

B.1.3 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments**OSC STAFF NOTICE 11-739 (REVISED)****POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS**

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of September 30, 2023, has been posted to the OSC Website at www.osc.gov.on.ca.

Table of Concordance

Item Key
The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

Reformulation

Instrument	Title	Status
81-336	Crypto Asset Investment Funds That Are Reporting Issuers	Published July 6, 2023
13-932	Exemptions from certain filing requirements in connection with the launch of the System for Electronic Data Analysis and Retrieval	Published July 20, 2023
13-933	Temporary exemption from the requirement to transmit a report of exempt distribution through SEDAR+ in connection with distributions of eligible foreign securities to permitted clients	Published July 20, 2023
31-363	Client Focused Reform: Review of Registrants' Conflicts of Interest Practices and Additional Guidance	Published August 3, 2023
33-755	Compliance and Registrant Regulation Branch – Summary Report for Dealers, Advisers and Investment Fund Managers	Published August 3, 2023
24-319	Regarding NI 24-101 Institutional Trade Matching and Settlement Update and Staff Recommendation	Published August 10, 2023
81-509	Extension to Ontario Instrument 81-508 Temporary Exemptions from the OEO Trailer Ban to Facilitate Dealer Rebates of Training Commissions and Client Transfers	Board Approval Published August 31, 2023
81-104	Repeal of NI 81-104 Alternative Mutual Funds	Board Approval Published August 31, 2023
81-734	Summary Report for Investment Fund and Structured Product Issuers	Published September 14, 2023
25-102	Designated Benchmarks and Benchmark Administrators – Amendments	Ministerial approval published September 14, 2023
14-101	Definitions – Amendments	Notice of Coming into Force published September 14, 2023
25-501	(Commodity Futures Act) Designated Benchmarks and Benchmark Administration	Ministerial approval published September 14, 2023
31-103	Registration Requirements, Exemptions and Ongoing Registrant Obligations – Amendments	Notice of Coming into Force published September 14, 2023
33-109	Registration Information – Amendments	Notice of Coming into Force published September 14, 2023

B.1: Notices

45-106	Prospectus Exemptions – Amendments	Notice of Coming into Force published September 14, 2023
52-107CP	Acceptable Accounting Principles and Auditing Standards - Amendments	Notice of Coming into Force published September 14, 2023
62-103	The Early Warning System and Related Take-Over Bid and Insider Reporting Issues - Amendments	Notice of Coming into Force published September 14, 2023
81-102	Investment Funds - Amendments	Notice of Coming into Force published September 14, 2023
94-102	Derivatives: Customer Clearing and Protection of Customer Collateral and Positions – Amendments	Notice of Coming into Force published September 14, 2023
14-501	Definitions – Amendments	Notice of Coming into Force published September 14, 2023
45-501	Ontario Prospectus and Registration Exemptions	Notice of Coming into Force published September 14, 2023
52-503	Exemption from Disclosure of Specified Financial Measure	Notice of Ministerial Approval published September 21, 2023
44-102	Shelf Distributions Relating to Well-Known Seasoned Issuers	Request for Comment published September 21, 2023
93-101	Derivatives – Business Conduct	Final instrument published September 28, 2023

For further information, contact:

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October 12, 2023