

The Ontario Securities Commission

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The Ontario Securities Commission

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Chapter 1

Notices

1.2 Notices of Hearing

1.2.1 Issam El-Bouji – ss. 127, 127.1

FILE NO.: 2018-28

IN THE MATTER OF ISSAM EL-BOUJI

NOTICE OF HEARING

Section 127 and Section 127.1 of the *Securities Act*, RSO 1990, c S.5

PROCEEDING TYPE: Public Settlement Hearing

HEARING DATE AND TIME: March 10, 2020 at 10:00 a.m.

LOCATION: 20 Queen Street West, 17th Floor, Toronto, Ontario

PURPOSE

The purpose of this hearing is to consider whether it is in the public interest for the Commission to approve the Settlement Agreement dated March 3, 2020 between Staff of the Commission and Issam El-Bouji in respect of the Statement of Allegations filed by Staff of the Commission dated May 24, 2018.

REPRESENTATION

Any party to the proceeding may be represented by a representative at the hearing.

FAILURE TO ATTEND

IF A PARTY DOES NOT ATTEND, THE HEARING MAY PROCEED IN THE PARTY'S ABSENCE AND THE PARTY WILL NOT BE ENTITLED TO ANY FURTHER NOTICE IN THE PROCEEDING.

FRENCH HEARING

This Notice of Hearing is also available in French on request of a party. Participation may be in either French or English. Participants must notify the Secretary's Office in writing as soon as possible if the participant is requesting a proceeding be conducted wholly or partly in French.

AVIS EN FRANÇAIS

L'avis d'audience est disponible en français sur demande d'une partie, que la participation à l'audience peut se faire en français ou en anglais et que les participants doivent aviser le Bureau du secrétaire par écrit dès que possible si le participant demande qu'une instance soit tenue entièrement ou partiellement en français.

Dated at Toronto this 5th day of March, 2020.

"Grace Knakowski"
Secretary to the Commission

For more information

Please visit www.osc.gov.on.ca or contact the Registrar at registrar@osc.gov.on.ca.

1.3 Notices of Hearing with Related Statement of Allegations

1.3.1 Global RESP Corporation and Global Growth Assets Inc. – ss. 127, 127.1

FILE NO.: 2020-7

**IN THE MATTER OF
GLOBAL RESP CORPORATION AND
GLOBAL GROWTH ASSETS INC.**

NOTICE OF HEARING

Section 127 and Section 127.1 of the *Securities Act*, RSO 1990, c S.5

PROCEEDING TYPE: Public Settlement Hearing

HEARING DATE AND TIME: March 10, 2020 at 10:00 a.m.

LOCATION: 20 Queen Street West, 17th Floor, Toronto, Ontario

PURPOSE

The purpose of this hearing is to consider whether it is in the public interest for the Commission to approve the Settlement Agreement dated March 3, 2020 between Staff of the Commission and Global RESP Corporation and Global Growth Assets Inc. in respect of the Statement of Allegations filed by Staff of the Commission dated March 4, 2020.

REPRESENTATION

Any party to the proceeding may be represented by a representative at the hearing.

FAILURE TO ATTEND

IF A PARTY DOES NOT ATTEND, THE HEARING MAY PROCEED IN THE PARTY'S ABSENCE AND THE PARTY WILL NOT BE ENTITLED TO ANY FURTHER NOTICE IN THE PROCEEDING.

FRENCH HEARING

This Notice of Hearing is also available in French on request of a party. Participation may be in either French or English. Participants must notify the Secretary's Office in writing as soon as possible if the participant is requesting a proceeding be conducted wholly or partly in French.

AVIS EN FRANÇAIS

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Dated at Toronto this 5th day of March, 2020.

"Grace Knakowski"
Secretary to the Commission

For more information

Please visit www.osc.gov.on.ca or contact the Registrar at registrar@osc.gov.on.ca.

**IN THE MATTER OF
GLOBAL RESP CORPORATION AND
GLOBAL GROWTH ASSETS INC.**

STATEMENT OF ALLEGATIONS

(Section 127 and Section 127.1 of the *Securities Act*, RSO 1990, c S.5)

A. OVERVIEW

1. This proceeding relates to continued non-compliance with Ontario securities law by Global RESP Corporation (**Global RESP**) and Global Growth Assets Inc. (**GGAI**).
2. Global RESP is a scholarship plan dealer, and GGAI is a related investment fund manager (**IFM**). Global RESP sells units in scholarship plans (the **Global Plans**) to investors and GGAI manages the plans' investments¹. As of March 31, 2019, these plans had net assets of over \$700 million in over 60,000 individual plans.
3. Global RESP and GGAI have been subject to a variety of past regulatory actions because of persistent and serious regulatory violations. Despite these actions, compliance reviews of the firms completed in 2018 revealed that the firms continued to breach securities laws including in the same areas that were the subject of prior administrative sanctions against them.
4. Registration serves a gatekeeping purpose to protect investors. Registrants must meet high standards of fitness and business conduct and demonstrate a commitment to compliance with securities laws. Operating an effective compliance system is essential to a registered firm's continuing fitness for registration.

B. FACTS

5. Staff of the Enforcement Branch of the Ontario Securities Commission (**Enforcement Staff**) makes the following allegations of fact:

I. The Global Group

6. Global RESP is a registered scholarship plan dealer and acts as the distributor for the Global Plans which are registered education savings plans. The Global Plans are long term financial commitments that involve investors (known as **Subscribers**) contributing to save for a child's post-secondary education. Global RESP representatives sell units in the Global Plans to Subscribers.
7. GGAI is the registered IFM for the Global Plans. Prior to GGAI, the Global Educational Trust Foundation (the **Foundation**) acted as IFM for the Global Plans. The Foundation is also the promoter for the Global Plans.
8. Global RESP, GGAI and the Foundation (the **Global Group**) effectively operate as a single organization. Global RESP carries out all the client-facing operations for the firms, Global RESP and GGAI share the same principal owner, and all three firms operate from the same offices and share the same board of directors.
9. Issam El- Bouji (**Mr. Bouji**) is the sole shareholder of GGAI and indirectly owns Global RESP through the Bouji Trust. Mr. Bouji was the CEO and Ultimate Designated Person (**UDP**) at Global RESP and GGAI until he was suspended as UDP and banned from acting as a director or officer of a registrant for nine years as part of the 2014 settlement, discussed below.

II. The Global Plans

10. Since 2016, Global RESP has distributed units in two plans: the Legacy Education Savings Plan (**LES Plan**) and the Advanced Education Savings Plan (**AES Plan**). Prior to 2016, the LES Plan was named the Global Educational Trust Plan (the **GET Plan**). The Global Plans are offered to Subscribers in units at a cost of \$504 per unit.
11. Global RESP charges enrolment fees² to investors in the GET Plan and LES Plan, which require Subscribers to contribute a scheduled, mandated minimum of funds—sometimes for 18 years or more. Prior to 2016, Global RESP charged enrolment fees of \$60 per unit under the GET Plan. In 2016, GGAI renamed the GET Plan as the LES Plan and changed the fee structure, including lowering the enrolment fees to \$30 per unit.³

¹ The plans' investments are held at a third-party custodian and investment decisions are made by registered third party portfolio managers

² The GET Plan refers to these fees as "enrolment fees" and the LES Plan refers to these fees as "sales charges."

³ In addition to these enrolment fees, GGAI charges Subscribers management fees to manage the Global Plans, similar to the management fees that a mutual fund charges its unit holders. These management fees increased from 1.2% under the GET Plan to 1.95% under the LES Plan.

12. The enrolment fees are paid to Global RESP as distributor of the Global Plans. Global RESP collects the enrolment fees for all units purchased upfront. This means that up to 100% of a Subscriber's initial contributions are used to cover the enrolment fees until these fees are paid in full. If a Subscriber is not financially able to continue their plan within the first year, all the Subscriber's contributions could be lost to the enrolment fees.

III. Regulatory Actions and Decisions

13. Global RESP and GGAI have a history of non-compliance with Ontario securities laws. The following three sections set out a brief overview of Global RESP and GGAI's (the **Respondents**) regulatory history with the Commission as it relates to the new allegations set out in Part IV below.

1. Global RESP was warned about compliance deficiencies in five compliance reviews between 2003 and 2012

14. Between 2003 and 2009, Staff from the Compliance and Registrant Regulation Branch (**CRR Staff**) completed four compliance reviews of Global RESP. In each case, CRR Staff found deficiencies in its compliance with Ontario securities law. As a result of these deficiencies, the Commission imposed restrictions on Global RESP's registration three times during this period.

15. In 2012, CRR Staff completed a fifth compliance review of Global RESP which revealed significant compliance deficiencies both at Global RESP and GGAI. As a result, Enforcement Staff brought proceedings for a temporary order against Global RESP and GGAI. These proceedings resulted in the Commission imposing detailed terms and conditions on the registrations of Global RESP and GGAI. These terms included a requirement that the firms retain a compliance consultant and that a monitor review Global RESP's recent sales to investors.

2. Global RESP and GGAI settled enforcement allegations in 2014 and were sanctioned

16. In 2013, Enforcement Staff brought proceedings against the Respondents for, among other things:
- a) GGAI's failure to refer a conflict of interest to the plan's Independent Review Committee (**IRC**);
 - b) GGAI's failure to recognize and record an obligation to reimburse enrolment fees to students for plans purchased pursuant to prospectuses dated between 2002 and 2004 (the **Enrolment Fee Obligation**);
 - c) Global RESP's significant compliance deficiencies, including its failure to meet its KYC and suitability obligations; and
 - d) Global RESP and GGAI's lack of adequate compliance systems.
17. The proceeding was settled in 2014 (the **2014 Settlement**). In the resulting order, the Commission imposed sanctions against Mr. Bouji, Global RESP and GGAI (the **2014 Order**) including:
- a) a \$1.9 million disgorgement order against Mr. Bouji;
 - b) orders requiring that Mr. Bouji resign as CEO and UDP of Global RESP and GGAI and prohibiting him from acting as a director or officer for nine-years;
 - c) an order requiring that GGAI record the Enrolment Fee Obligation in its books and records; and,
 - d) terms and conditions on the registration of Global RESP and GGAI, including a requirement that they maintain a majority of independent directors on their boards and submit to a review by a compliance consultant.

3. Global RESP settled enforcement allegations that it failed to take steps to ensure compliance with the 2014 Order and was sanctioned

18. In 2018, Enforcement Staff brought proceedings against Global RESP for allowing Mr. Bouji to act as a *de facto* officer between January 2015 and December 2017 in contravention of the 2014 Settlement. Global RESP settled these proceedings and agreed to sanctions that included financial sanctions and additional terms and conditions on its registration. In the settlement agreement, Global RESP admitted that it failed to implement any policies and procedures to provide reasonable assurance of compliance with the 2014 Order prohibiting Mr. Bouji from acting as a director or officer.
19. In its decision approving the 2018 settlement, the Commission expressed concern that Global RESP failed to take its obligations under the 2014 Settlement seriously.

IV. Repeat Misconduct

20. Compliance reviews of Global RESP and GGAI completed in 2018 revealed that the firms continue to breach Ontario securities laws in areas that were the subject of the 2014 Settlement.

1. Failure to Fulfill Commitment to Reimburse Enrolment Fees

21. Prospectuses for the GET Plan dated between 2002 and 2004 (the **2002 to 2004 Prospectuses**), told Subscribers that an amount equivalent to their enrolment fees would be reimbursed to their beneficiaries with their educational assistance payments.⁴

22. As set out above, prior to the 2014 Settlement, GGAI failed to recognize and record the Enrolment Fee Obligation, despite becoming IFM in 2010. As part of the 2014 Settlement, GGAI acknowledged the Enrolment Fee Obligation and the Commission ordered GGAI to record the obligation in its books and records.

23. However, following the 2014 Settlement, GGAI failed to ensure that the Global Group fulfilled the obligation to reimburse these fees to all eligible beneficiaries. GGAI failed to ensure full reimbursement for some plans that matured prior to *and* after the 2014 Settlement.

24. To date, the Global Group has still not followed through with the commitment to fully refund these fees to all eligible beneficiaries. Instead, the Global Group has disqualified some beneficiaries from receiving the full amounts based on rules that were not disclosed in the 2002 to 2004 Prospectuses.

25. As of December 31, 2019, the Global Group had underpaid eligible beneficiaries by approximately \$900,000.

26. Global RESP certified the 2002 to 2004 Prospectuses and provided them to Subscribers. However, when these Subscribers complained about the Global Group not fulfilling their commitment to refund these fees, Global RESP misrepresented that the repayment of these fees was discretionary and not guaranteed.

2. Failure to Adequately Respond to a Conflict of Interest

27. During the Material Time⁵, GGAI permitted transactions that amounted to a conflict of interest prior to obtaining an independent and impartial recommendation from the Global Plans' IRC. These transactions resulted in a firm owned by Mr. Bouji earning commissions from transactions conducted on behalf of the LES Plan. This is the second time that GGAI has breached its conflict of interest obligations when transacting with this Bouji-owned firm.

28. As part of the 2014 Settlement, GGAI was sanctioned for failing to refer conflicts of interest involving the GET Plan's assets to the plan's IRC. The conflicted transactions resulted in a benefit of over \$1.9 million to Global Maxfin Capital Inc. (**GMCI**), an investment dealer owned by Mr. Bouji. As a result, Mr. Bouji was required to disgorge this \$1.9 million and GGAI was sanctioned as part of the 2014 Settlement.

29. Despite these sanctions, GGAI permitted conflicted transactions involving GMCI prior to obtaining an impartial and independent recommendation from the plan's IRC.

30. In 2017, GGAI entered into an agreement with a portfolio manager (**PM**) to trade exchange traded funds (**ETFs**) for the LES Plan. As part of the arrangement, it was proposed that the PM would direct its trades in ETFs through GMCI resulting in GMCI earning commissions from the LES Plan. As the sole shareholder of GMCI, Mr. Bouji stood to benefit from commissions earned by GMCI, thereby creating a conflict of interest. GGAI was required to report this conflict of interest to the plan's IRC for its review and, prior to proceeding with the transactions, GGAI was required to obtain and consider the IRC's recommendation or to obtain standing instructions from the IRC that would permit the transactions to proceed.

31. GGAI reported the proposed arrangement to the IRC at a meeting on November 7, 2016 and suggested that the matter be dealt with through standing instructions. At the meeting, GGAI committed to providing standing instructions to the IRC for its review. On February 28, 2017, GGAI sent proposed standing instructions to the IRC. However, the IRC did not approve the standing instructions until April 2017.

32. Nonetheless, almost two months before the IRC approved the standing instructions, GMCI began clearing ETF trades on behalf of the LES Plan and earning commissions on those trades. These trades were not reported to the IRC for their review and recommendation prior to being executed.

⁴ The prospectuses disclosed that "a proportionate amount which is equivalent to the Enrollment Fees paid by [the subscribers] will be paid to the [beneficiaries] directly by the Foundation with each [educational assistance] payment."

⁵ Other than in relation to the failure to reimburse enrolment fees, the material time with respect to the misconduct by Global RESP and GGAI is January 1, 2017 to December 31, 2018 (the **Material Time**).

3. Failure to Fulfill Know-Your-Client (KYC) and Suitability Obligations

33. Global RESP has a history of compliance deficiencies related to KYC and suitability. Following the 2012 compliance review, Global RESP was required to retain an independent consultant to review its practices, including having a monitor contact all new clients to confirm the accuracy of the clients' KYC information and that the investment was suitable for the clients. These compliance deficiencies formed part of the 2014 Settlement against Global RESP. Nonetheless, Global RESP has continued to breach its KYC and suitability obligations.
34. During the Material Time, Global RESP failed to take reasonable steps to ensure (i) it collected sufficient and/or accurate financial information on its clients; and (ii) that investments in the Global Plans were suitable for its clients.
35. Global RESP approved some enrolment applications despite red flags in the applications that it should have investigated prior to approving the trades. Examples of these red flags include:
- (a) government assistance and Canada Child Benefit (CCB) as the sole source of income for Subscribers;
 - (b) Subscribers relying on food banks;
 - (c) income from the CCB recorded in amounts that exceeded the allowable maximums for that benefit;
 - (d) unreasonably low annual expenses and/or mandatory deductions;
 - (e) the exact same income and expenses listed for multiple Subscribers that were clients of the same dealing representative (DR); and
 - (f) representations that a spouse, that was not a Subscriber, paid some or all of the expenses or contributed to the Subscriber's income without obtaining KYC information from the spouse.
36. In these circumstances, Global RESP did not obtain sufficient and/or accurate information from its clients to properly conduct suitability assessments. Nevertheless, Global RESP approved the applications.
37. Further, Global RESP mechanically applied an affordability calculation in its policies that permitted Subscribers to make contributions as long as these contributions were less than 20% of their net income. Global RESP failed to adequately consider other factors bearing on whether the investment was suitable for Subscribers, including the Subscriber's source of income and employment status.
38. In the case of the LES Plan, as discussed above, Global RESP collects frontloaded enrolment fees from up to 100% of a Subscriber's initial contributions. If a Subscriber is not financially able to continue their plan within the first year, all the Subscriber's contributions could be lost to the enrolment fees. As a result, a proper suitability assessment based on accurate KYC information has particular importance for these Subscribers.
39. As a result of not investigating red flags, Global RESP failed to detect the inappropriate sales practices of a DR executing unsuitable trades on behalf of several Syrian refugees (**DR-A**).
40. Once the issues involving DR-A were brought to its attention, Global RESP failed to respond appropriately. Despite several clients (accompanied by a translator) visiting Global RESP's head office to complain about DR-A's sales practices and request that their plans be terminated, Global RESP failed to implement any enhanced supervisory measures over DR-A. DR-A continued to enrol an additional client whose application contained several of the same red flags as the previous clients.

4. Failure to Maintain Adequate Systems of Control and Supervision

41. As noted above, Global RESP has been the subject of five compliance reviews from 2003 to 2012. In each case, it was warned about compliance deficiencies. During that period, the Commission imposed restrictions on Global RESP's registration four times. Both Global RESP and GGAI have been subject to prior enforcement proceedings in respect of their deficient compliance systems and have been sanctioned accordingly. Following Staff's discovery of significant deficiencies in 2012, both firms were required to retain an independent consultant to review their practices. Nonetheless, both firms continued to have inadequate compliance systems.
42. In addition to the breaches referred to above, during the Material Time, Global RESP and GGAI also breached Ontario securities law as follows:
- (a) GGAI allocated income to individual plan accounts based on estimates rather than actual income earned. In 2017 and 2018, the estimates differed (sometimes higher and sometimes lower) from the actual income earned each month, however, GGAI never corrected the allocations. These inaccurate allocations impacted

the amounts paid to Subscribers and beneficiaries. This use of estimates was never disclosed to Subscribers and GGAI maintained no written policies or procedures for the monthly income allocation process;

- (b) Global RESP sent annual account statements to its clients that purported to record the amount of income earned on contributions and government grants. However, these amounts instead reflected the inaccurate income allocations based on the estimates discussed above;
- (c) GGAI failed to maintain adequate controls to ensure that clients who terminated their accounts received all amounts owed to them. As of April 2018, 457 clients who terminated their accounts between 1999 and 2017 are owed approximately \$227,000 in total;
- (d) GGAI failed to retain copies of certain books and records it was required to retain under Ontario securities law. GGAI also failed to implement proper retention practices or procedures to ensure that it kept appropriate records including failing to maintain an appropriate filing system or internal database to store its books and records;
- (e) GGAI continued to outsource many of its functions for the Global Plans to Global RESP despite Global RESP's historical compliance issues; and
- (f) GGAI failed to establish and maintain a formal process to supervise Global RESP in relation to these functions.

C. BREACHES AND CONDUCT CONTRARY TO THE PUBLIC INTEREST

43. Enforcement Staff alleges the following breaches of Ontario securities law and/or conduct contrary to the public interest:

- a) Global RESP failed to deal fairly, honestly and in good faith with its clients contrary to Part 2.1 of OSC Rule 31-505 – *Conditions of Registration*;
- b) Global RESP failed to take reasonable steps to ensure that it had sufficient client information to enable it to meet its suitability obligations, contrary to subsection 13.2(2) of National Instrument 31-103 – *Registration Requirements, Exemptions and Ongoing Registrant Obligations (NI 31-103)*;
- c) Global RESP failed to take reasonable steps to ensure that, before it made a recommendation or accepted an instruction from a client to buy or sell a security, the purchase or sale was suitable for the client, contrary to section 13.3 of NI 31-103;
- d) Global RESP failed to deliver to its clients, statements that included the information required under Ontario securities law, contrary to sections 14.14 and 14.16 of NI 31-103;
- e) GGAI failed to act honestly, in good faith and in the best interests of the Global Plans and/or failed to act with the degree of care, diligence and skill of a reasonably prudent person in the circumstances contrary to section 116 of the *Securities Act*, RSO 1990, c S.5 (the **Act**);
- f) GGAI failed to comply with its obligations respecting conflicts of interest contrary to subsections 5.1(1), 5.3(1) and 5.4(2) of National Instrument 81-107 – *Independent Review Committee for Investment Funds*;
- g) Global RESP and GGAI failed to establish and maintain systems of control and supervision sufficient to provide reasonable assurance that Global RESP and GGAI and each individual acting on their behalf complied with securities legislation, contrary to section 11.1 of NI 31-103 and subsection 32(2) of the *Act*;
- h) GGAI failed to keep and retain books, records and other documents as required under Ontario securities law, contrary to section 19 of the *Act* and subsection 11.5(1) and section 11.6 of NI 31-103; and
- i) as set out in subparagraphs (a) through (h) above, Global RESP and GGAI engaged in conduct contrary to the public interest.

44. Enforcement Staff reserves the right to amend these allegations and to make such further and other allegations as Enforcement Staff may advise and the Commission may permit.

D. ORDER SOUGHT

45. Enforcement Staff request that the Commission make an order pursuant to subsection 127(1) and section 127.1 of the *Act* to approve the settlement agreement dated March 3, 2020 between the Respondents and Enforcement Staff.

DATED at Toronto, March 4, 2020.

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1.4 Notices from the Office of the Secretary

1.4.1 Money Gate Mortgage Investment Corporation et al.

**FOR IMMEDIATE RELEASE
March 5, 2020**

**MONEY GATE MORTGAGE INVESTMENT CORPORATION,
MONEY GATE CORP.,
MORTEZA KATEBIAN and
PAYAM KATEBIAN,
File No. 2017-79**

TORONTO – The Commission issued an Order in the above named matter.

A copy of the Order dated March 5, 2020 is available at www.osc.gov.on.ca.

OFFICE OF THE SECRETARY
GRACE KNAKOWSKI
SECRETARY TO THE COMMISSION

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1.4.2 Issam El-Bouji

**FOR IMMEDIATE RELEASE
March 5, 2020**

**IN THE MATTER OF
ISSAM EL-BOUJI,
File No. 2018-28**

TORONTO – The Office of the Secretary issued a Notice of Hearing for a hearing to consider whether it is in the public interest to approve a settlement agreement entered into by Staff of the Commission and Issam El-Bouji in the above named matter.

The hearing will be held on March 10, 2020 at 10:00 a.m. on the 17th floor of the Commission's offices located at 20 Queen Street West, Toronto.

A copy of the Notice of Hearing dated March 5, 2020 is available at www.osc.gov.on.ca.

OFFICE OF THE SECRETARY
GRACE KNAKOWSKI
SECRETARY TO THE COMMISSION

For Media Inquiries:

media_inquiries@osc.gov.on.ca

For General Inquiries:

1-877-785-1555 (Toll Free)
inquiries@osc.gov.on.ca

1.4.3 Global RESP Corporation and Global Growth Assets Inc.

**FOR IMMEDIATE RELEASE
March 5, 2020**

**IN THE MATTER OF
GLOBAL RESP CORPORATION AND
GLOBAL GROWTH ASSETS INC.,
File No. 2020-7**

TORONTO – The Office of the Secretary issued a Notice of Hearing for a hearing to consider whether it is in the public interest to approve a settlement agreement entered into by Staff of the Commission and Global RESP Corporation and Global Growth Assets Inc. in the above named matter.

The hearing will be held on March 10, 2020 at 10:00 a.m. on the 17th floor of the Commission's offices located at 20 Queen Street West, Toronto.

A copy of the Notice of Hearing dated March 5, 2020 and the Statement of Allegations dated March 4, 2020 are available at www.osc.gov.on.ca.

OFFICE OF THE SECRETARY
GRACE KNAKOWSKI
SECRETARY TO THE COMMISSION

For Media Inquiries:

media_inquiries@osc.gov.on.ca

For General Inquiries:

1-877-785-1555 (Toll Free)
inquiries@osc.gov.on.ca

1.4.4 Canada Cannabis Corporation et al.

**FOR IMMEDIATE RELEASE
March 6, 2020**

**CANADA CANNABIS CORPORATION,
CANADIAN CANNABIS CORPORATION,
BENJAMIN WARD,
SILVIO SERRANO, and
PETER STRANG,
File No. 2019-34**

TORONTO – The Commission issued an Order in the above named matter.

A copy of the Order dated March 6, 2020 is available at www.osc.gov.on.ca.

OFFICE OF THE SECRETARY
GRACE KNAKOWSKI
SECRETARY TO THE COMMISSION

For Media Inquiries:

media_inquiries@osc.gov.on.ca

For General Inquiries:

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inquiries@osc.gov.on.ca

1.4.5 Paramount Equity Financial Corporation et al.

**FOR IMMEDIATE RELEASE
March 6, 2020**

**PARAMOUNT EQUITY FINANCIAL CORPORATION,
SILVERFERN SECURED MORTGAGE FUND,
SILVERFERN SECURED MORTGAGE LIMITED
PARTNERSHIP,
GTA PRIVATE CAPITAL INCOME FUND,
GTA PRIVATE CAPITAL INCOME LIMITED
PARTNERSHIP,
SILVERFERN GP INC., PARAMOUNT ALTERNATIVE
CAPITAL CORPORATION,
PACC AINSLIE CORPORATION, PACC COSTIGAN
CORPORATION,
PACC CRYSTALLINA CORPORATION, PACC DACEY
CORPORATION,
PACC GOULAIS CORPORATION, PACC HARRIET
CORPORATION,
PACC MAJOR MACK CORPORATION,
PACC MAPLE CORPORATION,
PACC MULCASTER CORPORATION, PACC REGENT
CORPORATION,
PACC SCUGOG CORPORATION, PACC SEHEL
CORPORATION,
PACC SHAVER CORPORATION,
PACC SIMCOE CORPORATION,
PACC THOROLD CORPORATION,
PACC WILSON CORPORATION,
TRILOGY MORTGAGE GROUP INC.,
TRILOGY EQUITIES GROUP LIMITED PARTNERSHIP,
MARC RUTTENBERG,
RONALD BRADLEY BURDON and
MATTHEW LAVERTY,
File No. 2019-12**

TORONTO – The Commission issued its Reasons for Decision on a Motion to Adjourn in the above named matter.

A copy of the Reasons for Decision on a Motion to Adjourn dated March 5, 2020 is available at www.osc.gov.on.ca.

OFFICE OF THE SECRETARY
GRACE KNAKOWSKI
SECRETARY TO THE COMMISSION

For Media Inquiries:

media_inquiries@osc.gov.on.ca

For General Inquiries:

1-877-785-1555 (Toll Free)
inquiries@osc.gov.on.ca

1.4.6 Issam El-Bouji et al.

**FOR IMMEDIATE RELEASE
March 10, 2020**

**ISSAM EL-BOUJI and
GLOBAL RESP CORPORATION AND
GLOBAL GROWTH ASSETS INC.,
File Nos. 2018-28 and 2020-7**

TORONTO – Following a hearing held today, the Commission issued an Order in the above named matters approving the Settlement Agreement reached between Staff of the Commission and Issam El-Bouji, Global RESP Corporation and Global Growth Assets Inc.

Take notice the hearing dates in the matter of Issam El-Bouji scheduled for April 20, 21, 22, and 24, 2020 are vacated.

A copy of the Order dated March 10, 2020 and Settlement Agreement dated March 3, 2020 are available at www.osc.gov.on.ca.

OFFICE OF THE SECRETARY
GRACE KNAKOWSKI
SECRETARY TO THE COMMISSION

For Media Inquiries:

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Chapter 2

Decisions, Orders and Rulings

2.1 Decisions

2.1.1 Natixis Investment Managers Canada LP

Headnote

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – approval for change of control of manager under s. 5.5(1)(a.1) of National Instrument 81-102 Investment Funds and abridgement of securityholder notice period under s. 5.8(1)(a) of NI 81-102 to 30 days – acquirer has requisite experience and integrity to participate in Canadian capital markets – transaction will not result in any material changes to operations and management of the manager or the funds it manages.

Applicable Legislative Provisions

National Instrument 81-102 Investment Funds – ss. 5.5(1)(a.1), 5.7(1)(a), 5.8(1) and 19.1.

June 27, 2019

IN THE MATTER OF
THE SECURITIES LEGISLATION OF
ONTARIO
(the Jurisdiction)

AND

IN THE MATTER OF
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS
IN MULTIPLE JURISDICTIONS

AND

IN THE MATTER OF
NATIXIS INVESTMENT MANAGERS CANADA LP
(the Manager)

DECISION

Background

The principal regulator in the Jurisdiction has received an application from the Manager and Fiera Capital Corporation (the **Purchaser** and together with the Manager, the **Filers**) for a decision under the securities legislation of the Jurisdiction of the principal regulator (the **Legislation**) for (i) an approval with respect to a proposed change of control of the Manager pursuant to subsection 5.5(1)(a.1) of NI 81-102 (the **Approval Sought**) and (ii) an abridgement of the time period to deliver notice to securityholders of the change of control of the Manager resulting from the Proposed Transaction (defined below) pursuant to subsection 5.8(1)(a) to not less than 30 days (the **Abridgement Relief**).

Under National Policy 11-203 *Process for Exemptive Relief Applications in Multiple Jurisdictions* (for a passport application):

- (a) the Ontario Securities Commission is the principal regulator for this application; and
- (b) the Filers have provided notice that subsection 4.7(1) of Multilateral Instrument 11-102 *Passport System* (**MI 11-102**) is intended to be relied upon in each of British Columbia, Alberta, Saskatchewan, Manitoba, Québec, New Brunswick, Nova Scotia, Prince Edward Island, Newfoundland and Labrador, Northwest Territories, Yukon and Nunavut (the **Other Jurisdictions** and together with Ontario, the **Jurisdictions**)

Interpretation

Terms defined in National Instrument 14-101 *Definitions* and MI 11-102 have the same meaning if used in this decision, unless otherwise defined.

Representations

This decision is based on the following facts represented by the Filers:

The Manager

1. The Manager is a limited partnership established under the laws of Ontario with its head office in Toronto, Ontario. The general partner of the Manager is Natixis Investment Managers Canada Limited (the **General Partner**), a corporation incorporated under the laws of Ontario.
2. The Manager is registered as an investment fund manager in Ontario, Québec and Newfoundland and Labrador, an exempt market dealer in each of the Jurisdictions and a portfolio manager and mutual fund dealer in Ontario.
3. The Manager is the manager, promoter and portfolio manager of all of the mutual funds identified in Schedule "A" hereto (each a **Fund** and collectively, the **Funds**) and the trustee of the Funds formed as trusts. In addition to the Funds, the Manager manages three investment funds which are sold to investors pursuant to exemptions from the prospectus requirement.
4. The Manager is directly and indirectly owned by Natixis Investment Managers Canada Corp. (**Natixis Corp**). Natixis Corp owns 100% of the issued and outstanding Class A units of the Manager. The General Partner owns 100% of the issued and outstanding Class B units of the Manager and the General Partner is wholly owned by Natixis Corp. Natixis Corp is a wholly owned subsidiary of Natixis Investment Managers, L.P., a Delaware limited partnership (the **Vendor**).
5. The Manager is not in default of applicable securities legislation in any Jurisdiction.

The Funds

6. Each Fund is an open-end trust established under the laws of Ontario or an investment portfolio consisting of certain classes and series of Natixis Investment Managers Canada Capital Corporation (the **Fund Corporation**), an open-ended mutual fund corporation amalgamated under the laws of Ontario.
7. Each Fund is a reporting issuer in all of the Jurisdictions and subject to NI 81-102. The securities of each Fund are qualified for distribution pursuant to a simplified prospectus, fund facts and annual information form that have been prepared and filed in accordance with National Instrument 81-101 *Mutual Fund Prospectus Disclosure*, subject to any exemptions therefrom.
8. None of the Funds is in default of applicable securities legislation in any Jurisdiction.

The Purchaser

9. The Purchaser is a corporation established under the laws of Ontario with its head office in Montréal, Québec and its registered office in Toronto, Ontario.
10. The Purchaser's primary business is to act as portfolio manager in respect of institutional, retail and private wealth clients. It also acts as manager of a number of investment funds which are sold to investors pursuant to exemptions from the prospectus requirement, and acts as manager of certain closed-end funds and an alternative mutual fund.
11. The Purchaser is registered as an investment fund manager in Ontario, Québec and Newfoundland and Labrador, an exempt market dealer and portfolio manager in each of the Jurisdictions, a commodity trading manager in Ontario, a derivatives portfolio manager in Québec and as commodity futures advisor in Manitoba.
12. The Purchaser is a publicly held corporation. The shareholders holding securities representing at least 10% of the issued and outstanding securities of the Purchaser (each, a **Major Direct Shareholder**) include Fiera Capital L.P., which owns approximately 26.11% of the outstanding voting shares of the Purchaser, and National Bank of Canada, which, through its wholly owned subsidiary Natcan Investment Management Inc., owns approximately 17.61% of the outstanding voting shares of the Purchaser, as at May 5, 2019.
13. Mr. Jean-Guy Desjardins, the Chief Executive Officer of the Purchaser, indirectly owns approximately 28% of the outstanding voting interest of Fiera Capital L.P., and Desjardins Financial Holding Inc. (**DFH**), a direct wholly-owned subsidiary of Fédération des caisses Desjardins du Québec (**FCD**), owns 28.3% of the outstanding voting interest of Fiera Capital L.P., as at May 5, 2019. DFH proposed for election two of the current eight directors of the Purchaser that the holders of Class B Special Voting Shares are entitled to appoint.
14. Mr. Desjardins is in a position to exercise significant control over matters of the Purchaser requiring shareholder approval, including the election of directors and the determination of significant corporate actions. In addition, Jean-Guy Desjardins owns, as at May 5, 2019, directly or indirectly approximately 9.4% of the outstanding voting shares of the

Purchaser and has the right to appoint or elect six of the eight directors of the Purchaser that the holders of the Class B Special Voting Shares of the Purchaser can appoint.

15. The Purchaser is not in default of applicable securities legislation in any Jurisdiction.

The Proposed Transaction

16. The Vendor and the Purchaser have entered into a share purchase agreement (the **SPA**) pursuant to which the Purchaser will acquire 100% of the issued and outstanding shares of Natixis Corp (the **Proposed Transaction**) for consideration payable in cash at the Closing (as defined below). Natixis Corp owns, directly and indirectly, 100% of the issued and outstanding units of the Manager.
17. Concurrently with, or shortly after, the public announcement of the Proposed Transaction, Natixis Investment Managers Canada Holdings Ltd (Gestionnaires De Placements Natixis Canada Holding Ltée), an indirectly owned subsidiary of Natixis Investment Managers S.A., purchased an interest in the capital of the Purchaser, corresponding to approximately 10.8% of the outstanding voting shares of the Purchaser (the **Fiera Capital Interest Acquisition**).
18. In connection with the Fiera Capital Interest Acquisition as well as the Proposed Transaction, an application pursuant to section 11.9(1) (a) of National Instrument 31-103 *respecting Registration Requirements, Exemptions and Ongoing Registrant Obligations (NI 31-103)* was filed with the Autorité des marchés financiers and the Ontario Securities Commission on March 18, 2019 and non-objection letters were issued by both regulators on April 25, 2019.
19. Completion of the Proposed Transaction is subject to customary closing conditions, including the Approval Sought. Assuming timely receipt of all necessary regulatory non-objections/approvals and the satisfaction of all other conditions, the closing of the Proposed Transaction is expected to occur on or about July 2, 2019, or on such other later date when all of the conditions precedent have been satisfied or waived, and all approvals have been obtained, subject to extension by the parties (the **Closing**). If completed as contemplated, upon Closing, the Purchaser will indirectly own 100% of the issued and outstanding units of the Manager.
20. Further to the public announcement of the Proposed Transaction on May 9, 2019, a material change report as well as amendments to the Funds' current simplified prospectus, annual information form and related fund facts documents announcing the Proposed Transaction were filed on SEDAR on May 17, 2019 in accordance with applicable securities legislation.

Proposed Change of Control of Manager

21. As the ownership of the Manager will change such that upon Closing, the Purchaser will indirectly own 100% of the issued and outstanding units of the Manager, the Proposed Transaction will result in a change of control of the Manager. Accordingly, regulatory approval is required pursuant to section 5.5(1)(a.1) of NI 81-102.

Impact on the Manager and the Funds

22. Upon Closing, the Purchaser will become the indirect parent of the Manager.
23. There are no plans to change the role of the Manager as investment fund manager of the Funds. The Purchaser wishes to continue to operate the business of the Manager relating to the Funds as a subsidiary of the Purchaser acting as a distinct, stand-alone business.
24. The Purchaser does not intend to move the head office of the Manager out of Toronto, Ontario for at least the foreseeable period of approximately twelve months following Closing.
25. It is anticipated that, upon Closing, Mr. Abhishek Goenka, the current Chief Executive Officer, Ultimate Designated Person and Chief Financial Officer of the General Partner, Ms. Angela Nikolakakos, the current General Counsel, Secretary and Chief Compliance Officer of the General Partner, and Mr. Steve Gurajada, the current VP Operations and Corporate Controller of the General Partner, will remain in those senior management roles with the General Partner, and Mr. Roland Sakha will become Managing Director of the General Partner and Mr. Dominic Grimard will become VP, Finance.
26. It is also anticipated that, upon Closing, Mr. Goenka and Ms. Nikolakakos will continue to act as directors of the General Partner, while Mr. Sakha, Mr. Ted Ecclestone, Mr. Grimard and Mr. Jean-Philippe Lemay will be added as directors of the General Partner, with Mr. Lemay serving as Chair of the board of directors of the General Partner.
27. The Purchaser intends to maintain the Manager as a distinct, stand-alone legal entity and, for at least the foreseeable period of approximately twelve months following Closing, has no current intention to:
- (a) make any substantive changes as to how the Manager operates or manages the daily operation of the Funds;

Decisions, Orders and Rulings

- (b) amalgamate or merge the Manager with the Purchaser or any other investment fund manager; or
 - (c) immediately following the Proposed Transaction, or within a foreseeable period of time, change the Manager, as investment fund manager of the Funds, to the Purchaser or another investment fund manager.
28. Should the Purchaser take any action identified in paragraph 27 above within the foreseeable period of approximately twelve months following Closing, then it will obtain the approval of the securityholders of the Funds.
29. Other than the material change triggered by the change of control of the Manager, any portfolio management change resulting from the process described in paragraph 34 below or any material change resulting from the Manager acting in accordance with its fiduciary obligation to the Funds, completion of the Proposed Transaction is not expected to result in any material change to, or impact on, the business, operations or affairs of the Funds, the securityholders of the Funds or the Manager.
30. The Purchaser has no current intention to:
- (a) change the investment objectives of the Funds;
 - (b) increase the management fees or operating expenses paid by the Funds;
 - (c) merge the Funds;
 - (d) change any of the directors or officers involved in any of the day-to-day business, operations or affairs of the General Partner or the Funds, except as discussed above;
 - (e) make changes to fund accounting and other administrative functions undertaken by the current providers, both internal and external to the Manager or the Funds; or
 - (f) change the custodian of the Funds.
31. Following Closing, the name of the Manager will be changed to Fiera Investments LP and the names of the Funds will change to remove the term "Natixis", where applicable, and to add the term "Fiera". These name changes are necessary as: (i) the Manager will cease to be a part of the Natixis group of companies on Closing and it will no longer have the rights to use the Natixis name, and thus it would be misleading to suggest that it remains part of the Natixis group of companies by continuing to use the Natixis name; and (ii) the Manager does not have a name independent from Natixis under which it and the Funds may continue to operate following Closing.
32. The members of the Independent Review Committee (**IRC**) of the Funds will cease to be IRC members by operation of section 3.10(1)(c) of National Instrument 81-107 *Independent Review Committee for Investment Funds (NI 81-107)*. However, it is currently intended that immediately following the completion of the Proposed Transaction, the same members of the IRC will be re-appointed by the Manager, subject to such members being "independent" as defined in NI 81-107 at that time.
33. No final decisions have been made as to any duplication of systems between the Purchaser and the Manager, but some streamlining of such systems can be expected in due course. There is no current intention to merge or integrate the business operations of the Manager into the Purchaser.
34. Following the Closing, and as part of the Manager's fiduciary obligation to the Funds to optimize investment performance, the management team of the Manager will evaluate opportunities to enhance the quality of portfolio management and may decide to change certain portfolio managers and strategies, consistent with the Manager's right to change portfolio managers and strategies for the Funds today.
35. To the extent that any related party issues arise following the Proposed Transaction, in particular if, in the future, the Manager wishes to appoint a service provider to a Fund that is an affiliate of the Purchaser, the Manager will establish written policies and procedures to address the conflict of interest matter and will refer such policies and procedures to the IRC for its review and input, in accordance with its obligations under NI 81-107.
36. The Proposed Transaction is not expected to adversely impact the financial stability of the Manager or its ability to fulfill its regulatory obligations in respect of the Funds.
37. The Proposed Transaction will not have any impact on the securityholders' interest in the Funds and securityholders are not required to take any action.

Notice Requirement

38. Written notice (the **Notice**) regarding the Proposed Transaction was sent to each securityholder of the Funds, as required by section 5.8(1) of NI 81-102, on May 24, 2019, being at least 30 days before the Closing.
39. It is the Filers' view that it would not be prejudicial to the securityholders of the Funds to abridge the notice period required under s. 5.8(1)(a) of NI 81-102 from 60 days to not less than 30 days for the following reasons:
- (a) while the Proposed Transaction is pending, but not closed, there is uncertainty among clients and others regarding the Manager. It is strongly preferable to close the Proposed Transaction promptly with an abridgement to the 60-day notice period and minimize the period of uncertainty;
 - (b) the securityholders of the Funds are sufficiently aware of the Proposed Transaction;
 - (c) other than the material changes described above in paragraph 28, the Proposed Transaction is not expected to result in any material change in how the Manager administers or manages the Funds;
 - (d) the Proposed Transaction will not have any impact on the securityholders' interest in the Funds and securityholders are not required to take any action; securityholders need only consider whether they wish to dispose of their securities of the Funds. The change of control of the Manager, by itself, will not trigger any other material change to the Funds; and
 - (e) the Funds calculate and publish their net asset value per security on a daily basis and permit redemptions of securities of the Funds on a daily basis, allowing securityholders of Funds to immediately redeem their securities upon receipt of the Notice if they so choose.

Decision

The principal regulator is satisfied that the decision meets the test set out in the Legislation for the principal regulator to make the decision.

The decision of the principal regulator under the Legislation is that:

- (a) the Approval Sought is granted; and
- (b) the Abridgement Relief is granted, provided that:
 - (i) the Notice is given to securityholders of the Funds at least 30 days before the Closing; and
 - (ii) no material changes will be made to the management, operations or portfolio management of the Funds for at least 60 days following the date the Notice was delivered.

"Darren McCall"
Manager
Investment Funds and Structured Products Branch
Ontario Securities Commission

Schedule "A"

FUNDS

Single Trust Funds:

Natixis Canadian Bond Fund
Loomis Sayles Global Diversified Corporate Bond Fund
Loomis Sayles Strategic Monthly Income Fund
Gateway Low Volatility U.S. Equity Fund

Registered Funds:

Natixis Strategic Balanced Registered Fund
Natixis Intrinsic Balanced Registered Fund
Natixis Canadian Dividend Registered Fund
Natixis Intrinsic Growth Registered Fund
Natixis U.S. Dividend Plus Registered Fund
Natixis U.S. Growth Registered Fund
Natixis Global Equity Registered Fund
Natixis Canadian Preferred Share Registered Fund
Oakmark Natixis Registered Fund
Oakmark International Natixis Registered Fund

Class Funds:

Natixis Canadian Bond Class*
Loomis Sayles Global Diversified Corporate Bond Class*
Natixis Strategic Balanced Class*
Natixis Intrinsic Balanced Class*
Natixis Canadian Dividend Class*
Natixis Intrinsic Growth Class*
Natixis U.S. Dividend Plus Class*
Natixis U.S. Growth Class*
Natixis Global Equity Class*
Natixis Canadian Preferred Share Class*
Oakmark Natixis Class*
Oakmark International Natixis Class*

* *An investment portfolio consisting of certain classes and series of Natixis Investment Managers Canada Capital Corporation.*

2.1.2 HSBC Global Asset Management (Canada) Limited

Headnote

Multilateral Instrument 11-102 Passport System and National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – National Instrument 81-102 Investment Funds, s. 19.1 – Sales communications – An investment fund manager seeks relief to permit the use of certain fund ratings and rankings in sales communications – The rating systems are objective, transparent and quantitative measures of performance; the ratings or rankings reflect a period of time relevant to an investment decision; the disclosure on the rankings ensures that investors clearly understand what the ranking measures and recognizes, as well as the relevant period of performance upon which the ranking is based.

Applicable Legislative Provisions

National Instrument 81-102 Investment Funds, ss. 15.3(c) and (f), 19.1

March 2, 2020

**IN THE MATTER OF
THE SECURITIES LEGISLATION OF
BRITISH COLUMBIA AND ONTARIO
(the Jurisdictions)**

AND

**IN THE MATTER OF
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS
IN MULTIPLE JURISDICTIONS**

AND

**IN THE MATTER OF
HSBC GLOBAL ASSET MANAGEMENT (CANADA) LIMITED
(the Filer)**

DECISION

Background

The securities regulatory authority or regulator in each of the Jurisdictions (Decision Maker) has received an application from the Filer on behalf of the Funds (as defined below) for a decision under the securities legislation of the Jurisdictions (the Legislation) for an exemption from the requirements set out in paragraphs 15.3(4)(c) (in respect of the FundGrade A+ Awards, FundGrade Ratings, Lipper Awards (as defined below), and Lipper Leader Ratings) and 15.3(4)(f) of NI 81-102 (in respect of the FundGrade A+ Awards and Lipper Awards only) of National Instrument 81-102 *Investment Funds* (NI 81-102) to permit reference to FundGrade A+ Awards, FundGrade Ratings, Lipper Awards, and Lipper Leader Ratings in sales communications relating to the Funds (the Exemption Sought).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a dual application):

- (a) the British Columbia Securities Commission is the principal regulator for this application;
- (b) the Filer has provided notice that section 4.7(1) of Multilateral Instrument 11-102 *Passport System* (MI 11-102) is intended to be relied upon in Alberta, Saskatchewan, Manitoba, Quebec, New Brunswick, Nova Scotia, Prince Edward Island, Newfoundland and Labrador, Yukon, Northwest Territories and Nunavut; and
- (c) the decision is the decision of the principal regulator and evidences the decision of the securities regulatory authority or regulator in Ontario.

Interpretation

Terms defined in National Instrument 14-101 *Definitions* and MI 11-102 have the same meaning if used in this decision, unless otherwise defined. In addition, terms defined in NI 81-102 have the same meaning if used in this decision, unless otherwise defined.

Representations

This decision is based on the following facts represented by the Filer:

The Filer and the Funds

1. the Filer is a corporation organized under the laws of Canada;
2. the head office of the Filer is located in Vancouver, British Columbia;
3. the Filer is registered as:
 - (a) an investment fund manager in British Columbia, Ontario, Quebec, and Newfoundland and Labrador;
 - (b) a portfolio manager in British Columbia, Alberta, Saskatchewan, Manitoba, Ontario, Quebec, New Brunswick, Nova Scotia, and Newfoundland and Labrador; and
 - (c) an exempt market dealer in British Columbia, Alberta, Saskatchewan, Manitoba, Ontario, Quebec, New Brunswick, Nova Scotia, Newfoundland and Labrador, and the Northwest Territories;
4. the Filer is the manager of mutual funds (the Existing Funds), each of which is subject to the requirements of NI 81-102; the Filer may, in the future, become the manager of additional mutual funds (the Future Funds and, together with the Existing Funds, the Funds) that are subject to the requirements of NI 81-102;
5. the Filer is not in default of securities legislation in any jurisdiction;
6. each Fund is, or will be, an open-end mutual fund;
7. each Fund is, or will be, a reporting issuer under the securities legislation of each jurisdiction and subject to the requirements of NI 81-102, including Part 15 which governs sales communications;
8. the Existing Funds are not in default of securities legislation in any jurisdiction;

FundGrade Ratings and FundGrade A+ Awards

9. the Filer wishes to include in sales communications of the Funds references to the FundGrade Ratings and references to the FundGrade A+ Awards where such Fund has been awarded a FundGrade A+ Award;
10. Fundata Canada Inc. (Fundata) is a “mutual fund rating entity” as that term is defined in NI 81-102. Fundata supplies mutual fund information, analytical tools, and commentary; Fundata’s fund data and analysis, fund awards designations and ratings information provide information to advisors, media and individual investors;
11. one of Fundata’s programs is the FundGrade A+ Awards program; this program highlights funds that have excelled in delivering consistently strong risk-adjusted performance relative to their peers; the FundGrade A+ Awards designate award-winning funds in most individual fund classifications for the previous calendar year, and the awards are announced in January of each year; the categories for fund classification used by Fundata are those maintained by the Canadian Investment Funds Standards Committee (CIFSC) (or a successor to CIFSC), a Canadian organization that is independent of Fundata;
12. the FundGrade A+ Awards are based on a proprietary rating methodology developed by Fundata, the FundGrade Rating system; the FundGrade Rating system evaluates funds based on their risk adjusted performance, measured by three well-known and widely-used metrics: the Sharpe Ratio, the Information Ratio, and the Sortino Ratio; the ratios are calculated for the two through ten year time periods for each fund; when there is more than one eligible series of a fund, an average ratio is taken for each period; the ratios are ranked across all time periods and an overall score is calculated by equally weighting the yearly rankings;
13. the FundGrade Ratings are letter grades for each fund and are determined each month; the FundGrade Ratings for each month are released on the seventh business day of the following month; the top 10% of funds earn an A Grade; the next 20% of funds earn a B Grade; the next 40% of funds earn a C Grade; the next 20% of funds receive a D Grade; the lowest 10% of funds receive an E Grade; because the overall score of a fund is calculated by equally weighting the periodic rankings, to receive an A Grade, a fund must show consistently high scores for all ratios across all time periods;
14. Fundata calculates a grade using only the retail series of each fund; institutional series or fee-based series of any fund are not included in the calculation; a fund must have at least two years of history to be included in the calculation; once a letter grade is calculated for a fund, it is then applied to all related series of that fund;
15. at the end of each calendar year, Fundata calculates a “Fund GPA” for each fund based on the full year’s performance; the Fund GPA is calculated by converting each month’s FundGrade Rating letter grade into a numerical score; each A is assigned a grade of 4.0; each B is assigned a grade of 3.0; each C is assigned a grade of 2.0; each D is assigned a grade of 1.0; each E is assigned a grade of 0; the total of the grades for each fund is divided by 12 to arrive at the fund’s GPA for the year; any fund earning a GPA of 3.5 or greater earns a FundGrade A+ Award;

16. when a Fund is awarded a FundGrade A+ Award, Fundata will permit such Fund to make reference to the award in its sales communications;

Lipper Leader Ratings and Lipper Awards

17. Lipper, Inc. (Lipper) is a “mutual fund rating entity” as that term is defined in NI 81-102; Lipper is part of the Thomson Reuters group of companies, and supplies mutual fund information, analytical tools, and commentary; Lipper’s fund data and analysis, fund awards designations and ratings information provide information to advisors, media and individual investors;
18. the Filer also wishes to include in sales communications of the Funds references to Lipper Leader Ratings (which are performance ratings or rankings for funds issued by Lipper and include the Lipper Ratings for Consistent Return, Lipper Ratings for Total Return, Lipper Ratings for Preservation and the Lipper Ratings for Expense, which are described below) and Lipper Awards (as described below) where such Fund has been awarded a Lipper Award;
19. one of Lipper’s programs is the Thomson Reuters Lipper Fund Awards program (the Lipper Awards); this program recognizes funds that have excelled in delivering consistently strong risk-adjusted performance relative to peers and also recognizes fund families with high average scores for all funds within a particular asset class or overall; currently, the Lipper Awards take place in approximately 23 countries;
20. in Canada, the Lipper Awards include the Lipper Fund Awards and Lipper ETF Awards (which were awarded for the first time in Canada in 2014); for the Lipper Fund Awards, Lipper designates award-winning funds in most individual fund classifications for three, five and ten year periods; for the Lipper ETF Awards, Lipper designates award-winning funds in a number of individual fund classifications for the three year period, and it is expected that awards for the five and ten year periods will be given in the future;
21. the categories for fund classification used by Lipper for the Lipper Awards in respect of Canadian funds are those maintained by CIFSC (or a successor to the CIFSC), a Canadian organization that is independent of Lipper; only those CIFSC groups of ten or more unique funds will claim a Lipper Fund Award, and only those CIFSC groups of five or more unique ETFs (each of whom have a minimum of three years of performance history) will be awarded a Lipper ETF Award;
22. the Lipper Awards are based on a proprietary rating methodology prepared by Lipper, the Lipper Leader Rating System; the Lipper Leader Rating System is a toolkit that uses investor-centred criteria to deliver a simple, clear description of a fund’s success in meeting certain goals, such as preserving capital, lowering expenses or building wealth; Lipper Ratings provide an instant measure of a fund’s success against a specific set of key metrics, and can be useful to investors in identifying funds that meet particular characteristics;
23. in Canada, the Lipper Leader Rating System includes Lipper Ratings for Consistent Return (reflecting funds’ historical risk-adjusted returns relative to funds in the same classification), Lipper Ratings for Total Return (reflecting funds’ historical total return performance relative to funds in the same classification), Lipper Ratings for Preservation (reflecting funds’ historical loss avoidance relative to other funds in the same classification) and Lipper Ratings for Expense (reflecting funds’ expense minimization relative to funds with similar load structures); in each case, the categories for fund classification used by Lipper for the Lipper Leader Ratings are those maintained by CIFSC (or a successor to CIFSC); Lipper Leader Ratings are measured monthly over 36, 60 and 120 month periods, and an overall rating is also measured, which is an unweighted average of the previous three periods; the highest 20% of funds in each category are named Lipper Leaders for that particular rating and receive a score of 5, the next 20% receive a score of 4, the middle 20% are scored 3, the next 20% are scored 2 and the lowest 20% are scored 1;
24. the Lipper Awards, awarded annually in Canada, are based on the Lipper Ratings for Consistent Return measure, which, as generally described above, is a risk-adjusted mutual fund return performance measure used by Lipper that takes into account both short- and long-term risk-adjusted performance relative to fund classification, together with a measure of a fund’s consistency; in respect of the Lipper Awards for Canada, the Lipper Ratings for Consistent Return are measured over the 36, 60 and 120 month periods ending at the end of July of each year; as noted above, the highest 20% of funds in each classification are named Lipper Leaders for Consistent Return, and the highest Lipper Leader for Consistent Return in each applicable fund classification over these periods (currently, in the case of the Lipper ETF Awards, over the 36 month period only) be awarded a Lipper Award;

Sales communication disclosure

25. the FundGrade Ratings fall within the definition of “performance data” under NI 81-102 as they constitute “a rating, ranking, quotation, discussion or analysis regarding an aspect of the investment performance of an investment fund”, given that the FundGrade Ratings are based on performance measures calculated by Fundata; the FundGrade A+ Awards may be considered to be “overall ratings or rankings”, given that the awards are based on the FundGrade Ratings as described above; therefore, references to FundGrade Ratings and FundGrade A+ Awards in sales communications relating to the Funds need to meet the applicable requirements in Part 15 of NI 81-102;

26. paragraph 15.3(4)(c) of NI 81-102 imposes a “matching” requirement for performance ratings or rankings that are included in sales communications for mutual funds; if a performance rating or ranking is referred to in a sales communication, it must be provided for, or “match”, each period for which standard performance data is required to be given for the fund, except for the period since the inception of the fund (i.e. for one, three, five and ten year periods, as applicable);
27. while FundGrade Ratings are based on calculations for a minimum of two years through to a maximum of ten years and the FundGrade A+ Awards are based on a yearly average of monthly FundGrade Ratings, specific ratings for the three, five and ten year periods within the two to ten year measurement period are not given; this means that a sales communication referencing FundGrade Ratings cannot comply with the “matching” requirement contained in paragraph 15.3(4)(c) of NI 81-102; relief from paragraph 15.3(4)(c) of NI 81-102 is, therefore, required in order for the Fund to use FundGrade Ratings in sales communications;
28. the exemption in subsection 15.3(4.1) of NI 81-102 for references to overall ratings or rankings of Funds cannot be relied upon to reference the FundGrade A+ Awards in sales communications for the Funds because it is available only if a sales communication “otherwise complies” with the requirements of subsection 15.3(4) of NI 81-102; as noted above, sales communications referencing the FundGrade A+ Awards cannot comply with the “matching” requirement in subsection 15.3(4) of NI 81-102 because the underlying FundGrade Ratings are not available for the three, five and ten year periods within the two to ten year measurement period for the FundGrade Ratings, rendering the exemption in subsection 15.3(4.1) of NI 81-102 unavailable; relief from paragraph 15.3(4)(c) of NI 81-102 is, therefore, also required in order for the Funds to reference the FundGrade A+ Awards in sales communications;
29. paragraph 15.3(4)(f) of NI 81-102 imposes certain restrictions on disclosure in sales communications; this paragraph provides that in order for a rating or ranking such as a FundGrade A+ Award to be used in an advertisement, the advertisement must be published within 45 days of the calendar month end to which the rating or ranking applies; further, in order for the rating or ranking to be used in any other sales communication, the rating or ranking must be published within three months of the calendar month end to which the rating or ranking applies;
30. because the evaluation of Funds for the FundGrade A+ Awards will be based on data aggregated until the end of December in any given year and the results will be published in January of the following year, by the time a Fund receives a FundGrade A+ Award in January, paragraph 15.3(4)(f) of NI 81-102 will only allow the FundGrade A+ Award to be used in an advertisement until the middle of February and in other sales communications until the end of March;
31. the Lipper Leader Ratings are performance ratings or rankings under NI 81-102 and Lipper Awards may be considered to be performance ratings or rankings under NI 81-102 given that the awards are based on the Lipper Leader Ratings as described above; therefore, references to Lipper Leader Ratings and Lipper Awards in sales communications relating to the Funds need to meet the applicable requirements in Part 15 of NI 81-102;
32. in Canada and elsewhere, Lipper Leader Ratings are calculated only for 36, 60 and 120 month periods and are not calculated for a one year period; this means that a sales communication referencing a Lipper Leader Rating cannot comply with the “matching” requirement contained in paragraph 15.3(4)(c) of NI 81-102 because a rating is not available for the one year period; relief from paragraph 15.3(4)(c) of NI 81-102 is therefore required in order for Funds to reference Lipper Leader Ratings in sales communications;
33. in addition, a sales communication referencing the overall Lipper Leader Ratings and the Lipper Awards, which are based on the Lipper Leader Ratings, must disclose the corresponding Lipper Leader Rating for each period for which standard performance data is required to be given; as noted above, because a rating for the one year period is not available for the Lipper Leader Ratings, sales communications referencing the overall Lipper Leader Ratings or Lipper Awards also cannot comply with the matching requirement contained in paragraph 15.3(4)(c) of NI 81-102;
34. the exemption in subsection 15.3(4.1) of NI 81-102 for references to overall ratings or rankings of Funds cannot be relied upon to reference the overall Lipper Leader Ratings or Lipper Awards in sales communications for the Funds because subsection 15.3(4.1) of NI 81-102 is available only if a sales communication “otherwise complies” with the requirements of subsection 15.3(4) of NI 81-102; as noted above, sales communications referencing the overall Lipper Leader Ratings or Lipper Awards cannot comply with the “matching” requirement in subsection 15.3(4) of NI 81-102 because the underlying Lipper Leader Ratings are not available for the one year period, rendering the exemption in subsection 15.3(4.1) of NI 81-102 unavailable; relief from paragraph 15.3(4)(c) of NI 81-102 is therefore required in order for the Funds to reference overall Lipper Leader Ratings and the Lipper Awards in sales communications;
35. paragraph 15.3(4)(f) of NI 81-102 imposes certain restrictions on disclosure in sales communications; the paragraph provides that in order for a rating or ranking such as a Lipper Award to be used in an advertisement, the advertisement must be published within 45 days of the calendar month end to which the rating or ranking applies; further, in order for the rating or ranking to be used in any other sales communication, the rating or ranking must be published within three months of the calendar month end to which the rating or ranking applies;

36. because the evaluation of Funds for the Lipper Awards will be based on data aggregated until the end of July in any given year and the results will be published in November of that year, by the time a Fund receives an award in November, paragraph 15.3(4)(f) of NI 81-102 will prohibit it from publishing news of the award altogether;
37. the Exemption Sought is required in order for the FundGrade Ratings, FundGrade A+ Awards, Lipper Leader Ratings and Lipper Awards to be referenced in sales communications relating to the Funds; and
38. the Filer submits that the FundGrade A+ Awards, FundGrade Ratings, Lipper Awards, and Lipper Leader Ratings provide important tools for investors, as they provide investors with context when evaluating investment choices; these awards and ratings provide an objective, transparent and quantitative measure of performance that is based on the expertise of FundGrade or Lipper, as applicable, in fund analysis that alleviates any concern that references to them may be misleading and therefore, contrary to paragraph 15.2(1)(a) of NI 81-102.

Decision

Each of the Decision Makers is satisfied that the decision meets the test set out in the Legislation for the Decision Maker to make the decision.

The decision of the Decision Makers under the Legislation is that the Exemption Sought is granted provided that:

- (a) the sales communication complies with Part 15 of NI 81-102, other than as set out in this decision, and contains the following disclosure in at least 10 point type:
 - (i) the name of the category for which the Fund has received the award or rating;
 - (ii) the number of mutual funds in the category for the applicable period;
 - (iii) the name of the ranking entity, i.e., Fundata or Lipper;
 - (iv) the length of period and the ending date, or, the first day of the period and the ending date on which the FundGrade A+ Award, FundGrade Rating, Lipper Award or Lipper Leader Rating is based;
 - (v) a statement that FundGrade Ratings or Lipper Leader Ratings are subject to change every month;
 - (vi) in the case of a FundGrade A+ Award or Lipper Award, a brief overview of the FundGrade A+ Award or Lipper Award, as applicable;
 - (vii) in the case of a FundGrade Rating (other than FundGrade Ratings referenced in connection with a FundGrade A+ Award) or a Lipper Leader Rating (other than Lipper Leader Ratings referenced in connection with a Lipper Award), a brief overview of the FundGrade Rating or Lipper Leader Rating, as applicable;
 - (viii) where Lipper Awards are referenced, the corresponding Lipper Leader Rating that the Lipper Award is derived from is presented for each period for which standard performance data is required other than the one year and since inception periods;
 - (ix) where a Lipper Leader Rating is referenced, the Lipper Leader Ratings are presented for each period for which standard performance data is required other than the one year and since inception periods;
 - (x) disclosure of the meaning of the FundGrade Ratings from A to E (e.g., rating of A indicates a fund is in the top 10% of its category) or Lipper Leader Ratings from 1 to 5 (e.g., rating of 5 indicates a fund is in the top 20% of its category), as applicable; and
 - (xi) reference to Fundata's website (www.fundata.com) for greater detail on the FundGrade A+ Awards and the FundGrade Ratings or reference to Lipper's website (www.lipperweb.com) for greater detail on the Lipper Awards and Lipper Leader Ratings, which includes the rating methodology prepared by Fundata or Lipper, as applicable;
- (b) the FundGrade A+ Awards and Lipper Awards being referenced must not have been awarded more than 365 days before the date of the sales communication; and
- (c) the FundGrade A+ Awards, FundGrade Ratings, Lipper Awards, and Lipper Leader Ratings being referenced are calculated based on comparisons of performance of mutual funds within a specified category established by the CIFSC (or a successor to the CIFSC).

"John Hinze"
Director, Corporate Finance
British Columbia Securities Commission

2.1.3 Horizons ETFs Management (Canada) Inc. et al.

Headnote

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – Relief granted from paragraphs 2.2(1)(a), 2.5(2)(a), (a.1) and (c) of National Instrument 81-102 Investment Funds to allow continuing corporate class exchange-traded funds to continue to hold all of the remaining securities of their predecessor funds after they have ceased to be reporting issuers – result of reorganization from trust funds to corporate class funds and holding the predecessor funds to defer taxable income and/or capital gains – predecessor funds will comply with NI 81-102 except for Part 12 – Compliance Reports – predecessor funds will not issue new securities or make new investments – relief subject to terms and conditions based on investment restrictions of NI 81-102.

Applicable Legislative Provisions

National Instrument 81-102 Investment Funds – ss. 2.2(1)(a), 2.5(2)(a), 2.5(2)(a.1), 2.5(2)(c) and 19.1.

February 25, 2020

**IN THE MATTER OF
THE SECURITIES LEGISLATION OF
ONTARIO
(the Jurisdiction)**

AND

**IN THE MATTER OF
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS
IN MULTIPLE JURISDICTIONS**

AND

**IN THE MATTER OF
HORIZONS ETFS MANAGEMENT (CANADA) INC.
(the Filer)**

AND

**HORIZONS S&P/TSX 60™ INDEX ETF
HORIZONS S&P 500® INDEX ETF
HORIZONS S&P 500 CAD HEDGED INDEX ETF
HORIZONS S&P/TSX CAPPED ENERGY INDEX ETF
HORIZONS S&P/TSX CAPPED FINANCIALS INDEX ETF
HORIZONS CDN SELECT UNIVERSE BOND ETF
HORIZONS NASDAQ-100® INDEX ETF
HORIZONS EURO STOXX 50® INDEX ETF
HORIZONS CDN HIGH DIVIDEND INDEX ETF
HORIZONS US 7-10 YEAR TREASURY BOND ETF
HORIZONS US 7-10 YEAR TREASURY BOND CAD HEDGED ETF
HORIZONS LADDERED CANADIAN PREFERRED SHARE INDEX ETF
HORIZONS INTL DEVELOPED MARKETS EQUITY INDEX ETF
HORIZONS EQUAL WEIGHT CANADA REIT INDEX ETF
HORIZONS EQUAL WEIGHT CANADA BANKS INDEX ETF
HORIZONS GOLD ETF
HORIZONS SILVER ETF
HORIZONS CRUDE OIL ETF
HORIZONS NATURAL GAS ETF
BETAPRO GOLD BULLION 2X DAILY BULL ETF
BETAPRO GOLD BULLION -2X DAILY BEAR ETF
BETAPRO CRUDE OIL 2X DAILY BULL ETF
BETAPRO CRUDE OIL -2X DAILY BEAR ETF
BETAPRO NATURAL GAS 2X DAILY BULL ETF
BETAPRO NATURAL GAS -2X DAILY BEAR ETF
BETAPRO SILVER 2X DAILY BULL ETF
BETAPRO SILVER -2X DAILY BEAR ETF
BETAPRO S&P/TSX 60™ 2X DAILY BULL ETF**

BETAPRO S&P/TSX 60™ -2X DAILY BEAR ETF
BETAPRO S&P/TSX CAPPED FINANCIALS™ 2X DAILY BULL ETF
BETAPRO S&P/TSX CAPPED FINANCIALS™ -2X DAILY BEAR ETF
BETAPRO S&P/TSX CAPPED ENERGY™ 2X DAILY BULL ETF
BETAPRO S&P/TSX CAPPED ENERGY™ -2X DAILY BEAR ETF
BETAPRO NASDAQ-100® 2X DAILY BULL ETF
BETAPRO NASDAQ-100® -2X DAILY BEAR ETF
BETAPRO S&P 500® 2X DAILY BULL ETF
BETAPRO S&P 500® -2X DAILY BEAR ETF
BETAPRO CANADIAN GOLD MINERS 2X DAILY BULL ETF
BETAPRO CANADIAN GOLD MINERS -2X DAILY BEAR ETF
BETAPRO MARIJUANA COMPANIES 2X DAILY BULL ETF
BETAPRO MARIJUANA COMPANIES INVERSE ETF
BETAPRO S&P/TSX 60™ DAILY INVERSE ETF
BETAPRO S&P 500® DAILY INVERSE ETF
BETAPRO S&P 500 VIX SHORT-TERM FUTURES™ ETF
(each a Horizons Corporate Class ETF, collectively, the Horizons Corporate Class ETFs)

DECISION

Background

The principal regulator in the Jurisdiction has received an application from the Filer on behalf of the Horizons Corporate Class ETFs, for a decision under the securities legislation of the Jurisdiction (the **Legislation**) granting an exemption to the Horizons Corporate Class ETFs from the following provisions of National Instrument 81-102 *Investment Funds* (**NI 81-102**):

- i. paragraph 2.2(1)(a) of NI 81-102 to permit each Horizons Corporate Class ETF to continue to hold securities of its corresponding Horizons Private Trust (as defined below and identified in Appendix "A" hereto) such that, a Horizons Corporate Class ETF would continue to hold securities representing 100% of:
 - a. the votes attaching to the outstanding voting securities of the corresponding Horizons Private Trust; or
 - b. the outstanding equity securities of the corresponding Horizons Private Trust (the **Control Relief**); and
- ii. paragraph 2.5(2)(a) of NI 81-102 (in respect of each Horizons Corporate Class ETF that is a mutual fund, other than an alternative mutual fund), paragraph 2.5(2)(a.1) of NI 81-102 (in respect of each Horizons Corporate Class ETF that is an alternative mutual fund) and paragraph 2.5(2)(c) of NI 81-102 to permit each Horizons Corporate Class ETF to continue to hold the securities of its corresponding Horizons Private Trust after the Horizons Private Trust ceases to be a reporting issuer (the **Fund of Fund Restriction Relief**).

(collectively, the **Exemption Sought**).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

- (a) the Ontario Securities Commission (the **OSC**) is the principal regulator for this application; and
- (b) the Filer has provided notice that section 4.7(1)(c) of Multilateral Instrument 11-102 *Passport System* (**MI 11-102**) is intended to be relied upon in all of the provinces and territories of Canada other than the Jurisdiction (together with the Jurisdiction, the **Canadian Jurisdictions**).

Interpretation

Terms defined in National Instrument 14-101 *Definitions* (**NI 14-101**), MI 11-102 and NI 81-102 have the same meaning if used in this decision, unless otherwise defined.

Representations

This decision is based on the following facts represented by the Filer:

The Filer

1. The Filer is a corporation existing under the laws of Canada, with its head office located in Toronto, Ontario. The Filer is a wholly-owned subsidiary of Mirae Asset Global Investments Co., Ltd.
2. The Filer is registered as (a) an investment fund manager in Newfoundland and Labrador, Ontario and Québec, (b) a portfolio manager in Alberta, British Columbia, Ontario and Québec (c) a dealer in the category of exempt market dealer

in Alberta, British Columbia, Manitoba, New Brunswick, Newfoundland and Labrador, Nova Scotia, Ontario, Prince Edward Island, Québec and Saskatchewan, (d) a commodity trading adviser in Ontario and (e) a commodity trading manager in Ontario.

3. The Filer is not in default of applicable securities legislation in any of the Canadian Jurisdictions.
4. The Filer is the investment fund manager of the Horizons Corporate Class ETFs, and is the manager and trustee of certain private investment trusts (each a Horizons Private Trust, collectively, the Horizons Private Trusts) identified in Appendix "A" hereto.
5. The Filer's primary business is to act as manager and investment manager for the Horizons Corporate Class ETFs and other exchange traded funds in Canada.

The Horizons Corporate Class ETFs

6. Each of the Horizons Corporate Class ETFs is an exchange traded mutual fund or alternative mutual fund structured as a separate class of shares of Horizons ETF Corp., a mutual fund corporation established under the federal laws of Canada (Horizons MFC).
7. Each Horizons Corporate Class ETF currently consists of a single series of a class of exchange traded fund shares of Horizons MFC. Each Horizons Corporate Class ETF is a separate investment fund having specific investment objectives and is specifically referable to a separate portfolio of investments.
8. Securities of the Horizons Corporate Class ETFs are distributed in each of the Canadian Jurisdictions under long form prospectuses and ETF facts documents prepared in accordance with the requirements of NI 41-101 General Prospectus Requirements (NI 41-101), Form 41-101F2 Information Required in an Investment Fund Prospectus (Form 41-101F2) and NI 81-102, as applicable, and subject to any exemptions obtained therefrom.
9. Each Horizons Corporate Class ETF is a reporting issuer under the applicable securities legislation of each of the Canadian Jurisdictions.
10. The Horizons Corporate Class ETFs are subject to, among other laws and regulations, NI 81-102, National Instrument 81-106 Investment Fund Continuous Disclosure, National Instrument 81-107 Independent Review Committee for Investment Funds and any exemptions therefrom that have been granted by the securities regulatory authorities.
11. The Horizons Corporate Class ETFs are not in default of applicable securities legislation in any of the Canadian Jurisdictions.
12. As a result of the Reorganization (as defined below) and for the reasons described below, each Horizons Corporate Class ETF became the holder of 100% of the outstanding voting units of its corresponding Horizons Private Trust.
13. Each Horizons Corporate Class ETF wishes to have the ability to continue to hold 100% of the outstanding voting units of its corresponding Horizons Private Trust once the Horizons Private Trusts cease to be reporting issuers.
14. Each Horizons Corporate Class ETF does not hold more than 10% of its net asset value in a Horizons Private Trust, and the Filer does not anticipate that any Horizons Corporate Class ETF will hold more than 10% of its net asset value in securities of a Horizons Private Trust.
15. The holding by each Horizons Corporate Class ETF of securities of the corresponding Horizons Private Trust was the result of the implementation of the Reorganization (as described below) that was approved by unitholders, and the continued holding by each Horizons Corporate Class ETF in securities of the corresponding Horizons Private Trust represents the business judgment of responsible persons uninfluenced by considerations other than the best interests of the Horizons Corporate Class ETFs and its securityholders.

The Reorganization and the Horizons Private Trusts

16. On November 27, 2019 and November 29, 2019, the Filer announced the reorganization of twenty-nine exchange traded funds and fifteen exchange traded funds, respectively, into Horizons MFC, a single multi-class corporate class structure managed by the Filer (the Reorganization). As a result of the Reorganization, these exchange traded funds structured as trusts were delisted, and became trusts solely held by Horizons MFC (on behalf of the corresponding Horizons Corporate Class ETF and its shareholders).
17. Pursuant to a decision of the OSC approving the Reorganization under paragraph 5.5(1)(b) of NI 81-102, the OSC approved the continued existence of the Horizons Private Trusts following the Reorganization, in part, because leaving the Horizons Private Trusts and their assets in place was represented by the Filer to be necessary to defer the unnecessary realization of taxable income or gains that might otherwise occur on a wind-up of such Horizons Private

- Trust, and/or it would otherwise be beneficial to the unitholders of the Horizons Corporate Class ETFs not to wind them up.
18. Prior to implementing the Reorganization, the independent review committee of the Horizons exchange traded funds reviewed the conflicts of interest matters associated with the Reorganization, including the process to be followed in connection with the Reorganization and the preservation of the Horizons Private Trusts for the benefit of holders of the Horizons Corporate Class ETFs, and after reasonable inquiry, advised the Filer that, in its determination, the Reorganization would achieve a fair and reasonable result for each of the Horizons exchange traded funds.
 19. The Reorganization and all related matters, including (i) the intention of the Filer to preserve the Horizons Private Trusts following the implementation of the Reorganization (subject to regulatory approval) for the benefit of the applicable corresponding Horizons Corporate Class ETFs, and (ii) the intention to apply on behalf of each Horizons Private Trust following the Reorganization to securities regulatory authorities to permit such Horizons Private Trusts to cease to be reporting issuers under Canadian securities laws, were described in a management information circular dated October 4, 2019. The unitholder special resolutions approving the Reorganization and all related matters, as described in the management information circular, were approved at meetings of unitholders of each of the current Horizons Private Trusts held on November 12, 2019 and November 13, 2019.
 20. Additional details regarding the Reorganization are also set forth in the decision of the OSC dated October 24, 2019 approving the Reorganization and a decision of the OSC dated November 8, 2019 granting relief for the Horizons Corporate Class ETFs to continue to use certain financial information relating to the Horizons Private Trusts in its offering documents, continuous disclosure documents and sales communications.
 21. In connection with the implementation of the Reorganization, substantially all of the assets of each Horizons Private Trust were transferred to Horizons MFC for the benefit of the corresponding Horizons Corporate Class ETF, or were left in the Horizons Private Trust for the exclusive benefit of the corresponding Horizons Corporate Class ETF.
 22. Each Horizons Private Trust is an investment trust established under the laws of Ontario.
 23. Prior to the Reorganization, each Horizons Private Trust was an exchange traded mutual fund or alternative mutual fund subject to NI 81-102, subject to any exemption therefrom that was granted by the securities regulatory authorities.
 24. Prior to the Reorganization, each Horizons Private Trust offered units to the public pursuant to a long form prospectus prepared pursuant to NI 41-101, Form 41-101F2, and had a listing on a Canadian stock exchange.
 25. As a result of the Reorganization, the Horizons Private Trusts ceased to offer units to the public, holders of units of the Filer's exchange traded funds structured as trusts became holders of shares of the corresponding Horizons Corporate Class ETFs, and Horizons MFC (on behalf of the corresponding Horizons Corporate Class ETF) became the sole unitholder of each Horizons Private Trust.
 26. The Horizons Private Trusts do not, and will not, carry on any active business.
 27. Although units of the Horizons Private Trusts are not listed or quoted on any public exchange or market, units of the Horizons Private Trusts continue to be liquid as they are redeemable daily on demand by the Horizons Corporate Class ETFs.
 28. Units of the Horizons Private Trusts are not available for purchase or issuance and it is currently anticipated that no additional units of the Horizons Private Trusts shall be issued in the future.
 29. The Horizons Private Trusts are not in default of applicable securities legislation in any of the Canadian Jurisdictions.
 30. As the Horizons Private Trusts do not, and will not, carry on any business, the Horizons Private Trusts will remain in compliance with NI 81-102, with the exception of Part 12 – Compliance Reports, and will not make any new investments.
 31. As the Horizons Private Trusts do not charge any management fees or incentive fees, no Horizons Corporate Class ETF will pay any management or incentive fees which to a reasonable person would duplicate a fee payable by the corresponding Horizons Private Trust for the same service.
 32. If a Horizons Corporate Class ETF trades in securities of a Horizons Private Trust with or through the Filer acting as dealer, the Filer will comply with its obligations under NI 81-107 in respect of any proposed related party transactions. These related party transactions will be disclosed to securityholders of the applicable Horizons Corporate Class ETF in its management report of fund performance.

33. In connection with the Reorganization, and as disclosed in the management information circular dated October 4, 2019, the Filer has applied to the Canadian securities administrators for the Horizons Private Trusts to cease being reporting issuers to avoid the unnecessary costs associated with meeting certain continuous disclosure obligations.

The Control Relief and Fund of Fund Restriction Relief

34. Absent the Control Relief, once the Horizons Private Trusts cease to be reporting issuers, the Horizons Corporate Class ETFs would be prohibited under paragraph 2.2(1)(a) of NI 81-102 from maintaining their current holdings in the Horizons Private Trusts, because Horizons MFC (on behalf of each Horizons Corporate Class ETF) holds, as a result of the Reorganization, 100% of the voting units of the Horizons Private Trusts, and would not qualify for the exemption contained in paragraph 2.2(1.1)(a) of NI 81-102, as not all of the requirements for a Horizons Corporate Class ETF to invest in a Horizons Private Trust pursuant to section 2.5 of NI 81-102 will be met, as described above.
35. Absent the Fund of Fund Restriction Relief, the Horizons Private Trusts cannot cease to be reporting issuers because the continued investment by Horizons MFC, on behalf of the Horizons Corporate Class ETFs, in 100% of the outstanding voting securities of the Horizons Private Trusts would be prohibited under paragraph 2.5(2)(a) of NI 81-102 (in respect of each Horizons Corporate Class ETF that is a mutual fund, other than an alternative mutual fund), paragraph 2.5(2)(a.1) (in respect of each Horizons Corporate Class ETF that is an alternative mutual fund) and under paragraph 2.5(2)(c) of NI 81-102 (in respect of each Horizons Private Trust), since the Horizons Private Trusts would no longer be subject to NI 81-102 and the Horizons Private Trusts would no longer be reporting issuers.
36. As disclosed in the management information circular dated October 4, 2019, preserving the existence of the Horizons Private Trusts is beneficial to investors in the Horizons Corporate Class ETFs by deferring an unnecessary realization of taxable income or gains that may arise on a wind-up of a Horizons Private Trust and a distribution of its assets to the corresponding Horizons Corporate Class ETF, and/or by potentially preserving value in the Horizons Private Trust for the benefit of the shareholders of the corresponding Horizons Corporate Class ETF.
37. The Filer does not, and will not, obtain any direct benefit from the continued existence of the Horizons Private Trusts, or from the continued investment by Horizons MFC in the Horizons Private Trusts.
38. Any value that may ultimately be realized through the Horizons Private Trusts shall be for the benefit of the Horizons Corporate Class ETFs and their respective shareholders.
39. There is limited or no downside risk to shareholders of the Horizons Corporate Class ETFs in permitting the Horizons Corporate Class ETFs to remain invested in the Horizons Private Trusts, because the Horizons Corporate Class ETFs will remain the sole holder of voting units of the Horizons Private Trusts and the Horizons Private Trusts do not, and will not, carry on any business. Furthermore, in managing the affairs of the Horizons Private Trusts, the Filer will only be taking actions in accordance with its fiduciary obligations to the holder of units of the Horizons Private Trusts, being the Horizons Corporate Class ETFs.
40. Each Horizons Corporate Class ETF is currently unable to divest itself, in a commercially reasonable manner, of the securities of its corresponding Horizons Private Trust held in excess of the limits described in paragraph 2.2(1)(a) of NI 81-102 in a manner that would preserve the deferral of any unnecessary realization of taxable income or gains that would arise on a disposition of those units, and/or otherwise preserve value in the Horizons Private Trust for the benefit of the shareholders of the corresponding Horizons Corporate Class ETF. As the Horizons Private Trusts (i) carry on no other business, (ii) have a single unitholder, and (iii) are no longer issuing any units, there is no market for the units of the Horizons Private Trusts held by Horizons MFC.
41. While the Filer could maintain the reporting issuer status of each Horizons Private Trust if required to do so, doing so would not be beneficial to investors and would require the Filer to incur significant costs to satisfy the regulatory obligations associated with maintaining reporting issuer status.
42. The only material differences between a Horizons Private Trust and other types of mutual funds governed by NI 81-102 is that the Horizons Private Trusts (i) are not in continuous distribution, as they will not issue additional securities, (ii) do not invest their portfolios in any securities and (iii) intend not to be reporting issuers.

