

# B.11

## SRO, Marketplaces, Clearing Agencies and Trade Repositories

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### B.11.1 New SRO

#### B.11.1.1 New Self-Regulatory Organization of Canada (New SRO) – Housekeeping Amendments to Investment Dealer and Partially Consolidated Rules Regarding Margin Requirements for Securities Loan, Repurchase Agreements, and Reverse Repurchase Agreements with Term Risk – Notice of Commission Deemed Approval

##### NOTICE OF COMMISSION DEEMED APPROVAL

##### NEW SELF-REGULATORY ORGANIZATION OF CANADA (NEW SRO)

##### HOUSEKEEPING AMENDMENTS TO INVESTMENT DEALER AND PARTIALLY CONSOLIDATED RULES REGARDING MARGIN REQUIREMENTS FOR SECURITIES LOAN, REPURCHASE AGREEMENTS, AND REVERSE REPURCHASE AGREEMENTS WITH TERM RISK

The Ontario Securities Commission did not object to New SRO's proposed housekeeping amendments to the Investment Dealer and Partially Consolidated (**IDPC**) Rules regarding margin requirements for securities loan, repurchase agreements, and reverse repurchase agreements with term risk (**Housekeeping Amendments**). As a result, the Housekeeping Amendments were deemed approved and correct an error in the plain language drafting of the term risk margin requirements on financing transactions in IDPC Rule 5900.

The Housekeeping Amendments:

- replace language referring to the "security involved in loan agreement" with "Government of Canada debt security, and
- clarify the margin rate rule reference.

The Housekeeping Amendments will be effective immediately.

In addition, the Alberta Securities Commission; the Autorité des marchés financiers; the British Columbia Securities Commission; the Financial and Consumer Affairs Authority of Saskatchewan; the Financial and Consumer Services Commission of New Brunswick; the Manitoba Securities Commission; the Northwest Territories Office of the Superintendent of Securities; the Nova Scotia Securities Commission; the Nunavut Securities Office; the Office of the Superintendent of Securities, Digital Government and Services, Newfoundland and Labrador; the Office of the Yukon Superintendent of Securities; and the Prince Edward Island Office of the Superintendent of Securities did not object to the Housekeeping Amendments.

A copy of the New SRO Notice of Approval/Implementation, including text of the approved Housekeeping Amendments, is also published on our website at [www.osc.ca](http://www.osc.ca).