin, Nikola	5	2023-03-14	56		9,844	3,860
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Tatarin, Nikola	5	2023-03-15	57	46.78		-1,144
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Tatarin, Nikola	5	2023-03-15	57	46.78	8,700	-1,144
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Subscription Rights	Tatarin, Nikola	5	2023-03-16	57	39.97	7,423	-1,277
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Units Performance Shares	Tatarin, Nikola	5	2023-03-14	97		34,691	7,730
Whitecap Resources Inc.	Common Shares	Fagerheim, Grant Bradley	4, 5	2023-03-15	10	9.59	607,300	300
Whitecap Resources Inc.	Common Shares	Fagerheim, Grant Bradley	4, 5	2023-03-13	10	10.14	2,735,705	10,000
Whitecap Resources Inc.	Common Shares	Fagerheim, Grant Bradley	4, 5	2023-03-15	10	9.59	2,743,875	8,170
Whitecap Resources Inc.	Common Shares	Kang, Thanh Chan	5	2023-03-15	10	9.69	623,195	4,020
Whitecap Resources Inc.	Common Shares	Kang, Thanh Chan	5	2023-03-16	10	9.38	625,855	2,660
Whitecap Resources Inc.	Common Shares	Wall, Bradley John	4	2023-03-15	10	9.5	71,975	1,000
Wilmington Capital Management Inc.	Options	Craddock, Patrick Richard	5	2023-03-13	59		50,000	-120,000
Wilmington Capital Management Inc.	Options	Grypiuk, Shane	7	2023-03-13	59		10,000	-75,000
Wilmington Capital Management Inc.	Options	Grypiuk, Shane	7	2023-03-13	59		3,333	-6,667
Wilmington Capital Management Inc.	Options	POWELL, ALEXA JEAN	5	2023-03-13	59		25,000	-50,000
Windfall Geotek Inc.	Common Shares	Kandanchatha, Dinesh	5	2023-03-13	10	0.0642	5,080,000	280,000
Winpak Ltd	Common Shares	Taylor, Scott McKenzie	5	2023-03-13	10	39.67	2,750	1,750
Xanadu Mines Ltd	Ordinary Shares	Zijin Mining Group Co., Ltd.	3	2023-03-10	00		318,116,132	
Xenon Pharmaceuticals Inc.	Options	Aulin, Sherry	5	2023-03-10	50		398,334	120,000
Xenon Pharmaceuticals Inc.	Options	DiFabio, Andrea	5	2023-03-10	50		180,000	45,000
Xenon Pharmaceuticals Inc.	Options	Empfield, James	5	2023-03-10	50		310,000	75,000
Xenon Pharmaceuticals Inc.	Options	Kenney, Christopher John	5	2023-03-10	50		395,000	120,000
Xenon Pharmaceuticals Inc.	Options	Mortimer, Ian Charles	5	2023-03-10	50		1,679,340	380,000
Xenon Pharmaceuticals Inc.	Options	Sherrington, Robin Paul	5	2023-03-10	50		442,141	75,000
Xenon Pharmaceuticals Inc.	Options	Von Seggern, Christopher	5	2023-03-10	50		350,000	90,000
Xtract One Technologies Inc.	Options	RAY, LEA MICHELLE	4	2023-03-17	50	0.69	394,928	144,928
Yangarra Resources Ltd.	Common Shares	Evaskevich, James Grant	4, 5	2023-03-13	10	2.01		10,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Yangarra Resources Ltd.	Common Shares	Evaskevich, James Grant	4, 5	2023-03-13	10	2.01		10,000
Yellow Pages Limited	Deferred Share Unit	Eckert, David Alan	5	2023-03-15	56		28,267	308
Yellow Pages Limited	Restricted Share Unit	Eckert, David Alan	5	2023-03-15	56		378,237	4,117
Yellow Pages Limited	Deferred Share Unit	Forman, Craig	4	2023-03-15	56		78,803	858
Yellow Pages Limited	Deferred Share Unit	Hall, Rob	4	2023-03-15	56		66,815	728
Yellow Pages Limited	Deferred Share Unit	Kudzman, Susan	4	2023-03-15	56		134,217	1,461
Yellow Pages Limited	Deferred Share Unit	Russo, Paul W.	4	2023-03-15	56		52,815	575
Zedcor Inc.	Common Shares	Shillington, Dean Myles	4	2023-02-21	54	0.12	3,000,000	1,000,000
Zedcor Inc.	Common Shares	Shillington, Dean Myles	4	2023-02-23	10	0.65	2,000,000	-1,000,000
Zedcor Inc.	Common Shares	Shillington, Dean Myles	4	2023-03-08	54	0.12	3,000,000	1,000,000
Zedcor Inc.	Common Shares	Shillington, Dean Myles	4	2023-03-09	10	0.7	2,000,000	-1,000,000
Zedcor Inc.	Warrants	Shillington, Dean Myles	4	2023-02-21	54		3,744,905	-1,000,000
Zedcor Inc.	Warrants	Shillington, Dean Myles	4	2023-03-08	54		2,744,905	-1,000,000
Zentek Ltd. (formerly, ZEN Graphene Solutions Ltd.)	Common Shares	Bosse, Brian Gerald	4, 5	2023-03-16	10	2.03	190,911	5,000
Zentek Ltd. (formerly, ZEN Graphene Solutions Ltd.)	Common Shares	Dubé, Francis Jacques	4	2023-03-16	99		756,887	-60,000
Zentek Ltd. (formerly, ZEN Graphene Solutions Ltd.)	Common Shares	Dubé, Francis Jacques	4	2018-05-11	00		60,000	
Zentek Ltd. (formerly, ZEN Graphene Solutions Ltd.)	Common Shares	Dubé, Francis Jacques	4	2023-03-16	10	2	65,000	5,000
Zentek Ltd. (formerly, ZEN Graphene Solutions Ltd.)	Common Shares	Fenton, Gregory	4	2023-03-16	10	1.99	2,547,825	5,000
Zentek Ltd. (formerly, ZEN Graphene Solutions Ltd.)	Common Shares	Wallman, Eric	4	2023-03-17	10	2.05	131,955	6,800
Zentek Ltd. (formerly, ZEN Graphene Solutions Ltd.)	Common Shares	Wallman, Eric	4	2023-03-17	10	2.06	132,055	100
Zentek Ltd. (formerly, ZEN Graphene Solutions Ltd.)	Common Shares	Wallman, Eric	4	2023-03-17	10	2.07	132,355	300
Zonte Metals Inc.	Options	MacEachen, Brian	5	2023-03-10	52	0.205	550,000	-175,000
Zonte Metals Inc.	Options	MacEachen, Brian	5	2023-03-10	52	0.28	450,000	-100,000
Zonte Metals Inc.	Warrants	MacEachen, Brian	5	2023-03-10	55	0.4	0	-30,000