Decision

The principal regulator is satisfied that the decision meets the test set out in the Legislation for the principal regulator to make the decision.

The decision of the Principal Regulator under the Legislation is that the Exemption Sought is granted, provided that:

- (a) the Horizons Private Trusts does not issue any new securities;

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- (b) the investment of the Horizons Corporate Class ETFs in securities of the corresponding Horizons Private Trust otherwise complies with section 2.5 of NI 81-102, with the exception of paragraphs 2.5(2)(a), 2.5(2)(a.1) and 2.5(2)(c) of NI 81-102;
- (c) the Horizons Private Trusts will remain in compliance with NI 81-102, with the exception of Part 12 – Compliance Reports, and will not make any new investments; and
- (d) the prospectus of each Horizons Corporate Class ETF discloses, or will disclose at the time of its next renewal, the fact that a Horizons Corporate Class ETF has obtained the Exemption Sought to permit the relevant transactions on the terms described in this decision.

“Darren McKall”
Investment Funds and Structured Products Branch
Ontario Securities Commission

Appendix A

Horizons Corporate Class ETFs and Horizons Private Trusts¹

Horizons S&P/TSX 60™ Index ETF
Horizons S&P 500® Index ETF
Horizons S&P 500 CAD Hedged Index ETF
Horizons S&P/TSX Capped Energy Index ETF
Horizons S&P/TSX Capped Financials Index ETF
Horizons Cdn Select Universe Bond ETF
Horizons NASDAQ-100® Index ETF
Horizons EURO STOXX 50® Index ETF
Horizons Cdn High Dividend Index ETF
Horizons US 7-10 Year Treasury Bond ETF
Horizons US 7-10 Year Treasury Bond CAD Hedged ETF
Horizons Laddered Canadian Preferred Share Index ETF
Horizons Intl Developed Markets Equity Index ETF
Horizons Equal Weight Canada REIT Index ETF
Horizons Equal Weight Canada Banks Index ETF
Horizons Gold ETF
Horizons Silver ETF
Horizons Crude Oil ETF
Horizons Natural Gas ETF
BetaPro Gold Bullion 2x Daily Bull ETF
BetaPro Gold Bullion -2x Daily Bear ETF
BetaPro Crude Oil 2x Daily Bull ETF
BetaPro Crude Oil -2x Daily Bear ETF
BetaPro Natural Gas 2x Daily Bull ETF
BetaPro Natural Gas -2x Daily Bear ETF
BetaPro Silver 2x Daily Bull ETF
BetaPro Silver -2x Daily Bear ETF
BetaPro S&P/TSX 60™ 2x Daily Bull ETF
BetaPro S&P/TSX 60™ -2x Daily Bear ETF
BetaPro S&P/TSX Capped Financials™ 2x Daily Bull ETF
BetaPro S&P/TSX Capped Financials™ -2x Daily Bear ETF
BetaPro S&P/TSX Capped Energy™ 2x Daily Bull ETF
BetaPro S&P/TSX Capped Energy™ -2x Daily Bear ETF
BetaPro NASDAQ-100® 2x Daily Bull ETF
BetaPro NASDAQ-100® -2x Daily Bear ETF
BetaPro S&P 500® 2x Daily Bull ETF
BetaPro S&P 500® -2x Daily Bear ETF
BetaPro Canadian Gold Miners 2x Daily Bull ETF
BetaPro Canadian Gold Miners -2x Daily Bear ETF
BetaPro Marijuana Companies 2x Daily Bull ETF
BetaPro Marijuana Companies Inverse ETF
BetaPro S&P/TSX 60™ Daily Inverse ETF
BetaPro S&P 500® Daily Inverse ETF
BetaPro S&P 500 VIX Short-Term Futures™ ETF

¹ The Horizons Corporate Class ETFs and their corresponding Horizons Private Trusts share the same name.

2.1.4 MD Financial Management Inc.

Headnote

Multilateral Instrument 11-102 Passport System and National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions. Temporary relief from the requirement in paragraph 14.5.3(a) of National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations (the Custodial Records Requirement) for registered firms to take reasonable steps to ensure that cash and securities of each client or investment fund are held by a qualified custodian using an account number or other designation in the records of the qualified custodian sufficient to show that the beneficial ownership of the cash or securities of the client or investment fund is vested in that client or investment fund – The registered firm will continue its historical relationship with a Canadian custodian who will hold client assets on an omnibus basis in accordance to facilitate transitioning its client assets to its new Canadian custodian – The relief expires when the registered firm complies with the Custodial Records Requirement or on March 31, 2021.

Applicable Legislative Provisions

Multilateral Instrument 11-102 Passport System, s. 4.7.

National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations, ss. 1.1, 14.2(2)(a.1), 14.2(2)(a.2), 14.5.2(5), 14.5.3(a), 14.6 and 15.1.

March 9, 2020

**IN THE MATTER OF
THE SECURITIES LEGISLATION OF
ONTARIO
(the Jurisdiction)**

AND

**IN THE MATTER OF
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS
IN MULTIPLE JURISDICTIONS**

AND

**IN THE MATTER OF
MD FINANCIAL MANAGEMENT INC.
(the Filer)**

DECISION

Background

The principal regulator in the Jurisdiction has received an application from the Filer under the securities legislation of the Jurisdiction of the principal regulator (the **Legislation**) pursuant to section 15.1 of National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* (**NI 31-103**) for relief from the requirement in paragraph 14.5.3(a) of NI 31-103 (the **Custodial Records Requirement**) to permit the Filer's client accounts held in custody with State Street Trust Company of Canada (**State Street**) to continue to be held as part of an omnibus custody arrangement between the Filer and State Street (the **Omnibus Custody Arrangement**) for a limited period of time until the Filer can comply with the Custodial Records Requirement (the **Exemption Sought**).

Under National Policy 11-203 *Process for Exemptive Relief Applications in Multiple Jurisdictions* (for a passport application):

- (a) the Ontario Securities Commission is the principal regulator for this application; and
- (b) the Filer has provided notice that section 4.7(1) of Multilateral Instrument 11-102 *Passport System* (**MI 11-102**) is intended to be relied upon in each of British Columbia, Alberta, Saskatchewan, Manitoba, Québec, New Brunswick, Nova Scotia, Prince Edward Island, Newfoundland and Labrador, Northwest Territories, Yukon and Nunavut (together with Ontario, the **Jurisdictions**)

Interpretation

Terms defined in National Instrument 14-101 *Definitions* and MI 11-102 have the same meanings in this decision, unless otherwise defined.

Representations

The decision is based on the following facts represented by the Filer:

1. The Filer is a corporation incorporated under the laws of Canada with its head office located in Ottawa, Ontario. The Filer is registered as an adviser (portfolio manager) in each of the provinces and territories of Canada and is registered in Ontario in the category of commodity trading manager and investment fund manager. The Filer is also registered as an investment fund manager in the provinces of Québec and Newfoundland and Labrador.
2. Other than in respect of the matters outlined in this decision, the Filer is in compliance with all applicable laws.
3. As a registered adviser (portfolio manager), the Filer operates a service known as MD Private Investment Counsel (**MDPIC**), which allows clients to establish a discretionary managed account managed by registered advising representatives of the Filer.
4. Generally, the Filer invests the assets of its MDPIC clients primarily in mutual funds managed by the Filer. Pursuant to paragraph 14.5.2(7)(c) of NI 31-103, section 14.5.2 of NI 31-103 does not apply as the mutual fund securities held by the MDPIC clients are recorded on the books of the mutual funds managed by the Filer only in the name of the MDPIC client.
5. Some MDPIC clients wish to invest in equity securities directly, either in accordance with the Filer's equity separately managed account strategy, or because they have equity securities that they acquired through another registrant and have transferred to the Filer in moving their assets to the Filer for investment management. As prescribed by subsection 14.5.2(2) of NI 31-103, the equity securities of the MDPIC clients are held in custody with State Street. State Street is a Canadian custodian as defined in section 1.1. of NI 31-103.
6. The equity securities of the MDPIC clients are held in custody with State Street as part of the Omnibus Custody Arrangement between the Filer and State Street. The records of State Street reflect that the securities held by State Street are held in trust for the MDPIC clients of the Filer. However, individual client accounts and holdings are not reflected in the custodial accounts maintained by State Street for the Filer. The holdings of individual MDPIC client accounts are reflected in the Filer's MDPIC client account records. The Exemption Sought is in respect of assets held in the custody of State Street that are part of the Omnibus Custody Arrangement.
7. As of November 30, 2019, the Filer had approximately 60,000 MDPIC accounts representing approximately \$28 billion in assets under management (**AUM**). Approximately 730 of these MDPIC accounts representing approximately \$360 million are invested in equity securities that are held in custody with State Street as part of the Omnibus Custody Arrangement. This represents approximately 1.4% of the total MDPIC AUM.
8. The Custodial Records Requirement came into force on June 4, 2018 as part of a package of amendments to NI 31-103, which were designed to enhance the custody requirements applicable to accounts managed by registered advisers, as well as other registrants (the **Custody Amendments**).
9. The Filer complies with the balance of the Custody Amendments but has not been able to comply with the Custodial Records Requirement as it relates to the equity securities held by State Street on behalf of the MDPIC Clients as part of the Omnibus Custody Arrangements. Since the publication of the Custody Amendments in final form, the Filer considered various options to determine how best it could transition the Omnibus Custody Arrangements to become compliant with the Custodial Records Requirement. After some discussion, it was determined that the existing technological system and relationship of the Filer with State Street does not allow for the Omnibus Custodial Arrangement to be converted to a custody relationship that is in compliance with the Custodial Records Requirement without significant changes to the systems used by State Street. Following its acquisition by The Bank of Nova Scotia effective October 3, 2018, the Filer explored the option of transitioning the custody of the MDPIC client equity securities to The Bank of Nova Scotia Trust Company (**Scotiastrust**), an affiliate of the Filer that meets the definition of "Canadian custodian" in section 1.1 of NI 31-103.
10. The Filer has now determined that the best option is to move the custody of the MDPIC client equity securities to Scotiastrust, given that Scotiastrust has in place technology systems and tools relating to compliance with the Custodial Records Requirement and, among other things, client statements and other reporting that the Filer can leverage, without duplication of efforts, if the Filer's applicable MDPIC clients also become clients of Scotiastrust, acting as custodian.
11. Although Scotiastrust and the Filer are affiliates by virtue of being subsidiaries of The Bank of Nova Scotia, Scotiastrust is functionally independent of the Filer for the purposes of NI 31-103 because:
 - (a) Scotiastrust is a Canadian custodian under paragraph (b) of the definition of "Canadian custodian" in NI 31-103 and

- (b) The Filer has determined that Scotiabank has established and maintains a system of controls and supervision that a reasonable person would conclude is sufficient to manage the risks to the MDPIC clients associated with the custody of the MDPIC clients' securities held with Scotiabank.
12. Notwithstanding that the Filer and Scotiabank have agreed to establish a custodial relationship for MDPIC clients holding equity securities, the transition of the applicable MDPIC clients and the applicable equity securities to the custodial relationship with Scotiabank is expected to take several months to complete, given the systems and procedural changes that must be put in place. The Filer considers that the earliest date that the transition will be complete will be in the fall 2020. Given that transition projects of this nature may be delayed for reasons beyond the control of the parties, the Filer considers it is reasonable for the transition to be concluded by March 31, 2021, such that full compliance with the Custody Amendments will be in place by that date.
13. During the interim period where the Omnibus Custodial Arrangement is utilized, State Street will continue as the custodian for the MDPIC accounts holding equity securities and State Street is a Canadian custodian as defined in section 1.1 of NI 31-103.
14. Except as described in this decision, the Filer complies with the Custody Amendments which includes delivering relationship disclosure information to the Filer's clients that describes the risks and benefits to the applicable clients arising from their assets being held under the Omnibus Custodial Arrangement.

Decision

The principal regulator is satisfied that the decision meets the test set out in the Legislation for the principal regulator to make the decision.

The decision of the principal regulator under the Legislation is that the Exemption Sought is granted, provided that:

- (i) any securities of a client that are held in an account in the name of the Filer are held separate and apart from the Filer's own property and held by State Street (a "Canadian custodian" as defined in section 1.1 of NI 31-103) in a custodial account in trust for clients of the Filer;
- (ii) the Filer conducts monthly reconciliations between its separate fully-disclosed client account records and the omnibus records of State Street, and retains records of the results of these reconciliations;
- (iii) the Filer completes a monthly review of its reconciliation process which includes an internal certification of account reconciliations, and retains records of the results of these reconciliations;
- (iv) the Filer ensures that State Street completes an annual internal control report in accordance with International Standards on Assurance Engagements (ISAE 3402) or similar standards, providing evidence of independent oversight and reassurance on the quality and controls of the Omnibus Custodial Arrangement;
- (v) the Filer's clients continue to receive account statements from the Filer detailing their individual holdings as required by law; and
- (vi) the Exemption Sought will no longer be available in any jurisdiction of Canada after the date that is the earlier of:
 - i. the date on which the Filer implements the custody arrangement with Scotiabank that complies with the Custodial Record Requirement and
 - ii. March 31, 2021.

"Felicia Tedesco"
Director, Compliance and Registrant Regulation
Ontario Securities Commission

2.2 Orders

2.2.1 VersaPay Corporation

Headnote

National Policy 11-206 Process for Cease to be a Reporting Issuer Applications – The issuer ceased to be a reporting issuer under securities legislation.

Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c.S.5, as am., s.1(10)(a)(ii).

March 3, 2020

**IN THE MATTER OF
THE SECURITIES LEGISLATION OF
ONTARIO
(the Jurisdiction)**

AND

**IN THE MATTER OF
THE PROCESS FOR CEASE TO BE
A REPORTING ISSUER APPLICATIONS**

AND

**IN THE MATTER OF
VERSAPAY CORPORATION
(the Filer)**

ORDER

Background

The principal regulator in the Jurisdiction has received an application from the Filer for an order under the securities legislation of the Jurisdiction of the principal regulator (the **Legislation**) that the Filer has ceased to be a reporting issuer in all jurisdictions of Canada in which the Filer is a reporting issuer (the **Order Sought**).

Under the Process for Cease to be a Reporting Issuer Applications (for a passport application):

- (a) the Ontario Securities Commission is the principal regulator for this application, and
- (b) the Filer has provided notice that subsection 4C.5(1) of Multilateral Instrument 11-102 – *Passport System (MI 11-102)* is intended to be relied upon in British Columbia, Alberta, Saskatchewan, Manitoba, Québec, New Brunswick, Nova Scotia, Prince Edward Island and Newfoundland and Labrador.

Interpretation

Terms defined in National Instrument 14-101 *Definitions* and MI 11-102 have the same meaning if used in this order, unless otherwise defined.

Representations

This order is based on the following facts represented by the Filer:

1. the Filer is not an OTC reporting issuer under Multilateral Instrument 51-105 *Issuers Quoted in the U.S. Over-the-Counter Markets*;
2. immediately after closing of the Arrangement, the outstanding securities of the Filer, including debt securities, will be beneficially owned, directly or indirectly, by fewer than 15 securityholders in each of the jurisdictions of Canada and fewer than 51 securityholders in total worldwide;
3. immediately after closing of the Arrangement and the delisting of the Common Shares, no securities of the Filer, including debt securities, will be traded in Canada or another country on a marketplace as defined in National Instrument 21-101 *Marketplace Operation* or any other facility for bringing together buyers and sellers of securities where trading data is publicly reported;
4. the Filer is applying for an order that the Filer has ceased to be a reporting issuer in all of the jurisdictions of Canada in which it is a reporting issuer; and
5. the Filer is not in default of securities legislation in any jurisdiction.

Order

The principal regulator is satisfied that the order meets the test set out in the Legislation for the principal regulator to make the order.

The decision of the principal regulator under the Legislation is that the Order Sought is granted.

“Winnie Sanjoto”
Manager, Corporate Finance
Ontario Securities Commission

2.2.2 Horizons ETFs Management (Canada) Inc. et al.

Headnote

National Policy 11-206 Process for Cease to be a Reporting Issuer Applications – Application for an order that terminating exchange-traded funds are not reporting issuers under applicable securities laws – relief granted.

Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S.5, as am., s. 1(10)(a)(ii).

March 2, 2020

IN THE MATTER OF
THE SECURITIES LEGISLATION OF
ONTARIO
(the Jurisdiction)

AND

IN THE MATTER OF
THE PROCESS FOR CEASE TO BE
A REPORTING ISSUER APPLICATIONS

AND

IN THE MATTER OF
HORIZONS ETFs MANAGEMENT (CANADA) INC.
(the Filer)

AND

HORIZONS S&P/TSX 60™ INDEX ETF
HORIZONS S&P 500® INDEX ETF
HORIZONS S&P 500 CAD HEDGED INDEX ETF
HORIZONS S&P/TSX CAPPED ENERGY INDEX ETF
HORIZONS S&P/TSX CAPPED FINANCIALS INDEX ETF
HORIZONS CDN SELECT UNIVERSE BOND ETF
HORIZONS NASDAQ-100® INDEX ETF
HORIZONS EURO STOXX 50® INDEX ETF
HORIZONS CDN HIGH DIVIDEND INDEX ETF
HORIZONS US 7-10 YEAR TREASURY BOND ETF
HORIZONS US 7-10 YEAR TREASURY BOND CAD
HEDGED ETF
HORIZONS LADDERED CANADIAN PREFERRED SHARE
INDEX ETF
HORIZONS INTL DEVELOPED MARKETS EQUITY INDEX
ETF
HORIZONS EQUAL WEIGHT CANADA REIT INDEX ETF
HORIZONS EQUAL WEIGHT CANADA BANKS INDEX ETF
HORIZONS GOLD ETF
HORIZONS SILVER ETF
HORIZONS CRUDE OIL ETF
HORIZONS NATURAL GAS ETF
BETAPRO GOLD BULLION 2X DAILY BULL ETF
BETAPRO GOLD BULLION -2X DAILY BEAR ETF
BETAPRO CRUDE OIL 2X DAILY BULL ETF
BETAPRO CRUDE OIL -2X DAILY BEAR ETF
BETAPRO NATURAL GAS 2X DAILY BULL ETF
BETAPRO NATURAL GAS -2X DAILY BEAR ETF
BETAPRO SILVER 2X DAILY BULL ETF
BETAPRO SILVER -2X DAILY BEAR ETF

BETAPRO S&P/TSX 60™ 2X DAILY BULL ETF
BETAPRO S&P/TSX 60™ -2X DAILY BEAR ETF
BETAPRO S&P/TSX CAPPED FINANCIALS™ 2X DAILY
BULL ETF
BETAPRO S&P/TSX CAPPED FINANCIALS™ -2X DAILY
BEAR ETF
BETAPRO S&P/TSX CAPPED ENERGY™ 2X DAILY BULL
ETF
BETAPRO S&P/TSX CAPPED ENERGY™ -2X DAILY BEAR
ETF
BETAPRO NASDAQ-100® 2X DAILY BULL ETF
BETAPRO NASDAQ-100® -2X DAILY BEAR ETF
BETAPRO S&P 500® 2X DAILY BULL ETF
BETAPRO S&P 500® -2X DAILY BEAR ETF
BETAPRO CANADIAN GOLD MINERS 2X DAILY BULL ETF
BETAPRO CANADIAN GOLD MINERS -2X DAILY BEAR
ETF
BETAPRO MARIJUANA COMPANIES 2X DAILY BULL ETF
BETAPRO MARIJUANA COMPANIES INVERSE ETF
BETAPRO S&P/TSX 60™ DAILY INVERSE ETF
BETAPRO S&P 500® DAILY INVERSE ETF
BETAPRO S&P 500 VIX SHORT-TERM FUTURES™ ETF
(each, a Horizons Fund, and
collectively, the Horizons Funds)

ORDER

Background

The principal regulator in the Jurisdiction has received an application from the Filer, on behalf of the Horizons Funds, for an order under the securities legislation of the Jurisdiction of the principal regulator (the **Legislation**) that each Horizons Fund has ceased to be a reporting issuer in all jurisdictions of Canada in which each Horizons Fund is a reporting issuer (the **Order Sought**).

Under the Process for Cease to be a Reporting Issuer Applications (for a passport application):

- (a) the Ontario Securities Commission is the principal regulator for this application, and
- (b) the Filer has provided notice that subsection 4C.5(1) of Multilateral Instrument 11-102 *Passport System (MI 11-102)* is intended to be relied upon in each of the other provinces and territories of Canada.

Interpretation

Terms defined in National Instrument 14-101 *Definitions* and MI 11-102 have the same meaning if used in this order, unless otherwise defined.

Representations

This order is based on the following facts represented by the Filer:

1. none of the Horizons Funds are OTC reporting issuers under Multilateral Instrument 51-105

Issuers Quoted in the U.S. Over-the-Counter Markets;

2. the outstanding securities of each Horizons Fund are beneficially owned, directly or indirectly, by fewer than 15 securityholders in each of the jurisdictions of Canada and fewer than 51 securityholders in total worldwide;
3. no securities of any of the Horizons Funds, including debt securities, are traded in Canada or another country on a marketplace as defined in National Instrument 21-101 *Marketplace Operation* or any other facility for bringing together buyers and sellers of securities where trading data is publicly reported;
4. the Filer is applying for an order that each Horizons Fund has ceased to be a reporting issuer in all of the jurisdictions of Canada in which each Horizons Fund is currently a reporting issuer; and
5. none of the Horizons Funds are in default of securities legislation in any jurisdiction.

Order

The principal regulator is satisfied that the order meets the test set out in the Legislation for the principal regulator to make the order.

The decision of the principal regulator under the Legislation is that the Order Sought is granted.

“Darren McCall”
Investment Funds and Structured Products Branch
Ontario Securities Commission

2.2.3 Money Gate Mortgage Investment Corporation et al.

**IN THE MATTER OF
MONEY GATE MORTGAGE INVESTMENT
CORPORATION,
MONEY GATE CORP.,
MORTEZA KATEBIAN and
PAYAM KATEBIAN**

File No. 2017-79

Timothy Moseley, Vice-Chair and Chair of the Panel

March 5, 2020

ORDER

WHEREAS on March 5, 2020, the Ontario Securities Commission held a hearing at 20 Queen Street West, 17th Floor, Toronto, Ontario;

ON HEARING the submissions of the representatives for Staff of the Commission, and for Money Gate Corp., Morteza Katebian and Payam Katebian, by telephone, and no one appearing on behalf of Money Gate Mortgage Investment Corporation;

IT IS ORDERED THAT an attendance is scheduled for March 20, 2020 at 9:00 a.m., or such other date and time as provided by the Office of the Secretary and agreed to by the parties.

“Timothy Moseley”

2.2.4 Posera Ltd.

Headnote

National Policy 11-206 Process for Cease to be a Reporting Issuer Applications – The issuer ceased to be a reporting issuer under securities legislation.

Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S.5, as am., s. 1(10)(a)(ii).

March 5, 2020

**IN THE MATTER OF
THE SECURITIES LEGISLATION OF
ONTARIO
(the “Jurisdiction”)**
AND
**IN THE MATTER OF
THE PROCESS FOR CEASE TO BE
A REPORTING ISSUER APPLICATIONS**
AND
**IN THE MATTER OF
POSERA LTD.
(the “Filer”)**
ORDER

Background

The principal regulator in the Jurisdiction has received an application from the Filer for an order under the securities legislation of the Jurisdiction of the principal regulator (the Legislation) that the Filer has ceased to be a reporting issuer in all jurisdictions of Canada in which it is a reporting issuer (the “**Order Sought**”).

Under the Process for Cease to be a Reporting Issuer Applications (for a passport application):

- (a) the Ontario Securities Commission is the principal regulator for this application, and
- (b) the Filer has provided notice that subsection 4C.5(1) of Multilateral Instrument 11-102 *Passport System* (MI 11-102) is intended to be relied upon in British Columbia, Alberta, Saskatchewan, Manitoba, Quebec, New Brunswick, Nova Scotia, Prince Edward Island, Newfoundland.

Interpretation

Terms defined in National Instrument 14-101 *Definitions* have the same meaning if used in this order, unless otherwise defined.

Representations

This order is based on the following facts represented by the Filer:

1. the Filer is not an OTC reporting issuer under Multilateral Instrument 51-105 *Issuers Quoted in the U.S. Over-the-Counter Markets*;
2. the outstanding securities of the Filer, including debt securities, are beneficially owned, directly or indirectly, by fewer than 15 securityholders in each of the jurisdictions of Canada and fewer than 51 securityholders in total worldwide;
3. no securities of the Filer, including debt securities, are traded in Canada or another country on a marketplace as defined in National Instrument 21-101 *Marketplace Operation* or any other facility for bringing together buyers and sellers of securities where trading data is publicly reported;
4. the Filer is applying for an order that the Filer has ceased to be a reporting issuer in all of the jurisdictions of Canada in which it is a reporting issuer; and
5. the Filer is not in default of securities legislation in any jurisdiction.

Order

The principal regulator is satisfied that the order meets the test set out in the Legislation for the principal regulator to make the order.

The decision of the principal regulator under the Legislation is that the Order Sought is granted.

“Marie-France Bourret”
Manager, Corporate Finance
Ontario Securities Commission

2.2.5 Canada Cannabis Corporation et al.

**IN THE MATTER OF
CANADA CANNABIS CORPORATION,
CANADIAN CANNABIS CORPORATION,
BENJAMIN WARD,
SILVIO SERRANO, AND
PETER STRANG**

File No. 2019-34

Raymond Kindiak, Commissioner and Chair of the Panel

March 6, 2020

ORDER

WHEREAS on March 6, 2020, the Ontario Securities Commission held a hearing at 20 Queen Street West, 17th Floor, Toronto, Ontario, with respect to an attendance in this proceeding;

ON HEARING the submissions of the representatives for Staff of the Commission (**Staff**) and for each of the respondents;

IT IS ORDERED THAT an attendance in this proceeding is scheduled for April 21, 2020 at 10:00 a.m., or on such other date or time as may be agreed to by the parties and set by the Office of the Secretary.

“Raymond Kindiak”

2.2.6 Temple Hotels Inc.

Headnote

Application for an order that the issuer is not a reporting issuer under applicable securities laws – requested relief granted.

Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S.5, as am., s. 1(10)(a)(ii).

March 4, 2020

**IN THE MATTER OF
THE SECURITIES LEGISLATION OF
ONTARIO
(the Jurisdiction)**

AND

**IN THE MATTER OF
THE PROCESS FOR CEASE TO BE
A REPORTING ISSUER APPLICATIONS**

AND

**IN THE MATTER OF
TEMPLE HOTELS INC.
(the Filer)**

ORDER

Background

The principal regulator in the Jurisdiction has received an application from the Filer for an order under the securities legislation of the Jurisdiction of the principal regulator (the Legislation) that the Filer has ceased to be a reporting issuer in all jurisdictions of Canada in which it is a reporting issuer (the Order Sought).

Under the Process for Cease to be a Reporting Issuer Applications (for a passport application):

- (a) the Ontario Securities Commission is the principal regulator for this application, and
- (b) the Filer has provided notice that subsection 4C.5(1) of Multilateral Instrument 11-102 *Passport System* (MI 11-102) is intended to be relied upon in Alberta, British Columbia, Manitoba, New Brunswick, Newfoundland and Labrador, Northwest Territories, Nova Scotia, Nunavut, Prince Edward Island, Saskatchewan, Yukon

Interpretation

Terms defined in National Instrument 14-101 *Definitions* and MI 11-102 have the same meaning if used in this order, unless otherwise defined.

Representations

This order is based on the following facts represented by the Filer:

1. the Filer is not an OTC reporting issuer under Multilateral Instrument 51-105 *Issuers Quoted in the U.S. Over-the-Counter Markets*;
2. the outstanding securities of the Filer, including debt securities, are beneficially owned, directly or indirectly, by fewer than 15 securityholders in each of the jurisdictions of Canada and fewer than 51 securityholders in total worldwide;
3. no securities of the Filer, including debt securities, are traded in Canada or another country on a marketplace as defined in National Instrument 21-101 *Marketplace Operation* or any other facility for bringing together buyers and sellers of securities where trading data is publicly reported;
4. the Filer is applying for an order that the Filer has ceased to be a reporting issuer in all of the jurisdictions of Canada in which it is a reporting issuer; and
5. the Filer is not in default of securities legislation in any jurisdiction.

Order

The principal regulator is satisfied that the order meets the test set out in the Legislation for the principal regulator to make the order.

The decision of the principal regulator under the Legislation is that the Order Sought is granted.

“Lina Creta”
Manager, Corporate Finance
Ontario Securities Commission

2.2.7 Intrinsic Technologies Corporation

Headnote

National Policy 11-206 Process for Cease to be a Reporting Issuer Applications – The issuer ceased to be a reporting issuer under securities legislation.

Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S.5, as am., s. 1(10)(a)(ii).

March 6, 2020

**IN THE MATTER OF
THE SECURITIES LEGISLATION OF
BRITISH COLUMBIA AND ONTARIO
(the Jurisdictions)**

AND

**IN THE MATTER OF
THE PROCESS FOR CEASE TO BE A
REPORTING ISSUER APPLICATIONS**

AND

**IN THE MATTER OF
INTRINSYC TECHNOLOGIES CORPORATION
(the Filer)**

ORDER

Background

¶ 1 The securities regulatory authority or regulator in each of the Jurisdictions (Decision Maker) has received an application from the Filer for an order under the securities legislation of the Jurisdictions (the Legislation) that the Filer has ceased to be a reporting issuer in all jurisdictions of Canada in which it is a reporting issuer (the Order Sought).

Under the Process for Cease to be a Reporting Issuer Applications (for a dual application):

- (a) the British Columbia Securities Commission is the principal regulator for this application,
- (b) the Filer has provided notice that subsection 4C.5(1) of Multilateral Instrument 11-102 *Passport System* (MI 11-102) is intended to be relied upon in Alberta, Saskatchewan, Manitoba, Quebec, New Brunswick, Nova Scotia, Prince Edward Island and Newfoundland, and
- (c) this order is the order of the principal regulator and evidences the decision of the securities regulatory authority or regulator in Ontario.

Interpretation

- ¶ 2 Terms defined in National Instrument 14-101 *Definitions* and MI 11-102 have the same meaning if used in this order, unless otherwise defined.

Representations

- ¶ 3 This order is based on the following facts represented by the Filer:
1. the Filer is not an OTC reporting issuer under Multilateral Instrument 51-105 *Issuers Quoted in the U.S. Over-the-Counter Markets*;
 2. the outstanding securities of the Filer, including debt securities, are beneficially owned, directly or indirectly, by fewer than 15 securityholders in each of the jurisdictions of Canada and fewer than 51 securityholders in total worldwide;
 3. no securities of the Filer, including debt securities, are traded in Canada or another country on a marketplace as defined in National Instrument 21-101 *Marketplace Operation* or any other facility for bringing together buyers and sellers of securities where trading data is publicly reported;
 4. the Filer is applying for an order that the Filer has ceased to be a reporting issuer in all of the jurisdictions of Canada in which it is a reporting issuer; and
 5. the Filer is not in default of securities legislation in any jurisdiction.

Order

- ¶ 4 Each of the Decision Makers is satisfied that the order meets the test set out in the Legislation for the Decision Maker to make the order.

The decision of the Decision Makers under the Legislation is that the Order Sought is granted.

“John Hinze”
Director, Corporate Finance
British Columbia Securities Commission

2.3 Orders with Related Settlement Agreements

2.3.1 Issam El-Bouji et al. – ss. 127, 127.1

IN THE MATTER OF
ISSAM EL-BOUJI

AND

IN THE MATTER OF
GLOBAL RESP CORPORATION AND
GLOBAL GROWTH ASSETS INC.

FILE NO.: 2018-28
2020-7

M. Cecilia Williams, Commissioner and Chair of the Panel
Mary Anne De Monte-Whelan, Commissioner
Craig Hayman, Commissioner

March 10, 2020

ORDER

(Sections 127 and 127.1 of the *Securities Act*, RSO 1990, c S.5)

WHEREAS on March 10, 2020, the Ontario Securities Commission (the **Commission**) held a hearing at the offices of the Commission, located at 20 Queen Street West, 17th Floor, Toronto, Ontario, to consider the Joint Request for a Settlement Hearing filed by Issam El-Bouji (**Bouji**), Global RESP Corporation (**Global RESP**) and Global Growth Assets Inc. (**GGAI**) and Staff of the Commission (**Staff**) for approval of a settlement agreement dated as of March 3, 2020 (the **Settlement Agreement**);

ON READING the Statement of Allegations dated May 24, 2018 with respect to Bouji and the Statement of Allegations dated March 4, 2020 with respect to Global RESP and GGAI, and the Settlement Agreement and on hearing the submissions of the representatives for the parties, including that (i) Global RESP shall commence the process to surrender its registration as a scholarship plan dealer and consented to the immediate suspension of its registration pending surrender and provided Staff with a signed consent to this effect; (ii) GGAI opened a bank account in its name, for the exclusive purpose of compensating the Underpaid Beneficiaries as described in subparagraph (b)(i) below, to be held separate and apart from GGAI's own property and held by a Canadian financial institution in a designated trust account in trust for the Underpaid Beneficiaries (the **Special Purpose Account**); (iii) Global RESP and GGAI made a joint, voluntary payment of \$300,000 to the Special Purpose Account; and (iv) Bouji delivered a certified cheque in the amount of \$190,000 to Staff before the commencement of the Settlement Hearing on accounts of costs ordered in paragraph (g) below;

IT IS ORDERED THAT:

- (a) the Settlement Agreement is approved;
- (b) pursuant to paragraph 1 of subsection 127(1) of the *Securities Act*, RSO 1990, c S.5 (the **Act**), the following terms and conditions are imposed on GGAI's registration:
 - (i) on a joint and several basis with Global RESP, GGAI shall compensate the beneficiaries of subscribers who subscribed to units in the Global Educational Trust Plan pursuant to prospectuses dated November 25, 2002, August 26, 2003 and August 23, 2004 (the **2002 to 2004 Beneficiaries**) who have not received a full reimbursement of enrolment fees owing to them and have no Educational Assistance Payment (**EAP**) balance remaining as of the date of this Order (the **Underpaid Beneficiaries**);
 - (ii) on a joint and several basis with Global RESP, GGAI shall compensate the Underpaid Beneficiaries in the amount of at least \$900,000 in respect of enrolment fees owing to them and shall do so as follows:
 - 1. GGAI shall maintain the Special Purpose Account for the exclusive purpose of compensating the Underpaid Beneficiaries, to be held separate and apart from GGAI's own property and held by a Canadian financial institution in a designated trust account in trust for the Underpaid Beneficiaries;
 - 2. on a joint and several basis with Global RESP, commencing 30 days from the date of this Order, GGAI shall deposit at least \$100,000 per month into the Special Purpose Account until such time as at least \$900,000 in total has been deposited into the account;

3. GGAI shall use the funds deposited in the Special Purpose Account for the sole purpose of compensating the Underpaid Beneficiaries;
 4. all payments made in accordance with this term and condition shall be over and above the normal course payments Global RESP and GGAI make to the Discretionary Payment Account;¹ and
 5. nothing in this term and condition shall detract from GGAI's obligation to ensure that the obligation to reimburse enrolment fees to the 2002 to 2004 Beneficiaries (the **Enrolment Fee Obligation**) is completely fulfilled in relation to all 2002 to 2004 Beneficiaries;
- (iii) GGAI shall ensure that an amount equal to the full amount of enrolment fees paid in connection with each contract has been reimbursed to the Underpaid Beneficiaries and shall take all reasonable efforts to do so within nine months of the date of this Order;
- (iv) subject to any applicable unclaimed property legislation, in the event that GGAI has used all reasonable efforts but has not been successful in locating one or more of the Underpaid Beneficiaries after five years from the date of this Order, the total amounts owing to such beneficiaries shall be donated to the charity Pathways to Education;
- (v) until Global RESP and GGAI complete all payments to the Special Purpose Account to the satisfaction of a Manager in the Compliance and Registrant Regulation (**CRR**) Branch of the Commission (the **OSC Manager**) (the **Interim Period**), the following capital controls (the **Capital Controls**) shall apply to GGAI:
1. GGAI shall not, without the prior written consent of Staff:
 - a. reduce its capital in any manner including by redemption, re-purchase or cancellation of any of its shares;
 - b. reduce or repay any indebtedness to any director, officer, partner, shareholder, related company, affiliate or associate, or any other indebtedness which has been subordinated; or
 - c. directly or indirectly make any payments by way of loan, advance, bonus, dividend, repayment of capital or other distribution of assets to any director, officer, partner, shareholder, related company, affiliate or associate;
- (vi) after the Interim Period:
1. Bouji shall place ownership in GGAI in an irrevocable blind trust to be administered by a federally regulated trust company; and
 2. once ownership in GGAI has been placed in the irrevocable blind trust, the Capital Controls shall no longer apply to GGAI, subject to subparagraph (vii)5. below;
- (vii) GGAI shall take appropriate steps to ensure that the Enrolment Fee Obligation is fulfilled. In particular:
1. GGAI shall record a joint and several obligation with the Global Educational Trust Foundation (the **Foundation**) to fulfill the Enrolment Fee Obligation and shall record this obligation in its books and records including its financial statements;
 2. GGAI shall prioritize the Enrolment Fee Obligation over all payments by way of loan, advance, bonus, dividend, repayment of capital or other distribution of assets to any director, officer, partner, shareholder, related company, affiliate or associate;
 3. for the 2002 to 2004 Beneficiaries other than the Underpaid Beneficiaries, GGAI shall ensure that an amount equal to the enrolment fees paid in connection with each contract is reimbursed as necessary to each of the 2002 to 2004 Beneficiaries to ensure an equal proportion of enrolment fees and EAP remain in the beneficiary's account;²
 4. GGAI's Board of Directors shall submit written progress reports demonstrating GGAI's compliance with the Enrolment Fee Obligation to the OSC Manager every six months from the date of this Order to a date that is satisfactory to the OSC Manager;

¹ As that term is defined in Legacy Education Savings Plan Continuous Offering Detailed Plan Disclosure, dated May 31, 2019.

² For example, if 30% of EAP remains, GGAI must ensure that 70% of enrolment fees have been repaid to the beneficiary.

5. if GGAI's Board of Directors fails to submit the required written progress reports as set out in subparagraph 4. above, GGAI shall immediately comply with the Capital Controls set out above until such time as the OSC Manager is satisfied that GGAI is in compliance with the Enrolment Fee Obligation and notifies GGAI of this determination; and
 6. GGAI shall submit such additional reports as may be requested by the OSC Manager for the purpose of satisfying the OSC Manager that GGAI has complied with the Enrolment Fee Obligation;
- (viii) GGAI shall take appropriate steps to remediate the compliance deficiencies in the OSC Compliance Field Review Report for GGAI dated July 19, 2018 (**Compliance Report**). In particular:
1. GGAI shall continue to retain, at its own expense, the services of a third-party consultant (the **Consultant**) it first retained on March 19, 2019 to examine GGAI's operations, internal policies, practices and procedures, and compliance system to make recommendations (the **Recommendations**) for rectifying all identified compliance deficiencies in the Compliance Report and to review GGAI's progress with respect to the implementation of the Recommendations;
 2. GGAI shall require the Consultant to prepare and deliver to the OSC Manager an updated written compliance plan (the **Plan**) within 60 days from the date of this Order describing the Consultant's Recommendations. For each of the Consultant's Recommendations, the Plan shall include the expected dates of completion and person(s) responsible for implementation;
 3. upon the OSC Manager's approval of the Plan, GGAI shall require the Consultant to submit written progress reports (**Progress Reports**) to the OSC Manager detailing GGAI's progress with respect to implementation of the Plan, to the satisfaction of the OSC Manager. The Consultant shall submit Progress Reports no less frequently than every three months from the date the Plan is approved until the Plan has been fully implemented;
 4. GGAI shall demonstrate substantial progress towards the implementation of the Plan in each of the Progress Reports;
 5. upon the full implementation of the Plan, the Consultant shall prepare and submit an attestation letter (**Attestation Letter**) for approval by the OSC Manager verifying that all identified compliance deficiencies in the Compliance Report have been rectified, and that the Recommendations in the Plan have been implemented and tested and are working effectively;
 6. the Consultant shall submit such additional reports as may be requested by the OSC Manager for the purposes of satisfying the OSC Manager that the conclusions expressed in the Attestation Letter are valid;
 7. GGAI shall immediately submit to CRR Staff a direction giving unrestricted permission for CRR Staff and the Consultant to communicate with one another regarding GGAI's progress with respect to the implementation of the Plan or any of the Recommendations, or any other matter related to these terms and conditions; and
 8. until the OSC Manager has approved of the Attestation Letter submitted in accordance with subparagraph 5. above, GGAI shall not terminate the Consultant's retainer without prior written approval by the OSC Manager. Any replacement of the Consultant will require approval in advance by the OSC Manager.
- (c) pursuant to paragraph 1 of subsection 127(1) of the Act, the following additional terms and conditions are permanently imposed on GGAI's registration:
- (i) GGAI shall not act as investment fund manager for any investment fund other than the Global Iman Fund and the Global Plans;³
 - (ii) GGAI is prohibited from distributing units in the Global Plans with the exception that it shall be permitted to distribute units to current subscribers for existing plans with the same beneficiary if: (i) the units are distributed pursuant to the terms of an existing contract; or (ii) the units are distributed at the request of the current subscriber through a registered dealer;

³ The Global Plans include the AES Plan, the GET Plan, and the LES Plan.

- (iii) for the purpose of (ii) above, GGAI shall not directly or indirectly solicit current subscribers to purchase additional units and GGAI shall prohibit the registered dealer(s) from soliciting current subscribers to purchase additional units;
 - (iv) GGAI shall continue to maintain an independent⁴ board of directors except that the term and condition on GGAI's registration found at paragraph 1(e)(i) of the Commission order dated April 16, 2014 in the Matter of Issam El-Bouji, et al. is lifted and replaced with the requirement that 90 days from the date of this Order, the independent board shall be comprised exclusively of three independent external board members and the independent directors are to be approved by the OSC Manager; and
 - (v) GGAI shall not permit Bouji or other members of the Bouji family⁵ (the **Bouji Family**) to provide any service of any kind to GGAI or to any service providers of GGAI⁶, or to participate in the operations or management of GGAI, whether as an employee, an independent contractor, unpaid service provider, or any capacity whatsoever. Without restricting the generality of the foregoing, GGAI shall not permit members of the Bouji Family directly or indirectly to:
 - 1. act as an integral part of the mind and management of GGAI and perform functions similar to those normally performed by an officer or director of GGAI including:
 - a. proposing, nominating and appointing new officers;
 - b. participating in any meeting of the board or any committee of the board;
 - c. providing instructions or direction to management of GGAI or to any legal or financial advisors on behalf of GGAI;
 - d. having signing authority for GGAI including without limitation signing authority over any bank or other accounts of GGAI;
 - e. hiring, supervising or terminating staff of GGAI or providing input or participating in decisions relating to hiring, supervising or terminating staff or to executive compensation;
 - f. participate in any decisions with or attempt in any way to influence management or the board of GGAI, or make any recommendations in relation to decisions: (a) affecting the compliance by GGAI with securities legislation, including its system of controls and supervision; and (b) relating to the preparation of any filing or disclosure documents required to be submitted or filed by GGAI under Ontario securities law, except as required by law in respect of Bouji's individual filing requirements;
 - g. play any role (other than as a representative of the shareholder) in GGAI's financial affairs; and
 - h. play any role in the business, operations or day-to-day management of GGAI;
 - i. GGAI shall not enter into any oral or written retainer, with or without compensation, that allows a member of the Bouji Family to act as a consultant, advisor or supplier of any services to GGAI;
 - (vi) GGAI shall prepare and maintain written policies and procedures designed to provide reasonable assurance that GGAI is complying with subparagraph (v) above, and shall take reasonable steps to ensure that all senior level personnel of GGAI review those policies and procedures and agree to abide by them;
- (d) pursuant to paragraph 1 of subsection 127(1) of the Act, the following terms and conditions are imposed on Global RESP's registration:
- (i) Global RESP shall compensate the Underpaid Beneficiaries on a joint and several basis with GGAI in the amount of at least \$900,000 in respect of enrolment fees owing to them and shall do so as follows:

⁴ "Independent" shall have the meaning as set out in sections 1.4 and 1.5 of National Instrument 52-110 – *Audit Committees* except that the point of reference shall be Bouji or any entities owned or controlled by Bouji.

⁵ Members of the Bouji family include all relatives of Bouji either by blood or marriage.

⁶ This does not preclude a company owned or controlled by the Bouji family that is currently a landlord from permitting GGAI to rent space where GGAI and Global RESP are currently located provided the rent is set at or below market rates.

Decisions, Orders and Rulings

1. on a joint and several basis with GGAI, commencing 30 days from the date of this Order, Global RESP shall deposit at least \$100,000 per month into the Special Purpose Account until such time as at least \$900,000 in total has been deposited into the account; and
 2. all payments made in accordance with this term and condition shall be over and above the normal course payments Global RESP and GGAI make to the Discretionary Payment Account;⁷
- (ii) until Global RESP and GGAI complete all payments to the Special Purpose Account to the satisfaction of the OSC Manager, the following capital controls shall apply to Global RESP:
1. Global RESP shall not, without the prior written consent of Staff:
 - a. reduce its capital in any manner including by redemption, re-purchase or cancellation of any of its shares;
 - b. reduce or repay any indebtedness to any director, officer, partner, shareholder, related company, affiliate or associate, or any other indebtedness which has been subordinated; or
 - c. directly or indirectly make any payments by way of loan, advance, bonus, dividend, repayment of capital or other distribution of assets to any director, officer, partner, shareholder, related company, affiliate or associate.
- (e) Bouji is permanently prohibited from acting as a registrant, pursuant to paragraph 8.5 of subsection 127(1) of the Act;
- (f) Bouji is permanently prohibited from becoming or acting as a director or officer of any reporting issuer, registrant or from acting as a director or officer of the Foundation, pursuant to paragraphs 8, 8.2, and 8.4 of subsection 127(1) of the Act; and
- (g) Bouji shall pay costs in the amount of \$190,000 to the Commission, pursuant to subsection 127.1(1) of the Act.

“M. Cecilia Williams”

“Mary Anne De Monte-Whelan”

“Craig Hayman”

⁷ As that term is defined in Legacy Education Savings Plan Continuous Offering Detailed Plan Disclosure, dated May 31, 2019.

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Chapter 3

Reasons: Decisions, Orders and Rulings

3.1 OSC Decisions

3.1.1 Paramount Equity Financial Corporation et al. – Rule 29 of the OSC Rules of Procedure and Forms

Citation: *Paramount (Re)*, 2020 ONSEC 7

Date: 2020-03-05

File No. 2019-12

IN THE MATTER OF
PARAMOUNT EQUITY FINANCIAL CORPORATION,
SILVERFERN SECURED MORTGAGE FUND,
SILVERFERN SECURED MORTGAGE LIMITED PARTNERSHIP,
GTA PRIVATE CAPITAL INCOME FUND,
GTA PRIVATE CAPITAL INCOME LIMITED PARTNERSHIP,
SILVERFERN GP INC.,
PARAMOUNT ALTERNATIVE CAPITAL CORPORATION,
PACC AINSLIE CORPORATION,
PACC COSTIGAN CORPORATION,
PACC CRYSTALLINA CORPORATION,
PACC DACEY CORPORATION,
PACC GOULAIS CORPORATION,
PACC HARRIET CORPORATION,
PACC MAJOR MACK CORPORATION,
PACC MAPLE CORPORATION,
PACC MULCASTER CORPORATION,
PACC REGENT CORPORATION,
PACC SCUGOG CORPORATION,
PACC SEHELDT CORPORATION,
PACC SHAVER CORPORATION,
PACC SIMCOE CORPORATION,
PACC THOROLD CORPORATION,
PACC WILSON CORPORATION,
TRILOGY MORTGAGE GROUP INC.,
TRILOGY EQUITIES GROUP LIMITED PARTNERSHIP,
MARC RUTTENBERG,
RONALD BRADLEY BURDON and
MATTHEW LAVERTY

REASONS FOR DECISION ON A MOTION TO ADJOURN

(Rule 29 of the Ontario Securities Commission *Rules of Procedure and Forms* (2019) 42 OSCB 9714)

Hearing:	March 2, 2020	
Decision:	March 5, 2020	
Panel:	Timothy Moseley	Vice-Chair and Chair of the Panel
	Garnet W. Fenn	Commissioner
	Heather Zordel	Commissioner
Appearances:	Mark Bailey	
	Vivian Lee	For Staff of the Ontario Securities Commission
		No one appearing on behalf of the Respondents

REASONS FOR DECISION

I. OVERVIEW

- [1] The merits hearing in this proceeding was scheduled to begin on March 2, 2020. When preparing for the hearing, Staff of the Ontario Securities Commission discovered an inadvertent omission in the Amended Statement of Allegations. Staff had neglected to seek an order requiring that the three individual respondents (Ronald Bradley Burdon, Matthew Lavery and Marc Ruttenberg) disgorge to the Commission any amounts that they had obtained as a result of non-compliance with Ontario securities law.
- [2] Staff wanted to amend the Statement of Allegations to add that request. Staff's ability to do so is impeded by its uncertainty as to whether it has a valid address at which it can serve the motion materials on Mr. Ruttenberg.
- [3] Two business days before the merits hearing was to begin (*i.e.*, on February 27, 2020), Staff filed a motion seeking:
- a. an order further amending the Amended Statement of Allegations to add the request for a disgorgement order;
 - b. an adjournment of the commencement of the merits hearing from March 2, 2020, to March 23, 2020 (which would give Staff time to find and serve Mr. Ruttenberg); and
 - c. such further orders as the Commission considers appropriate.
- [4] On March 2, 2020, the day the merits hearing was scheduled to begin, no one appeared for any of the respondents. After hearing submissions from Staff, we gave an oral decision. We decided not to proceed further that day. We ordered that on March 10, 2020, we would hear Staff's motion to amend the Amended Statement of Allegations, and then begin the merits hearing. We advised that our reasons for that decision would follow. These are our reasons.

II. HISTORY OF THE PROCEEDING

- [5] Staff filed its original Statement of Allegations on March 29, 2019, and a Notice of Hearing was issued on the same date. Staff filed an Amended Statement of Allegations on May 24, 2019.
- [6] At an attendance on October 9, 2019, the Commission ordered that the merits hearing take place over 14 days, beginning on March 2, 2020, and ending on March 30, 2020.
- [7] Mr. Lavery is the only respondent who has indicated an intention to participate in the merits hearing. He has advised that his availability to do so is significantly limited by his current employment. His work schedule permits him to attend on March 26, 2020, one of the scheduled hearing days, and on that day he intends to testify on his own behalf.

III. ANALYSIS

A. Issues

- [8] Staff's motion presents two issues, which we address in turn:
- a. Should Staff be permitted to amend the Amended Statement of Allegations to add a request for a disgorgement order?
 - b. Should the commencement of the merits hearing be adjourned to permit Staff to attempt to serve Mr. Ruttenberg with the motion materials?

B. Should Staff be permitted to amend the Amended Statement of Allegations to add a request for a disgorgement order?

- [9] Pursuant to Rule 18 of the *Ontario Securities Commission Rules of Procedure and Forms*¹ (the **Rules**), Staff may amend a Statement of Allegations at any time with permission from a panel. The panel "shall grant permission unless the amendment would be unfairly prejudicial to a Party".
- [10] We must therefore determine whether Staff's requested amendment would cause the individual respondents unfair prejudice. We ought not to make that determination without first giving the individual respondents an opportunity to address that question, if they wish to do so.

¹ (2019) 42 OSCB 9714

[11] The *Ontario Securities Commission Practice Guideline*² (the **Practice Guideline**) dictates how much notice the individual respondents must receive so that they can decide whether to respond. Section 8(1)(a) of the Practice Guideline requires that a moving party serve and file motion materials at least 10 days before a motion date.

[12] Staff advised that it served the motion materials on Messrs. Burdon and Laverty on February 27, 2020. Accordingly, we were not prepared to hear the motion to amend any earlier than March 9, 2020, being 10 days after service on Messrs. Burdon and Laverty.

[13] We therefore decided to adjourn the hearing of that motion. The question remained as to the length of the adjournment. The answer to that question is inextricably bound up in Staff's request to adjourn the merits hearing. We turn now to consider that request.

C. Should the commencement of the merits hearing be adjourned to permit Staff to attempt to serve Mr. Ruttenberg with the motion materials?

[14] Rule 29(1) of the Rules provides that every merits hearing shall proceed on the scheduled date unless the party requesting an adjournment "satisfies the Panel that there are exceptional circumstances requiring an adjournment." The standard set out in Rule 29(1) is a "high bar"³ that reflects the important objective set out in Rule 1, that Commission proceedings be conducted in an expeditious manner.

[15] To determine whether the circumstances cited by Staff are "exceptional", such that they require an adjournment of the merits hearing, we must ask: What prompts the adjournment request?

[16] The answer is: Staff's inadvertent omission of a disgorgement order in the list of requested sanctions.

[17] At the hearing of this motion, Staff submitted instead that what necessitates an adjournment is the respondents' right to make full answer and defence.⁴ That right is an important consideration, but it is not the root cause. Any need the respondents might have to rethink their defence (or their choice not to defend) arises only as a direct consequence of Staff's request to amend. Staff cannot lower the high bar it faces in seeking an adjournment to remedy its own error, by invoking procedural fairness for the respondents.

[18] That leads us to the question of whether Staff's error, and Staff's interest in fixing that error, constitute exceptional circumstances. We cannot find that they are. If we were to reach that conclusion, the door would be wide open to Staff and respondents alike to seek adjournments based on claims of inadvertent omissions. That result would seriously undermine one of the objectives of Rule 29(1), *i.e.*, to ensure that hearings proceed expeditiously.

[19] Staff's requested adjournment would require either the postponing of Mr. Laverty's testimony, or the less satisfactory alternative of having Mr. Laverty testify before Staff concludes its case. It might also require the scheduling of additional hearing days, thus delaying the conclusion of the merits hearing.

[20] While we were therefore not prepared to adjourn the commencement of the merits hearing to March 23, Staff did advise that it could complete its case in six days. This commitment by Staff (which may be subject to a change in circumstances) enabled us to:

- a. vacate the next two hearing days, being March 5 and 9, 2020;
- b. schedule the hearing of Staff's motion to amend for March 10, 2020, thereby satisfying the notice requirement for Messrs. Burdon and Laverty;
- c. plan to commence the merits hearing on March 10, 2020, to continue on March 11, 12, 23, 24 and 25, 2020, by which time Staff should have completed its case;
- d. plan to continue the merits hearing on March 26, 2020, on which day Mr. Laverty is scheduled to testify on his own behalf; and
- e. preserve the remaining merits hearing dates of March 27 and 30, 2020.

[21] In our view, that combination of outcomes constitutes exceptional circumstances. In general, adjournments of merits hearings are resisted because they disrupt existing plans, consume resources unnecessarily, and/or (and often most significantly) delay the conclusion of the merits hearing. It is an exception when an adjournment effects no appreciable disruption. That is the case here, because:

² (2019) 42 OSCB 9736

³ *Pro-Financial Asset Management Inc (Re)*, 2018 ONSEC 18, (2018) 41 OSCB 3512 at para 28

⁴ See *Cheng (Re)*, 2018 ONSEC 13, (2018) 41 OSCB 2359 at para 6

- a. there will be no change to Mr. Lavery's plans to testify on March 26, 2020;
- b. Staff expects to complete its case before Mr. Lavery testifies; and
- c. the conclusion of the merits hearing will not be delayed.

[22] We acknowledge that Staff may find itself in a different position with respect to Mr. Ruttenberg than it does with respect to Messrs. Burdon and Lavery, depending on whether Staff has any success locating Mr. Ruttenberg or an address for him. Our decision is without prejudice to any request Staff might make for further relief arising out of developments involving Mr. Ruttenberg that occur after the hearing of this motion.

IV. CONCLUSION

[23] Absent any developments warranting a further order, Staff's motion to amend the Amended Statement of Allegations will be heard on March 10, 2020, followed immediately by the commencement of the merits hearing.

Dated at Toronto this 5th day of March, 2020.

"Timothy Moseley"

"Garnet W. Fenn"

"Heather Zordel"

3.2 Director's Decision

3.2.1 RWS Capital Services Inc.

**IN THE MATTER OF
THE SECURITIES ACT,
R.S.O. 1990, c. S.5, AS AMENDED**

AND

**IN THE MATTER OF
THE REGISTRATION OF
RWS CAPITAL SERVICES INC.**

DECISION OF THE DIRECTOR

Having reviewed and considered the agreed statement of facts, the admissions by RWS Capital Services Inc. ("RWS"), and the joint recommendation to the Director by staff of the Ontario Securities Commission ("Staff") and counsel for RWS contained in the settlement agreement signed on behalf of RWS on March 5, 2020, and by Staff on March 6, 2020 (the "Settlement Agreement"), a copy of which is attached as Schedule "A" to this Decision, and on the basis of those agreed facts and admissions, I, Debra Foubert, in my capacity as Director under the *Securities Act*, R.S.O. 1990, c. S.5 (the "Act"), accept the joint recommendation of the parties, and make the following decision:

1. The registration of RWS under the Act as a dealer in the category of exempt market dealer is suspended effectively immediately.

March 10, 2020

"Debra Foubert"

Date

Debra Foubert

Schedule "A"

**IN THE MATTER OF
THE SECURITIES ACT,
R.S.O. 1990, c. S.5, AS AMENDED**

AND

**IN THE MATTER OF
THE REGISTRATION OF
RWS CAPITAL SERVICES INC.**

SETTLEMENT AGREEMENT

I. INTRODUCTION

1. This settlement agreement (the "Settlement Agreement") relates to the opportunity to be heard under s. 31 of the *Securities Act*, R.S.O. 1990, c. S.5 (the "Act") that has been requested by RWS Capital Services Inc. ("RWS"), a registered exempt market dealer, regarding the recommendation by staff of the Ontario Securities Commission ("Staff") that RWS's registration be suspended, pursuant to s. 28 of the Act.
2. As more particularly described in this Settlement Agreement, RWS has failed to comply with the working capital and financial reporting requirements of Part 12 of National Instrument 31-103 *Registration Requirements, Exemptions, and Ongoing Registrant Obligations* ("NI 31-103") for its financial years ending December 31, 2017, and December 31, 2018, and is not suitable for ongoing registration because it is capital deficient.
3. In addition, RWS failed to respond on a timely basis to repeated inquiries made by Staff concerning its compliance with its regulatory obligations under Part 12 of NI 31-103.
4. RWS and Staff agree that it is appropriate that RWS's registration be suspended, and the parties have agreed to make a joint recommendation to the Director regarding the suspension of RWS's registration.

II. AGREED STATEMENT OF FACTS

5. The parties agree to the facts as stated below.

A. The Registrant

6. RWS became registered under the Act as a limited market dealer on May 11, 2004, and on September 28, 2009, its registration category was transitioned to exempt market dealer.
7. Stonehouse is the only registered or permitted individual that RWS has ever had, and is its ultimate designated person, chief compliance officer, and dealing representative. Stonehouse is solely responsible for directing the affairs of RWS, and all communications between Staff and RWS referred to herein, unless expressly stated otherwise, were sent or received by Stonehouse.
8. RWS's financial year end is December 31.

A. RWS's History of Non-Compliance with Financial Reporting Rules (2015 and 2016)

9. Subsection 12.12(1) of NI 31-103 requires registered dealers, like RWS, to deliver their audited annual financial statements and a completed Form 31-103F1 *Calculation of Excess Working Capital* ("Form F1") as at the end of their financial year within 90 days of the end of that financial year.
10. In 2016, RWS was late in delivering its audited financial statements and Form F1 for its financial year ending December 31, 2015, and as a result it was charged a late fee in accordance with OSC Rule 13-503 *Fees*.
11. In 2017 RWS was late in delivering its audited financial statements and Form F1, this time for the financial year ending December 31, 2016. As with the previous year, the firm was charged a late fee.

B. Staff's Efforts to Obtain 2017 Financial Reporting from RWS

12. RWS's audited financial statements and Form F1 for the financial year ending December 31, 2017 were due on April 2, 2018 (the ninetieth day, March 31, being a Saturday).

13. RWS did not deliver either its 2017 audited financial statements or its 2017 Form F1 by April 2, 2018. Staff followed up with RWS in writing on April 19, May 28, July 26, and November 12, 2018 asking it to deliver the missing material. RWS responded to one of these inquiries. On May 28, 2018, RWS informed Staff that it would attend to the production of the missing material immediately.

14. At the end of 2018, the 2017 audited financial statements and Form F1 were still outstanding.

C. Staff's Efforts to Obtain 2018 Financial Reporting from RWS

15. RWS's audited financial statements and Form F1 for the financial year ending December 31, 2018 were due on April 1, 2019 (the ninetieth day, March 31, being a Sunday).

16. RWS did not deliver its 2018 audited financial statements or Form F1 by April 1, 2019 (and its materials for the previous year were still outstanding at the time as well). As a result, Staff followed up with RWS in writing on April 22, May 6, and June 25, 2019. The only response Staff received to any of these inquiries came on May 9, 2019, when RWS's auditor sent an email to Staff that said: "RWS Capital Services' financial statement audits are currently underway and reports will be available very shortly."

D. Final Warning by Staff

17. On October 4, 2019, Staff sent a letter to RWS summarizing Staff's efforts to obtain RWS's audited financial statements and Form F1s for 2017 and 2018, and warning it about the consequences of the firm's failure to comply with its reporting obligations. This letter specifically informed RWS that unless RWS brought itself into compliance with its financial reporting obligations by October 21, 2019, Staff would recommend to the Director that the firm's registration be suspended.

18. RWS did not provide the outstanding financial reporting by October 21, 2019.

19. RWS states that Stonehouse's ability to attend to its affairs was impacted by significant health concerns suffered by Stonehouse throughout 2019.

E. Notification of Regulatory Action and Eventual Delivery of Financial Statements

20. On December 4, 2019, Staff sent a letter to RWS informing it that Staff had recommended that RWS's registration be suspended because of its repeated failure to comply with its financial reporting obligations.

21. By way of a letter dated December 9, 2019, RWS requested an opportunity to be heard in relation to Staff's recommendation that its registration be suspended. Also by way of a letter dated December 9, 2019, RWS delivered the audited financial statements for 2017 and 2018. RWS cited a change in auditor and Stonehouse's own health issues as the reasons for the very late delivery of these financial statements.

F. RWS's Capital Deficiency

22. Around the time it delivered the outstanding 2017 and 2018 audited financial statements, RWS also delivered a Form F1 for each year, which showed that the firm had excess working capital at December 31, 2017, and December 31, 2018, respectively.

23. Staff reviewed RWS's audited financial statements for 2017 and 2018, and determined that, in fact, the firm had failed to meet the minimum working capital requirement as at December 31, 2017 and December 31, 2018.

24. In its Form F1 for both 2017 and 2018, RWS included among its current assets a "due from shareholder" item. In both cases, the notes to the financial statements described these "due from shareholder" items as: "unsecured, non-interest bearing and have no fixed repayment terms". Because these items had no fixed repayment terms, they could not properly be included as a "current asset" for working capital purposes.

25. After deducting these "due from shareholder" items, RWS's working capital of less than zero as at December 31, 2017 and December 31, 2018, respectively.

26. At no time did RWS notify Staff that the firm failed to meet the minimum working capital requirement, as required by s. 12.1(1) of NI 31-103.

III. ADMISSIONS BY RWS

27. RWS admits that by failing to deliver its audited financial statements and Form F1 for the financial year ending December 31, 2017 and December 31, 2018 within 90 days of the end of the financial year, it failed to comply with s. 12.12 of NI 31-103.

Reasons: Decisions, Orders and Rulings

- 28. RWS admits that by failing to notify Staff that its working capital, as calculated in accordance with Form F1, was less than zero, it failed to comply with s. 12.1(1) of NI 31-103.
- 29. RWS admits that its working capital, as calculated in accordance with Form F1, was less than zero for two consecutive days, contrary to s. 12.1(2) of NI 31-103.
- 30. RWS admits that because its working capital, as calculated in accordance with Form F1, is less than zero, it is not suitable for ongoing registration because it does not have the requisite solvency.
- 31. RWS admits that it did not respond in a timely way to inquiries from Staff regarding its compliance with its financial reporting obligations.

IV. JOINT RECOMMENDATION

- 32. The parties jointly recommend to the Director that RWS's registration be suspended pursuant to s. 28 of the Act. RWS has also represented to Staff that it intends to apply to surrender its registration.
- 33. The parties submit that their joint recommendation is appropriate for the following reasons:
 - (a) RWS has a prolonged pattern of non-compliance with the financial reporting requirements of NI 31-103; and
 - (b) RWS does not currently meet the solvency requirement for ongoing registration.
- 34. The parties acknowledge that if the Director does not accept this joint recommendation:
 - (a) This settlement agreement and all related negotiations between the parties shall be without prejudice.
 - (b) RWS will be entitled to continue the opportunity to be heard that it has requested in respect of Staff's recommendation that its registration be suspended by the Director.

“Robert Wallace”

Robert Wallace Stonehouse, for
RWS Capital Services Inc.

March 5, 2020

Date

“Elizabeth King”

Elizabeth King
Deputy Director
Compliance and Registrant Regulation

March 6, 2020

Date

Chapter 4

Cease Trading Orders

4.1.1 Temporary, Permanent & Rescinding Issuer Cease Trading Orders

Company Name	Date of Temporary Order	Date of Hearing	Date of Permanent Order	Date of Lapse/Revoke
THERE IS NOTHING TO REPORT THIS WEEK.				

Failure to File Cease Trade Orders

Company Name	Date of Order	Date of Revocation
Great Lakes Graphite Inc.	05 March 2020	
Grown Rogue International Inc.	05 March 2020	
Leo Acquisitions Corp.	06 March 2020	
The Wonderfilm Media Corporation	30 December 2019	05 March 2020

4.2.1 Temporary, Permanent & Rescinding Management Cease Trading Orders

Company Name	Date of Order	Date of Lapse
THERE IS NOTHING TO REPORT THIS WEEK.		

4.2.2 Outstanding Management & Insider Cease Trading Orders

Company Name	Date of Order or Temporary Order	Date of Hearing	Date of Permanent Order	Date of Lapse/ Expire	Date of Issuer Temporary Order
Performance Sports Group Ltd.	19 October 2016	31 October 2016	31 October 2016		

Company Name	Date of Order	Date of Lapse
CannTrust Holdings Inc.	15 August 2019	
EESTor Corporation	29 January 2020	

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Chapter 7

Insider Reporting

The following is a weekly summary of insider transactions by insiders of *Ontario reporting issuers* in SEDI® (the System for Electronic Disclosure by Insiders).¹ The weekly summary contains insider transactions reported during the 7-day period ending Sunday at 11:59 p.m. (i.e. the Sunday prior to the Bulletin Issue date).²

Guide to Codes

Relationship of Insider to Issuer (Rel=n)

- 1 Issuer
- 2 Subsidiary of Issuer
- 3 10% Security Holder of Issuer
- 4 Director of Issuer
- 5 Senior Officer of Issuer
- 6 Director or Senior Officer of 10% Security Holder
- 7 Director or Senior Officer of Insider or Subsidiary of Issuer (other than in 4,5,6)
- 8 Deemed Insider – 6 Months before becoming Insider

Nature of Transaction (T/O)

- 00 Opening Balance-Initial SEDI Report
- 10 Acquisition or disposition in the public market
- 11 Acquisition or disposition carried out privately
- 15 Acquisition or disposition under a prospectus
- 16 Acquisition or disposition under a prospectus exemption
- 22 Acquisition or disposition pursuant to a take-over bid, merger or acquisition
- 30 Acquisition or disposition under a purchase/ ownership plan
- 35 Stock dividend
- 36 Conversion or exchange
- 37 Stock split or consolidation
- 38 Redemption, retraction, cancellation, repurchase
- 40 Short sale
- 45 Compensation for property
- 46 Compensation for services
- 47 Acquisition or disposition by gift
- 48 Acquisition by inheritance or disposition by bequest
- 50 Grant of options
- 51 Exercise of options
- 52 Expiration of options
- 53 Grant of warrants
- 54 Exercise of warrants
- 55 Expiration of warrants
- 56 Grant of rights
- 57 Exercise of rights
- 59 Exercise for cash
- 70 Acquisition or disposition (writing) of third party derivative
- 71 Exercise of third party derivative
- 72 Other settlement of third party
- 73 Expiration of third party derivative
- 90 Change in nature of ownership
- 97 Other
- 99 Correction of Information

Note: The asterisk in the "Date/Month End Holding" column indicates the insider disagreed with the system calculated balance when the transaction was reported.

1 SEDI® is a registered trademark owned by CDS INC.

2 ©CDS INC.

Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
1911 Gold Corporation (formerly Havilah Mining Corporation)	Common Shares	Dundee Corporation	3	2019-11-19	10	0.2581		-29,500
1911 Gold Corporation (formerly Havilah Mining Corporation)	Common Shares	Dundee Corporation	3	2019-11-19	10	0.2201	3,946,667	-720,000
37 Capital Inc.	Common Shares	Kalpakian, Jacob H	4	2020-02-25	10	0.05	2,084,000	-250,000
37 Capital Inc.	Common Shares	Kalpakian, Jacob H	4	2020-03-02	10	0.05	1,834,000	-250,000
5N Plus Inc.	Options	Audet, Nicholas	5	2020-03-02	50	2.1	437,844	37,589
5N Plus Inc.	Restricted Share Unit/Unités d'actions inaccessibles	Audet, Nicholas	5	2020-03-02	56	2.1	93,683	14,360
5N Plus Inc.	Options	Perron, Richard	5	2020-03-02	50	2.1	357,256	48,651
5N Plus Inc.	Restricted Share Unit/Unités d'actions inaccessibles	Perron, Richard	5	2020-03-02	56	2.1	103,014	18,587
5N Plus Inc.	Restricted Share Unit/Unités d'actions inaccessibles	Roshan, Arjang	4, 5	2020-03-02	56	2.1	324,640	55,171
5N Plus Inc.	Restricted Share Unit/Unités d'actions inaccessibles	Roshan, Arjang	4, 5	2020-03-02	56	2.1	361,421	36,781
5N Plus Inc.	Stock Appreciation Rights/Droits à la plus-value des actions	Roshan, Arjang	4, 5	2020-03-02	56	2.1	754,513	200,000
5N Plus Inc.	Restricted Share Unit/Unités d'actions inaccessibles	Tancell, Paul	5	2020-03-02	56	2.1	111,421	13,578
5N Plus Inc.	Restricted Share Unit/Unités d'actions inaccessibles	Tancell, Paul	5	2020-03-02	56	2.1	125,714	14,293
5N Plus Inc.	Stock Appreciation Rights/Droits à la plus-value des actions	Tancell, Paul	5	2020-03-02	56	2.1	193,738	35,542
66 Resources Corp.	Common Shares	Grandy, David	4	2020-01-29	10	0.09	203,800	20,000
A&W Revenue Royalties Income Fund	Trust Units	Mindell, David Allen	4	2020-02-28	10	33.78	43,466	203
A&W Revenue Royalties Income Fund	Trust Units	Mindell, David Allen	4	2020-02-28	10	33.78	2,078	9
Abitibi Royalties Inc.	Common Shares	Abitibi Royalties Inc.	1	2020-02-07	38	18.98	300	300
Abitibi Royalties Inc.	Common Shares	Abitibi Royalties Inc.	1	2020-02-11	38	19.194	4,200	3,900
Abitibi Royalties Inc.	Common Shares	Abitibi Royalties Inc.	1	2020-02-12	38	19.5	4,700	500
Abitibi Royalties Inc.	Common Shares	Abitibi Royalties Inc.	1	2020-02-24	38	19.14	5,700	1,000
Abitibi Royalties Inc.	Common Shares	Abitibi Royalties Inc.	1	2020-02-25	38	18.846	6,700	1,000
Abitibi Royalties Inc.	Common Shares	Abitibi Royalties Inc.	1	2020-02-26	38	18.54	6,800	100
Abitibi Royalties Inc.	Common Shares	Abitibi Royalties Inc.	1	2020-02-28	38		0	-6,800
Absolute Software Corporation	Rights Deferred Share Unit	Atchison, Rebecca Lynn	4	2020-02-28	56		20,466	163
Absolute Software Corporation	Rights Performance Share Unit	Karim, Ameer	5	2020-02-28	56		50,930	406
Absolute Software Corporation	Rights Restricted Share Unit	Karim, Ameer	5	2020-02-28	56		61,275	489
Absolute Software Corporation	Rights Performance Share Unit	Laforce, Marcel Armand	5	2020-02-28	56		4,903	39
Absolute Software Corporation	Rights Restricted Share Unit	Laforce, Marcel Armand	5	2020-02-28	56		29,539	234
Absolute Software Corporation	Rights Performance Share Unit	Lapierre, Dianne Elizabeth	5	2020-02-28	56		27,610	220
Absolute Software Corporation	Rights Restricted Share Unit	Lapierre, Dianne Elizabeth	5	2020-02-28	56		27,610	220
Absolute Software Corporation	Rights Performance Share Unit	Malli, Maninder	5	2020-02-28	56		3,703	30
Absolute Software Corporation	Rights Restricted Share Unit	Malli, Maninder	5	2020-02-28	56		24,198	193
Absolute Software Corporation	Rights Performance Share Unit	maxwell, sean	5	2020-02-28	56		66,871	534

Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Absolute Software Corporation	Rights Restricted Share Unit	maxwell, sean	5	2020-02-28	56		103,944	828
Absolute Software Corporation	Rights Performance Share Unit	Meanchoff, Matthew	5	2020-02-28	56		18,608	148
Absolute Software Corporation	Rights Restricted Share Unit	Meanchoff, Matthew	5	2020-02-28	56		30,441	240
Absolute Software Corporation	Rights Deferred Share Unit	Monahan, Gregory Rush	4	2020-02-28	56		73,036	583
Absolute Software Corporation	Rights Performance Share Unit	Morris, William	5	2020-02-28	56		52,521	419
Absolute Software Corporation	Rights Restricted Share Unit	Morris, William	5	2020-02-28	56		52,521	419
Absolute Software Corporation	Rights Performance Share Unit	Ramsden, Leigh Palmer	5	2020-02-28	56		3,703	30
Absolute Software Corporation	Rights Restricted Share Unit	Ramsden, Leigh Palmer	5	2020-02-28	56		27,715	219
Absolute Software Corporation	Rights Performance Share Unit	Reynolds, Karen	5	2020-02-28	56		15,467	123
Absolute Software Corporation	Rights Restricted Share Unit	Reynolds, Karen	5	2020-02-28	56		36,015	288
Absolute Software Corporation	Rights Deferred Share Unit	Ryan, Daniel	4	2020-02-28	56		73,036	583
Absolute Software Corporation	Rights Performance Share Unit	Toms, Sandra	5	2020-02-28	56		16,873	135
Absolute Software Corporation	Rights Restricted Share Unit	Toms, Sandra	5	2020-02-28	56		52,489	418
Absolute Software Corporation	Rights Performance Share Unit	van Someren, Nicholas Benedict	5	2020-02-28	56		64,793	518
Absolute Software Corporation	Rights Restricted Share Unit	van Someren, Nicholas Benedict	5	2020-02-28	56		64,793	518
Absolute Software Corporation	Rights Deferred Share Unit	Visca, Salvatore Anthony	4	2020-02-28	56		76,061	607
Absolute Software Corporation	Rights Deferred Share Unit	Watzinger, Gerhard	4	2020-02-28	56		73,036	583
Absolute Software Corporation	Rights Performance Share Unit	Wyatt, Christy May	4, 5	2020-02-28	56		158,400	1,264
Absolute Software Corporation	Rights Restricted Share Unit	Wyatt, Christy May	4, 5	2020-02-28	56		149,680	1,194
Accord Financial Corp.	Common Shares	Accord Financial Corp.	1	2020-02-28	38	9.2437	4,200	4,200
Accord Financial Corp.	Common Shares	Accord Financial Corp.	1	2020-03-03	38		0	-4,200
Accord Financial Corp.	Common Shares	Jang, James Chadwick	7	2020-03-02	30	8.86	37,359	177
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Rights Restricted Shares Units (RSU)	Daino, Robert James	5	2020-02-28	57		1,531,599	-37,394
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Subordinate Voting Shares	Daino, Robert James	5	2020-02-28	57	3.9683	252,441	-21,335
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Subordinate Voting Shares	Daino, Robert James	5	2020-02-28	57	4.4	289,835	37,394
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Rights Restricted Shares Units (RSU)	Doherty, James	5	2020-02-28	57		1,288,906	-32,706
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Subordinate Voting Shares	Doherty, James	5	2020-02-28	57	4.4	118,839	32,706
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Subordinate Voting Shares	Doherty, James	5	2020-02-28	10	3.9683	101,103	-17,736
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Rights Restricted Shares Units (RSU)	Hardardt, Steven	5	2020-02-28	57		400,000	-12,012
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Subordinate Voting Shares	Hardardt, Steven	5	2019-07-29	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Subordinate Voting Shares	Hardardt, Steven	5	2020-02-28	57	4.4	12,012	12,012

Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Rights Restricted Shares Units (RSU)	Leibowitz, Glen	5	2020-02-28	57		1,369,872	-32,706
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Subordinate Voting Shares	Leibowitz, Glen	5	2020-02-28	57	4.4	51,031	32,706
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Subordinate Voting Shares	Leibowitz, Glen	5	2020-02-28	10	3.9683	30,567	-20,464
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Rights Restricted Shares Units (RSU)	MacDonald, James Tyson	5	2020-02-28	57		1,021,586	-2,386
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Subordinate Voting Shares	MacDonald, James Tyson	5	2020-02-28	57	4.4	78,991	2,386
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Subordinate Voting Shares	MacDonald, James Tyson	5	2020-02-28	10	3.9683	77,550	-1,441
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Rights Restricted Shares Units (RSU)	Murphy, Kevin Patrick	3, 4, 5	2020-02-28	57		1,053,992	-57,183
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Subordinate Voting Shares	Murphy, Kevin Patrick	3, 4, 5	2020-02-28	57	4.4	352,415	57,183
AcuityAds Holdings Inc.	Options	Dent, Roger	4	2019-08-25	52	1.59		20,000
AcuityAds Holdings Inc.	Options	Dent, Roger	4	2019-08-25	52	1.59	75,000	-20,000
AcuityAds Holdings Inc.	Options	Dent, Roger	4	2020-03-05	46	1.59	110,000	35,000
AcuityAds Holdings Inc.	Options	Ferengul, Corey	4	2020-03-04	50			30,000
AcuityAds Holdings Inc.	Options	Ferengul, Corey	4	2020-03-04	50			30,000
AcuityAds Holdings Inc.	Options	Ferengul, Corey	4	2020-03-04	50			30,000
AcuityAds Holdings Inc.	Options	Ferengul, Corey	4	2020-03-04	50			30,000
AcuityAds Holdings Inc.	Options	Ferengul, Corey	4	2020-03-04	50		110,000	30,000
AcuityAds Holdings Inc.	Options	Mayer, Igal	4	2020-03-04	50	1.59	120,000	35,000
AcuityAds Holdings Inc.	Options	Pollack, Sheldon M.	4	2020-03-04	50	1.59	180,000	40,000
AcuityAds Holdings Inc.	Options	Waxman, Yishay	4	2020-03-04	50	1.59	100,000	30,000
Adastra Labs Holdings Ltd.	Common Shares	Brohman, Stephen	4	2020-03-03	10	0.31	780,500	6,000
Advantage Oil & Gas Ltd.	Rights Deferred Share Units	Angevine, Jill Terilee	4	2020-02-29	56		78,060	2,578
Advantage Oil & Gas Ltd.	Rights Deferred Share Units	Balog, Stephen	4	2020-02-29	56		87,177	2,578
Advantage Oil & Gas Ltd.	Rights Deferred Share Units	Fagerheim, Grant Bradley	4	2020-02-29	56		71,898	1,289
Advantage Oil & Gas Ltd.	Rights Deferred Share Units	Haggis, Paul	4	2020-02-29	56		96,736	2,836
Advantage Oil & Gas Ltd.	Rights Deferred Share Units	McIntosh, Ronald A	4	2020-02-29	56		133,258	3,919
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-03	38	17.42	15,900	15,900
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-03	38		0	-15,900
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-04	38	17.63	7,900	7,900
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-04	38		0	-7,900
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-05	38	18.14	13,100	13,100
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-05	38		0	-13,100
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-06	38	18.16	6,500	6,500
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-06	38		0	-6,500
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-07	38	17.7	14,000	14,000
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-07	38		0	-14,000
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-10	38	17.57	7,700	7,700
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-10	38		0	-7,700
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-11	38	17.9	6,700	6,700
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-11	38		0	-6,700
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-12	38	17.9	7,000	7,000
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-12	38		0	-7,000
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-13	38	17.9	3,000	3,000
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-13	38		0	-3,000

Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-14	38	18.17	11,000	11,000
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-14	38		0	-11,000
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-18	38	18.13	12,000	12,000
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-18	38		0	-12,000
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-19	38	17.88	20,000	20,000
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-19	38		0	-20,000
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-20	38	17.83	15,000	15,000
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-20	38		0	-15,000
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-21	38	17.64	10,000	10,000
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-21	38		0	-10,000
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-24	38	17.12	50,000	50,000
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-24	38		0	-50,000
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-25	38	16.59	21,900	21,900
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-25	38		0	-21,900
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-26	38	16.49	9,500	9,500
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-26	38		0	-9,500
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-27	38	15.92	10,000	10,000
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-27	38		0	-10,000
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-28	38	15.6	5,000	5,000
Aecon Group Inc.	Common Shares	Aecon Group Inc.	1	2020-02-28	38		0	-5,000
Ag Growth International Inc.	Common Shares	Brisebois, Paul	5	2019-01-01	00		8,971	
Ag Growth International Inc.	Common Shares	Brisebois, Paul	5	2019-02-26	10	58.96	21,786	12,815
Ag Growth International Inc.	Rights Share Award Incentive Plan	Brisebois, Paul	5	2019-01-01	00		3,334	
Ag Growth International Inc.	Rights Share Award Incentive Plan	Brisebois, Paul	5	2019-01-01	56	46.8	5,234	1,900
Ag Growth International Inc.	Rights Share Award Incentive Plan	Brisebois, Paul	5	2019-01-01	56	46.8	9,234	4,000
Ag Growth International Inc.	Common Shares	Kocdag, Gurcan	5	2019-01-01	00		11,496	
Ag Growth International Inc.	Common Shares	Kocdag, Gurcan	5	2019-02-26	10	58.96	20,109	8,613
Ag Growth International Inc.	Rights Share Award Incentive Plan	Kocdag, Gurcan	5	2019-01-01	00		3,833	
Ag Growth International Inc.	Rights Share Award Incentive Plan	Kocdag, Gurcan	5	2019-01-01	56	46.8	5,733	1,900
Ag Growth International Inc.	Rights Share Award Incentive Plan	Kocdag, Gurcan	5	2019-01-01	56	46.8	9,733	4,000
Ag Growth International Inc.	Common Shares	Postill, David	5	2019-01-01	00		200	
Ag Growth International Inc.	Common Shares	Postill, David	5	2019-01-24	10	46.8	1,008	808
Ag Growth International Inc.	Common Shares	Postill, David	5	2019-03-25	10	57.55	4,060	3,052
Ag Growth International Inc.	Rights Share Award Incentive Plan	Postill, David	5	2019-01-01	00		5,834	
Ag Growth International Inc.	Rights Share Award Incentive Plan	Postill, David	5	2019-01-01	56	46.8	6,834	1,000
Ag Growth International Inc.	Rights Share Award Incentive Plan	Postill, David	5	2019-01-01	56	46.8	12,834	6,000
AGF Management Limited	Common Shares Deferred Share Units	BUCHAN, JANE MELISSA	4	2020-02-28	56	6.54	57,683	4,020
AGF Management Limited	Common Shares Deferred Share Units	Camilli, Kathleen Mary	4	2020-02-28	56	6.54	15,955	765
AGF Management Limited	Common Shares Deferred Share Units	Davis, Sarah Ruth	4	2020-02-28	56	6.54	58,446	2,513
AGF Management Limited	Common Shares Deferred Share Units	Derry, Douglas	4	2020-02-28	56	6.54	98,794	2,824
AGF Management Limited	Common Shares Deferred Share Units	Guay, Charles	4	2020-02-28	56	6.54	17,156	765
AGF Management Limited	Common Shares Deferred Share Units	Squibb, Geoffrey Wayne	4	2020-02-28	56	6.54	154,207	5,391
Agnico Eagle Mines Limited	Common Shares	Gemmell, Robert	4	2020-03-05	10	70.59	3,000	-1,792
Agnico Eagle Mines Limited	Common Shares	Robitaille, Jean	5	2020-02-28	10	62.4	70,013	1,000
Aimia Inc.	Common Shares	Basian, Karen	4	2020-02-24	00			
Aimia Inc.	Common Shares	Basian, Karen	4	2020-03-03	10	3.267	61,215	61,215
Air Canada	Class B Voting Shares	Air Canada	1	2020-03-02	38	34.275	50,000	50,000

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Air Canada	Class B Voting Shares	Air Canada	1	2020-03-02	38		0	-50,000
Air Canada	Class B Voting Shares	Air Canada	1	2020-03-03	38	35.573	50,000	50,000
Air Canada	Class B Voting Shares	Air Canada	1	2020-03-03	38		0	-50,000
Air Canada	Class B Voting Shares	Air Canada	1	2020-03-04	38	35.127	50,000	50,000
Air Canada	Class B Voting Shares	Air Canada	1	2020-03-04	38		0	-50,000
Air Canada	Class B Voting Shares	Air Canada	1	2020-03-05	38	33.036	50,000	50,000
Air Canada	Class B Voting Shares	Air Canada	1	2020-03-05	38		0	-50,000
Air Canada	Class B Voting Shares	Air Canada	1	2020-03-06	38	32.756	50,000	50,000
Air Canada	Class B Voting Shares	Air Canada	1	2020-03-06	38		0	-50,000
Air Canada	Forward Purchase - Prepaid Cash Settled Share Forward Purchase	Air Canada	1	2020-02-28	70	35.778	5	1
Air Canada	Class B Voting Shares	Galardo, Mark	5	2020-02-28	10	33.818	491	444
Air Canada	Deferred Share Units (Management Plan)	Luelo, Catherine	5	2017-08-15	00			
Air Canada	Deferred Share Units (Management Plan)	Luelo, Catherine	5	2020-02-28	56	37.89	4,421	4,421
Air Canada	Class A Variable Voting Shares	PAQUIN, MADELEINE	4	2020-03-02	97		0	-6,500
Air Canada	Class B Voting Shares	PAQUIN, MADELEINE	4	2015-05-12	00			
Air Canada	Class B Voting Shares	PAQUIN, MADELEINE	4	2020-03-02	97		6,500	6,500
Air Canada	Class B Voting Shares	Pugliese, Ferio	5	2018-08-20	00			
Air Canada	Class B Voting Shares	Pugliese, Ferio	5	2020-03-04	10	34.55	600	600
Air Canada	Class B Voting Shares	Pugliese, Ferio	5	2020-03-02	10	34.04	3,920	1,720
Air Canada	Deferred Share Units (Management Plan)	Read, Robert Alan	5	2020-02-28	56	37.89	7,175	2,441
Air Canada	Deferred Share Units (Management Plan)	Rovinescu, Calin	4, 5	2020-02-28	56	37.89	320,228	92,280
Air Canada	Class B Voting Shares	Shapiro, David	5	2020-02-28	10	33.818	13,818	3,696
Air Canada	Class B Voting Shares	Verschuren, Annette Marie	4	2020-02-27	10	34.73	62,168	1,000
Air Canada	Class B Voting Shares	Yiu, Andrew Ka Hoi	5	2020-02-28	10	33.818	614	341
Alamos Gold Inc.	Rights Deferred Share Units	Prichard, John Robert Stobo	4	2020-02-28	30		40,228	410
Algernon Pharmaceuticals Inc.	Options	Attariwala, Rajpaul	4	2020-02-25	50		650,000	200,000
Algoma Central Corporation	Common Shares	Algoma Central Corporation	1	2020-02-29	38	12.998	2,500	2,500
Algoma Central Corporation	Common Shares	Algoma Central Corporation	1	2020-02-29	38	12.998	0	-2,500
Algoma Central Corporation	Common Shares	Newton, Wesley	5	2020-02-27	50		79,720	19,720
Algoma Central Corporation	Common Shares	Ruhl, Gregg	5	2020-02-27	50		172,865	72,365
Algoma Central Corporation	Common Shares	Stevenson, Eric Arthur	7	2013-04-03	00			
Algoma Central Corporation	Common Shares	Stevenson, Eric Arthur	7	2020-03-04	10	12.222	2,500	2,500
Algoma Central Corporation	Common Shares	Winkley, Peter D.	5	2020-02-27	50		110,917	21,457
Alianza Minerals Ltd. (formerly Tarsis Resources Ltd.)	Common Shares	Brown, Mark Thomas	4	2020-03-03	10	0.06	920,000	20,000
Alianza Minerals Ltd. (formerly Tarsis Resources Ltd.)	Warrants	Brown, Mark Thomas	4	2020-03-06	55	0.2	1,798,907	-600,000
Alimentation Couche-Tard Inc.	Subordinate Voting Shares Catégorie B	ALIMENTATION COUCHE-TARD INC.	1	2020-02-26	38	42.599	50,200	50,200
Alimentation Couche-Tard Inc.	Subordinate Voting Shares Catégorie B	ALIMENTATION COUCHE-TARD INC.	1	2020-02-27	38	42.133	298,000	247,800
Alimentation Couche-Tard Inc.	Subordinate Voting Shares Catégorie B	ALIMENTATION COUCHE-TARD INC.	1	2020-02-28	38	40.431	788,748	490,748
Alimentation Couche-Tard Inc.	Subordinate Voting Shares Catégorie B	ALIMENTATION COUCHE-TARD INC.	1	2020-02-29	38		0	-788,748
Almaden Minerals Ltd.	Options	Ellingham, Elaine	4	2020-03-04	50	0.47	500,000	100,000
Almaden Minerals Ltd.	Options	McDonald, Douglas John	5	2020-03-04	50	0.47	725,000	250,000
Almaden Minerals Ltd.	Options	Trieu, Korm	5	2020-03-04	50	0.47	845,000	250,000
Altiplano Metals Inc.	Common Shares	Williamson, John	3, 4	2020-02-28	10	0.08	3,372,168	17,000
Altiplano Metals Inc.	Common Shares	Williamson, John	3, 4	2020-03-02	10	0.08	3,405,168	33,000
Altius Minerals Corporation	Common Shares	Altius Minerals Corporation	1	2020-02-04	38	10.82	10,000	10,000
Altius Minerals Corporation	Common Shares	Altius Minerals Corporation	1	2020-02-05	38	11	20,000	10,000

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Altius Minerals Corporation	Common Shares	Altius Minerals Corporation	1	2020-02-06	38	10.97	29,200	9,200
Altius Minerals Corporation	Common Shares	Altius Minerals Corporation	1	2020-02-07	38	10.96	39,200	10,000
Altius Minerals Corporation	Common Shares	Altius Minerals Corporation	1	2020-02-10	38	10.96	49,200	10,000
Altius Minerals Corporation	Common Shares	Altius Minerals Corporation	1	2020-02-11	38	11.08	59,200	10,000
Altius Minerals Corporation	Common Shares	Altius Minerals Corporation	1	2020-02-12	38	10.89	69,200	10,000
Altius Minerals Corporation	Common Shares	Altius Minerals Corporation	1	2020-02-13	38	10.95	79,200	10,000
Altius Minerals Corporation	Common Shares	Altius Minerals Corporation	1	2020-02-14	38	10.84	89,200	10,000
Altius Minerals Corporation	Common Shares	Altius Minerals Corporation	1	2020-02-18	38	10.74	99,200	10,000
Altius Minerals Corporation	Common Shares	Altius Minerals Corporation	1	2020-02-19	38	10.8	109,200	10,000
Altius Minerals Corporation	Common Shares	Altius Minerals Corporation	1	2020-02-20	38	10.9	119,200	10,000
Altius Minerals Corporation	Common Shares	Altius Minerals Corporation	1	2020-02-21	38	10.85	129,200	10,000
Altius Minerals Corporation	Common Shares	Altius Minerals Corporation	1	2020-02-24	38	10.34	139,200	10,000
Altius Minerals Corporation	Common Shares	Altius Minerals Corporation	1	2020-02-25	38	10.21	149,200	10,000
Altius Minerals Corporation	Common Shares	Altius Minerals Corporation	1	2020-02-26	38	9.86	159,200	10,000
Altius Minerals Corporation	Common Shares	Altius Minerals Corporation	1	2020-02-27	38	9.69	169,200	10,000
Altius Minerals Corporation	Common Shares	Altius Minerals Corporation	1	2020-02-28	38	9.46	180,500	11,300
Altius Minerals Corporation	Common Shares	Altius Minerals Corporation	1	2020-02-28	38		0	-180,500
Altius Minerals Corporation	Common Shares	Altius Minerals Corporation	1	2020-03-02	38	9.55	48,700	48,700
Altius Minerals Corporation	Common Shares	Altius Minerals Corporation	1	2020-03-03	38	9.68	60,000	11,300
Altius Minerals Corporation	Common Shares	Altius Minerals Corporation	1	2020-03-05	38		0	-60,000
Altius Minerals Corporation	Common Shares	Gaumond, André	4	2020-03-02	10	9.57	29,000	2,000
Altius Minerals Corporation	Common Shares	Gaumond, André	4	2020-03-02	10	9.35	31,000	2,000
Altius Minerals Corporation	Common Shares	Gaumond, André	4	2020-03-02	10	9.3	34,000	3,000
Altius Minerals Corporation	Common Shares	Winter, Stephen Lawrence	5	2020-03-02	10	9.48	10,455	1,000
Altus Group Limited	Common Shares	Bartolini, Angelo	5	2020-03-03	51	23.85	28,223	6,000
Altus Group Limited	Common Shares	Bartolini, Angelo	5	2020-03-03	10	45.9		-300
Altus Group Limited	Common Shares	Bartolini, Angelo	5	2020-03-03	10	45.9	25,023	-3,200
Altus Group Limited	Common Shares	Bartolini, Angelo	5	2020-03-03	10	45.87	23,823	-1,200
Altus Group Limited	Common Shares	Bartolini, Angelo	5	2020-03-03	10	45.88	22,723	-1,100
Altus Group Limited	Common Shares	Bartolini, Angelo	5	2020-03-03	10	45.89	22,223	-500
Altus Group Limited	Options	Bartolini, Angelo	5	2020-03-03	51	23.85	49,303	-6,000
Altus Group Limited	Common Shares	COURTEAU, Robert G	4, 5	2020-03-04	51	19.29	184,923	41,250
Altus Group Limited	Common Shares	COURTEAU, Robert G	4, 5	2020-03-04	10	45.382	143,673	-41,250
Altus Group Limited	Options	COURTEAU, Robert G	4, 5	2020-03-04	51	19.29	390,933	-41,250
Altus Group Limited	Common Shares	Johnston, Colin Boyd	5	2020-03-03	51	29.72	62,525	3,750
Altus Group Limited	Common Shares	Johnston, Colin Boyd	5	2020-03-03	51	31.59	64,868	2,343
Altus Group Limited	Common Shares	Johnston, Colin Boyd	5	2020-03-03	10	45.524	58,775	-6,093
Altus Group Limited	Options	Johnston, Colin Boyd	5	2020-03-03	51	29.72	26,168	-3,750
Altus Group Limited	Options	Johnston, Colin Boyd	5	2020-03-03	51	31.59	23,825	-2,343
Altus Group Limited	Common Shares	McSweeney, Niall	5	2020-03-02	10	45	26,532	-2,500
Altus Group Limited	Common Shares	McSweeney, Niall	5	2020-03-03	10	46	11,032	-15,500
Altus Group Limited	Common Shares	Turrin, Liana	5	2020-02-28	51	23.85	25,122	6,000
Altus Group Limited	Common Shares	Turrin, Liana	5	2020-02-28	10	44.618	19,122	-6,000
Altus Group Limited	Options	Turrin, Liana	5	2020-02-28	51	23.85	29,946	-6,000
Altus Strategies Plc	Common Shares	Grainger, Matthew Roy	4	2020-02-24	37		2,085,566	-8,342,262
Altus Strategies Plc	Common Shares	Milroy, Robert Blair	4	2020-02-24	37		387,436	-1,549,743
Altus Strategies Plc	Common Shares	Netherway, David George	4	2020-02-24	37		2,441,375	-9,765,500
Altus Strategies Plc	Common Shares	POULTON, STEVEN	4, 5	2020-02-24	37		5,565,096	-22,260,385
Altus Strategies Plc	Common Shares	Winn, Michael D.	4	2020-02-24	37		379,320	-1,517,280
Altus Strategies Plc	Common Shares	Winn, Michael D.	4	2020-02-24	37		921,941	-3,687,763
Altus Strategies Plc	Common Shares	Winn, Michael D.	4	2020-02-24	37		2,442,719	-9,770,875
Amarillo Gold Corporation	Common Shares	Uloth, Rowland Wallace	4	2020-03-03	10	0.205	3,404,500	50,000
Americas Silver Corporation	Options	Blasutti, Darren John	5	2019-05-29	51			41,666
Americas Silver Corporation	Options	Blasutti, Darren John	5	2019-05-29	51			50,000
Americas Silver Corporation	Options	Blasutti, Darren John	5	2019-05-29	51	2.04		50,000
Americas Silver Corporation	Options	Blasutti, Darren John	5	2019-05-29	51	2.04	1,116,666	-50,000
Americas Silver Corporation	Rights Deferred Share Units	Blasutti, Darren John	5	2019-04-08	56			12,086

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Americas Silver Corporation	Rights Deferred Share Units	Blasutti, Darren John	5	2019-04-08	56		119,888	24,172
Amerigo Resources Ltd	Options	Davidson, Aurora	5	2020-03-02	50	0.4	3,850,000	400,000
Amerigo Resources Ltd	Options	Gayton, Robert	4	2020-03-02	50	0.4	1,050,000	100,000
Amerigo Resources Ltd	Options	KIMBERLY, THOMAS	5	2020-03-02	50	0.4	320,000	50,000
Amerigo Resources Ltd	Options	Robinson, Sidney P H	4	2020-03-02	50	0.4	1,050,000	100,000
Amerigo Resources Ltd	Options	Salas, Alberto	4, 7	2020-03-02	50	0.4	1,350,000	100,000
Amerigo Resources Ltd	Options	Zeitler, Klaus M	4, 5	2019-06-19	51	0.14		400,000
Amerigo Resources Ltd	Options	Zeitler, Klaus M	4, 5	2019-06-19	51	0.14	1,600,000	-400,000
Amerigo Resources Ltd	Options	Zeitler, Klaus M	4, 5	2020-03-02	50	0.4	1,400,000	200,000
AMPD Ventures Inc. (formerly, E-Gaming Ventures Corp.)	Common Shares	Bustin, Donald Creighton	4, 5	2020-03-03	10	0.22	3,545,384	-25,000
AMPD Ventures Inc. (formerly, E-Gaming Ventures Corp.)	Common Shares	Bustin, Donald Creighton	4, 5	2020-03-04	10	0.22	3,529,384	-16,000
AMPD Ventures Inc. (formerly, E-Gaming Ventures Corp.)	Common Shares	HURSTHOUSE, JAMES ROGER	5	2020-03-03	10	0.22	1,551,418	-25,000
Anaconda Mining Inc.	Common Shares	Bullock, Kevin	4, 5	2020-03-06	57		785,000	20,000
Anaconda Mining Inc.	Rights Restricted Share Units	Bullock, Kevin	4, 5	2020-03-03	56		430,000	100,000
Anaconda Mining Inc.	Rights Restricted Share Units	Bullock, Kevin	4, 5	2020-03-06	57		410,000	-20,000
Anaconda Mining Inc.	Rights Restricted Share Units	Byron, Michael	4	2020-03-03	56		157,968	47,262
Anaconda Mining Inc.	Rights Restricted Share Units	Dufour, Robert	5	2020-03-03	56		425,000	100,000
Anaconda Mining Inc.	Rights Restricted Share Units	Lawrick, Victor Lewis	4	2020-02-28	56	0.21	277,968	77,262
Aphria Inc. (formerly, Black Sparrow Capital Corp.)	Deferred Share Unit	Heimpel Butts, Jodi Lynn	4	2020-02-28	56		8,673	7,560
Aphria Inc. (formerly, Black Sparrow Capital Corp.)	Deferred Share Unit	Herhalt, Johann Michael	4	2020-02-28	56		23,028	7,560
Aphria Inc. (formerly, Black Sparrow Capital Corp.)	Deferred Share Unit	Hopkinson, David Gordon	4	2020-02-28	56		20,089	7,560
Aphria Inc. (formerly, Black Sparrow Capital Corp.)	Deferred Share Unit	Looney, Thomas Patrick	4	2020-02-28	56		23,028	7,560
Aphria Inc. (formerly, Black Sparrow Capital Corp.)	Deferred Share Unit	Persofsky, Renah	4	2020-02-28	56		40,305	7,560
Aphria Inc. (formerly, Black Sparrow Capital Corp.)	Deferred Share Unit	Robb, Walter Eagleson	4	2020-02-28	56		20,089	7,560
Appili Therapeutics Inc.	Common Shares Class A Common Shares	The K2 Principal Fund L.P.	3	2020-02-24	10	0.76	3,894,617	2,500
Appili Therapeutics Inc.	Common Shares Class A Common Shares	The K2 Principal Fund L.P.	3	2020-02-25	10	0.69	3,899,617	5,000
Appili Therapeutics Inc.	Common Shares Class A Common Shares	The K2 Principal Fund L.P.	3	2020-02-26	10	0.7	3,908,117	8,500
Appili Therapeutics Inc.	Common Shares Class A Common Shares	The K2 Principal Fund L.P.	3	2020-02-28	10	0.6922	3,939,617	31,500
ARC Resources Ltd.	Common Shares	Berrett, Ryan Victor	5	2019-12-31	30		13,420	3
ARC Resources Ltd.	Common Shares	Bibby, Kristen Jon	5	2019-12-31	97		43,990	-501
ARC Resources Ltd.	Common Shares	Bibby, Kristen Jon	5	2019-12-31	97		2,748	248
ARC Resources Ltd.	Common Shares	Bibby, Kristen Jon	5	2019-12-31	97		2,753	253
ARC Resources Ltd.	Common Shares	Calder, Sean Ross Allen	5	2019-12-31	30		2,368	8
ARC Resources Ltd.	Common Shares	Collyer, David Ralph	4	2020-03-03	10	6	20,000	10,000
ARC Resources Ltd.	Common Shares	Conrad, Larissa Marianne	5	2014-02-05	00			
ARC Resources Ltd.	Common Shares	Conrad, Larissa Marianne	5	2019-12-31	97		5,095	5,095
ARC Resources Ltd.	Common Shares	Conrad, Larissa Marianne	5	2019-12-31	97		419	-5,095
ARC Resources Ltd.	Common Shares	Dielwart, John Patrick	4	2019-12-31	97		28,245	2,575
ARC Resources Ltd.	Common Shares	Dielwart, John Patrick	4	2019-12-31	97		27,848	2,425
ARC Resources Ltd.	Common Shares	Dielwart, John Patrick	4	2019-12-31	97		201,265	-5,000
ARC Resources Ltd.	Common Shares	Dyment, Fred J.	4	2019-12-31	30		300,413	131
ARC Resources Ltd.	Common Shares	Jahangiri, Armin	5	2019-12-31	30		2,508	8

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Arctic Star Exploration Corp.	Options	Doyle, Buddy James	4	2020-03-03	50	0.08	306,500	83,000
Arctic Star Exploration Corp.	Options	Doyle, Buddy James	4	2020-03-04	52	0.875	186,500	-120,000
Arctic Star Exploration Corp.	Options	Eldridge, Scott Richard	4, 5	2020-03-04	52	0.875	0	-160,000
Arctic Star Exploration Corp.	Options	Jassal, Brijender (Binny) Jassal	5	2020-03-03	50	0.08	242,750	83,000
Arctic Star Exploration Corp.	Options	Jassal, Brijender (Binny) Jassal	5	2020-03-04	52	0.875	182,750	-60,000
Arctic Star Exploration Corp.	Options	Power, Patrick Edward	4, 5	2020-03-04	52	0.875	2,500	-10,000
Arctic Star Exploration Corp.	Options	Yingling, Thomas	4	2020-03-03	50	0.08	295,500	83,000
Arctic Star Exploration Corp.	Options	Yingling, Thomas	4	2020-03-04	52	0.875	175,500	-120,000
ArcWest Exploration Inc. (formerly Sojourn Exploration Inc.)	Common Shares	Kyba, Jeff	5	2020-02-28	10	0.07	25,000	13,000
Ares Strategic Mining Inc.	Common Shares	Sarjeant, Paul Thomson	4	2011-10-13	00			
Ares Strategic Mining Inc.	Common Shares	Sarjeant, Paul Thomson	4	2020-03-03	45	0.1	31,250	31,250
Argonaut Gold Inc.	Common Shares	Atkinson, Ian	4	2020-03-02	57	1.44		26,667
Argonaut Gold Inc.	Common Shares	Atkinson, Ian	4	2020-03-02	57	1.44	82,861	24,690
Argonaut Gold Inc.	Options	Atkinson, Ian	4	2020-03-02	50	1.44		26,667
Argonaut Gold Inc.	Options	Atkinson, Ian	4	2020-03-02	50	1.44	107,665	24,690
Argonaut Gold Inc.	Restricted Shares	Atkinson, Ian	4	2020-03-02	56	1.44		26,667
Argonaut Gold Inc.	Restricted Shares	Atkinson, Ian	4	2020-03-02	56	1.44	24,690	24,690
Argonaut Gold Inc.	Restricted Shares	Atkinson, Ian	4	2020-03-02	57	1.44		-26,667
Argonaut Gold Inc.	Restricted Shares	Atkinson, Ian	4	2020-03-02	57	1.44	0	-24,690
Argonaut Gold Inc.	Performance Share Units	Dougherty, Peter	4	2020-03-02	56		888,542	379,629
Argonaut Gold Inc.	Restricted Shares	Dougherty, Peter	4	2020-03-02	56		652,898	379,629
Argonaut Gold Inc.	Common Shares	Kofman, James Edward	4	2020-03-02	57	1.44		53,333
Argonaut Gold Inc.	Common Shares	Kofman, James Edward	4	2020-03-02	57	1.44	279,772	49,383
Argonaut Gold Inc.	Options	Kofman, James Edward	4	2020-03-02	50	1.44		53,333
Argonaut Gold Inc.	Options	Kofman, James Edward	4	2020-03-02	50	1.44	378,515	93,567
Argonaut Gold Inc.	Restricted Shares	Kofman, James Edward	4	2020-03-02	56	1.44		53,333
Argonaut Gold Inc.	Restricted Shares	Kofman, James Edward	4	2020-03-02	56	1.44	49,383	49,383
Argonaut Gold Inc.	Restricted Shares	Kofman, James Edward	4	2020-03-02	57	1.44		-53,333
Argonaut Gold Inc.	Restricted Shares	Kofman, James Edward	4	2020-03-02	57	1.44	0	-49,383
Argonaut Gold Inc.	Common Shares	Lattanzi, Christopher	4	2020-03-02	57	1.44		26,667
Argonaut Gold Inc.	Common Shares	Lattanzi, Christopher	4	2020-03-02	57	1.44	133,899	24,690
Argonaut Gold Inc.	Options	Lattanzi, Christopher	4	2020-03-02	50	1.44		26,667
Argonaut Gold Inc.	Options	Lattanzi, Christopher	4	2020-03-02	50	1.44	209,517	46,784
Argonaut Gold Inc.	Restricted Shares	Lattanzi, Christopher	4	2020-03-02	56	1.44		26,667
Argonaut Gold Inc.	Restricted Shares	Lattanzi, Christopher	4	2020-03-02	56	1.44	24,690	24,690
Argonaut Gold Inc.	Restricted Shares	Lattanzi, Christopher	4	2020-03-02	57	1.44		-26,667
Argonaut Gold Inc.	Restricted Shares	Lattanzi, Christopher	4	2020-03-02	57	1.44	0	-24,690
Argonaut Gold Inc.	Common Shares	Mordaunt, Peter	4	2020-03-02	57	1.44		26,667
Argonaut Gold Inc.	Common Shares	Mordaunt, Peter	4	2020-03-02	57	1.44	130,328	24,690
Argonaut Gold Inc.	Options	Mordaunt, Peter	4	2020-03-02	50	1.44		26,667
Argonaut Gold Inc.	Options	Mordaunt, Peter	4	2020-03-02	50	1.44	209,517	46,784
Argonaut Gold Inc.	Restricted Shares	Mordaunt, Peter	4	2020-03-02	56	1.44		26,667
Argonaut Gold Inc.	Restricted Shares	Mordaunt, Peter	4	2020-03-02	56	1.44	24,690	24,690
Argonaut Gold Inc.	Restricted Shares	Mordaunt, Peter	4	2020-03-02	58 - Expiration of rights	1.44	-1,977	-26,667
Argonaut Gold Inc.	Common Shares	Peniuk, Dale Canfield	4	2020-03-02	57	1.44	133,899	24,690
Argonaut Gold Inc.	Options	Peniuk, Dale Canfield	4	2020-03-02	50	1.44	209,517	46,784
Argonaut Gold Inc.	Restricted Shares	Peniuk, Dale Canfield	4	2020-03-02	56	1.44	24,690	24,690
Argonaut Gold Inc.	Restricted Shares	Peniuk, Dale Canfield	4	2020-03-02	57	1.44	0	-24,690
Argonaut Gold Inc.	Performance Share Units	Ponczoch, David	5	2020-03-02	56		447,038	190,971
Argonaut Gold Inc.	Restricted Shares	Ponczoch, David	5	2020-03-02	56		328,471	190,971
Argonaut Gold Inc.	Performance Share Units	Rose, William Robert	5	2020-03-02	56		374,723	159,723
Argonaut Gold Inc.	Restricted Shares	Rose, William Robert	5	2020-03-02	56		275,171	159,723

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Argonaut Gold Inc.	Performance Share Units	Symons, Daniel Arthur	4	2020-03-02	56		316,399	136,353
Argonaut Gold Inc.	Restricted Shares	Symons, Daniel Arthur	4	2020-03-02	56		233,794	136,353
Argonaut Gold Inc.	Common Shares	Walsh, Audra Beth	4	2020-03-02	57	1.44		26,667
Argonaut Gold Inc.	Common Shares	Walsh, Audra Beth	4	2020-03-02	57	1.44	110,708	24,690
Argonaut Gold Inc.	Options	Walsh, Audra Beth	4	2020-03-02	50	1.44		26,667
Argonaut Gold Inc.	Options	Walsh, Audra Beth	4	2020-03-02	50	1.44	130,883	46,784
Argonaut Gold Inc.	Restricted Shares	Walsh, Audra Beth	4	2020-03-02	56	1.44		26,667
Argonaut Gold Inc.	Restricted Shares	Walsh, Audra Beth	4	2020-03-02	56	1.44	24,690	24,690
Argonaut Gold Inc.	Restricted Shares	Walsh, Audra Beth	4	2020-03-02	57	1.44		-26,667
Argonaut Gold Inc.	Restricted Shares	Walsh, Audra Beth	4	2020-03-02	57	1.44	0	-24,690
Aritzia Inc.	Rights Deferred Share Unit	Bensadoun, Aldo	4	2020-03-02	56		19,097	1,356
Aritzia Inc.	Rights Deferred Share Unit	Currie, John Edward	4	2020-03-02	56		19,097	1,356
Aritzia Inc.	Rights Deferred Share Unit	Holmes, Ryan	4	2020-03-02	56		19,097	1,356
Aritzia Inc.	Rights Deferred Share Unit	Labistour, David Barrie	4	2020-03-02	56		19,097	1,356
Aritzia Inc.	Rights Deferred Share Unit	Montalbano, John	4	2020-03-02	56		3,994	1,356
Aritzia Inc.	Rights Deferred Share Unit	Payne, Marni Fox	4	2016-09-26	00			
Aritzia Inc.	Rights Deferred Share Unit	Payne, Marni Fox	4	2020-03-02	56		1,356	1,356
Aritzia Inc.	Rights Deferred Share Unit	Senk, Glen Todd	4	2020-03-02	56		19,097	1,356
Aritzia Inc.	Rights Deferred Share Unit	Smith, Marcia	4	2020-03-02	56		8,124	1,356
Asanko Gold Inc.	Common Shares	Asanko Gold Inc	1	2020-02-28	38		38,525	38,525
Asanko Gold Inc.	Common Shares	Asanko Gold Inc	1	2020-02-28	38		0	-38,525
Asanko Gold Inc.	Options	Begg, David Michael	8	2020-02-28	50	1.29	424,000	202,000
Asanko Gold Inc.	Rights Restricted Share Units	Begg, David Michael	8	2020-02-28	56		208,504	98,800
Asanko Gold Inc.	Rights Restricted Share Units	Begg, David Michael	8	2020-03-04	59	1.29	179,888	-28,616
Asanko Gold Inc.	Options	de Groot, Marcel H.	4	2020-02-28	50	1.29	497,000	115,000
Asanko Gold Inc.	Rights Restricted Share Units	de Groot, Marcel H.	4	2020-02-28	56		170,334	57,000
Asanko Gold Inc.	Rights Restricted Share Units	de Groot, Marcel H.	4	2020-03-06	59	1.29	128,668	-41,666
Asanko Gold Inc.	Options	Di Trapani, Fausto	5	2020-02-28	50	1.29	1,344,000	424,000
Asanko Gold Inc.	Rights Restricted Share Units (Common Shares)	Di Trapani, Fausto	5	2020-02-28	56		378,520	138,400
Asanko Gold Inc.	Rights Restricted Share Units (Common Shares)	Di Trapani, Fausto	5	2020-03-06	59	1.29	273,480	-105,040
Asanko Gold Inc.	Options	Mosely, Judith	4	2020-01-01	00			
Asanko Gold Inc.	Options	Mosely, Judith	4	2020-02-28	11	1.29		115,000
Asanko Gold Inc.	Options	Mosely, Judith	4	2020-02-28	50	1.29	115,000	115,000
Asanko Gold Inc.	Rights Restricted Share Units	Mosely, Judith	4	2020-01-01	00			
Asanko Gold Inc.	Rights Restricted Share Units	Mosely, Judith	4	2020-02-28	56		57,000	57,000
Asanko Gold Inc.	Options	Price, Michael	4	2020-02-28	50	1.29	472,000	115,000
Asanko Gold Inc.	Rights Restricted Share Units	Price, Michael	4	2020-02-28	56		170,334	57,000
Asanko Gold Inc.	Rights Restricted Share Units	Price, Michael	4	2020-03-06	59	1.29	128,668	-41,666
Asanko Gold Inc.	Rights Restricted Share Units	Steyn, Colin	4	2020-03-06	59	1.29	71,668	-41,666
Asanko Gold Inc.	Options	Wallace, Shawn	4	2020-02-28	50	1.29	472,000	115,000
Asanko Gold Inc.	Rights Restricted Share Units	Wallace, Shawn	4	2020-02-28	56		170,334	57,000
Asanko Gold Inc.	Options	Zvaipa, Josephat	5	2020-02-28	50	1.29	1,231,000	421,000

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Asanko Gold Inc.	Rights Restricted Share Units	Zvaipa, Josephat	5	2020-02-28	56		591,350	137,300
Asia Cannabis Corp.	Common Shares	kingma, johannes	5	2020-03-05	10	0.065	13,275,833	2,100,000
Asian Television Network International Limited	Common Shares	CHANDRASEKAR, SUBRAHMAN	4, 5	2020-02-28	10	0.1	17,066,018	8,000
Asian Television Network International Limited	Common Shares	CHANDRASEKAR, SUBRAHMAN	4, 5	2020-03-03	10	0.13	17,080,518	14,500
Asian Television Network International Limited	Common Shares	CHANDRASEKAR, SUBRAHMAN	4, 5	2020-03-03	10	0.125	17,082,518	2,000
Aston Bay Holdings Ltd.	Warrants	Walker, G. Dwight	5	2020-03-01	55		200,000	-25,000
Atalaya Mining plc	Common Shares	Lavandeira, Alberto	4	2017-06-09	10	1.23	160,000	60,000
Atalaya Mining plc	Common Shares	Lavandeira, Alberto	4	2019-05-29	10	2.065	210,000	50,000
Atalaya Mining plc	Common Shares	Lavandeira, Alberto	4	2020-02-24	10	1.76	240,000	30,000
ATCO LTD.	Non-Voting Shares Class I	Sharpe, D Jason	7	2020-03-03	30	52.24	5,442	160
ATCO LTD.	Non-Voting Shares Class I	Sharpe, D Jason	7	2020-03-04	10	53.5	4,542	-900
ATCO LTD.	Non-Voting Shares Class I	Sharpe, D Jason	7	2020-03-04	10	53.59	3,542	-1,000
Atlantic Power Corporation	Common Shares	Atlantic Power Corporation	1	2020-02-03	38	2.36	33,947	33,947
Atlantic Power Corporation	Common Shares	Atlantic Power Corporation	1	2020-02-07	38	2.36	39,049	5,102
Atlantic Power Corporation	Common Shares	Atlantic Power Corporation	1	2020-02-27	38	2.3586	95,193	56,144
Atlantic Power Corporation	Common Shares	Atlantic Power Corporation	1	2020-02-28	38	2.293	151,337	56,144
Atlantic Power Corporation	Common Shares	Atlantic Power Corporation	1	2020-02-28	38		112,288	-39,049
Atlantic Power Corporation	Preferred Shares Series 1	Atlantic Power Corporation	1	2020-02-10	38	16.4	247,894	247,894
Atlantic Power Corporation	Preferred Shares Series 1	Atlantic Power Corporation	1	2020-02-28	38		0	-247,894
Atlantic Power Corporation	Common Shares	Moore, James J.	4, 5	2020-03-03	10	2.22	1,076,726	25,000
Aton Resources Inc.	Warrants	OU MOONRIDER	3	2020-02-27	53	0.05	41,666,154	2,820,000
Aton Resources Inc.	Warrants	OU MOONRIDER	3	2020-02-27	53	0.05	43,306,154	1,640,000
ATS Automation Tooling Systems Inc.	Common Shares	Perrella, Maria	5	2020-03-03	51	10.8	77,500	52,500
ATS Automation Tooling Systems Inc.	Common Shares	Perrella, Maria	5	2020-03-03	10	17.765	38,080	-39,420
ATS Automation Tooling Systems Inc.	Options	Perrella, Maria	5	2020-03-03	51	10.8	411,665	-52,500
Aurcana Corporation	Warrants	Drover, Kevin Cameron	4	2020-02-27	55		756,000	-66,666
Aurcana Corporation	Warrants	Moroney, Donna	5	2020-02-27	55		40,000	-8,000
Aurelius Minerals Inc. (formerly Galena International Resources Ltd.)	Common Shares	Sprott Inc.	3	2020-02-27	00		2,000,000	
Aurelius Minerals Inc. (formerly Galena International Resources Ltd.)	Common Shares	Sprott Inc.	3	2020-02-27	00		25,000,000	
Aurelius Minerals Inc. (formerly Galena International Resources Ltd.)	Warrants	Sprott Inc.	3	2020-02-27	00		1,250,000	
Aurelius Minerals Inc. (formerly Galena International Resources Ltd.)	Warrants	Sprott Inc.	3	2020-02-27	00		2,569,938	
Aurora Cannabis Inc.	Options	Atkins, Margaret Shan	4	2020-03-02	50		69,165	13,466
Aurora Cannabis Inc.	Rights Deferred Share Units	Atkins, Margaret Shan	4	2020-03-02	56		12,251	7,251
Aurora Cannabis Inc.	Deferred Share Unit	Beauchamp, Norma	4	2020-03-02	56		19,888	7,251
Aurora Cannabis Inc.	Options	Beauchamp, Norma	4	2020-03-02	50		75,165	13,466
Aurora Cannabis Inc.	Common Shares Deferred Share Units	Booth, Terry	4	2014-12-09	00			
Aurora Cannabis Inc.	Common Shares Deferred Share Units	Booth, Terry	4	2020-03-02	56		7,251	7,251
Aurora Cannabis Inc.	Options	Booth, Terry	4	2020-03-02	50		2,548,878	13,466
Aurora Cannabis Inc.	Deferred Share Units	Detlefsen, Michael Erik	4	2020-03-02	56		7,251	7,251
Aurora Cannabis Inc.	Options	Detlefsen, Michael Erik	4	2020-03-02	50		13,466	13,466
Aurora Cannabis Inc.	Deferred Share Unit	Dyck, Jason Ronald Bradley	4	2020-03-02	56		19,888	7,251

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Aurora Cannabis Inc.	Options	Dyck, Jason Ronald Bradley	4	2020-03-02	50		216,165	13,466
Aurora Cannabis Inc.	Options	Friedmann, Lance	4	2020-03-02	50		13,466	13,466
Aurora Cannabis Inc.	Rights	Friedmann, Lance	4	2020-03-02	56		7,251	7,251
Avalon Advanced Materials Inc.	Options	Andersen, Robert James	5	2020-03-01	50		1,125,000	300,000
Avalon Advanced Materials Inc.	Options	Ferry, Alan Louis Henry	4	2020-03-01	50	0.08	600,000	375,000
Avalon Advanced Materials Inc.	Options	Fisher, John Edward	4	2020-02-25	00			
Avalon Advanced Materials Inc.	Options	Fisher, John Edward	4	2020-03-01	50		500,000	500,000
Avalon Advanced Materials Inc.	Options	Hu, Xi Hong	5	2020-03-01	50		1,050,000	350,000
Avalon Advanced Materials Inc.	Options	Johnson, Naomi Anne	4	2020-03-01	50	0.08	500,000	275,000
Avalon Advanced Materials Inc.	Options	MacEachen, Brian	4	2020-03-01	50	0.08	550,000	275,000
Avalon Advanced Materials Inc.	Options	Marsh, David Anthony	5	2020-03-01	50	0.08	1,335,000	200,000
Avalon Advanced Materials Inc.	Options	Mercer, William	5	2020-03-01	50		700,000	100,000
Avalon Advanced Materials Inc.	Options	Wiseman, Mark Edward	5	2020-03-01	50		980,000	300,000
Axis Auto Finance Inc.	Common Shares	Hudson, Todd Charles	5	2020-02-28	10	0.22	5,788,176	3,700
Axis Auto Finance Inc.	Common Shares	Hudson, Todd Charles	5	2020-03-02	10	0.23	5,809,876	21,700
Axis Auto Finance Inc.	Common Shares	Hudson, Todd Charles	5	2020-03-02	10	0.22	5,832,576	22,700
Axis Auto Finance Inc.	Common Shares	Stoyan, Paul James	4	2020-03-05	10	0.23	484,047	30,000
Axis Auto Finance Inc.	Common Shares	Troitschanski, Ilja	6	2020-02-28	10	0.24	7,871,970	5,000
Axis Auto Finance Inc.	Common Shares	zinberg, jordan	4	2020-03-03	10	0.21	345,000	65,000
Ayr Strategies Inc. (formerly, Cannabis Strategies Acquisition Corp.)	Subordinate Voting Shares	Miles, Charles Edward	4	2020-03-02	10	9.229	34,000	10,000
Ayr Strategies Inc. (formerly, Cannabis Strategies Acquisition Corp.)	Subordinate Voting Shares	Miles, Charles Edward	4	2020-03-06	10	8.25	36,000	2,000
B2Gold Corp.	Common Shares	Scott, Brian	5	2020-03-03	51	2	208,955	25,000
B2Gold Corp.	Common Shares	Scott, Brian	5	2020-03-03	10	5.98	183,955	-25,000
B2Gold Corp.	Options	Scott, Brian	5	2020-03-03	51	2	75,000	-25,000
Backstageplay Inc. (formerly Oramericas Corp.)	Common Shares	White, Scott Fulton	4	2020-03-02	10	0.17	3,274,437	12,500
Backstageplay Inc. (formerly Oramericas Corp.)	Common Shares	White, Scott Fulton	4	2020-03-03	10	0.17	3,294,437	20,000
Backstageplay Inc. (formerly Oramericas Corp.)	Common Shares	White, Scott Fulton	4	2020-03-04	10	0.17	3,322,437	28,000
Bank of Montreal	Common Shares	Farmer, Ron	4	2020-02-28	10	91.3	6,500	2,500
Bank of Nova Scotia, The	Common Shares	Aufreiter, Nora Anne	4	2020-02-28	10	70.03	2,700	200
Bank of Nova Scotia, The	Common Shares	Bank of Nova Scotia, The	1	2020-02-03	38	72.517	75,000	75,000
Bank of Nova Scotia, The	Common Shares	Bank of Nova Scotia, The	1	2020-02-04	38	72.98	150,000	75,000
Bank of Nova Scotia, The	Common Shares	Bank of Nova Scotia, The	1	2020-02-05	38	73.197	225,000	75,000
Bank of Nova Scotia, The	Common Shares	Bank of Nova Scotia, The	1	2020-02-06	38	73.701	300,000	75,000
Bank of Nova Scotia, The	Common Shares	Bank of Nova Scotia, The	1	2020-02-07	38	74.224	375,000	75,000
Bank of Nova Scotia, The	Common Shares	Bank of Nova Scotia, The	1	2020-02-10	38	74.397	450,000	75,000
Bank of Nova Scotia, The	Common Shares	Bank of Nova Scotia, The	1	2020-02-11	38	74.446	525,000	75,000
Bank of Nova Scotia, The	Common Shares	Bank of Nova Scotia, The	1	2020-02-11	38		150,000	-375,000
Bank of Nova Scotia, The	Common Shares	Bank of Nova Scotia, The	1	2020-02-12	38	74.436	225,000	75,000
Bank of Nova Scotia, The	Common Shares	Bank of Nova Scotia, The	1	2020-02-13	38	74.542	300,000	75,000
Bank of Nova Scotia, The	Common Shares	Bank of Nova Scotia, The	1	2020-02-14	38	74.765	375,000	75,000
Bank of Nova Scotia, The	Common Shares	Bank of Nova Scotia, The	1	2020-02-14	38		150,000	-225,000
Bank of Nova Scotia, The	Common Shares	Bank of Nova Scotia, The	1	2020-02-18	38	74.549	225,000	75,000
Bank of Nova Scotia, The	Common Shares	Bank of Nova Scotia, The	1	2020-02-19	38	74.74	300,000	75,000
Bank of Nova Scotia, The	Common Shares	Bank of Nova Scotia, The	1	2020-02-20	38	74.683	375,000	75,000
Bank of Nova Scotia, The	Common Shares	Bank of Nova Scotia, The	1	2020-02-21	38	74.295	400,000	25,000

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Bank of Nova Scotia, The	Common Shares	Bank of Nova Scotia, The	1	2020-02-26	38	72.916	750,000	350,000
Bank of Nova Scotia, The	Common Shares	Bank of Nova Scotia, The	1	2020-02-28	38		0	-750,000
Barrick Gold Corporation	Common Shares	Hill, Mark Francis	5	2019-12-31	30		1,591	773
Barrick Gold Corporation	Rights Performance Granted Share Units (cash settled)	Hill, Mark Francis	5	2019-12-31	30	20.32	211,292	2,161
Barrick Gold Corporation	Rights Restricted Share Units (cash settled)	Hill, Mark Francis	5	2019-12-31	30	20.32	50,243	1,072
Barrick Gold Corporation	Rights Performance Granted Share Units (cash settled)	Krcmarov, Robert Ljubomir	5	2019-12-31	30	20.32	183,400	2,596
Barrick Gold Corporation	Common Shares	Quick, Rodney Burton	5	2019-12-31	30		184,185	345
Barrick Gold Corporation	Rights Performance Granted Share Units (cash settled)	Raw, Catherine Philippa	5	2019-12-31	30	20.32	245,901	3,311
Barrick Gold Corporation	Common Shares	Rich, Darian Kevin	5	2019-12-31	30		8,745	1,415
Barrick Gold Corporation	Rights Performance Granted Share Units (cash settled)	Rich, Darian Kevin	5	2019-12-31	30	20.32	183,400	2,596
Barrick Gold Corporation	Common Shares	Steele, John	5	2019-12-31	30		185,643	1,037
Barrick Gold Corporation	Common Shares	Thomson, Kevin James	5	2019-12-31	30		1,256	848
Barrick Gold Corporation	Rights Performance Granted Share Units (cash settled)	Thomson, Kevin James	5	2019-12-31	30	20.32	259,379	3,587
Barrick Gold Corporation	Rights Performance Granted Share Units (cash settled)	Walker, Gregory Alan Pool	5	2019-12-31	30	20.32	140,866	1,623
Barrick Gold Corporation	Common Shares	Wark, Lois Vaness	5	2019-12-31	30		189,470	356
Barsele Minerals Corp.	Common Shares	Cope, Gary Gordon	4	2020-03-04	10	0.35	14,889,800	125,000
Baylin Technologies Inc.	Deferred Share Units	Davis, Janice	4	2020-03-01	56	1.63	10,741	1,534
Baylin Technologies Inc.	Deferred Share Units	Reiter, Barry	4	2020-03-01	56	1.63	103,632	2,045
Baylin Technologies Inc.	Deferred Share Units	Saska, David	4	2020-03-01	56	1.63	15,085	1,534
Baylin Technologies Inc.	Deferred Share Units	SIMMONDS, DONALD E.	4	2020-03-01	56	1.63	74,448	1,534
Baylin Technologies Inc.	Deferred Share Units	Wolkin, Harold Morton	4	2020-03-01	56	1.63	129,124	3,834
BCE Inc.	Share Units	Allen, Barry Keith	4	2019-03-31	30	59.34	26,492	948
BCE Inc.	Share Units	Allen, Barry Keith	4	2019-04-15	35	60.68	26,826	334
BCE Inc.	Share Units	Allen, Barry Keith	4	2019-06-30	30	59.58	27,770	944
BCE Inc.	Share Units	Allen, Barry Keith	4	2019-07-15	35	60.46	28,122	352
BCE Inc.	Share Units	Allen, Barry Keith	4	2019-09-30	30	64.08	29,000	878
BCE Inc.	Share Units	Allen, Barry Keith	4	2019-10-15	35	64.73	29,344	344
BCE Inc.	Share Units	Allen, Barry Keith	4	2019-12-31	30	60.16	30,279	935
BCE Inc.	Common Shares	Bibic, Mirko	7	2004-01-01	00			
BCE Inc.	Common Shares	Bibic, Mirko	7	2020-03-02	10	59.3	1,695	1,695
BCE Inc.	Common Shares	Bibic, Mirko	7	2019-12-31	35	60.64	2,165	841
BCE Inc.	Performance-based Restricted Share Units	Bibic, Mirko	7	2019-04-15	35	60.68	28,890	372
BCE Inc.	Performance-based Restricted Share Units	Bibic, Mirko	7	2019-07-15	35	60.46	29,269	379
BCE Inc.	Performance-based Restricted Share Units	Bibic, Mirko	7	2019-10-15	35	64.73	29,628	359
BCE Inc.	Restricted Share Units	Bibic, Mirko	7	2019-04-15	35	60.68	42,076	542
BCE Inc.	Restricted Share Units	Bibic, Mirko	7	2019-07-15	35	60.46	42,628	552
BCE Inc.	Restricted Share Units	Bibic, Mirko	7	2019-10-15	35	64.73	43,150	522
BCE Inc.	Rights Restricted Deferred Share Units	Bibic, Mirko	7	2019-07-15	35	60.46	15,708	206
BCE Inc.	Rights Restricted Deferred Share Units	Bibic, Mirko	7	2019-10-15	35	60.68	15,911	203
BCE Inc.	Rights Restricted Deferred Share Units	Bibic, Mirko	7	2019-10-15	35	64.73	16,106	195
BCE Inc.	Share Units	Bibic, Mirko	7	2019-04-15	35	60.68	96,366	1,242
BCE Inc.	Share Units	Bibic, Mirko	7	2019-07-15	35	60.46	97,630	1,264
BCE Inc.	Share Units	Bibic, Mirko	7	2019-10-15	35	64.73	98,826	1,196
BCE Inc.	Share Units	Brochu, Sophie	4	2019-03-31	30	59.34	44,357	864

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BCE Inc.	Share Units	Brochu, Sophie	4	2019-04-15	35	60.68	44,925	568
BCE Inc.	Share Units	Brochu, Sophie	4	2019-06-30	30	59.58	45,827	902
BCE Inc.	Share Units	Brochu, Sophie	4	2019-07-15	35	60.46	46,416	589
BCE Inc.	Share Units	Brochu, Sophie	4	2019-09-30	30	64.08	47,255	839
BCE Inc.	Share Units	Brochu, Sophie	4	2019-10-15	35	64.73	47,823	568
BCE Inc.	Share Units	Brochu, Sophie	4	2019-12-31	30	60.16	48,716	893
BCE Inc.	Share Units	Brown, Robert Ellis	4	2019-03-31	30	59.34	27,099	527
BCE Inc.	Share Units	Brown, Robert Ellis	4	2019-04-15	35	60.68	27,446	347
BCE Inc.	Share Units	Brown, Robert Ellis	4	2019-06-30	30	59.58	27,970	524
BCE Inc.	Share Units	Brown, Robert Ellis	4	2019-07-15	35	60.46	28,330	360
BCE Inc.	Share Units	Brown, Robert Ellis	4	2019-09-30	30	64.08	28,818	488
BCE Inc.	Share Units	Brown, Robert Ellis	4	2019-10-15	35	64.73	29,165	347
BCE Inc.	Share Units	Brown, Robert Ellis	4	2019-12-31	30	60.16	29,684	519
BCE Inc.	Common Shares	Denison, David Francis	4	2019-04-15	35	60.68	1,144	14
BCE Inc.	Common Shares	Denison, David Francis	4	2019-07-15	35	60.46	1,160	16
BCE Inc.	Common Shares	Denison, David Francis	4	2019-10-15	35	64.73	1,174	14
BCE Inc.	Share Units	Denison, David Francis	4	2019-03-31	30	59.34	30,307	948
BCE Inc.	Share Units	Denison, David Francis	4	2019-04-15	35	60.68	30,691	384
BCE Inc.	Share Units	Denison, David Francis	4	2019-06-30	30	59.58	31,635	944
BCE Inc.	Share Units	Denison, David Francis	4	2019-07-15	35	60.46	32,038	403
BCE Inc.	Share Units	Denison, David Francis	4	2019-09-30	30	64.08	32,916	878
BCE Inc.	Share Units	Denison, David Francis	4	2019-10-15	35	64.73	33,308	392
BCE Inc.	Share Units	Denison, David Francis	4	2019-12-31	30	60.16	34,243	935
BCE Inc.	Share Units	Dexter, Robert P.	4	2019-03-31	30	59.34	38,220	864
BCE Inc.	Share Units	Dexter, Robert P.	4	2019-04-15	35	60.68	38,708	488
BCE Inc.	Share Units	Dexter, Robert P.	4	2019-06-30	30	59.58	39,610	902
BCE Inc.	Share Units	Dexter, Robert P.	4	2019-07-15	35	60.46	40,117	507
BCE Inc.	Share Units	Dexter, Robert P.	4	2019-09-30	30	64.08	40,956	839
BCE Inc.	Share Units	Dexter, Robert P.	4	2019-10-15	35	64.73	41,447	491
BCE Inc.	Share Units	Dexter, Robert P.	4	2019-12-31	30	60.16	42,340	893
BCE Inc.	Share Units	Greenberg, Ian	4	2019-03-31	30	59.34	23,275	864
BCE Inc.	Share Units	Greenberg, Ian	4	2019-04-15	35	60.68	23,568	293
BCE Inc.	Share Units	Greenberg, Ian	4	2019-06-30	30	59.58	24,470	902
BCE Inc.	Share Units	Greenberg, Ian	4	2019-07-15	35	60.46	24,779	309
BCE Inc.	Share Units	Greenberg, Ian	4	2019-09-30	30	64.08	25,618	839
BCE Inc.	Share Units	Greenberg, Ian	4	2019-10-15	35	64.73	25,922	304
BCE Inc.	Share Units	Greenberg, Ian	4	2019-12-31	30	60.16	26,815	893
BCE Inc.	Common Shares	HOWE, STEPHEN GUY	7	2019-12-31	35	60.64	14,035	1,218
BCE Inc.	Performance-based Restricted Share Units	HOWE, STEPHEN GUY	7	2019-04-15	35	60.68	23,435	302
BCE Inc.	Performance-based Restricted Share Units	HOWE, STEPHEN GUY	7	2019-07-15	35	60.46	23,742	307
BCE Inc.	Performance-based Restricted Share Units	HOWE, STEPHEN GUY	7	2019-10-15	35	64.73	24,033	291
BCE Inc.	Restricted Share Units	HOWE, STEPHEN GUY	7	2019-04-15	35	60.68	46,868	604
BCE Inc.	Restricted Share Units	HOWE, STEPHEN GUY	7	2019-07-15	35	60.46	47,482	614
BCE Inc.	Restricted Share Units	HOWE, STEPHEN GUY	7	2019-10-15	35	64.73	48,064	582
BCE Inc.	Share Units	HOWE, STEPHEN GUY	7	2019-04-15	35	60.68	47,033	606
BCE Inc.	Share Units	HOWE, STEPHEN GUY	7	2019-07-15	35	64.73	47,650	583
BCE Inc.	Share Units	HOWE, STEPHEN GUY	7	2019-07-15	35	64.73	47,650	617
BCE Inc.	Share Units	HOWE, STEPHEN GUY	7	2019-10-15	35	64.73	48,233	583
BCE Inc.	Common Shares	LeBlanc, Glen	5	2019-12-31	35	60.64	4,151	857
BCE Inc.	Performance-based Restricted Share Units	LeBlanc, Glen	5	2019-04-15	35	60.68	20,365	263
BCE Inc.	Performance-based Restricted Share Units	LeBlanc, Glen	5	2019-07-15	35	60.46	20,632	267
BCE Inc.	Performance-based Restricted Share Units	LeBlanc, Glen	5	2019-10-15	35	64.73	20,885	253
BCE Inc.	Restricted Share Units	LeBlanc, Glen	5	2019-04-15	35	60.68	14,445	186
BCE Inc.	Restricted Share Units	LeBlanc, Glen	5	2019-07-15	35	60.46	14,634	189

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BCE Inc.	Restricted Share Units	LeBlanc, Glen	5	2019-10-15	35	64.73	14,813	179
BCE Inc.	Rights Performance-based Deferred Share Units	LeBlanc, Glen	5	2019-04-15	35	60.68		339
BCE Inc.	Rights Performance-based Deferred Share Units	LeBlanc, Glen	5	2019-04-15	35	60.68		339
BCE Inc.	Rights Performance-based Deferred Share Units	LeBlanc, Glen	5	2019-07-15	35	60.46		345
BCE Inc.	Rights Performance-based Deferred Share Units	LeBlanc, Glen	5	2019-07-15	35	60.46		345
BCE Inc.	Rights Restricted Deferred Share Units	LeBlanc, Glen	5	2019-04-15	35	60.68	26,284	339
BCE Inc.	Rights Restricted Deferred Share Units	LeBlanc, Glen	5	2019-07-15	35	60.46	26,629	345
BCE Inc.	Rights Restricted Deferred Share Units	LeBlanc, Glen	5	2019-10-15	35	64.73	26,955	326
BCE Inc.	Share Units	LeBlanc, Glen	5	2019-04-15	35	60.68	148,925	1,940
BCE Inc.	Share Units	LeBlanc, Glen	5	2019-07-15	35	60.46	150,881	1,956
BCE Inc.	Share Units	LeBlanc, Glen	5	2019-10-15	35	64.73	152,730	1,849
BCE Inc.	Share Units	Lee, Katherine M	4	2019-03-31	30	59.34	14,157	864
BCE Inc.	Share Units	Lee, Katherine M	4	2019-04-15	35	60.68	14,331	174
BCE Inc.	Share Units	Lee, Katherine M	4	2019-06-30	30	59.58	15,233	902
BCE Inc.	Share Units	Lee, Katherine M	4	2019-07-15	35	60.46	15,421	188
BCE Inc.	Share Units	Lee, Katherine M	4	2019-09-30	30	64.08	15,840	419
BCE Inc.	Share Units	Lee, Katherine M	4	2019-10-15	35	64.73	16,029	189
BCE Inc.	Share Units	Lee, Katherine M	4	2019-12-31	30	60.16	16,476	447
BCE Inc.	Share Units	Leroux, Monique F.	4	2019-03-31	30	59.34	11,098	864
BCE Inc.	Share Units	Leroux, Monique F.	4	2019-04-15	35	60.68	11,232	134
BCE Inc.	Share Units	Leroux, Monique F.	4	2019-06-30	30	59.58	12,134	902
BCE Inc.	Share Units	Leroux, Monique F.	4	2019-07-15	35	60.46	12,281	147
BCE Inc.	Share Units	Leroux, Monique F.	4	2019-09-30	30	64.08	13,120	839
BCE Inc.	Share Units	Leroux, Monique F.	4	2019-10-15	35	64.73	13,271	151
BCE Inc.	Share Units	Leroux, Monique F.	4	2019-12-31	30	60.16	14,164	893
BCE Inc.	Share Units	Nixon, Gordon Melbourne	4	2019-03-31	30	59.34	29,530	1,790
BCE Inc.	Share Units	Nixon, Gordon Melbourne	4	2019-04-15	35	60.68	29,893	363
BCE Inc.	Share Units	Nixon, Gordon Melbourne	4	2019-06-30	30	59.58	31,781	1,888
BCE Inc.	Share Units	Nixon, Gordon Melbourne	4	2019-07-15	35	60.46	32,173	392
BCE Inc.	Share Units	Nixon, Gordon Melbourne	4	2019-09-30	30	64.08	33,929	1,756
BCE Inc.	Share Units	Nixon, Gordon Melbourne	4	2019-10-15	35	64.73	34,323	394
BCE Inc.	Share Units	Nixon, Gordon Melbourne	4	2019-12-31	30	60.16	36,193	1,870
BCE Inc.	Common Shares	Oosterman, Wade	7	2019-12-31	35	60.64	24,769	1,917
BCE Inc.	Performance-based Restricted Share Units	Oosterman, Wade	7	2019-04-15	35	60.68	53,200	686
BCE Inc.	Performance-based Restricted Share Units	Oosterman, Wade	7	2019-07-15	35	60.46	53,897	697
BCE Inc.	Performance-based Restricted Share Units	Oosterman, Wade	7	2019-10-15	35	64.73	54,557	660
BCE Inc.	Restricted Share Units	Oosterman, Wade	7	2019-04-15	35	60.68	106,400	1,372
BCE Inc.	Restricted Share Units	Oosterman, Wade	7	2019-07-15	35	60.46	107,795	1,395
BCE Inc.	Restricted Share Units	Oosterman, Wade	7	2019-10-15	35	64.73	109,114	1,319
BCE Inc.	Share Units	Oosterman, Wade	7	2019-04-15	35	60.68	877,236	11,309
BCE Inc.	Share Units	Oosterman, Wade	7	2019-07-15	35	60.46	888,735	11,499
BCE Inc.	Share Units	Oosterman, Wade	7	2019-10-15	35	64.73	899,616	10,881
BCE Inc.	Common Shares	Rovinescu, Calin	4	2019-04-15	35	60.68		141
BCE Inc.	Common Shares	Rovinescu, Calin	4	2019-04-15	35	60.68		141
BCE Inc.	Common Shares	Rovinescu, Calin	4	2019-04-15	35	60.68	141	1
BCE Inc.	Common Shares	Rovinescu, Calin	4	2019-07-15	35	60.46	142	1
BCE Inc.	Common Shares	Rovinescu, Calin	4	2019-10-15	35	64.73	143	1
BCE Inc.	Common Shares	Rovinescu, Calin	4	2019-04-15	35	60.68	21,250	257

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BCE Inc.	Common Shares	Rovinescu, Calin	4	2019-07-15	35	60.46	21,511	261
BCE Inc.	Common Shares	Rovinescu, Calin	4	2019-10-15	35	64.73	21,759	248
BCE Inc.	Common Shares	Rovinescu, Calin	4	2019-04-15	35	60.68	139	1
BCE Inc.	Common Shares	Rovinescu, Calin	4	2019-07-15	35	60.46	140	1
BCE Inc.	Common Shares	Rovinescu, Calin	4	2019-10-15	35	64.73	141	1
BCE Inc.	Share Units	Rovinescu, Calin	4	2019-03-31	30	59.34	11,098	864
BCE Inc.	Share Units	Rovinescu, Calin	4	2019-04-15	35	60.68	11,232	134
BCE Inc.	Share Units	Rovinescu, Calin	4	2019-06-30	30	59.58	12,134	902
BCE Inc.	Share Units	Rovinescu, Calin	4	2019-07-15	35	60.46	12,281	147
BCE Inc.	Share Units	Rovinescu, Calin	4	2019-09-30	30	64.08	13,120	839
BCE Inc.	Share Units	Rovinescu, Calin	4	2019-10-15	35	64.73	13,271	151
BCE Inc.	Share Units	Rovinescu, Calin	4	2019-12-31	30	60.16	14,164	893
BCE Inc.	Share Units	Sheriff, Karen	4	2019-03-31	30	59.34	6,937	843
BCE Inc.	Share Units	Sheriff, Karen	4	2019-04-15	35	60.68	7,017	80
BCE Inc.	Share Units	Sheriff, Karen	4	2019-06-30	30	59.58	7,898	881
BCE Inc.	Share Units	Sheriff, Karen	4	2019-07-15	35	60.46	7,990	92
BCE Inc.	Share Units	Sheriff, Karen	4	2019-09-30	30	64.08	8,809	819
BCE Inc.	Share Units	Sheriff, Karen	4	2019-10-15	35	64.73	8,907	98
BCE Inc.	Share Units	Sheriff, Karen	4	2019-12-31	30	60.16	9,780	873
BCE Inc.	Share Units	Simmonds, Robert	4	2019-03-31	30	59.34	36,614	864
BCE Inc.	Share Units	Simmonds, Robert	4	2019-04-15	35	60.68	37,081	467
BCE Inc.	Share Units	Simmonds, Robert	4	2019-06-30	30	59.58	37,983	902
BCE Inc.	Share Units	Simmonds, Robert	4	2019-07-15	35	60.46	38,469	486
BCE Inc.	Share Units	Simmonds, Robert	4	2019-09-30	30	64.08	39,308	839
BCE Inc.	Share Units	Simmonds, Robert	4	2019-10-15	35	64.73	39,780	472
BCE Inc.	Share Units	Simmonds, Robert	4	2019-12-31	30	60.16	40,673	893
BCE Inc.	Share Units	Weiss, Paul Raymond	4	2019-03-31	30	59.34	45,091	527
BCE Inc.	Share Units	Weiss, Paul Raymond	4	2019-04-15	35	60.68	45,673	582
BCE Inc.	Share Units	Weiss, Paul Raymond	4	2019-06-30	30	59.58	46,197	524
BCE Inc.	Share Units	Weiss, Paul Raymond	4	2019-07-15	35	60.46	46,796	599
BCE Inc.	Share Units	Weiss, Paul Raymond	4	2019-09-30	30	64.08	47,284	488
BCE Inc.	Share Units	Weiss, Paul Raymond	4	2019-10-15	35	64.73	47,857	573
BCE Inc.	Share Units	Weiss, Paul Raymond	4	2019-12-31	30	60.16	48,376	519
BE Resources Inc.	Common Shares	Marrelli, Carmelo	4, 5	2020-03-06	97	0.12	730,771	730,771
BevCanna Enterprises Inc.	Common Shares	Leone, Marcello	3, 4, 5	2020-03-03	46	0.4191	7,449,500	-300,000
BevCanna Enterprises Inc.	Common Shares	Leone, Marcello	3, 4, 5	2020-03-06	10	0.38	7,095,000	-354,500
Biosenta Inc.	Common Shares Class A Common Shares	Gill, Amarvir	4, 5	2016-11-01	00		585,466	
Biosenta Inc.	Common Shares Class A Common Shares	Gill, Amarvir	4, 5	2020-02-28	16	0.1	1,355,666	770,200
Biosenta Inc.	Warrants Class A Warrants	Gill, Amarvir	4, 5	2016-11-01	00			
Biosenta Inc.	Warrants Class A Warrants	Gill, Amarvir	4, 5	2020-02-28	16	0.2	385,100	385,100
BioSyent Inc.	Common Shares	Ashrafi, Seyed Ahmad	4	2019-12-31	30	7.34	10,823	1,022
BioSyent Inc.	Common Shares	March, Robert Joseph	5	2020-01-31	30	7.36	18,520	1,552
BioSyent Inc.	Common Shares	MOOTHAN, RAMESH	4	2019-12-31	30	7.33	9,957	784
BioSyent Inc.	Common Shares	van der Mark, Joost	5	2019-12-31	30	7.58	12,528	1,037
Black Iron Inc.	Common Shares	Detmold, John	4	2020-03-02	10	0.08	2,285,909	235,000
Black Iron Inc.	Common Shares	Detmold, John	4	2020-03-05	10	0.08	2,400,909	115,000
Black Iron Inc.	Common Shares	Detmold, John	4	2020-03-06	10	0.08	2,640,909	240,000
Black Iron Inc.	Common Shares	Detmold, John	4	2020-03-06	10	0.075	2,740,909	100,000
BlackBerry Limited (formerly Research In Motion Limited)	Deferred Share Units	Alsop, Laurie Frances Smaldone	4	2020-02-29	56		120,935	9,740
BlackBerry Limited (formerly Research In Motion Limited)	Deferred Share Units	Daniels, Michael Alan	4	2020-02-29	56		132,258	9,741
BlackBerry Limited (formerly Research In Motion Limited)	Deferred Share Units	Dattels, Timothy	4	2020-02-29	56		186,054	9,740
BlackBerry Limited (formerly Research In Motion Limited)	Deferred Share Units	Disbrow, Lisa S.	4	2020-02-29	56		35,842	9,740

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BlackBerry Limited (formerly Research In Motion Limited)	Deferred Share Units	Lynch, Richard John	4	2020-02-29	56		162,150	9,740
BlackBerry Limited (formerly Research In Motion Limited)	Deferred Share Units	Stymiest, Barbara Gayle	4, 5	2020-02-29	56		200,262	10,642
BlackBerry Limited (formerly Research In Motion Limited)	Deferred Share Units	Watsa, V. Prem	4	2020-02-29	56		147,812	10,462
BlackBerry Limited (formerly Research In Motion Limited)	Deferred Share Units	Wouters, Wayne Gordon	4	2020-02-29	56		113,803	9,740
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-02-28	10	6.19	12,091,464	4,300
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-02-28	10	6.17	12,091,764	300
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-02-28	10	6.2	12,094,164	2,400
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-02-28	10	6.095	12,095,864	1,700
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-02-28	10	6.42	12,096,464	600
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-02-28	10	6.39	12,096,764	300
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-02-28	10	6.1	12,096,864	100
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-02-28	10	6.77	12,099,464	2,600
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-02-28	10	6.76	12,099,864	400
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-02-28	10	6.57	12,100,264	400
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-02-28	10	6.67	12,100,664	400
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-02-28	10	6.3	12,100,764	100
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-02-28	10	6.48	12,101,564	800
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-02-28	10	6.43	12,101,964	400
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-02-28	10	6.44	12,102,864	900
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-02-28	10	6.78	12,103,464	600
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-02-28	10	6.47	12,103,564	100
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-02-28	10	6.42	12,103,664	100
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-02-28	10	6.58	12,104,464	800
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-02-28	10	6.68	12,105,264	800
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-02-28	10	6.79	12,105,364	100
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-02-28	10	6.87	12,105,464	100
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-02-28	10	6.88	12,105,764	300
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-02-28	10	6.98	12,110,364	4,600
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-03-02	10	6.89	12,112,364	2,000
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-03-02	10	6.9	12,113,064	700
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-03-02	10	6.98	12,114,064	1,000
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-03-02	10	6.925	12,116,564	2,500
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-03-02	10	6.85	12,116,964	400
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-03-02	10	6.86	12,117,064	100
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-03-02	10	6.95	12,117,964	900
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-03-02	10	6.99	12,124,264	6,300
Blackline Safety Corp.	Common Shares	DAK Capital Inc.	3	2020-03-03	10	6.75	12,126,764	2,500
Block X Capital Corp. (formerly Lions Gate Metals Inc.)	Common Shares	Kowan, Lisa Valerie	4, 5	2020-02-10	00			
Block X Capital Corp. (formerly Lions Gate Metals Inc.)	Options	Kowan, Lisa Valerie	4, 5	2020-02-10	00			
Blockchain Holdings Ltd,	Common Shares	Gardner, Jeremy	4	2018-04-09	00			
Blockchain Holdings Ltd,	Common Shares	Gardner, Jeremy	4	2020-03-02	16	0.3	330,547	330,547
Blue Thunder Mining Inc.	Common Shares	Paolone, Enrico Lorenzo	4	2020-02-24	10	0.125	919,000	60,000
Bluesky Digital Assets Corp.	Common Shares	Gelfand, Benjamin	4, 5	2020-03-03	10	0.01	8,261,000	261,000
Bluesky Digital Assets Corp.	Common Shares	Gelfand, Benjamin	4, 5	2020-03-04	10	0.01	8,262,000	1,000
Bluesky Digital Assets Corp.	Common Shares	Gelfand, Benjamin	4, 5	2020-03-04	10	0.01	8,271,000	9,000
Bluestone Resources Inc.	Common Shares	Cass, David Maurice	5	2017-12-01	00			
Bluestone Resources Inc.	Common Shares	Cass, David Maurice	5	2020-02-26	10	1.25	60,000	60,000
Bluestone Resources Inc.	Common Shares	Cass, David Maurice	5	2020-02-26	10	2.02	0	-60,000
Bluestone Resources Inc.	Options	Cass, David Maurice	5	2020-02-26	51	1.25	320,000	-60,000
Bluestone Resources Inc.	Common Shares	Gunning, David Russell	1	2020-02-27	10	1.5	283,333	150,000
Bluestone Resources Inc.	Common Shares	Gunning, David Russell	1	2020-02-27	10	1.98	133,333	-150,000
Bluestone Resources Inc.	Options	Gunning, David Russell	1	2020-02-27	51	1.5	330,000	-150,000

Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-03	38	10.22	1,000	1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-03	38		0	-1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-04	38	10.22	1,000	1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-04	38		0	-1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-05	38	10.24	1,000	1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-05	38		0	-1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-06	38	10.18	1,000	1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-06	38		0	-1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-10	38	10.14	1,000	1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-10	38		0	-1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-11	38	10.04	1,000	1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-11	38		0	-1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-14	38	10.06	1,000	1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-14	38		0	-1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-19	38	10.06	1,000	1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-19	38		0	-1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-20	38	9.85	1,000	1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-20	38		0	-1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-21	38	9.87	1,000	1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-21	38		0	-1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-24	38	9.93	1,000	1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-24	38		0	-1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-25	38	9.85	1,000	1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-25	38		0	-1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-26	38	9.39	1,000	1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-26	38		0	-1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-27	38	9.14	1,000	1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-27	38		0	-1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-28	38	9.15	1,000	1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-28	38		0	-1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-28	38	9.15	43,600	43,600
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-02-28	38		0	-43,600
Boardwalk Real Estate Investment Trust	Trust Units	Morrison, Scott Andrew	5	2018-05-15	00			
Boardwalk Real Estate Investment Trust	Trust Units	Morrison, Scott Andrew	5	2020-03-02	10	46.275	1,000	1,000
Boardwalk Real Estate Investment Trust	Trust Units	Morrison, Scott Andrew	5	2020-03-02	10	45.858	1,500	500
Body and Mind Inc.	Options	Hoffman, Stephen (Trip)	5	2020-03-01	10	0.405	775,000	250,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.525	2,482,600	-200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.52	2,482,400	-200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.51	2,481,400	-1,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.5	2,475,600	-5,800
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.48	2,475,400	-200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.47	2,474,100	-1,300
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.46	2,472,100	-2,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.45	2,466,800	-5,300
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.44	2,464,200	-2,600
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.43	2,458,000	-6,200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.42	2,454,800	-3,200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.41	2,450,400	-4,400
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.4	2,426,800	-23,600
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.39	2,424,700	-2,100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.385	2,424,600	-100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.38	2,419,100	-5,500
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.37	2,416,400	-2,700
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.36	2,413,300	-3,100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.35	2,406,800	-6,500
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.34	2,405,100	-1,700

Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.33	2,399,900	-5,200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.32	2,397,700	-2,200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.31	2,393,700	-4,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.3	2,386,800	-6,900
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.29	2,384,000	-2,800
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.27	2,382,000	-2,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.26	2,379,000	-3,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.25	2,375,000	-4,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.24	2,373,000	-2,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.235	2,372,800	-200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.23	2,372,700	-100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.22	2,367,000	-5,700
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.2	2,360,800	-6,200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.19	2,360,700	-100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.18	2,360,200	-500
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.17	2,356,200	-4,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.16	2,348,900	-7,300
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.15	2,338,200	-10,700
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.14	2,330,800	-7,400
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.13	2,323,800	-7,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.12	2,321,400	-2,400
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.11	2,317,900	-3,500
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.1	2,313,500	-4,400
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.09	2,306,500	-7,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.08	2,302,200	-4,300
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.07	2,299,300	-2,900
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.06	2,292,500	-6,800
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.05	2,280,800	-11,700
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.04	2,273,300	-7,500
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.03	2,261,500	-11,800
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.025	2,261,400	-100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.02	2,253,200	-8,200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29.01	2,244,700	-8,500
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-02	10	29	2,190,000	-54,700
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	31.05	2,189,800	-200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	31.04	2,189,100	-700
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	31.03	2,187,200	-1,900
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	31.02	2,186,500	-700
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	31.01	2,184,900	-1,600
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	31	2,157,200	-27,700
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.98	2,155,200	-2,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.95	2,149,700	-5,500
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.94	2,149,500	-200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.93	2,149,000	-500
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.92	2,147,800	-1,200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.91	2,147,100	-700
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.9	2,141,100	-6,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.895	2,138,700	-2,400
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.89	2,137,700	-1,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.88	2,137,500	-200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.86	2,137,300	-200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.85	2,132,900	-4,400
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.84	2,129,400	-3,500
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.83	2,127,500	-1,900
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.82	2,126,500	-1,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.81	2,124,700	-1,800
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.8	2,111,400	-13,300
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.79	2,110,900	-500

Insider Reporting

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Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.78	2,107,200	-3,700
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.75	2,101,900	-5,300
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.76	2,100,600	-1,300
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.74	2,100,500	-100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.73	2,098,500	-2,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.725	2,096,000	-2,500
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.69	2,095,800	-200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.68	2,093,000	-2,800
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.5	2,090,000	-3,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.45	2,087,000	-3,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.44	2,085,800	-1,200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.43	2,085,400	-400
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.42	2,084,300	-1,100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.4	2,081,000	-3,300
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.33	2,080,900	-100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.32	2,078,000	-2,900
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.3	2,073,800	-4,200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.29	2,073,700	-100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.28	2,073,300	-400
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.27	2,073,000	-300
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.26	2,072,700	-300
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.25	2,068,400	-4,300
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.24	2,065,400	-3,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.23	2,060,600	-4,800
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.22	2,057,000	-3,600
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.21	2,053,800	-3,200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.2	2,046,600	-7,200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.19	2,042,900	-3,700
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.185	2,039,600	-3,300
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.18	2,037,000	-2,600
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.17	2,016,300	-20,700
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.165	2,016,200	-100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.16	2,001,100	-15,100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.15	1,992,600	-8,500
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.14	1,990,700	-1,900
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.13	1,986,500	-4,200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.115	1,981,700	-4,800
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.09	1,980,400	-1,300
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.08	1,978,700	-1,700
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.04	1,975,700	-3,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30	1,972,700	-3,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-03	10	30.02	1,970,000	-2,700
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	30.81	1,967,900	-2,100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	30.82	1,967,300	-600
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	30.83	1,965,500	-1,800
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	30.84	1,961,200	-4,300
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	30.85	1,959,300	-1,900
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	30.86	1,955,300	-4,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	30.87	1,949,200	-6,100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	30.88	1,943,400	-5,800
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	30.89	1,941,400	-2,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	30.9	1,931,200	-10,200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	30.91	1,930,400	-800
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	30.92	1,926,800	-3,600
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	30.93	1,926,700	-100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	11	30.94	1,924,200	-2,500
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	30.95	1,922,200	-2,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	30.96	1,916,800	-5,400

Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	30.97	1,914,700	-2,100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	30.98	1,914,500	-200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31	1,904,500	-10,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.01	1,902,800	-1,700
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.02	1,895,500	-7,300
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.04	1,882,800	-12,700
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.03	1,881,800	-1,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.045	1,881,700	-100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.05	1,866,000	-15,700
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.055	1,865,700	-300
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.06	1,859,600	-6,100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.08	1,851,900	-7,700
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.09	1,845,800	-6,100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.1	1,826,700	-19,100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.11	1,823,200	-3,500
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.12	1,819,800	-3,400
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.13	1,816,500	-3,300
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.14	1,815,300	-1,200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.145	1,815,000	-300
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.15	1,808,700	-6,300
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.16	1,808,000	-700
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.17	1,806,300	-1,700
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.175	1,806,200	-100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.18	1,806,100	-100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.19	1,803,100	-3,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.2	1,802,500	-600
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.21	1,799,200	-3,300
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.22	1,798,500	-700
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.24	1,797,500	-1,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.25	1,795,200	-2,300
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.28	1,794,000	-1,200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.285	1,793,400	-600
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.29	1,787,200	-6,200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.295	1,778,100	-9,100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.3	1,736,900	-41,200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.31	1,732,800	-4,100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.32	1,730,700	-2,100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.33	1,723,300	-7,400
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.335	1,723,200	-100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.34	1,721,100	-2,100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.35	1,715,300	-5,800
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.36	1,708,600	-6,700
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.37	1,707,100	-1,500
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.38	1,701,100	-6,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.39	1,699,600	-1,500
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.4	1,693,100	-6,500
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.41	1,690,000	-3,100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.45	1,685,000	-5,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.48	1,684,700	-300
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.49	1,682,200	-2,500
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	11	31.5	1,672,500	-9,700
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-04	10	31.55	1,670,000	-2,500
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-05	10	31.46	1,668,600	-1,400
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-05	10	31.455	1,668,300	-300
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-05	10	31.45	1,667,000	-1,300
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-05	10	31.44	1,661,800	-5,200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-05	10	31.43	1,657,700	-4,100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-05	10	31.42	1,657,400	-300

