B. Ontario Securities Commission

B.1 Notices

B.1.1 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

OSC STAFF NOTICE 11-739 (REVISED)

POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of March 31, 2024, has been posted to the OSC Website at <u>www.osc.gov.on.ca</u>.

Table of Concordance

Item Key

The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

Reformulation

Instrument	Title	Status
11-739	Policy Reformulation Table of Concordance and List of New Instruments	Published January 11, 2024
11-742	Securities Advisory Committee	Published January 11, 2024
NI 41-101	General Prospectus Requirements – Amendments	Commission approval published January 11, 2024
NI 44-101	Short Form Prospectus Distributions- Amendments	Commission approval published January 11, 2024
NI 44-102	Shelf Distributions – Amendments	Commission approval published January 11, 2024
NI 44-103	Post-Receipt Pricing – Amendments	Commission approval published January 11, 2024
NP 47-201	Trading Securities Using the Internet and Other Electronic Means – Amendments	Commission approval published January 11, 2024
NI 81-102	Investment Funds Pertaining to Crypto Assets – Amendments	Published for comment January 18, 2024
33-509	Exemption from Underwriting Conflicts Disclosure Requirements	Ministerial approval published January 18, 2024
45-508	Extension to Ontario Instrument 45-507 Self-Certified Investor Prospectus Exemption	Commission approval published February 8, 2024
23-333	Order Protection Rule: Market Share Threshold – Effective as of April 1, 2024	Published February 29, 2024
81-334	ESG Related Investment Fund Disclosure	Notice published March 7, 2024
96-305	Derivatives Data Reporting Guidance for CDOR Transition	Notice published March 7, 2024

NI 41-101	General Prospectus Requirements – Amendments	Ministerial approval published March 14, 2024
NI 44-101	Short Form Prospectus Distributions – Amendments	Ministerial approval published March 14, 2024
NI 44-102	Shelf Distributions – Amendments	Ministerial approval published March 14, 2024
NI 44-103	Post-Receipt Pricing – Amendments	Ministerial approval published March 14, 2024
NI 47-201	Trading Securities Using the Internet and Other Electronic Means – Amendments	Commission approval published March 14, 2024
81-337	Targeted Continuous Disclosure Review and Guidance for Independent Review Committees for Investment Funds	Notice published March 21, 2024
25-311	2023 Annual Activities Report on the Oversight of Canadian Investment Regulatory Organization and Canadian Investment Protection Fund	Notice published March 28, 2024

For further information, contact:

Darlene Watson Business and Corporate Project Manager Ontario Securities Commission (dwatson@osc.gov.on.ca)

April 11, 2024