B.9

IPOs, New Issues and Secondary Financings

INVESTMENT FUNDS

Issuer Name:

Desjardins 1-5 year Laddered Canadian Corporate Bond Index ETF

Desjardins 1-5 year Laddered Canadian Government Bond Index ETF

Desjardins Alt Long/Short Equity Market Neutral ETF

Desjardins Alt Long/Short Global Equity Markets ETF

Desjardins Canadian Preferred Share Index ETF

Desjardins Canadian Short Term Bond Index ETF

Desjardins Canadian Universe Bond Index ETF

Desjardins RI Developed ex-USA ex-Canada - Low CO2 Index ETF

Desjardins RI Emerging Markets - Low CO2 Index ETF

Desjardins SocieTerra American Equity ETF

Principal Regulator – Quebec

Type and Date:

Final Long Form Prospectus dated Mar 15, 2023

NP 11-202 Final Receipt dated Mar 16, 2023

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #03488817

Issuer Name:

Profile Emerging Markets Private Pool II

iProfile International Equity Private Pool II

Principal Regulator – Manitoba

Type and Date:

Preliminary Simplified Prospectus dated Mar 17, 2023

NP 11-202 Preliminary Receipt dated Mar 17, 2023

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #03504485

Issuer Name:

Fidelity Global Growth Private Pool

Principal Regulator – Ontario

Type and Date:

Final Simplified Prospectus dated Mar 16, 2023

NP 11-202 Final Receipt dated Mar 17, 2023

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #03484288

Issuer Name:

NBI Canadian Core Plus Bond Fund

NBI Diversified Canadian Equity Private Portfolio

NBI Diversified International Equity Private Portfolio

NBI Diversified U.S. Equity Private Portfolio

NBI Global Small Cap Fund

Principal Regulator – Quebec

Type and Date:

Combined Preliminary and Pro Forma Simplified Prospectus dated Mar 13, 2023

NP 11-202 Preliminary Receipt dated Mar 14, 2023

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #03502415

Issuer Name:

Purpose USD Cash Management Fund

Principal Regulator – Ontario

Type and Date:

Combined Preliminary and Pro Forma Simplified Prospectus dated Mar 15, 2023

NP 11-202 Preliminary Receipt dated Mar 17, 2023

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #03503636

Issuer Name:

