B.11 CIRO, Marketplaces, Clearing Agencies and Trade Repositories

B.11.2 Marketplaces

B.11.2.1 Consolidation of ATS Protocol Orders - Notice

NOTICE

CONSOLIDATION OF ATS PROTOCOL ORDERS

Since June 22, 2012, the Commission has issued and varied a number of orders requiring alternative trading systems (ATSs) that operate in Ontario to comply with the Process for the Review and Approval of the Information Contained in Form 21-101F2 and the Exhibits Thereto (ATS Protocol). Some ATSs captured in these orders (the ATS Protocol Orders) have ceased their operations, while others have changed their names.

In the interest of reflecting the name changes of certain ATSs, consolidating the ATS Protocol Orders, and reflecting that some of the ATSs no longer carry on business in Ontario as ATSs, on March 21, 2024, the Commission issued two orders simultaneously. One order revokes the ATS Protocol Orders and the other order requires those ATSs currently operating in Ontario to comply with the ATS Protocol. There are no changes to the ATS Protocol itself.

Both orders are published in Chapter 2 of this Bulletin.

Questions may be referred to:

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