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-05	10	31.41	1,653,900	-3,500
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-05	10	31.405	1,652,500	-1,400
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-05	10	31.4	1,643,200	-9,300
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-05	10	31.395	1,642,800	-400
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-05	10	31.39	1,637,800	-5,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-05	10	31.38	1,634,500	-3,300
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-05	10	31.37	1,634,100	-400
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-05	10	31.36	1,626,300	-7,800
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-05	10	31.355	1,625,100	-1,200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-05	10	31.35	1,609,900	-15,200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-05	10	31.345	1,604,700	-5,200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-05	10	31.34	1,590,300	-14,400
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-05	10	31.335	1,589,600	-700
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-05	10	31.33	1,585,500	-4,100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-05	10	31.32	1,578,900	-6,600
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-05	10	31.31	1,574,600	-4,300
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-05	10	31.305	1,574,000	-600
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-05	10	31.3	1,557,900	-16,100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-06	10	30.8	1,557,000	-900
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-06	10	30.79	1,555,400	-1,600
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-06	10	30.78	1,553,800	-1,600
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-06	10	30.77	1,549,700	-4,100
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-06	10	30.76	1,546,100	-3,600
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-06	10	30.75	1,524,100	-22,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-06	10	30.74	1,523,600	-500
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-06	10	30.73	1,513,900	-9,700
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-06	10	30.72	1,508,300	-5,600
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-06	10	30.71	1,507,300	-1,000
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-06	10	30.7	1,503,000	-4,300
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-06	10	30.69	1,501,800	-1,200
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-06	10	30.68	1,500,500	-1,300
Borex Inc.	Common Shares	Kernaghan, Edward Hume	4	2020-03-06	10	30.67	1,500,000	-500
Boston Pizza Royalties Income Fund	Units	Boston Pizza Royalties Income Fund	1	2020-03-02	38	13.236	131,100	16,900
Boston Pizza Royalties Income Fund	Units	Boston Pizza Royalties Income Fund	1	2020-03-03	38	13.399	148,000	16,900
Boston Pizza Royalties Income Fund	Units	Boston Pizza Royalties Income Fund	1	2020-03-04	38	13.35	164,900	16,900
Boston Pizza Royalties Income Fund	Units	Boston Pizza Royalties Income Fund	1	2020-03-05	38	13.216	181,800	16,900
Boston Pizza Royalties Income Fund	Units	Boston Pizza Royalties Income Fund	1	2020-03-06	38	12.877	198,700	16,900
Braveheart Resources Inc.	Common Shares	Matlock, Aaron	4, 5	2020-02-28	10	0.11	6,843,001	7,000
Braveheart Resources Inc.	Common Shares	Matlock, Aaron	4, 5	2020-03-04	10	0.105	6,844,501	1,500
Braveheart Resources Inc.	Common Shares	Matlock, Aaron	4, 5	2020-03-04	10	0.11	6,846,501	2,000
Braveheart Resources Inc.	Common Shares	Matlock, Aaron	4, 5	2020-03-05	10	0.11	6,848,501	2,000
Bri-Chem Corp.	Common Shares	Caron, Don	4, 5	2020-02-27	10	0.11	1,102,750	10,000
Bri-Chem Corp.	Common Shares	Caron, Don	4, 5	2020-02-28	10	0.12	1,112,750	10,000
Briko Energy Corp.	Common Shares	Anderson, David Garfield	4	2020-02-24	00		651,910	
Briko Energy Corp.	Warrants	Anderson, David Garfield	4	2020-02-24	00		142,250	
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Brookfield Asset Management Inc.	1	2020-02-24	38	66.1	1,311,413	172,018
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Brookfield Asset Management Inc.	1	2020-02-24	38		1,139,395	-172,018
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Brookfield Asset Management Inc.	1	2020-02-24	38	87.741	1,277,813	138,418
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Brookfield Asset Management Inc.	1	2020-02-24	38		1,139,395	-138,418
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Brookfield Asset Management Inc.	1	2020-02-25	38	64.924	1,311,413	172,018

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Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Brookfield Asset Management Inc.	1	2020-02-25	38		1,139,395	-172,018
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Brookfield Asset Management Inc.	1	2020-02-25	38	86.307	1,277,813	138,418
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Brookfield Asset Management Inc.	1	2020-02-25	38		1,139,395	-138,418
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Brookfield Asset Management Inc.	1	2020-02-26	38	64.386	1,311,413	172,018
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Brookfield Asset Management Inc.	1	2020-02-26	38		1,139,395	-172,018
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Brookfield Asset Management Inc.	1	2020-02-26	38	85.669	1,277,813	138,418
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Brookfield Asset Management Inc.	1	2020-02-26	38		1,139,395	-138,418
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Brookfield Asset Management Inc.	1	2020-02-27	38	61.826	1,311,413	172,018
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Brookfield Asset Management Inc.	1	2020-02-27	38		1,139,395	-172,018
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Brookfield Asset Management Inc.	1	2020-02-27	38	82.575	1,243,395	104,000
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Brookfield Asset Management Inc.	1	2020-02-27	38		1,139,395	-104,000
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Brookfield Asset Management Inc.	1	2020-02-28	38	58.825	1,361,413	222,018
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Brookfield Asset Management Inc.	1	2020-02-28	38		1,139,395	-222,018
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Brookfield Asset Management Inc.	1	2020-02-28	38	78.833	1,312,230	172,835
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Brookfield Asset Management Inc.	1	2020-02-28	38		1,139,395	-172,835
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Pearson, Lori Anne	5	2019-06-12	11		172,003	1,100
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Price, Timothy Robert	6	2020-02-26	10	85	3,857,394	-14,400
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Price, Timothy Robert	6	2020-02-27	10	81.27	3,852,294	-5,100
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Price, Timothy Robert	6	2020-02-27	10	81.22	3,821,794	-30,500
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Shah, Sachin G.	5	2020-03-03	11	61.931	473,872	248,083
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Shah, Sachin G.	5	2020-03-03	11		3,800,000	-600,000
Brookfield Business Partners L.P.	Limited Partnership Units	Dehl, Jaspreet	4	2018-09-10	00			
Brookfield Business Partners L.P.	Limited Partnership Units	Dehl, Jaspreet	4	2020-02-28	10	51.53	100	100
Brookfield Business Partners L.P.	Limited Partnership Units	Dehl, Jaspreet	4	2020-02-28	10	51.54	107	7
Brookfield Business Partners L.P.	Limited Partnership Units	Dehl, Jaspreet	4	2020-02-28	10	51.67	1,524	94
Brookfield Business Partners L.P.	Limited Partnership Units	Dehl, Jaspreet	4	2020-02-28	10	51.78	1,596	72
Brookfield Business Partners L.P.	Limited Partnership Units	Dehl, Jaspreet	4	2020-02-28	10	51.77	1,796	200
Brookfield Business Partners L.P.	Limited Partnership Units	Ferreira, Murilo	6	2020-02-28	10	38.5	5,410	2,600
Brookfield Property Partners L.P.	Limited Partnership Units	Atkinson, Caroline	4	2020-02-26	10	17.92	5,425	2,808
Brookfield Property Partners L.P.	Limited Partnership Units	Brookfield Property Partners L.P.	1	2020-02-27	38	22	413,481	413,481
Brookfield Property Partners L.P.	Limited Partnership Units	Brookfield Property Partners L.P.	1	2020-02-27	38	16.781	613,481	200,000
Brookfield Property Partners L.P.	Limited Partnership Units	Brookfield Property Partners L.P.	1	2020-02-28	38	16.085	913,481	300,000
Brookfield Property Partners L.P.	Limited Partnership Units	Brookfield Property Partners L.P.	1	2020-02-28	38	21.61	1,013,481	100,000

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Brookfield Property Partners L.P.	Limited Partnership Units	Brookfield Property Partners L.P.	1	2020-02-28	38		0	-1,013,481
Brookfield Property Partners L.P.	Limited Partnership Units	Davis, Bryan Kenneth	7, 5	2019-12-31	30		121,187	26
Brookfield Property Partners L.P.	Deferred Units (Global)	Liebman, Lance Malcolm	7	2020-03-06	30		52,230	926
Brookfield Property Partners L.P.	Limited Partnership Units	Maroun, Louis	4	2020-02-28	10	16.043	50,000	20,000
Brookfield Renewable Partners L.P.	Options	Cardinal, Bernard	7	2020-03-02	50		16,450	6,450
Brookfield Renewable Partners L.P.	Deferred Share Units	Christie, Douglas	7	2020-03-02	56		10,789	961
Brookfield Renewable Partners L.P.	Unit Appreciation Rights of BPUSHA	DEEDY, THOMAS EDWARD	7	2020-03-02	56		96,755	17,160
Brookfield Renewable Partners L.P.	Deferred Share Units	Deschatelets, Julian	7	2020-03-02	56		1,510	268
Brookfield Renewable Partners L.P.	Options	Di Cesare, Walter	7	2020-03-02	50		32,162	6,450
Brookfield Renewable Partners L.P.	Unit Appreciation Rights of BPUSHA	Gallagher, Stephen	7	2020-03-02	56		16,500	9,500
Brookfield Renewable Partners L.P.	Options	Guibord, Josée Manon	7	2020-03-02	50		89,860	17,160
Brookfield Renewable Partners L.P.	Options	Perreault, Normand Joseph Fernand	7	2020-03-02	50		60,840	8,580
Brookfield Renewable Partners L.P.	Options	Pion, Micheline	7	2020-03-02	50		23,450	6,450
Brookfield Renewable Partners L.P.	Unit Appreciation Rights of BPUSHA	Pollack, Jacob Anthony	7	2020-03-02	56		14,500	7,500
Brookfield Renewable Partners L.P.	Limited Partnership Units	Zuccotti, Patricia Laughlin	4	2020-03-02	10	51.889	8,910	483
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	2020-02-03	38	68.706	28,900	28,900
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	2020-02-04	38	69.763	57,800	28,900
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	2020-02-05	38	70.618	86,700	28,900
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	2020-02-06	38	69.348	115,600	28,900
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	2020-02-07	38	69.196	144,500	28,900
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	2020-02-10	38	70.729	173,400	28,900
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	2020-02-11	38	71.985	202,300	28,900
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	2020-02-12	38	73.267	231,200	28,900
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	2020-02-13	38	74.418	260,100	28,900
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	2020-02-14	38	74.701	289,000	28,900
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	2020-02-18	38	74.587	317,900	28,900
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	2020-02-19	38	74.163	346,800	28,900
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	2020-02-20	38	73.801	375,700	28,900
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	2020-02-21	38	71.161	404,300	28,600
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	2020-02-24	38	65.803	433,200	28,900
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	2020-02-25	38	63.184	462,100	28,900
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	2020-02-26	38	61.221	491,000	28,900
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	2020-02-27	38	56.496	514,000	23,000

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BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	2020-02-28	38	54.496	542,900	28,900
BRP Inc.	Subordinate Voting Shares	BRP Inc.	1	2020-02-28	38		0	-542,900
BSR Real Estate Investment Trust	Trust Units	Bailey, John Stanley	3, 4, 5	2020-03-02	90		810,500	10,500
BSR Real Estate Investment Trust	Trust Units	Bailey, John Stanley	3, 4, 5	2020-03-02	90		0	-10,500
BSR Real Estate Investment Trust	Performance Units	Brazeal, Franklin Blake	5	2019-12-31	30	10.45	40,230	1,602
BSR Real Estate Investment Trust	Restricted Units	Brazeal, Franklin Blake	5	2019-12-31	30	10.29	30,428	1,398
BSR Real Estate Investment Trust	Deferred Units	Halter, William Amos	4	2019-12-31	30		15,449	530
BSR Real Estate Investment Trust	Deferred Units	Held, Bryan Henry	4	2019-12-31	30		17,197	584
BSR Real Estate Investment Trust	Deferred Units	Hughes, Jr., William Daniel	4	2019-12-31	30		15,616	538
BSR Real Estate Investment Trust	Trust Units	J&P Unit Holding, LLC	3	2020-03-02	90		810,500	10,500
BSR Real Estate Investment Trust	Performance Units	Koehn, Susan Rosenbaum	5	2019-12-31	30	10.47	21,417	847
BSR Real Estate Investment Trust	Restricted Units	Koehn, Susan Rosenbaum	5	2019-12-31	30	10.3	16,078	727
BSR Real Estate Investment Trust	Deferred Units	Labatte, Neil Joseph	4	2019-12-31	30		26,785	875
BSR Real Estate Investment Trust	Performance Units	Oberste, Daniel Martin	5	2019-12-31	30	10.45	27,513	1,102
BSR Real Estate Investment Trust	Restricted Units	Oberste, Daniel Martin	5	2019-12-31	30	10.28	20,868	965
BSR Real Estate Investment Trust	Performance Units	Ray, Scott Allen	5	2019-12-31	30	10.45	8,704	348
BSR Real Estate Investment Trust	Restricted Units	Ray, Scott Allen	5	2019-12-31	30	10.28	6,596	304
BSR Real Estate Investment Trust	Deferred Units	Senst, Graham David	4	2019-12-31	30		20,352	673
BSR Real Estate Investment Trust	Deferred Units	Wademan, Elizabeth Anne	4	2019-12-31	30		19,619	661
Builders Capital Mortgage Corp.	Non-Voting Shares Class A	Loutitt, Sandy Luke	3, 4	2020-03-04	10	9.31	9,483	800
CAE Inc.	Common Shares	CAE INC.	1	2020-02-28	38	35.97	10,000	10,000
CAE Inc.	Common Shares	CAE INC.	1	2020-02-28	38	35.97	0	-10,000
Cameco Corporation	Rights Performance Share Units	Breker, Jeremy Andrew	5	2020-03-02	56	11.61	23,606	13,540
Cameco Corporation	Rights Restricted Share Units	Breker, Jeremy Andrew	5	2020-03-02	56	11.61	11,241	9,030
Cameco Corporation	Common Shares	Clark, Dale Robert	5	2020-03-03	57	11.31	10,578	3,049
Cameco Corporation	Rights Performance Share Units	Clark, Dale Robert	5	2020-03-02	56	11.61	47,477	14,630
Cameco Corporation	Rights Performance Share Units	Clark, Dale Robert	5	2020-03-03	57	11.31	40,915	-6,562
Cameco Corporation	Rights Performance Share Units	Clark, Dale Robert	5	2020-03-03	58 - Expiration of rights		38,307	-2,608
Cameco Corporation	Rights Restricted Share Units	Clark, Dale Robert	5	2013-03-11	00			
Cameco Corporation	Rights Restricted Share Units	Clark, Dale Robert	5	2020-03-02	56	11.61	9,750	9,750
Cameco Corporation	Common Shares	Doerksen, David Fehr	5	2020-03-02	57	11.31	17,661	3,325
Cameco Corporation	Common Shares	Doerksen, David Fehr	5	2020-03-02	59	11.31	14,336	-3,325
Cameco Corporation	Rights Performance Share Units	Doerksen, David Fehr	5	2020-03-02	56	11.61	45,810	14,120
Cameco Corporation	Rights Performance Share Units	Doerksen, David Fehr	5	2020-03-02	57	11.31	39,477	-6,333
Cameco Corporation	Rights Performance Share Units	Doerksen, David Fehr	5	2020-03-02	58 - Expiration of rights		36,960	-2,517

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Cameco Corporation	Rights Restricted Share Units	Doerksen, David Fehr	5	2007-10-09	00			
Cameco Corporation	Rights Restricted Share Units	Doerksen, David Fehr	5	2020-03-02	56	11.61	9,410	9,410
Cameco Corporation	Common Shares	Girard, Rachelle Spring	5	2020-03-03	10	11.5		229
Cameco Corporation	Common Shares	Girard, Rachelle Spring	5	2020-03-03	57	11.5	8,802	229
Cameco Corporation	Rights Performance Share Units	Girard, Rachelle Spring	5	2020-03-02	56	11.61	27,984	11,130
Cameco Corporation	Rights Restricted Share Units	Girard, Rachelle Spring	5	2020-03-02	56	11.61	7,880	7,420
Cameco Corporation	Rights Restricted Share Units	Girard, Rachelle Spring	5	2020-03-03	57	11.5		229
Cameco Corporation	Rights Restricted Share Units	Girard, Rachelle Spring	5	2020-03-03	57	11.5	7,651	-229
Cameco Corporation	Rights Restricted Share Units	Girard, Rachelle Spring	5	2020-03-03	58 - Expiration of rights			231
Cameco Corporation	Rights Restricted Share Units	Girard, Rachelle Spring	5	2020-03-03	58 - Expiration of rights		7,420	-231
Cameco Corporation	Common Shares	Gitzel, Tim Scott	5	2020-03-02	57	11.311	355,176	55,782
Cameco Corporation	Common Shares	Gitzel, Tim Scott	5	2020-03-02	59	11.311	299,394	-55,782
Cameco Corporation	Rights Performance Share Units	Gitzel, Tim Scott	5	2020-03-02	56		687,204	194,650
Cameco Corporation	Rights Performance Share Units	Gitzel, Tim Scott	5	2020-03-02	57	11.311	580,952	-106,252
Cameco Corporation	Rights Performance Share Units	Gitzel, Tim Scott	5	2020-03-02	58 - Expiration of rights		538,704	-42,248
Cameco Corporation	Rights Restricted Share Units	Gitzel, Tim Scott	5	2020-03-02	56		129,750	129,750
Cameco Corporation	Common Shares	Gorsalitz, Caroline Marie	5	2020-03-03	57	11.311	13,886	3,317
Cameco Corporation	Rights Performance Share Units	Gorsalitz, Caroline Marie	5	2020-03-02	56		45,689	14,080
Cameco Corporation	Rights Performance Share Units	Gorsalitz, Caroline Marie	5	2020-03-03	57	11.311	39,370	-6,319
Cameco Corporation	Rights Performance Share Units	Gorsalitz, Caroline Marie	5	2020-03-03	58 - Expiration of rights		36,859	-2,511
Cameco Corporation	Rights Restricted Share Units	Gorsalitz, Caroline Marie	5	2011-09-28	00			
Cameco Corporation	Rights Restricted Share Units	Gorsalitz, Caroline Marie	5	2020-03-02	56		9,390	9,390
Cameco Corporation	Common Shares	Huntington, Jonathan	5	2020-03-03	57	11.5	2,364	229
Cameco Corporation	Rights Performance Share Units	Huntington, Jonathan	5	2020-03-02	56		27,984	11,130
Cameco Corporation	Rights Restricted Share Units	Huntington, Jonathan	5	2020-03-02	56		7,880	7,420
Cameco Corporation	Rights Restricted Share Units	Huntington, Jonathan	5	2020-03-03	57	11.5	7,651	-229
Cameco Corporation	Rights Restricted Share Units	Huntington, Jonathan	5	2020-03-03	58 - Expiration of rights		7,420	-231
Cameco Corporation	Common Shares	Isaac, Grant Everett	5	2020-03-02	57	11.311	108,259	21,074
Cameco Corporation	Common Shares	Isaac, Grant Everett	5	2020-03-02	59	11.311	87,185	-21,074
Cameco Corporation	Rights Performance Share Units	Isaac, Grant Everett	5	2020-03-02	56		261,861	75,750
Cameco Corporation	Rights Performance Share Units	Isaac, Grant Everett	5	2020-03-02	57	11.311	221,721	-40,140
Cameco Corporation	Rights Performance Share Units	Isaac, Grant Everett	5	2020-03-02	58 - Expiration of rights		205,761	-15,960
Cameco Corporation	Rights Restricted Share Units	Isaac, Grant Everett	5	2020-03-02	56		50,500	50,500
Cameco Corporation	Common Shares	Leach, Mark Sean	5	2020-03-03	57	11.311	14,199	3,050
Cameco Corporation	Rights Performance Share Units	Leach, Mark Sean	5	2020-03-02	56		42,493	13,270
Cameco Corporation	Rights Performance Share Units	Leach, Mark Sean	5	2020-03-03	57	11.311	36,653	-5,840
Cameco Corporation	Rights Performance Share Units	Leach, Mark Sean	5	2020-03-03	58 - Expiration of rights		34,333	-2,320

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Cameco Corporation	Rights Performance Share Units	Leach, Mark Sean	5	2020-03-03	57	11.311		3,050
Cameco Corporation	Rights Performance Share Units	Leach, Mark Sean	5	2020-03-03	57	11.311		3,050
Cameco Corporation	Rights Restricted Share Units	Leach, Mark Sean	5	2010-05-17	00			
Cameco Corporation	Rights Restricted Share Units	Leach, Mark Sean	5	2020-03-02	56		8,850	8,850
Cameco Corporation	Common Shares	McHardy, Scott	5	2020-03-02	57	11.311	19,520	3,066
Cameco Corporation	Common Shares	McHardy, Scott	5	2020-03-02	59	11.311	16,454	-3,066
Cameco Corporation	Rights Performance Share Units	McHardy, Scott	5	2020-03-02	56		42,243	13,020
Cameco Corporation	Rights Performance Share Units	McHardy, Scott	5	2020-03-02	57	11.311	36,403	-5,840
Cameco Corporation	Rights Performance Share Units	McHardy, Scott	5	2020-03-02	58 - Expiration of rights		34,083	-2,320
Cameco Corporation	Rights Restricted Share Units	McHardy, Scott	5	2014-01-01	00			
Cameco Corporation	Rights Restricted Share Units	McHardy, Scott	5	2020-03-02	56		8,680	8,680
Cameco Corporation	Common Shares	McNally-Power, Lynn Debra	5	2020-03-03	57	11.311	10,886	3,050
Cameco Corporation	Rights Performance Share Units	McNally-Power, Lynn Debra	5	2020-03-02	56		42,243	13,020
Cameco Corporation	Rights Performance Share Units	McNally-Power, Lynn Debra	5	2020-03-03	57	11.311	36,403	-5,840
Cameco Corporation	Rights Performance Share Units	McNally-Power, Lynn Debra	5	2020-03-03	58 - Expiration of rights		34,083	-2,320
Cameco Corporation	Rights Restricted Share Units	McNally-Power, Lynn Debra	5	2020-03-02	56		8,680	8,680
Cameco Corporation	Common Shares	Mooney, Ronald Liam	5	2020-03-03	57	11.311	9,295	3,317
Cameco Corporation	Rights Performance Share Units	Mooney, Ronald Liam	5	2020-03-02	56		45,689	14,080
Cameco Corporation	Rights Performance Share Units	Mooney, Ronald Liam	5	2020-03-03	57	11.311	39,370	-6,319
Cameco Corporation	Rights Performance Share Units	Mooney, Ronald Liam	5	2020-03-03	58 - Expiration of rights		36,859	-2,511
Cameco Corporation	Rights Restricted Share Units	Mooney, Ronald Liam	5	2011-09-14	00			
Cameco Corporation	Rights Restricted Share Units	Mooney, Ronald Liam	5	2020-03-02	56		9,390	9,390
Cameco Corporation	Rights Performance Share Units	Orr, Kelly Lovern	5	2020-03-02	56	11.61	40,040	15,170
Cameco Corporation	Rights Restricted Share Units	Orr, Kelly Lovern	5	2003-05-26	00			
Cameco Corporation	Rights Restricted Share Units	Orr, Kelly Lovern	5	2020-03-02	56	11.61	10,110	10,110
Cameco Corporation	Common Shares	Quinn, Sean Anthony	5	2020-03-02	57	11.311	56,235	13,185
Cameco Corporation	Common Shares	Quinn, Sean Anthony	5	2020-03-02	59	11.311	43,050	-13,185
Cameco Corporation	Rights Performance Share Units	Quinn, Sean Anthony	5	2020-03-02	56		173,722	47,400
Cameco Corporation	Rights Performance Share Units	Quinn, Sean Anthony	5	2020-03-02	57	11.311	148,607	-25,115
Cameco Corporation	Rights Performance Share Units	Quinn, Sean Anthony	5	2020-03-02	58 - Expiration of rights		138,622	-9,985
Cameco Corporation	Rights Restricted Share Units	Quinn, Sean Anthony	5	2003-03-11	00			
Cameco Corporation	Rights Restricted Share Units	Quinn, Sean Anthony	5	2020-03-02	56		31,600	31,600
Cameco Corporation	Common Shares	Reilly, Brian Arthur	5	2020-03-03	57	11.311	5,813	3,313
Cameco Corporation	Rights Performance Share Units	Reilly, Brian Arthur	5	2020-03-02	56		163,007	58,400
Cameco Corporation	Rights Performance Share Units	Reilly, Brian Arthur	5	2020-03-03	57	11.311	156,695	-6,312
Cameco Corporation	Rights Performance Share Units	Reilly, Brian Arthur	5	2020-03-03	58 - Expiration of rights		154,187	-2,508

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Cameco Corporation	Rights Restricted Share Units	Reilly, Brian Arthur	5	2017-03-13	00			
Cameco Corporation	Rights Restricted Share Units	Reilly, Brian Arthur	5	2020-03-02	56		38,950	38,950
Cameco Corporation	Common Shares	Shockey, Heidi Lynn	5	2020-03-03	57	11.311	9,272	3,066
Cameco Corporation	Rights Performance Share Units	Shockey, Heidi Lynn	5	2020-03-02	56		45,251	14,170
Cameco Corporation	Rights Performance Share Units	Shockey, Heidi Lynn	5	2020-03-03	57	11.311	39,411	-5,840
Cameco Corporation	Rights Performance Share Units	Shockey, Heidi Lynn	5	2020-03-03	58 - Expiration of rights		37,091	-2,320
Cameco Corporation	Rights Restricted Share Units	Shockey, Heidi Lynn	5	2013-03-11	00			
Cameco Corporation	Rights Restricted Share Units	Shockey, Heidi Lynn	5	2020-03-02	56		9,440	9,440
Cameco Corporation	Common Shares	Thorne, Andrew Miles	5	2020-03-03	57	11.311	18,502	3,598
Cameco Corporation	Rights Performance Share Units	Thorne, Andrew Miles	5	2020-03-02	56		50,492	15,630
Cameco Corporation	Rights Performance Share Units	Thorne, Andrew Miles	5	2020-03-03	57	11.311	43,637	-6,855
Cameco Corporation	Rights Performance Share Units	Thorne, Andrew Miles	5	2020-03-03	58 - Expiration of rights		40,912	-2,725
Cameco Corporation	Rights Restricted Share Units	Thorne, Andrew Miles	5	2009-10-20	00			
Cameco Corporation	Rights Restricted Share Units	Thorne, Andrew Miles	5	2020-03-02	56		10,420	10,420
Cameco Corporation	Common Shares	Wong, Alice Louise	5	2020-03-02	57	11.311	89,121	13,636
Cameco Corporation	Common Shares	Wong, Alice Louise	5	2020-03-02	59	11.311	75,485	-13,636
Cameco Corporation	Rights Performance Share Units	Wong, Alice Louise	5	2020-03-02	56		169,383	49,000
Cameco Corporation	Rights Performance Share Units	Wong, Alice Louise	5	2020-03-02	57		143,409	-25,974
Cameco Corporation	Rights Performance Share Units	Wong, Alice Louise	5	2020-03-02	58 - Expiration of rights		133,083	-10,326
Cameco Corporation	Rights Restricted Share Units	Wong, Alice Louise	5	2020-03-02	56		32,650	32,650
Canaccord Genuity Group Inc.	Common Shares	Canaccord Genuity Group Inc.	1	2020-02-03	38	4.9412	49,800	10,000
Canaccord Genuity Group Inc.	Common Shares	Canaccord Genuity Group Inc.	1	2020-02-03	38		39,900	-9,900
Canaccord Genuity Group Inc.	Common Shares	Canaccord Genuity Group Inc.	1	2020-02-04	38	4.9164	49,500	9,600
Canaccord Genuity Group Inc.	Common Shares	Canaccord Genuity Group Inc.	1	2020-02-04	38		39,500	-10,000
Canaccord Genuity Group Inc.	Common Shares	Canaccord Genuity Group Inc.	1	2020-02-05	38	4.9523	49,500	10,000
Canaccord Genuity Group Inc.	Common Shares	Canaccord Genuity Group Inc.	1	2020-02-05	38		39,500	-10,000
Canaccord Genuity Group Inc.	Common Shares	Canaccord Genuity Group Inc.	1	2020-02-06	38		29,900	-9,600
Canaccord Genuity Group Inc.	Common Shares	Canaccord Genuity Group Inc.	1	2020-02-07	38		20,000	-9,900
Canaccord Genuity Group Inc.	Common Shares	Canaccord Genuity Group Inc.	1	2020-02-07	38		10,000	-10,000
Canaccord Genuity Group Inc.	Common Shares	Canaccord Genuity Group Inc.	1	2020-02-11	38		0	-10,000
Canacol Energy Ltd.	Restricted Share Units	Bednar, Jason Michael	5	2020-02-28	59		101,000	-11,000
Canacol Energy Ltd.	Restricted Share Units	Cisneros Fajardo, Oswaldo Jesus	4	2020-02-28	59		28,000	-2,000
Canacol Energy Ltd.	Restricted Share Units	Diaz Salazar, Francisco	4	2020-02-28	59		28,000	-2,000
Canacol Energy Ltd.	Restricted Share Units	Elliott, Greg	4	2020-02-28	59		28,000	-2,000
Canacol Energy Ltd.	Restricted Share Units	Fernandez-Tinoco, Gonzalo	4	2020-02-28	59		29,000	-2,000
Canacol Energy Ltd.	Restricted Share Units	Gamba, Charle	4, 5	2020-02-28	59		175,000	-15,000
Canacol Energy Ltd.	Restricted Share Units	Sharma, Ravi	5	2020-02-28	59		101,000	-11,000
Canacol Energy Ltd.	Restricted Share Units	Teare, Mark Reginald	5	2020-02-28	59		101,000	-11,000

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Canacol Energy Ltd.	Restricted Share Units	Whitmore, Tracy Lynn	5	2020-02-28	59		87,166	-11,000
Canacol Energy Ltd.	Restricted Share Units	Winter, David A	4	2020-02-28	59		30,000	-2,000
Canacol Energy Ltd.	Restricted Share Units	Zaidi, Anthony Amar	5	2020-02-28	59		101,000	-11,000
Canada Cobalt Works Inc.	Common Shares	Setter, Robert	4	2020-03-02	10	50000	75,106	50,000
Canada Cobalt Works Inc.	Common Shares	Setter, Robert	4	2020-03-02	10	0.535	25,106	-50,000
Canada Cobalt Works Inc.	Options	Setter, Robert	4	2020-03-02	51	0.05	400,000	-50,000
Canada Nickel Company Inc.	Common Shares	BALCH, STEPHEN JAMES	5	2020-02-25	00		140,000	
Canada Nickel Company Inc.	Options	BALCH, STEPHEN JAMES	5	2020-02-25	00		412,500	
Canada Nickel Company Inc.	Restricted Share Units	BALCH, STEPHEN JAMES	5	2020-02-25	00			
Canada Nickel Company Inc.	Restricted Share Units	BALCH, STEPHEN JAMES	5	2020-02-28	56		281,500	281,500
Canada Nickel Company Inc.	Common Shares	Liu-Ernsting, Jessie	5	2020-02-27	00		60,000	
Canada Nickel Company Inc.	Options	Liu-Ernsting, Jessie	5	2020-02-27	00			
Canada Nickel Company Inc.	Options	Liu-Ernsting, Jessie	5	2020-02-27	00		275,000	
Canada Nickel Company Inc.	Restricted Share Units	Liu-Ernsting, Jessie	5	2020-02-27	00			
Canada Nickel Company Inc.	Restricted Share Units	Liu-Ernsting, Jessie	5	2020-02-28	56		192,000	192,000
Canadian Apartment Properties Real Estate Investment Trust	Rights Restricted Unit Rights	Cryer, Scott	5	2020-02-26	56		78,177	5,960
Canadian Apartment Properties Real Estate Investment Trust	Rights Restricted Unit Rights	Cryer, Scott	5	2020-02-26	56		79,336	1,159
Canadian Apartment Properties Real Estate Investment Trust	Rights Restricted Unit Rights	Fleischer, Jonathan	5	2020-02-26	56		19,147	2,881
Canadian Apartment Properties Real Estate Investment Trust	Rights Restricted Unit Rights	Fleischer, Jonathan	5	2020-02-26	56		19,740	593
Canadian Apartment Properties Real Estate Investment Trust	Rights Restricted Unit Rights	Kenney, Mark	5	2020-02-26	56		179,119	23,728
Canadian Apartment Properties Real Estate Investment Trust	Rights Restricted Unit Rights	Kenney, Mark	5	2020-02-26	56		180,718	1,599
Canadian Apartment Properties Real Estate Investment Trust	Rights Restricted Unit Rights	Lieberman-zbar, Jodi	5	2020-02-26	56		55,481	6,715
Canadian Apartment Properties Real Estate Investment Trust	Rights Restricted Unit Rights	Lieberman-zbar, Jodi	5	2020-02-26	56		56,245	764
Canadian Apartment Properties Real Estate Investment Trust	Rights Restricted Unit Rights	Pruzanski, Corinne	5	2020-02-26	56		50,914	5,656
Canadian Apartment Properties Real Estate Investment Trust	Rights Restricted Unit Rights	Pruzanski, Corinne	5	2020-02-26	56		51,576	662
Canadian Imperial Bank of Commerce	Rights PSU (cash settled)	Capatides, Michael	7, 5	2019-12-31	30	111.01	76,569	2,889
Canadian Imperial Bank of Commerce	Rights RSA (cash settled)	Capatides, Michael	7, 5	2019-12-31	30	105.15	4,246	221
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-03	38	108.25	23,300	23,300
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-03	38	108.25	0	-23,300
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-04	38	108.78	23,300	23,300
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-04	38	108.78	0	-23,300
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-05	38	109.21	23,300	23,300

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-05	38	109.21	0	-23,300
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-06	38	109.88	22,800	22,800
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-06	38	109.88	0	-22,800
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-07	38	110.03	23,300	23,300
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-07	38	110.03	0	-23,300
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-10	38	110.19	20,500	20,500
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-10	38	110.19	0	-20,500
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-11	38	110.62	20,000	20,000
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-11	38	110.62	0	-20,000
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-12	38	110.6	20,000	20,000
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-12	38	110.6	0	-20,000
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-13	38	110.44	20,000	20,000
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-13	38	110.44	0	-20,000
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-14	38	110.3	21,200	21,200
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-14	38	110.3	0	-21,200
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-18	38	109.01	23,300	23,300
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-18	38	109.01	0	-23,300
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-19	38	109.3	23,300	23,300
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-19	38	109.3	0	-23,300
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-20	38	109.29	23,300	23,300
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-20	38	109.29	0	-23,300
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-21	38	109.02	23,300	23,300
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-21	38	109.02	0	-23,300
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-24	38	107.74	23,300	23,300
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-24	38	107.74	0	-23,300
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-25	38	106.21	30,000	30,000
Canadian Imperial Bank of Commerce	Common Shares	CIBC	1	2020-02-25	38	106.21	0	-30,000
Canadian Imperial Bank of Commerce	Common Shares	Stevenson, Katharine Berghuis	4	2011-01-01	00			
Canadian Imperial Bank of Commerce	Common Shares	Stevenson, Katharine Berghuis	4	2020-03-02	10	102.13	4,500	4,500
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-03	38	93.57	173,957	47,344
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-04	38	94.401	164,284	46,927
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-05	38		116,940	-47,344
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-05	38	95.227	163,460	46,520

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-06	38		116,533	-46,927
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-06	38	95.846	162,753	46,220
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-07	38		116,233	-46,520
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-07	38	94.329	166,233	50,000
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-10	38		120,013	-46,220
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-10	38	94.181	167,156	47,143
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-11	38		117,156	-50,000
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-11	38	94.731	164,025	46,869
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-12	38		116,882	-47,143
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-12	38	94.562	163,835	46,953
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-13	38		116,966	-46,869
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-13	38	93.909	164,245	47,279
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-14	38		117,292	-46,953
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-14	38	93.666	164,694	47,402
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-18	38		117,415	-47,279
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-18	38	92.977	165,383	47,968
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-19	38		117,981	-47,402
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-19	38	92.941	165,968	47,987
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-20	38		118,000	-47,968
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-20	38	93.143	165,883	47,883
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-21	38		117,896	-47,987
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-21	38	93.627	165,531	47,635
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-24	38		117,648	-47,883
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-24	38	91.808	166,227	48,579
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-25	38		118,592	-47,635
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-25	38	90.471	167,889	49,297
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-26	38		119,310	-48,579
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-26	38	89.396	169,200	49,890
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-27	38		119,903	-49,297
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-27	38	86.691	171,350	51,447
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-28	38		121,460	-49,890
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-02-28	38	83.866	174,639	53,179
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-03-02	38		123,192	-51,447

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	2020-03-03	38		70,013	-53,179
Canadian National Railway Company	Common Shares	Finn, Sean	5	2020-02-27	10	115.7	18,181	-8,200
Canadian National Railway Company	Common Shares	Finn, Sean	5	2020-03-03	10	115.04	18,197	16
Canadian National Railway Company	Common Shares	Finn, Sean	5	2020-03-04	10	115.95	16,697	-1,500
Canadian National Railway Company	Common Shares	Finn, Sean	5	2020-03-05	10	116.05	16,842	145
Canadian National Railway Company	Common Shares	Finn, Sean	5	2020-03-05	10	115.85	16,894	52
Canadian Natural Resources Limited	Common Shares	Canadian Natural Resources Limited	1	2020-02-29	38	38.231	1,487,500	1,187,500
Canadian Natural Resources Limited	Common Shares	Canadian Natural Resources Limited	1	2020-02-29	38	28.781		1,187,500
Canadian Natural Resources Limited	Common Shares	Canadian Natural Resources Limited	1	2020-02-29	38	28.781	2,675,000	1,187,500
Canadian Natural Resources Limited	Common Shares	Canadian Natural Resources Limited	1	2020-02-29	38		250,000	-2,425,000
Canadian Orebodies Inc.	Common Shares	Cudney, Robert Douglas	3	2020-03-03	11	0.1	15,746,750	5,000,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	2020-02-03	38	354.28	26,100	20,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	2020-02-04	38	358.05	46,100	20,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	2020-02-05	38	361.69	66,100	20,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	2020-02-06	38	364.1	86,100	20,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	2020-02-07	38	355.66	126,100	40,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	2020-02-10	38	355.16	151,100	25,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	2020-02-11	38	357.71	176,100	25,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	2020-02-12	38	359.5	182,200	6,100
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	2020-02-14	38	359.32	197,200	15,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	2020-02-18	38	356.28	212,200	15,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	2020-02-19	38	358.37	227,200	15,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	2020-02-20	38	355.95	248,600	21,400
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	2020-02-21	38	352.89	273,600	25,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	2020-02-24	38	343.81	310,800	37,200
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	2020-02-25	38	347.53	350,800	40,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	2020-02-26	38	344.87	390,800	40,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	2020-02-27	38	337.79	433,900	43,100
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	2020-02-28	38	327.5	478,900	45,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	2020-02-28	38		88,100	-390,800
Canadian Premium Sand Inc.	Options	Jackson, Lowell Edward	4	2020-03-02	50	0.35	140,000	20,000
Canadian Premium Sand Inc.	Convertible Debentures	Paramount Resources Ltd.	3	2018-08-02	00			
Canadian Premium Sand Inc.	Convertible Debentures	Paramount Resources Ltd.	3	2020-02-26	11	0.75	\$900,000	\$900,000
Canadian Premium Sand Inc.	Options	Sousa, Rodrigo	4	2020-03-02	50	0.35	120,000	20,000

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Canadian Premium Sand Inc.	Common Shares	Vishal, Anshul	5	2020-03-03	10	0.4	19,500	4,500
Canadian Premium Sand Inc.	Common Shares	Vishal, Anshul	5	2020-03-04	10	0.4	19,972	472
Canadian Premium Sand Inc.	Options	Vishal, Anshul	5	2020-03-02	50	0.35	150,000	50,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	2020-03-02	38	132.12	25,000	25,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	2020-03-02	38		0	-25,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	2020-03-03	38	134.43	25,000	25,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	2020-03-03	38		0	-25,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	2020-03-04	38	132.28	25,000	25,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	2020-03-04	38		0	-25,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	2020-03-05	38	130.09	25,000	25,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	2020-03-05	38		0	-25,000
Canadian Western Bank	Common Shares	Canadian Western Bank	1	2020-02-28	38	29.266	5,644	5,644
Canadian Western Bank	Common Shares	Canadian Western Bank	1	2020-02-28	38	29.266	0	-5,644
Canadian Western Bank	Rights Performance Share Units	MacLellan, Joseph Daryl	5	2020-02-10	00			
Canadian Western Bank	Rights Performance Share Units	MacLellan, Joseph Daryl	5	2020-02-10	00		2,156	
Canadian Western Bank	Rights Restricted Share Units	MacLellan, Joseph Daryl	5	2020-02-10	00			
Canadian Western Bank	Rights Restricted Share Units	MacLellan, Joseph Daryl	5	2020-02-10	00		12,550	
Canfor Corporation	Common Shares	Baird, John Russell	4	2020-02-27	10	11.57	17,685	6,700
Cannabis One Holdings Inc. (formerly, Metropolitan Energy Corp.)	Subordinate Voting Shares Class "A" subordinate voting shares	Harris, Bradley	4	2020-02-20	10	0.1401	531,779	-76,000
Cannabis One Holdings Inc. (formerly, Metropolitan Energy Corp.)	Subordinate Voting Shares Class "A" subordinate voting shares	Harris, Bradley	4	2020-02-21	10	0.1399	517,369	-14,410
Canntab Therapeutics Limited	Common Shares	Fonseca, Vitor M	4	2018-04-11	00			
Canntab Therapeutics Limited	Common Shares	Fonseca, Vitor M	4	2020-02-27	11	0.5	20,000	20,000
Canntab Therapeutics Limited	Warrants	Fonseca, Vitor M	4	2018-04-11	00			
Canntab Therapeutics Limited	Warrants	Fonseca, Vitor M	4	2020-02-27	11	0.75	20,000	20,000
Canntab Therapeutics Limited	Common Shares	Goldstein, Richard	3, 4, 5	2020-02-27	11	0.5	820,000	20,000
Canntab Therapeutics Limited	Warrants	Goldstein, Richard	3, 4, 5	2020-02-27	11	0.75	420,000	20,000
Canntab Therapeutics Limited	Common Shares	Polisuk, Barry	4, 5	2020-02-28	11	0.5		20,000
Canntab Therapeutics Limited	Common Shares	Polisuk, Barry	4, 5	2020-02-28	11	0.5		20,000
Canntab Therapeutics Limited	Common Shares	Polisuk, Barry	4, 5	2018-04-11	00			
Canntab Therapeutics Limited	Common Shares	Polisuk, Barry	4, 5	2020-02-28	11	0.5	20,000	20,000
Canntab Therapeutics Limited	Warrants	Polisuk, Barry	4, 5	2018-04-11	00			
Canntab Therapeutics Limited	Warrants	Polisuk, Barry	4, 5	2020-02-28	11	0.75	20,000	20,000
Canoe EIT Income Fund	Trust Units	Chernoff, M. Bruce	3, 4	2020-02-28	10	9.7781	3,646,142	40,000
Canoe EIT Income Fund	Trust Units	Chernoff, M. Bruce	3, 4	2020-03-02	10	10.069	3,683,242	37,100
Canoe EIT Income Fund	Trust Units	Chernoff, M. Bruce	3, 4	2020-02-28	10	9.8661	560,018	30,000

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Canoe Mining Ventures Corp.	Common Shares	Parnham, Duane	4, 5	2020-03-05	10	0.12	1,830,500	500
Canuc Resources Corporation	Common Shares	Berlet, Christopher James	4	2020-03-02	10	0.065	6,231,000	14,000
Canuc Resources Corporation	Common Shares	Berlet, Christopher James	4	2020-03-02	16	0.1	6,551,000	320,000
Canuc Resources Corporation	Common Shares	Berlet, Christopher James	4	2020-03-05	10	0.06	6,574,000	23,000
Canuc Resources Corporation	Common Shares	Berlet, Christopher James	4	2020-03-05	10	0.07	6,579,000	5,000
Canuc Resources Corporation	Common Shares	Berlet, Christopher James	4	2020-03-06	10	0.065	6,581,000	2,000
Canuc Resources Corporation	Common Shares	Berlet, Christopher James	4	2020-03-06	10	0.07	6,584,000	3,000
Canuc Resources Corporation	Common Shares	Li, Hao	5	2018-05-07	00		120,500	
Canuc Resources Corporation	Common Shares	Li, Hao	5	2020-03-02	16	0.1	227,500	105,000
Canuc Resources Corporation	Warrants	Li, Hao	5	2020-03-02	16	0.15	112,500	52,500
Canuc Resources Corporation	Common Shares	Samnani, Khadijah	5	2018-04-01	00			
Canuc Resources Corporation	Common Shares	Samnani, Khadijah	5	2020-03-02	16	0.1	55,000	55,000
Canuc Resources Corporation	Warrants	Samnani, Khadijah	5	2018-04-01	00			
Canuc Resources Corporation	Warrants	Samnani, Khadijah	5	2020-03-02	16	0.15	27,500	27,500
Capstone Mining Corp.	Common Shares	Grm Investments Ltd.	3	2020-03-02	10	0.6253	81,708,661	80,000
Capstone Mining Corp.	Common Shares	Grm Investments Ltd.	3	2020-03-04	10	0.64	81,798,661	90,000
Capstone Mining Corp.	Common Shares	Grm Investments Ltd.	3	2020-03-06	10	0.6398	83,199,161	1,400,500
Cardiol Therapeutics Inc.	Options	Moffatt, John Thomas	5	2020-02-24	50	3.54	80,000	20,000
Carube Copper Corp. (formerly Miocene Resources Limited)	Common Shares	Arntson, Kimberly Ann	4	2020-02-28	00		2,516,431	
Carube Copper Corp. (formerly Miocene Resources Limited)	Common Shares	Arntson, Kimberly Ann	4	2020-02-28	50	0.05	6,716,431	4,200,000
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	51	27.478	11,866	6,250
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	44.95	11,766	-100
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	44.99	11,666	-100
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45	11,566	-100
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45.01	11,366	-200
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45.05	11,166	-200
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45.06	11,066	-100
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45.07	10,866	-200
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45.08	10,766	-100
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45.09	10,666	-100
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45.11	10,266	-400
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45.13	10,166	-100
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45.15	10,066	-100
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45.2	9,966	-100

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CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45.21	9,866	-100
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45.22	9,766	-100
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45.23	9,516	-250
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45.25	9,216	-300
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45.26	8,716	-500
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45.27	8,616	-100
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45.28	8,216	-400
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45.29	8,116	-100
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45.3	7,516	-600
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45.31	7,016	-500
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45.32	6,816	-200
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45.33	6,616	-200
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45.34	6,416	-200
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45.35	6,316	-100
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45.37	6,116	-200
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45.38	6,016	-100
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45.4	5,916	-100
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45.41	5,716	-200
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	2020-02-24	10	45.42	5,616	-100
CCL Industries Inc.	Options	McClendon, Mark	5	2020-02-24	51	27.478	58,500	-6,250
Cenovus Energy Inc.	Options	Chhina, Harbir Singh	5	2020-02-20	52		1,565,893	-210,358
Cenovus Energy Inc.	Performance Share Units	Chhina, Harbir Singh	5	2019-12-31	30	12.277		3,334
Cenovus Energy Inc.	Performance Share Units	Chhina, Harbir Singh	5	2019-12-31	30	12.277	198,544	3,386
Cenovus Energy Inc.	Performance Share Units	Chhina, Harbir Singh	5	2020-02-12	38		185,813	-12,731
Cenovus Energy Inc.	Performance Share Units	Chiasson, Keith	5	2019-12-31	30	12.319		1,625
Cenovus Energy Inc.	Performance Share Units	Chiasson, Keith	5	2019-12-31	30	12.319	96,706	1,649
Cenovus Energy Inc.	Performance Share Units	Chiasson, Keith	5	2020-02-12	38		93,723	-2,983
Cenovus Energy Inc.	Performance Share Units	McKenzie, Jonathan Michael	5	2019-12-31	30	12.197		3,798
Cenovus Energy Inc.	Performance Share Units	McKenzie, Jonathan Michael	5	2019-12-31	30	12.197	227,332	3,873
Cenovus Energy Inc.	Common Shares	Pourbaix, Alex	4, 5	2020-03-05	10	9.04	693,581	55,500
Cenovus Energy Inc.	Performance Share Units	Pourbaix, Alex	4, 5	2019-12-31	30	12.277		11,161
Cenovus Energy Inc.	Performance Share Units	Pourbaix, Alex	4, 5	2019-12-31	30	12.277	663,465	11,320
Cenovus Energy Inc.	Performance Share Units	Pourbaix, Alex	4, 5	2020-02-12	38		622,472	-40,993
Cenovus Energy Inc.	Options	Reid, Alan Craig	5	2020-02-20	52		929,660	-56,638
Cenovus Energy Inc.	Performance Share Units	Reid, Alan Craig	5	2019-12-31	30	12.319		2,858
Cenovus Energy Inc.	Performance Share Units	Reid, Alan Craig	5	2019-12-31	30	12.319	170,351	2,904
Cenovus Energy Inc.	Performance Share Units	Reid, Alan Craig	5	2020-02-12	38		162,502	-7,849
Cenovus Energy Inc.	Common Shares	Sandhar, Karamjit Singh	5	2020-03-02	30	12.213	15,362	1,578
Cenovus Energy Inc.	Common Shares	Sandhar, Karamjit Singh	5	2020-03-02	90	9.95	13,352	-2,010
Cenovus Energy Inc.	Common Shares	Sandhar, Karamjit Singh	5	2020-03-02	90	9.95	2,010	2,010
Cenovus Energy Inc.	Performance Share Units	Sandhar, Karamjit Singh	5	2019-12-31	30	12.319		1,185
Cenovus Energy Inc.	Performance Share Units	Sandhar, Karamjit Singh	5	2019-12-31	30	12.319	70,466	1,201
Cenovus Energy Inc.	Performance Share Units	Sandhar, Karamjit Singh	5	2020-02-12	38		67,722	-2,744

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Cenovus Energy Inc.	Performance Share Units	Walters, Sarah Jane	5	2019-12-31	30	12.319		1,549
Cenovus Energy Inc.	Performance Share Units	Walters, Sarah Jane	5	2019-12-31	30	12.319	92,111	1,570
Cenovus Energy Inc.	Performance Share Units	Walters, Sarah Jane	5	2020-02-12	38		89,040	-3,071
Cenovus Energy Inc.	Common Shares	Zieglgansberger, Joseph Drew	5	2020-03-06	10	8.4	93,349	50,000
Cenovus Energy Inc.	Options	Zieglgansberger, Joseph Drew	5	2020-02-20	52		1,003,495	-72,816
Cenovus Energy Inc.	Performance Share Units	Zieglgansberger, Joseph Drew	5	2019-12-31	30	12.312		2,815
Cenovus Energy Inc.	Performance Share Units	Zieglgansberger, Joseph Drew	5	2019-12-31	30	12.312	167,536	2,856
Cenovus Energy Inc.	Performance Share Units	Zieglgansberger, Joseph Drew	5	2020-02-12	38		159,687	-7,849
Centerra Gold Inc.	Performance Share Units	D'Orazio, Claudia	5	2020-02-10	00			
Centerra Gold Inc.	Performance Share Units	D'Orazio, Claudia	5	2020-03-02	56	10.19	22,727	22,727
Centerra Gold Inc.	Performance Share Units	Desjardins, Daniel Richard	5	2020-03-02	56	10.19	85,050	42,395
Centerra Gold Inc.	Performance Share Units	Kwong, Dennis	5	2020-03-02	56	10.19	118,236	35,721
Centerra Gold Inc.	Performance Share Units	Millman, Darren	5	2020-03-02	56	10.19	148,235	44,360
Centerra Gold Inc.	Performance Share Units	Pearson, John William	5	2020-03-02	56	10.19	35,978	13,458
Centerra Gold Inc.	Performance Share Units	Perry, Scott Graeme	5	2020-03-02	56	10.19	332,361	99,975
Centerra Gold Inc.	Performance Share Units	Rehman, Yousef	5	2020-03-02	56	10.19	108,228	33,759
Ceres Acquisition Corp.	Units Class A Restricted Voting Units	Braun, Ervin	4	2020-02-25	00			
Ceres Acquisition Corp.	Warrants	Braun, Ervin	4	2020-02-25	00			
Ceres Acquisition Corp.	Class B Shares	Ceres Group Acquisition Sponsor, LLC	3	2020-02-25	00		1	
Ceres Acquisition Corp.	Class B Shares	Ceres Group Acquisition Sponsor, LLC	3	2020-03-03	47		0	-1
Ceres Acquisition Corp.	Class B Shares	Ceres Group Acquisition Sponsor, LLC	3	2020-03-03	15	0.007	3,405,000	3,405,000
Ceres Acquisition Corp.	Units Class A Restricted Voting Units	Ceres Group Acquisition Sponsor, LLC	3	2020-02-25	00			
Ceres Acquisition Corp.	Warrants	Ceres Group Acquisition Sponsor, LLC	3	2020-02-25	00			
Ceres Acquisition Corp.	Warrants	Ceres Group Acquisition Sponsor, LLC	3	2020-03-03	15		4,100,000	4,100,000
Ceres Acquisition Corp.	Class B Shares	Cohen, Jordan Matthew	8, 4, 5	2020-02-25	00			
Ceres Acquisition Corp.	Class B Shares	Cohen, Jordan Matthew	8, 4, 5	2020-03-03	15	0.007	3,405,000	3,405,000
Ceres Acquisition Corp.	Units Class A Restricted Voting Units	Cohen, Jordan Matthew	8, 4, 5	2020-02-25	00			
Ceres Acquisition Corp.	Units Class A Restricted Voting Units	Cohen, Jordan Matthew	8, 4, 5	2020-02-25	00			
Ceres Acquisition Corp.	Warrants	Cohen, Jordan Matthew	8, 4, 5	2020-02-25	00			
Ceres Acquisition Corp.	Warrants	Cohen, Jordan Matthew	8, 4, 5	2020-03-03	15		4,100,000	4,100,000
Ceres Acquisition Corp.	Warrants	Cohen, Jordan Matthew	8, 4, 5	2020-02-25	00			
Ceres Acquisition Corp.	Class B Shares	Crouthers, Jeremy Joseph (Joe)	8, 4, 5	2020-02-25	00			
Ceres Acquisition Corp.	Class B Shares	Crouthers, Jeremy Joseph (Joe)	8, 4, 5	2020-03-03	15	0.007	3,405,000	3,405,000
Ceres Acquisition Corp.	Units Class A Restricted Voting Units	Crouthers, Jeremy Joseph (Joe)	8, 4, 5	2020-02-25	00			
Ceres Acquisition Corp.	Units Class A Restricted Voting Units	Crouthers, Jeremy Joseph (Joe)	8, 4, 5	2020-02-25	00			
Ceres Acquisition Corp.	Warrants	Crouthers, Jeremy Joseph (Joe)	8, 4, 5	2020-02-25	00			
Ceres Acquisition Corp.	Warrants	Crouthers, Jeremy Joseph (Joe)	8, 4, 5	2020-03-03	15		4,100,000	4,100,000
Ceres Acquisition Corp.	Warrants	Crouthers, Jeremy Joseph (Joe)	8, 4, 5	2020-02-25	00			
Ceres Acquisition Corp.	Class B Shares	Goldberg, Brian Seth	4	2020-02-25	00			
Ceres Acquisition Corp.	Class B Shares	Goldberg, Brian Seth	4	2020-03-03	15	0.007	15,000	15,000
Ceres Acquisition Corp.	Units Class A Restricted Voting Units	Goldberg, Brian Seth	4	2020-02-25	00			
Ceres Acquisition Corp.	Warrants	Goldberg, Brian Seth	4	2020-02-25	00			

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Ceres Acquisition Corp.	Class B Shares	Rehmatullah, Tahira	4	2020-02-25	00			
Ceres Acquisition Corp.	Class B Shares	Rehmatullah, Tahira	4	2020-03-03	15	0.007	15,000	15,000
Ceres Acquisition Corp.	Units Class A Restricted Voting Units	Rehmatullah, Tahira	4	2020-02-25	00			
Ceres Acquisition Corp.	Warrants	Rehmatullah, Tahira	4	2020-02-25	00			
Ceres Acquisition Corp.	Class B Shares	Toplitzky, Jordan	4	2020-02-25	00			
Ceres Acquisition Corp.	Class B Shares	Toplitzky, Jordan	4	2020-03-03	15	0.007	15,000	15,000
Ceres Acquisition Corp.	Units Class A Restricted Voting Units	Toplitzky, Jordan	4	2020-02-25	00			
Ceres Acquisition Corp.	Warrants	Toplitzky, Jordan	4	2020-02-25	00			
Ceres Acquisition Corp.	Class B Shares	Vukmanovich, Michael Richard	8, 5	2020-02-25	00			
Ceres Acquisition Corp.	Class B Shares	Vukmanovich, Michael Richard	8, 5	2020-03-03	15	0.007	3,405,000	3,405,000
Ceres Acquisition Corp.	Units Class A Restricted Voting Units	Vukmanovich, Michael Richard	8, 5	2020-02-25	00			
Ceres Acquisition Corp.	Units Class A Restricted Voting Units	Vukmanovich, Michael Richard	8, 5	2020-02-25	00			
Ceres Acquisition Corp.	Warrants	Vukmanovich, Michael Richard	8, 5	2020-02-25	00			
Ceres Acquisition Corp.	Warrants	Vukmanovich, Michael Richard	8, 5	2020-03-03	15		4,100,000	4,100,000
Ceres Acquisition Corp.	Warrants	Vukmanovich, Michael Richard	8, 5	2020-02-25	00			
CES Energy Solutions Corp.	Common Shares	CES Energy Solutions Corp.	1	2020-02-03	38	1.9524		30,000
CES Energy Solutions Corp.	Common Shares	CES Energy Solutions Corp.	1	2020-02-03	38	1.9524		30,000
CES Energy Solutions Corp.	Common Shares	CES Energy Solutions Corp.	1	2020-02-03	38	1.9524	30,000	30,000
CES Energy Solutions Corp.	Common Shares	CES Energy Solutions Corp.	1	2020-02-04	38	1.9686		30,000
CES Energy Solutions Corp.	Common Shares	CES Energy Solutions Corp.	1	2020-02-04	38	1.9686		30,000
CES Energy Solutions Corp.	Common Shares	CES Energy Solutions Corp.	1	2020-02-04	38	1.9686	60,000	30,000
CES Energy Solutions Corp.	Common Shares	CES Energy Solutions Corp.	1	2020-02-05	38	2.067		30,000
CES Energy Solutions Corp.	Common Shares	CES Energy Solutions Corp.	1	2020-02-05	38	2.067		30,000
CES Energy Solutions Corp.	Common Shares	CES Energy Solutions Corp.	1	2020-02-05	38	2.067	90,000	30,000
CES Energy Solutions Corp.	Common Shares	CES Energy Solutions Corp.	1	2020-02-06	38	2.0325		30,000
CES Energy Solutions Corp.	Common Shares	CES Energy Solutions Corp.	1	2020-02-06	38	2.0325		30,000
CES Energy Solutions Corp.	Common Shares	CES Energy Solutions Corp.	1	2020-02-06	38	2.0325	120,000	30,000
CES Energy Solutions Corp.	Common Shares	CES Energy Solutions Corp.	1	2020-02-07	38	1.9652	150,000	30,000
CES Energy Solutions Corp.	Common Shares	CES Energy Solutions Corp.	1	2020-02-10	38	1.9469	180,000	30,000
CES Energy Solutions Corp.	Common Shares	CES Energy Solutions Corp.	1	2020-02-11	38	1.959	210,000	30,000
CES Energy Solutions Corp.	Common Shares	CES Energy Solutions Corp.	1	2020-02-12	38	2.1077	240,000	30,000
CES Energy Solutions Corp.	Common Shares	CES Energy Solutions Corp.	1	2020-02-13	38	1.9852	270,000	30,000
CES Energy Solutions Corp.	Common Shares	CES Energy Solutions Corp.	1	2020-02-14	38	1.9522	300,000	30,000
CES Energy Solutions Corp.	Common Shares	CES Energy Solutions Corp.	1	2020-02-18	38	1.9379	330,000	30,000
CES Energy Solutions Corp.	Common Shares	CES Energy Solutions Corp.	1	2020-02-19	38	1.9725	345,000	15,000
CES Energy Solutions Corp.	Common Shares	CES Energy Solutions Corp.	1	2020-02-20	38	1.9944	360,000	15,000

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CES Energy Solutions Corp.	Common Shares	CES Energy Solutions Corp.	1	2020-02-21	38	1.9645	375,000	15,000
CES Energy Solutions Corp.	Common Shares	CES Energy Solutions Corp.	1	2020-02-24	38	1.9431	390,700	15,700
CES Energy Solutions Corp.	Common Shares	CES Energy Solutions Corp.	1	2020-02-25	38	1.9271	392,777	2,077
CES Energy Solutions Corp.	Common Shares	CES Energy Solutions Corp.	1	2020-02-28	38	1.978	0	-392,777
CGI Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	2020-02-19	38	101.68	211,206	118,653
CGI Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	2020-02-20	38	99.858	383,459	172,253
CGI Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	2020-02-20	38			-92,553
CGI Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	2020-02-20	38		290,906	-92,553
CGI Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	2020-02-21	38	99.805	540,906	250,000
CGI Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	2020-02-21	38		422,253	-118,653
CGI Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	2020-02-24	38	98.585	672,253	250,000
CGI Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	2020-02-24	38		500,000	-172,253
CGI Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	2020-02-25	38	97.109	840,000	340,000
CGI Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	2020-02-25	38		590,000	-250,000
CGI Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	2020-02-26	38	97.066	940,000	350,000
CGI Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	2020-02-26	38		690,000	-250,000
CGI Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	2020-02-27	38	95.387	923,800	233,800
CGI Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	2020-02-27	38		583,800	-340,000
CGI Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	2020-02-28	38	92.923	833,800	250,000
CGI Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	2020-02-28	38		483,800	-350,000
Champignon Brands Inc.	Options	Brohman, Stephen	5	2019-08-19	00			
Champignon Brands Inc.	Options	Brohman, Stephen	5	2020-03-02	50		300,000	300,000
Champignon Brands Inc.	Options	Fish, Matthew	4	2020-02-06	00			
Champignon Brands Inc.	Options	Fish, Matthew	4	2020-03-03	50		100,000	100,000
Champignon Brands Inc.	Options	Habuda, Jerry	4	2020-03-02	50	0.22	100,000	100,000
Champignon Brands Inc.	Options	Perino, Joseph	4	2020-03-02	50	0.22	100,000	100,000
CHAR Technologies Ltd.	Common Shares	Beutel, Eric Marshall	4, 5	2020-03-05	10	0.095	113,500	6,000
Chartwell Retirement Residences	Rights Restricted Trust Units	Binions, W. Brent	4, 5	2020-03-05	59	13.428	158,557	-62,808
Chartwell Retirement Residences	Rights Restricted Trust Units	Boulakia, Jonathan	5	2020-03-05	59	13.428	36,494	-15,702
Chartwell Retirement Residences	Rights Restricted Trust Units	Harris, Sheri Lynn	5	2020-03-05	59	13.428	36,494	-15,702
Chartwell Retirement Residences	Rights Restricted Trust Units	Sullivan, Karen Leslie	5	2020-03-05	59	13.428	44,399	-17,048
Chartwell Retirement Residences	Rights Restricted Trust Units	Volodarski, Vlad	5	2020-03-05	59	13.428	44,399	-17,048
Chemtrade Logistics Income Fund	Rights RSU	Aarts, Leon	5	2019-12-31	30	10.1	126,017	14,171
Chemtrade Logistics Income Fund	Rights RSU	Aarts, Leon	5	2020-03-06	59	10.63	111,783	-14,234
Chemtrade Logistics Income Fund	Rights RSU	Bhardwaj, Rohit	5	2019-12-31	30	10.1	52,176	5,867
Chemtrade Logistics Income Fund	Rights RSU	Bhardwaj, Rohit	5	2020-03-06	59	10.63	37,942	-14,234

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Chemtrade Logistics Income Fund	Rights RSU	Davis, Mark	5	2019-12-31	30	10.1	686,807	77,233
Chemtrade Logistics Income Fund	Rights RSU	Davis, Mark	5	2020-03-06	59	10.63	600,099	-86,708
Chemtrade Logistics Income Fund	Rights Deferred Trust Units	Di Clemente, Lucio	4	2019-12-31	30	10.01	44,396	4,199
Chemtrade Logistics Income Fund	Rights RSU	Dietz, Daniel	5	2019-12-31	30	10.1	44,896	5,049
Chemtrade Logistics Income Fund	Rights RSU	Dietz, Daniel	5	2020-03-06	59	10.63	36,277	-8,619
Chemtrade Logistics Income Fund	Rights Deferred Trust Units	Gee, David	4	2019-12-31	30	10	83,950	8,311
Chemtrade Logistics Income Fund	Rights Deferred Trust Units	Moore, Emily Louise	4	2019-12-31	30	10.7	3,646	67
Chemtrade Logistics Income Fund	Rights Deferred Trust Units	Muzyka, Douglas	7	2019-12-31	30	10.1	25,361	1,974
Chemtrade Logistics Income Fund	Rights RSU	Pare, Susan	5	2019-12-31	30	10.1	26,154	2,941
Chemtrade Logistics Income Fund	Rights RSU	Pare, Susan	5	2020-03-06	59	10.63	18,972	-7,182
Chemtrade Logistics Income Fund	Rights RSU	Powers, Emily Louise	5	2019-12-31	30	10.1	23,150	2,603
Chemtrade Logistics Income Fund	Rights RSU	Powers, Emily Louise	5	2020-03-06	59	10.63	18,971	-4,179
Chemtrade Logistics Income Fund	Rights Deferred Trust Units	Rethy, Katherine Anne	4	2019-12-31	30	10.05	39,478	3,441
Chemtrade Logistics Income Fund	Rights RSU	Rook, Scott William	5	2019-12-31	30	10.1	139,936	15,736
Chemtrade Logistics Income Fund	Rights RSU	St. Pierre, Michael John	5	2019-12-31	30	10.1	49,467	5,563
Chemtrade Logistics Income Fund	Rights RSU	St. Pierre, Michael John	5	2020-03-06	59	10.63	36,278	-13,189
Chemtrade Logistics Income Fund	Rights Deferred Trust Units	Waisberg, Lorie	4	2019-12-31	30	10.01	85,371	8,322
Choice Properties Real Estate Investment Trust	Trust Units	Barrafato, Mario	5	2020-02-28	10	14.72	230,548	23,027
Choice Properties Real Estate Investment Trust	Trust Units	Diamond, Rael Lee	5	2020-02-28	10	14.72	205,203	75,251
Choom Holdings Inc.	Common Shares	Chris, Bogart	4	2020-02-28	10	0.11	4,206,750	30,000
CI Financial Corp.	Restricted Share Unit	Blair, Lorraine P.	7, 5	2020-02-28	56		10,145	5,711
CI Financial Corp.	Restricted Share Unit	Jamieson, Douglas J.R.	5	2020-02-28	56		20,607	9,137
CI Financial Corp.	Restricted Share Unit	Kelterborn, Edward Douglas	5	2020-02-28	56		11,089	6,645
CI Financial Corp.	Restricted Share Unit	MacAlpine, Kurt	4, 5	2020-02-28	56		236,359	33,223
CI Financial Corp.	Restricted Share Unit	POSTER, DAVID	7	2020-02-28	56		6,726	3,090
CI Financial Corp.	Restricted Share Unit	Ratnavel, Roy	7	2020-02-28	56		17,310	9,137
CI Financial Corp.	Restricted Share Unit	Urbanky, Darie	5	2020-02-28	56		29,052	19,934
CIBT Education Group Inc.	Common Shares	Chu, Toby	4, 5	2020-02-28	10	0.6	6,948,747	4,000
CIBT Education Group Inc.	Common Shares	Chu, Toby	4, 5	2020-03-02	10	0.5844	6,964,747	16,000
CIBT Education Group Inc.	Common Shares	Chu, Toby	4, 5	2020-03-04	10	0.58	6,969,747	5,000
CIBT Education Group Inc.	Common Shares	Chu, Toby	4, 5	2020-03-04	10	0.56	6,974,747	5,000
CIBT Education Group Inc.	Common Shares	Chu, Toby	4, 5	2020-03-04	10	0.53	6,979,747	5,000
CIBT Education Group Inc.	Common Shares	Chu, Toby	4, 5	2020-03-04	10	0.53	6,984,747	5,000
Cielo Waste Solutions Corp.	Common Shares Class A	Frenette, Shawn	5	2020-03-03	00		577,500	
Cielo Waste Solutions Corp.	Convertible Debentures 2019	Frenette, Shawn	5	2020-03-03	00		\$30,015	
Cielo Waste Solutions Corp.	Options Sept 19, 2011	Frenette, Shawn	5	2020-03-03	00		100,000	
Cielo Waste Solutions Corp.	Warrants	Frenette, Shawn	5	2020-03-03	00			
Cielo Waste Solutions Corp.	Warrants	Frenette, Shawn	5	2020-03-03	00		22,500	
Clairvest Group Inc.	Rights Deferred Share Units	Barnett, John Robert	4	2020-03-02	30		20,712	982
Clairvest Group Inc.	Rights Deferred Share Units	Bregman, Michael David	4	2020-03-02	30		45,607	1,208
Clairvest Group Inc.	Rights Deferred Share Units	Fluet, Joseph Emile	1	2020-03-02	30		1,156	707

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Clairvest Group Inc.	Rights Deferred Share Units	Heffernan, Joseph John	4	2020-03-02	30		79,818	2,480
Clairvest Group Inc.	Rights Deferred Share Units	Krediet, John	4	2020-03-02	30		7,893	936
Clairvest Group Inc.	Rights Deferred Share Units	Schipper, Lionel Howard	4	2020-03-02	30		17,660	948
Clairvest Group Inc.	Rights Deferred Share Units	Sharp, Isadore	4	2020-03-02	30		28,566	677
Clairvest Group Inc.	Rights Deferred Share Units	Watkin, Rick	4	2020-03-02	30		4,765	778
Clarke Inc.	Common Shares	Clarke Inc.	1	2020-02-27	38	12.858	1,000	1,000
Clarke Inc.	Common Shares	Clarke Inc.	1	2020-02-27	38	12.95	101,000	100,000
Clarke Inc.	Common Shares	Clarke Inc.	1	2020-02-29	38		0	-101,000
Clean Seed Capital Group Ltd.	Common Shares	Anderson, Gary Keith	4	2020-03-02	16	0.25	6,280,667	1,600,000
Clean Seed Capital Group Ltd.	Warrants	Anderson, Gary Keith	4	2019-07-04	55	0.75	0	-600,000
Clean Seed Capital Group Ltd.	Warrants	Anderson, Gary Keith	4	2020-03-02	53	0.5	800,000	800,000
Cleghorn Minerals Ltd.	Common Shares	Groia, Joseph	3, 4	2020-03-05	16	0.05	1,700,000	1,000,000
Cleghorn Minerals Ltd.	Warrants	Groia, Joseph	3, 4	2010-08-13	00			
Cleghorn Minerals Ltd.	Warrants	Groia, Joseph	3, 4	2020-03-05	16		1,000,000	1,000,000
Cleghorn Minerals Ltd.	Common Shares	Mullan, Glenn J	3, 4, 5	2020-03-05	16	0.05	5,413,928	1,000,000
Cleghorn Minerals Ltd.	Warrants	Mullan, Glenn J	3, 4, 5	2020-03-05	16		1,200,000	1,000,000
Co-operators General Insurance Company	Preferred Shares Class A Series B	Daniel, Kevin	7	2020-02-29	30	100	1,830	1
Co-operators General Insurance Company	Preferred Shares Class A Series B	Hanna, Paul	7	2020-02-29	30	100	1,019	1
COLLIERS INTERNATIONAL GROUP INC.	Subordinate Voting Shares	Hennick, Jay Steward	1	2020-03-04	10	110	0	-238,215
COLLIERS INTERNATIONAL GROUP INC.	Options	Rosen, Brian	5	2020-03-05	00		20,000	
Colorado Resources Ltd.	Common Shares	Goldcorp Inc.	3	2018-09-14	11	0.085		250,000
Colorado Resources Ltd.	Common Shares	Goldcorp Inc.	3	2018-09-14	11	0.085		250,000
Colorado Resources Ltd.	Common Shares	Goldcorp Inc.	3	2019-04-18	90			-17,210,000
Colorado Resources Ltd.	Common Shares	Goldcorp Inc.	3	2019-04-18	90			-17,210,000
Colorado Resources Ltd.	Common Shares	Goldcorp Inc.	3	2019-04-18	90			-17,210,000
Colorado Resources Ltd.	Common Shares	Goldcorp Inc.	3	2019-04-18	90			-17,210,000
Colorado Resources Ltd.	Common Shares	Goldcorp Inc.	3	2019-04-18	90			17,210,000
Colorado Resources Ltd.	Common Shares	Goldcorp Inc.	3	2019-04-18	90		0	-17,210,000
Colorado Resources Ltd.	Common Shares	Goldcorp Inc.	3	2019-08-20	22	0.115		6,336,717
Colorado Resources Ltd.	Common Shares	Goldcorp Inc.	3	2019-08-20	22	0.115		6,336,717
Colorado Resources Ltd.	Common Shares	Goldcorp Inc.	3	2019-08-20	11	0.085		6,336,717
Colorado Resources Ltd.	Common Shares	Goldcorp Inc.	3	2019-08-20	11	0.085		6,336,717
Colorado Resources Ltd.	Common Shares	Goldcorp Inc.	3	2019-08-20	11	0.085		250,000
Colorado Resources Ltd.	Common Shares	Goldcorp Inc.	3	2019-08-20	11	0.085		250,000
Colorado Resources Ltd.	Warrants	Goldcorp Inc.	3	2019-04-18	90			8,480,000
Colorado Resources Ltd.	Warrants	Goldcorp Inc.	3	2019-04-18	90			8,480,000
Colorado Resources Ltd.	Warrants	Goldcorp Inc.	3	2020-02-29	55			-8,480,000
Colorado Resources Ltd.	Warrants	Goldcorp Inc.	3	2020-02-29	55			-8,480,000
Colorado Resources Ltd.	Common Shares	Newmont Corporation	3	2019-08-20	11	0.085	23,546,717	6,336,717
Colorado Resources Ltd.	Common Shares	Newmont Corporation	3	2019-08-20	11	0.085	23,796,717	250,000
Colorado Resources Ltd.	Warrants	Newmont Corporation	3	2020-02-29	55		0	-8,480,000
Commerce Resources Corp.	Common Shares	Dahrouge, Jody	4	2020-02-27	11	0.26	1,193,000	-115,000
Computer Modelling Group Ltd.	Common Shares	Hicks, Robert David	5	2020-03-02	10	6.8	242,800	4,000
Computer Modelling Group Ltd.	Common Shares	Hicks, Robert David	5	2020-03-03	10	6.8	61,000	3,500
Constellation Software Inc.	Common Shares	Leonard, Mark Henri	4, 5	2020-01-20	30	1342.6	1,400	-100

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Constellation Software Inc.	Common Shares	Leonard, Mark Henri	4, 5	2020-03-02	30	1367	800	-100
Copper Mountain Mining Corporation	Common Shares	Wong, Letitia	5	2020-03-06	10	0.0461	188,500	20,000
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Llewellyn, Robert	4	2019-12-09	35	15.391	4,630	55
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Llewellyn, Robert	4	2020-01-02	30	15.446	4,824	194
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	McCarthy, George	4	2019-12-09	35	15.391	27,385	328
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	McCarthy, George	4	2020-01-02	30	15.446	27,715	330
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Nielsen, Patricia	4	2019-12-09	35	15.391	24,671	296
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Nielsen, Patricia	4	2020-01-02	30	15.446	24,865	194
Core One Labs Inc.	Common Shares	Eckenweiler, Brad	3, 4, 5	2020-03-02	10	0.2863	1,292,999	-11,500
Core One Labs Inc.	Common Shares	Eckenweiler, Brad	3, 4, 5	2020-03-02	10	0.26	1,287,999	-5,000
Core One Labs Inc.	Common Shares	Eckenweiler, Brad	3, 4, 5	2020-03-03	10	0.2708	1,269,499	-18,500
Corus Entertainment Inc.	Deferred Share Units (DSUs) - Director Plan	Belisle, Fernand	4	2019-12-31	30	5.64	37,279	1,545
Corus Entertainment Inc.	Deferred Share Units (DSUs) - Director Plan	Boychuk, Michael T.	4	2019-12-31	30	5.46	16,662	394
Corus Entertainment Inc.	Deferred Share Units (DSUs) - Director Plan	Boychuk, Michael T.	4	2020-02-29	56	4.21	22,452	5,790
Corus Entertainment Inc.	Non-Voting Shares Class B	Corus Entertainment Inc.	1	2020-02-14	38	4.9897	50,000	50,000
Corus Entertainment Inc.	Non-Voting Shares Class B	Corus Entertainment Inc.	1	2020-02-18	38	5.0096	100,000	50,000
Corus Entertainment Inc.	Non-Voting Shares Class B	Corus Entertainment Inc.	1	2020-02-19	38	4.9209	150,000	50,000
Corus Entertainment Inc.	Non-Voting Shares Class B	Corus Entertainment Inc.	1	2020-02-20	38	4.9123	200,000	50,000
Corus Entertainment Inc.	Non-Voting Shares Class B	Corus Entertainment Inc.	1	2020-02-21	38	4.6819	250,000	50,000
Corus Entertainment Inc.	Non-Voting Shares Class B	Corus Entertainment Inc.	1	2020-02-21	38		100,000	-150,000
Corus Entertainment Inc.	Non-Voting Shares Class B	Corus Entertainment Inc.	1	2020-02-24	38	4.6579	150,000	50,000
Corus Entertainment Inc.	Non-Voting Shares Class B	Corus Entertainment Inc.	1	2020-02-25	38	4.4871	200,000	50,000
Corus Entertainment Inc.	Non-Voting Shares Class B	Corus Entertainment Inc.	1	2020-02-26	38	4.4636	250,000	50,000
Corus Entertainment Inc.	Non-Voting Shares Class B	Corus Entertainment Inc.	1	2020-02-27	38	4.3016	289,900	39,900
Corus Entertainment Inc.	Non-Voting Shares Class B	Corus Entertainment Inc.	1	2020-02-28	38	4.2444	350,000	60,100
Corus Entertainment Inc.	Non-Voting Shares Class B	Corus Entertainment Inc.	1	2020-02-28	38		100,000	-250,000
Corus Entertainment Inc.	Deferred Share Units (DSUs) - Director Plan	D'Avella, Michael	4	2019-12-31	30	5.64	14,908	795
Corus Entertainment Inc.	Deferred Share Units (DSUs) - Director Plan	Fraschetti, John Anthony	4	2019-12-31	30	5.53	68,802	2,012
Corus Entertainment Inc.	Deferred Share Units (DSUs) - Director Plan	Fraschetti, John Anthony	4	2020-02-29	56	4.21	76,172	7,370
Corus Entertainment Inc.	Non-Voting Shares Class B	Murphy, Douglas Donovan	5	2020-02-28	10	4.2	174,599	10,000
Corus Entertainment Inc.	Deferred Share Units (DSUs) - Director Plan	Roozen, Catherine M.	4	2019-12-31	30	5.54	90,771	2,737
Corus Entertainment Inc.	Deferred Share Units (DSUs) - Director Plan	Roozen, Catherine M.	4	2020-02-29	56	4.21	96,858	6,087

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Corus Entertainment Inc.	Deferred Share Units (DSUs) - Director Plan	Shaw, Julie Marie	4	2019-12-31	30	5.54	30,006	913
Corus Entertainment Inc.	Deferred Share Units (DSUs) - Director Plan	Shaw, Julie Marie	4	2020-02-29	56	4.21	31,676	1,670
CounterPath Corporation	Common Shares	Carothers, Todd	5	2020-03-04	30	2.55	14,827	73
CounterPath Corporation	Common Shares	Carothers, Todd	5	2020-03-04	30	2.3412	14,867	40
CounterPath Corporation	Common Shares	Karp, David Lawrence	5	2020-03-04	30	3.4538	32,163	57
CounterPath Corporation	Common Shares	Karp, David Lawrence	5	2020-03-04	30	3.1198	32,195	32
Crescent Point Energy Corp.	Deferred Share Units	Munroe, Barbara Elaine	4	2020-01-01	56			6,919
Crescent Point Energy Corp.	Deferred Share Units	Munroe, Barbara Elaine	4	2020-01-01	56		92,195	6,920
Crest Resources Inc.	Common Shares	Fairhurst, Emma	3	2020-02-14	10	0.087		300,000
Crest Resources Inc.	Common Shares	Fairhurst, Emma	3	2020-02-14	10	0.087	300,000	300,000
Crombie Real Estate Investment Trust	Rights Performance Units	Barnoski, John Thomas	5	2020-02-27	56		16,856	5,093
Crombie Real Estate Investment Trust	Rights Restricted Units	Barnoski, John Thomas	5	2020-02-27	56		23,036	8,298
Crombie Real Estate Investment Trust	Rights Performance Units	Bitton, Arie Lior	5	2019-03-04	00			
Crombie Real Estate Investment Trust	Rights Performance Units	Bitton, Arie Lior	5	2019-03-04	56		3,373	3,373
Crombie Real Estate Investment Trust	Rights Performance Units	Bitton, Arie Lior	5	2020-02-27	56		6,330	2,957
Crombie Real Estate Investment Trust	Rights Restricted Units	Bitton, Arie Lior	5	2020-02-27	56		14,940	4,855
Crombie Real Estate Investment Trust	Rights Performance Units	Clow, Donald Everett	4, 5	2020-02-27	56		118,681	28,529
Crombie Real Estate Investment Trust	Rights Restricted Units	Clow, Donald Everett	4, 5	2020-02-27	56		135,406	37,741
Crombie Real Estate Investment Trust	Rights Performance Units	Fraser, Cheryl Ann	5	2020-02-27	56		15,260	3,105
Crombie Real Estate Investment Trust	Rights Restricted Units	Fraser, Cheryl Ann	5	2020-02-27	56		20,829	6,161
Crombie Real Estate Investment Trust	Rights Performance Units	Hynes, Robert Glenn	5	2020-02-27	56		39,278	9,383
Crombie Real Estate Investment Trust	Rights Restricted Units	Hynes, Robert Glenn	5	2020-02-27	56		72,218	20,688
Crombie Real Estate Investment Trust	Rights Performance Units	Keay, Clinton David	5	2020-02-27	56		17,762	8,678
Crombie Real Estate Investment Trust	Rights Restricted Units	Keay, Clinton David	5	2019-05-15	00			
Crombie Real Estate Investment Trust	Rights Restricted Units	Keay, Clinton David	5	2020-02-27	56		12,056	12,056
Crombie Real Estate Investment Trust	Trust Units	Keay, Clinton David	5	2019-05-15	00			
Crombie Real Estate Investment Trust	Trust Units	Keay, Clinton David	5	2020-02-28	10	14.658	10,000	10,000
Crombie Real Estate Investment Trust	Rights Performance Units	Lee, Trevor Roger	5	2020-02-27	56		13,777	3,094
Crombie Real Estate Investment Trust	Rights Restricted Units	Lee, Trevor Roger	5	2020-02-27	56		20,055	6,139
Crombie Real Estate Investment Trust	Rights Restricted Units	Santini, Ferdinando	5	2020-02-27	56		12,938	3,785
Crombie Real Estate Investment Trust	Trust Units	Shannon, Jason Patrick	4	2020-02-27	10	14.99	50,000	20,000
Crombie Real Estate Investment Trust	Trust Units	Sobey, Paul David	4, 6	2020-03-04	10	15.55	47,800	2,800
Crombie Real Estate Investment Trust	Trust Units	Sobey, Paul David	4, 6	2020-03-04	10	15.545	47,900	100
Crombie Real Estate Investment Trust	Trust Units	Sobey, Paul David	4, 6	2020-03-04	10	15.535	48,100	200
Crombie Real Estate Investment Trust	Trust Units	Sobey, Paul David	4, 6	2020-03-04	10	15.525	48,300	200
Crombie Real Estate Investment Trust	Trust Units	Sobey, Paul David	4, 6	2020-03-04	10	15.515	48,600	300
Crombie Real Estate Investment Trust	Trust Units	Sobey, Paul David	4, 6	2020-03-04	10	15.505	48,900	300