IG Core Portfolio - Balanced Growth II
IG Core Portfolio - Balanced II
IG Core Portfolio - Growth II
IG Core Portfolio - Income Balanced II
IG JPMorgan Emerging Markets Fund II
IG Mackenzie Betterworld SRI Fund II
IG Mackenzie European Equity Fund II
IG Mackenzie European Mid-Cap Equity Fund II
IG Mackenzie Global Financial Services Fund II
IG Mackenzie Global Natural Resources Fund II
IG Mackenzie International Small Cap Fund II
IG Mackenzie Ivy European Fund II
IG Mackenzie Pacific International Fund II
IG Mackenzie Pan Asian Equity Fund II
IG Mackenzie Science & Technology Fund II
IG Mackenzie U.S. Opportunities Fund II
IG Managed Risk Portfolio - Balanced II
IG Managed Risk Portfolio - Growth Focus II
IG Managed Risk Portfolio - Income Balanced II
JPMorgan - IG Emerging Markets Pool II
Principal Regulator – Manitoba

Type and Date:

Final Simplified Prospectus dated Mar 17, 2023
NP 11-202 Final Receipt dated Mar 17, 2023

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #03492695

Issuer Name:

Willoughby Investment Pool
Principal Regulator – British Columbia

Type and Date:

Preliminary Simplified Prospectus dated Mar 15, 2023
NP 11-202 Preliminary Receipt dated Mar 15, 2023

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #03501926

Issuer Name:

Manulife Smart Global Bond ETF
Manulife Smart Global Dividend ETF Portfolio
Principal Regulator – Ontario

Type and Date:

Preliminary Long Form Prospectus dated Mar 20, 2023
NP 11-202 Preliminary Receipt dated Mar 20, 2023

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #03504929

Issuer Name:

Middlefield Health & Wellness ETF
Middlefield Healthcare Dividend ETF (formerly Middlefield Healthcare & Life Sciences ETF)
Middlefield Innovation Dividend ETF (formerly Global Innovation Dividend Fund)
Middlefield Real Estate Dividend ETF (formerly Middlefield REIT INDEXPLUS ETF)
Middlefield Sustainable Global Dividend ETF (formerly Global Dividend Growers Income Fund)
Middlefield Sustainable Infrastructure Dividend ETF (formerly Sustainable Infrastructure Dividend Fund)
Middlefield U.S. Equity Dividend ETF (formerly Middlefield American Core Dividend ETF)
Principal Regulator – Ontario

Type and Date:

Final Long Form Prospectus dated Mar 16, 2023
NP 11-202 Final Receipt dated Mar 17, 2023

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #03488842

Issuer Name:

Mackenzie Private Global Income Balanced Pool
Mackenzie Private Income Balanced Pool
Principal Regulator - Ontario

Type and Date:

Amendment #2 to Final Simplified Prospectus dated March 10, 2023

NP 11-202 Final Receipt dated Mar 15, 2023

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #03445059

Issuer Name:

Ninepoint Bitcoin ETF
Principal Regulator - Ontario

Type and Date:

Amendment #1 to Final Long Form Prospectus dated March 13, 2023

NP 11-202 Final Receipt dated Mar 17, 2023

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #03365895

Issuer Name:

Bitcoin ETF
Ether ETF
Principal Regulator - Ontario

Type and Date:

Amended and Restated to Final Long Form Prospectus dated March 10, 2023

NP 11-202 Final Receipt dated Mar 15, 2023

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #03351389

Issuer Name:

MDPIM Emerging Markets Equity Pool
Principal Regulator - Ontario

Type and Date:

Amendment #1 to Final Simplified Prospectus dated March 10, 2023

NP 11-202 Final Receipt dated Mar 16, 2023

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #03371270

Issuer Name:

BMO U.S. Dollar Money Market Fund
BMO Emerging Markets Fund
BMO Greater China Class
BMO Sustainable Opportunities China Equity Fund
Principal Regulator - Ontario

Type and Date:

Amendment #2 to Final Simplified Prospectus dated February 28, 2023

NP 11-202 Final Receipt dated Mar 14, 2023

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #03371204

Issuer Name:

