

**B.5.7 Changes to National Policy 11-207 Failure-to-File Cease Trade Orders and Revocations in Multiple Jurisdictions**

**CHANGES TO  
NATIONAL POLICY 11-207  
FAILURE-TO-FILE CEASE TRADE ORDERS AND REVOCATIONS IN MULTIPLE JURISDICTIONS**

1. **National Policy 11-207 Failure-to-File Cease Trade Orders and Revocations in Multiple Jurisdictions is changed by this Document.**

2. **Section 3 is changed by**

(a) **deleting the definition of “SEDAR”, and**

(b) **adding the following definition:**

“SEDAR+” means System for Electronic Data Analysis and Retrieval +;.

3. **The Policy is changed by adding the following section:**

5.1. **Electronic Transmission** – National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under this policy..

4. **Section 13 is changed by replacing “SEDAR” with “SEDAR+”.**

5. **Subsection 16(1) is changed by replacing “circulate” with “provide”.**

6. **Subsection 19(2) is changed by deleting “a copy of”.**

7. **Section 28 is changed by replacing “to hold an annual meeting requirement” with “to hold an annual meeting”.**

8. **Paragraph 33(1)(e) is changed by replacing “SEDAR” with “SEDAR+”.**

9. **Section 37 is replaced with the following:**

**Filing**

37. A filer should send the application materials, including the draft order together with the fees, where applicable, to

(a) the principal regulator or

(b) the principal regulator and the OSC, in the case of a dual application..

10. **Subsection 40(2) is changed by replacing “mark” with “treat”.**

11. **Subsection 45(1) is changed by replacing “circulate” with “provide”.**

**Effective Date**

12. These changes become effective on June 9, 2023.