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Crombie Real Estate Investment Trust	Trust Units	Sobey, Paul David	4, 6	2020-03-04	10	15.5	49,000	100
Crombie Real Estate Investment Trust	Trust Units	Sobey, Paul David	4, 6	2020-03-04	10	15.495	49,100	100
Crombie Real Estate Investment Trust	Trust Units	Sobey, Paul David	4, 6	2020-03-05	10	15.55	50,300	1,200
Crombie Real Estate Investment Trust	Trust Units	Sobey, Paul David	4, 6	2020-03-05	10	15.545	50,400	100
Crombie Real Estate Investment Trust	Trust Units	Sobey, Paul David	4, 6	2020-03-05	10	15.54	50,500	100
Crombie Real Estate Investment Trust	Trust Units	Sobey, Paul David	4, 6	2020-03-05	10	15.535	50,700	200
Crombie Real Estate Investment Trust	Trust Units	Sobey, Paul David	4, 6	2020-03-05	10	15.53	50,900	200
Crombie Real Estate Investment Trust	Trust Units	Sobey, Paul David	4, 6	2020-03-05	10	15.525	51,100	200
Crombie Real Estate Investment Trust	Trust Units	Sobey, Paul David	4, 6	2020-03-05	10	15.52	51,500	400
Crombie Real Estate Investment Trust	Trust Units	Sobey, Paul David	4, 6	2020-03-05	10	15.515	51,900	400
Crombie Real Estate Investment Trust	Trust Units	Sobey, Paul David	4, 6	2020-03-05	10	15.51	52,200	300
Crombie Real Estate Investment Trust	Trust Units	Sobey, Paul David	4, 6	2020-03-05	10	15.505	52,400	200
Crombie Real Estate Investment Trust	Trust Units	Sobey, Paul David	4, 6	2020-03-05	10	15.5	52,500	100
Crombie Real Estate Investment Trust	Trust Units	Sobey, Paul David	4, 6	2020-03-05	10	15.49	52,700	200
Crombie Real Estate Investment Trust	Trust Units	Sobey, Paul David	4, 6	2020-03-05	10	15.48	52,800	100
Crombie Real Estate Investment Trust	Trust Units	Sobey, Paul David	4, 6	2020-03-05	10	15.46	52,900	100
Crombie Real Estate Investment Trust	Trust Units	Sobey, Paul David	4, 6	2020-03-05	10	15.445	53,100	200
Crombie Real Estate Investment Trust	Trust Units	Sobey, Paul David	4, 6	2020-03-05	10	15.44	53,300	200
Crombie Real Estate Investment Trust	Trust Units	Sobey, Paul David	4, 6	2020-03-05	10	15.425	53,500	200
Crombie Real Estate Investment Trust	Trust Units	Sobey, Paul David	4, 6	2020-03-05	10	15.4	55,000	1,500
Cronos Group Inc.	Common Shares	Shlimak, Anna	5	2020-02-21	00			
Cronos Group Inc.	Common Shares	Shlimak, Anna	5	2020-02-21	00			
Cronos Group Inc.	Common Shares	Shlimak, Anna	5	2020-02-21	00		10,000	
Cronos Group Inc.	Options	Shlimak, Anna	5	2020-02-21	00		200,000	
Currie Rose Resources Inc.	Common Shares	Coates, Stephen Edward	4	2017-12-31	00		290,000	
Currie Rose Resources Inc.	Common Shares	Coates, Stephen Edward	4	2020-02-27	10	0.04	340,000	50,000
Cuspis Capital Ltd.	Common Shares	Ollerhead, William	3, 4, 5	2020-03-03	10	0.105	50,000	9,500
Cuspis Capital Ltd.	Common Shares	Ollerhead, William	3, 4, 5	2020-03-03	10	0.105	75,000	25,000
CWC Energy Services Corp.	Common Shares	Apps, Robert Charles Nelson	5	2020-03-04	10	0.11	204,168	-200,000
CWC Energy Services Corp.	Common Shares	CWC Energy Services Corp.	1	2020-02-28	10	0.11	2,838,500	40,000
CWC Energy Services Corp.	Common Shares	CWC Energy Services Corp.	1	2020-02-28	10	0.11	2,878,500	40,000
CWC Energy Services Corp.	Common Shares	CWC Energy Services Corp.	1	2020-02-28	10	0.105	2,896,000	17,500
CWC Energy Services Corp.	Common Shares	CWC Energy Services Corp.	1	2020-03-04	10	0.11	2,899,000	3,000
CWC Energy Services Corp.	Common Shares	CWC Energy Services Corp.	1	2020-03-04	10	0.11	3,070,500	171,500
CWC Energy Services Corp.	Common Shares	CWC Energy Services Corp.	1	2020-03-04	10	0.11	3,110,500	40,000
CWC Energy Services Corp.	Common Shares	CWC Energy Services Corp.	1	2020-03-05	10	0.11	3,116,000	5,500
CWC Energy Services Corp.	Common Shares	CWC Energy Services Corp.	1	2020-03-06	10	0.11	3,222,500	106,500

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CWC Energy Services Corp.	Common Shares	CWC Energy Services Corp.	1	2020-03-06	10	0.11	3,225,000	2,500
CWC Energy Services Corp.	Common Shares	CWC Energy Services Corp.	1	2020-03-06	10	0.11	3,262,500	37,500
CWC Energy Services Corp.	Common Shares	CWC Energy Services Corp.	1	2020-03-06	10	0.11	3,302,500	40,000
CWC Energy Services Corp.	Common Shares	CWC Energy Services Corp.	1	2020-03-06	10	0.11	3,342,500	40,000
CWC Energy Services Corp.	Common Shares	McIntyre, Darwin Lloyd	5	2020-03-04	57	35000	1,015,666	35,000
CWC Energy Services Corp.	Restricted Share Units	McIntyre, Darwin Lloyd	5	2020-03-04	57		704,834	-35,000
Cymat Technologies Ltd.	Common Shares	Boone, Horace Shepard	3	2020-02-27	10	0.325	4,400,380	325,000
Cymat Technologies Ltd.	Common Shares	Boone, Horace Shepard	3	2020-02-27	10	0.001	4,725,380	325,000
D-Box Technologies Inc.	Common Shares Class A	Chamberland, Denis	4	2020-02-12	00			
D-Box Technologies Inc.	Common Shares Class A	Chamberland, Denis	4	2020-03-03	10	0.075	220,000	220,000
D-Box Technologies Inc.	Common Shares Class A	Chamberland, Denis	4	2020-03-05	10	0.07	500,000	280,000
D-Box Technologies Inc.	Common Shares Class A	Copple, Robert D.	4	2020-03-04	10	0.0767	438,500	50,000
D-Box Technologies Inc.	Common Shares Class A	Gemme, Yannick	5	2020-03-06	10	0.07	356,767	74,000
D-Box Technologies Inc.	Common Shares Class A	LaBerge, Richard	5	2020-03-05	00		138,500	
D-Box Technologies Inc.	Common Shares Class A	Mailhot, Sébastien	5	2020-02-29	10	0.0794	454,015	62,500
D-Box Technologies Inc.	Common Shares Class A	Mailhot, Sébastien	5	2020-02-29	10	0.0794	855,450	250,000
D-Box Technologies Inc.	Common Shares Class A	Martin, Luc	4	2020-02-12	00			
D-Box Technologies Inc.	Common Shares Class A	Martin, Luc	4	2020-03-03	10	0.08	206,250	206,250
D-Box Technologies Inc.	Common Shares Class A	Mcmaster, Claude	4, 5	2020-03-02	10	0.08	1,640,600	312,500
Datable Technology Corporation (formerly 3TL Technologies Corp.)	Rights Restricted Share Units	Craig, Rob	4, 5	2020-02-20	56		1,500,000	1,500,000
Datable Technology Corporation (formerly 3TL Technologies Corp.)	Rights Restricted Share Units	HUANG, YU CAI	4	2020-02-20	56		200,000	200,000
Datable Technology Corporation (formerly 3TL Technologies Corp.)	Rights Restricted Share Units	Kniec, Adam Robert	4	2020-02-20	56		400,000	200,000
Datable Technology Corporation (formerly 3TL Technologies Corp.)	Rights Restricted Share Units	Oishi, Kim	4	2020-02-20	56		1,500,000	1,500,000
Datable Technology Corporation (formerly 3TL Technologies Corp.)	Rights Restricted Share Units	Sartorius, Roland Carlos	5	2020-02-20	56		529,222	450,000
DECISIVE DIVIDEND CORPORATION	Rights Restricted Share Unit	Campbell, Michael Bruce	4	2020-02-27	56		8,000	3,000
DECISIVE DIVIDEND CORPORATION	Rights Restricted Share Units	Conway, Michael	4	2020-02-27	56		8,500	3,500
DECISIVE DIVIDEND CORPORATION	Rights Restricted Share Unit	Louie, Robert	4	2020-02-27	56		8,000	3,000
DECISIVE DIVIDEND CORPORATION	Rights Restricted Share Units	Matheos, Warren Frederick	4	2020-02-27	56		8,000	3,000
DECISIVE DIVIDEND CORPORATION	Rights restricted share unit	Paterson, James Andrew	4, 5	2020-02-27	56		18,000	3,000
DECISIVE DIVIDEND CORPORATION	Rights restricted share unit	Paterson, James Andrew	4, 5	2020-02-27	56		33,000	15,000
DECISIVE DIVIDEND CORPORATION	Common Shares	Torriero, Richard	5	2018-10-01	00			
DECISIVE DIVIDEND CORPORATION	Common Shares	Torriero, Richard	5	2020-02-27	56			2,500
DECISIVE DIVIDEND CORPORATION	Rights Restricted Share Unit (Common Shares)	Torriero, Richard	5	2018-10-01	00			
DECISIVE DIVIDEND CORPORATION	Rights Restricted Share Unit (Common Shares)	Torriero, Richard	5	2020-02-27	56		2,500	2,500
Deer Horn Capital Inc. (Formerly Deer Horn Metals Inc.)	Common Shares	Docherty, Tyrone Mark	4	2020-02-26	16	0.05	2,590,290	600,000
Deer Horn Capital Inc. (Formerly Deer Horn Metals Inc.)	Warrants	Docherty, Tyrone Mark	4	2020-02-26	54	0.1		600,000

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Deer Horn Capital Inc. (Formerly Deer Horn Metals Inc.)	Warrants	Docherty, Tyrone Mark	4	2020-02-26	53	0.1	3,860,000	600,000
Delphi Energy Corp.	Common Shares	Luminus Delphi Holdings II Ltd.	3	2019-11-26	11			5,592,768
Delphi Energy Corp.	Common Shares	Luminus Delphi Holdings II Ltd.	3	2019-11-26	36		8,472,370	5,592,768
Delphi Energy Corp.	Common Shares	Luminus Delphi Holdings II Ltd.	3	2020-02-26	36		14,065,138	5,592,768
Delphi Energy Corp.	Subscription Rights Equity Subscription Receipts	Luminus Delphi Holdings II Ltd.	3	2019-10-21	00			
Delphi Energy Corp.	Subscription Rights Equity Subscription Receipts	Luminus Delphi Holdings II Ltd.	3	2019-10-21	00			
Delphi Energy Corp.	Subscription Rights Equity Subscription Receipts	Luminus Delphi Holdings II Ltd.	3	2019-10-23	16	1.65	16,778,306	16,778,306
Delphi Energy Corp.	Subscription Rights Equity Subscription Receipts	Luminus Delphi Holdings II Ltd.	3	2019-11-26	36		11,185,538	-5,592,768
Delphi Energy Corp.	Subscription Rights Equity Subscription Receipts	Luminus Delphi Holdings II Ltd.	3	2020-02-26	36		5,592,770	-5,592,768
Delphi Energy Corp.	Common Shares	Luminus Energy IE Designated Activity Company	3	2019-10-21	00		43,194,030	
Delphi Energy Corp.	Common Shares	Luminus Energy IE Designated Activity Company	3	2019-11-26	37		2,879,602	-40,314,428
Delphi Energy Corp.	Common Shares	Luminus Energy IE Designated Activity Company	3	2019-11-26	36		8,472,370	5,592,768
Delphi Energy Corp.	Common Shares	Luminus Energy IE Designated Activity Company	3	2020-02-26	36		14,065,138	5,592,768
Delphi Energy Corp.	Notes 10% Senior Secured Notes	Luminus Energy IE Designated Activity Company	3	2019-10-21	00		\$47,435,000	
Delphi Energy Corp.	Notes 10% Senior Secured Notes	Luminus Energy IE Designated Activity Company	3	2019-11-26	00			
Delphi Energy Corp.	Notes 10% Senior Secured Notes	Luminus Energy IE Designated Activity Company	3	2020-02-26	36		\$58,723,126	\$5,643,793
Delphi Energy Corp.	Subscription Rights Equity Subscription Receipts	Luminus Energy IE Designated Activity Company	3	2019-10-21	00			
Delphi Energy Corp.	Subscription Rights Equity Subscription Receipts	Luminus Energy IE Designated Activity Company	3	2019-10-23	16	1.65	16,778,306	16,778,306
Delphi Energy Corp.	Subscription Rights Equity Subscription Receipts	Luminus Energy IE Designated Activity Company	3	2019-11-26	36		11,185,538	-5,592,768
Delphi Energy Corp.	Subscription Rights Equity Subscription Receipts	Luminus Energy IE Designated Activity Company	3	2020-02-26	36		5,592,770	-5,592,768
Delphi Energy Corp.	Subscription Rights Note Subscription Receipts	Luminus Energy IE Designated Activity Company	3	2019-10-21	00			
Delphi Energy Corp.	Subscription Rights Note Subscription Receipts	Luminus Energy IE Designated Activity Company	3	2019-10-23	16	750	16,933	16,933
Delphi Energy Corp.	Subscription Rights Note Subscription Receipts	Luminus Energy IE Designated Activity Company	3	2019-11-26	36		11,289	-5,644
Delphi Energy Corp.	Subscription Rights Note Subscription Receipts	Luminus Energy IE Designated Activity Company	3	2020-02-26	36		5,645	-5,644

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Delphi Energy Corp.	Warrants 4/15/23	Luminus Energy IE Designated Activity Company	3	2019-10-21	00			
Delphi Energy Corp.	Warrants 4/15/23	Luminus Energy IE Designated Activity Company	3	2019-10-21	00			
Delphi Energy Corp.	Warrants 4/15/23	Luminus Energy IE Designated Activity Company	3	2019-11-26	00			
Delphi Energy Corp.	Warrants 4/15/23	Luminus Energy IE Designated Activity Company	3	2019-11-26	53		33,204,500	33,204,500
Delphi Energy Corp.	Common Shares	Tolley, Lamont, Clement	4	2020-02-26	36		140,758	12,626
Delphi Energy Corp.	Subscription Rights Equity Subscription Receipts	Tolley, Lamont, Clement	4	2020-02-26	36		12,627	-12,626
DigiCrypts Blockchain Solutions Inc.	Common Shares	Segat, Riccardo	3	2019-08-20	00		6,500,000	
Digital Consumer Dividend Fund	Trust Units	Digital Consumer Dividend Fund	1	2020-02-27	38	9.8053	497,700	7,600
Digital Consumer Dividend Fund	Trust Units	Digital Consumer Dividend Fund	1	2020-03-02	38	9.8845	503,900	6,200
Digital Consumer Dividend Fund	Trust Units	Digital Consumer Dividend Fund	1	2020-03-03	38	9.893	507,900	4,000
Digital Consumer Dividend Fund	Trust Units	Digital Consumer Dividend Fund	1	2020-03-04	38	9.9786	508,600	700
Digital Consumer Dividend Fund	Trust Units	Digital Consumer Dividend Fund	1	2020-03-05	38	9.7685	513,800	5,200
DIRTT Environmental Solutions Ltd.	Common Shares	Boulais, Wayne	4	2020-03-02	10	1.895	52,500	7,500
DIRTT Environmental Solutions Ltd.	Common Shares	Boulais, Wayne	4	2020-03-03	10	1.9	60,000	7,500
DIRTT Environmental Solutions Ltd.	Common Shares	Kaplan, Ronald William	4	2020-02-28	10	1.87	10,000	7,000
DIRTT Environmental Solutions Ltd.	Common Shares	Lillibridge, Todd	4	2020-02-28	10	1.829	195,200	39,500
DIRTT Environmental Solutions Ltd.	Common Shares	Lillibridge, Todd	4	2020-03-02	10	1.811	234,700	39,500
DIRTT Environmental Solutions Ltd.	Common Shares	McGinley, Christine Ellen	4	2020-03-03	10	2.3	40,061	4,320
DIRTT Environmental Solutions Ltd.	Common Shares	Parry, Steven Elliott	4	2020-03-05	10	2.208	32,300	5,200
Discovery Metals Corp.	Common Shares	Hoskins, David Guy	3	2020-02-04	00		21,518,987	
Dolly Varden Silver Corporation	Options	Fehr, Ann	5	2020-02-28	50		120,000	120,000
Dolly Varden Silver Corporation	Options	Fehr, Ann	5	2020-03-01	00			
Dolly Varden Silver Corporation	Options	Fehr, Ann	5	2020-03-01	00		80,000	
Dream Hard Asset Alternatives Trust	Deferred Trust Units	Alimchandani, Pauline	7	2019-12-31	30		52,851	2,711
Dream Hard Asset Alternatives Trust	Deferred Trust Units	Bhalla, Amar	4	2019-12-31	30		57,373	2,310
Dream Hard Asset Alternatives Trust	Deferred Trust Units	Cooper, Michael	7, 5	2019-12-31	30		44,225	2,293
Dream Hard Asset Alternatives Trust	Units	Dream Asset Management Corporation	5	2019-12-31	30		5,325,128	128,734
Dream Hard Asset Alternatives Trust	Deferred Trust Units	Ferstman, Joanne Shari	7	2019-12-31	30		91,611	4,493
Dream Hard Asset Alternatives Trust	Deferred Trust Units	Hughes, Robert Michael Lockwood	5	2020-03-04	57		9,722	-3,332
Dream Hard Asset Alternatives Trust	Units	Hughes, Robert Michael Lockwood	5	2018-05-07	00		2,186	
Dream Hard Asset Alternatives Trust	Units	Hughes, Robert Michael Lockwood	5	2020-03-04	57		5,518	3,332
Dream Hard Asset Alternatives Trust	Units	Hughes, Robert Michael Lockwood	5	2020-03-04	10	7.74	3,699	-1,819

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Dream Hard Asset Alternatives Trust	Deferred Trust Units	Kaufman, David Richard	4	2019-12-31	30		46,478	2,242
Dream Hard Asset Alternatives Trust	Deferred Trust Units	MacIndoe, Karine	4	2019-12-31	30		28,619	1,028
Dream Hard Asset Alternatives Trust	Deferred Trust Units	Tsourounis, Michael George	4	2019-12-31	30		9,355	185
Dream Industrial Real Estate Investment Trust	Units	Dream Office Real Estate Investment Trust	3	2019-12-31	30		5,934,169	1,591,433
Dream Industrial Real Estate Investment Trust	Deferred Trust Units	Hughes, Robert Michael Lockwood	5	2020-03-04	57		7,358	-2,001
Dream Industrial Real Estate Investment Trust	Units	Hughes, Robert Michael Lockwood	5	2018-05-08	00		1,708	
Dream Industrial Real Estate Investment Trust	Units	Hughes, Robert Michael Lockwood	5	2020-03-04	57		3,709	2,001
Dream Industrial Real Estate Investment Trust	Units	Hughes, Robert Michael Lockwood	5	2020-03-04	10	13.1	2,648	-1,061
Dream Industrial Real Estate Investment Trust	Deferred Trust Units	Pauls, Brian Daniel	4, 5	2020-03-04	57		75,101	-6,342
Dream Industrial Real Estate Investment Trust	Deferred Trust Units	Pauls, Brian Daniel	4, 5	2020-03-04	57		40,933	-22,844
Dream Industrial Real Estate Investment Trust	Units	Pauls, Brian Daniel	4, 5	2020-03-04	57		31,342	6,342
Dream Office Real Estate Investment Trust	Trust Units Series A	Barazzuol, Renzo	6	2020-02-26	10	35.791	1,151,390	25,000
Dream Office Real Estate Investment Trust	Trust Units Series A	Barazzuol, Renzo	6	2020-02-27	10	34.925	1,193,090	41,700
Dream Office Real Estate Investment Trust	Trust Units Series A	Barazzuol, Renzo	6	2020-02-28	10	33.42	1,293,090	100,000
Dream Office Real Estate Investment Trust	Trust Units Series A	Barazzuol, Renzo	6	2020-02-28	10	33.648	1,333,090	40,000
Dream Office Real Estate Investment Trust	Trust Units Series A	Barazzuol, Renzo	6	2020-03-02	10	33.808	1,345,090	12,000
Dream Office Real Estate Investment Trust	Trust Units Series A	Barazzuol, Renzo	6	2020-03-03	10	34.791	1,383,790	38,700
Dream Office Real Estate Investment Trust	Trust Units Series A	Barazzuol, Renzo	6	2020-02-28	10	33.42	1,565,050	-100,000
Dream Office Real Estate Investment Trust	Rights deferred trust units	BIERBAUM, DETLEF	4	2019-12-31	30		9,392	501
Dream Office Real Estate Investment Trust	Rights deferred trust units	Charter, Donald Kinloch	4, 6	2019-12-31	30		73,032	2,636
Dream Office Real Estate Investment Trust	Rights deferred trust units	Cooper, Michael	4, 7, 5	2019-12-31	30		343,380	13,042
Dream Office Real Estate Investment Trust	Rights deferred trust units	GAVAN, JANE	4	2020-03-04	57		18,394	-16,261
Dream Office Real Estate Investment Trust	Trust Units Series A	GAVAN, JANE	4	2020-03-04	57		50,743	16,261
Dream Office Real Estate Investment Trust	Trust Units Series A	GAVAN, JANE	4	2020-03-04	58 - Expiration of rights	34.2	42,036	-8,707
Dream Office Real Estate Investment Trust	Trust Units Series A	GILL, NAVDEEP KAUR	6	2020-02-26	10	35.791	1,151,390	25,000
Dream Office Real Estate Investment Trust	Trust Units Series A	GILL, NAVDEEP KAUR	6	2020-02-27	10	34.925	1,193,090	41,700
Dream Office Real Estate Investment Trust	Trust Units Series A	GILL, NAVDEEP KAUR	6	2020-02-28	10	33.42	1,293,090	100,000
Dream Office Real Estate Investment Trust	Trust Units Series A	GILL, NAVDEEP KAUR	6	2020-02-28	10	33.648	1,333,090	40,000
Dream Office Real Estate Investment Trust	Trust Units Series A	GILL, NAVDEEP KAUR	6	2020-03-02	10	33.808	1,345,090	12,000
Dream Office Real Estate Investment Trust	Trust Units Series A	GILL, NAVDEEP KAUR	6	2020-03-03	10	34.791	1,383,790	38,700
Dream Office Real Estate Investment Trust	Trust Units Series A	GILL, NAVDEEP KAUR	6	2020-02-28	10	33.42	1,565,050	-100,000
Dream Office Real Estate Investment Trust	Rights deferred trust units	GOODALL, ROBERT	4	2019-12-31	30		77,211	2,849

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Dream Office Real Estate Investment Trust	Rights deferred trust units	Hughes, Robert Michael Lockwood	5	2020-03-04	57		5,330	-1,911
Dream Office Real Estate Investment Trust	Trust Units Series A	Hughes, Robert Michael Lockwood	5	2020-03-04	57		2,484	1,911
Dream Office Real Estate Investment Trust	Trust Units Series A	Hughes, Robert Michael Lockwood	5	2020-03-04	10	34.2	1,496	-988
Dream Office Real Estate Investment Trust	Rights deferred trust units	Jiang, Jay Zhijiang	5	2019-12-31	30		20,472	700
Dream Office Real Estate Investment Trust	Rights deferred trust units	Leitch, Kellie	4	2019-08-16	57		2,970	-21,054
Dream Office Real Estate Investment Trust	Rights deferred trust units	Leitch, Kellie	4	2019-10-16	57		2,850	-586
Dream Office Real Estate Investment Trust	Trust Units Series A	Leitch, Kellie	4	2010-05-06	00		1,217	
Dream Office Real Estate Investment Trust	Rights deferred trust units	MacIndoe, Karine	4	2019-12-31	30		33,387	696
Dream Office Real Estate Investment Trust	Trust Units Series A	Manji, Salim	6	2020-02-26	10	35.791	1,151,390	25,000
Dream Office Real Estate Investment Trust	Trust Units Series A	Manji, Salim	6	2020-02-27	10	34.925	1,193,090	41,700
Dream Office Real Estate Investment Trust	Trust Units Series A	Manji, Salim	6	2020-02-28	10	33.42	1,293,090	100,000
Dream Office Real Estate Investment Trust	Trust Units Series A	Manji, Salim	6	2020-02-28	10	33.648	1,333,090	40,000
Dream Office Real Estate Investment Trust	Trust Units Series A	Manji, Salim	6	2020-03-02	10	33.808	1,345,090	12,000
Dream Office Real Estate Investment Trust	Trust Units Series A	Manji, Salim	6	2020-03-03	10	34.791	1,383,790	38,700
Dream Office Real Estate Investment Trust	Trust Units Series A	Manji, Salim	6	2020-02-28	10	33.42		-100,000
Dream Office Real Estate Investment Trust	Trust Units Series A	Manji, Salim	6	2020-02-28	10	33.42	1,565,050	-100,000
Dream Office Real Estate Investment Trust	Trust Units Series A	Manji, Samir Aziz	6	2020-02-26	10	35.791	1,151,390	25,000
Dream Office Real Estate Investment Trust	Trust Units Series A	Manji, Samir Aziz	6	2020-02-27	10	34.925	1,193,090	41,700
Dream Office Real Estate Investment Trust	Trust Units Series A	Manji, Samir Aziz	6	2020-02-28	10	33.42	1,293,090	100,000
Dream Office Real Estate Investment Trust	Trust Units Series A	Manji, Samir Aziz	6	2020-02-28	10	33.648	1,333,090	40,000
Dream Office Real Estate Investment Trust	Trust Units Series A	Manji, Samir Aziz	6	2020-03-02	10	33.808	1,345,090	12,000
Dream Office Real Estate Investment Trust	Trust Units Series A	Manji, Samir Aziz	6	2020-03-03	10	34.791	1,383,790	38,700
Dream Office Real Estate Investment Trust	Trust Units Series A	Manji, Samir Aziz	6	2020-02-28	10	33.42	1,565,050	-100,000
Dream Office Real Estate Investment Trust	Trust Units Series A	Sandpiper Asset Management Inc.	3	2020-02-26	10	35.791	1,151,390	25,000
Dream Office Real Estate Investment Trust	Trust Units Series A	Sandpiper Asset Management Inc.	3	2020-02-27	10	34.925	1,193,090	41,700
Dream Office Real Estate Investment Trust	Trust Units Series A	Sandpiper Asset Management Inc.	3	2020-02-28	10	33.42	1,293,090	100,000
Dream Office Real Estate Investment Trust	Trust Units Series A	Sandpiper Asset Management Inc.	3	2020-02-28	10	33.648	1,333,090	40,000
Dream Office Real Estate Investment Trust	Trust Units Series A	Sandpiper Asset Management Inc.	3	2020-03-02	10	33.808	1,345,090	12,000
Dream Office Real Estate Investment Trust	Trust Units Series A	Sandpiper Asset Management Inc.	3	2020-03-03	10	34.791	1,383,790	38,700
Dream Office Real Estate Investment Trust	Trust Units Series A	Sandpiper Asset Management Inc.	3	2020-02-28	10	33.42	1,565,050	-100,000
DREAM Unlimited Corp.	Performance Share Units	Alimchandani, Pauline	5	2020-03-02	57		78,221	-25,385
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	Alimchandani, Pauline	5	2020-03-02	57		32,736	25,385
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	Alimchandani, Pauline	5	2020-03-02	10	13.05	19,312	-13,424
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2020-02-06	38	11.484	15,029	15,029

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DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2020-02-07	38	11.733	17,729	2,700
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2020-02-10	38		2,700	-15,029
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2020-02-11	38		0	-2,700
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2020-02-28	38	12.2	598,533	598,533
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2020-03-03	38		0	-598,533
DREAM Unlimited Corp.	Deferred Share Units	Eaton, James George	4	2019-12-31	30		21,575	183
DREAM Unlimited Corp.	Deferred Share Units	Ferstman, Joanne Shari	4	2019-12-31	30		112,757	1,124
DREAM Unlimited Corp.	Deferred Share Units	Gateman, Richard N.	4, 7	2019-12-31	30		68,710	704
DREAM Unlimited Corp.	Performance Share Units	GAVAN, JANE	4, 5	2020-03-02	57		82,368	-31,731
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	GAVAN, JANE	4, 5	2013-05-30	00			
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	GAVAN, JANE	4, 5	2020-03-02	57		31,731	31,731
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	GAVAN, JANE	4, 5	2020-03-02	58 - Expiration of rights		14,745	-16,986
DREAM Unlimited Corp.	Deferred Share Units	Jackman, Duncan Newton Rowell	4	2019-12-31	30		32,764	311
DREAM Unlimited Corp.	Deferred Share Units	Koss, Jennifer	4	2019-12-31	30		66,011	673
DREAM Unlimited Corp.	Deferred Share Units	Sera, Maria Vincenza	4, 7	2019-12-31	30		73,793	756
E3 Metals Corp.	Common Shares	Doornbos, Christopher	4	2020-03-02	11	0.4	1,095,280	75,000
Eclipse Gold Mining Corporation	Common Shares	Allen, Michael	4, 5	2020-02-07	00			
Eclipse Gold Mining Corporation	Common Shares	Allen, Michael	4, 5	2020-02-07	00			
ECN Capital Corp.	Common Shares	ECN CAPITAL CORP.	1	2020-03-02	38	5.4933	158,500	36,600
ECN Capital Corp.	Common Shares	ECN CAPITAL CORP.	1	2020-03-03	38	5.497	280,900	122,400
ECN Capital Corp.	Common Shares	ECN CAPITAL CORP.	1	2020-03-05	38	5.4475	406,231	125,331
ECN Capital Corp.	Deferred Share Units	Goldman, Carol Elizabeth	4	2020-02-28	56	5.6752	216,657	5,999
ECN Capital Corp.	Deferred Share Units	Goldman, Carol Elizabeth	4	2020-02-28	56	5.6752	236,147	19,490
ECN Capital Corp.	Performance Share Units	Hudson, Steven Kenneth	4, 5	2019-03-04	56	4.03		794,301
ECN Capital Corp.	Performance Share Units	Hudson, Steven Kenneth	4, 5	2019-03-04	56	4.03	1,272,985	758,831
ECN Capital Corp.	Performance Share Units	LEPORE, MICHAEL SHANE	5	2019-03-04	56	4.03		195,996
ECN Capital Corp.	Performance Share Units	LEPORE, MICHAEL SHANE	5	2019-03-04	56	4.03	291,024	162,460
ECN Capital Corp.	Common Shares	Lortie, Pierre	4	2020-03-02	51	1.09		4,798
ECN Capital Corp.	Common Shares	Lortie, Pierre	4	2020-03-02	51	1.09		4,798
ECN Capital Corp.	Common Shares	Lortie, Pierre	4	2020-03-02	51	1.09	342,258	4,798
ECN Capital Corp.	Common Shares	Lortie, Pierre	4	2020-03-02	51	1.11	343,707	1,449
ECN Capital Corp.	Common Shares	Lortie, Pierre	4	2020-03-02	51	1.18	377,040	33,333
ECN Capital Corp.	Common Shares	Lortie, Pierre	4	2020-03-02	51	1.66	378,524	1,484
ECN Capital Corp.	Common Shares	Lortie, Pierre	4	2020-03-02	51	1.66		1,484
ECN Capital Corp.	Common Shares	Lortie, Pierre	4	2020-03-02	51	1.66	389,357	10,833
ECN Capital Corp.	Common Shares	Lortie, Pierre	4	2020-03-02	51	1.66	400,190	10,833
ECN Capital Corp.	Common Shares	Lortie, Pierre	4	2020-03-02	51	2.14	403,705	3,515
ECN Capital Corp.	Common Shares	Lortie, Pierre	4	2020-03-02	51	2.14	407,219	3,514
ECN Capital Corp.	Common Shares	Lortie, Pierre	4	2020-03-02	51	2.6	407,899	680
ECN Capital Corp.	Common Shares	Lortie, Pierre	4	2020-03-02	51	2.6	408,579	680
ECN Capital Corp.	Common Shares	Lortie, Pierre	4	2020-03-02	51	2.87	409,069	490
ECN Capital Corp.	Common Shares	Lortie, Pierre	4	2020-03-02	51	2.87	409,559	490
ECN Capital Corp.	Common Shares	Lortie, Pierre	4	2020-03-02	51	2.95	410,148	589
ECN Capital Corp.	Common Shares	Lortie, Pierre	4	2020-03-02	51	2.95	410,737	589
ECN Capital Corp.	Deferred Share Units	Lortie, Pierre	4	2020-02-28	56	5.6752	169,153	3,882
ECN Capital Corp.	Deferred Share Units	Lortie, Pierre	4	2020-02-28	56	5.6752	188,643	19,490
ECN Capital Corp.	Options	Lortie, Pierre	4	2020-03-02	51	1.09		-4,798
ECN Capital Corp.	Options	Lortie, Pierre	4	2020-03-02	51	1.09	169,068	-4,798

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ECN Capital Corp.	Options	Lortie, Pierre	4	2020-03-02	51			-1,449
ECN Capital Corp.	Options	Lortie, Pierre	4	2020-03-02	51	1.11	167,619	-1,449
ECN Capital Corp.	Options	Lortie, Pierre	4	2020-03-02	51	1.18	134,286	-33,333
ECN Capital Corp.	Options	Lortie, Pierre	4	2020-03-02	51	1.66	132,802	-1,484
ECN Capital Corp.	Options	Lortie, Pierre	4	2020-03-02	51	1.66		-1,484
ECN Capital Corp.	Options	Lortie, Pierre	4	2020-03-02	51	1.66	121,969	-10,833
ECN Capital Corp.	Options	Lortie, Pierre	4	2020-03-02	51	1.66	111,136	-10,833
ECN Capital Corp.	Options	Lortie, Pierre	4	2020-03-02	51	2.14	107,621	-3,515
ECN Capital Corp.	Options	Lortie, Pierre	4	2020-03-02	51	2.14	104,107	-3,514
ECN Capital Corp.	Options	Lortie, Pierre	4	2020-03-02	51	2.6	103,427	-680
ECN Capital Corp.	Options	Lortie, Pierre	4	2020-03-02	51	2.6	102,747	-680
ECN Capital Corp.	Options	Lortie, Pierre	4	2020-03-02	51	2.87	102,257	-490
ECN Capital Corp.	Options	Lortie, Pierre	4	2020-03-02	51	2.87	101,767	-490
ECN Capital Corp.	Options	Lortie, Pierre	4	2020-03-02	51	2.95	101,178	-589
ECN Capital Corp.	Options	Lortie, Pierre	4	2020-03-02	51	2.95	100,589	-589
ECN Capital Corp.	Deferred Share Units	Lovatt, William Wayne	4	2020-02-28	56	5.6752	728,816	17,412
ECN Capital Corp.	Deferred Share Units	Lovatt, William Wayne	4	2020-02-28	56	5.6752	833,942	105,126
ECN Capital Corp.	Deferred Share Units	Martin, Karen Lynne	4	2020-02-28	56	5.6752	15,433	3,788
ECN Capital Corp.	Deferred Share Units	Martin, Karen Lynne	4	2020-02-28	56	5.6752	34,923	19,490
ECN Capital Corp.	Deferred Share Units	Morris, David Dyson	4	2020-02-28	56	5.6752	191,528	5,176
ECN Capital Corp.	Deferred Share Units	Morris, David Dyson	4	2020-02-28	56	5.6752	211,018	19,490
ECN Capital Corp.	Deferred Share Units	Stoyan, Paul James	4	2020-02-28	56	5.6752	125,648	3,029
ECN Capital Corp.	Deferred Share Units	Stoyan, Paul James	4	2020-02-28	56	5.6752	145,138	19,490
Eldorado Gold Corporation	Deferred Units (Cash Settled)	Albino, George Vincent	4	2020-03-05	56		65,170	7,751
Eldorado Gold Corporation	Common Shares	Allaway, Cara Lea	5	2020-03-04	30	12.58	796	38
Eldorado Gold Corporation	Options	Allaway, Cara Lea	5	2019-04-01	00			
Eldorado Gold Corporation	Options	Allaway, Cara Lea	5	2020-03-05	50	12.9	12,228	12,228
Eldorado Gold Corporation	Performance Share Units	Allaway, Cara Lea	5	2019-04-01	00			
Eldorado Gold Corporation	Performance Share Units	Allaway, Cara Lea	5	2019-04-01	00			
Eldorado Gold Corporation	Performance Share Units	Allaway, Cara Lea	5	2020-03-05	56			10,200
Eldorado Gold Corporation	Performance Share Units	Allaway, Cara Lea	5	2020-03-05	56			10,200
Eldorado Gold Corporation	Restricted Share Units	Allaway, Cara Lea	5	2019-04-01	00			
Eldorado Gold Corporation	Restricted Share Units	Allaway, Cara Lea	5	2020-03-05	56		5,100	5,100
Eldorado Gold Corporation	Restricted Share Units	Allaway, Cara Lea	5	2020-03-05	56		15,300	10,200
Eldorado Gold Corporation	Common Shares	Aram, Karen Christine	5	2020-03-04	30	12.58	1,965	95
Eldorado Gold Corporation	Options	Aram, Karen Christine	5	2020-03-05	50	12.9	33,108	11,073
Eldorado Gold Corporation	Common Shares	Burns, George Raymond	4, 5	2020-03-04	30	12.58	8,670	497
Eldorado Gold Corporation	Options	Burns, George Raymond	4, 5	2020-03-05	50	12.9	580,438	102,230
Eldorado Gold Corporation	Performance Share Units	Burns, George Raymond	4, 5	2020-03-05	56		362,890	85,271
Eldorado Gold Corporation	Restricted Share Units	Burns, George Raymond	4, 5	2020-03-05	56		172,451	42,635
Eldorado Gold Corporation	Common Shares	CHO, JASON	5	2020-03-04	30	12.58	2,130	99
Eldorado Gold Corporation	Options	CHO, JASON	5	2020-03-05	50	12.9	251,989	35,548
Eldorado Gold Corporation	Performance Share Units	CHO, JASON	5	2020-03-05	56		77,148	29,651
Eldorado Gold Corporation	Restricted Share Units	CHO, JASON	5	2020-03-05	56		112,947	14,825
Eldorado Gold Corporation	Deferred Units (Cash Settled)	Conway, Teresa	4	2020-03-05	56		25,919	7,751
Eldorado Gold Corporation	Options	Dick, Joseph Dennis	5	2020-03-05	50	12.9	188,489	35,548
Eldorado Gold Corporation	Performance Share Units	Dick, Joseph Dennis	5	2019-12-02	00			
Eldorado Gold Corporation	Performance Share Units	Dick, Joseph Dennis	5	2020-03-05	56		29,651	29,651
Eldorado Gold Corporation	Restricted Share Units	Dick, Joseph Dennis	5	2019-12-02	00			
Eldorado Gold Corporation	Restricted Share Units	Dick, Joseph Dennis	5	2020-03-05	56		14,825	14,825
Eldorado Gold Corporation	Options	Garvin, Timothy Francis	5	2020-03-05	50	12.9	108,029	29,972
Eldorado Gold Corporation	Performance Share Units	Garvin, Timothy Francis	5	2020-03-05	56		57,175	25,000
Eldorado Gold Corporation	Restricted Share Units	Garvin, Timothy Francis	5	2020-03-05	56		79,191	12,500
Eldorado Gold Corporation	Deferred Units (Cash Settled)	Gibson, Pamela Mae	4	2020-03-05	56		50,691	7,751

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Eldorado Gold Corporation	Deferred Units (Cash Settled)	Handley, Geoffrey Arthur	4	2020-03-05	56		71,908	7,751
Eldorado Gold Corporation	Options	Houston, Samuel Allen	5	2020-03-02	00			
Eldorado Gold Corporation	Options	Houston, Samuel Allen	5	2020-03-05	50	12.9	16,031	16,031
Eldorado Gold Corporation	Performance Share Units	Houston, Samuel Allen	5	2020-03-02	00			
Eldorado Gold Corporation	Performance Share Units	Houston, Samuel Allen	5	2020-03-05	56		13,372	13,372
Eldorado Gold Corporation	Restricted Share Units	Houston, Samuel Allen	5	2020-03-02	00			
Eldorado Gold Corporation	Restricted Share Units	Houston, Samuel Allen	5	2020-03-05	56		6,686	6,686
Eldorado Gold Corporation	Options	Lewis, Peter Dubois	5	2020-03-05	50	12.9	182,253	16,968
Eldorado Gold Corporation	Performance Share Units	Lewis, Peter Dubois	5	2020-03-05	56		14,153	14,153
Eldorado Gold Corporation	Restricted Share Units	Lewis, Peter Dubois	5	2020-03-05	56		79,471	7,076
Eldorado Gold Corporation	Options	Ower, Lisa Marie	5	2020-03-05	50	12.9	48,739	14,629
Eldorado Gold Corporation	Restricted Share Units	Ower, Lisa Marie	5	2020-03-05	56		40,768	6,101
Eldorado Gold Corporation	Restricted Share Units	Ower, Lisa Marie	5	2020-03-05	56		52,970	12,202
Eldorado Gold Corporation	Deferred Units (Cash Settled)	Price, Michael	4	2020-03-05	56		56,527	7,751
Eldorado Gold Corporation	Deferred Units (Cash Settled)	Reid, Steven Paul	4	2020-03-05	56		82,346	7,751
Eldorado Gold Corporation	Options	Silva, Lincoln	5	2020-03-05	50	12.9	143,185	10,724
Eldorado Gold Corporation	Restricted Share Units	Silva, Lincoln	5	2020-03-05	56		62,516	4,472
Eldorado Gold Corporation	Restricted Share Units	Silva, Lincoln	5	2020-03-05	56		71,461	8,945
Eldorado Gold Corporation	Common Shares	Skayman, Paul James	5	2020-03-04	30	12.58	4,811	268
Eldorado Gold Corporation	Options	Stanca, Nicolae	5	2020-03-05	50	12.9	136,899	13,841
Eldorado Gold Corporation	Restricted Share Units	Stanca, Nicolae	5	2020-03-05	56		73,085	5,772
Eldorado Gold Corporation	Restricted Share Units	Stanca, Nicolae	5	2020-03-05	56		84,630	11,545
Eldorado Gold Corporation	Deferred Units (Cash Settled)	Webster, John	4	2020-03-05	56		64,129	7,751
Eldorado Gold Corporation	Common Shares	Yee, Philip Chow	5	2020-03-04	30	12.58	2,058	99
Eldorado Gold Corporation	Options	Yee, Philip Chow	5	2020-03-05	50	12.9	608,026	35,548
Eldorado Gold Corporation	Performance Share Units	Yee, Philip Chow	5	2020-03-05	56		135,284	29,651
Eldorado Gold Corporation	Restricted Share Units	Yee, Philip Chow	5	2020-03-05	56		206,285	14,825
Element Fleet Management Corp. (formerly Element Financial Corporation)	Deferred Share Units	Clarke, Andrew	4	2019-12-31	30		35,683	394
Element Fleet Management Corp. (formerly Element Financial Corporation)	Deferred Share Units	Clarke, Andrew	4	2020-03-06	56	12.683	39,967	4,284
Element Fleet Management Corp. (formerly Element Financial Corporation)	Performance Share Units	Colman, David	5	2019-12-31	30		80,268	1,422
Element Fleet Management Corp. (formerly Element Financial Corporation)	Performance Share Units	Colman, David	5	2020-03-06	56	12.683	98,562	18,294
Element Fleet Management Corp. (formerly Element Financial Corporation)	Restricted Share Units	Colman, David	5	2019-12-31	30		23,570	806
Element Fleet Management Corp. (formerly Element Financial Corporation)	Restricted Share Units	Colman, David	5	2020-03-06	56	12.683	29,668	6,098
Element Fleet Management Corp. (formerly Element Financial Corporation)	Performance Share Units	Culmone, Vito	5	2019-12-31	30		132,097	2,343
Element Fleet Management Corp. (formerly Element Financial Corporation)	Performance Share Units	Culmone, Vito	5	2020-03-06	56	12.683	178,294	46,197
Element Fleet Management Corp. (formerly Element Financial Corporation)	Restricted Share Units	Culmone, Vito	5	2019-12-31	30		19,817	1,277
Element Fleet Management Corp. (formerly Element Financial Corporation)	Restricted Share Units	Culmone, Vito	5	2020-03-06	56	12.683	88,805	68,988
Element Fleet Management Corp. (formerly Element Financial Corporation)	Deferred Share Units	Damp, Paul	4	2019-12-31	30		92,423	1,394

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Element Fleet Management Corp. (formerly Element Financial Corporation)	Deferred Share Units	Damp, Paul	4	2020-03-06	56	12.683	96,839	4,416
Element Fleet Management Corp. (formerly Element Financial Corporation)	Deferred Share Units	Denison, David Francis	4	2019-12-31	30		42,817	487
Element Fleet Management Corp. (formerly Element Financial Corporation)	Deferred Share Units	Denison, David Francis	4	2020-03-06	56	12.683	50,617	7,800
Element Fleet Management Corp. (formerly Element Financial Corporation)	Performance Share Units	Forbes, Jay A.	4, 5	2019-12-31	30		482,523	8,556
Element Fleet Management Corp. (formerly Element Financial Corporation)	Performance Share Units	Forbes, Jay A.	4, 5	2020-03-06	56	12.683	689,486	206,963
Element Fleet Management Corp. (formerly Element Financial Corporation)	Restricted Share Units	Forbes, Jay A.	4, 5	2019-12-31	30		62,418	1,277
Element Fleet Management Corp. (formerly Element Financial Corporation)	Restricted Share Units	Forbes, Jay A.	4, 5	2020-03-06	56	12.683	131,406	68,988
Element Fleet Management Corp. (formerly Element Financial Corporation)	Performance Share Units	Gittens, Christopher Michael Wyndham	5	2019-12-31	30		42,390	752
Element Fleet Management Corp. (formerly Element Financial Corporation)	Performance Share Units	Gittens, Christopher Michael Wyndham	5	2020-03-06	56	12.683	65,673	23,283
Element Fleet Management Corp. (formerly Element Financial Corporation)	Restricted Share Units	Gittens, Christopher Michael Wyndham	5	2019-12-31	30		32,930	737
Element Fleet Management Corp. (formerly Element Financial Corporation)	Restricted Share Units	Gittens, Christopher Michael Wyndham	5	2020-03-06	56	12.683	40,691	7,761
Element Fleet Management Corp. (formerly Element Financial Corporation)	Deferred Share Units	Graham, George Keith	4	2019-12-31	30		34,960	381
Element Fleet Management Corp. (formerly Element Financial Corporation)	Deferred Share Units	Graham, George Keith	4	2020-03-06	56	12.683	37,102	2,142
Element Fleet Management Corp. (formerly Element Financial Corporation)	Deferred Share Units	Greene, Alexander David	4	2019-12-31	30		35,683	394
Element Fleet Management Corp. (formerly Element Financial Corporation)	Deferred Share Units	Greene, Alexander David	4	2020-03-06	56	12.683	39,967	4,284
Element Fleet Management Corp. (formerly Element Financial Corporation)	Performance Share Units	Gupta, Vineet	5	2019-12-31	30		48,612	862
Element Fleet Management Corp. (formerly Element Financial Corporation)	Performance Share Units	Gupta, Vineet	5	2020-03-06	56	12.683	76,330	27,718
Element Fleet Management Corp. (formerly Element Financial Corporation)	Restricted Share Units	Gupta, Vineet	5	2019-12-31	30		38,889	689
Element Fleet Management Corp. (formerly Element Financial Corporation)	Restricted Share Units	Gupta, Vineet	5	2020-03-06	56	12.683	48,128	9,239
Element Fleet Management Corp. (formerly Element Financial Corporation)	Performance Share Units	Halliday, James	5	2019-12-31	30		298,957	4,546
Element Fleet Management Corp. (formerly Element Financial Corporation)	Performance Share Units	Halliday, James	5	2020-03-06	56	12.683	337,817	63,064
Element Fleet Management Corp. (formerly Element Financial Corporation)	Restricted Share Units	Halliday, James	5	2019-12-31	30		204,036	5,208
Element Fleet Management Corp. (formerly Element Financial Corporation)	Restricted Share Units	Halliday, James	5	2020-03-06	56	12.683	225,057	21,021

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Element Fleet Management Corp. (formerly Element Financial Corporation)	Performance Share Units	Kaufman, Israel	5	2019-12-03	00			
Element Fleet Management Corp. (formerly Element Financial Corporation)	Performance Share Units	Kaufman, Israel	5	2020-03-06	56	12.683	22,175	22,175
Element Fleet Management Corp. (formerly Element Financial Corporation)	Restricted Share Units	Kaufman, Israel	5	2019-12-31	30		43,410	165
Element Fleet Management Corp. (formerly Element Financial Corporation)	Restricted Share Units	Kaufman, Israel	5	2020-03-06	56	12.683	50,802	7,392
Element Fleet Management Corp. (formerly Element Financial Corporation)	Deferred Share Units	Lamm-Tennant, Joan	4	2019-12-31	30		165,686	2,592
Element Fleet Management Corp. (formerly Element Financial Corporation)	Deferred Share Units	Lamm-Tennant, Joan	4	2020-03-06	56	12.683	170,102	4,416
Element Fleet Management Corp. (formerly Element Financial Corporation)	Performance Share Units	Madrigal Gonzalez, Carlos David	5	2019-12-31	30		25,023	444
Element Fleet Management Corp. (formerly Element Financial Corporation)	Performance Share Units	Madrigal Gonzalez, Carlos David	5	2020-03-06	56	12.683	48,821	23,798
Element Fleet Management Corp. (formerly Element Financial Corporation)	Restricted Share Units	Madrigal Gonzalez, Carlos David	5	2019-12-31	30		22,701	516
Element Fleet Management Corp. (formerly Element Financial Corporation)	Restricted Share Units	Madrigal Gonzalez, Carlos David	5	2020-03-06	56	12.683	30,634	7,933
Element Fleet Management Corp. (formerly Element Financial Corporation)	Deferred Share Units	McDougal, Rubin Jay	4	2019-12-31	30		39,014	418
Element Fleet Management Corp. (formerly Element Financial Corporation)	Deferred Share Units	McDougal, Rubin Jay	4	2020-03-06	56	12.683	43,430	4,416
Element Fleet Management Corp. (formerly Element Financial Corporation)	Performance Share Units	McGillivray, Jacqueline Angela Thomson	5	2019-12-31	30		85,069	1,509
Element Fleet Management Corp. (formerly Element Financial Corporation)	Performance Share Units	McGillivray, Jacqueline Angela Thomson	5	2020-03-06	56	12.683	113,342	28,273
Element Fleet Management Corp. (formerly Element Financial Corporation)	Restricted Share Units	McGillivray, Jacqueline Angela Thomson	5	2019-12-31	30		4,858	99
Element Fleet Management Corp. (formerly Element Financial Corporation)	Restricted Share Units	McGillivray, Jacqueline Angela Thomson	5	2020-03-06	56	12.683	14,282	9,424
Element Fleet Management Corp. (formerly Element Financial Corporation)	Performance Share Units	Parker, Jonathan K.	5	2019-12-31	30		60,466	1,072
Element Fleet Management Corp. (formerly Element Financial Corporation)	Restricted Share Units	Parker, Jonathan K.	5	2019-12-31	30		60,092	1,432
Element Fleet Management Corp. (formerly Element Financial Corporation)	Deferred Share Units	Rosen, Andrea Sarah	4	2019-12-31	30		13,432	102
Element Fleet Management Corp. (formerly Element Financial Corporation)	Deferred Share Units	Rosen, Andrea Sarah	4	2020-03-06	56	12.683	17,716	4,284
Eloro Resources Ltd.	Common Shares	LARSEN, THOMAS	4, 5	2020-03-04	10	0.34	683,325	100,000
Eloro Resources Ltd.	Common Shares	LARSEN, THOMAS	4, 5	2020-02-28	10	0.36	1,652,672	6,000
Eloro Resources Ltd.	Common Shares	LARSEN, THOMAS	4, 5	2020-03-02	10	0.36	1,653,172	500
Eloro Resources Ltd.	Common Shares	LARSEN, THOMAS	4, 5	2020-02-28	10	0.36		6,000
Emera Incorporated	Common Shares	Sidebottom, Mark	7	2020-03-04	51	46.19	2,487	1,650
Emera Incorporated	Common Shares	Sidebottom, Mark	7	2020-03-04	51	45.16	4,237	1,750
Emera Incorporated	Common Shares	Sidebottom, Mark	7	2020-03-04	51	39.93	6,262	2,025
Emera Incorporated	Common Shares	Sidebottom, Mark	7	2020-03-04	51	46.39	8,062	1,800
Emera Incorporated	Common Shares	Sidebottom, Mark	7	2020-03-04	10	59.5	837	-7,225

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Emera Incorporated	Options	Sidebottom, Mark	7	2020-03-04	51	46.19	16,775	-1,650
Emera Incorporated	Options	Sidebottom, Mark	7	2020-03-04	51	45.16	15,025	-1,750
Emera Incorporated	Options	Sidebottom, Mark	7	2020-03-04	51	39.93	13,000	-2,025
Emera Incorporated	Options	Sidebottom, Mark	7	2020-03-04	51	46.39	11,200	-1,800
Endeavour Silver Corp.	Rights Deferred Share Unit	Beck, Margaret	4	2020-03-02	56	2.14	124,033	62,750
Endeavour Silver Corp.	Rights Deferred Share Unit	Campoy, Ricardo Moreno	4	2020-03-02	56	2.14	92,402	62,750
Endeavour Silver Corp.	Options	Castro, Luis Renato	5	2020-03-02	50		687,000	183,000
Endeavour Silver Corp.	Rights Performance Share Units	Castro, Luis Renato	5	2020-03-02	56	2.14	217,000	88,000
Endeavour Silver Corp.	Options	Cooke, Bradford	4, 5	2020-03-02	50		1,889,000	406,000
Endeavour Silver Corp.	Rights Performance Share Units	Cooke, Bradford	4, 5	2020-03-02	56	2.14	484,000	195,000
Endeavour Silver Corp.	Rights Performance Share Units	Dickson, Daniel	5	2020-03-02	56	2.14	305,000	123,000
Endeavour Silver Corp.	Options	Handley, Geoffrey Arthur	4	2020-03-02	50		197,000	65,000
Endeavour Silver Corp.	Rights Deferred Share Unit	Handley, Geoffrey Arthur	4	2020-03-02	56	2.14	268,895	31,370
Endeavour Silver Corp.	Options	Mah, Dale	5	2020-03-02	50		444,000	123,000
Endeavour Silver Corp.	Rights Performance Share Units	Mah, Dale	5	2020-03-02	56	2.14	146,000	59,000
Endeavour Silver Corp.	Rights Deferred Share Unit	McLennan, Rex John	4	2020-03-02	56	2.14	265,129	62,750
Endeavour Silver Corp.	Options	Pickering, Kenneth William	4	2020-03-02	50		155,500	65,000
Endeavour Silver Corp.	Rights Deferred Share Unit	Pickering, Kenneth William	4	2020-03-02	56	2.14	241,322	31,370
Endeavour Silver Corp.	Options	Shakesby, Nicholas Gordon	5	2020-03-02	50		408,000	250,000
Endeavour Silver Corp.	Rights Performance Share Units	Shakesby, Nicholas Gordon	5	2020-03-02	56	2.14	198,000	119,000
Endeavour Silver Corp.	Rights Deferred Share Unit	Szotlender, Mario	4	2020-03-02	56		211,344	62,750
Endeavour Silver Corp.	Options	Walton, Godfrey John	4	2020-03-02	50	2.14	1,608,000	343,000
Endeavour Silver Corp.	Rights Performance Share Units	Walton, Godfrey John	4	2020-03-02	56	2.14	403,000	163,000
Endeavour Silver Corp.	Options	West, Christine Deborah	5	2020-03-02	50		404,000	123,000
Endeavour Silver Corp.	Rights Performance Share Units	West, Christine Deborah	5	2020-03-02	56	2.14	146,000	59,000
Endurance Gold Corporation	Common Shares	Boyd, Robert T.	4, 5	2020-03-02	10	0.045	1,651,500	6,000
Endurance Gold Corporation	Common Shares	Boyd, Robert T.	4, 5	2020-03-03	10	0.045	1,655,500	4,000
Endurance Gold Corporation	Common Shares	Boyd, Robert T.	4, 5	2020-03-06	10	0.045	1,660,500	5,000
Enerdynamic Hybrid Technologies Corp.	Common Shares	Woolford, David	4	2020-02-28	10	0.03	23,323,914	100,000
Enerdynamic Hybrid Technologies Corp.	Common Shares	Woolford, David	4	2020-03-04	10	0.0278	23,440,014	116,100
Enerdynamic Hybrid Technologies Corp.	Common Shares	Woolford, David	4	2020-03-04	10	0.03	23,588,914	148,900
Enerflex Ltd.	Common Shares	Bishnoi, Sanjay	5	2019-09-09	00			
Enerflex Ltd.	Common Shares	Bishnoi, Sanjay	5	2020-02-28	10	6.9346	5,000	5,000
Enerflex Ltd.	Common Shares	Bishnoi, Sanjay	5	2020-03-02	10	7.5565	9,000	4,000
Enerflex Ltd.	Common Shares	Bishnoi, Sanjay	5	2020-03-05	10	7.083	14,000	5,000
Enerflex Ltd.	Rights Deferred Share Units (cash settled)	Jack, Andrew	5	2018-11-19	00			
Enerflex Ltd.	Rights Deferred Share Units (cash settled)	Jack, Andrew	5	2020-03-02	46	7.3	25,103	25,103
Enerflex Ltd.	Rights Deferred Share Units (cash settled)	Pyle, Phillip	5	2020-03-02	46	7.3	35,904	12,344
Enerflex Ltd.	Rights Deferred Share Units (cash settled)	Rossiter, Marc Edward	5	2020-03-02	46	7.3	86,681	67,077
Enerflex Ltd.	Common Shares	Stewart, Gregory Dean	5	2020-03-05	10	7.179	13,113	700
Enerflex Ltd.	Common Shares	Stewart, Gregory Dean	5	2020-03-05	10	7.175	15,913	2,800
Enerflex Ltd.	Common Shares	Villegas, Juan Carlos	4	2020-03-03	10	7.28	28,800	13,600
Enerflex Ltd.	Common Shares	Weill, Michael	4	2020-02-28	10	5.5312	10,000	6,000

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Enerplus Corporation	Common Shares	Daniels, Raymond John	5	2020-03-03	57	5.68	34,786	14,336
Enerplus Corporation	Common Shares	Daniels, Raymond John	5	2020-03-03	10	5.68	20,450	-14,336
Enerplus Corporation	Common Shares (Performance Share Unit Plan ("PSU"))	Daniels, Raymond John	5	2020-03-01	56	8.8041	273,162	96,063
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Daniels, Raymond John	5	2020-03-01	56	8.8041	88,557	32,021
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Daniels, Raymond John	5	2020-03-03	57	5.68	61,568	-26,989
Enerplus Corporation	Options (Stock Option Plan)	Daniels, Raymond John	5	2020-03-05	52	13.96	0	-192,814
Enerplus Corporation	Common Shares	Doll, Garth Robert	5	2020-03-03	57	5.68	2,040	1,847
Enerplus Corporation	Common Shares	Doll, Garth Robert	5	2020-03-03	10	5.68	193	-1,847
Enerplus Corporation	Common Shares (Performance Share Unit Plan ("PSU"))	Doll, Garth Robert	5	2020-03-01	56	8.8041	57,173	31,530
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Doll, Garth Robert	5	2020-03-01	56	8.8041	18,988	10,510
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Doll, Garth Robert	5	2020-03-03	57	5.68	15,231	-3,757
Enerplus Corporation	Common Shares	DUNDAS, Ian Charles	5	2020-03-03	57	5.68	121,194	31,342
Enerplus Corporation	Common Shares	DUNDAS, Ian Charles	5	2020-03-03	10	5.68	89,852	-31,342
Enerplus Corporation	Common Shares	DUNDAS, Ian Charles	5	2020-03-04	57	5.68		31,342
Enerplus Corporation	Common Shares	DUNDAS, Ian Charles	5	2020-03-04	10	5.68		-31,342
Enerplus Corporation	Common Shares (Performance Share Unit Plan ("PSU"))	DUNDAS, Ian Charles	5	2020-03-01	56	8.8041	629,908	253,710
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	DUNDAS, Ian Charles	5	2020-03-01	56	8.8041	207,173	84,570
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	DUNDAS, Ian Charles	5	2020-03-01	57	5.68		-58,995
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	DUNDAS, Ian Charles	5	2020-03-03	57	5.68	148,178	-58,995
Enerplus Corporation	Options (Stock Option Plan)	DUNDAS, Ian Charles	5	2020-03-05	52	13.96	0	-538,887
Enerplus Corporation	Common Shares	Eichinger, Terry Scott	5	2020-03-03	57	5.68	6,578	3,578
Enerplus Corporation	Common Shares	Eichinger, Terry Scott	5	2020-03-03	10	5.68	3,000	-3,578
Enerplus Corporation	Common Shares (Performance Share Unit Plan ("PSU"))	Eichinger, Terry Scott	5	2020-03-01	56	8.8041	80,682	34,020
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Eichinger, Terry Scott	5	2020-03-01	56	8.8041	26,643	11,340
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Eichinger, Terry Scott	5	2020-03-03	56	5.68	19,897	-6,746
Enerplus Corporation	Options (Stock Option Plan)	Eichinger, Terry Scott	5	2020-03-05	52	13.96	0	-33,691
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2020-02-03	38	5.01	25,000	25,000
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2020-02-04	38	5.01	50,000	25,000
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2020-02-05	38	5.14	75,000	25,000
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2020-02-06	38	5.26	100,000	25,000
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2020-02-07	38	5.1	125,000	25,000
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2020-02-07	38	5.01	100,000	-25,000

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Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2020-02-07	38	5.01	75,000	-25,000
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2020-02-07	38	5.14	50,000	-25,000
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2020-02-10	38	5.08	75,000	25,000
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2020-02-11	38	5.15	100,000	25,000
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2020-02-12	38	5.22	125,000	25,000
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2020-02-13	38	5.28	148,827	23,827
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2020-02-14	38	5.26	123,827	-25,000
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2020-02-14	38	5.1	98,827	-25,000
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2020-02-14	38	5.08	73,827	-25,000
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2020-02-14	38	5.15	48,827	-25,000
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2020-02-14	38	5.22	23,827	-25,000
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2020-02-21	38	5.28	0	-23,827
Enerplus Corporation	Common Shares	Fisher, Nathan Douglas	5	2020-03-03	57	5.68	29,656	8,565
Enerplus Corporation	Common Shares	Fisher, Nathan Douglas	5	2020-03-03	10	5.68	21,091	-8,565
Enerplus Corporation	Common Shares (Performance Share Unit Plan ("PSU"))	Fisher, Nathan Douglas	5	2020-03-01	56	8.8041	146,090	58,573
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Fisher, Nathan Douglas	5	2020-03-01	56	8.8041	47,670	19,524
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Fisher, Nathan Douglas	5	2020-03-03	57	5.68	34,358	-13,312
Enerplus Corporation	Options (Stock Option Plan)	Fisher, Nathan Douglas	5	2020-03-05	52	13.96	0	-21,844
Enerplus Corporation	Common Shares	Fitzgerald, Daniel John	5	2020-03-03	57	5.68	30,067	4,717
Enerplus Corporation	Common Shares	Fitzgerald, Daniel John	5	2020-03-03	10	5.68	25,350	-4,717
Enerplus Corporation	Common Shares (Performance Share Unit Plan ("PSU"))	Fitzgerald, Daniel John	5	2020-03-01	56	8.8041	90,786	35,140
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Fitzgerald, Daniel John	5	2020-03-01	56	8.8041	29,724	11,713
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Fitzgerald, Daniel John	5	2020-03-03	57	5.68	20,850	-8,874
Enerplus Corporation	Options (Stock Option Plan)	Fitzgerald, Daniel John	5	2020-03-05	52	13.96	0	-26,450
Enerplus Corporation	Common Shares	Hoffman, John Edward	5	2020-03-02	10	5.703	18,795	5,000
Enerplus Corporation	Common Shares	Hoffman, John Edward	5	2020-03-03	57	5.68	23,683	4,888
Enerplus Corporation	Common Shares	Hoffman, John Edward	5	2020-03-03	10	5.68	18,795	-4,888
Enerplus Corporation	Common Shares (Performance Share Unit Plan ("PSU"))	Hoffman, John Edward	5	2020-03-01	56	8.8041	94,831	36,737
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Hoffman, John Edward	5	2020-03-01	56	8.8041	30,981	12,246
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Hoffman, John Edward	5	2020-03-03	57	5.68	21,785	-9,196
Enerplus Corporation	Common Shares	Hutchings, Wade Don	5	2020-03-03	10	4.25	47,000	47,000
Enerplus Corporation	Common Shares (Performance Share Unit Plan ("PSU"))	Hutchings, Wade Don	5	2020-03-01	56	8.8041	117,665	117,665

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Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Hutchings, Wade Don	5	2020-03-01	56	8.8041	39,222	39,222
Enerplus Corporation	Common Shares	Jenson Labrie, Jodine Julene	5	2020-03-03	57	5.68	62,004	13,536
Enerplus Corporation	Common Shares	Jenson Labrie, Jodine Julene	5	2020-03-03	10	5.68	48,468	-13,536
Enerplus Corporation	Common Shares	Jenson Labrie, Jodine Julene	5	2020-03-04	57	5.68		13,536
Enerplus Corporation	Common Shares	Jenson Labrie, Jodine Julene	5	2020-03-04	10	5.68		-13,536
Enerplus Corporation	Common Shares	Jenson Labrie, Jodine Julene	5	2020-03-04	10	5.68		-13,536
Enerplus Corporation	Common Shares (Performance Share Unit Plan ("PSU"))	Jenson Labrie, Jodine Julene	5	2020-03-01	56	8.8041	283,868	117,665
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Jenson Labrie, Jodine Julene	5	2020-03-01	56	8.8041	92,888	39,222
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Jenson Labrie, Jodine Julene	5	2020-03-03	57	5.68	67,403	-25,485
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Jenson Labrie, Jodine Julene	5	2020-03-04	57	5.68		-25,485
Enerplus Corporation	Options (Stock Option Plan)	Jenson Labrie, Jodine Julene	5	2020-03-05	52	13.96	0	-81,594
Enerplus Corporation	Common Shares	MCCOY, David	5	2020-03-01	57	5.68		5,555
Enerplus Corporation	Common Shares	MCCOY, David	5	2020-03-01	10	5.68		-5,555
Enerplus Corporation	Common Shares	MCCOY, David	5	2020-03-03	57	5.68	22,385	5,555
Enerplus Corporation	Common Shares	MCCOY, David	5	2020-03-03	10	5.68	16,830	-5,555
Enerplus Corporation	Common Shares (Performance Share Unit Plan ("PSU"))	MCCOY, David	5	2020-03-01	56	8.8041	107,434	42,871
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	MCCOY, David	5	2020-03-01	56	8.8041	35,513	14,290
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	MCCOY, David	5	2020-03-01	57	5.68		-10,452
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	MCCOY, David	5	2020-03-03	57	5.68	25,061	-10,452
Enerplus Corporation	Options (Stock Option Plan)	MCCOY, David	5	2020-03-05	52	13.96	0	-145,266
Enerplus Corporation	Common Shares	McLaughlin, Edward	5	2020-03-03	57	5.68	28,809	10,544
Enerplus Corporation	Common Shares	McLaughlin, Edward	5	2020-03-03	10	5.68	18,265	-10,544
Enerplus Corporation	Common Shares (Performance Share Unit Plan ("PSU"))	McLaughlin, Edward	5	2020-03-01	56	8.8041	170,462	66,273
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	McLaughlin, Edward	5	2020-03-01	56	8.8041	55,828	22,091
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	McLaughlin, Edward	5	2020-03-03	57	5.68	39,450	-16,378
Enerplus Corporation	Options (Stock Option Plan)	McLaughlin, Edward	5	2020-03-05	52	13.96	0	-50,000
Enerplus Corporation	Common Shares	Morihira, Shaina Brianne	5	2020-03-03	57	5.68	26,523	3,279
Enerplus Corporation	Common Shares	Morihira, Shaina Brianne	5	2020-03-03	10	5.68	23,244	-3,279
Enerplus Corporation	Common Shares (Performance Share Unit Plan ("PSU"))	Morihira, Shaina Brianne	5	2020-03-01	56	8.8041	74,038	30,668
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Morihira, Shaina Brianne	5	2020-03-01	56	8.8041	23,958	10,223

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Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Morihira, Shaina Brianne	5	2020-03-03	57	5.68	17,776	-6,182
Enerplus Corporation	Common Shares	Pew, Elliott	4	2020-02-27	10	4.3198	56,070	11,470
Enerplus Corporation	Common Shares	Pew, Elliott	4	2020-02-27	10	4.3198	67,540	11,470
Enerplus Corporation	Common Shares	Pew, Elliott	4	2020-02-28	10	4.3198		11,470
Enerplus Corporation	Common Shares	Pew, Elliott	4	2020-02-28	10	4.3198		11,470
Enterprise Group, Inc.	Common Shares	Enterprise Group, Inc.	1	2020-02-03	38	0.19	2,000	2,000
Enterprise Group, Inc.	Common Shares	Enterprise Group, Inc.	1	2020-02-03	38	0.19	0	-2,000
Enterprise Group, Inc.	Common Shares	Enterprise Group, Inc.	1	2020-02-04	38	0.19	6,500	6,500
Enterprise Group, Inc.	Common Shares	Enterprise Group, Inc.	1	2020-02-04	38	0.19	0	-6,500
Enterprise Group, Inc.	Common Shares	Enterprise Group, Inc.	1	2020-02-06	38	0.19	2,000	2,000
Enterprise Group, Inc.	Common Shares	Enterprise Group, Inc.	1	2020-02-06	38	0.19	0	-2,000
Enterprise Group, Inc.	Common Shares	Enterprise Group, Inc.	1	2020-02-07	38	0.19	6,500	6,500
Enterprise Group, Inc.	Common Shares	Enterprise Group, Inc.	1	2020-02-07	38	0.19	0	-6,500
Enterprise Group, Inc.	Common Shares	Enterprise Group, Inc.	1	2020-02-10	38	0.19	4,500	4,500
Enterprise Group, Inc.	Common Shares	Enterprise Group, Inc.	1	2020-02-10	38	0.19	0	-4,500
Enterprise Group, Inc.	Common Shares	Enterprise Group, Inc.	1	2020-02-10	38	0.19	4,000	4,000
Enterprise Group, Inc.	Common Shares	Enterprise Group, Inc.	1	2020-02-10	38	0.19	0	-4,000
Enterprise Group, Inc.	Common Shares	Enterprise Group, Inc.	1	2020-02-19	38	0.185	1,000	1,000
Enterprise Group, Inc.	Common Shares	Enterprise Group, Inc.	1	2020-02-19	38	0.185	0	-1,000
Enterprise Group, Inc.	Common Shares	Enterprise Group, Inc.	1	2020-02-24	38	0.185	7,500	7,500
Enterprise Group, Inc.	Common Shares	Enterprise Group, Inc.	1	2020-02-24	38	0.185	0	-7,500
Enterprise Group, Inc.	Common Shares	Enterprise Group, Inc.	1	2020-02-26	38	0.175	8,500	8,500
Enterprise Group, Inc.	Common Shares	Enterprise Group, Inc.	1	2020-02-26	38	0.175	0	-8,500
EnWave Corporation	Common Shares	Budreski, John Philip Adrian	4	2020-03-02	10	1.09	1,677,911	6,500
Epsilon Energy Ltd.	Common Shares	AZVALOR ASSET MANAGEMENT SGIIC	3	2020-03-02	10		251,640	-100,000
Epsilon Energy Ltd.	Common Shares	Epsilon Energy Ltd.	1	2020-02-28	38	2.9854	363,570	2,800
Epsilon Energy Ltd.	Common Shares	Epsilon Energy Ltd.	1	2020-03-02	38	3.04	463,270	99,700
Epsilon Energy Ltd.	Common Shares	Epsilon Energy Ltd.	1	2020-03-03	38	3.06	463,370	100
Epsilon Energy Ltd.	Common Shares	Epsilon Energy Ltd.	1	2020-03-05	38	3.0523	465,570	2,200
Epsilon Energy Ltd.	Common Shares	Epsilon Energy Ltd.	1	2020-03-06	38	3.0142	467,970	2,400
Epsilon Energy Ltd.	Common Shares	Roebuck, Ryan	4	2020-03-03	56		35,000	12,000
Equinox Gold Corp. (formerly Trek Mining Inc.)	Common Shares	Baillie, Rhylin Pauline Arkininstall	5	2020-03-04	10	10.82	29,574	1,000
Equitable Group Inc.	Rights Deferred Share Units	Emory, Michael R.	4	2019-05-21	56	72.46	3,895	690
Equitable Group Inc.	Rights Deferred Share Units	Emory, Michael R.	4	2019-12-31	30		3,952	57
Equitable Group Inc.	Common Shares	Ericksen, Susan	4	2020-02-28	10	88.85	900	400
Equitable Group Inc.	Rights Deferred Share Units	Sera, Maria Vincenza	4	2019-05-21	56	72.46	4,620	690
Equitable Group Inc.	Rights Deferred Share Units	Sera, Maria Vincenza	4	2019-12-31	30		4,687	67
Equitable Group Inc.	Common Shares	Simoaes, Joao Da Costa	5	2020-02-28	51	36.11	3,994	830
Equitable Group Inc.	Common Shares	Simoaes, Joao Da Costa	5	2020-02-28	10	86.5	3,498	-496
Equitable Group Inc.	Options Options granted	Simoaes, Joao Da Costa	5	2020-02-28	51	36.11	9,358	-830
Equitable Group Inc.	Common Shares	Sperling, Jody Lynn	5	2020-03-04	51	36.11		2,464
Equitable Group Inc.	Common Shares	Sperling, Jody Lynn	5	2020-03-04	51	36.11		2,464
Equitable Group Inc.	Common Shares	Sperling, Jody Lynn	5	2020-03-04	10	90.44		-1,477
Equitable Group Inc.	Common Shares	Sperling, Jody Lynn	5	2020-03-04	10	90.44		-1,477
Equitable Group Inc.	Common Shares	Sperling, Jody Lynn	5	2020-03-04	51	36.11	3,275	2,462
Equitable Group Inc.	Common Shares	Sperling, Jody Lynn	5	2020-03-04	10	90.44	1,798	-1,477
Equitable Group Inc.	Options Options granted	Sperling, Jody Lynn	5	2020-03-04	51	36.11		-2,464
Equitable Group Inc.	Options Options granted	Sperling, Jody Lynn	5	2020-03-04	51	36.11		-2,464
Equitable Group Inc.	Options Options granted	Sperling, Jody Lynn	5	2020-03-04	51	36.11	15,837	-2,462
Equitorial Exploration Corp.	Common Shares	Jassal, Brijender (Binny) Jassal	4, 5	2020-03-04	10	0.01	250,000	150,000

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ESSA Pharma Inc.	Common Shares	BERGER, FRANKLIN MILAN	4	2020-03-02	10	4.8024	556,504	14,094
ESSA Pharma Inc.	Common Shares	BERGER, FRANKLIN MILAN	4	2020-03-02	10	5.0049	557,420	916
European Focused Dividend Fund	Trust Units	European Focused Dividend Fund	1	2020-02-27	38	7.63	1,107,400	1,000
European Focused Dividend Fund	Trust Units	European Focused Dividend Fund	1	2020-02-28	38	7.5212	1,109,900	2,500
European Focused Dividend Fund	Trust Units	European Focused Dividend Fund	1	2020-03-02	38	7.4686	1,110,600	700
European Focused Dividend Fund	Trust Units	European Focused Dividend Fund	1	2020-03-03	38	7.55	1,111,200	600
European Focused Dividend Fund	Trust Units	European Focused Dividend Fund	1	2020-03-04	38	7.55	1,111,400	200
Excelsior Mining Corp.	Common Shares	BAER, ROGER ALAN	5	2020-02-28	00			
Excelsior Mining Corp.	Options Incentive Stock	BAER, ROGER ALAN	5	2020-02-28	00		350,000	
Exchange Income Corporation	Common Shares	Craik, Polly	4	2020-03-02	10	40.35	3,470	220
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-02-03	38	7.7608	129,400	54,800
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-02-04	38	7.911	138,400	9,000
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-02-05	38	8.084	147,400	9,000
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-02-06	38	8.0947	150,600	3,200
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-02-07	38	8.12	152,800	2,200
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-02-07	38		5,400	-147,400
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-02-10	38	8.1697	11,200	5,800
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-02-11	38	8.189	18,200	7,000
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-02-12	38	8.1692	24,500	6,300
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-02-13	38	8.3507	33,500	9,000
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-02-14	38	8.3318	42,500	9,000
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-02-14	38		18,000	-24,500
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-02-19	38	8.0105	22,800	4,800
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-02-20	38	7.9944	29,200	6,400
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-02-21	38	8.053	35,600	6,400
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-02-21	38		17,600	-18,000
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-02-24	38	7.8656	22,600	5,000
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-02-25	38	7.7702	45,880	23,280
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-02-26	38	7.5035	52,380	6,500
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-02-27	38	7.1097	54,080	1,700
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-02-28	38	7.0887	59,580	5,500
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-02-28	38		7,200	-52,380
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-03-02	10	7.34	5,869,400	4,400
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-03-02	10	7.33	5,870,900	1,500
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-03-02	10	7.315	5,871,400	500
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-03-02	10	7.3	5,872,200	800
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-03-02	10	7.29	5,872,700	500
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-03-02	10	7.28	5,872,800	100
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-03-02	10	7.275	5,872,900	100
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-03-02	10	7.23	5,873,000	100
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-03-02	10	7.2	5,873,900	900
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-03-02	10	7.195	5,874,000	100
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-03-03	10	7.35	5,883,000	9,000
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-03-03	10	7.34	5,883,800	800
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-03-03	10	7.33	5,883,900	100
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-03-03	10	7.32	5,884,000	100
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-03-03	10	7.3	5,889,000	5,000
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-03-05	10	7.3	5,895,000	6,000
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-03-05	10	7.29	5,909,000	14,000
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-03-05	10	7.27	5,912,000	3,000
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-03-05	10	7.25	5,914,000	2,000
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-03-06	10	7.1	5,920,700	6,700

