

## B.1.2 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

## OSC STAFF NOTICE 11-739 (REVISED)

## POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of December 31, 2023, has been posted to the OSC Website at [www.osc.gov.on.ca](http://www.osc.gov.on.ca).

## Table of Concordance

Item Key
The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

## Reformulation

Instrument	Title	Status
58-316	Review of Disclosure Regarding Women on Boards and in Executive Officer Positions	Published October 5, 2023
33-509	Notice of Commission Approval of OSC Rule 33-509 Exemption from Underwriting Conflict Disclosure Requirements	Notice of Commission approval published October 5, 2023
21-333	Crypto Asset Trading Platforms: Terms and Conditions for Trading Value-Referenced Crypto Assets with Clients	Staff notice published October 12, 2023
31-364	OBSI Joint Regulators Committee Annual Report for 2022	Staff notice published October 12, 2023
11-739	Policy Reformulation Table of Concordance and List of New Instruments (Revised)	Staff notice published October 12, 2023
23-331	Request for Feedback on December 2022 SEC Market Structure Proposals and Potential Impact on Canadian Capital Markets	Staff notice published October 19, 2023
81-102	Proposed Amendments to NI 81-102 – Investment Funds	Amendments published for comment on October 19, 2023
11-737	Securities Advisory Committee – Vacancies (Revised)	Staff notice published October 26, 2023
13-502	Proposed Amendments to OSC Rule 13-502 and OSC Rule 13-503 (Commodity Futures Act) Fees	Amendments published for comment on November 9, 2023
23-332	Summary of Comment and Responses to CSA/IIROC Staff Notice 23-329 Short Selling in Canada	Staff notice published November 16, 2023
11-798	Statement of Priorities – Request for Comments Regarding Statement of Priorities for Fiscal Year 2024-2025	Published for comment November 16, 2023
31-103	Request for Comment - Registered Firm Requirements Pertaining to an Independent Dispute Resolution Service – Proposed Amendments to NI 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations and Proposed Changes to Companion Policy 31-103CP Registration Requirements, Exemptions and Ongoing Registrant Obligations	Published for comment November 30, 2023
13-315	Securities Regulatory Authority Closed Dates 2024	Staff notice published December 7, 2023

**B.1: Notices**

<b>81-509</b>	Notice of Coming into Force of OSC Rule 81-509 Extension to Ontario Instrument 81-508 Temporary Exemptions from the OEO Trailer Ban to Facilitate Dealer Rebates of Trailing Commissions and Client Transfers	<b>Notice of coming into force published December 7, 2023</b>
<b>51-735</b>	Corporate Finance Branch 2023 Annual Report	<b>Staff notice published December 7, 2023</b>
<b>24-101</b>	Commission approval of amendments to NI 24-101 Institutional Trade Matching and Settlement and Changes to Companion Policy 24-101 Institutional Trade Matching and Settlement	<b>Notice of Commission approval published December 14, 2023</b>
<b>81-104</b>	Notice of Ministerial Approval of the Repeal of NI 81-104 Alternative Mutual Funds	<b>Notice of Ministerial approval of repeal published December 14, 2023</b>
<b>93-101</b>	Notice of Ministerial Approval of MI 93-101 Derivatives: Business Conduct and Companion Policy 93-101 Derivatives: Business Conduct	<b>Notice of Ministerial approval published December 21, 2023</b>

For further information, contact:

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