Canadian Equity Fund
Canadian Small Company Equity Fund
U.S. Large Cap Index Fund
U.S. Large Company Equity Fund
U.S. Small Company Equity Fund
International Equity Fund
Emerging Markets Equity Fund
Global Managed Volatility Fund
Canadian Fixed Income Fund
Long Duration Bond Fund
Money Market Fund
Real Return Bond Fund
Short Term Bond Fund
Short Term Investment Fund
U.S. High Yield Bond Fund
Balanced 60/40 Fund
Balanced Monthly Income Fund
Conservative Monthly Income Fund
Growth 100 Fund
Growth 80/20 Fund
Income 100 Fund
Income 20/80 Fund
Income 40/60 Fund
Global Equity Pool (formerly, All Equity Fund)
Global Balanced Growth Pool (formerly, Balanced Growth Fund)
Global Neutral Balanced Pool (formerly, Neutral Balanced Fund)
Income Balanced Pool (formerly, Income Balanced Fund)
Principal Regulator - Ontario

Type and Date:

Amended and Restated to Final Simplified Prospectus dated March 17, 2023

NP 11-202 Final Receipt dated Mar 20, 2023

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #03390802

Issuer Name:

Evolve Cryptocurrencies ETF
Principal Regulator - Ontario

Type and Date:

Amendment #2 to Final Long Form Prospectus dated March 10, 2023

NP 11-202 Final Receipt dated Mar 15, 2023

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #03409909

Issuer Name:

Mackenzie Private Global Income Balanced Pool
Mackenzie Private Income Balanced Pool
Mackenzie Maximum Diversification All World Developed
ex North America Index Fund
Mackenzie Maximum Diversification Developed Europe
Index Fund
Mackenzie Maximum Diversification Emerging Markets
Index Fund
Principal Regulator - Ontario

Type and Date:

Amendment #2 to Final Simplified Prospectus dated March
10, 2023

NP 11-202 Final Receipt dated Mar 15, 2023

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #03414600

Issuer Name:

iShares U.S. High Yield Fixed Income Index ETF (CAD-
Hedged)

iShares Short Term High Quality Canadian Bond Index
ETF

iShares High Quality Canadian Bond Index ETF

Principal Regulator - Ontario

Type and Date:

Amendment #4 to Final Long Form Prospectus dated
March 13, 2023

NP 11-202 Final Receipt dated Mar 17, 2023

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #03387864

Issuer Name:

Horizons Emerging Markets Leaders ETF

Principal Regulator - Ontario

Type and Date:

Amendment #2 to Final Long Form Prospectus dated
March 13, 2023

NP 11-202 Final Receipt dated Mar 17, 2023

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #03324203

NON-INVESTMENT FUNDS

Issuer Name:

Aurora Cannabis Inc.
Principal Regulator - Alberta

Type and Date:

Preliminary Shelf Prospectus dated March 17, 2023
NP 11-202 Preliminary Receipt dated March 17, 2023

Offering Price and Description:

U.S.\$650,000,000.00 - Common Shares Warrants Options
Subscription Receipts Debt Securities Units

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #3504638

Issuer Name:

Canada Goose Holdings Inc.
Principal Regulator - Ontario

Type and Date:

Preliminary Shelf Prospectus dated March 20, 2023
NP 11-202 Preliminary Receipt dated March 20, 2023

Offering Price and Description:

Debt Securities, Preferred Shares, Subordinate Voting
Shares, Warrants, Subscription Receipts, Units

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #3504945

Issuer Name:

Eastern Precious Metals Corp.
Principal Regulator - Ontario

Type and Date:

Preliminary Long Form Prospectus dated March 17, 2023
NP 11-202 Preliminary Receipt dated March 20, 2023

Offering Price and Description:

8,500,000.00 - Common Shares issued upon automatic
conversion of outstanding Special Warrants

Underwriter(s) or Distributor(s):

-

Promoter(s):

Aaron Eisenberg
Raymond David Harari Benaim

Project #3504178

Issuer Name:

Empress Royalty Corp.
Principal Regulator - British Columbia

Type and Date:

Preliminary Shelf Prospectus dated March 13, 2023
NP 11-202 Preliminary Receipt dated March 14, 2023

Offering Price and Description:

\$200,000,000.00 - COMMON SHARES, WARRANTS,
DEBT SECURITIES

UNITS

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #3502748

Issuer Name:

HIVE Blockchain Technologies Ltd.
Principal Regulator - British Columbia

Type and Date:

Preliminary Shelf Prospectus dated March 16, 2023
NP 11-202 Preliminary Receipt dated March 17, 2023

Offering Price and Description:

US\$100,000,000.00 - Common Shares, Warrants,
Subscription Receipts, Units, Debt Securities, Share
Purchase Contracts, Rights

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #3504301

Issuer Name:

Plaza Retail REIT
Principal Regulator - New Brunswick

Type and Date:

Preliminary Short Form Prospectus dated March 14, 2023
NP 11-202 Preliminary Receipt dated March 14, 2023

Offering Price and Description:

\$40,004,640.00 - 8,548,000 Units
Price: \$4.68 per Offered Unit

Underwriter(s) or Distributor(s):

RBC DOMINION SECURITIES INC.
CIBC WORLD MARKETS INC.
BMO NESBITT BURNS INC.
SCOTIA CAPITAL INC.
TD SECURITIES INC.
DESJARDINS SECURITIES INC.
IA PRIVATE WEALTH INC.
LAURENTIAN BANK SECURITIES, INC.
CANACCORD GENUITY CORP.