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Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-03-06	10	7.09	5,920,800	100
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-03-06	10	7.08	5,922,900	2,100
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-03-06	10	7.07	5,923,000	100
Exco Technologies Limited	Common Shares	Kirk, Darren Michael	5	2020-02-24	10	7.81	18,354	4,100
Exco Technologies Limited	Common Shares	Kirk, Darren Michael	5	2015-11-09	00			
Exco Technologies Limited	Common Shares	Kirk, Darren Michael	5	2015-11-09	00			
Exco Technologies Limited	Common Shares	Kirk, Darren Michael	5	2020-02-24	10	7.81		4,100
Exco Technologies Limited	Common Shares	Robbins, Brian Andrew	3, 4, 5	2020-02-28	10	7	4,306,846	10,200
Exco Technologies Limited	Common Shares	Robbins, Brian Andrew	3, 4, 5	2020-02-28	10	7.01	4,307,046	200
Exco Technologies Limited	Common Shares	Robbins, Brian Andrew	3, 4, 5	2020-03-02	10	7.15	4,308,346	1,300
Exco Technologies Limited	Common Shares	Robbins, Brian Andrew	3, 4, 5	2020-03-03	10	7.45	4,378,346	70,000
Exco Technologies Limited	Common Shares	Robbins, Brian Andrew	3, 4, 5	2020-03-03	10	7.41	4,395,746	17,400
Exco Technologies Limited	Common Shares	Robbins, Brian Andrew	3, 4, 5	2020-03-03	10	7.4	4,396,346	600
Exco Technologies Limited	Common Shares	Robbins, Brian Andrew	3, 4, 5	2020-03-03	10	7.39	4,396,546	200
EXFO Inc. (formerly know as EXFO Electro-Optical Engineering Inc.)	Deferred Share Units	Côté, François	4	2020-02-28	56	4.58	43,575	2,866
EXFO Inc. (formerly know as EXFO Electro-Optical Engineering Inc.)	Deferred Share Units	Logothetis, Angela	4	2020-02-28	56	3.43	59,728	5,758
EXFO Inc. (formerly know as EXFO Electro-Optical Engineering Inc.)	Deferred Share Units	Séguin, Claude	4	2020-02-28	56	4.58	72,438	4,722
EXFO Inc. (formerly know as EXFO Electro-Optical Engineering Inc.)	Deferred Share Units	Tornes, Randy Earl	4	2020-02-28	56	3.43	107,874	5,102
Extencare Inc.	Common Shares	Torrie, Alan D.	4	2020-03-03	10	7.9995	25,000	10,000
Fairfax Financial Holdings Limited	Preferred Shares Series C	Bradstreet, F. Brian	7	2020-02-26	10	18.2	12,800	2,000
Fairfax Financial Holdings Limited	Preferred Shares Series C	Bradstreet, F. Brian	7	2020-02-26	10	18.18	13,700	900
Fairfax Financial Holdings Limited	Preferred Shares Series C	Bradstreet, F. Brian	7	2020-02-26	10	18.19	13,900	200
Fairfax Financial Holdings Limited	Preferred Shares Series C	Bradstreet, F. Brian	7	2020-02-26	10	18.2	18,000	4,100
Fairfax Financial Holdings Limited	Subordinate Voting Shares	Bradstreet, F. Brian	7	2020-02-26	10	620	12,075	-4,000
Fairfax Financial Holdings Limited	Subordinate Voting Shares	McFarland, R. William	4	2020-03-02	10	568.56	800	600
Falcon Gold Corp.	Common Shares	Rayani, Karim	4	2020-02-27	10	0.045	4,161,000	20,000
Falcon Gold Corp.	Common Shares	Rayani, Karim	4	2020-02-27	10	0.045		20,000
Falcon Gold Corp.	Common Shares	Rayani, Karim	4	2020-02-27	10	0.045		20,000
Finning International Inc.	Performance Share Units	Amar, Juan Pablo	5	2020-02-28	38	25.23	12,858	-6,160
Finning International Inc.	Performance Share Units	Cummings, David William	5	2020-02-28	38	25.23	32,883	-15,398
Finning International Inc.	Performance Share Units	Damjanic Yutronic, Pedro Antonio	5	2020-02-28	38	25.23	10,606	-5,912
Finning International Inc.	Performance Share Units	Day, Russell Willard	5	2020-02-28	38	25.23	13,049	-4,928
Finning International Inc.	Performance Share Units	Erdman, Jeff	5	2020-02-28	38	25.23	6,430	-3,136
Finning International Inc.	Common Shares	Finning International Inc.	1	2020-02-29	38		818,873	818,873
Finning International Inc.	Common Shares	Finning International Inc.	1	2020-02-29	38		0	-818,873
Finning International Inc.	Common Shares	Gray, Cheryl Lynn	5	2020-03-02	00		1,744	
Finning International Inc.	Performance Share Units	Gray, Cheryl Lynn	5	2020-03-02	00		1,329	
Finning International Inc.	Restricted Share Unit (RSU)	Gray, Cheryl Lynn	5	2020-03-02	00		1,160	
Finning International Inc.	Performance Share Units	Guridi, Sebastian Tomas	5	2020-02-28	38	25.23	12,266	-6,160
Finning International Inc.	Performance Share Units	Harrod, Darcy Joel	5	2020-02-28	38	25.23	13,143	-4,928
Finning International Inc.	Performance Share Units	Hiley, Chad, Stephen	5	2020-02-28	38	25.23	32,883	-15,398
Finning International Inc.	Performance Share Units	Hogg, Mark Stephen	5	2020-02-28	38	25.23	6,929	-1,452
Finning International Inc.	Performance Share Units	Marks, Anna Pia	5	2020-02-28	38	25.23	13,049	-4,928
Finning International Inc.	Performance Share Units	Murdoch, Heather Jane	5	2020-02-28	38	25.23	11,152	-4,928
Finning International Inc.	Performance Share Units	Nielsen, Steven Mathew	5	2020-02-28	38	25.23	47,828	-22,396
Finning International Inc.	Performance Share Units	Palaschuk, Gregory	5	2020-02-28	38	25.23		-4,704

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Finning International Inc.	Performance Share Units	Palaschuk, Gregory	5	2020-02-28	38	25.23	17,113	-4,704
Finning International Inc.	Performance Share Units	Palaschuk, Gregory	5	2020-02-28	38	25.23	13,299	-3,814
Finning International Inc.	Performance Share Units	Parkes, Kevin	5	2020-02-28	38	25.23	32,883	-15,398
Finning International Inc.	Performance Share Units	Primrose, David Francis Neil	5	2020-02-28	38	25.23	23,122	-8,914
Finning International Inc.	Performance Share Units	Thomson, Scott	5	2020-02-28	38	25.23	179,359	-83,986
First Capital Real Estate Investment Trust	Options	Brekken, Kay	7, 5	2020-02-28	50	21.24	915,538	246,386
First Capital Real Estate Investment Trust	Performance Trust Units	Brekken, Kay	7, 5	2020-02-28	56	21.024	58,988	17,837
First Capital Real Estate Investment Trust	Restricted Trust Units	Brekken, Kay	7, 5	2019-12-30	00			
First Capital Real Estate Investment Trust	Restricted Trust Units	Brekken, Kay	7, 5	2020-02-28	56	21.024	8,919	8,919
First Capital Real Estate Investment Trust	Restricted Trust Units	Brekken, Kay	7, 5	2020-02-28	56	21.371	17,108	8,189
First Capital Real Estate Investment Trust	Options	Francella, Carmine	5	2020-02-28	50	21.24	324,318	106,767
First Capital Real Estate Investment Trust	Performance Trust Units	Francella, Carmine	5	2020-02-28	56	21.024	24,287	7,729
First Capital Real Estate Investment Trust	Restricted Trust Units	Francella, Carmine	5	2019-12-30	00			
First Capital Real Estate Investment Trust	Restricted Trust Units	Francella, Carmine	5	2020-02-28	56	21.024	3,865	3,865
First Capital Real Estate Investment Trust	Restricted Trust Units	Francella, Carmine	5	2020-02-28	56	21.371	5,035	1,170
First Capital Real Estate Investment Trust	Options	Harnick, Alison Gail	5	2020-02-28	50	21.24	166,848	90,342
First Capital Real Estate Investment Trust	Performance Trust Units	Harnick, Alison Gail	5	2020-02-28	56	21.024	16,530	6,540
First Capital Real Estate Investment Trust	Restricted Trust Units	Harnick, Alison Gail	5	2019-12-30	00			
First Capital Real Estate Investment Trust	Restricted Trust Units	Harnick, Alison Gail	5	2020-02-28	56	21.371	3,270	3,270
First Capital Real Estate Investment Trust	Restricted Trust Units	Harnick, Alison Gail	5	2020-02-28	56	21.371	7,949	4,679
First Capital Real Estate Investment Trust	Options	McDougald, Maryanne	7, 5	2020-02-18	51	13.91	435,997	-7,200
First Capital Real Estate Investment Trust	Options	McDougald, Maryanne	7, 5	2020-02-26	51	13.91	430,997	-5,000
First Capital Real Estate Investment Trust	Options	McDougald, Maryanne	7, 5	2020-02-28	51	13.91	427,997	-3,000
First Capital Real Estate Investment Trust	Options	McDougald, Maryanne	7, 5	2020-02-28	50	21.24	511,768	83,771
First Capital Real Estate Investment Trust	Options	McDougald, Maryanne	7, 5	2020-03-02	51	13.91	508,768	-3,000
First Capital Real Estate Investment Trust	Options	McDougald, Maryanne	7, 5	2020-03-03	51	13.91	505,768	-3,000
First Capital Real Estate Investment Trust	Options	McDougald, Maryanne	7, 5	2020-03-04	51	13.91	502,768	-3,000
First Capital Real Estate Investment Trust	Options	McDougald, Maryanne	7, 5	2020-03-04	51	13.91	499,768	-3,000
First Capital Real Estate Investment Trust	Performance Trust Units	McDougald, Maryanne	7, 5	2020-02-28	56	21.024	22,622	6,065
First Capital Real Estate Investment Trust	Restricted Trust Units	McDougald, Maryanne	7, 5	2019-12-30	00			
First Capital Real Estate Investment Trust	Restricted Trust Units	McDougald, Maryanne	7, 5	2020-02-28	56	21.024	3,032	3,032
First Capital Real Estate Investment Trust	Restricted Trust Units	McDougald, Maryanne	7, 5	2020-02-28	56	21.371	4,202	1,170
First Capital Real Estate Investment Trust	Trust Units	McDougald, Maryanne	7, 5	2020-02-18	51	13.91	39,389	7,200
First Capital Real Estate Investment Trust	Trust Units	McDougald, Maryanne	7, 5	2020-02-26	10	21.5		-5,000
First Capital Real Estate Investment Trust	Trust Units	McDougald, Maryanne	7, 5	2020-02-26	10	21.5		-5,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
First Capital Real Estate Investment Trust	Trust Units	McDougald, Maryanne	7, 5	2020-02-26	51	13.91	46,858	5,000
First Capital Real Estate Investment Trust	Trust Units	McDougald, Maryanne	7, 5	2020-02-26	10	21.5	41,858	-5,000
First Capital Real Estate Investment Trust	Trust Units	McDougald, Maryanne	7, 5	2020-02-28	10	21.271		-3,000
First Capital Real Estate Investment Trust	Trust Units	McDougald, Maryanne	7, 5	2020-02-28	10	21.271		-3,000
First Capital Real Estate Investment Trust	Trust Units	McDougald, Maryanne	7, 5	2020-02-28	51	13.91	44,858	3,000
First Capital Real Estate Investment Trust	Trust Units	McDougald, Maryanne	7, 5	2020-02-28	10	21.271	41,858	-3,000
First Capital Real Estate Investment Trust	Trust Units	McDougald, Maryanne	7, 5	2020-03-02	51	13.91	44,858	3,000
First Capital Real Estate Investment Trust	Trust Units	McDougald, Maryanne	7, 5	2020-03-02	10	20.57	41,858	-3,000
First Capital Real Estate Investment Trust	Trust Units	McDougald, Maryanne	7, 5	2020-03-03	51	13.91	44,858	3,000
First Capital Real Estate Investment Trust	Trust Units	McDougald, Maryanne	7, 5	2020-03-03	10	20.85	41,858	-3,000
First Capital Real Estate Investment Trust	Trust Units	McDougald, Maryanne	7, 5	2020-03-04	51	13.91	44,858	3,000
First Capital Real Estate Investment Trust	Trust Units	McDougald, Maryanne	7, 5	2020-03-04	10	20.8	41,858	-3,000
First Capital Real Estate Investment Trust	Trust Units	McDougald, Maryanne	7, 5	2020-03-04	51	13.91	44,858	3,000
First Capital Real Estate Investment Trust	Trust Units	McDougald, Maryanne	7, 5	2020-03-04	10	21	41,858	-3,000
First Capital Real Estate Investment Trust	Options	Paul, Adam Elliot	4, 7, 5	2020-02-28	50	21.24	3,434,831	854,139
First Capital Real Estate Investment Trust	Performance Trust Units	Paul, Adam Elliot	4, 7, 5	2020-02-28	56	21.024	214,449	61,836
First Capital Real Estate Investment Trust	Restricted Trust Units	Paul, Adam Elliot	4, 7, 5	2019-12-30	00			
First Capital Real Estate Investment Trust	Restricted Trust Units	Paul, Adam Elliot	4, 7, 5	2020-02-28	56	21.024	30,918	30,918
First Capital Real Estate Investment Trust	Restricted Trust Units	Paul, Adam Elliot	4, 7, 5	2020-02-28	56	21.371	40,277	9,359
First Capital Real Estate Investment Trust	Options	Robins, Jordan	7, 5	2020-02-28	50	21.24	877,946	279,238
First Capital Real Estate Investment Trust	Performance Trust Units	Robins, Jordan	7, 5	2020-02-28	56	21.024		10,108
First Capital Real Estate Investment Trust	Performance Trust Units	Robins, Jordan	7, 5	2020-02-28	56	21.024		10,108
First Capital Real Estate Investment Trust	Performance Trust Units	Robins, Jordan	7, 5	2020-02-28	56	21.024	62,353	20,215
First Capital Real Estate Investment Trust	Restricted Trust Units	Robins, Jordan	7, 5	2019-12-30	00			
First Capital Real Estate Investment Trust	Restricted Trust Units	Robins, Jordan	7, 5	2020-02-28	56	21.024	10,108	10,108
First Capital Real Estate Investment Trust	Restricted Trust Units	Robins, Jordan	7, 5	2020-02-28	56	21.371	15,957	5,849
First Capital Real Estate Investment Trust	Trust Units	Segal, Dori	4	2020-03-06	10	21.85	1,122,952	-30,626
First Capital Real Estate Investment Trust	Options	Shpigel, Jodi	7, 5	2020-02-28	50	21.24	358,138	93,627
First Capital Real Estate Investment Trust	Performance Trust Units	Shpigel, Jodi	7, 5	2020-02-28	56	21.024	26,440	6,778
First Capital Real Estate Investment Trust	Restricted Trust Units	Shpigel, Jodi	7, 5	2019-12-30	00			
First Capital Real Estate Investment Trust	Restricted Trust Units	Shpigel, Jodi	7, 5	2020-02-28	56	21.371		3,389
First Capital Real Estate Investment Trust	Restricted Trust Units	Shpigel, Jodi	7, 5	2020-02-28	56	21.371		3,389
First Capital Real Estate Investment Trust	Restricted Trust Units	Shpigel, Jodi	7, 5	2020-02-28	56	21.371	1,170	1,170

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
First Capital Real Estate Investment Trust	Restricted Trust Units	Shpigel, Jodi	7, 5	2020-02-28	56	21.024	4,559	3,389
First Capital Real Estate Investment Trust	Options	Walkau, Michele	5	2019-12-30	00			
First Capital Real Estate Investment Trust	Options	Walkau, Michele	5	2020-02-28	50	21.24	49,277	49,277
First Capital Real Estate Investment Trust	Performance Trust Units	Walkau, Michele	5	2019-12-30	00			
First Capital Real Estate Investment Trust	Performance Trust Units	Walkau, Michele	5	2020-02-28	56	21.024	3,567	3,567
First Capital Real Estate Investment Trust	Restricted Trust Units	Walkau, Michele	5	2019-12-30	00			
First Capital Real Estate Investment Trust	Restricted Trust Units	Walkau, Michele	5	2020-02-28	56	21.024	1,784	1,784
First Capital Real Estate Investment Trust	Restricted Trust Units	Walkau, Michele	5	2020-02-28	56	21.371	2,954	1,170
First Cobalt Corp.	Common Shares	Mell, Trent	5	2020-03-03	10	0.135	1,578,104	30,000
First Cobalt Corp.	Common Shares	Santaguida, Frank	5	2020-02-05	16	0.14	141,760	36,000
First Cobalt Corp.	Warrants	Santaguida, Frank	5	2017-03-28	00			
First Cobalt Corp.	Warrants	Santaguida, Frank	5	2020-02-05	16		36,000	36,000
First Majestic Silver Corp.	Common Shares	Holmes, Steven	5	2020-03-02	10	7.7	7,500	7,500
First Majestic Silver Corp.	Common Shares	Mendoza Reyes, Ramon	5	2020-03-03	10	10.84	1,500	1,500
First Majestic Silver Corp.	Common Shares	Polman, Raymond L.	5	2020-03-02	10	10.39	179,200	5,000
First Mining Gold Corp.	Common Shares	Engquist, Kenneth	5	2020-03-06	16	0.22	360,000	200,000
First Mining Gold Corp.	Warrants	Engquist, Kenneth	5	2020-03-06	16	0.33	137,500	100,000
First Mining Gold Corp.	Common Shares	Karwowska, Malgorzata	5	2020-03-06	16	0.22	142,100	30,000
First Mining Gold Corp.	Warrants	Karwowska, Malgorzata	5	2020-03-06	16	0.33	45,000	15,000
First Mining Gold Corp.	Common Shares	Neumeyer, Keith	4	2020-03-06	15	0.22	13,155,313	1,000,000
First Mining Gold Corp.	Common Shares	Neumeyer, Keith	4	2020-03-06	10	0.205	13,405,313	250,000
First Mining Gold Corp.	Warrants	Neumeyer, Keith	4	2020-03-06	15	0.33	1,462,259	500,000
First Mining Gold Corp.	Common Shares	Patel, Samir Devendra	5	2020-03-06	16	0.22	200,000	36,500
First Mining Gold Corp.	Warrants	Patel, Samir Devendra	5	2019-08-05	55	1.1	21,000	-37,700
First Mining Gold Corp.	Warrants	Patel, Samir Devendra	5	2020-03-06	16	0.33	39,250	18,250
First Mining Gold Corp.	Common Shares	Wilton, Daniel William	4, 5	2020-03-06	16	0.22	1,240,000	750,000
First Mining Gold Corp.	Common Shares	Wilton, Daniel William	4, 5	2020-03-06	16	0.22	3,250,000	1,250,000
First Mining Gold Corp.	Warrants	Wilton, Daniel William	4, 5	2019-01-07	00			
First Mining Gold Corp.	Warrants	Wilton, Daniel William	4, 5	2020-03-06	16		375,000	375,000
First Mining Gold Corp.	Warrants	Wilton, Daniel William	4, 5	2020-03-06	16		1,625,000	625,000
First National Financial Corporation	Preferred Shares FN.PR.A	Tawse, Moray	4, 7, 5	2020-02-28	10	11.65	103,550	200
Fortis Inc.	Common Shares	Amaimo, Stephanie Ann	5	2020-03-02	30	56.461	2,541	771
Fortis Inc.	Performance Share Unit	Amaimo, Stephanie Ann	5	2020-03-02	30	56.461	8,737	73
Fortis Inc.	Restricted Share Units	Amaimo, Stephanie Ann	5	2020-03-02	30	56.461	2,032	17
Fortis Inc.	Restricted Share Units (2020 RSU Plan)	Amaimo, Stephanie Ann	5	2020-03-02	30	56.461	879	7
Fortis Inc.	Deferred Share Unit	Ball, Tracey Colleen	4	2020-03-02	30	56.461	19,735	166
Fortis Inc.	Deferred Share Unit	Blouin, Pierre J.	4	2020-03-02	30	56.461	16,345	137
Fortis Inc.	Deferred Share Unit	Bonavia, Paul	4	2020-03-02	30	56.461	4,680	39
Fortis Inc.	Deferred Share Unit	Borgard, Lawrence	4	2020-03-02	30	56.461	7,591	63
Fortis Inc.	Deferred Share Unit	Clark, Maura	4	2020-03-02	30	56.461	13,781	115
Fortis Inc.	Deferred Share Unit	Dilley, Margarita	4	2020-03-02	30	56.461	11,379	95
Fortis Inc.	Deferred Share Unit	Dobson, Julie	4	2020-03-02	30	56.461	4,680	39
Fortis Inc.	Common Shares	Duke, Nora	5	2020-03-02	30	56.461	108,522	306
Fortis Inc.	Common Shares	Duke, Nora	5	2020-03-02	10	56.935	109,152	630
Fortis Inc.	Common Shares	Duke, Nora	5	2020-03-02	10	56.935	121	1
Fortis Inc.	Performance Share Unit	Duke, Nora	5	2020-03-02	30	56.461	41,898	352
Fortis Inc.	Restricted Share Units	Duke, Nora	5	2020-03-02	30	56.461	6,510	55
Fortis Inc.	Restricted Share Units (2020 RSU Plan)	Duke, Nora	5	2020-03-02	30	56.461	5,423	46
Fortis Inc.	Common Shares	Ernst, Albert	7	2020-03-02	30	56.461	9,440	77
Fortis Inc.	Common Shares	Francis, Trina Michelle	5	2020-03-02	30	56.461	483	53

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Fortis Inc.	Performance Share Unit	Francis, Trina Michelle	5	2020-03-02	30	56.461	2,192	18
Fortis Inc.	Common Shares	Gosse, Karen J.	5	2020-03-02	30	56.461	26,030	702
Fortis Inc.	Common Shares	Gosse, Karen J.	5	2020-03-02	30	56.461	26,192	162
Fortis Inc.	Common Shares	Gosse, Karen J.	5	2020-03-02	10	57.575	1,140	6
Fortis Inc.	Common Shares	Gosse, Karen J.	5	2020-03-02	30	56.461	119	1
Fortis Inc.	Common Shares	Gosse, Karen J.	5	2020-03-02	30	56.461	119	1
Fortis Inc.	Performance Share Unit	Gosse, Karen J.	5	2020-03-02	30	56.461	5,111	42
Fortis Inc.	Restricted Share Units	Gosse, Karen J.	5	2020-03-02	30	56.461	1,782	15
Fortis Inc.	Restricted Share Units (2020 RSU Plan)	Gosse, Karen J.	5	2020-03-02	30	56.461	773	7
Fortis Inc.	Deferred Share Unit	Haughey, Douglas J.	4	2020-03-02	30	56.461	36,916	310
Fortis Inc.	Common Shares	Hollett, Lindsay	5	2020-03-02	30	56.461	1,756	16
Fortis Inc.	Common Shares	Holloway, Gretchen	7	2020-03-02	30	56.461	12,948	20
Fortis Inc.	Common Shares	Hutchens, David Gerard	7	2020-03-02	30	56.461	36,677	3
Fortis Inc.	Performance Share Unit	Hutchens, David Gerard	7	2020-03-02	30	56.461	61,630	517
Fortis Inc.	Performance Share Units (UNS)	Hutchens, David Gerard	7	2020-03-02	30	56.461	92,261	507
Fortis Inc.	Restricted Share Units	Hutchens, David Gerard	7	2020-03-02	30	56.461	3,058	25
Fortis Inc.	Restricted Share Units (2020 RSU Plan)	Hutchens, David Gerard	7	2020-03-02	30	56.461	14,017	118
Fortis Inc.	Restricted Share Units (UNS)	Hutchens, David Gerard	7	2020-03-02	30	56.461	30,183	253
Fortis Inc.	Common Shares	Laurito, James P.	5	2020-03-02	30	56.461	6,241	50
Fortis Inc.	Common Shares	Laurito, James P.	5	2020-03-02	10	41.938		20,436
Fortis Inc.	Common Shares	Laurito, James P.	5	2020-03-02	10	41.938	33,653	20,436
Fortis Inc.	Common Shares	Laurito, James P.	5	2020-03-02	10	41.92		5,000
Fortis Inc.	Common Shares	Laurito, James P.	5	2020-03-02	10	41.92	38,653	5,000
Fortis Inc.	Common Shares	Laurito, James P.	5	2020-03-02	10	41.91		1,000
Fortis Inc.	Common Shares	Laurito, James P.	5	2020-03-02	10	41.91	39,653	1,000
Fortis Inc.	Common Shares	Laurito, James P.	5	2020-03-02	10	41.9	41,054	1,401
Fortis Inc.	Common Shares	Laurito, James P.	5	2020-03-02	10	41.899	41,104	50
Fortis Inc.	Common Shares	Laurito, James P.	5	2020-03-02	10	41.89	41,904	800
Fortis Inc.	Performance Share Units (CH)	Laurito, James P.	5	2020-03-02	30	56.461	81,759	686
Fortis Inc.	Restricted Share Units (2020 RSU Plan)	Laurito, James P.	5	2020-03-02	30	56.461	7,318	62
Fortis Inc.	Restricted Share Units (CH)	Laurito, James P.	5	2020-03-02	30	56.461	9,235	77
Fortis Inc.	Common Shares	McCarthy, Karen	5	2020-03-02	30	56.461	1,433	361
Fortis Inc.	Common Shares	McCarthy, Karen	5	2020-03-02	30	56.461	91	1
Fortis Inc.	Common Shares	McCarthy, Karen	5	2020-03-02	30	56.461	40	18
Fortis Inc.	Performance Share Unit	McCarthy, Karen	5	2020-03-02	30	56.461	1,818	15
Fortis Inc.	Restricted Share Units	McCarthy, Karen	5	2020-03-02	30	56.461	386	3
Fortis Inc.	Restricted Share Units (2020 RSU Plan)	McCarthy, Karen	5	2020-03-02	30	56.461	523	5
Fortis Inc.	Common Shares	O'Dea, Regan	5	2020-03-02	30	56.461	4,863	622
Fortis Inc.	Performance Share Unit	O'Dea, Regan	5	2020-03-02	30	56.461	3,646	30
Fortis Inc.	Restricted Share Units	O'Dea, Regan	5	2020-03-02	30	56.461	1,262	10
Fortis Inc.	Restricted Share Units (2020 RSU Plan)	O'Dea, Regan	5	2020-03-02	30	56.461	560	5
Fortis Inc.	Common Shares	Perry, Barry	4, 5	2020-03-02	30	56.461	289,625	211
Fortis Inc.	Common Shares	Perry, Barry	4, 5	2020-03-05	51	37.3	354,136	64,511
Fortis Inc.	Common Shares	Perry, Barry	4, 5	2020-03-05	51	42.36	547,270	193,134
Fortis Inc.	Common Shares	Perry, Barry	4, 5	2020-03-05	10	58.751	493,270	-54,000
Fortis Inc.	Common Shares	Perry, Barry	4, 5	2020-03-05	10	58.75	482,759	-10,511
Fortis Inc.	Common Shares	Perry, Barry	4, 5	2020-03-05	10	58.606	382,625	-100,134
Fortis Inc.	Common Shares	Perry, Barry	4, 5	2020-03-05	10	58.6	289,625	-93,000
Fortis Inc.	Common Shares	Perry, Barry	4, 5	2020-03-02	30	56.461	31,427	169
Fortis Inc.	Options	Perry, Barry	4, 5	2020-03-05	51		1,034,092	-64,511
Fortis Inc.	Options	Perry, Barry	4, 5	2020-03-05	51		840,958	-193,134
Fortis Inc.	Performance Share Unit	Perry, Barry	4, 5	2020-03-02	30	56.461	207,377	1,739

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Fortis Inc.	Restricted Share Units	Perry, Barry	4, 5	2020-03-02	30	56.461	31,597	265
Fortis Inc.	Restricted Share Units (2020 RSU Plan)	Perry, Barry	4, 5	2020-03-02	30	56.461	25,995	218
Fortis Inc.	Common Shares	Perry, Jocelyn	5	2020-03-02	30	56.461	14,300	131
Fortis Inc.	Common Shares	Perry, Jocelyn	5	2020-03-02	10	57.575	27,164	223
Fortis Inc.	Performance Share Unit	Perry, Jocelyn	5	2020-03-02	30	56.461	44,243	354
Fortis Inc.	Restricted Share Units	Perry, Jocelyn	5	2020-03-02	30	56.461	9,723	81
Fortis Inc.	Restricted Share Units (2020 RSU Plan)	Perry, Jocelyn	5	2020-03-02	30	56.461	6,577	55
Fortis Inc.	Common Shares	Reid, James	5	2020-03-02	30	56.461	6,642	1,269
Fortis Inc.	Common Shares	Reid, James	5	2020-03-02	10	56.935	6,645	3
Fortis Inc.	Common Shares	Reid, James	5	2020-03-02	30	56.461	6,668	23
Fortis Inc.	Common Shares	Reid, James	5	2020-03-02	30	56.461	2,062	6
Fortis Inc.	Performance Share Unit	Reid, James	5	2020-03-02	30	56.461	38,636	324
Fortis Inc.	Restricted Share Units	Reid, James	5	2020-03-02	30	56.461	6,510	55
Fortis Inc.	Restricted Share Units (2020 RSU Plan)	Reid, James	5	2020-03-02	30	56.461	5,423	46
Fortis Inc.	Common Shares	Roberts, Jamie	7	2020-03-02	30	56.461	57,971	856
Fortis Inc.	Common Shares	Roberts, Jamie	7	2020-03-02	30	56.461	58,303	332
Fortis Inc.	Common Shares	Roberts, Jamie	7	2020-03-02	30	56.461	58,373	70
Fortis Inc.	Performance Share Unit	Roberts, Jamie	7	2020-03-02	30	56.461	8,411	71
Fortis Inc.	Restricted Share Units	Roberts, Jamie	7	2020-03-02	30	56.461	2,946	24
Fortis Inc.	Restricted Share Units (2020 RSU Plan)	Roberts, Jamie	7	2020-03-02	30	56.461	1,258	10
Fortis Inc.	Common Shares	Smith, Gary Joseph	7	2020-03-02	30	56.461	9,857	863
Fortis Inc.	Performance Share Unit	Smith, Gary Joseph	7	2020-03-02	30	56.461	42,070	313
Fortis Inc.	Restricted Share Units	Smith, Gary Joseph	7	2020-03-02	30	56.461	6,093	51
Fortis Inc.	Restricted Share Units (2020 RSU Plan)	Smith, Gary Joseph	7	2020-03-02	30	56.461	5,129	43
Fortis Inc.	Deferred Share Unit	Welch, Joseph	7	2020-03-02	30	56.461	7,591	63
Fortis Inc.	Deferred Share Unit	Zurel, Jo Mark	4	2020-03-02	30	56.461	10,536	88
Founders Advantage Capital Corp.	Common Shares Class A	Belcorp Industries Inc.	3	2020-02-24	00			
Founders Advantage Capital Corp.	Common Shares Class A	Belcorp Industries Inc.	3	2020-02-24	10	1.75	7,500,000	7,500,000
Fox River Resources Corporation	Common Shares	Lotan Holdings Inc.	3	2020-02-28	10	0.1	4,988,500	12,000
Franco-Nevada Corporation	Deferred Share Units	Albanese, Thomas	4	2019-12-31	56		11,404	2,387
Franco-Nevada Corporation	Deferred Share Units	Albanese, Thomas	4	2019-12-31	30		11,516	112
Franco-Nevada Corporation	Common Shares	Evans, Derek Watson	4	2019-12-31	30		14,460	126
Franco-Nevada Corporation	Deferred Share Units	Evans, Derek Watson	4	2019-12-31	56		19,190	2,387
Franco-Nevada Corporation	Deferred Share Units	Evans, Derek Watson	4	2019-12-31	30		19,391	201
Franco-Nevada Corporation	Deferred Share Units	Farrow, Catharine Elizabeth Goddard	4	2019-12-31	56		11,183	2,000
Franco-Nevada Corporation	Deferred Share Units	Farrow, Catharine Elizabeth Goddard	4	2019-12-31	30		11,295	112
Franco-Nevada Corporation	Deferred Share Units	Gignac, Louis P.	4	2019-12-31	56		15,089	2,194
Franco-Nevada Corporation	Deferred Share Units	Gignac, Louis P.	4	2019-12-31	30		15,244	155
Franco-Nevada Corporation	Deferred Share Units	Lassonde, Pierre	4	2019-12-31	56		13,992	2,000
Franco-Nevada Corporation	Deferred Share Units	Lassonde, Pierre	4	2019-12-31	30		14,136	144
Franco-Nevada Corporation	Deferred Share Units	Maki, Jennifer	4	2019-05-08	00			
Franco-Nevada Corporation	Deferred Share Units	Maki, Jennifer	4	2019-12-31	56		1,297	1,297
Franco-Nevada Corporation	Deferred Share Units	Maki, Jennifer	4	2019-12-31	30		1,300	3
Franco-Nevada Corporation	Deferred Share Units	Oliphant, Randall	4	2019-12-31	56		9,157	2,000
Franco-Nevada Corporation	Deferred Share Units	Oliphant, Randall	4	2019-12-31	30		9,246	89
Franco-Nevada Corporation	Deferred Share Units	Peterson, David Robert	4	2019-12-31	56		21,761	2,517
Franco-Nevada Corporation	Deferred Share Units	Peterson, David Robert	4	2019-12-31	30		21,990	229
Franco-Nevada Corporation	Deferred Share Units	Pew, Elliott	4	2019-09-09	00			
Franco-Nevada Corporation	Deferred Share Units	Pew, Elliott	4	2019-12-31	56		620	620
Freckle Ltd. (formerly, Knol Resources Corp.)	Options	Maguire, Jason	4	2020-02-04	00			

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Freckle Ltd. (formerly, Knol Resources Corp.)	Options	Maguire, Jason	4	2020-03-05	50	0.2	50,000	50,000
Freehold Royalties Ltd.	Common Shares	Hendry, David Warren	5	2019-12-01	00			
Freehold Royalties Ltd.	Common Shares	Hendry, David Warren	5	2020-03-06	10	5.982	5,000	5,000
Freehold Royalties Ltd.	Common Shares	Mullane, Tom	4, 5	2020-02-18	30	7.2596	32,393	125
Freehold Royalties Ltd.	Common Shares	Stone, Michael John	5	2020-03-06	10	5.9328	35,000	5,000
Freshii Inc.	Restricted Share Units	Corrin, Adam Daniel	4, 5	2020-02-28	57		135,241	-16,667
Freshii Inc.	Restricted Share Units	Corrin, Matthew Ethan	4, 5	2020-02-28	57		672,228	-60,000
Freshii Inc.	Restricted Share Units	Haroun, Daniel	5	2019-08-26	00			
Freshii Inc.	Restricted Share Units	Haroun, Daniel	5	2019-09-10	56		228,683	228,683
Freshii Inc.	Restricted Share Units	Haroun, Daniel	5	2020-02-28	57		152,455	-76,228
Freshii Inc.	Subordinate Voting Shares Class A	Haroun, Daniel	5	2020-02-28	57		49,160	34,160
Freshii Inc.	Restricted Share Units	Hughes, Paul Robert	5	2020-02-28	57		49,376	-16,667
Fronsac Real Estate Investment Trust	Notes	LASSONDE, MICHEL	4, 5	2020-02-28	38		\$0	-\$400,000
Frontenac Mortgage Investment Corporation	Common Shares	Cruikshank, Kevin	5	2020-03-03	15	30	15,822	429
Frontenac Mortgage Investment Corporation	Common Shares	Kehoe, Amber	5	2020-03-03	15	30	762	64
Frontenac Mortgage Investment Corporation	Common Shares	Kelk, Margaret	4	2020-03-03	15	30	22,188	200
Frontera Energy Corporation	Common Shares	Frontera Energy Corporation	1	2020-02-03	38	9.3125	20,000	20,000
Frontera Energy Corporation	Common Shares	Frontera Energy Corporation	1	2020-02-03	38	9.3125	0	-20,000
Frontera Energy Corporation	Common Shares	Frontera Energy Corporation	1	2020-02-06	38	9.2828	20,000	20,000
Frontera Energy Corporation	Common Shares	Frontera Energy Corporation	1	2020-02-06	38	9.2828	0	-20,000
Frontera Energy Corporation	Common Shares	Frontera Energy Corporation	1	2020-02-13	38	8.7764	113,200	113,200
Frontera Energy Corporation	Common Shares	Frontera Energy Corporation	1	2020-02-13	38	8.7764	0	-113,200
Frontera Energy Corporation	Common Shares	Frontera Energy Corporation	1	2020-02-14	38	8.6576	20,000	20,000
Frontera Energy Corporation	Common Shares	Frontera Energy Corporation	1	2020-02-14	38	8.6576	0	-20,000
Frontera Energy Corporation	Common Shares	Frontera Energy Corporation	1	2020-02-18	38	8.6515	107,600	107,600
Frontera Energy Corporation	Common Shares	Frontera Energy Corporation	1	2020-02-18	38	8.6515	0	-107,600
Frontera Energy Corporation	Common Shares	Frontera Energy Corporation	1	2020-02-19	38	8.6428	20,000	20,000
Frontera Energy Corporation	Common Shares	Frontera Energy Corporation	1	2020-02-19	38	8.6428	0	-20,000
Frontera Energy Corporation	Common Shares	Frontera Energy Corporation	1	2020-02-20	38	8.774	20,000	20,000
Frontera Energy Corporation	Common Shares	Frontera Energy Corporation	1	2020-02-20	38	8.774	0	-20,000
Frontera Energy Corporation	Common Shares	Frontera Energy Corporation	1	2020-02-21	38	8.5101	20,000	20,000
Frontera Energy Corporation	Common Shares	Frontera Energy Corporation	1	2020-02-21	38	8.5101	0	-20,000
Frontera Energy Corporation	Common Shares	Frontera Energy Corporation	1	2020-02-24	38	8.1943	96,400	96,400
Frontera Energy Corporation	Common Shares	Frontera Energy Corporation	1	2020-02-24	38	8.1943	0	-96,400
Frontera Energy Corporation	Common Shares	Frontera Energy Corporation	1	2020-02-25	38	8.1137	20,000	20,000
Frontera Energy Corporation	Common Shares	Frontera Energy Corporation	1	2020-02-25	38	8.1137	0	-20,000
FSD Pharma Inc.	Options	Bokhari, Raza	4	2020-02-23	52		199,004	-213,932
FSD Pharma Inc.	Options	Brennan, Jr., Edward Joseph	5	2020-02-23	52		0	-2,487

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FSD Pharma Inc.	Options	Carroll, Donal	5	2020-02-23	52		50,000	-24,875
FSD Pharma Inc.	Options	Ciaruffoli, Robert Joseph	4	2020-02-23	52		0	-37,313
FSD Pharma Inc.	Options	Datin, James Anthony	4	2020-02-23	52		0	-24,875
FSD Pharma Inc.	Options	Goldberg, Gerald	4	2020-02-23	52		0	-24,875
FSD Pharma Inc.	Options	Kaiser, Larry R.	4	2020-02-23	52		15,000	-19,900
FSD Pharma Inc.	Options	Saeed, Zeeshan	4	2020-02-23	52		99,502	-49,751
FSD Pharma Inc.	Options	Urban, David John	4	2020-02-23	52		25,000	-34,825
G2 Goldfields Inc.	Common Shares	Prashad, Kieran	4	2020-03-04	00		22,201	
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-03	38	1.1063	21,550	21,550
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-03	38		0	-21,550
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-04	38	1.0792	45,434	45,434
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-04	38		0	-45,434
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-05	38	1.1217	55,701	55,701
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-05	38		0	-55,701
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-06	38	1.165	63,517	63,517
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-06	38		0	-63,517
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-07	38	1.169	20,000	20,000
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-07	38		0	-20,000
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-10	38	1.2214	104,512	104,512
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-10	38		0	-104,512
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-11	38	1.3457	215,679	215,679
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-11	38		0	-215,679
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-12	38	1.4256	100,973	100,973
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-12	38		0	-100,973
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-13	38	1.433	67,095	67,095
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-13	38		0	-67,095
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-14	38	1.4292	52,692	52,692
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-14	38		0	-52,692
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-18	38	1.3513	80,229	80,229
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-18	38		0	-80,229
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-19	38	1.3776	62,051	62,051
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-19	38		0	-62,051
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-20	38	1.3551	97,531	97,531
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-20	38		0	-97,531
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-21	38	1.3304	53,822	53,822
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-21	38		0	-53,822
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-24	38	1.3206	35,151	35,151
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-24	38		0	-35,151
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-25	38	1.2882	30,075	30,075
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-25	38		0	-30,075
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-26	38	1.2069	47,585	47,585
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-26	38		0	-47,585
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-27	38	1.1594	30,841	30,841
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-27	38		0	-30,841
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-28	38	1.1674	25,017	25,017
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2020-02-28	38		0	-25,017
Galleon Gold Corp.	Common Shares	Dupont, Chris	4, 5	2020-03-06	10	0.055	2,650,420	50,000
Gamehost Inc.	Common Shares	Gamehost Inc.	1	2020-02-14	38	8.38	4,400	2,200
Gamehost Inc.	Common Shares	Gamehost Inc.	1	2020-02-25	38		0	-4,400
Gamehost Inc.	Common Shares	Gamehost Inc.	1	2020-02-26	38	8.35	800	800
Gamehost Inc.	Common Shares	Gamehost Inc.	1	2020-02-27	38	8.03	1,600	800
Gamehost Inc.	Common Shares	Gamehost Inc.	1	2020-02-28	38	7.94	3,800	2,200
Gamehost Inc.	Common Shares	Gamehost Inc.	1	2020-02-28	38		3,000	-800
Gear Energy Ltd.	Common Shares	Gear Energy Ltd.	1	2020-02-28	38	0.2774	89,500	89,500
Gear Energy Ltd.	Common Shares	Gear Energy Ltd.	1	2020-02-28	38	0.2774	0	-89,500
Gear Energy Ltd.	Options	Gray, Don	3, 4	2020-03-02	50	0.29	601,215	84,000
Gear Energy Ltd.	Options	Gray, Don	3, 4	2020-03-02	50	0.29	685,215	84,000
Gear Energy Ltd.	Options	Gray, Don	3, 4	2020-03-02	50	0.29	769,215	84,000

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Gear Energy Ltd.	Common Shares	Wang, Wilson	4	2020-03-06	10	0.23	545,608	42,600
Gear Energy Ltd.	Common Shares	Wang, Wilson	4	2020-03-02	10	0.308	3,644,347	42,500
Gear Energy Ltd.	Common Shares	Wang, Wilson	4	2020-03-05	10	0.25		43,700
Gear Energy Ltd.	Common Shares	Wang, Wilson	4	2020-03-05	10	0.27	3,688,047	43,700
Generation Mining Limited	Common Shares	Jennings, Brian Earl	5	2020-03-02	10	0.39	19,000	15,000
Generation Mining Limited	Common Shares	levy, Jamie	4	2020-03-02	10	0.3889	2,515,100	29,500
Generation Mining Limited	Common Shares	levy, Jamie	4	2020-03-04	10	0.39	2,565,600	50,500
Generation Mining Limited	Common Shares	Thomas, Rodney Nelson	4	2020-02-28	10	0.4	163,500	10,000
GENIUS METALS INC.	Common Shares	Goulet, Guy	5	2020-03-05	10	0.178	1,507,186	3,000
GENIUS METALS INC.	Common Shares	Goulet, Guy	5	2020-03-06	10	0.157	1,510,686	3,500
Genworth MI Canada Inc.	Deferred Share Units	Bolger, Andrea Elaine	7	2020-03-05	35	52.06	5,069	52
Genworth MI Canada Inc.	Performance Share Unit	Ferguson, Natalie	7	2020-03-05	35	52.06	362	3
Genworth MI Canada Inc.	Restricted Share Units	Ferguson, Natalie	7	2020-03-05	35	52.06	1,042	10
Genworth MI Canada Inc.	Deferred Share Units	Giffen, Sharon	4	2020-03-05	35	52.06	3,287	33
Genworth MI Canada Inc.	Executive DSU	Hewat, Mary-Jo	5	2020-03-05	35	52.06	1,099	11
Genworth MI Canada Inc.	Performance Share Unit	Hewat, Mary-Jo	5	2020-03-05	35	52.06	8,693	89
Genworth MI Canada Inc.	Restricted Share Units	Hewat, Mary-Jo	5	2020-03-05	35	52.06	1,871	19
Genworth MI Canada Inc.	Deferred Share Units	Horn, Sidney M.	4	2020-03-05	35	52.06	46,640	478
Genworth MI Canada Inc.	Deferred Share Units	Kelly, Brian Michael	4, 7	2020-03-05	35	52.06	21,015	216
Genworth MI Canada Inc.	Restricted Share Units	Kubisheski, Mark	7	2020-03-05	35	52.06	830	8
Genworth MI Canada Inc.	Performance Share Unit	Levings, Stuart Kendrick	4	2020-03-05	35	52.06	46,557	478
Genworth MI Canada Inc.	Restricted Share Units	Levings, Stuart Kendrick	4	2020-03-05	35	52.06	16,997	175
Genworth MI Canada Inc.	Performance Share Unit	Macdonell, Winsor James	5	2020-03-05	35	52.06	10,177	104
Genworth MI Canada Inc.	Restricted Share Units	Macdonell, Winsor James	5	2020-03-05	35	52.06	3,475	36
Genworth MI Canada Inc.	Restricted Share Units	Maguire, Elise	7	2020-03-05	35	52.06	1,750	18
Genworth MI Canada Inc.	Common Shares	Mayers, Philip Adrian Virgil	5	2020-03-05	35	52.06	14,457	2
Genworth MI Canada Inc.	Executive DSU	Mayers, Philip Adrian Virgil	5	2020-03-05	35	52.06	24,058	247
Genworth MI Canada Inc.	Performance Share Unit	Mayers, Philip Adrian Virgil	5	2020-03-05	35	52.06	17,492	179
Genworth MI Canada Inc.	Restricted Share Units	Mayers, Philip Adrian Virgil	5	2020-03-05	35	52.06	6,113	62
Genworth MI Canada Inc.	Executive DSU	McPherson, Deborah Lynn	5	2020-03-05	35	52.06	6,525	67
Genworth MI Canada Inc.	Performance Share Unit	McPherson, Deborah Lynn	5	2020-03-05	35	52.06	10,461	107
Genworth MI Canada Inc.	Restricted Share Units	McPherson, Deborah Lynn	5	2020-03-05	35	52.06	3,526	36
Genworth MI Canada Inc.	Deferred Share Units	Parkinson, Neil Reginald	4	2020-03-05	35	52.06	4,349	45
Genworth MI Canada Inc.	Performance Share Unit	Piroli, Robert John	5	2020-03-05	35	52.06	1,832	18
Genworth MI Canada Inc.	Restricted Share Units	Piroli, Robert John	5	2020-03-05	35	52.06	1,833	18
Genworth MI Canada Inc.	Common Shares	Spitali, Jim	5	2020-03-05	35	52.06	3,134	5
Genworth MI Canada Inc.	Performance Share Unit	Spitali, Jim	5	2020-03-05	35	52.06	5,006	51
Genworth MI Canada Inc.	Restricted Share Units	Spitali, Jim	5	2020-03-05	35	52.06	2,440	25
Genworth MI Canada Inc.	Common Shares	Sweeney, Craig	5	2020-03-05	35	52.06	10,189	39
Genworth MI Canada Inc.	Performance Share Unit	Sweeney, Craig	5	2020-03-05	35	52.06	8,802	90
Genworth MI Canada Inc.	Restricted Share Units	Sweeney, Craig	5	2020-03-05	35	52.06	3,025	31
Genworth MI Canada Inc.	Performance Share Unit	Todorovic, Zorica	5	2020-03-05	35	52.06	1,435	14
Genworth MI Canada Inc.	Restricted Share Units	Todorovic, Zorica	5	2020-03-05	35	52.06	3,478	36
Genworth MI Canada Inc.	Deferred Share Units	Walker, John Logan	4	2020-03-05	35	52.06	20,485	210
Genworth MI Canada Inc.	Performance Share Unit	Williams, Aaron James Glyndwr	7	2020-03-05	35	52.06	2,746	28
Genworth MI Canada Inc.	Restricted Share Units	Williams, Aaron James Glyndwr	7	2020-03-05	35	52.06	1,820	18
George Weston Limited	Options	Currie, Gordon Andrew McDonald	5	2020-03-03	50		305,782	63,006
George Weston Limited	Performance Share Units	Currie, Gordon Andrew McDonald	5	2020-03-03	56		30,599	6,721
George Weston Limited	Options	Dufresne, Richard	5	2020-03-03	50		400,813	160,329
George Weston Limited	Performance Share Units	Dufresne, Richard	5	2020-03-03	56		42,552	17,103
George Weston Limited	Options	Wasti, Rashid	5	2020-03-03	50		128,262	40,432
George Weston Limited	Performance Share Units	Wasti, Rashid	5	2020-03-03	56		14,487	4,313
George Weston Limited	Options	Weston, Willard Galen Garfield	4, 5	2020-03-03	50		411,922	162,016
George Weston Limited	Performance Share Units	Weston, Willard Galen Garfield	4, 5	2020-03-03	56		52,207	17,283

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GFG Resources Inc.	Common Shares	Skanderbeg, Brian Neville	5	2020-03-05	10	0.12	4,882,437	100,000
Gibson Energy Inc.	Common Shares	DeGruchy, Kyle John	5	2020-02-24	00		6,360	
Gibson Energy Inc.	Deferred Share Units	DeGruchy, Kyle John	5	2020-02-24	00		5,776	
Gibson Energy Inc.	Options	DeGruchy, Kyle John	5	2020-02-24	00		167,597	
Gibson Energy Inc.	Restricted Share Units	DeGruchy, Kyle John	5	2020-02-24	00		31,841	
Gibson Energy Inc.	Common Shares	Estey, James	4	2020-03-02	10	25.63	27,500	-150
Gildan Activewear Inc.	Common Shares	Caira, Marc	4	2018-05-03	00			
Gildan Activewear Inc.	Common Shares	Caira, Marc	4	2020-03-02	10	32	1,560	1,560
Glacier Media Inc.	Common Shares	Osmium Partners, LLC	3	2020-02-26	10	0.4497	11,441,382	-500,000
Global Dividend Growers Income Fund	Trust Units	Global Dividend Growers Income Fund	1	2020-02-27	38	12.25	5,324,305	600
Global Dividend Growers Income Fund	Trust Units	Global Dividend Growers Income Fund	1	2020-02-28	38	11.794	5,327,705	3,400
Global Dividend Growers Income Fund	Trust Units	Global Dividend Growers Income Fund	1	2020-03-05	38	11.895	5,329,805	2,100
Global Innovation Dividend Fund	Trust Units	Global Innovation Dividend Fund	1	2020-02-27	38	10.719	1,053,100	5,100
Global Innovation Dividend Fund	Trust Units	Global Innovation Dividend Fund	1	2020-02-28	38	10.391	1,057,900	4,800
Global Innovation Dividend Fund	Trust Units	Global Innovation Dividend Fund	1	2020-03-03	38	10.606	1,063,000	5,100
Global Innovation Dividend Fund	Trust Units	Global Innovation Dividend Fund	1	2020-03-05	38	10.554	1,063,900	900
Global Real Estate & E-Commerce Dividend Fund	Trust Units	Global Real Estate & E-Commerce Dividend Fund	1	2020-02-27	38	11.279	505,000	5,200
Global Real Estate & E-Commerce Dividend Fund	Trust Units	Global Real Estate & E-Commerce Dividend Fund	1	2020-02-28	38	11.023	506,200	1,200
Global Real Estate & E-Commerce Dividend Fund	Trust Units	Global Real Estate & E-Commerce Dividend Fund	1	2020-03-02	38	11.22	507,700	1,500
Global Real Estate & E-Commerce Dividend Fund	Trust Units	Global Real Estate & E-Commerce Dividend Fund	1	2020-03-03	38	11.386	510,200	2,500
Global Real Estate & E-Commerce Dividend Fund	Trust Units	Global Real Estate & E-Commerce Dividend Fund	1	2020-03-05	38	11.759	512,400	2,200
GMP Capital Inc.	Rights Deferred Share Units (DSU)	Brown, David G.	4	2020-03-05	56		201,403	13,773
goeasy Ltd. (formerly, easyhome Ltd.)	Deferred Share Unit Plan	Appel, David Harry	4	2020-02-28	56	69.08	64,546	48
goeasy Ltd. (formerly, easyhome Ltd.)	Deferred Share Unit Plan	Basian, Karen	4	2020-02-28	56	69.08	22,724	48
goeasy Ltd. (formerly, easyhome Ltd.)	Deferred Share Unit Plan	Doniz, Susan	4	2020-02-28	56	69.08	4,646	48
goeasy Ltd. (formerly, easyhome Ltd.)	Common Shares	goeasy Ltd	1	2020-03-02	38	63.274	37,200	1,700
goeasy Ltd. (formerly, easyhome Ltd.)	Common Shares	goeasy Ltd	1	2020-03-03	38	67.073	46,100	8,900
goeasy Ltd. (formerly, easyhome Ltd.)	Common Shares	goeasy Ltd	1	2020-03-04	38	66.663	54,600	8,500
goeasy Ltd. (formerly, easyhome Ltd.)	Common Shares	goeasy Ltd	1	2020-03-05	38		19,100	-35,500
goeasy Ltd. (formerly, easyhome Ltd.)	Common Shares	goeasy Ltd	1	2020-03-05	38	64.913	27,300	8,200
goeasy Ltd. (formerly, easyhome Ltd.)	Common Shares	goeasy Ltd	1	2020-03-06	38	62.066	36,000	8,700
goeasy Ltd. (formerly, easyhome Ltd.)	Common Shares	Ingram, David	4, 5	2020-03-02	35		1,000	-10
goeasy Ltd. (formerly, easyhome Ltd.)	Common Shares	Ingram, David	4, 5	2020-03-02	35			-10
goeasy Ltd. (formerly, easyhome Ltd.)	Common Shares	Ingram, David	4, 5	2020-03-02	35		1,000	-10
goeasy Ltd. (formerly, easyhome Ltd.)	Deferred Share Unit Plan	Johnson, Donald Kenneth	3, 4	2020-02-28	56	69.08	73,574	48
goeasy Ltd. (formerly, easyhome Ltd.)	Deferred Share Unit Plan	Morrison, Sean	4	2020-02-28	56	69.08	9,681	48
Golden Birch Resources Inc.	Options	Drinkwater, David William	4	2020-03-02	50		725,000	500,000
Golden Birch Resources Inc.	Options	Lindley, Ian David	4	2019-07-31	00			

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Golden Birch Resources Inc.	Options	Lindley, Ian David	4	2020-03-02	50		100,000	100,000
Golden Birch Resources Inc.	Common Shares	Martin, Alan Mcclean	3, 4, 5	2020-03-02	54	0.15	1,900,000	100,000
Golden Birch Resources Inc.	Options	Martin, Alan Mcclean	3, 4, 5	2020-03-02	50		2,875,000	100,000
Golden Birch Resources Inc.	Special Warrants	Martin, Alan Mcclean	3, 4, 5	2020-03-02	54	0.15	0	-100,000
Golden Birch Resources Inc.	Common Shares	Martin, Iain Roderick	4, 5	2020-03-02	54	0.15	4,392,068	158,000
Golden Birch Resources Inc.	Options	Martin, Iain Roderick	4, 5	2020-03-02	50		2,204,995	100,000
Golden Birch Resources Inc.	Special Warrants	Martin, Iain Roderick	4, 5	2020-03-02	54	0.15	0	-158,000
Golden Birch Resources Inc.	Options	Morris, Andrew Jonathon Inger	4	2019-07-31	00			
Golden Birch Resources Inc.	Options	Morris, Andrew Jonathon Inger	4	2020-03-02	50		100,000	100,000
Golden Birch Resources Inc.	Common Shares	Rokeby, Paul	5	2018-08-14	00			
Golden Birch Resources Inc.	Common Shares	Rokeby, Paul	5	2020-03-03	10	0.14	100,000	100,000
Golden Dawn Minerals Inc.	Common Shares	Anderson, Christopher Ross	4	2019-04-14	00			
Golden Dawn Minerals Inc.	Common Shares	Anderson, Christopher Ross	4	2020-02-27	30	0.08	600,000	600,000
Golden Dawn Minerals Inc.	Warrants	Anderson, Christopher Ross	4	2019-04-14	00			
Golden Dawn Minerals Inc.	Warrants	Anderson, Christopher Ross	4	2020-02-27	30	0.15	600,000	600,000
Golden Lake Exploration Inc.	Options	Bradley, Victor	5	2020-03-03	00		250,000	
Golden Lake Exploration Inc.	Options	Jensen, Twila	4	2020-02-24	50	0.1	200,000	100,000
Golden Lake Exploration Inc.	Options	MAH, GEORGE PETER	4	2020-03-03	00			
Golden Lake Exploration Inc.	Options	MAH, GEORGE PETER	4	2020-03-03	50	0.15	150,000	150,000
Golden Share Resources Corporation	Common Shares	Zeng, Nick Nianqing	4, 5	2020-03-05	10	0.075	500,000	7,500
Golden Star Resources Ltd.	Common Shares	LA MANCHA HOLDING S.A.R.L.	3	2020-02-27	10	2.8002	35,058,456	130,300
Golden Star Resources Ltd.	Common Shares	LA MANCHA HOLDING S.A.R.L.	3	2020-02-28	10	2.5663	35,191,725	133,269
Golden Star Resources Ltd.	Common Shares	LA MANCHA HOLDING S.A.R.L.	3	2020-03-02	10	2.6718	35,282,825	91,100
Golden Star Resources Ltd.	Common Shares	LA MANCHA HOLDING S.A.R.L.	3	2020-03-03	10	2.7671	35,382,825	100,000
Golden Star Resources Ltd.	Common Shares	LA MANCHA HOLDING S.A.R.L.	3	2020-03-04	10	2.8725	35,473,157	90,332
Golden Star Resources Ltd.	Common Shares	Wray, Andrew Michael	4, 5	2020-03-04	10	2.89	71,500	34,000
Golden Valley Mines Ltd.	Options	De Vega, Quirico (Rico)	5	2020-03-03	50		707,875	187,875
Golden Valley Mines Ltd.	Options	Groia, Joseph	4, 7	2020-03-03	50		2,555,156	75,000
Golden Valley Mines Ltd.	Options	Lee, Jimmy S.H.	3, 4	2020-03-03	50		2,308,463	75,000
Golden Valley Mines Ltd.	Options	mccartney, william david	4	2020-03-03	50	0.5	2,008,463	75,000
Golden Valley Mines Ltd.	Options	Mullan, Glenn J	4, 7, 5	2020-03-03	50		5,398,957	351,875
Goliath Resources Limited	Common Shares	Groome, Richard	4	2020-03-02	37		23,154	-324,151
Goliath Resources Limited	Common Shares	Groome, Richard	4	2020-03-02	37		8,606	-120,483
Goliath Resources Limited	Options	Groome, Richard	4	2020-03-02	37	0.15	65,000	-910,000
Goliath Resources Limited	Options	Isaacs, Wayne	4	2020-02-20	00		16,667	
Goliath Resources Limited	Common Shares	Rosmus, Roger	4, 5	2020-03-02	37		269,232	-3,769,242
Goliath Resources Limited	Options	Rosmus, Roger	4, 5	2020-03-03	37		649,995	-1,021,395
Goliath Resources Limited	Options	Rosmus, Roger	4, 5	2020-03-03	37		0	-649,995
Goliath Resources Limited	Options	Rosmus, Roger	4, 5	2020-03-03	37	0.29	68,093	68,093
Goliath Resources Limited	Options	Rosmus, Roger	4, 5	2020-03-03	37	0.29	111,426	43,333
Goliath Resources Limited	Common Shares	Warren, Graham	5	2020-03-02	37		81,000	-1,134,000
Goliath Resources Limited	Options	Warren, Graham	5	2020-03-03	37		0	-1,650,000
Goliath Resources Limited	Options	Warren, Graham	5	2020-03-03	37	0.29	66,667	66,667
Goliath Resources Limited	Options	Warren, Graham	5	2020-03-03	37	0.29	110,000	43,333
GOLO Mobile Inc. (formerly, HAW Capital Corp.)	Common Shares	McRoberts, James	3	2020-02-28	10	0.2925	25,732,411	45,000
GOLO Mobile Inc. (formerly, HAW Capital Corp.)	Common Shares	McRoberts, James	3	2020-03-02	10	0.3419	25,784,411	52,000
GOLO Mobile Inc. (formerly, HAW Capital Corp.)	Common Shares	McRoberts, James	3	2020-03-03	10	0.3332	25,826,411	42,000

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GPM Metals Inc.	Options	Burgess, Harry	4	2020-03-02	52		450,000	-50,000
GPM Metals Inc.	Options	Noone, Daniel Joseph	4, 5	2020-03-02	52		450,000	-150,000
GPM Metals Inc.	Options	rosenberg, bruce	4	2020-03-02	52		450,000	-50,000
Gran Tierra Energy Inc.	Options	Berthelet, Remi Anthony	5	2020-02-28	50	0.77	962,166	586,047
Gran Tierra Energy Inc.	Rights Performance Stock Units	Berthelet, Remi Anthony	5	2020-02-28	56		1,709,076	978,641
Gran Tierra Energy Inc.	Options	Ellson, Ryan Paul	5	2020-02-28	50	0.77	1,628,010	667,442
Gran Tierra Energy Inc.	Rights Performance Stock Units	Ellson, Ryan Paul	5	2020-02-28	56		1,743,189	1,114,563
Gran Tierra Energy Inc.	Options	Evans, Jim Randall	5	2020-02-28	50	0.77	949,113	389,535
Gran Tierra Energy Inc.	Rights Performance Stock Units	Evans, Jim Randall	5	2020-02-28	56		1,019,437	650,485
Gran Tierra Energy Inc.	Options	Guidry, Gary Stephen	4, 5	2020-02-28	50	0.77	2,436,214	976,744
Gran Tierra Energy Inc.	Rights Performance Stock Units	Guidry, Gary Stephen	4, 5	2020-02-28	56			1,631,068
Gran Tierra Energy Inc.	Rights Performance Stock Units	Guidry, Gary Stephen	4, 5	2020-02-28	56		2,529,835	1,631,068
Gran Tierra Energy Inc.	Options	Mah, Glen	5	2020-02-28	50	0.77	602,907	279,070
Gran Tierra Energy Inc.	Rights Performance Stock Units	Mah, Glen	5	2020-02-28	56		773,283	466,019
Gran Tierra Energy Inc.	Options	Trimble, Rodger Derrick	5	2020-02-28	50	0.77	599,452	279,070
Gran Tierra Energy Inc.	Rights Performance Stock Units	Trimble, Rodger Derrick	5	2020-02-28	56		773,283	466,019
Gran Tierra Energy Inc.	Options	WEST, LAWRENCE	5	2020-02-28	50	0.77	949,113	389,535
Gran Tierra Energy Inc.	Rights Performance Stock Units	WEST, LAWRENCE	5	2020-02-28	56		1,019,437	650,485
Great Bear Resources Ltd.	Common Shares	Taylor, Christopher Neil Akehurst	5	2020-03-06	10	7.21	834,235	1,000
Great-West Lifeco Inc.	Common Shares Plan Common Shares	Armstrong, Philip	5	2019-12-31	30	30.834	1,658	533
Great-West Lifeco Inc.	Executive Performance Share Units	Armstrong, Philip	5	2019-12-31	30	31.633	37,845	1,916
Great-West Lifeco Inc.	Executive Performance Share Units	Armstrong, Philip	5	2020-03-01	56	32.223	53,975	16,130
Great-West Lifeco Inc.	Options Common Share - Stock Options	Armstrong, Philip	5	2020-03-01	50	32.223	207,600	46,100
Great-West Lifeco Inc.	Executive Performance Share Units	Baumhauer, Magnus	7	2020-03-01	56	32.223	2,611	853
Great-West Lifeco Inc.	Common Shares Plan Common Shares	Bird, Graham R.	5	2019-12-31	30	30.834	6,971	1,919
Great-West Lifeco Inc.	Deferred Share Units	Bird, Graham R.	5	2019-12-31	30	31.633	143,730	7,286
Great-West Lifeco Inc.	Deferred Share Units	Bird, Graham R.	5	2020-03-01	56	32.223	191,638	47,908
Great-West Lifeco Inc.	Deferred Share Units	Bird, Graham R.	5	2020-03-01	56	33.214	197,427	5,789
Great-West Lifeco Inc.	Options Common Share - Stock Options	Bird, Graham R.	5	2020-03-01	50	32.223	577,605	101,300
Great-West Lifeco Inc.	Executive Performance Share Units	Bolotin, Andra Sloane	7	2020-03-01	56	32.223	51,478	27,931
Great-West Lifeco Inc.	Executive Performance Share Units	Brown, Douglas A.	5	2020-03-01	56	32.223	29,033	6,235
Great-West Lifeco Inc.	Options Common Share - Stock Options	Brown, Douglas A.	5	2020-03-01	50	32.223	136,000	30,600
Great-West Lifeco Inc.	Common Shares Plan Common Shares	Fedorchuk, Bradley John	5	2019-12-31	30	30.834	1,594	660
Great-West Lifeco Inc.	Executive Performance Share Units	Fedorchuk, Bradley John	5	2019-12-31	30	31.633	13,989	707
Great-West Lifeco Inc.	Executive Performance Share Units	Fedorchuk, Bradley John	5	2020-03-01	56	32.223	22,731	8,742
Great-West Lifeco Inc.	Options Common Share - Stock Options	Fedorchuk, Bradley John	5	2020-03-01	50	32.223	79,200	25,000
Great-West Lifeco Inc.	Deferred Share Units	Geraghty, Sharon Cecilia	5	2020-03-01	56	32.223	36,641	16,434
Great-West Lifeco Inc.	Executive Performance Share Units	Geraghty, Sharon Cecilia	5	2020-03-01	56	32.223	59,335	18,769
Great-West Lifeco Inc.	Options Common Share - Stock Options	Geraghty, Sharon Cecilia	5	2020-03-01	50	32.223	170,100	53,600

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Great-West Lifeco Inc.	Executive Performance Share Units	Harney, David Martin	7	2020-03-01	56	32.223	23,953	12,255
Great-West Lifeco Inc.	Options Common Share - Stock Options	Harney, David Martin	7	2020-03-01	50	32.223	144,100	64,000
Great-West Lifeco Inc.	Common Shares	Henaire, Charles Donald Harvey	7	2019-12-31	30	30.834	11,918	1,504
Great-West Lifeco Inc.	Executive Performance Share Units	Henaire, Charles Donald Harvey	7	2019-12-31	30	31.633	22,973	1,164
Great-West Lifeco Inc.	Executive Performance Share Units	Henaire, Charles Donald Harvey	7	2020-03-01	56	32.223	32,097	9,124
Great-West Lifeco Inc.	Options Common Share - Stock Options	Henaire, Charles Donald Harvey	7	2020-03-01	50	32.223	184,500	26,100
Great-West Lifeco Inc.	Deferred Share Units	Jamal, Arshil	7	2020-03-01	56	32.223	235,826	47,354
Great-West Lifeco Inc.	Deferred Share Units	Jamal, Arshil	7	2020-03-01	56	33.214	239,871	4,045
Great-West Lifeco Inc.	Executive Performance Share Units	Jamal, Arshil	7	2020-03-01	56	32.223	47,699	10,590
Great-West Lifeco Inc.	Options Common Share - Stock Options	Jamal, Arshil	7	2020-03-01	50	32.223	845,900	152,100
Great-West Lifeco Inc.	Executive Performance Share Units	Lodge, Simon Jeremy Michael	7	2020-03-01	56	32.223	11,954	4,531
Great-West Lifeco Inc.	Executive Performance Share Units	MacNicholas, Garry	5	2020-03-01	56	32.223	92,557	29,708
Great-West Lifeco Inc.	Options Common Share - Stock Options	MacNicholas, Garry	5	2020-03-01	50	32.223	557,600	106,600
Great-West Lifeco Inc.	Common Shares Plan Common Shares	Macoun, Jeffrey Frederick	5	2019-12-31	30	30.834	6,558	1,627
Great-West Lifeco Inc.	Deferred Share Units	Macoun, Jeffrey Frederick	5	2019-12-31	30	31.633	50,021	2,535
Great-West Lifeco Inc.	Deferred Share Units	Macoun, Jeffrey Frederick	5	2020-03-01	56	32.223	68,378	18,357
Great-West Lifeco Inc.	Deferred Share Units	Macoun, Jeffrey Frederick	5	2020-03-01	56	33.214	69,375	997
Great-West Lifeco Inc.	Executive Performance Share Units	Macoun, Jeffrey Frederick	5	2019-12-31	30	31.633	38,444	1,946
Great-West Lifeco Inc.	Executive Performance Share Units	Macoun, Jeffrey Frederick	5	2020-03-01	56	32.223	56,801	18,357
Great-West Lifeco Inc.	Options Common Share - Stock Options	Macoun, Jeffrey Frederick	5	2020-03-01	50	32.223	412,500	131,800
Great-West Lifeco Inc.	Executive Performance Share Units	Mahon, Paul	5	2020-03-01	56	32.223	281,694	88,121
Great-West Lifeco Inc.	Options Common Share - Stock Options	Mahon, Paul	5	2020-03-01	50	32.223	1,956,300	391,600
Great-West Lifeco Inc.	Common Shares Plan Common Shares	McCarthy, David John	5	2019-12-31	30	30.834	7,232	1,803
Great-West Lifeco Inc.	Executive Performance Share Units	McCarthy, David John	5	2019-12-31	30	31.633	33,101	1,677
Great-West Lifeco Inc.	Executive Performance Share Units	McCarthy, David John	5	2020-03-01	56	32.223	46,662	13,561
Great-West Lifeco Inc.	Options Common Share - Stock Options	McCarthy, David John	5	2020-03-01	50	32.223	224,100	38,700
Great-West Lifeco Inc.	Common Shares Plan Common Shares	Morin, Fabrice	5	2019-03-25	00			
Great-West Lifeco Inc.	Common Shares Plan Common Shares	Morin, Fabrice	5	2019-12-31	30	30.834	864	864
Great-West Lifeco Inc.	Executive Performance Share Units	Morin, Fabrice	5	2019-12-31	30	31.633	16,294	622
Great-West Lifeco Inc.	Executive Performance Share Units	Morin, Fabrice	5	2020-03-01	56	32.223	32,574	16,280
Great-West Lifeco Inc.	Options Common Share - Stock Options	Morin, Fabrice	5	2020-03-01	50	32.223	91,300	46,500
Great-West Lifeco Inc.	Executive Performance Share Units	Murphy, Edmund Francis	7	2020-03-01	56	32.223	163,588	93,102
Great-West Lifeco Inc.	Executive Performance Share Units	Orlander, Paul	5	2020-03-01	56	32.223	22,072	10,572
Great-West Lifeco Inc.	Options Common Share - Stock Options	Orlander, Paul	5	2020-03-01	50	32.223	61,400	30,200
Great-West Lifeco Inc.	Common Shares Plan Common Shares	Srivastava, Raman	5	2019-12-31	30	30.834	3,178	1,557

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Great-West Lifeco Inc.	Executive Performance Share Units	Srivastava, Raman	5	2019-12-31	30	31.633	111,345	6,146
Great-West Lifeco Inc.	Executive Performance Share Units	Srivastava, Raman	5	2020-03-01	56	32.223	149,796	38,451
Great-West Lifeco Inc.	Options Common Share - Stock Options	Srivastava, Raman	5	2020-03-01	50	32.223	357,000	109,900
Great-West Lifeco Inc.	Common Shares Plan Common Shares	Tomlin, Dervla Mary	5	2019-12-31	30	30.834	5,477	1,640
Great-West Lifeco Inc.	Executive Performance Share Units	Tomlin, Dervla Mary	5	2019-12-31	30	31.633	34,904	1,768
Great-West Lifeco Inc.	Executive Performance Share Units	Tomlin, Dervla Mary	5	2020-03-01	56	32.223	48,435	13,531
Great-West Lifeco Inc.	Executive Performance Share Units	Tomlin, Dervla Mary	5	2020-03-01	56	32.223	66,621	18,186
Great-West Lifeco Inc.	Options Common Share - Stock Options	Tomlin, Dervla Mary	5	2020-03-01	50	32.223	221,600	38,700
Green Thumb Industries Inc. (formerly Bayswater Uranium Corporation)	Subordinate Voting Shares	Yemenidjian, Alejandro	4	2019-06-21	22		5,333,298	5,242,333
Green Thumb Industries Inc. (formerly Bayswater Uranium Corporation)	Subordinate Voting Shares	Yemenidjian, Alejandro	4	2019-12-30	11	9.67	4,409,055	-467,892
Green Thumb Industries Inc. (formerly Bayswater Uranium Corporation)	Subordinate Voting Shares	Yemenidjian, Alejandro	4	2020-01-29	11	8.19	4,363,554	-45,501
Green Thumb Industries Inc. (formerly Bayswater Uranium Corporation)	Subordinate Voting Shares	Yemenidjian, Alejandro	4	2020-01-29	47	9.8	4,113,554	-250,000
Green Thumb Industries Inc. (formerly Bayswater Uranium Corporation)	Subordinate Voting Shares	Yemenidjian, Alejandro	4	2019-06-18	00			
Green Thumb Industries Inc. (formerly Bayswater Uranium Corporation)	Subordinate Voting Shares	Yemenidjian, Alejandro	4	2019-06-18	00			
Green Thumb Industries Inc. (formerly Bayswater Uranium Corporation)	Subordinate Voting Shares	Yemenidjian, Alejandro	4	2019-12-17	11	8.85		-565,000
Green Thumb Industries Inc. (formerly Bayswater Uranium Corporation)	Subordinate Voting Shares	Yemenidjian, Alejandro	4	2019-12-17	11	8.19		-565,000
Green Thumb Industries Inc. (formerly Bayswater Uranium Corporation)	Subordinate Voting Shares	Yemenidjian, Alejandro	4	2019-12-30	11	9.67		-467,892
Green Thumb Industries Inc. (formerly Bayswater Uranium Corporation)	Subordinate Voting Shares	Yemenidjian, Alejandro	4	2020-01-29	11	8.19		-45,501
Green Thumb Industries Inc. (formerly Bayswater Uranium Corporation)	Subordinate Voting Shares	Yemenidjian, Alejandro	4	2020-01-29	47	9.8		-250,000
Green Thumb Industries Inc. (formerly Bayswater Uranium Corporation)	Subordinate Voting Shares	Yemenidjian, Alejandro	4	2019-12-17	11	8.19	4,876,947	-565,000
GreenSpace Brands Inc. (formerly Aumento IV Capital Corporation)	Common Shares	Campbell, Donald Ian	8, 6	2020-02-21	10	0.085		10,000
GreenSpace Brands Inc. (formerly Aumento IV Capital Corporation)	Common Shares	Campbell, Donald Ian	8, 6	2020-02-21	10	0.085		10,000
GreenSpace Brands Inc. (formerly Aumento IV Capital Corporation)	Common Shares	Campbell, Donald Ian	8, 6	2020-02-21	10	0.0873		20,000
GreenSpace Brands Inc. (formerly Aumento IV Capital Corporation)	Common Shares	Campbell, Donald Ian	8, 6	2020-02-21	10	0.0873		20,000
GreenSpace Brands Inc. (formerly Aumento IV Capital Corporation)	Common Shares	Campbell, Donald Ian	8, 6	2020-02-21	10	0.09		10,000
GreenSpace Brands Inc. (formerly Aumento IV Capital Corporation)	Common Shares	Campbell, Donald Ian	8, 6	2020-02-21	10	0.09		10,000

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GreenSpace Brands Inc. (formerly Aumento IV Capital Corporation)	Common Shares	Campbell, Donald Ian	8, 6	2020-02-24	00		100,000	
GreenSpace Brands Inc. (formerly Aumento IV Capital Corporation)	Common Shares	Campbell, Donald Ian	8, 6	2020-02-24	10	0.085		20,000
GreenSpace Brands Inc. (formerly Aumento IV Capital Corporation)	Common Shares	Campbell, Donald Ian	8, 6	2020-02-24	10	0.085		20,000
GreenSpace Brands Inc. (formerly Aumento IV Capital Corporation)	Common Shares	Campbell, Donald Ian	8, 6	2020-02-24	10	0.09		17,500
GreenSpace Brands Inc. (formerly Aumento IV Capital Corporation)	Common Shares	Campbell, Donald Ian	8, 6	2020-02-24	10	0.09		17,500
GreenSpace Brands Inc. (formerly Aumento IV Capital Corporation)	Common Shares	PenderFund Capital Management Ltd.	3	2020-02-24	00			
GreenSpace Brands Inc. (formerly Aumento IV Capital Corporation)	Common Shares	PenderFund Capital Management Ltd.	3	2020-02-24	11	0.065	12,449,283	12,449,283
GreenSpace Brands Inc. (formerly Aumento IV Capital Corporation)	Common Shares	PenderFund Capital Management Ltd.	3	2020-02-24	00		6,974,067	
GreenSpace Brands Inc. (formerly Aumento IV Capital Corporation)	Common Shares	PenderFund Capital Management Ltd.	3	2020-02-24	11	0.065	13,543,726	6,569,659
Grid Metals Corp.	Options	Dunbar, Robin Edward	4, 5	2020-02-27	50	0.25	1,400,000	400,000
Grid Metals Corp.	Restricted Share Units	Dunbar, Robin Edward	4, 5	2003-05-28	00			
Grid Metals Corp.	Restricted Share Units	Dunbar, Robin Edward	4, 5	2020-02-27	56	0.25	300,000	300,000
Grid Metals Corp.	Options	Meredith, Thomas W.	4, 5	2020-02-27	50	0.25	474,000	200,000
Grid Metals Corp.	Options	Munden, Edward John	4	2020-02-27	50	0.25	425,000	200,000
Grid Metals Corp.	Options	Peck, David Charles Bernard	5	2020-01-13	00			
Grid Metals Corp.	Options	Peck, David Charles Bernard	5	2020-02-27	50	0.25	1,000,000	1,000,000
Grid Metals Corp.	Options	Roden, Rodger	5	2020-02-27	50	0.25	80,000	30,000
Grown Rogue International Inc.	Common Shares	August, Adam Michael Barnard	7	2020-02-24	00		500,000	
Grown Rogue International Inc.	Common Shares	Rigg, Robert John	5	2020-02-24	00		200,000	
Guardian Capital Group Limited	Non-Voting Shares Class A	Guardian Capital Group Limited	1	2020-03-03	10	26	81,400	81,400
H&R Real Estate Investment Trust	Units	Gilbert, Edward Allen	4	2020-03-06	10	19.96	89,957	6,100
H&R Real Estate Investment Trust	Units	Morrow, Juli	4	2020-03-02	10	19.985	8,821	8,750
H&R Real Estate Investment Trust	Units	Morrow, Juli	4	2020-02-28	10	19.27	15,789	6,450
H&R Real Estate Investment Trust	Units	Rutman, Ronald C.	4	2020-03-04	11		1,183,195	16,667
H&R Real Estate Investment Trust	Units	Rutman, Ronald C.	4	2020-03-04	11		0	-16,667
Halo Labs Inc. (formerly Apogee Opportunities Inc.)	Common Shares	Met, Andreas Arnold Alfred	4, 5	2020-03-02	11	0.095	1,244,182	223,911
Halo Labs Inc. (formerly Apogee Opportunities Inc.)	Common Shares	Sidhu, Kiranjit Singh	4	2020-03-02	11	0.095	4,695,108	271,023
Halo Labs Inc. (formerly Apogee Opportunities Inc.)	Common Shares	van den Berg, Philippus Johannes Theodorus	4, 5	2020-03-02	11	0.095	3,958,312	255,481
Hammond Power Solutions Inc.	Rights Deferred Share Units	David, FitzGibbon	4	2020-03-01	56		13,171	1,394
Hammond Power Solutions Inc.	Rights Deferred Share Units	GRANOVSKY, DAHRA	4	2020-03-01	56		16,422	1,394
Hammond Power Solutions Inc.	Rights Deferred Share Units	HAMMOND, BILL	6	2020-03-01	56		17,810	1,917
Hammond Power Solutions Inc.	Rights Deferred Share Units	HUETHER, CHRIS	5	2020-03-01	56		17,810	1,917

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Hammond Power Solutions Inc.	Rights Deferred Share Units	Jaques, Frederick Maurice	4	2020-03-01	56		19,675	1,394
Hammond Power Solutions Inc.	Rights Deferred Share Units	MACADAM, DON	4	2020-03-01	56		13,171	1,394
Hammond Power Solutions Inc.	Rights Deferred Share Units	Robinson, Grant Cameron	4	2020-03-01	56		13,171	1,394
Hammond Power Solutions Inc.	Rights Deferred Share Units	Waterman, Richard Stanley	4	2020-03-01	56		13,171	1,394
HANWEI ENERGY SERVICES CORP.	Options	Clay, Malcolm Frank	4	2020-02-20	52	0.1		200,000
HANWEI ENERGY SERVICES CORP.	Options	Clay, Malcolm Frank	4	2020-02-20	52	0.1	1,150,000	-200,000
HANWEI ENERGY SERVICES CORP.	Common Shares	LANG, FULAI	3, 4, 5	2020-03-02	10	0.017	34,738,600	275,000
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Danku, Terry Ryan	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Danku, Terry Ryan	5	2020-03-04	11	0.92	33,152	33,152
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Danku, Terry Ryan	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Danku, Terry Ryan	5	2020-03-04	11	0.92	75,543	75,543
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Danku, Terry Ryan	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Danku, Terry Ryan	5	2020-03-04	11	0.92	1,230,581	1,230,581
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Danku, Terry Ryan	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Danku, Terry Ryan	5	2020-03-04	11	0.92	111,087	111,087
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Danku, Terry Ryan	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Danku, Terry Ryan	5	2020-03-04	11	0.92	71,375	71,375
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Danku, Terry Ryan	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Danku, Terry Ryan	5	2020-03-04	11		33,152	33,152
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Danku, Terry Ryan	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Danku, Terry Ryan	5	2020-03-04	11		75,543	75,543
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Danku, Terry Ryan	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Danku, Terry Ryan	5	2020-03-04	11		1,230,581	1,230,581
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Danku, Terry Ryan	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Danku, Terry Ryan	5	2020-03-04	11		111,087	111,087

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Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Danku, Terry Ryan	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Danku, Terry Ryan	5	2020-03-04	11		71,375	71,375
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Grimwood, Jonathan L.	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Grimwood, Jonathan L.	5	2020-03-04	11	0.92	21,500	21,500
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Grimwood, Jonathan L.	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Grimwood, Jonathan L.	5	2020-03-04	11	0.92	47,500	47,500
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Grimwood, Jonathan L.	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Grimwood, Jonathan L.	5	2020-03-04	11	0.92	91,000	91,000
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Grimwood, Jonathan L.	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Grimwood, Jonathan L.	5	2020-03-04	11	0.92	380,000	380,000
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Grimwood, Jonathan L.	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Grimwood, Jonathan L.	5	2020-03-04	11	0.92	34,500	34,500
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Grimwood, Jonathan L.	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Grimwood, Jonathan L.	5	2020-03-04	11	0.92	326,000	326,000
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Grimwood, Jonathan L.	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Grimwood, Jonathan L.	5	2020-03-04	11	0.92	54,000	54,000
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Grimwood, Jonathan L.	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Grimwood, Jonathan L.	5	2020-03-04	11	0.92	45,500	45,500
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Grimwood, Jonathan L.	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Grimwood, Jonathan L.	5	2020-03-04	11		21,500	21,500
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Grimwood, Jonathan L.	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Grimwood, Jonathan L.	5	2020-03-04	11		47,500	47,500
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Grimwood, Jonathan L.	5	2020-03-04	00			

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Grimwood, Jonathan L.	5	2020-03-04	11		91,000	91,000
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Grimwood, Jonathan L.	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Grimwood, Jonathan L.	5	2020-03-04	11		380,000	380,000
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Grimwood, Jonathan L.	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Grimwood, Jonathan L.	5	2020-03-04	11		34,500	34,500
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Grimwood, Jonathan L.	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Grimwood, Jonathan L.	5	2020-03-04	11		326,000	326,000
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Grimwood, Jonathan L.	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Grimwood, Jonathan L.	5	2020-03-04	11		54,000	54,000
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Grimwood, Jonathan L.	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Grimwood, Jonathan L.	5	2020-03-04	11		45,500	45,500
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Henry, Chandra	4	2020-03-04	00		271,739	
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Henry, Chandra	4	2020-03-04	00		271,739	
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Horvath, Alexandra	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Horvath, Alexandra	5	2020-03-04	11	0.92	543,478	543,478
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Horvath, Alexandra	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Horvath, Alexandra	5	2020-03-04	11		543,478	543,478
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Jaskela, Jason Jowill	4, 5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Jaskela, Jason Jowill	4, 5	2020-03-04	11	0.92	4,347,840	4,347,840
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Jaskela, Jason Jowill	4, 5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Jaskela, Jason Jowill	4, 5	2020-03-04	11		4,347,840	4,347,840
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Larke, Stephen Phillip	4	2020-03-04	00		60,000	
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Larke, Stephen Phillip	4	2020-03-04	00		60,000	

