

**B.5.6 Changes to National Policy 11-206 Process for Cease to be a Reporting Issuer Applications**

**CHANGES TO  
NATIONAL POLICY 11-206  
PROCESS FOR CEASE TO BE A REPORTING ISSUER APPLICATIONS**

1. **National Policy 11-206 Process for Cease to be a Reporting Issuer Applications is changed by this Document.**

2. **Part 2 is changed by adding “AND INTERPRETATION” in its title.**

3. **The Policy is changed by adding the following section:**

4.1. **Electronic transmission** – National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under Multilateral Instrument 11-102 *Passport System* and this policy..

4. **Subsection 10(1) is deleted.**

5. **Section 16 is changed by**

(a) **deleting “by letter”, and**

(b) **deleting “in the pre-filing”.**

6. **Subsection 17(1) is changed by deleting “in the pre-filing”.**

7. **Subsection 22(1) is changed by replacing “In its application, the” with “The”.**

8. **Section 27 is replaced with the following:**

**Filing**

27. A filer should send the application materials together with the fees to

(a) the principal regulator, in the case of a passport application, or

(b) the principal regulator and the OSC, in the case of a dual application..

9. **Subsection 30(2) is changed by replacing “mark” with “treat”.**

10. **Subsection 34(1) is changed by replacing “circulate” with “provide”.**

11. **Part 10 is changed**

(a) **in its title by deleting “TRANSITION AND”, and**

(b) **by deleting section 40.**

**Effective Date**

12. These changes become effective on June 9, 2023.