Promoter(s):

-

Project #3501317

Issuer Name:

Vizsla Silver Corp.
Principal Regulator - British Columbia

Type and Date:

Preliminary Shelf Prospectus dated March 14, 2023
NP 11-202 Preliminary Receipt dated March 14, 2023

Offering Price and Description:

US\$400,000,000.00 - Common Shares, Debt Securities,
Subscription Receipts, Warrants, Units

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #3502867

Issuer Name:

Canada Goose Holdings Inc.
Principal Regulator - Ontario

Type and Date:

Final Shelf Prospectus dated March 20, 2023
NP 11-202 Receipt dated March 20, 2023

Offering Price and Description:

Debt Securities, Preferred Shares, Subordinate Voting
Shares, Warrants, Subscription Receipts, Units

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #3504945

Issuer Name:

Cen-ta Real Estate Ltd.
Plum Financial Group Inc. (formerly Plum Financial
Planning Ltd.)

Type and Date:

Final Long Form Prospectus dated March 17, 2023
Received on March 17, 2023

Offering Price and Description:

0.00

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #3491364

Issuer Name:

Global Atomic Corporation
Principal Regulator - Ontario

Type and Date:

Final Short Form Prospectus dated March 13, 2023
NP 11-202 Receipt dated March 14, 2023

Offering Price and Description:

\$50,000,001.00 - 16,666,667 Units
Price: \$3.00 per Unit

Underwriter(s) or Distributor(s):

RED CLOUD SECURITIES INC.

Promoter(s):

-

Project #3500277

Issuer Name:

Journey Energy Inc.
Principal Regulator - Alberta

Type and Date:

Final Short Form Prospectus dated March 15, 2023
NP 11-202 Receipt dated March 15, 2023

Offering Price and Description:

\$17,500,003.00 - 2,643,505 FLOW-THROUGH SHARES
\$6.62 PER FLOW-THROUGH SHARE

Underwriter(s) or Distributor(s):

STIFEL NICOLAUS CANADA INC.

CORMARK SECURITIES INC.

ACUMEN CAPITAL FINANCE PARTNERS LIMITED

EIGHT CAPITAL

PETERS & CO. LIMITED

Promoter(s):

-

Project #3499967

Issuer Name:

Plum Financial Group Inc. (formerly Plum Financial
Planning Ltd.)
Cen-ta Real Estate Ltd.

Type and Date:

Final Long Form Prospectus dated March 17, 2023
Received on March 17, 2023

Offering Price and Description:

0.00

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #3491363

B.9: IPOs, New Issues and Secondary Financings

Issuer Name:

Yangarra Resources Ltd.
Principal Regulator - Alberta

Type and Date:

Final Short Form Prospectus dated March 17, 2023
NP 11-202 Receipt dated March 17, 2023

Offering Price and Description:

\$15,000,224.00
5,905,600 FLOW-THROUGH SHARES
\$2.54 PER FLOW-THROUGH SHARE

Underwriter(s) or Distributor(s):

ATB CAPITAL MARKETS INC.
CIBC WORLD MARKETS INC.
RAYMOND JAMES LTD.
ACUMEN CAPITAL FINANCE
PARTNERS LIMITED
CANACCORD GENUITY CORP.
PARADIGM CAPITAL INC.

Promoter(s):

-

Project #3501213

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B.10 Registrations

B.10.1 Registrants

Type	Company	Category of Registration	Effective Date
New Registration	LM Asset (IM) Inc.	Investment Fund Manager and Exempt Market Dealer	March 15, 2023
Change Registration Category	Auspice Capital Advisors Ltd.	From: Commodity Trading Manager, Exempt Market Dealer, Investment Fund Manager To: Commodity Trading Manager, Exempt Market Dealer, Investment Fund Manager, Portfolio Manager	March 17, 2023
Name Change	From: Knowledge First Financial Inc. / La Première financière du savoir To: Embark Student Corp. / Embark étudiant corp.	Scholarship Plan Dealer and Investment Fund Manager	February 27, 2023

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