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Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Larke, Stephen Phillip	4	2020-03-04	00		60,000	
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Larke, Stephen Phillip	4	2020-03-04	00		635,217	
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Larke, Stephen Phillip	4	2020-03-04	00		60,000	
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Larke, Stephen Phillip	4	2020-03-04	00		60,000	
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Larke, Stephen Phillip	4	2020-03-04	00		60,000	
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Larke, Stephen Phillip	4	2020-03-04	00		635,217	
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Deferred Share Unit (DSU)	McKee, James Simon	4	2020-03-04	59	1.302	0	-370,785
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Olson, Kevin	4	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Olson, Kevin	4	2020-03-04	11	0.92	40,800	40,800
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Olson, Kevin	4	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Olson, Kevin	4	2020-03-04	11	0.92	2,092,313	2,092,313
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Olson, Kevin	4	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Olson, Kevin	4	2020-03-04	11	0.92	40,800	40,800
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Olson, Kevin	4	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Olson, Kevin	4	2020-03-04	11		40,800	40,800
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Olson, Kevin	4	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Olson, Kevin	4	2020-03-04	11		2,092,313	2,092,313
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Olson, Kevin	4	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Olson, Kevin	4	2020-03-04	11		40,800	40,800
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Pearce, David Lawrence	4	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Pearce, David Lawrence	4	2020-03-04	11	0.92	543,478	543,478
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Pearce, David Lawrence	4	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Pearce, David Lawrence	4	2020-03-04	11		543,478	543,478

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Rideout, Scott Earl	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Rideout, Scott Earl	5	2020-03-04	11	0.92	118,478	118,478
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Rideout, Scott Earl	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Rideout, Scott Earl	5	2020-03-04	11	0.92	93,478	93,478
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Rideout, Scott Earl	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Rideout, Scott Earl	5	2020-03-04	11	0.92	70,652	70,652
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Rideout, Scott Earl	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Rideout, Scott Earl	5	2020-03-04	11	0.92	152,173	152,173
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Rideout, Scott Earl	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Rideout, Scott Earl	5	2020-03-04	11	0.92	76,087	76,087
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Rideout, Scott Earl	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	Rideout, Scott Earl	5	2020-03-04	11	0.92	32,609	32,609
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Rideout, Scott Earl	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Rideout, Scott Earl	5	2020-03-04	11		118,478	118,478
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Rideout, Scott Earl	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Rideout, Scott Earl	5	2020-03-04	11		93,478	93,478
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Rideout, Scott Earl	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Rideout, Scott Earl	5	2020-03-04	11		70,652	70,652
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Rideout, Scott Earl	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Rideout, Scott Earl	5	2020-03-04	11		152,173	152,173
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Rideout, Scott Earl	5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Rideout, Scott Earl	5	2020-03-04	11		76,087	76,087
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Rideout, Scott Earl	5	2020-03-04	00			

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Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	Rideout, Scott Earl	5	2020-03-04	11		32,609	32,609
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	ROSZELL, NEIL JACK	4, 5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Common Shares	ROSZELL, NEIL JACK	4, 5	2020-03-04	11	0.92	1,586,956	1,586,956
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	ROSZELL, NEIL JACK	4, 5	2020-03-04	00			
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	Warrants	ROSZELL, NEIL JACK	4, 5	2020-03-04	11		1,586,956	1,586,956
HealthSpace Data Systems Ltd.	Warrants	Hakimzadeh, Ali	3, 4	2020-03-05	55		1,360,000	-857,000
HealthSpace Data Systems Ltd.	Warrants	Hakimzadeh, Ali	3, 4	2020-03-05	55		0	-257,000
HealthSpace Data Systems Ltd.	Warrants	Hakimzadeh, Ali	3, 4	2020-03-05	55		0	-217,000
High Liner Foods Incorporated	Common Shares	Hennigar, Laurie Dean	6	2020-03-02	10	7.32	24,050	3,000
High Liner Foods Incorporated	Common Shares	Hennigar, Laurie Dean	6	2020-03-02	10	7.332	27,180	1,300
High Liner Foods Incorporated	Rights Performance Share Units	Jewer, Paul Allan	5	2020-02-28	58 - Expiration of rights		88,064	-9,077
High Liner Foods Incorporated	Rights Restricted Share Units	Jewer, Paul Allan	5	2020-02-28	57	8.35	17,924	-4,539
High Liner Foods Incorporated	Rights Performance Share Units	Keeler-Hurshman, Heather	5	2020-02-28	58 - Expiration of rights		10,435	-1,048
High Liner Foods Incorporated	Rights Restricted Share Units	Keeler-Hurshman, Heather	5	2020-02-28	57	8.35	4,253	-1,048
High Liner Foods Incorporated	Rights Performance Share Units	Milner, Charlene Kristen	5	2020-02-28	58 - Expiration of rights		11,232	-1,113
High Liner Foods Incorporated	Rights Restricted Share Units	Milner, Charlene Kristen	5	2020-02-28	57	8.35	4,573	-1,113
High Liner Foods Incorporated	Rights Performance Share Units	Murray, Craig	5	2020-02-28	58 - Expiration of rights		44,360	-1,267
High Liner Foods Incorporated	Rights Restricted Share Units	Murray, Craig	5	2020-02-28	57	8.35	9,153	-1,267
High Liner Foods Incorporated	Rights Performance Share Units	Rorabeck, Timothy	5	2020-02-28	58 - Expiration of rights		48,223	-4,879
High Liner Foods Incorporated	Rights Restricted Share Units	Rorabeck, Timothy	5	2020-02-28	57	8.35	9,905	-7,325
High Liner Foods Incorporated	Rights Performance Share Units	Snow, Paul	5	2020-02-28	58 - Expiration of rights		62,330	-5,750
High Liner Foods Incorporated	Rights Restricted Share Units	Snow, Paul	5	2020-02-28	57	8.35	12,333	-2,876
HighGold Mining Inc.	Options March 3 2020 Option Grant	Cunningham-Dunlop, Ian Richard	5	2019-06-26	00			
HighGold Mining Inc.	Options March 3 2020 Option Grant	Cunningham-Dunlop, Ian Richard	5	2020-03-03	50	1	100,000	100,000
HighGold Mining Inc.	Common Shares	Gray, Michael Joseph	4	2019-08-01	00		160,416	
HighGold Mining Inc.	Common Shares	Gray, Michael Joseph	4	2019-08-19	11	0.2	185,416	25,000
HighGold Mining Inc.	Common Shares	Gray, Michael Joseph	4	2019-08-19	11	0.45	235,416	50,000
HighGold Mining Inc.	Options (entitlements granted under 08-01-2019 spin-out transaction)	Gray, Michael Joseph	4	2019-08-01	00			
HighGold Mining Inc.	Options (entitlements granted under 08-01-2019 spin-out transaction)	Gray, Michael Joseph	4	2019-08-19	50		150,000	150,000
HighGold Mining Inc.	Options (entitlements granted under 08-01-2019 spin-out transaction)	Gray, Michael Joseph	4	2020-03-03	50		200,000	50,000
HighGold Mining Inc.	Warrants	Gray, Michael Joseph	4	2019-08-01	00			
HighGold Mining Inc.	Warrants	Gray, Michael Joseph	4	2019-08-19	11		45,000	45,000

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HighGold Mining Inc.	Warrants	Gray, Michael Joseph	4	2019-08-19	11		70,000	25,000
HighGold Mining Inc.	Options	Green, Darwin	4, 5	2020-03-03	50	1	925,000	500,000
HighGold Mining Inc.	Common Shares	Labelle, Anne Marie Susan	4	2020-03-03	00			
HighGold Mining Inc.	Common Shares	Labelle, Anne Marie Susan	4	2020-03-03	50	1	200,000	200,000
HighGold Mining Inc.	Options	Morfopoulos, Aris	4, 5	2020-03-03	50	1	200,000	75,000
HighGold Mining Inc.	Options	Nemeth, Naomi	5	2020-03-03	50	1	225,000	75,000
Holly Street Capital Ltd.	Options	Cheung, Ryan	5	2019-07-31	00			
Holly Street Capital Ltd.	Options	Cheung, Ryan	5	2019-12-17	50		112,500	112,500
Holly Street Capital Ltd.	Common Shares	Fisher, Trumbull Grant	4	2019-12-04	00		500,000	
Holly Street Capital Ltd.	Options	Fisher, Trumbull Grant	4	2019-12-04	00			
Holly Street Capital Ltd.	Options	Fisher, Trumbull Grant	4	2019-12-17	50		112,500	112,500
Holly Street Capital Ltd.	Options	Freudman, Joel Aaron	4, 5	2019-12-04	00			
Holly Street Capital Ltd.	Options	Freudman, Joel Aaron	4, 5	2019-12-17	50		112,500	112,500
Holly Street Capital Ltd.	Options	Lopez, Damian	4	2019-12-04	00			
Holly Street Capital Ltd.	Options	Lopez, Damian	4	2019-12-17	50		112,500	112,500
Home Capital Group Inc.	Options	Katchen, Benjamin	5	2020-02-28	38	27.65	43,771	-1,981
Horizon North Logistics Inc.	Options	Campbell, Jan Marie	5	2020-02-26	52	2.3		-12,000
Horizon North Logistics Inc.	Options	Campbell, Jan Marie	5	2020-02-26	52	2.3	42,334	-5,000
Horizon North Logistics Inc.	Rights RSU	Graham, Roderick William	4, 5	2019-06-01	56			121,212
Horizon North Logistics Inc.	Rights RSU	Graham, Roderick William	4, 5	2019-06-01	56		420,467	151,515
Horizon North Logistics Inc.	Rights RSU	Kiss, Joseph Peter	7	2019-06-01	59			-8,333
Horizon North Logistics Inc.	Rights RSU	Kiss, Joseph Peter	7	2019-06-01	59		55,304	-15,000
Horizon North Logistics Inc.	Options	Matson, Scott Eric	5	2020-02-26	52	2.3		-400,000
Horizon North Logistics Inc.	Options	Matson, Scott Eric	5	2020-02-26	52	2.3	585,484	-150,000
Hudbay Minerals Inc. (formerly HudBay Minerals Inc.)	Common Shares	GMT Capital Corp	3	2020-03-02	10	2.41	7,174,090	174,200
Hudbay Minerals Inc. (formerly HudBay Minerals Inc.)	Common Shares	GMT Capital Corp	3	2020-03-03	10	2.48	7,285,290	111,200
Hudbay Minerals Inc. (formerly HudBay Minerals Inc.)	Common Shares	GMT Capital Corp	3	2020-03-02	10	2.41	8,663,220	366,000
Hudbay Minerals Inc. (formerly HudBay Minerals Inc.)	Common Shares	GMT Capital Corp	3	2020-03-03	22	2.48	8,896,820	233,600
Hudbay Minerals Inc. (formerly HudBay Minerals Inc.)	Common Shares	GMT Capital Corp	3	2020-03-02	11	2.41	12,035,890	375,400
Hudbay Minerals Inc. (formerly HudBay Minerals Inc.)	Common Shares	GMT Capital Corp	3	2020-03-03	10	2.48	12,275,490	239,600
Hudbay Minerals Inc. (formerly HudBay Minerals Inc.)	Common Shares	GMT Capital Corp	3	2020-03-02	10	2.41	1,101,900	38,600
Hudbay Minerals Inc. (formerly HudBay Minerals Inc.)	Common Shares	GMT Capital Corp	3	2020-03-03	10	2.48	1,126,600	24,700
Hudbay Minerals Inc. (formerly HudBay Minerals Inc.)	Common Shares	Lei, Eugene Chi-Yen	5	2020-03-03	10	3.3	98,941	7,000
Hudson's Bay Company	Common Shares	Baker, Richard Alan	4	2020-03-03	97		0	-9,917,629
Hudson's Bay Company	Common Shares	Baker, Richard Alan	4	2020-03-03	97		0	-1,558,471
Hudson's Bay Company	Common Shares	Baker, Richard Alan	4	2020-03-03	97		0	-86,388
Hudson's Bay Company	Common Shares	Baker, Richard Alan	4	2020-03-03	97		0	-214,024
Hudson's Bay Company	Options	Baker, Richard Alan	4	2020-03-02	38		0	-1,500,000
Hudson's Bay Company	Options	Baker, Richard Alan	4	2020-03-02	38		0	-6,190,517
Hudson's Bay Company	Performance Share Units	Baker, Richard Alan	4	2020-03-02	38		0	-3,630,137
Hudson's Bay Company	Restricted Share Units	Baker, Richard Alan	4	2020-03-02	38		0	-49,688
Hudson's Bay Company	Restricted Share Units	Baker, Richard Alan	4	2020-03-02	38		0	-1,016,848
Hudson's Bay Company	Common Shares	Baker, Robert C.	4	2020-03-03	97		0	-20,472
Hudson's Bay Company	Common Shares	Baker, Robert C.	4	2020-03-03	97		0	-20,472
Hudson's Bay Company	Common Shares	Baker, Robert C.	4	2020-03-03	97		0	-20,472

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Hudson's Bay Company	Common Shares	Baker, Robert C.	4	2020-03-03	97		0	-323,837
Hudson's Bay Company	Common Shares	Baker, Robert C.	4	2020-03-03	97		0	-20,472
Hudson's Bay Company	Common Shares	Baker, Robert C.	4	2020-03-03	97		0	-500,000
Hudson's Bay Company	Common Shares	Baker, Robert C.	4	2020-03-03	97		0	-79,765
Hudson's Bay Company	Common Shares	Baker, Robert C.	4	2020-03-03	97		0	-3,000,000
Hudson's Bay Company	Deferred Share Units	Baker, Robert C.	4	2020-03-03	22	11	0	-107,624
Hudson's Bay Company	Common Shares	Catalyst Capital Group Inc.	3	2020-03-03	22	11	0	-32,326,878
Hudson's Bay Company	Deferred Share Units	Coyles, Stephanie	4	2020-03-03	22	11	0	-19,379
Hudson's Bay Company	Options	Foulkes, Helena Buonanno	5	2020-03-03	22	11	0	-450,000
Hudson's Bay Company	Performance Share Units	Foulkes, Helena Buonanno	5	2020-03-03	38		0	-919,800
Hudson's Bay Company	Restricted Share Units	Foulkes, Helena Buonanno	5	2020-03-03	22	11	0	-888,365
Hudson's Bay Company	Performance Share Units	Gold, Stephen J.	5	2020-03-03	38		0	-255,233
Hudson's Bay Company	Restricted Share Units	Gold, Stephen J.	5	2020-03-03	22	11	0	-358,984
Hudson's Bay Company	Deferred Share Units	Gross, Eric	4	2020-03-03	22	11	0	-33,187
Hudson's Bay Company	Performance Share Units	Harlam, Bari	5	2020-03-03	38		0	-39,135
Hudson's Bay Company	Restricted Share Units	Harlam, Bari	5	2020-03-03	22	11	0	-72,074
Hudson's Bay Company	Common Shares	L&T B (Cayman) Inc.	3	2020-03-03	97		0	-323,837
Hudson's Bay Company	Common Shares	L&T B (Cayman) Inc.	3	2020-03-03	97		0	-79,765
Hudson's Bay Company	Common Shares	L&T B (Cayman) Inc.	3	2020-03-03	97		0	-605,809
Hudson's Bay Company	Common Shares	L&T B (Cayman) Inc.	3	2020-03-03	97		0	-213,550
Hudson's Bay Company	Common Shares	L&T B (Cayman) Inc.	3	2020-03-03	97		0	-15,936
Hudson's Bay Company	Common Shares	L&T B (Cayman) Inc.	3	2020-03-03	97		0	-87,392
Hudson's Bay Company	Common Shares	L&T B (Cayman) Inc.	3	2020-03-03	97		0	-3,216,974
Hudson's Bay Company	Common Shares	L&T B (Cayman) Inc.	3	2020-03-03	97		0	-20,472
Hudson's Bay Company	Common Shares	L&T B (Cayman) Inc.	3	2020-03-03	97		0	-9,917,629
Hudson's Bay Company	Common Shares	L&T B (Cayman) Inc.	3	2020-03-03	97		0	-293,315
Hudson's Bay Company	Common Shares	L&T B (Cayman) Inc.	3	2020-03-03	97		0	-146,666
Hudson's Bay Company	Common Shares	L&T B (Cayman) Inc.	3	2020-03-03	97		0	-500,000
Hudson's Bay Company	Common Shares	L&T B (Cayman) Inc.	3	2020-03-03	97		0	-1,558,471
Hudson's Bay Company	Common Shares	L&T B (Cayman) Inc.	3	2020-03-03	97		0	-54,074
Hudson's Bay Company	Common Shares	L&T B (Cayman) Inc.	3	2020-03-03	97		0	-240,000
Hudson's Bay Company	Common Shares	L&T B (Cayman) Inc.	3	2020-03-03	97		0	-3,000,000
Hudson's Bay Company	Common Shares	L&T B (Cayman) Inc.	3	2020-03-03	97		0	-363,334
Hudson's Bay Company	Common Shares	L&T B (Cayman) Inc.	3	2020-03-03	97		0	-20,472
Hudson's Bay Company	Common Shares	L&T B (Cayman) Inc.	3	2020-03-03	97		0	-20,472
Hudson's Bay Company	Common Shares	L&T B (Cayman) Inc.	3	2020-03-03	97		0	-20,472
Hudson's Bay Company	Common Shares	L&T B (Cayman) Inc.	3	2020-03-03	97		0	-160,001
Hudson's Bay Company	Common Shares	L&T B (Cayman) Inc.	3	2020-03-03	97		0	-6,000,942
Hudson's Bay Company	Common Shares	L&T B (Cayman) Inc.	3	2020-03-03	97		0	-1,000,000
Hudson's Bay Company	Common Shares	L&T B (Cayman) Inc.	3	2020-03-03	97		0	-86,388
Hudson's Bay Company	Deferred Share Units	Langman, Steven	4	2020-03-03	22	11	0	-48,674
Hudson's Bay Company	Options	Leigh, Janis Hamilton	5	2020-03-03	22	11	0	-97,812
Hudson's Bay Company	Performance Share Units	Leigh, Janis Hamilton	5	2020-03-03	38		0	-141,699
Hudson's Bay Company	Restricted Share Units	Leigh, Janis Hamilton	5	2020-03-03	22	11	0	-71,425
Hudson's Bay Company	Deferred Share Units	Leith, David Gordon	4	2020-03-03	22	11	0	-83,996
Hudson's Bay Company	Common Shares	Mack, William Lawrence	4	2020-03-03	38	11	0	-323,837
Hudson's Bay Company	Common Shares	Mack, William Lawrence	4	2020-03-03	38	11	0	-20,472
Hudson's Bay Company	Common Shares	Mack, William Lawrence	4	2020-03-03	38	11	0	-363,334
Hudson's Bay Company	Common Shares	Mack, William Lawrence	4	2020-03-03	38	11	0	-160,001
Hudson's Bay Company	Deferred Share Units	Mack, William Lawrence	4	2020-03-03	22	11	0	-107,624
Hudson's Bay Company	Common Shares	Mader, Kerry Ross	5	2020-03-03	38	11	0	-10,085
Hudson's Bay Company	Options	Mader, Kerry Ross	5	2020-03-03	22	11	0	-193,782
Hudson's Bay Company	Performance Share Units	Mader, Kerry Ross	5	2020-03-03	38		0	-203,236
Hudson's Bay Company	Restricted Share Units	Mader, Kerry Ross	5	2020-03-03	22	11	0	-74,637
Hudson's Bay Company	Common Shares	Metrick, Marc Jeffrey	5	2020-03-03	38	11	0	-679
Hudson's Bay Company	Options	Metrick, Marc Jeffrey	5	2020-03-03	22	11	0	-296,375
Hudson's Bay Company	Performance Share Units	Metrick, Marc Jeffrey	5	2020-03-03	38		0	-385,525
Hudson's Bay Company	Restricted Share Units	Metrick, Marc Jeffrey	5	2020-03-03	22	11	0	-163,631

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Hudson's Bay Company	Common Shares	Mubadala Investment Company PJSC	3	2020-03-03	36		0	-32,250,510
Hudson's Bay Company	Common Shares	Neibart, Lee S.	4	2020-03-03	97		0	-3,216,974
Hudson's Bay Company	Common Shares	Neibart, Lee S.	4	2020-03-03	97		0	-87,392
Hudson's Bay Company	Deferred Share Units	Neibart, Lee S.	4	2020-03-03	22	11	0	-107,624
Hudson's Bay Company	Deferred Share Units	Pommen, Wayne Longmire	4	2020-03-03	22	11	0	-76,515
Hudson's Bay Company	Common Shares	Putnam, Ian Gilbert	5	2020-03-03	38	11	0	-451
Hudson's Bay Company	Options	Putnam, Ian Gilbert	5	2020-03-03	22	11	0	-561,553
Hudson's Bay Company	Performance Share Units	Putnam, Ian Gilbert	5	2020-03-03	38		0	-522,654
Hudson's Bay Company	Restricted Share Units	Putnam, Ian Gilbert	5	2020-03-03	22	11	0	-357,297
Hudson's Bay Company	Options	Record, Edward	5	2020-03-03	22	11	0	-308,641
Hudson's Bay Company	Performance Share Units	Record, Edward	5	2020-03-03	38		0	-344,395
Hudson's Bay Company	Restricted Share Units	Record, Edward	5	2020-03-03	22	11	0	-166,639
Hudson's Bay Company	Common Shares	Rotman, Earl	4	2020-03-03	38	11	0	-20,300
Hudson's Bay Company	Deferred Share Units	Rotman, Earl	4	2020-03-03	22	11	0	-72,881
Hudson's Bay Company	Common Shares	Rubel, Matthew Evan	4	2020-03-03	38	11	0	-6,100
Hudson's Bay Company	Deferred Share Units	Rubel, Matthew Evan	4	2020-03-03	22	11	0	-78,542
Hudson's Bay Company	Common Shares	Schwartz, David	5	2020-02-28	10	8.15	4,000	-4,000
Hudson's Bay Company	Common Shares	Schwartz, David	5	2020-03-02	10	8.177	0	-4,000
Hudson's Bay Company	Common Shares	Schwartz, David	5	2020-02-28	10	10.93	0	-28,558
Hudson's Bay Company	Options	Schwartz, David	5	2020-03-03	22	11	0	-211,803
Hudson's Bay Company	Performance Share Units	Schwartz, David	5	2020-03-03	38		0	-264,268
Hudson's Bay Company	Restricted Share Units	Schwartz, David	5	2020-03-03	22	11	0	-100,049
Hudson's Bay Company	Deferred Share Units	Wong, Andrea Lynn	4	2020-03-03	22	11	0	-62,688
Hydro One Limited	Rights LTIP (Cash Settled)	Bowness, Brad Mitchell	5	2019-05-01	00			
Hydro One Limited	Rights LTIP (Cash Settled)	Bowness, Brad Mitchell	5	2020-03-02	56		267,750	267,750
Hydro One Limited	Rights LTIP (Cash Settled)	Bradley, Darlene Ann	5	2019-05-01	00			
Hydro One Limited	Rights LTIP (Cash Settled)	Bradley, Darlene Ann	5	2020-03-02	56		267,750	267,750
Hydro One Limited	Rights LTIP (Cash Settled)	Fitzsimmons, Jason Kells	5	2020-03-02	56		629,000	314,500
Hydro One Limited	Rights LTIP (Cash Settled)	Harricks, Paul Hugh	5	2020-03-02	56		750,000	375,000
Hydro One Limited	Rights LTIP (Cash Settled)	Lebeter, David Leonard	5	2020-03-02	56		400,000	400,000
Hydro One Limited	Rights LTIP (Cash Settled)	Lopez, Christopher Felix	5	2020-03-02	56		800,000	400,000
Hydro One Limited	Rights LTIP (Cash Settled)	Millitz-Lee, Saylor	5	2020-03-02	56		630,000	315,000
Hydro One Limited	Rights LTIP (Cash Settled)	Poweska, Mark John	5	2020-03-02	56		1,000,000	500,000
Hydro One Limited	Rights (Deferred Share Units)	Sheffield, William H.	4	2019-09-30	56	24.49		868
Hydro One Limited	Rights (Deferred Share Units)	Sheffield, William H.	4	2019-09-30	56	24.49	7,091	817
Hydro One Limited	Rights (Deferred Share Units)	Sheffield, William H.	4	2019-12-31	56	25.08		847
Hydro One Limited	Rights (Deferred Share Units)	Sheffield, William H.	4	2019-12-31	56	25.08	7,951	797
IBI Group Inc.	Options Stock Option Plan	Stewart, Scott	4, 6	2020-03-04	50		469,272	62,851
IBI Group Inc.	Rights Performance Share Units	Stewart, Scott	4, 6	2020-03-04	56		133,430	26,890
IBI Group Inc.	Options Stock Option Plan	Taylor, Stephen	5	2020-03-04	50		111,361	21,084
IBI Group Inc.	Rights Performance Share Units	Taylor, Stephen	5	2020-03-04	56		41,244	9,021
IBI Group Inc.	Options Stock Option Plan	Thom, David Maxwell	4	2020-03-04	50		219,893	47,550
IBI Group Inc.	Rights Performance Share Units	Thom, David Maxwell	4	2020-03-04	56		101,013	20,344

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Idaho Champion Gold Mines Canada Inc. (formerly, GoldTrain Resources Inc.)	Common Shares	Schifrin, Gregory	4	2019-11-12	00		1,925,000	
Idaho Champion Gold Mines Canada Inc. (formerly, GoldTrain Resources Inc.)	Common Shares	Schifrin, Gregory	4	2020-02-26	16	0.08	2,221,741	296,741
Idaho Champion Gold Mines Canada Inc. (formerly, GoldTrain Resources Inc.)	Options	Schifrin, Gregory	4	2019-11-12	00			
Idaho Champion Gold Mines Canada Inc. (formerly, GoldTrain Resources Inc.)	Options	Schifrin, Gregory	4	2019-11-12	50		300,000	300,000
Idaho Champion Gold Mines Canada Inc. (formerly, GoldTrain Resources Inc.)	Warrants	Schifrin, Gregory	4	2019-11-12	00			
Idaho Champion Gold Mines Canada Inc. (formerly, GoldTrain Resources Inc.)	Warrants	Schifrin, Gregory	4	2020-02-26	16		296,741	296,741
iFabric Corp.	Common Shares	Price, Hilton David	4	2020-03-02	11		462,500	62,500
IGM Financial Inc.	Common Shares	Asman, Todd	7	2019-12-31	30	36.32	2,711	384
IGM Financial Inc.	Executive Performance Share Units	Asman, Todd	7	2020-02-27	56	38.65	11,028	2,483
IGM Financial Inc.	Executive Performance Share Units	Asman, Todd	7	2020-02-27	56	38.65	11,592	564
IGM Financial Inc.	Executive Performance Share Units	Asman, Todd	7	2020-02-27	59	38.65	8,060	-3,532
IGM Financial Inc.	Options	Asman, Todd	7	2010-03-02	52		7,470	-3,365
IGM Financial Inc.	Options	Asman, Todd	7	2020-02-27	50	38.65	102,040	14,900
IGM Financial Inc.	Restricted Share Units	Asman, Todd	7	2020-02-27	56	38.65	3,223	993
IGM Financial Inc.	Common Shares	Bast, Esther	7	2019-12-31	30	36.32	4,572	496
IGM Financial Inc.	Executive Performance Share Units	Bast, Esther	7	2020-02-27	56	38.65	3,823	872
IGM Financial Inc.	Executive Performance Share Units	Bast, Esther	7	2020-02-27	56	38.65	4,007	184
IGM Financial Inc.	Executive Performance Share Units	Bast, Esther	7	2020-02-27	59	38.65	2,852	-1,155
IGM Financial Inc.	Options	Bast, Esther	7	2010-03-02	52		81,975	-4,150
IGM Financial Inc.	Options	Bast, Esther	7	2020-02-27	50	38.65	96,690	6,980
IGM Financial Inc.	Restricted Share Units	Bast, Esther	7	2020-02-27	56	38.65	3,423	1,047
IGM Financial Inc.	Senior Executive Share Units	Bast, Esther	7	2020-02-27	56	38.65	15,489	872
IGM Financial Inc.	Senior Executive Share Units	Bast, Esther	7	2020-02-27	56	38.65	15,673	184
IGM Financial Inc.	Senior Executive Share Units	Bast, Esther	7	2020-02-27	59	38.65	14,518	-1,155
IGM Financial Inc.	Executive Performance Share Units	Carney, Jeffrey	4, 5	2020-02-27	56	38.65	128,188	28,683
IGM Financial Inc.	Executive Performance Share Units	Carney, Jeffrey	4, 5	2020-02-27	56	38.65	134,689	6,501
IGM Financial Inc.	Executive Performance Share Units	Carney, Jeffrey	4, 5	2020-02-27	59	38.65	93,967	-40,722
IGM Financial Inc.	Options	Carney, Jeffrey	4, 5	2020-02-27	50	38.65	1,536,945	286,800
IGM Financial Inc.	Common Shares	Dibden, Michael William	7	2019-12-31	30	36.36	2,349	1,063
IGM Financial Inc.	Executive Performance Share Units	Dibden, Michael William	7	2020-02-27	56	38.65	23,440	7,527
IGM Financial Inc.	Options	Dibden, Michael William	7	2020-02-27	50	38.65	135,180	41,955
IGM Financial Inc.	Restricted Share Units	Dibden, Michael William	7	2020-02-27	56	38.65	9,376	3,011
IGM Financial Inc.	Common Shares	Elavia, Tony	7	2019-12-31	30	36.3	8,676	1,496
IGM Financial Inc.	Executive Performance Share Units	Elavia, Tony	7	2020-02-27	56	38.65	29,691	7,115
IGM Financial Inc.	Executive Performance Share Units	Elavia, Tony	7	2020-02-27	56	38.65	30,183	492
IGM Financial Inc.	Executive Performance Share Units	Elavia, Tony	7	2020-02-27	59	38.65	23,531	-6,652
IGM Financial Inc.	Options	Elavia, Tony	7	2020-02-27	50	38.65	268,290	42,690
IGM Financial Inc.	Restricted Share Units	Elavia, Tony	7	2020-02-27	56	38.65	19,752	2,846

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IGM Financial Inc.	Restricted Share Units	Elavia, Tony	7	2020-02-27	56	38.65	30,313	10,561
IGM Financial Inc.	Common Shares	Goldberg, Rhonda	5	2019-12-31	30	36.33	2,981	896
IGM Financial Inc.	Executive Performance Share Units	Goldberg, Rhonda	5	2020-02-27	56	38.65	6,340	161
IGM Financial Inc.	Executive Performance Share Units	Goldberg, Rhonda	5	2020-02-27	59	38.65	4,934	-1,406
IGM Financial Inc.	Options	Goldberg, Rhonda	5	2020-02-27	50	38.65	74,040	14,870
IGM Financial Inc.	Restricted Share Units	Goldberg, Rhonda	5	2020-02-27	56	38.65	3,358	991
IGM Financial Inc.	Senior Executive Share Units	Goldberg, Rhonda	5	2018-08-07	00			
IGM Financial Inc.	Senior Executive Share Units	Goldberg, Rhonda	5	2020-02-27	56	38.65	2,478	2,478
IGM Financial Inc.	Common Shares	Gould, J. Luke	5	2019-12-31	30	36.31	1,068	286
IGM Financial Inc.	Executive Performance Share Units	Gould, J. Luke	5	2020-02-27	56	38.65	14,886	4,366
IGM Financial Inc.	Executive Performance Share Units	Gould, J. Luke	5	2020-02-27	56	38.65	15,109	223
IGM Financial Inc.	Executive Performance Share Units	Gould, J. Luke	5	2020-02-27	59	38.65	13,289	-1,820
IGM Financial Inc.	Options	Gould, J. Luke	5	2010-03-02	52		22,817	-1,568
IGM Financial Inc.	Options	Gould, J. Luke	5	2020-02-27	50	38.65	134,150	26,195
IGM Financial Inc.	Restricted Share Units	Gould, J. Luke	5	2020-02-27	56	38.65	5,315	1,746
IGM Financial Inc.	Equity Forward - IGM 13	IGM Financial Inc.	1	2020-02-28	70	38.88	7	-1
IGM Financial Inc.	Equity Forward - IGM20	IGM Financial Inc.	1	2004-04-02	00			
IGM Financial Inc.	Equity Forward - IGM20	IGM Financial Inc.	1	2020-02-28	70	38.86	1	1
IGM Financial Inc.	Equity Forward - IGM7	IGM Financial Inc.	1	2020-02-28	70	38.88	0	-11
IGM Financial Inc.	Common Shares	Kinzel, Mark Richard	7	2019-12-31	30	36.32	5,861	572
IGM Financial Inc.	Executive Performance Share Units	Kinzel, Mark Richard	7	2020-02-27	56	38.65	15,772	3,531
IGM Financial Inc.	Executive Performance Share Units	Kinzel, Mark Richard	7	2020-02-27	56	38.65	16,577	805
IGM Financial Inc.	Executive Performance Share Units	Kinzel, Mark Richard	7	2020-02-27	59	38.65	11,533	-5,044
IGM Financial Inc.	Options	Kinzel, Mark Richard	7	2010-03-02	52		173,326	-7,075
IGM Financial Inc.	Options	Kinzel, Mark Richard	7	2010-03-02	52		137,951	-35,375
IGM Financial Inc.	Options	Kinzel, Mark Richard	7	2020-02-27	50	38.65	156,735	21,190
IGM Financial Inc.	Restricted Share Units	Kinzel, Mark Richard	7	2020-02-27	56	38.65	4,612	1,412
IGM Financial Inc.	Common Shares	Lawrence, Ian	7	2019-12-31	30	36.32	3,720	445
IGM Financial Inc.	Executive Performance Share Units	Lawrence, Ian	7	2020-02-27	56	38.65	4,558	1,327
IGM Financial Inc.	Executive Performance Share Units	Lawrence, Ian	7	2020-02-27	56	38.65	4,688	130
IGM Financial Inc.	Executive Performance Share Units	Lawrence, Ian	7	2020-02-27	59	38.65	3,691	-997
IGM Financial Inc.	Options	Lawrence, Ian	7	2010-03-02	52		6,495	-3,635
IGM Financial Inc.	Options	Lawrence, Ian	7	2020-02-27	50	38.65	42,690	5,305
IGM Financial Inc.	Restricted Share Units	Lawrence, Ian	7	2020-02-27	56	38.65	2,736	796
IGM Financial Inc.	Executive Performance Share Units	McInerney, Barry	7	2020-02-27	56	38.65	106,384	23,770
IGM Financial Inc.	Executive Performance Share Units	McInerney, Barry	7	2020-02-27	56	38.65	108,665	2,281
IGM Financial Inc.	Executive Performance Share Units	McInerney, Barry	7	2020-02-27	59	38.65	77,867	-30,798
IGM Financial Inc.	Options	McInerney, Barry	7	2020-02-27	50	38.65	1,243,470	239,000
IGM Financial Inc.	Common Shares	Milne, Douglas	7	2019-12-31	30	36.31	1,802	804
IGM Financial Inc.	Executive Performance Share Units	Milne, Douglas	7	2020-02-27	56	38.65	7,871	2,478
IGM Financial Inc.	Options	Milne, Douglas	7	2020-02-27	50	38.65	56,420	8,285
IGM Financial Inc.	Restricted Share Units	Milne, Douglas	7	2020-02-27	56	38.65	3,148	991
IGM Financial Inc.	Common Shares	Sen, Subhas	7	2019-12-31	30	35.59	1,542	430
IGM Financial Inc.	Executive Performance Share Units	Sen, Subhas	7	2020-02-27	56	38.65	6,736	1,536

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IGM Financial Inc.	Executive Performance Share Units	Sen, Subhas	7	2020-02-27	56	38.65	6,872	136
IGM Financial Inc.	Executive Performance Share Units	Sen, Subhas	7	2020-02-27	59	38.65	5,025	-1,847
IGM Financial Inc.	Options	Sen, Subhas	7	2020-02-27	50	38.65	45,215	6,145
IGM Financial Inc.	Restricted Share Units	Sen, Subhas	7	2020-02-27	56	38.65	3,014	921
IGM Financial Inc.	Common Shares	Shewchuk, Blaine	7	2019-12-31	30	33.31	3,053	405
IGM Financial Inc.	Executive Performance Share Units	Shewchuk, Blaine	7	2020-02-27	56	38.65	8,509	2,478
IGM Financial Inc.	Executive Performance Share Units	Shewchuk, Blaine	7	2020-02-27	56	38.65	8,708	199
IGM Financial Inc.	Executive Performance Share Units	Shewchuk, Blaine	7	2020-02-27	59	38.65	7,084	-1,624
IGM Financial Inc.	Options	Shewchuk, Blaine	7	2010-03-02	52		17,874	-741
IGM Financial Inc.	Options	Shewchuk, Blaine	7	2020-02-27	50	38.65	94,349	14,870
IGM Financial Inc.	Restricted Share Units	Shewchuk, Blaine	7	2020-02-27	56	38.65	3,161	991
Imagination Park Technologies Inc. (formerly Imagination Park Entertainment Inc.)	Convertible Debentures	1313366 Ontario Inc.	3	2020-02-25	00		\$200,000	
Imagination Park Technologies Inc. (formerly Imagination Park Entertainment Inc.)	Convertible Debentures	Inwentash, Sheldon	4, 6	2018-11-09	00		\$200,000	
Imagination Park Technologies Inc. (formerly Imagination Park Entertainment Inc.)	Convertible Debentures	Kopman, Jeff	6	2020-02-25	00		\$200,000	
Imagination Park Technologies Inc. (formerly Imagination Park Entertainment Inc.)	Convertible Debentures	Silvrstieen, Alen Paul	5	2017-04-18	00			
Imagination Park Technologies Inc. (formerly Imagination Park Entertainment Inc.)	Convertible Debentures	Silvrstieen, Alen Paul	5	2020-02-25	11	0.05	\$1,000,000	\$1,000,000
Imagination Park Technologies Inc. (formerly Imagination Park Entertainment Inc.)	Convertible Debentures	ThreeD Capital Inc.	3	2020-02-25	00		\$200,000	
Imagination Park Technologies Inc. (formerly Imagination Park Entertainment Inc.)	Convertible Debentures	Tunncliffe, Mike	4	2020-02-19	00			
Imagination Park Technologies Inc. (formerly Imagination Park Entertainment Inc.)	Convertible Debentures	Tunncliffe, Mike	4	2020-02-19	00			
Imagination Park Technologies Inc. (formerly Imagination Park Entertainment Inc.)	Convertible Debentures	Tunncliffe, Mike	4	2020-02-25	11	0.05		\$395,640
Imagination Park Technologies Inc. (formerly Imagination Park Entertainment Inc.)	Convertible Debentures	Tunncliffe, Mike	4	2020-02-25	11			\$19,782
Imagination Park Technologies Inc. (formerly Imagination Park Entertainment Inc.)	Convertible Debentures	Tunncliffe, Mike	4	2020-02-25	11			\$19,782
Imagination Park Technologies Inc. (formerly Imagination Park Entertainment Inc.)	Options	Tunncliffe, Mike	4	2020-02-19	00			
Imagination Park Technologies Inc. (formerly Imagination Park Entertainment Inc.)	Options	Tunncliffe, Mike	4	2020-02-19	00			
IMAX Corporation	Common Shares	IMAX Corporation	1	2020-02-28	38		319,720	-221,200
IMAX Corporation	Common Shares	IMAX Corporation	1	2020-02-28	38		452,420	132,700

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IMAX Corporation	Common Shares	IMAX Corporation	1	2020-03-02	38		319,720	-132,700
IMAX Corporation	Common Shares	IMAX Corporation	1	2020-03-02	38		469,620	149,900
IMAX Corporation	Common Shares	IMAX Corporation	1	2020-03-03	38		336,920	-132,700
IMAX Corporation	Common Shares	IMAX Corporation	1	2020-03-03	30		536,920	200,000
IMAX Corporation	Common Shares	IMAX Corporation	1	2020-03-04	38		387,020	-149,900
IMAX Corporation	Common Shares	IMAX Corporation	1	2020-03-04	38		536,920	149,900
IMAX Corporation	Common Shares	IMAX Corporation	1	2020-03-05	38		686,820	149,900
IMAX Corporation	Common Shares	IMAX Corporation	1	2020-03-06	38		836,720	149,900
Imperial Oil Limited	Common Shares	Exxon Mobil Corporation	3	2020-02-28	38	29.39	513,304,906	-108,546
Imperial Oil Limited	Common Shares	Exxon Mobil Corporation	3	2020-03-02	38	30.13	513,196,361	-108,545
Imperial Oil Limited	Common Shares	Exxon Mobil Corporation	3	2020-03-03	38	29.77	513,087,815	-108,546
Imperial Oil Limited	Common Shares	Exxon Mobil Corporation	3	2020-03-04	38	29.96	512,979,269	-108,546
Imperial Oil Limited	Common Shares	Exxon Mobil Corporation	3	2020-03-05	38	29.37	512,870,723	-108,546
Inca One Gold Corp.	Common Shares	Kelly, Edward John	4	2020-03-03	10	0.17	4,050,627	5,000
Innergex Renewable Energy Inc.	Options	Baribeault, Yves	5	2020-03-02	50	20.523		2,673
Innergex Renewable Energy Inc.	Options	Baribeault, Yves	5	2020-03-02	50	20.523		2,673
Innergex Renewable Energy Inc.	Options	Baribeault, Yves	5	2020-03-02	50	20.523	18,036	2,673
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	Baribeault, Yves	5	2020-03-02	50	20.523		2,673
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	Baribeault, Yves	5	2020-03-02	50	20.523		2,673
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	Baribeault, Yves	5	2020-03-02	56	20.523	19,368	5,340
Innergex Renewable Energy Inc.	Options	Cliche, Anne	5	2020-03-02	50	20.523	18,036	2,673
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	Cliche, Anne	5	2020-03-02	56	20.523	17,243	4,403
Innergex Renewable Energy Inc.	Options	de Batz de Trenquelléon, Renaud	5	2020-03-02	50	20.523	25,508	3,775
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	de Batz de Trenquelléon, Renaud	5	2020-03-02	56	20.523	28,730	6,369
Innergex Renewable Energy Inc.	Common Shares	Grover, Peter	5	2020-03-02	10	20.257	140,700	-21,932
Innergex Renewable Energy Inc.	Common Shares	Grover, Peter	5	2020-03-02	51	14.65	142,040	1,340
Innergex Renewable Energy Inc.	Common Shares	Grover, Peter	5	2020-03-02	51	14.52	142,616	576
Innergex Renewable Energy Inc.	Common Shares	Grover, Peter	5	2020-03-02	10	20	0	-7,260
Innergex Renewable Energy Inc.	Options	Grover, Peter	5	2020-03-02	51	14.65	14,737	-6,996
Innergex Renewable Energy Inc.	Options	Grover, Peter	5	2020-03-02	51	14.52	11,794	-2,943
Innergex Renewable Energy Inc.	Options	Grover, Peter	5	2020-03-02	50	20.523	15,569	3,775
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	Grover, Peter	5	2020-03-02	56	20.523	29,887	7,289
Innergex Renewable Energy Inc.	Options	Kennedy, Matthew Grant	5	2020-03-02	50	20.523	68,036	2,673
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	Kennedy, Matthew Grant	5	2020-03-02	56	20.523	16,882	4,042
Innergex Renewable Energy Inc.	Common Shares	Letellier, Michel	4, 5	2020-03-02	51	8.75	811,036	38,383
Innergex Renewable Energy Inc.	Options	Letellier, Michel	4, 5	2020-03-02	51	8.75	345,731	-100,000

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Innergex Renewable Energy Inc.	Options	Letellier, Michel	4, 5	2020-03-02	50	20.523	357,261	11,530
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	Letellier, Michel	4, 5	2020-03-02	56	20.523	106,712	27,379
Innergex Renewable Energy Inc.	Options	Neault, Jean-François	5	2020-03-02	50	20.523	14,692	5,195
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	Neault, Jean-François	5	2020-03-02	56	20.523	28,763	8,527
Innergex Renewable Energy Inc.	Common Shares	Sutton, Jay	5	2020-02-27	00			
Innergex Renewable Energy Inc.	Common Shares	Sutton, Jay	5	2020-02-27	00		12,132	
Innergex Renewable Energy Inc.	Common Shares	Sutton, Jay	5	2020-02-27	00		3,253	
Innergex Renewable Energy Inc.	Common Shares	Sutton, Jay	5	2020-02-27	00		776	
Innergex Renewable Energy Inc.	Options	Sutton, Jay	5	2020-02-27	00			
Innergex Renewable Energy Inc.	Options	Sutton, Jay	5	2020-03-02	50	20.523	2,653	2,653
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	Sutton, Jay	5	2020-02-27	00		8,250	
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	Sutton, Jay	5	2020-03-02	56	20.523	14,534	6,284
Innergex Renewable Energy Inc.	Options	Théberge, Nathalie	5	2020-03-02	50	20.523	18,036	2,673
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	Théberge, Nathalie	5	2020-03-02	56	20.523	18,169	4,141
Innergex Renewable Energy Inc.	Options	Trudel, Jean	5	2020-03-02	50	20.523	36,213	5,195
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	Trudel, Jean	5	2020-03-02	56	20.523	38,998	9,257
Input Capital Corp.	Common Shares	Input Capital Corp.	1	2020-02-04	38	0.72	25,500	10,000
Input Capital Corp.	Common Shares	Input Capital Corp.	1	2020-02-06	38	0.7167	33,000	7,500
Input Capital Corp.	Common Shares	Input Capital Corp.	1	2020-02-07	38	0.71	41,000	8,000
Input Capital Corp.	Common Shares	Input Capital Corp.	1	2020-02-10	38	0.71	41,500	500
Input Capital Corp.	Common Shares	Input Capital Corp.	1	2020-02-11	38	0.7188	58,500	17,000
Input Capital Corp.	Common Shares	Input Capital Corp.	1	2020-02-12	38	0.71	73,500	15,000
Input Capital Corp.	Common Shares	Input Capital Corp.	1	2020-02-13	38	0.72	74,900	1,400
Input Capital Corp.	Common Shares	Input Capital Corp.	1	2020-02-18	38	0.73	84,900	10,000
Input Capital Corp.	Common Shares	Input Capital Corp.	1	2020-02-20	38	0.72	99,900	15,000
Input Capital Corp.	Common Shares	Input Capital Corp.	1	2020-02-21	38	0.7128	154,400	54,500
Input Capital Corp.	Common Shares	Input Capital Corp.	1	2020-02-24	38	0.7	169,400	15,000
Input Capital Corp.	Common Shares	Input Capital Corp.	1	2020-02-25	38	0.6663	222,900	53,500
Input Capital Corp.	Common Shares	Input Capital Corp.	1	2020-02-28	38	0.6343	280,400	57,500
Input Capital Corp.	Common Shares	Input Capital Corp.	1	2020-02-28	38		57,500	-222,900
INTER-ROCK MINERALS INC.	Common Shares	Inter-Rock Minerals	1	2020-02-18	00			
INTER-ROCK MINERALS INC.	Common Shares	Inter-Rock Minerals	1	2020-02-25	38	0.255	4,000	4,000
INTER-ROCK MINERALS INC.	Common Shares	Inter-Rock Minerals	1	2020-02-26	38	0.255	42,500	38,500
INTER-ROCK MINERALS INC.	Common Shares	Inter-Rock Minerals	1	2020-02-29	38		38,500	-4,000
INTER-ROCK MINERALS INC.	Common Shares	Inter-Rock Minerals	1	2020-02-29	38		0	-38,500
Interfor Corporation	Common Shares	Bender, Barton	5	2020-03-03	10	12.1	31,006	2,215
Interfor Corporation	Rights Performance Share Units	Bender, Barton	5	2020-02-28	59		60,450	-13,861

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Interfor Corporation	Rights Performance Share Units	FILLINGER, IAN	4, 5	2020-02-28	59		116,921	-16,634
Interfor Corporation	Rights Performance Share Units	HORAHAN, ANDREW	5	2020-02-28	59		41,427	-6,353
Interfor Corporation	Rights Performance Share Units	Juravsky, Martin Leb	5	2020-02-28	59		78,811	-24,950
Interfor Corporation	Common Shares	LUXMOORE, SIMON BRUCE	5	2020-03-04	10	12.35	7,375	2,000
Interfor Corporation	Common Shares	LUXMOORE, SIMON BRUCE	5	2020-03-05	10	11.75	9,375	2,000
Interfor Corporation	Rights Performance Share Units	LUXMOORE, SIMON BRUCE	5	2020-02-28	59		38,665	-6,007
Interfor Corporation	Rights Performance Share Units	Stock, Mark	5	2020-02-28	59		46,097	-11,881
Interlapse Technologies Corp. (formerly Coronado Resources Ltd.)	Common Shares	Chen, Wayne	5	2020-02-07	46		210,000	110,000
Interlapse Technologies Corp. (formerly Coronado Resources Ltd.)	Common Shares	Hsu, Rodney	5	2020-02-07	46		210,000	110,000
InterRent Real Estate Investment Trust	Deferred Units	Awrey, Brian	5	2019-12-31	56		148,790	3,145
InterRent Real Estate Investment Trust	Deferred Units	Awrey, Brian	5	2020-03-03	56		157,540	8,750
InterRent Real Estate Investment Trust	Deferred Units	Cutsey, Bradley	5	2020-03-03	56		409,155	47,251
InterRent Real Estate Investment Trust	Deferred Units	McGahan, Michael Darryl	4, 5	2020-03-03	56		2,293,304	112,585
InterRent Real Estate Investment Trust	Deferred Units	Millar, Curt	5	2020-03-03	56		221,272	42,001
InterRent Real Estate Investment Trust	Deferred Units	Nevins, David	5	2020-03-03	56		465,999	23,334
Ivanhoe Mines Ltd.	Rights Restricted Share Units	Bawlf, Martin Forrester	5	2020-03-01	59		110,622	-21,163
Ivanhoe Mines Ltd.	Rights Restricted Share Units	Bos, Matthieu	7	2020-03-01	59		102,249	-18,977
Ivanhoe Mines Ltd.	Rights Restricted Share Units	Cloete, Martie	7, 5	2020-03-01	59		146,282	-33,091
Ivanhoe Mines Ltd.	Common Shares Class A	Friedland, Robert Martin	3, 4, 6, 5	2020-03-01	57		23,902,533	84,448
Ivanhoe Mines Ltd.	Rights Restricted Share Units	Friedland, Robert Martin	3, 4, 6, 5	2020-03-01	57		184,604	-84,448
Ivanhoe Mines Ltd.	Rights Restricted Share Units	Makhesha, Setha Patricia	7, 5	2020-03-01	59		125,773	-22,652
Ivanhoe Mines Ltd.	Common Shares Class A	Vincelli, Mary	5	2020-03-01	57		106,062	3,280
Ivanhoe Mines Ltd.	Rights Restricted Share Units	Vincelli, Mary	5	2020-03-01	59		40,669	-3,281
Ivanhoe Mines Ltd.	Rights Restricted Share Units	Vincelli, Mary	5	2020-03-01	57		37,389	-3,280
James E. Wagner Cultivation Corporation	Options	ALARIE, Raymond Leopold	4	2020-02-26	50		50,000	50,000
James E. Wagner Cultivation Corporation	Options	Armstrong, Philip	4	2020-02-26	50	0.17	200,000	50,000
Jamieson Wellness Inc.	Common Shares	Penner, Timothy Herbert	4	2020-02-28	10	25.77	7,600	7,600
Josemaria Resources Inc.	Options	Batruch, Christine	4	2020-02-26	50	0.67	370,000	245,000
Josemaria Resources Inc.	Options	Carmichael, Robert Gordon	5	2020-02-26	50		594,000	224,000
Josemaria Resources Inc.	Options	Carmichael, Robert Gordon	5	2020-03-06	52		444,000	-150,000
Josemaria Resources Inc.	Common Shares	Lorito Holdings S.à.r.l.	3	2020-03-05	11		24,968,671	148,138
Josemaria Resources Inc.	Options	Lundin, Lukas Henrik	4	2020-03-06	52		470,000	-100,000
Josemaria Resources Inc.	Options	Wodzicki, Wojtek Alexander	4	2020-02-26	50	0.67	1,195,000	245,000
Josemaria Resources Inc.	Common Shares	Zebra Holdings and Investments S.à.r.l.	3	2020-03-05	11		65,716,234	150,000
Jubilee Gold Exploration Ltd.	Common Shares Class A	Becker, Jeffrey Jonathan	3, 4, 5	2015-01-12	10	0.15	6,857,415	3,500
Jubilee Gold Exploration Ltd.	Common Shares Class A	Becker, Jeffrey Jonathan	3, 4, 5	2017-09-20	10	0.7	6,857,470	55
Jubilee Gold Exploration Ltd.	Common Shares Class A	Becker, Jeffrey Jonathan	3, 4, 5	2018-05-31	36		6,880,470	23,000
Jubilee Gold Exploration Ltd.	Common Shares Class A	Becker, Jeffrey Jonathan	3, 4, 5	2018-06-04	36		6,912,470	32,000

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Jubilee Gold Exploration Ltd.	Common Shares Class A	Becker, Jeffrey Jonathan	3, 4, 5	2019-06-17	10	0.61	6,948,970	36,500
Jubilee Gold Exploration Ltd.	Common Shares Class A	Becker, Jeffrey Jonathan	3, 4, 5	2019-07-12	10	0.54	6,955,470	6,500
Jubilee Gold Exploration Ltd.	Common Shares Class A	Becker, Jeffrey Jonathan	3, 4, 5	2019-07-12	10	0.58	6,958,470	3,000
Jubilee Gold Exploration Ltd.	Common Shares Class A	Becker, Jeffrey Jonathan	3, 4, 5	2019-07-12	10	0.6	7,019,321	60,851
Jubilee Gold Exploration Ltd.	Common Shares Class A	Becker, Jeffrey Jonathan	3, 4, 5	2019-07-16	10			284,560
Jubilee Gold Exploration Ltd.	Common Shares Class A	Becker, Jeffrey Jonathan	3, 4, 5	2019-07-16	10	0.6	7,138,475	119,154
Jushi Holdings Inc.	Subordinate Voting Shares	Barack, Louis (Jonathan)	3, 5	2020-02-27	10	1.1857	448,000	3,500
Jushi Holdings Inc.	Subordinate Voting Shares	Barack, Louis (Jonathan)	3, 5	2020-02-28	10	1.17	451,000	3,000
Jushi Holdings Inc.	Restricted Stock	Cohen, Joseph (Max)	4, 5	2019-06-06	00			
Jushi Holdings Inc.	Restricted Stock	Cohen, Joseph (Max)	4, 5	2020-02-14	56		73,529	73,529
Jushi Holdings Inc.	Subordinate Voting Shares	Mauff, Erich	3, 4, 5	2020-02-25	10	1.195	1,629,058	10,155
Jushi Holdings Inc.	Subordinate Voting Shares	Mauff, Erich	3, 4, 5	2020-02-26	10	1.2	1,654,058	25,000
Jushi Holdings Inc.	Subordinate Voting Shares	Mauff, Erich	3, 4, 5	2020-02-26	10	1.17	1,659,558	5,500
Jushi Holdings Inc.	Subordinate Voting Shares	Mauff, Erich	3, 4, 5	2020-02-28	10	1.05	1,663,058	3,500
Jushi Holdings Inc.	Subordinate Voting Shares	Mauff, Erich	3, 4, 5	2020-02-28	10	1.15	1,679,558	16,500
Just Energy Group Inc.	Common Shares	DAVIDS, JONAH	5	2020-03-02	36		116,228	1,394
Just Energy Group Inc.	Rights 2010 Restricted Share Grant Plan	DAVIDS, JONAH	5	2020-03-02	36		30,606	-1,394
Just Energy Group Inc.	Rights 2010 Restricted Share Grant Plan	DAVIDS, JONAH	5	2020-03-02	38		29,000	-1,606
Just Energy Group Inc.	Common Shares	MACDONALD, REBECCA	4	2020-03-03	36		6,367,493	17,999
Just Energy Group Inc.	Rights 2010 Restricted Share Grant Plan	MACDONALD, REBECCA	4	2020-03-03	36		272,341	-17,999
Just Energy Group Inc.	Rights 2010 Restricted Share Grant Plan	MACDONALD, REBECCA	4	2020-03-03	38		251,607	-20,734
KDA GROUP INC.	Common Shares	Lemieux, Marc	3, 4, 5	2020-03-05	10	0.15	10,470,500	140,000
KDA GROUP INC.	Common Shares	Lemieux, Marc	3, 4, 5	2020-03-06	10	0.15	10,530,500	60,000
Kerr Mines Inc.	Common Shares	Al Tamimi, Fahad Mohammed	4, 5	2020-02-28	16	0.14	21,856,797	3,557,142
Kerr Mines Inc.	Warrants Common Share Purchase Warrants	Al Tamimi, Fahad Mohammed	4, 5	2020-02-28	16		7,128,571	3,557,142
Kerr Mines Inc.	Common Shares	Bonifacio, Giulio	4, 5	2020-02-26	10	0.14	4,500,000	-700,000
Kerr Mines Inc.	Common Shares	Bonifacio, Giulio	4, 5	2020-02-28	16	0.14	6,700,000	2,200,000
Kerr Mines Inc.	Common Shares	Bonifacio, Giulio	4, 5	2020-03-03	16	0.14		2,200,000
Kerr Mines Inc.	Common Shares	Bonifacio, Giulio	4, 5	2020-03-03	16	0.14		2,200,000
Kerr Mines Inc.	Warrants Common Share Purchase Warrants	Bonifacio, Giulio	4, 5	2020-02-28	16		5,200,000	2,200,000
Kerr Mines Inc.	Warrants Common Share Purchase Warrants	Bonifacio, Giulio	4, 5	2020-03-03	16			2,200,000
Kerr Mines Inc.	Warrants Common Share Purchase Warrants	Bonifacio, Giulio	4, 5	2020-03-03	16			2,200,000
Kerr Mines Inc.	Common Shares	Ciavarella, Claudio	3, 4, 5	2020-02-28	16	0.14	4,259,524	2,142,858
Kerr Mines Inc.	Warrants Common Share Purchase Warrants	Ciavarella, Claudio	3, 4, 5	2013-11-19	00			
Kerr Mines Inc.	Warrants Common Share Purchase Warrants	Ciavarella, Claudio	3, 4, 5	2020-02-28	16		2,142,858	2,142,858
Kerr Mines Inc.	Common Shares	Kostiuk, Martin Leslie	4, 5	2020-02-28	16	0.14	584,158	207,430
Kerr Mines Inc.	Warrants Common Share Purchase Warrants	Kostiuk, Martin Leslie	4, 5	2020-02-28	16		584,158	207,430
Keyera Corp.	Rights Share Awards	Kostiuk, Dion Otto	5	2020-03-01	56		32,123	2,583
Keyera Corp.	Rights Share Awards	Setoguchi, Curtis Dean	5	2020-03-01	56		76,151	5,739
Keyera Corp.	Common Shares	Slessor, Bradley John	5	2020-03-01	00		409	
Keyera Corp.	Rights Share Awards	Slessor, Bradley John	5	2020-03-01	00		11,164	
Killam Apartment Real Estate Investment Trust	Trust Units	Buckle-McIntosh, Ruth Mary	5	2020-03-03	30	19.03	11,700	795
Killam Apartment Real Estate Investment Trust	Trust Units	Cleveland, Erin Nicole	5	2020-03-03	30	19.03	5,255	587

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Killam Apartment Real Estate Investment Trust	Trust Units	Crowell, Pamela Florence	5	2020-03-03	90	22.21	10,840	2,404
Killam Apartment Real Estate Investment Trust	Trust Units	Crowell, Pamela Florence	5	2020-03-03	30	19.03	11,632	792
Killam Apartment Real Estate Investment Trust	Trust Units	Crowell, Pamela Florence	5	2020-03-03	90	22.21	24,338	-2,404
Killam Apartment Real Estate Investment Trust	Trust Units	Curtis, Carrie T	5	2020-01-03	00			
Killam Apartment Real Estate Investment Trust	Trust Units	Curtis, Carrie T	5	2020-03-03	30	19.03	260	260
Killam Apartment Real Estate Investment Trust	Trust Units	Jackson, Jeremy Winston	5	2020-03-03	90	19.03	4	-411
Killam Apartment Real Estate Investment Trust	Trust Units	Jackson, Jeremy Winston	5	2020-03-03	90	19.03	945	411
Killam Apartment Real Estate Investment Trust	Trust Units	Jackson, Jeremy Winston	5	2020-03-03	30	19.03	415	413
Killam Apartment Real Estate Investment Trust	Trust Units	McCarville, Colleen	5	2020-03-03	30	19.03		630
Killam Apartment Real Estate Investment Trust	Trust Units	McCarville, Colleen	5	2020-03-03	30	19.03	3,967	630
Killam Apartment Real Estate Investment Trust	Trust Units	McLean, Mike	5	2020-03-03	30	19.03		459
Killam Apartment Real Estate Investment Trust	Trust Units	McLean, Mike	5	2020-03-03	30	19.03	1,597	459
Killam Apartment Real Estate Investment Trust	Trust Units	Noseworthy, Dale	5	2020-02-26	90	22.37		865
Killam Apartment Real Estate Investment Trust	Trust Units	Noseworthy, Dale	5	2020-02-26	90	22.37	1,120	900
Killam Apartment Real Estate Investment Trust	Trust Units	Noseworthy, Dale	5	2016-01-01	00			
Killam Apartment Real Estate Investment Trust	Trust Units	Noseworthy, Dale	5	2020-02-28	90	21.46	988	988
Killam Apartment Real Estate Investment Trust	Trust Units	Noseworthy, Dale	5	2020-02-26	90	22.37		-865
Killam Apartment Real Estate Investment Trust	Trust Units	Noseworthy, Dale	5	2020-02-26	90	22.37	10,182	-1,765
Killam Apartment Real Estate Investment Trust	Trust Units	Noseworthy, Dale	5	2020-02-28	90	21.46	6,094	-4,088
Killam Apartment Real Estate Investment Trust	Trust Units	Noseworthy, Dale	5	2020-02-26	90	22.37	8,008	865
Killam Apartment Real Estate Investment Trust	Trust Units	Noseworthy, Dale	5	2020-02-28	90	21.46	6,317	3,100
Killam Apartment Real Estate Investment Trust	Trust Units	Noseworthy, Dale	5	2020-03-03	30	19.03		789
Killam Apartment Real Estate Investment Trust	Trust Units	Noseworthy, Dale	5	2020-03-03	30	19.03	11,304	789
Killam Apartment Real Estate Investment Trust	Trust Units	Richardson, Robert	4	2020-03-03	30	19.03	11,675	812
Kinaxis Inc.	Options	Carreiro, Paul	5	2020-02-28	50	112.17	229,745	21,749
Kinaxis Inc.	Common Shares	Hollingworth, James Alexander	5	2020-03-02	51	45.31		3,750
Kinaxis Inc.	Common Shares	Hollingworth, James Alexander	5	2020-03-02	51	45.31		3,750
Kinaxis Inc.	Common Shares	Hollingworth, James Alexander	5	2020-03-02	51	45.31	3,750	3,750
Kinaxis Inc.	Common Shares	Hollingworth, James Alexander	5	2020-03-02	10	112.48	0	-3,750
Kinaxis Inc.	Options	Hollingworth, James Alexander	5	2020-03-02	51	45.31		-3,750
Kinaxis Inc.	Options	Hollingworth, James Alexander	5	2020-03-02	51	45.31		-3,750
Kinaxis Inc.	Options	Hollingworth, James Alexander	5	2020-03-02	51	45.31	8,375	-3,750
Kinaxis Inc.	Common Shares	Kelly, David Peter	5	2020-03-02	51	45.31		6,250
Kinaxis Inc.	Common Shares	Kelly, David Peter	5	2020-03-02	51	45.31		6,250
Kinaxis Inc.	Common Shares	Kelly, David Peter	5	2020-03-02	51	45.31		6,250

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Kinaxis Inc.	Common Shares	Kelly, David Peter	5	2020-03-02	51	45.31		6,250
Kinaxis Inc.	Common Shares	Kelly, David Peter	5	2020-03-02	10	112.12		-6,250
Kinaxis Inc.	Common Shares	Kelly, David Peter	5	2020-03-02	10	112.12		-6,250
Kinaxis Inc.	Common Shares	Kelly, David Peter	5	2020-03-02	51	75.62		12,500
Kinaxis Inc.	Common Shares	Kelly, David Peter	5	2020-03-02	51	75.62		12,500
Kinaxis Inc.	Common Shares	Kelly, David Peter	5	2020-03-02	10	112.04		-12,500
Kinaxis Inc.	Common Shares	Kelly, David Peter	5	2020-03-02	10	112.04		-12,500
Kinaxis Inc.	Common Shares	Kelly, David Peter	5	2020-03-02	51	94.66		3,750
Kinaxis Inc.	Common Shares	Kelly, David Peter	5	2020-03-02	51	94.66		3,750
Kinaxis Inc.	Common Shares	Kelly, David Peter	5	2020-03-02	10	111.99		-3,750
Kinaxis Inc.	Common Shares	Kelly, David Peter	5	2020-03-02	10	111.99		-3,750
Kinaxis Inc.	Common Shares	Kelly, David Peter	5	2020-03-02	51	45.31	6,250	6,250
Kinaxis Inc.	Common Shares	Kelly, David Peter	5	2020-03-02	10	112.12	0	-6,250
Kinaxis Inc.	Common Shares	Kelly, David Peter	5	2020-03-02	51	75.62	12,500	12,500
Kinaxis Inc.	Common Shares	Kelly, David Peter	5	2020-03-02	10	112.04	0	-12,500
Kinaxis Inc.	Common Shares	Kelly, David Peter	5	2020-03-02	51	94.66	3,750	3,750
Kinaxis Inc.	Common Shares	Kelly, David Peter	5	2020-03-02	10	111.99	0	-3,750
Kinaxis Inc.	Options	Kelly, David Peter	5	2020-02-28	50	112.17	49,250	3,000
Kinaxis Inc.	Options	Kelly, David Peter	5	2020-03-02	51	112.12		-6,250
Kinaxis Inc.	Options	Kelly, David Peter	5	2020-03-02	51	112.12		-6,250
Kinaxis Inc.	Options	Kelly, David Peter	5	2020-03-02	51	45.31		-6,250
Kinaxis Inc.	Options	Kelly, David Peter	5	2020-03-02	51	45.31		-6,250
Kinaxis Inc.	Options	Kelly, David Peter	5	2020-03-02	51	75.62		-12,500
Kinaxis Inc.	Options	Kelly, David Peter	5	2020-03-02	51	75.62		-12,500
Kinaxis Inc.	Options	Kelly, David Peter	5	2020-03-02	51	94.66		-3,750
Kinaxis Inc.	Options	Kelly, David Peter	5	2020-03-02	51	94.66		-3,750
Kinaxis Inc.	Options	Kelly, David Peter	5	2020-03-02	51	45.31	43,000	-6,250
Kinaxis Inc.	Options	Kelly, David Peter	5	2020-03-02	51	75.62	30,500	-12,500
Kinaxis Inc.	Options	Kelly, David Peter	5	2020-03-02	51	94.66	26,750	-3,750
Kinaxis Inc.	Common Shares	Mauger, Michael David	5	2020-03-05	51	76.48	6,500	6,500
Kinaxis Inc.	Common Shares	Mauger, Michael David	5	2020-03-05	10	118.6	0	-6,500
Kinaxis Inc.	Options	Mauger, Michael David	5	2020-02-28	50	112.17	50,656	8,156
Kinaxis Inc.	Options	Mauger, Michael David	5	2020-03-05	51	76.48	44,156	-6,500
Kinaxis Inc.	Options	McDonald, Andrew John	5	2020-02-28	50	112.17	146,749	21,749
Kinaxis Inc.	Common Shares	Monkman, Richard George	5	2020-03-05	10	117.88	62,027	-20,000
Kinaxis Inc.	Options	Monkman, Richard George	5	2020-02-28	50	112.17	247,014	28,999
Kinaxis Inc.	Options	Muelhoefer, Jay Allan	5	2020-02-28	50	112.17	118,124	18,124
Kinaxis Inc.	Common Shares	Paterson, Megan	5	2020-03-02	51	45.31	8,601	7,500
Kinaxis Inc.	Common Shares	Paterson, Megan	5	2020-03-02	10	112.52	1,101	-7,500
Kinaxis Inc.	Options	Paterson, Megan	5	2020-02-28	50	112.17	68,161	12,687
Kinaxis Inc.	Options	Paterson, Megan	5	2020-03-02	51	45.31	60,661	-7,500
Kinaxis Inc.	Options	Rafael, Elizabeth (Betsy)	4	2020-02-28	50	112.17	12,500	12,500
Kinaxis Inc.	Options	Robinson, Anne Gillian	5	2020-02-28	50	112.17	97,687	12,687
Kinaxis Inc.	Common Shares	Sicard, John Ernest	4, 5	2020-03-05	10	119	37,467	-12,200
Kinaxis Inc.	Options	Sicard, John Ernest	4, 5	2020-02-28	50	112.17	710,153	72,498
Kinross Gold Corporation	Common Shares	Elliott, Thomas Ballantyne	5	2020-03-04	51	5.32	189,783	17,815
Kinross Gold Corporation	Common Shares	Elliott, Thomas Ballantyne	5	2020-03-04	10	7.36	171,968	-17,815
Kinross Gold Corporation	Options	Elliott, Thomas Ballantyne	5	2020-03-04	51	5.32	145,888	-17,815
Kinross Gold Corporation	Common Shares	Sims, John Lewis	5	2020-03-06	51	4.59	26,315	6,528
Kinross Gold Corporation	Common Shares	Sims, John Lewis	5	2020-03-06	10	7.53	19,787	-6,528
Kinross Gold Corporation	Options	Sims, John Lewis	5	2020-03-06	51	4.59	18,609	-6,528
Knight Therapeutics Inc.	Common Shares	Knight Therapeutics Inc.	1	2020-02-21	38	7.37	1,053	1,053
Knight Therapeutics Inc.	Common Shares	Knight Therapeutics Inc.	1	2020-02-24	38	7.256	89,906	88,853
Knight Therapeutics Inc.	Common Shares	Knight Therapeutics Inc.	1	2020-02-25	38	7.078	178,759	88,853
Knight Therapeutics Inc.	Common Shares	Knight Therapeutics Inc.	1	2020-02-26	38	7.002	267,612	88,853
Knight Therapeutics Inc.	Common Shares	Knight Therapeutics Inc.	1	2020-02-27	38	6.853	332,265	64,653
Knight Therapeutics Inc.	Common Shares	Knight Therapeutics Inc.	1	2020-02-28	38	6.636	421,118	88,853
Knight Therapeutics Inc.	Common Shares	Knight Therapeutics Inc.	1	2020-02-28	38		153,506	-267,612

Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Bastarache, Lise	4	2020-03-02	35	43.059	8,071	122
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Bastarache, Lise	4	2020-03-02	56	41.594	8,401	330
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Baxendale, Sonia	4	2020-03-02	35	43.059	8,048	121
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Baxendale, Sonia	4	2020-03-02	56	41.594	8,649	601
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Bolger, Andrea Elaine	4	2020-03-02	35	43.059	824	13
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Bolger, Andrea Elaine	4	2020-03-02	56	41.594	1,342	518
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Boychuk, Michael T.	4	2020-03-02	35	43.059	12,687	192
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Boychuk, Michael T.	4	2020-03-02	56	41.594	13,288	601
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Lavigne, A. Michel	4	2020-03-02	35	43.059	6,580	99
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Lavigne, A. Michel	4	2020-03-02	56	41.594	6,910	330
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Morris, David Dyson	4	2020-03-02	35	43.059		75
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Morris, David Dyson	4	2020-03-02	35	43.059	4,982	75
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Morris, David Dyson	4	2020-03-02	56	41.594		518
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Morris, David Dyson	4	2020-03-02	56	41.594	5,500	518
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Mowat, David Lawrence	4	2020-03-02	35	43.059	648	10
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Mowat, David Lawrence	4	2020-03-02	56	41.594	979	331
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Mueller, Mike	4	2020-03-02	35	43.059	6,483	98
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Mueller, Mike	4	2020-03-02	56	41.594	8,166	1,683
LAURENTIAN BANK OF CANADA	Common Shares	Savoy, Michelle Renee	4	2020-03-02	46	37.73	6,058	149
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Savoy, Michelle Renee	4	2020-03-02	35	43.059	9,418	142
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Savoy, Michelle Renee	4	2020-03-02	56	41.594	9,884	466
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Wolburgh Jenah, Susan	4	2020-03-02	35	43.059	10,391	157
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Wolburgh Jenah, Susan	4	2020-03-02	56	41.594	10,932	541
Legend Power Systems Inc.	Common Shares	Lansky, Jonathan	4	2020-03-02	10	0.34	5,983,025	14,400
Legend Power Systems Inc.	Common Shares	Lansky, Jonathan	4	2020-03-02	10	0.34	5,997,325	14,300
Legend Power Systems Inc.	Common Shares	Lansky, Jonathan	4	2020-03-02	10	0.345	6,000,825	3,500
Legend Power Systems Inc.	Common Shares	Lansky, Jonathan	4	2020-03-02	10	0.35	6,292,325	291,500
Legend Power Systems Inc.	Common Shares	Lansky, Jonathan	4	2020-03-02	10	0.36	6,492,325	200,000
Leon's Furniture Limited	Common Shares	Leon, Graeme	5	2020-02-27	90	15.77	10,981	-2,376
Leon's Furniture Limited	Common Shares	Leon, Graeme	5	2020-02-27	90	15.77	97,106	1,680
Leon's Furniture Limited	Common Shares	Leon, Graeme	5	2020-02-27	90	15.77	4,415	348
Leon's Furniture Limited	Common Shares	Leon, Graeme	5	2020-02-27	90	15.77	4,446	348
Leon's Furniture Limited	Common Shares	Leon, Terrence	4, 5	2020-03-03	10	16.44		600
Leon's Furniture Limited	Common Shares	Leon, Terrence	4, 5	2020-03-05	10	16.44	3,990	600
Leon's Furniture Limited	Common Shares	Pefanis, Constantine	5	2020-03-03	90	15.85	46,982	-1,671
Leon's Furniture Limited	Common Shares	Pefanis, Constantine	5	2020-03-03	90	15.85	5,215	1,671
LiCo Energy Metals Inc.	Options	Goodman, Ryan	4	2020-02-28	50		450,000	150,000
LiCo Energy Metals Inc.	Common Shares	HELLWARTH, JAMES	4	2020-02-28	00		100,000	
LiCo Energy Metals Inc.	Options	HELLWARTH, JAMES	4	2020-02-28	00		200,000	
LiCo Energy Metals Inc.	Warrants	HELLWARTH, JAMES	4	2020-02-28	00		100,000	
LiCo Energy Metals Inc.	Options	Setter, Robert	4	2020-02-28	00		400,000	

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LiCo Energy Metals Inc.	Options	Whyte, Tina	5	2020-02-28	50	0.05	430,000	350,000
Loblaw Companies Limited	Common Shares	Bourdeau, Jocyanne C.	5	2020-03-02	57	62.279	4,219	4,219
Loblaw Companies Limited	Common Shares	Bourdeau, Jocyanne C.	5	2020-03-02	10	67.279	0	-4,219
Loblaw Companies Limited	Common Shares	Bourdeau, Jocyanne C.	5	2020-03-02	57	67.279	4,459	4,459
Loblaw Companies Limited	Common Shares	Bourdeau, Jocyanne C.	5	2020-03-02	10	67.279	0	-4,459
Loblaw Companies Limited	Options	Bourdeau, Jocyanne C.	5	2020-02-27	50		194,613	48,960
Loblaw Companies Limited	Performance Share Units	Bourdeau, Jocyanne C.	5	2020-03-02	57		16,814	-4,219
Loblaw Companies Limited	Performance Share Units	Bourdeau, Jocyanne C.	5	2020-03-02	38		16,574	-240
Loblaw Companies Limited	Restricted Share Units	Bourdeau, Jocyanne C.	5	2020-03-02	57		16,574	-4,459
Loblaw Companies Limited	Common Shares	Columb, Kieran Barry	5	2020-03-02	57	67.279	16,020	6,186
Loblaw Companies Limited	Common Shares	Columb, Kieran Barry	5	2020-03-02	10	67.279	9,834	-6,186
Loblaw Companies Limited	Common Shares	Columb, Kieran Barry	5	2020-03-02	57	67.279	16,373	6,539
Loblaw Companies Limited	Common Shares	Columb, Kieran Barry	5	2020-03-02	10	67.279	9,834	-6,539
Loblaw Companies Limited	Options	Columb, Kieran Barry	5	2020-02-27	50		263,203	51,000
Loblaw Companies Limited	Performance Share Units	Columb, Kieran Barry	5	2020-03-02	57		19,387	-6,186
Loblaw Companies Limited	Performance Share Units	Columb, Kieran Barry	5	2020-03-02	38		19,034	-353
Loblaw Companies Limited	Restricted Share Units	Columb, Kieran Barry	5	2020-03-02	57		26,947	-6,539
Loblaw Companies Limited	Common Shares	Davis, Sarah Ruth	5	2020-03-02	57	67.279	16,662	15,185
Loblaw Companies Limited	Common Shares	Davis, Sarah Ruth	5	2020-03-02	10	67.279	1,477	-15,185
Loblaw Companies Limited	Common Shares	Davis, Sarah Ruth	5	2020-03-02	57	67.279	17,528	16,051
Loblaw Companies Limited	Common Shares	Davis, Sarah Ruth	5	2020-03-02	10	67.279	1,477	-16,051
Loblaw Companies Limited	Options	Davis, Sarah Ruth	5	2020-02-27	50		665,086	163,199
Loblaw Companies Limited	Performance Share Units	Davis, Sarah Ruth	5	2020-03-02	57		57,356	-15,185
Loblaw Companies Limited	Performance Share Units	Davis, Sarah Ruth	5	2020-03-02	38		56,490	-866
Loblaw Companies Limited	Restricted Share Units	Davis, Sarah Ruth	5	2020-03-02	57		74,339	-16,051
Loblaw Companies Limited	Common Shares	Dufresne, Richard	6	2020-03-02	57	67.279	10,012	5,118
Loblaw Companies Limited	Common Shares	Dufresne, Richard	6	2020-03-02	10	67.279	4,894	-5,118
Loblaw Companies Limited	Common Shares	Dufresne, Richard	6	2020-03-02	57	67.279	10,303	5,409
Loblaw Companies Limited	Common Shares	Dufresne, Richard	6	2020-03-02	10	67.279	4,894	-5,409
Loblaw Companies Limited	Performance Share Units	Dufresne, Richard	6	2020-03-02	57		2,510	-5,118
Loblaw Companies Limited	Performance Share Units	Dufresne, Richard	6	2020-03-02	38		2,219	-291
Loblaw Companies Limited	Restricted Share Units	Dufresne, Richard	6	2020-03-02	57		2,219	-5,409
Loblaw Companies Limited	Common Shares	George Weston Limited	3	2020-03-02	38	67.36	3,506,353	-155,353
Loblaw Companies Limited	Common Shares	George Weston Limited	3	2020-03-03	38	69.15	3,345,557	-160,796
Loblaw Companies Limited	Common Shares	George Weston Limited	3	2020-03-03	38	71.58		-123,470
Loblaw Companies Limited	Common Shares	George Weston Limited	3	2020-03-04	38	71.58	3,222,087	-123,470
Loblaw Companies Limited	Common Shares	George Weston Limited	3	2020-03-05	38	71.87	3,093,327	-128,760
Loblaw Companies Limited	Common Shares	George Weston Limited	3	2020-03-06	38	72.35	2,983,692	-109,635
Loblaw Companies Limited	Options	Myers, Darren	5	2020-02-27	50		401,011	105,875
Loblaw Companies Limited	Common Shares	Senecal, Garry	5	2020-03-02	57	67.279	9,967	7,311
Loblaw Companies Limited	Common Shares	Senecal, Garry	5	2020-03-02	10	67.279	2,656	-7,311
Loblaw Companies Limited	Common Shares	Senecal, Garry	5	2020-03-02	57	67.279	10,384	7,728
Loblaw Companies Limited	Common Shares	Senecal, Garry	5	2020-03-02	10	67.279	2,656	-7,728
Loblaw Companies Limited	Performance Share Units	Senecal, Garry	5	2020-03-02	57		22,325	-7,311
Loblaw Companies Limited	Performance Share Units	Senecal, Garry	5	2020-03-02	38		21,908	-417
Loblaw Companies Limited	Restricted Share Units	Senecal, Garry	5	2020-03-02	57		21,908	-7,728
Loblaw Companies Limited	Common Shares	Weston, Willard Galen Garfield	4, 5	2020-03-02	57	67.279	184,102	13,273
Loblaw Companies Limited	Common Shares	Weston, Willard Galen Garfield	4, 5	2020-03-02	10	67.279	170,829	-13,273
Loblaw Companies Limited	Common Shares	Weston, Willard Galen Garfield	4, 5	2020-03-02	57	67.279	184,859	14,030
Loblaw Companies Limited	Common Shares	Weston, Willard Galen Garfield	4, 5	2020-03-02	10	67.279	177,035	-7,824
Loblaw Companies Limited	Options	Weston, Willard Galen Garfield	4, 5	2020-02-27	50		474,149	97,919
Loblaw Companies Limited	Performance Share Units	Weston, Willard Galen Garfield	4, 5	2020-03-02	57		39,242	-13,273
Loblaw Companies Limited	Performance Share Units	Weston, Willard Galen Garfield	4, 5	2020-03-02	38		38,485	-757

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Loblaws Companies Limited	Restricted Share Units	Weston, Willard Galen Garfield	4, 5	2020-03-02	57		38,485	-14,030
Lodge Resources Inc.	Common Shares	MILNE, HOWARD	4	2020-03-02	10	0.5	549,000	-1,000
Logistec Corporation	Common Shares Class A	LOGISTEC CORPORATION	1	2020-02-13	38	37.52	100	100
Logistec Corporation	Common Shares Class A	LOGISTEC CORPORATION	1	2020-02-21	38		0	-100
Logistec Corporation	Common Shares Class A	LOGISTEC CORPORATION	1	2020-02-24	38	37.52	500	500
Logistec Corporation	Common Shares Class A	LOGISTEC CORPORATION	1	2020-02-28	38	34	1,000	500
Logistec Corporation	Common Shares Class A	LOGISTEC CORPORATION	1	2020-02-28	38		500	-500
Logistec Corporation	Common Shares Class A	LOGISTEC CORPORATION	1	2020-03-03	38		0	-500
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-02-04	38	38	500	500
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-02-05	38	37.92	1,000	500
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-02-05	38	37.99	1,200	200
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-02-07	38	37.74	1,300	100
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-02-07	38		100	-1,200
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-02-10	38	38.5	400	300
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-02-10	38	38.4	900	500
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-02-14	38		0	-900
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-02-19	38	37.99	200	200
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-02-19	38	38	1,000	800
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-02-20	38	37.3	1,300	300
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-02-21	38		300	-1,000
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-02-24	38	37	800	500
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-02-24	38	36	900	100
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-02-27	38	37.09	1,000	100
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-02-27	38	35.68	1,300	300
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-02-28	38	33	1,400	100
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-02-28	38		500	-900
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-03-03	38		0	-500
Lomiko Metals Inc.	Common Shares	Gill, Amrit Paul Singh	5	2020-02-27	10	0.04	5,223,410	25,000
Lomiko Metals Inc.	Common Shares	Gill, Amrit Paul Singh	5	2020-02-27	10	0.035	5,247,410	24,000
Lomiko Metals Inc.	Common Shares	Gill, Amrit Paul Singh	5	2020-02-28	10	0.04	5,253,410	6,000
Loop Insights Inc.	Common Shares	Anson, Robert	5	2020-03-04	10	0.12	581,400	125,000
Loop Insights Inc.	Common Shares	Anson, Robert	5	2020-03-04	10	0.125	606,400	25,000
Loop Insights Inc.	Common Shares	Anson, Robert	5	2020-03-04	10	0.13	606,900	500
Loop Insights Inc.	Common Shares	Anson, Robert	5	2020-03-04	10	0.14	656,400	49,500
Loop Insights Inc.	Common Shares	Anson, Robert	5	2020-02-27	22	0.01		27,120,000
Loop Insights Inc.	Common Shares	Anson, Robert	5	2020-02-27	22	0.25	27,120,000	27,120,000
Loop Insights Inc.	Options	Anson, Robert	5	2020-02-27	50	0.15	2,266,000	150,000
Loop Insights Inc.	Common Shares	Matson-Dekay, Casey	5	2020-02-26	22	0.01		6,780,000
Loop Insights Inc.	Common Shares	Matson-Dekay, Casey	5	2020-02-26	22	0.25	6,780,000	6,780,000

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Loop Insights Inc.	Options	Pretty, Dallas Robert	4	2020-02-27	50	0.15	450,000	150,000
Loop Insights Inc.	Options	Pretty, Dallas Robert	4	2020-02-27	50		600,000	150,000
Loop Insights Inc.	Options	Vance, Gregory Stephen	4	2020-02-27	50	0.15	600,000	150,000
Lorne Park Capital Partners Inc.	Common Shares	Black, Jeffrey	7	2018-10-02	00			
Lorne Park Capital Partners Inc.	Common Shares	Black, Jeffrey	7	2018-10-02	00			
Lorne Park Capital Partners Inc.	Common Shares	Black, Jeffrey	7	2018-10-02	11	0.4		225,000
Lorne Park Capital Partners Inc.	Common Shares	Black, Jeffrey	7	2018-10-02	11	0.4		225,000
Lorne Park Capital Partners Inc.	Common Shares	Black, Jeffrey	7	2018-10-02	11	0.4		225,000
Lorne Park Capital Partners Inc.	Common Shares	Black, Jeffrey	7	2018-10-02	00			
Lorne Park Capital Partners Inc.	Common Shares	Black, Jeffrey	7	2018-10-02	00			
Lorne Park Capital Partners Inc.	Common Shares	Black, Jeffrey	7	2018-10-02	00			
Lorne Park Capital Partners Inc.	Common Shares	Black, Jeffrey	7	2018-10-02	11	0.4		137,500
Lorne Park Capital Partners Inc.	Common Shares	Black, Jeffrey	7	2018-10-02	11	0.4		137,500
Lorne Park Capital Partners Inc.	Common Shares	Black, Jeffrey	7	2018-10-02	11	0.4		137,500
Lorne Park Capital Partners Inc.	Common Shares	Black, Jeffrey	7	2018-10-02	00			
Lorne Park Capital Partners Inc.	Common Shares	Black, Jeffrey	7	2018-10-02	00			
Lorne Park Capital Partners Inc.	Common Shares	Black, Jeffrey	7	2018-10-02	00			
Lorne Park Capital Partners Inc.	Common Shares	Black, Jeffrey	7	2018-10-02	11	0.4		137,500
Lorne Park Capital Partners Inc.	Common Shares	Black, Jeffrey	7	2018-10-02	11	0.4		137,500
Lorne Park Capital Partners Inc.	Common Shares	Black, Jeffrey	7	2018-10-02	11	0.4		137,500
Lotus Ventures Inc.	Common Shares	McClanaghan, Dale	4, 5	2020-03-02	10	0.075	208,664	69,000
Lucara Diamond Corp.	Common Shares	Armstrong, John Patrick	5	2020-03-03	10	0.67	216,190	70,000
Lucara Diamond Corp.	Common Shares	Boldt, Zara Elizabeth	5	2020-03-02	10	0.69	162,000	70,000
Lucara Diamond Corp.	Common Shares	Thomas, Eira Margaret	4	2020-03-05	10	0.6257	5,298,000	106,000
Lundin Mining Corporation	Common Shares	Schauman, Mikael	5	2020-03-04	51	5.35	170,000	88,000
Lundin Mining Corporation	Common Shares	Schauman, Mikael	5	2020-03-04	10	6.9378	82,000	-88,000
Lundin Mining Corporation	Options	Schauman, Mikael	5	2020-03-04	51	5.35	592,990	-88,000
MAG Silver Corp.	RSU	Curlook, Michael John	5	2020-03-04	38	13.78	21,580	-3,704
MAG Silver Corp.	RSU	Megaw, Peter	5	2020-03-04	38	13.78	80,758	-15,046
MAG Silver Corp.	RSU	Paspalas, George Nickolas	4, 5	2020-03-04	38	13.78	100,022	-17,361
MAG Silver Corp.	Common Shares	Taddei, Larry	5	2020-03-02	36	11.38	97,375	2,190
MAG Silver Corp.	RSU	Taddei, Larry	5	2020-03-02	36		62,225	-2,190
MAG Silver Corp.	RSU	Taddei, Larry	5	2020-03-04	38	13.78	52,735	-9,490
Magna International Inc.	Options	Kotagiri, Seetarama Swamy	5	2015-03-25	37			60,740
Magna International Inc.	Options	Kotagiri, Seetarama Swamy	5	2015-03-25	37		121,380	60,640
Magna International Inc.	Common Shares	Magna International Inc.	1	2020-02-24	38	49.927	308,501	159,318
Magna International Inc.	Common Shares	Magna International Inc.	1	2020-02-25	38	64.798	418,501	110,000
Magna International Inc.	Common Shares	Magna International Inc.	1	2020-02-25	38	48.582	528,501	110,000
Magna International Inc.	Common Shares	Magna International Inc.	1	2020-02-26	38	63.211	638,501	110,000
Magna International Inc.	Common Shares	Magna International Inc.	1	2020-02-26	38	47.433	748,501	110,000
Magna International Inc.	Common Shares	Magna International Inc.	1	2020-02-27	38	61.201	834,301	85,800
Magna International Inc.	Common Shares	Magna International Inc.	1	2020-02-27	38	45.93	979,701	145,400
Magna International Inc.	Common Shares	Magna International Inc.	1	2020-02-28	38	60.828	1,097,201	117,500
Magna International Inc.	Common Shares	Magna International Inc.	1	2020-02-28	38	45.313	1,214,701	117,500

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Magna International Inc.	Common Shares	Magna International Inc.	1	2020-02-28	38		994,701	-220,000
Magna International Inc.	Common Shares	Magna International Inc.	1	2020-02-28	38		615,383	-379,318
Major Drilling Group International Inc.	Common Shares	Larocque, Denis Joseph	5	2020-03-04	10	4.5	64,275	2,000
Major Drilling Group International Inc.	Common Shares	Tennant, David Buchanan	4	2020-03-05	10	4.46	168,500	300
Major Drilling Group International Inc.	Common Shares	Tennant, David Buchanan	4	2020-03-05	10	4.452	170,200	1,700
Major Drilling Group International Inc.	Common Shares	Veenman, Sybil Elsa	4	2020-03-05	10	4.43	10,000	2,500
Manulife Financial Corporation	Options	Doughty, Michael James	7, 5	2020-03-03	50	24.38	731,418	155,865
Manulife Financial Corporation	Rights Performance Share Units (PSU)	Doughty, Michael James	7, 5	2020-02-28	59	25.34	28,713	-9,618
Manulife Financial Corporation	Options	Finch, Steve	5	2020-03-03	50	24.38	663,944	130,907
Manulife Financial Corporation	Options	Forbes, Cindy Lou	5	2020-03-03	50	24.38	469,613	82,034
Manulife Financial Corporation	Rights Performance Share Units (PSU)	Forbes, Cindy Lou	5	2020-02-28	59	25.34	20,140	-11,804
Manulife Financial Corporation	Options	Gallagher, James D.	5	2020-03-03	50	24.38	539,998	87,272
Manulife Financial Corporation	Rights Performance Share Units (PSU)	Gallagher, James D.	5	2020-02-28	59	25.34	14,876	-15,682
Manulife Financial Corporation	Common Shares	Gori, Rocco	4, 5	2020-02-28	90		287,350	73,339
Manulife Financial Corporation	Common Shares subject to forfeiture and transfer restrictions	Gori, Rocco	4, 5	2020-02-28	90		131,930	-73,339
Manulife Financial Corporation	Options	Gori, Rocco	4, 5	2020-03-03	50	24.38	2,680,424	689,991
Manulife Financial Corporation	Options	Harrison, Marianne	7, 5	2020-03-03	50	24.38	1,733,828	283,632
Manulife Financial Corporation	Rights Performance Share Units (PSU)	Harrison, Marianne	7, 5	2020-02-28	59	25.34	64,551	-77,665
Manulife Financial Corporation	Options	Hartz, Scott	5	2020-03-03	50	24.38	1,188,690	218,179
Manulife Financial Corporation	Rights Performance Share Units (PSU)	Hartz, Scott	5	2020-02-28	59	25.34	23,513	-27,182
Manulife Financial Corporation	Options	Hirji, Rahim	5	2020-03-03	50	24.38	580,469	90,238
Manulife Financial Corporation	Options	Irshad, Naveed	5	2020-03-03	50	24.38	255,814	106,645
Manulife Financial Corporation	Rights Performance Share Units (PSU)	Irshad, Naveed	5	2020-02-28	59	25.34	11,477	-4,002
Manulife Financial Corporation	Options	Joshi, Rahul Madhav	5	2020-03-03	50	24.38	276,154	185,452
Manulife Financial Corporation	Options	Kalita von dem Hagen, Halina	5	2020-03-03	50	24.38	166,336	30,079
Manulife Financial Corporation	Rights Performance Share Units (PSU)	Kalita von dem Hagen, Halina	5	2020-02-28	59	25.34	6,558	-7,577
Manulife Financial Corporation	Options	Kimmet, Pamela O'Brien	5	2020-03-03	50	24.38	574,738	190,906
Manulife Financial Corporation	Options	Lorentz, Paul	5	2020-03-03	50	24.38	682,609	178,425
Manulife Financial Corporation	Rights Performance Share Units (PSU)	Lorentz, Paul	5	2020-02-28	59	25.34	25,066	-9,571
Manulife Financial Corporation	Options	MacInnis, Matthew Lyman	7	2020-03-03	50	24.38	9,571	9,571
Manulife Financial Corporation	Common Shares	Manulife Financial Corporation	1	2020-02-03	38	25.95	600,000	200,000
Manulife Financial Corporation	Common Shares	Manulife Financial Corporation	1	2020-02-04	38	26.282	800,000	200,000
Manulife Financial Corporation	Common Shares	Manulife Financial Corporation	1	2020-02-05	38	26.597	1,000,000	200,000
Manulife Financial Corporation	Common Shares	Manulife Financial Corporation	1	2020-02-06	38	26.725	1,200,000	200,000

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Manulife Financial Corporation	Common Shares	Manulife Financial Corporation	1	2020-02-07	38	26.557	1,400,000	200,000
Manulife Financial Corporation	Common Shares	Manulife Financial Corporation	1	2020-02-10	38	26.444	1,600,000	200,000
Manulife Financial Corporation	Common Shares	Manulife Financial Corporation	1	2020-02-11	38	26.813	1,800,000	200,000
Manulife Financial Corporation	Common Shares	Manulife Financial Corporation	1	2020-02-12	38	26.79	2,000,000	200,000
Manulife Financial Corporation	Common Shares	Manulife Financial Corporation	1	2020-02-13	38	26.248	2,200,000	200,000
Manulife Financial Corporation	Common Shares	Manulife Financial Corporation	1	2020-02-14	38	26.181	2,400,000	200,000
Manulife Financial Corporation	Common Shares	Manulife Financial Corporation	1	2020-02-18	38	25.974	2,470,000	70,000
Manulife Financial Corporation	Common Shares	Manulife Financial Corporation	1	2020-02-19	38	26.188	2,540,000	70,000
Manulife Financial Corporation	Common Shares	Manulife Financial Corporation	1	2020-02-20	38	26.434	2,610,000	70,000
Manulife Financial Corporation	Common Shares	Manulife Financial Corporation	1	2020-02-21	38	26.04	2,680,000	70,000
Manulife Financial Corporation	Common Shares	Manulife Financial Corporation	1	2020-02-24	38	24.064	2,839,600	159,600
Manulife Financial Corporation	Common Shares	Manulife Financial Corporation	1	2020-02-25	38	23.597	3,039,600	200,000
Manulife Financial Corporation	Common Shares	Manulife Financial Corporation	1	2020-02-25	38		359,600	-2,680,000
Manulife Financial Corporation	Common Shares	Manulife Financial Corporation	1	2020-02-26	38	23.525	559,600	200,000
Manulife Financial Corporation	Common Shares	Manulife Financial Corporation	1	2020-02-27	38	22.681	709,200	149,600
Manulife Financial Corporation	Common Shares	Manulife Financial Corporation	1	2020-02-27	38	22.764	744,200	35,000
Manulife Financial Corporation	Common Shares	Manulife Financial Corporation	1	2020-02-28	38	22.211	944,200	200,000
Manulife Financial Corporation	Common Shares	Manulife Financial Corporation	1	2020-02-28	38		384,600	-559,600
Manulife Financial Corporation	Options	Pariath, Sebastian	7	2020-03-03	50	24.38	113,036	22,182
Manulife Financial Corporation	Rights Performance Share Units (PSU)	Pariath, Sebastian	7	2020-02-28	59	25.34	2,751	-3,942
Manulife Financial Corporation	Options	Rappold, Kenneth Joseph	7	2020-03-03	50	24.38	46,081	23,352
Manulife Financial Corporation	Rights Deferred Share Units (DSU)	Ross, Kenneth Michael	7	2020-03-01	56	22.56	11,191	11,191
Manulife Financial Corporation	Options	Sheerin, Martin Ignatius	7	2020-03-03	50	24.38	60,324	17,091
Manulife Financial Corporation	Rights Performance Share Units (PSU)	Sheerin, Martin Ignatius	7	2020-02-28	59	25.34	2,644	-2,927
Manulife Financial Corporation	Options	Springer-Haynes, Renee	7	2016-06-27	00			
Manulife Financial Corporation	Options	Sullivan, Lynda	5	2020-03-03	50	24.38	418,944	25,540
Manulife Financial Corporation	Rights Performance Share Units (PSU)	Sullivan, Lynda	5	2020-02-28	59	25.34	6,483	-9,092
Manulife Financial Corporation	Options	Tingle, Brooks	7	2020-03-03	50	24.38	146,591	47,272
Manulife Financial Corporation	Rights Performance Share Units (PSU)	Tingle, Brooks	7	2020-02-28	59	25.34	3,079	-3,703
Manulife Financial Corporation	Options	Wadhvani, Anil	7, 5	2020-03-03	50	24.38	550,595	239,997
Manulife Financial Corporation	Rights Restricted Share Units (RSU)	Wadhvani, Anil	7, 5	2020-02-28	59	25.34	17,915	-25,674
Manulife Financial Corporation	Options	Weiland, Shamus Edward	5	2020-03-03	50	24.38	294,797	169,089
Manulife Financial Corporation	Options	Witherington, Philip James	7, 5	2020-03-03	50	24.38	579,038	205,086

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Manulife Financial Corporation	Rights Performance Share Units (PSU)	Witherington, Philip James	7, 5	2020-02-28	59	25.34	41,232	-9,430
Manulife Financial Corporation	Options	Yeo, Steven Hui Chin	7	2020-03-03	50	24.38	127,287	15,880
Manulife Financial Corporation	Rights Performance Share Units (PSU)	Yeo, Steven Hui Chin	7	2020-02-28	59	25.34	1,881	-2,771
Maple Leaf Foods Inc.	Options Employee	Brooks, Bentley Andrew	5	2020-03-02	50	23.08	121,600	31,650
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Brooks, Bentley Andrew	5	2020-03-02	56		10,520	3,070
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Brooks, Bentley Andrew	5	2020-03-02	56		10,520	3,070
Maple Leaf Foods Inc.	Options Employee	Dubreuil, Stephane	5	2020-03-02	50	23.08		3,685
Maple Leaf Foods Inc.	Options Employee	Dubreuil, Stephane	5	2020-03-02	50	23.08	64,650	37,950
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Dubreuil, Stephane	5	2020-03-02	56		6,575	3,685
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Dubreuil, Stephane	5	2020-03-02	56		6,575	3,685
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Elmer, Stephen Lloyd	5	2020-03-02	56		11,115	3,435
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Elmer, Stephen Lloyd	5	2020-03-02	56		11,115	3,435
Maple Leaf Foods Inc.	Options Employee	Frank, Curtis Eugene	5	2020-03-02	50	23.08	347,725	126,600
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Frank, Curtis Eugene	5	2020-03-02	56		30,110	12,280
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Frank, Curtis Eugene	5	2020-03-02	56		30,110	12,280
Maple Leaf Foods Inc.	Options Employee	Hathaway, Suzanne	5	2020-03-02	50	23.08	109,050	37,950
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Hathaway, Suzanne	5	2020-03-02	56		11,395	3,685
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Hathaway, Suzanne	5	2020-03-02	56		11,395	3,685
Maple Leaf Foods Inc.	Options Employee	Henry, Ian Vincent	5	2020-03-02	50	23.08	151,300	37,950
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Henry, Ian Vincent	5	2020-03-02	56		11,555	3,685
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Henry, Ian Vincent	5	2020-03-02	56		11,555	3,685
Maple Leaf Foods Inc.	Options Employee	Huffman, Randall	5	2020-03-02	52	23.08	248,750	50,700
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Huffman, Randall	5	2020-03-02	56		15,415	4,905
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Huffman, Randall	5	2020-03-02	56		15,415	4,905
Maple Leaf Foods Inc.	Options Employee	Kuehnbaum, Joshua	5	2020-03-02	50	23.08	55,125	31,650
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Kuehnbaum, Joshua	5	2020-03-02	56		12,195	3,070
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Kuehnbaum, Joshua	5	2020-03-02	56		10,650	3,070
Maple Leaf Foods Inc.	Options Employee	Kuhn, Lynda J.	5	2020-03-02	50	23.08	210,900	30,450
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Kuhn, Lynda J.	5	2020-03-02	56		10,030	2,940
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Kuhn, Lynda J.	5	2020-03-02	56		10,030	2,940

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Maple Leaf Foods Inc.	Options Employee	Liris, Andreas	5	2020-03-02	50	23.08	95,000	34,800
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Liris, Andreas	5	2020-03-02	56		7,062	3,380
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Liris, Andreas	5	2020-03-02	56		13,302	3,380
Maple Leaf Foods Inc.	Options Employee	Lorimer, Robert Scott	5	2020-03-02	50	23.08	60,300	31,650
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Lorimer, Robert Scott	5	2020-03-02	56		6,580	3,070
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Lorimer, Robert Scott	5	2020-03-02	56		5,865	3,070
Maple Leaf Foods Inc.	Options Employee	McAlpine, Rory A.	5	2020-03-02	50	23.08	84,400	31,650
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	McAlpine, Rory A.	5	2020-03-02	56		8,805	3,070
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	McAlpine, Rory A.	5	2020-03-02	56		8,805	3,070
Maple Leaf Foods Inc.	Options Employee	McCain, Michael Harrison	4, 5	2020-03-02	50	23.08	2,776,050	600,450
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	McCain, Michael Harrison	4, 5	2020-03-02	56		182,675	58,170
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	McCain, Michael Harrison	4, 5	2020-03-02	56		182,675	58,170
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	McLean, Rene Richard	5	2020-03-02	56		19,265	6,135
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	McLean, Rene Richard	5	2020-03-02	56		15,716	6,135
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Rawle, Michael Ross	5	2020-03-02	56		7,630	3,070
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Rawle, Michael Ross	5	2020-03-02	56		7,630	3,070
Maple Leaf Foods Inc.	Options Employee	Richards, Casey Evan	5	2019-09-09	00			
Maple Leaf Foods Inc.	Options Employee	Richards, Casey Evan	5	2020-03-02	50	23.08	46,650	46,650
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Richards, Casey Evan	5	2020-03-02	56		13,350	4,525
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Richards, Casey Evan	5	2020-03-02	56		13,350	4,525
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Sawatzky, Jonathan David	5	2020-03-02	56		5,005	1,840
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Sawatzky, Jonathan David	5	2020-03-02	56		5,005	1,840
Maple Leaf Foods Inc.	Options Employee	Stewart, Iain William	5	2020-03-02	50	23.08	137,950	44,400
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Stewart, Iain William	5	2020-03-02	56		26,150	4,290
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Stewart, Iain William	5	2020-03-02	56		26,150	4,290
Maple Leaf Foods Inc.	Options Employee	Verellen, Geert	5	2020-01-06	00			
Maple Leaf Foods Inc.	Options Employee	Verellen, Geert	5	2020-03-02	50	23.08	126,600	126,600
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Verellen, Geert	5	2020-01-06	00			
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Verellen, Geert	5	2020-03-02	56		12,280	12,280

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Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Verellen, Geert	5	2020-01-06	00			
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Verellen, Geert	5	2020-03-02	56		12,280	12,280
Marble Financial Inc.	Common Shares	Marrandino, Michele	3, 4, 5	2020-03-03	10	0.19	2,035,986	70,000
Margaux Resources Ltd.	Common Shares	Letwin, Stephen Joseph James	4	2020-03-02	00		8,233,201	
Margaux Resources Ltd.	Options	Letwin, Stephen Joseph James	4	2020-03-02	00		995,000	
Martinrea International Inc.	Performance Share Units	D'Eramo, Frank Patrick	4	2019-12-15	58 - Expiration of rights		107,398	-38,652
Martinrea International Inc.	Performance Share Units	D'Eramo, Frank Patrick	4	2020-03-05	56	12.41	116,659	9,261
Martinrea International Inc.	Restricted Share Units	D'Eramo, Frank Patrick	4	2019-12-15	58 - Expiration of rights		107,398	-38,652
Martinrea International Inc.	Restricted Share Units	D'Eramo, Frank Patrick	4	2020-03-05	58 - Expiration of rights	12.41	116,659	9,261
Martinrea International Inc.	Performance Share Units	Wildeboer, Robert Peter Edward	4, 5	2019-12-15	58 - Expiration of rights		107,398	-38,652
Martinrea International Inc.	Performance Share Units	Wildeboer, Robert Peter Edward	4, 5	2020-03-05	56	12.41	116,659	9,261
Martinrea International Inc.	Restricted Share Units	Wildeboer, Robert Peter Edward	4, 5	2019-12-15	58 - Expiration of rights		107,398	-38,652
Martinrea International Inc.	Restricted Share Units	Wildeboer, Robert Peter Edward	4, 5	2020-03-05	56	12.41	116,659	9,261
Maxim Power Corp.	Common Shares	Maxim Power Corp.	1	2020-02-03	38		0	-11,741
Maxim Power Corp.	Common Shares	Maxim Power Corp.	1	2020-02-21	38	2	2,900	2,900
Maxim Power Corp.	Common Shares	Maxim Power Corp.	1	2020-02-24	38	2	3,200	300
Maxim Power Corp.	Common Shares	Maxim Power Corp.	1	2020-02-25	38	2	4,000	800
Maxim Power Corp.	Common Shares	Maxim Power Corp.	1	2020-02-26	38	2	6,900	2,900
Maxim Power Corp.	Common Shares	Maxim Power Corp.	1	2020-02-27	38	2	9,847	2,947
Maxim Power Corp.	Common Shares	Maxim Power Corp.	1	2020-02-28	38	1.95	12,794	2,947
MAYA GOLD & SILVER INC.	Common Shares	Branchaud, René	4	2020-02-28	10	1.19	123,259	17,000
MAYA GOLD & SILVER INC.	Common Shares	Branchaud, René	4	2020-02-28	10	1.09	125,359	2,100
MAYA GOLD & SILVER INC.	Common Shares	Branchaud, René	4	2020-02-28	10	1.1	125,759	400
MAYA GOLD & SILVER INC.	Common Shares	Branchaud, René	4	2020-02-28	10	1.11	126,059	300
MAYA GOLD & SILVER INC.	Common Shares	Branchaud, René	4	2020-02-28	10	1.14	126,159	100
MAYA GOLD & SILVER INC.	Common Shares	Branchaud, René	4	2020-02-28	10	1.15	126,259	100
MAYA GOLD & SILVER INC.	Common Shares	Maya Or & Argent Inc.	1	2020-02-25	38	1.63	4,000	4,000
MAYA GOLD & SILVER INC.	Common Shares	Maya Or & Argent Inc.	1	2020-02-25	38		0	-4,000
MAYA GOLD & SILVER INC.	Common Shares	Maya Or & Argent Inc.	1	2020-02-28	38	1.02	4,891	4,891
MAYA GOLD & SILVER INC.	Common Shares	Maya Or & Argent Inc.	1	2020-02-28	38		0	-4,891
MAYA GOLD & SILVER INC.	Common Shares	Wong, Martin	4	2020-02-28	10	1.11	72,500	35,000
MBN Corporation	Common Shares Equity Shares	MBN Corporation	1	2020-02-27	38	6.5	2,600	2,600
MBN Corporation	Common Shares Equity Shares	MBN Corporation	1	2020-02-27	38		0	-2,600
MBN Corporation	Common Shares Equity Shares	MBN Corporation	1	2020-02-28	38	6.3818	6,700	6,700
MBN Corporation	Common Shares Equity Shares	MBN Corporation	1	2020-02-28	38		0	-6,700

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MBN Corporation	Common Shares Equity Shares	MBN Corporation	1	2020-03-02	38	6.3668	3,400	3,400
MBN Corporation	Common Shares Equity Shares	MBN Corporation	1	2020-03-02	38		0	-3,400
MBN Corporation	Common Shares Equity Shares	MBN Corporation	1	2020-03-03	38	6.3583	600	600
MBN Corporation	Common Shares Equity Shares	MBN Corporation	1	2020-03-03	38		0	-600
MBN Corporation	Common Shares Equity Shares	MBN Corporation	1	2020-03-05	38	6.35	600	600
MBN Corporation	Common Shares Equity Shares	MBN Corporation	1	2020-03-05	38		0	-600
MCAN Mortgage Corporation	Performance Share Units	Brown, Carl Scott	5	2020-03-01	59		3,700	-3,748
MCAN Mortgage Corporation	Common Shares	Doré, Susan	4	2020-02-28	10	15.94	198,104	1,800
MCAN Mortgage Corporation	Common Shares	Morphet, Gaelen Jane	4	2018-01-01	00			
MCAN Mortgage Corporation	Common Shares	Morphet, Gaelen Jane	4	2020-02-28	10	15.54	1,300	1,300
MCAN Mortgage Corporation	Common Shares	Morphet, Gaelen Jane	4	2018-01-01	00			
MCAN Mortgage Corporation	Common Shares	Morphet, Gaelen Jane	4	2020-02-28	10	16.19	2,350	2,350
MCAN Mortgage Corporation	Common Shares	Morphet, Gaelen Jane	4	2020-02-28	10	16.09	9,000	1,000
MCAN Mortgage Corporation	Performance Share Units	Patel, Dipti	5	2020-03-01	59	16.038	5,557	-4,180
MCAN Mortgage Corporation	Common Shares	Pinto, Sylvia	5	2020-02-28	90	16.01	2,514	-370
MCAN Mortgage Corporation	Common Shares	Pinto, Sylvia	5	2020-02-28	90	16.01	2,144	-370
MCAN Mortgage Corporation	Common Shares	Pinto, Sylvia	5	2020-02-28	90	16.01	4,220	370
MCAN Mortgage Corporation	Common Shares	Pinto, Sylvia	5	2020-02-28	90	16.01	6,646	370
MCAN Mortgage Corporation	Performance Deferred Share Units	Pinto, Sylvia	5	2020-03-01	59		3,361	-3,435
MCAN Mortgage Corporation	Common Shares	Sutherland, Ian	3, 4	2020-02-28	10	15.51	465,000	5,000
MCAN Mortgage Corporation	Common Shares	Sutherland, Ian	3, 4	2020-02-28	10	15.76	470,000	5,000
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-10-24	10	1.165	541,185	202
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-10-26	00		540,983	
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-10-26	10	1.17	548,200	7,015
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-10-31	10	1.1463	548,523	323
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-11-01	10	1.11	549,069	546
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-11-02	10	1.1325	549,554	485
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-11-09	10	1.18	549,675	121
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-11-14	10	1.15	549,998	323
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-11-15	10	1.15	550,119	121
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-11-16	10	1.1	556,595	6,476
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-11-20	10	1.12	566,715	10,120
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-11-21	10	1.075	567,180	465
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-11-26	10	1.0561	577,017	9,837
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-12-03	10	1.12	577,603	586
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-12-10	10	1.0938	577,947	344
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-12-12	10	1.15	579,242	1,295
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2019-09-11	10	0.3411	664,796	85,554
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-10-24	10	1.165	2,205,400	798
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-10-26	00		2,204,602	
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-10-26	10	1.17	2,233,048	27,648
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-10-31	10	1.1463	2,234,325	1,277
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-11-01	10	1.11	2,236,479	2,154

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McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-11-02	10	1.1325	2,238,394	1,915
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-11-09	10	1.18	2,238,873	479
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-11-14	10	1.15	2,240,150	1,277
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-11-15	10	1.15	2,240,629	479
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-11-16	10	1.1	2,266,153	25,524
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-11-20	10	1.12	2,306,033	39,880
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-11-21	10	1.075	2,307,868	1,835
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-11-26	10	1.0561	2,346,631	38,763
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-12-03	10	1.12	2,348,945	2,314
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-12-10	10	1.0938	2,350,301	1,356
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2018-12-12	10	1.15	2,355,406	5,105
McCoy Global Inc.	Common Shares	Cannell Capital LLC	3	2019-09-11	10	0.3411	2,769,852	414,446
Medexus Pharmaceuticals Inc. (formerly Pediapharm Inc.)	Common Shares	d'Entremont, Kenneth	4, 5	2020-03-03	10	3.9	39,235	4,300
Medexus Pharmaceuticals Inc. (formerly Pediapharm Inc.)	Common Shares	d'Entremont, Kenneth	4, 5	2020-03-03	10	4	39,435	200
Medexus Pharmaceuticals Inc. (formerly Pediapharm Inc.)	Common Shares	d'Entremont, Kenneth	4, 5	2020-03-03	10	3.89	39,935	500
Medexus Pharmaceuticals Inc. (formerly Pediapharm Inc.)	Common Shares	d'Entremont, Kenneth	4, 5	2020-03-04	10	3.9		1,800
Medexus Pharmaceuticals Inc. (formerly Pediapharm Inc.)	Common Shares	d'Entremont, Kenneth	4, 5	2020-03-04	10	3.95	41,735	1,800
Medexus Pharmaceuticals Inc. (formerly Pediapharm Inc.)	Common Shares	d'Entremont, Kenneth	4, 5	2020-03-04	10	3.94	41,935	200
Melcor Developments Ltd.	Common Shares	Melcor Developments Ltd.	1	2020-02-29	38	12.299	2,000	-4,200
Melcor Developments Ltd.	Common Shares	Melcor Developments Ltd.	1	2020-03-02	38	12.39	3,000	1,000
Melcor Developments Ltd.	Common Shares	Melcor Developments Ltd.	1	2020-03-03	38	12.39	4,000	1,000
Melcor Developments Ltd.	Common Shares	Melcor Developments Ltd.	1	2020-03-04	38	12.37	4,100	100
Mene Inc.	Class B subordinate voting shares	Mennega, Paul Michael	6	2020-03-04	10	0.388	7,000	1,000
Mene Inc.	Class B subordinate voting shares	Mennega, Paul Michael	6	2020-03-04	10	0.389	8,000	1,000
Metalla Royalty & Streaming Ltd.	Common Shares	Heath, Brett	4, 5	2020-03-02	10	6.01	1,057,166	300
Metalla Royalty & Streaming Ltd.	Common Shares	Heath, Brett	4, 5	2020-03-02	10	6.05	1,057,366	200
Metalla Royalty & Streaming Ltd.	Common Shares	Tucker, E.B.	4	2020-02-28	10	4.3371	249,325	350
Metalla Royalty & Streaming Ltd.	Common Shares	Tucker, E.B.	4	2020-03-06	10	5.2639	249,450	125
Metamaterial Inc.	Common Shares	Bastos, Frederico	5	2020-03-05	00		338,538	
Metamaterial Inc.	Options	Bastos, Frederico	5	2020-03-05	00		893,750	
Metamaterial Inc.	Common Shares	Christilaw, Allison Ruth	4	2020-03-05	00			
Metamaterial Inc.	Options	Christilaw, Allison Ruth	4	2020-03-05	00			
Metamaterial Inc.	Warrants	Christilaw, Allison Ruth	4	2020-03-05	00			
Metamaterial Inc.	Common Shares	Guitten, Maurice Marc	4	2020-03-05	00		8,112	
Metamaterial Inc.	DSU	Guitten, Maurice Marc	4	2020-03-05	00		181,500	
Metamaterial Inc.	Options	Guitten, Maurice Marc	4	2020-03-05	00		358,102	
Metamaterial Inc.	Warrants	Guitten, Maurice Marc	4	2020-03-05	00		8,112	
Metamaterial Inc.	Common Shares	Kallos, Efthymios	5	2020-03-05	00		554,551	
Metamaterial Inc.	Common Shares	Kallos, Efthymios	5	2020-03-05	00		647,025	
Metamaterial Inc.	Warrants	Kallos, Efthymios	5	2020-03-05	00		723,250	
Metamaterial Inc.	Common Shares	Karsbo, Steen Birger	4	2020-03-05	00			
Metamaterial Inc.	Options	Karsbo, Steen Birger	4	2020-03-05	00			
Metamaterial Inc.	Warrants	Karsbo, Steen Birger	4	2020-03-05	00			
Metamaterial Inc.	Common Shares	Kosmas, Panagiotis	5	2020-03-05	00		706,032	
Metamaterial Inc.	Options	Kosmas, Panagiotis	5	2020-03-05	00		56,501	

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Metamaterial Inc.	Common Shares	Lamda Guard Technologies Ltd.	3	2020-03-05	00		12,375,000	
Metamaterial Inc.	Common Shares	Leslie, Eric M.	4	2020-03-05	00		273,501	
Metamaterial Inc.	Options	Leslie, Eric M.	4	2020-03-05	00		287,427	
Metamaterial Inc.	Warrants	Leslie, Eric M.	4	2020-03-05	00		81,001	
Metamaterial Inc.	Common Shares	Mahajan, Mayank	5	2020-03-05	00		40,466	
Metamaterial Inc.	Options	Mahajan, Mayank	5	2020-03-05	00		1,001,000	
Metamaterial Inc.	Warrants	Mahajan, Mayank	5	2020-03-05	00		8,112	
Metamaterial Inc.	Common Shares	Nova Scotia Innovation Corporation	3	2020-03-05	00		10,098,993	
Metamaterial Inc.	Common Shares	Palikaras, Georgios	3, 4, 5	2020-03-05	00		1,792,832	
Metamaterial Inc.	Common Shares	Palikaras, Georgios	3, 4, 5	2020-03-05	00		1,428,740	
Metamaterial Inc.	Common Shares	Palikaras, Georgios	3, 4, 5	2020-03-05	00		12,375,000	
Metamaterial Inc.	DSU	Palikaras, Georgios	3, 4, 5	2020-03-05	00		968,000	
Metamaterial Inc.	Options	Palikaras, Georgios	3, 4, 5	2020-03-05	00		139,977	
Metamaterial Inc.	Warrants	Palikaras, Georgios	3, 4, 5	2020-03-05	00		8,112	
Metamaterial Inc.	Common Shares	RAMKUMAR, RAM	4	2020-03-05	22			161,782
Metamaterial Inc.	Common Shares	RAMKUMAR, RAM	4	2020-03-05	22			161,782
Metamaterial Inc.	Common Shares	RAMKUMAR, RAM	4	2016-10-21	00		161,782	
Metamaterial Inc.	Warrants	RAMKUMAR, RAM	4	2016-10-21	00		161,782	
Metamaterial Inc.	Options	Roberts, Cindy	5	2020-03-05	00		302,500	
Metamaterial Inc.	Options	Seitz, Oliver	5	2020-03-05	00		277,359	
Metamaterial Inc.	Options	Wade, Andrew Gardner	5	2020-03-05	00		2,062,500	
Metamaterial Inc.	Common Shares	Welch, Thomas Gordon	3	2020-03-05	00		6,110,195	
Metamaterial Inc.	Common Shares	Welch, Thomas Gordon	3	2020-03-05	00		6,312,402	
Metamaterial Inc.	Convertible Debentures	Welch, Thomas Gordon	3	2020-03-05	00		\$1,100,000	
Metamaterial Inc.	Warrants	Welch, Thomas Gordon	3	2020-03-05	00		202,208	
Methanex Corporation	Rights Performance Share Units	ABRARY, NOJAN	7	2020-03-05	56		5,218	2,080
Methanex Corporation	Rights Restricted Share Units	ABRARY, NOJAN	7	2019-12-31	30		495	15
Methanex Corporation	Rights Restricted Share Units	ABRARY, NOJAN	7	2020-03-05	56		1,535	1,040
Methanex Corporation	Rights Share Appreciation Rights	ABRARY, NOJAN	7	2020-03-05	56		19,387	4,690
Methanex Corporation	Rights Performance Share Units	Allard, Mark	7	2020-03-05	56		7,754	3,430
Methanex Corporation	Rights Share Appreciation Rights	Allard, Mark	7	2020-03-05	56		60,780	15,440
Methanex Corporation	Rights Deferred Share Units	Arnell, Douglas James	4	2020-03-05	56		18,663	6,100
Methanex Corporation	Options	Bain, Colin	7	2020-03-05	56		10,190	7,720
Methanex Corporation	Rights Performance Share Units	Bain, Colin	7	2020-03-05	56		4,866	3,430
Methanex Corporation	Rights Restricted Share Units	Bain, Colin	7	2020-03-05	56		2,400	1,710
Methanex Corporation	Rights Performance Share Units	Bell, Colin	5	2020-03-05	56		5,110	2,080
Methanex Corporation	Rights Share Appreciation Rights	Bell, Colin	5	2020-03-05	56		20,220	9,380
Methanex Corporation	Rights Deferred Share Units	Bertram, James Vance	4	2020-03-05	56		7,403	3,400
Methanex Corporation	Rights Performance Share Units	Boon, Sarah	5	2020-03-05	56		5,218	2,080
Methanex Corporation	Rights Share Appreciation Rights	Boon, Sarah	5	2020-03-05	56		21,131	9,380
Methanex Corporation	Rights Performance Share Units	Boyd, Bradley	5	2020-03-05	56		21,448	9,600
Methanex Corporation	Rights Share Appreciation Rights	Boyd, Bradley	5	2020-03-05	56		111,700	43,200
Methanex Corporation	Rights Performance Share Units	Cameron, Ian Peter	5	2020-03-05	56		40,840	15,100

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Methanex Corporation	Rights Share Appreciation Rights	Cameron, Ian Peter	5	2020-03-05	56		267,900	68,000
Methanex Corporation	Rights Performance Share Units	Chadee, Rudinauth	7	2020-03-05	56		2,526	800
Methanex Corporation	Rights Restricted Share Units	Chadee, Rudinauth	7	2019-12-31	30		444	14
Methanex Corporation	Rights Restricted Share Units	Chadee, Rudinauth	7	2020-03-05	56		1,244	800
Methanex Corporation	Rights Performance Share Units	Chan, Man Yee Candy	5	2020-03-05	56		5,367	3,430
Methanex Corporation	Rights Restricted Share Units	Chan, Man Yee Candy	5	2020-03-05	56		2,257	1,710
Methanex Corporation	Rights Share Appreciation Rights	Chan, Man Yee Candy	5	2020-03-05	56		21,220	7,720
Methanex Corporation	Rights Restricted Share Units	Cook, Phillip Henry	4	2020-03-05	56		7,262	3,400
Methanex Corporation	Rights Performance Share Units	Daoust, Paul	7	2020-03-05	56		9,667	5,560
Methanex Corporation	Rights Share Appreciation Rights	Daoust, Paul	7	2020-03-05	56		69,860	25,020
Methanex Corporation	Rights Performance Share Units	Delbarre, Karine	7	2020-03-05	56		6,361	3,430
Methanex Corporation	Rights Restricted Share Units	Delbarre, Karine	7	2019-12-31	30		712	22
Methanex Corporation	Rights Restricted Share Units	Delbarre, Karine	7	2020-03-05	56		2,422	1,710
Methanex Corporation	Rights Share Appreciation Rights	Delbarre, Karine	7	2020-03-05	56		30,190	7,720
Methanex Corporation	Rights Restricted Share Units	DOBSON, PAUL MICHAEL	4	2019-04-25	00			
Methanex Corporation	Rights Restricted Share Units	DOBSON, PAUL MICHAEL	4	2020-03-05	56		3,400	3,400
Methanex Corporation	Rights Performance Share Units	Floren, John	4, 5	2020-03-05	56		162,339	63,000
Methanex Corporation	Rights Share Appreciation Rights	Floren, John	4, 5	2020-03-05	56		782,134	283,600
Methanex Corporation	Options	Fuchslocher, Priscilla	5	2020-03-05	56		18,360	7,720
Methanex Corporation	Rights Performance Share Units	Fuchslocher, Priscilla	5	2020-03-05	56		4,911	3,430
Methanex Corporation	Rights Restricted Share Units	Fuchslocher, Priscilla	5	2020-03-05	56		2,112	1,710
Methanex Corporation	Rights Performance Share Units	Henderson, Kevin	5	2020-03-05	56		28,420	10,100
Methanex Corporation	Rights Share Appreciation Rights	Henderson, Kevin	5	2020-03-05	56		138,400	45,600
Methanex Corporation	Rights Performance Share Units	Herz, Mike	7	2020-03-05	56		29,226	10,700
Methanex Corporation	Rights Share Appreciation Rights	Herz, Mike	7	2020-03-05	56		213,800	48,000
Methanex Corporation	Rights Performance Share Units	Hexter, Paul	7	2020-03-05	56		6,781	3,430
Methanex Corporation	Rights Share Appreciation Rights	Hexter, Paul	7	2020-03-05	56		36,429	15,440
Methanex Corporation	Rights Deferred Share Units	Howe, Maureen	4	2020-03-05	56		6,474	3,400
Methanex Corporation	Rights Performance Share Units	James, Vanessa	7	2020-03-05	56		29,226	10,700
Methanex Corporation	Rights Share Appreciation Rights	James, Vanessa	7	2020-03-05	56		184,467	48,000
Methanex Corporation	Rights Restricted Share Units	Kostelnik, Robert	4	2020-03-05	56		7,262	3,400
Methanex Corporation	Rights Performance Share Units	LARRIVE, ALEJANDRO	7	2020-03-05	56		6,889	3,430
Methanex Corporation	Rights Share Appreciation Rights	LARRIVE, ALEJANDRO	7	2020-03-05	56		40,240	15,440
Methanex Corporation	Rights Performance Share Units	Lee, Kyung Wan (Fred)	5	2020-03-05	56		5,362	3,430

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Methanex Corporation	Rights Restricted Share Units	Lee, Kyung Wan (Fred)	5	2020-03-05	56		2,360	1,710
Methanex Corporation	Rights Share Appreciation Rights	Lee, Kyung Wan (Fred)	5	2020-03-05	56		12,821	7,720
Methanex Corporation	Common Shares	M&G Investment Management Limited	3	2020-02-28	10	27.944	2,098,542	27,019
Methanex Corporation	Common Shares	M&G Investment Management Limited	3	2020-03-02	10	28.287	2,099,064	522
Methanex Corporation	Common Shares	M&G Investment Management Limited	3	2020-03-02	10	29.278	2,110,553	11,489
Methanex Corporation	Common Shares	M&G Investment Management Limited	3	2020-03-04	10	28.959	2,130,953	20,400
Methanex Corporation	Common Shares	M&G Investment Management Limited	3	2020-02-28	10	27.944	4,794,172	47,341
Methanex Corporation	Common Shares	M&G Investment Management Limited	3	2020-03-02	10	28.287	4,795,087	915
Methanex Corporation	Common Shares	M&G Investment Management Limited	3	2020-03-02	10	29.278	4,802,329	7,242
Methanex Corporation	Common Shares	M&G Investment Management Limited	3	2020-03-04	90	28.7	4,808,329	6,000
Methanex Corporation	Common Shares	M&G Investment Management Limited	3	2020-03-04	10	28.959	4,841,929	33,600
Methanex Corporation	Common Shares	M&G Investment Management Limited	3	2020-02-28	10	27.944	5,053,145	55,025
Methanex Corporation	Common Shares	M&G Investment Management Limited	3	2020-03-02	10	28.287	5,054,208	1,063
Methanex Corporation	Common Shares	M&G Investment Management Limited	3	2020-03-02	10	29.278	5,072,122	17,914
Methanex Corporation	Common Shares	M&G Investment Management Limited	3	2020-03-04	10	28.959	5,130,122	58,000
Methanex Corporation	Common Shares	M&G Investment Management Limited	3	2020-03-04	90	28.7	671,413	-6,000
Methanex Corporation	Rights Performance Share Units	Parra, Gustavo	7	2020-03-05	56		9,879	5,560
Methanex Corporation	Rights Share Appreciation Rights	Parra, Gustavo	7	2020-03-05	56		70,160	25,020
Methanex Corporation	Rights Performance Share Units	Price, Kevin	5	2020-03-05	56		7,537	3,430
Methanex Corporation	Rights Share Appreciation Rights	Price, Kevin	5	2020-03-05	56		48,880	15,440
Methanex Corporation	Rights Performance Share Units	RICHARDSON, DEAN	7	2020-03-05	56		7,429	3,430
Methanex Corporation	Rights Share Appreciation Rights	RICHARDSON, DEAN	7	2020-03-05	56		55,005	15,440
Methanex Corporation	Rights Deferred Share Units	Rodgers, Kevin	4	2019-07-26	00			
Methanex Corporation	Rights Deferred Share Units	Rodgers, Kevin	4	2020-03-05	56		3,400	3,400
Methanex Corporation	Rights Performance Share Units	Shindy, Mohamed	7	2020-03-05	56		7,965	3,430
Methanex Corporation	Rights Restricted Share Units	Shindy, Mohamed	7	2020-03-05	56		2,400	1,710
Methanex Corporation	Rights Share Appreciation Rights	Shindy, Mohamed	7	2020-03-05	56		37,124	7,720
Methanex Corporation	Rights Performance Share Units	Sumner, Rich	7, 5	2020-03-05	56		10,556	5,560
Methanex Corporation	Rights Share Appreciation Rights	Sumner, Rich	7, 5	2020-03-05	56		63,230	25,020
Methanex Corporation	Rights Restricted Share Units	WALKER, MARGARET REESE	4	2020-03-05	56		7,262	3,400
Methanex Corporation	Rights Deferred Share Units	Warmbold, Benita Marie	4	2020-03-05	56		11,057	3,400
Methanex Corporation	Rights Performance Share Units	Wiggins, Wade	7, 5	2020-03-05	56		8,837	4,730
Methanex Corporation	Rights Share Appreciation Rights	Wiggins, Wade	7, 5	2009-07-01	00		21,290	
Metro inc.	Common Shares	Metro inc.	1	2020-02-03	38	54.184	50,000	50,000

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Metro inc.	Common Shares	Metro inc.	1	2020-02-03	38	51.184	0	-50,000
Metro inc.	Common Shares	Metro inc.	1	2020-02-05	38	53.963	50,000	50,000
Metro inc.	Common Shares	Metro inc.	1	2020-02-05	38	53.963	0	-50,000
Metro inc.	Common Shares	Metro inc.	1	2020-02-13	38	55.678	50,000	50,000
Metro inc.	Common Shares	Metro inc.	1	2020-02-13	38	55.678	0	-50,000
Metro inc.	Common Shares	Metro inc.	1	2020-02-19	38	55.862	50,000	50,000
Metro inc.	Common Shares	Metro inc.	1	2020-02-19	38	55.862	0	-50,000
Metro inc.	Common Shares	Metro inc.	1	2020-02-20	38	55.202	50,000	50,000
Metro inc.	Common Shares	Metro inc.	1	2020-02-20	38	55.202	0	-50,000
Metro inc.	Common Shares	Metro inc.	1	2020-02-21	38	55.217	50,000	50,000
Metro inc.	Common Shares	Metro inc.	1	2020-02-21	38	55.217	0	-50,000
Metro inc.	Common Shares	Metro inc.	1	2020-02-24	38	55.207	100,000	100,000
Metro inc.	Common Shares	Metro inc.	1	2020-02-24	38	55.207	0	-100,000
Metro inc.	Common Shares	Metro inc.	1	2020-02-25	38	54.794	75,000	75,000
Metro inc.	Common Shares	Metro inc.	1	2020-02-25	38	54.794	0	-75,000
Metro inc.	Common Shares	Metro inc.	1	2020-02-26	38	54.257	75,000	75,000
Metro inc.	Common Shares	Metro inc.	1	2020-02-26	38	54.257	0	-75,000
Metro inc.	Common Shares	Metro inc.	1	2020-02-27	38	53.458	65,200	65,200
Metro inc.	Common Shares	Metro inc.	1	2020-02-27	38	53.458	0	-65,200
Metro inc.	Common Shares	Metro inc.	1	2020-02-28	38	51.795	125,000	125,000
Metro inc.	Common Shares	Metro inc.	1	2020-02-28	38	51.795	0	-125,000
Middlefield Can-Global REIT Income Fund	Trust Units	Middlefield Can-Global REIT Income Fund	1	2020-02-28	38	12.197	5,284,341	1,900
Middlefield Global Real Asset Fund	Trust Units	Middlefield Global Real Asset Fund	1	2020-02-27	38	9.2633	181,000	2,700
Middlefield Global Real Asset Fund	Trust Units	Middlefield Global Real Asset Fund	1	2020-02-28	38	9.2802	187,200	6,200
Middlefield Global Real Asset Fund	Trust Units	Middlefield Global Real Asset Fund	1	2020-03-02	38	9.4357	191,400	4,200
Middlefield Global Real Asset Fund	Trust Units	Middlefield Global Real Asset Fund	1	2020-03-03	38	9.3888	202,700	11,300
Middlefield Global Real Asset Fund	Trust Units	Middlefield Global Real Asset Fund	1	2020-03-04	38	9.4822	208,200	5,500
Middlefield Global Real Asset Fund	Trust Units	Middlefield Global Real Asset Fund	1	2020-03-05	38	9.3835	217,300	9,100
Middlefield Global Real Asset Fund	Trust Units	Orrico, Dean	6	2019-11-26	00			
Middlefield Global Real Asset Fund	Trust Units	Orrico, Dean	6	2020-02-27	10	9.4	400	400
Middlefield Global Real Asset Fund	Trust Units	Orrico, Dean	6	2020-02-28	10	9.35	2,600	2,200
Middlefield Global Real Asset Fund	Trust Units	Orrico, Dean	6	2020-03-04	10	9.5167	2,900	300
Middlefield Global Real Asset Fund	Trust Units	Orrico, Dean	6	2020-03-05	10	9.3467	4,400	1,500
Millrock Resources Inc.	Common Shares	Beischer, Gregory Allan	5	2020-03-04	10	0.131		5,000
Millrock Resources Inc.	Common Shares	Beischer, Gregory Allan	5	2020-03-04	10	0.1283	962,206	5,000
Millrock Resources Inc.	Common Shares	Beischer, Gregory Allan	5	2020-03-04	10	0.597		10,000
Millrock Resources Inc.	Common Shares	Beischer, Gregory Allan	5	2020-03-04	10	0.1296	972,206	10,000
Millrock Resources Inc.	Common Shares	Beischer, Gregory Allan	5	2020-03-05	10	0.135	988,206	16,000
Millrock Resources Inc.	Common Shares	Beischer, Gregory Allan	5	2020-03-06	10	0.1252		8,000
Millrock Resources Inc.	Common Shares	Beischer, Gregory Allan	5	2020-03-06	10	0.1252	993,206	5,000
Millrock Resources Inc.	Options	Beischer, Gregory Allan	5	2020-03-03	50	0.135	1,750,000	400,000
Millrock Resources Inc.	Options	Butler, Roland Wayne	4	2020-02-28	50	0.135	625,000	200,000
Millrock Resources Inc.	Options	Chilibeck, Peter James	4	2020-02-28	50		580,000	200,000
Millrock Resources Inc.	Options	Cooper, Lawrence	4	2020-02-28	50	0.135	962,875	200,000
Millrock Resources Inc.	Options	Davies, Janice	5	2020-03-03	50	0.135	240,000	50,000
Millrock Resources Inc.	Options	Granberry, Keith	5	2020-03-02	50	0.135	750,000	100,000
Millrock Resources Inc.	Options	St. George, Philip	5	2020-02-28	50	0.135	1,135,000	150,000
Millrock Resources Inc.	Options	St. George, Philip	5	2020-02-28	50	0.135	1,285,000	150,000
Mimi's Rock Corp.	Common Shares	Mimi's Rock Corp.	1	2020-02-29	38	0.36		1,500

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Mimi's Rock Corp.	Common Shares	Mimi's Rock Corp.	1	2020-02-29	38	0.36		1,500
Mimi's Rock Corp.	Common Shares	Mimi's Rock Corp.	1	2020-02-29	38	0.36		-15,000
Mimi's Rock Corp.	Common Shares	Mimi's Rock Corp.	1	2020-02-29	38	0.36		-15,000
Mimi's Rock Corp.	Common Shares	Mimi's Rock Corp.	1	2020-02-29	38	0.36	15,000	15,000
Mimi's Rock Corp.	Common Shares	Mimi's Rock Corp.	1	2020-02-29	38	0.36	0	-15,000
Minera Alamos Inc.	Common Shares	Ramshaw, Douglas William	4, 5	2020-03-05	10	0.275	4,194,700	20,000
MINT Income Fund	Trust Units	MINT Income Fund	1	2020-02-27	38	5.91	71,622,551	4,400
MINT Income Fund	Trust Units	MINT Income Fund	1	2020-02-28	38	5.8594	71,625,651	3,100
MINT Income Fund	Trust Units	MINT Income Fund	1	2020-03-02	38	6.005	71,626,051	400
MINT Income Fund	Trust Units	MINT Income Fund	1	2020-03-03	38	5.9476	71,628,151	2,100
MINT Income Fund	Trust Units	MINT Income Fund	1	2020-03-04	38	5.9643	71,628,851	700
MINT Income Fund	Trust Units	MINT Income Fund	1	2020-03-05	38	5.8774	71,631,951	3,100
Minto Apartment Real Estate Investment Trust	Deferred Units	Baron, Paul	5	2020-03-01	56		15,330	4,000
Minto Apartment Real Estate Investment Trust	Deferred Units	MacMullin, Roderick Glen	5	2020-03-01	56		20,000	8,000
Minto Apartment Real Estate Investment Trust	Deferred Units	Mullen, Benjamin James	5	2020-03-01	56		10,000	4,000
Minto Apartment Real Estate Investment Trust	Deferred Units	Tovey, Martin	5	2020-03-01	56		15,330	4,000
Minto Apartment Real Estate Investment Trust	Deferred Units	Van Noten, George Edward	5	2020-03-01	56		23,553	8,000
Minto Apartment Real Estate Investment Trust	Deferred Units	Waters, Michael Ramage	4, 5	2020-03-01	56		29,215	15,000
Mistango River Resources Inc.	Common Shares	2176423 Ontario Ltd.	3	2020-02-28	00			
Mistango River Resources Inc.	Common Shares	2176423 Ontario Ltd.	3	2020-02-28	16	0.065	15,384,616	15,384,616
Mistango River Resources Inc.	Warrants	2176423 Ontario Ltd.	3	2020-02-28	00			
Mistango River Resources Inc.	Warrants	2176423 Ontario Ltd.	3	2020-02-28	16	0.08	15,384,616	15,384,616
Mistango River Resources Inc.	Common Shares	Sprott, Eric S.	3	2020-02-28	00			
Mistango River Resources Inc.	Common Shares	Sprott, Eric S.	3	2020-02-28	16	0.065	15,384,616	15,384,616
Mistango River Resources Inc.	Warrants	Sprott, Eric S.	3	2020-02-28	00			
Mistango River Resources Inc.	Warrants	Sprott, Eric S.	3	2020-02-28	16	0.08	15,384,616	15,384,616
Mongolia Growth Group Ltd.	Common Shares	Mongolia Growth Group Ltd.	1	2020-02-05	38	0.2194	178,500	34,000
Mongolia Growth Group Ltd.	Common Shares	Mongolia Growth Group Ltd.	1	2020-02-07	38	0.215	198,000	19,500
Mongolia Growth Group Ltd.	Common Shares	Mongolia Growth Group Ltd.	1	2020-02-14	38		0	-198,000
Mongolia Growth Group Ltd.	Common Shares	Mongolia Growth Group Ltd.	1	2020-02-24	38	0.2188	123,500	123,500
Mongolia Growth Group Ltd.	Common Shares	Mongolia Growth Group Ltd.	1	2020-02-26	38	0.215	143,500	20,000
Mongolia Growth Group Ltd.	Common Shares	Mongolia Growth Group Ltd.	1	2020-02-27	38	0.215	163,500	20,000
Mongolia Growth Group Ltd.	Common Shares	Mongolia Growth Group Ltd.	1	2020-02-28	38	0.2	171,000	7,500
Mota Ventures Corp.	Common Shares	Hoggan, Ryan	5	2020-02-22	00			
Mota Ventures Corp.	Options MIO	Hoggan, Ryan	5	2020-02-22	00			
MTY Food Group Inc.	Common Shares	Brading, Jason	5	2020-03-03	30	56.02	679	49
Mullen Group Ltd.	Common Shares	Maloney, Richard James	5	2020-03-04	10	7.75	22,160	3,100
Mullen Group Ltd.	Common Shares	McGinley, Christine Ellen	4	2020-03-02	10	7.83	3,090	300
Nabis Holdings Inc. (formerly, Innovative Properties Inc.)	Common Shares	Krytiuk, Mark	5	2020-03-02	10	0.045	2,811,335	26,000
Nabis Holdings Inc. (formerly, Innovative Properties Inc.)	Common Shares	Krytiuk, Mark	5	2020-03-02	10	0.05	2,825,335	14,000

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Nanotech Security Corp.	Common Shares	Bullock, Gordon Troy	4, 5	2020-03-06	10		656,625	55,000
Nanotech Security Corp.	Common Shares	Bullock, Gordon Troy	4, 5	2020-03-06	10	0.265	661,625	5,000
National Bank of Canada	Common Shares	Curadeau-Grou, Patricia	4	2020-03-02	99		0	-4,200
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-03	38		2,500	2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-03	38		0	-2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-04	38		2,500	2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-04	38		0	-2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-05	38		2,500	2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-05	38		0	-2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-06	38		2,500	2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-06	38		0	-2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-07	38		2,500	2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-07	38		0	-2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-10	38		2,500	2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-10	38		0	-2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-11	38		2,500	2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-11	38		0	-2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-12	38		2,500	2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-12	38		0	-2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-13	38		2,500	2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-13	38		0	-2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-14	38		2,500	2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-14	38		0	-2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-18	38		2,500	2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-18	38		0	-2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-19	38		2,500	2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-19	38		0	-2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-20	38		2,500	2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-20	38		0	-2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-21	38		2,500	2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-21	38		0	-2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-24	38		2,500	2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-24	38		0	-2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-25	38		2,500	2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-25	38		0	-2,500

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Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-26	38		2,500	2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-26	38		0	-2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-27	38		2,500	2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-27	38		0	-2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-28	38		2,500	2,500
Neo Performance Materials Inc.	Common Shares	Neo Performance Materials Inc.	1	2020-02-28	38		0	-2,500
Neptune Wellness Solutions Inc. (formerly Neptune Technologies & Bioessources Inc.)	Common Shares	Cammarata, Michael Taylor	4	2020-03-02	57	2.08	883,823	47,872
Neptune Wellness Solutions Inc. (formerly Neptune Technologies & Bioessources Inc.)	Restricted Share Units	Cammarata, Michael Taylor	4	2020-03-02	57	2.08	2,207,682	-47,872
Neptune Wellness Solutions Inc. (formerly Neptune Technologies & Bioessources Inc.)	Restricted Share Units	Cammarata, Michael Taylor	4	2020-03-02	97	2.09	2,177,776	-29,906
Nevada Zinc Corporation	Options	Durham, Robert Bruce	4, 5	2020-02-29	50			400,000
Nevada Zinc Corporation	Options	Durham, Robert Bruce	4, 5	2020-02-29	50			400,000
New Gold Inc.	Options	Adams, Renaud	4, 5	2020-03-02	50	1.2	1,203,947	843,750
New Gold Inc.	Performance Share Units	Adams, Renaud	4, 5	2020-03-02	56		1,397,721	912,162
New Gold Inc.	Restricted Share Awards	Adams, Renaud	4, 5	2018-09-12	00			
New Gold Inc.	Restricted Share Awards	Adams, Renaud	4, 5	2020-03-02	56		456,081	456,081
New Gold Inc.	Options	Chausse, Robert Joseph	5	2020-03-03	50	1.2	434,278	345,313
New Gold Inc.	Options	Chausse, Robert Joseph	5	2020-03-03	50	1.2	779,591	345,313
New Gold Inc.	Performance Share Units	Chausse, Robert Joseph	5	2020-03-03	56			373,311
New Gold Inc.	Performance Share Units	Chausse, Robert Joseph	5	2020-03-03	56		646,653	373,311
New Gold Inc.	Restricted Share Awards	Chausse, Robert Joseph	5	2018-11-05	00			
New Gold Inc.	Restricted Share Awards	Chausse, Robert Joseph	5	2020-03-03	56		186,655	186,655
New Gold Inc.	Options	Day, Anne	5	2020-03-02	50	1.2	215,751	141,354
New Gold Inc.	Performance Share Units	Day, Anne	5	2020-03-02	56		189,060	152,815
New Gold Inc.	Restricted Share Awards	Day, Anne	5	2018-09-18	00			
New Gold Inc.	Restricted Share Awards	Day, Anne	5	2020-03-02	56		76,408	76,408
New Gold Inc.	Options	Keating, Sean William	5	2020-03-02	50	1.2	202,130	95,833
New Gold Inc.	Performance Share Units	Keating, Sean William	5	2020-03-02	56		144,485	103,604
New Gold Inc.	Restricted Share Awards	Keating, Sean William	5	2019-11-05	00			
New Gold Inc.	Restricted Share Awards	Keating, Sean William	5	2020-03-02	56		51,802	51,802
New Gold Inc.	Options	Shah, Ankit	5	2020-03-02	50	1.2	240,000	106,500
New Gold Inc.	Performance Share Units	Shah, Ankit	5	2020-03-02	56		146,794	115,135
New Gold Inc.	Restricted Share Awards	Shah, Ankit	5	2019-09-07	00			
New Gold Inc.	Restricted Share Awards	Shah, Ankit	5	2020-03-02	56		57,568	57,568
New Gold Inc.	Options	Vinet, Éric	5	2020-03-02	50	1.2	276,760	184,167
New Gold Inc.	Performance Share Units	Vinet, Éric	5	2020-03-02	56		246,269	199,099
New Gold Inc.	Restricted Share Awards	Vinet, Éric	5	2019-01-07	00			
New Gold Inc.	Restricted Share Awards	Vinet, Éric	5	2020-03-02	56		99,550	99,550
New Wave Esports Corp. (formerly, Trueclaim Exploration Inc.)	Common Shares	Fisher, Clayton Keith William	4	2020-03-02	57	0.12	825,000	87,500
New Wave Esports Corp. (formerly, Trueclaim Exploration Inc.)	Rights Restricted Share Units	Fisher, Clayton Keith William	4	2020-03-02	57	0.12		-87,500
New Wave Esports Corp. (formerly, Trueclaim Exploration Inc.)	Rights Restricted Share Units	Fisher, Clayton Keith William	4	2020-03-02	57	0.12		-87,500
New Wave Esports Corp. (formerly, Trueclaim Exploration Inc.)	Rights Restricted Share Units	Fisher, Clayton Keith William	4	2020-03-02	57		175,000	-87,500

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New Wave Esports Corp. (formerly, Trueclaim Exploration Inc.)	Common Shares	Levitt, Stanley	5	2020-02-24	00			
New Wave Esports Corp. (formerly, Trueclaim Exploration Inc.)	Common Shares	Levitt, Stanley	5	2020-03-02	57	0.06	150,000	150,000
New Wave Esports Corp. (formerly, Trueclaim Exploration Inc.)	Rights Restricted Share Units	Levitt, Stanley	5	2020-03-02	57			-150,000
New Wave Esports Corp. (formerly, Trueclaim Exploration Inc.)	Rights Restricted Share Units	Levitt, Stanley	5	2020-03-02	57			-150,000
New Wave Esports Corp. (formerly, Trueclaim Exploration Inc.)	Rights Restricted Share Units	Levitt, Stanley	5	2020-03-02	57		450,000	-150,000
Newrange Gold Corp.	Options	Archer, Robert Alexander	4	2020-03-01	52	0.3	850,000	-150,000
Nicola Mining Inc.	Common Shares	Espig, Peter Edward	4	2020-03-02	10	0.09	9,051,529	20,000
Norbord Inc.	Common Shares	Burke, Kevin John	5	2020-03-02	10	26.14	11,599	1,150
Norbord Inc.	Common Shares	Houston, Paul	4	2020-03-03	10	37.02	7,111	25
Norbord Inc.	Common Shares	Houston, Paul	4	2020-03-03	10	37	5,818	27
Norbord Inc.	Common Shares	Mackie, Gregory Richard	5	2020-03-01	00		936	
Norbord Inc.	Options	Mackie, Gregory Richard	5	2020-03-01	00		16,502	
Norbord Inc.	Rights Restricted Share Units	Mackie, Gregory Richard	5	2020-03-01	00		1,453	
Norbord Inc.	Common Shares	Norbord Inc.	1	2020-02-28	38	35.694	44,000	44,000
Norbord Inc.	Common Shares	Norbord Inc.	1	2020-02-28	38	26.639	72,000	28,000
Noront Resources Ltd.	Options common shares	Baker, Mark	5	2020-02-27	50	0.145	1,607,032	500,937
Noront Resources Ltd.	Options common shares	Flewelling, Stephen Bennett	5	2020-02-27	50	0.145	5,276,464	924,800
Noront Resources Ltd.	Options common shares	Gladu, Jean Paul	4	2020-02-27	50	0.145	1,000,000	200,000
Noront Resources Ltd.	Options common shares	Liu, Bo	4	2020-02-27	50	0.145	1,000,000	200,000
Noront Resources Ltd.	Options common shares	Nolan, Glenn	5	2020-02-27	50	0.145	1,460,732	430,141
Noront Resources Ltd.	Options common shares	Parisotto, Paul Anthony	4	2020-02-27	50	0.145	1,300,000	200,000
Noront Resources Ltd.	Options common shares	Polleesel, John Joseph	4	2020-02-27	50	0.145		200,000
Noront Resources Ltd.	Options common shares	Polleesel, John Joseph	4	2020-02-27	50	0.145	1,000,000	200,000
Noront Resources Ltd.	Options common shares	Polleesel, John Joseph	4	2020-02-27	50	0.145		200,000
Noront Resources Ltd.	Options common shares	Polleesel, John Joseph	4	2020-02-27	50	0.145		200,000
Noront Resources Ltd.	Options common shares	Rieveley, Gregory Robert	5	2020-02-27	50	0.145	3,522,370	832,320
Noront Resources Ltd.	Options common shares	Weston, Ryan	5	2020-02-27	50	0.145	1,859,573	528,003
North American Construction Group Ltd.	Common Shares	Ferron, Martin Robert	4, 5	2020-02-24	10	13.25	1,904,329	5,000
North American Construction Group Ltd.	Common Shares	Ferron, Martin Robert	4, 5	2020-02-25	10	12.99	1,909,329	5,000
North American Construction Group Ltd.	Common Shares	Ferron, Martin Robert	4, 5	2020-02-28	10	12.39	1,914,329	5,000
North American Construction Group Ltd.	Common Shares	Ferron, Martin Robert	4, 5	2020-03-02	10	12.36	1,919,329	5,000
North American Construction Group Ltd.	Common Shares	Ferron, Martin Robert	4, 5	2020-03-03	10	11.96	1,924,329	5,000
North American Nickel Inc.	Options	Riopel, Charles Louis	4	2019-06-27	00		800,000	
Northern Dynasty Minerals Ltd.	Common Shares	Thiessen, Ronald William	4	2002-09-10	00			
Northern Dynasty Minerals Ltd.	Common Shares	Thiessen, Ronald William	4	2020-03-05	10	0.7986	50,000	50,000
NORTHERN LIGHTS RESOURCES CORP.	Common Shares	Bahnsen, Jason	4, 5	2020-03-02	10	0.055	1,877,000	10,000
NORTHERN LIGHTS RESOURCES CORP.	Common Shares	Bahnsen, Jason	4, 5	2020-03-03	10	0.0525	1,887,000	10,000
NORTHERN LIGHTS RESOURCES CORP.	Common Shares	Bahnsen, Jason	4, 5	2020-03-03	10	0.0525	1,897,000	10,000
NORTHERN LIGHTS RESOURCES CORP.	Common Shares	Bahnsen, Jason	4, 5	2020-03-04	10	0.045	1,902,000	5,000
Northfield Capital Corporation	Common Shares CLASS A RESTRICTED VOTING	Northfield Capital Corporation	1	2020-02-28	38	30	81,330	6,200
Northland Power Inc.	Common Shares	Halbert, Keith	4	2019-05-22	00			

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Northland Power Inc.	Common Shares	Halbert, Keith	4	2019-05-22	00		1,400	
Northland Power Inc.	Common Shares	Halbert, Keith	4	2020-03-06	10	31.59	1,700	300
Northland Power Inc.	Common Shares	Halbert, Keith	4	2020-03-06	10	31.66	2,700	1,000
Northland Power Inc.	Common Shares	Halbert, Keith	4	2020-03-06	10	31.68	4,000	1,300
Northview Apartment Real Estate Investment Trust	Long Term Incentive Plan Unit Award	Anda, Richard Barry	5	2020-03-02	56	35.84	20,286	4,370
Northview Apartment Real Estate Investment Trust	Long Term Incentive Plan Unit Award	Anda, Richard Barry	5	2020-03-05	57	35.836	16,239	-4,047
Northview Apartment Real Estate Investment Trust	Trust Units	Anda, Richard Barry	5	2020-03-05	56	35.836	39,739	8,724
Northview Apartment Real Estate Investment Trust	Long Term Incentive Plan Unit Award	Beatty, David Travis	5	2020-03-02	56	35.84	28,108	6,680
Northview Apartment Real Estate Investment Trust	Long Term Incentive Plan Unit Award	Beatty, David Travis	5	2020-03-05	57	22.67	20,088	-8,020
Northview Apartment Real Estate Investment Trust	Long Term Incentive Plan Unit Award	Bomhof, Karl	5	2020-03-02	56	35.84	8,632	4,722
Northview Apartment Real Estate Investment Trust	Long Term Incentive Plan Unit Award	Bomhof, Karl	5	2020-03-05	57	28.133	8,111	-521
Northview Apartment Real Estate Investment Trust	Long Term Incentive Plan Unit Award	Cook, Todd	5	2020-03-02	56	35.84	73,763	16,157
Northview Apartment Real Estate Investment Trust	Long Term Incentive Plan Unit Award	Cook, Todd	5	2020-03-05	57	35.836	59,826	-13,937
Northview Apartment Real Estate Investment Trust	Trust Units	Cook, Todd	5	2020-03-05	56	35.836	104,676	20,686
Northview Apartment Real Estate Investment Trust	Deferred Unit Award Plan	Drimmer, Daniel	3, 4	2019-12-31	30	29.857	12,262	614
Northview Apartment Real Estate Investment Trust	Long Term Incentive Plan Unit Award	Freda, Linay	5	2020-03-02	56	35.84	16,580	3,516
Northview Apartment Real Estate Investment Trust	Long Term Incentive Plan Unit Award	Freda, Linay	5	2020-03-05	57	35.836	13,319	-3,261
Northview Apartment Real Estate Investment Trust	Trust Units	Freda, Linay	5	2020-03-05	56	35.836	14,642	3,763
Northview Apartment Real Estate Investment Trust	Long Term Incentive Plan Unit Award	Keresztes, Adriana	4	2020-03-02	56	35.84	15,737	3,432
Northview Apartment Real Estate Investment Trust	Long Term Incentive Plan Unit Award	Keresztes, Adriana	4	2020-03-05	57	22.51	10,735	-5,002
Northview Apartment Real Estate Investment Trust	Long Term Incentive Plan Unit Award	Nash, Melvin	5	2020-03-02	56	35.84	16,249	3,516
Northview Apartment Real Estate Investment Trust	Long Term Incentive Plan Unit Award	Nash, Melvin	5	2020-03-05	57	35.836	13,071	-3,178
Northview Apartment Real Estate Investment Trust	Trust Units	Nash, Melvin	5	2020-03-05	56	35.836	7,646	1,202
Northview Apartment Real Estate Investment Trust	Long Term Incentive Plan Unit Award	Rasmussen, Bo Anker	5	2020-03-02	57	35.84	21,205	4,621
Northview Apartment Real Estate Investment Trust	Long Term Incentive Plan Unit Award	Rasmussen, Bo Anker	5	2020-03-05	57	22.51	14,452	-6,753
Northview Apartment Real Estate Investment Trust	Long Term Incentive Plan Unit Award	Veiner, Leslie	5	2020-03-02	56	35.84	51,047	11,162
Northview Apartment Real Estate Investment Trust	Long Term Incentive Plan Unit Award	Veiner, Leslie	5	2020-03-05	57	22.54	35,172	-15,875
Northview Apartment Real Estate Investment Trust	Long Term Incentive Plan Unit Award	Wheeler, Lizaine Sheila	5	2020-03-02	56	35.84	20,529	4,420
Northview Apartment Real Estate Investment Trust	Long Term Incentive Plan Unit Award	Wheeler, Lizaine Sheila	5	2020-03-05	57	35.836	16,432	-4,097
Northview Apartment Real Estate Investment Trust	Trust Units	Wheeler, Lizaine Sheila	5	2020-03-05	56	35.836	15,628	5,952
Northway Resources Corp.	Common Shares	Ruggieri, Alexander	6	2020-03-02	10	0.07	740,000	90,000
Northway Resources Corp.	Common Shares	Ruggieri, Alexander	6	2020-03-02	10	0.07	750,000	10,000
Norvista Capital Corporation	Common Shares	Norvista Capital Corporation	1	2019-02-22	38	0.11	374,000	65,000
Norvista Capital Corporation	Common Shares	Norvista Capital Corporation	1	2020-02-07	38			314,000
Norvista Capital Corporation	Common Shares	Norvista Capital Corporation	1	2020-02-07	38			314,000
Norvista Capital Corporation	Common Shares	Norvista Capital Corporation	1	2020-02-07	38		309,000	-314,000

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NOVAGOLD RESOURCES INC.	Rights Deferred Share Unit	Dowdall, Sharon Elizabeth	4	2020-03-02	56		33,734	609
NOVAGOLD RESOURCES INC.	Rights Deferred Share Unit	Garrett, Diane Renee	4	2020-03-02	56	11.711	5,503	609
NOVAGOLD RESOURCES INC.	Rights Deferred Share Unit	Kaplan, Thomas	4	2020-03-02	56		69,857	1,218
NOVAGOLD RESOURCES INC.	Common Shares	Lang, Gregory Anthony	5	2020-02-28	51	5.02	125,316	125,000
NOVAGOLD RESOURCES INC.	Common Shares	Lang, Gregory Anthony	5	2020-02-28	38	10.51	65,585	-59,731
NOVAGOLD RESOURCES INC.	Common Shares	Lang, Gregory Anthony	5	2020-02-28	10	10.31	3,073	-62,512
NOVAGOLD RESOURCES INC.	Common Shares	Lang, Gregory Anthony	5	2020-02-28	90		316	-2,757
NOVAGOLD RESOURCES INC.	Common Shares	Lang, Gregory Anthony	5	2020-02-28	90		1,829,480	2,757
NOVAGOLD RESOURCES INC.	Options	Lang, Gregory Anthony	5	2020-02-28	51	5.02	4,803,000	-125,000
NOVAGOLD RESOURCES INC.	Rights Deferred Share Unit	Levental, Igor	4	2020-03-02	56		46,855	609
NOVAGOLD RESOURCES INC.	Rights Deferred Share Unit	Madhavpeddi, Kalidas	4	2020-03-02	56		38,583	609
NOVAGOLD RESOURCES INC.	Rights Deferred Share Unit	Nauman, Clynton R.	4	2020-03-02	56		38,581	609
NOVAGOLD RESOURCES INC.	Rights Deferred Share Unit	Schutt, Ethan	4	2020-03-02	56		2,024	609
NOVAGOLD RESOURCES INC.	Rights Deferred Share Unit	Walsh, Anthony P.	4	2020-03-02	56		33,733	609
Novo Resources Corp.	Common Shares	Sprott, Eric S.	3, 4	2020-02-27	10	2.6749	5,601,976	-43,400
Nutrien Ltd.	Common Shares	Bradley, Noralee	5	2020-03-02	00			
Nutrien Ltd.	Options	Bradley, Noralee	5	2020-03-02	00			
Nutrien Ltd.	Performance Share Units	Bradley, Noralee	5	2020-03-02	00			
Nutrien Ltd.	Performance Share Units	Bradley, Noralee	5	2020-03-02	56		29,931	29,931
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-03	38	42.77	400,000	80,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-03	38	56.79	480,000	80,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-04	38	42.95	560,000	80,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-04	38	57.04	640,000	80,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-05	38		160,000	-160,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-05	38	43.92	200,180	40,180
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-05	38	58.36	243,480	43,300
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-06	38		83,480	-160,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-06	38	44.31	92,980	9,500
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-06	38	58.92	102,480	9,500
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-07	38		19,000	-83,480
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-07	38	43.5	68,500	49,500
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-07	38	57.92	118,000	49,500
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-10	38		99,000	-19,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-10	38	43.08	179,000	80,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-10	38	57.44	240,000	61,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-11	38		141,000	-99,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-11	38	43.46	190,500	49,500
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-11	38	57.75	240,000	49,500
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-12	38		99,000	-141,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-12	38	43.51	148,500	49,500
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-12	38	57.69	198,000	49,500
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-13	38		99,000	-99,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-13	38	42.97	179,000	80,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-13	38	56.97	259,000	80,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-14	38		160,000	-99,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-14	38	41.8	254,000	94,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-14	38	55.43	348,000	94,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-18	38		188,000	-160,000

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Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-18	38	41.3	283,100	95,100
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-18	38	54.75	377,100	94,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-19	38		189,100	-188,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-19	38	42.25	283,100	94,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-19	38	55.99	364,200	81,100
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-20	38		175,100	-189,100
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-20	38	42.75	255,100	80,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-20	38	56.63	335,100	80,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-21	38		160,000	-175,100
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-21	38	42.96	240,000	80,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-21	38	56.8	320,000	80,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-24	38	41.75	413,700	93,700
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-24	38	55.39	507,700	94,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-25	38		187,700	-320,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-25	38	41.5	287,700	100,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-25	38	55.14	387,700	100,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-26	38		200,000	-187,700
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-26	38	40.87	300,000	100,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-26	38	54.44	400,000	100,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-27	38		200,000	-200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-27	38	40.08	300,000	100,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-27	38	53.6	400,000	100,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-28	38		200,000	-200,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-28	38	39.45	300,000	100,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-02-28	38	52.98	400,000	100,000
Nutrien Ltd.	Common Shares	Nutrien Ltd	1	2020-03-03	38		0	-400,000
Nutrien Ltd.	Common Shares	Thompson, Mark	5	2020-03-06	10	52.266	5,520	900
Nutritional High International Inc.	Options	Durfy, John	5	2020-03-05	00		3,000,000	
Nutritional High International Inc.	Restricted Share Units	Durfy, John	5	2020-03-05	00		5,000,000	
Nutritional High International Inc.	Restricted Share Units	Wilson, Robert	5	2019-12-19	00		2,000,000	
Nuvo Pharmaceuticals Inc. (formerly, Nuvo Research Inc.)	Common Shares	Berardelli, Luigi	5	2020-02-24	00			
Nuvo Pharmaceuticals Inc. (formerly, Nuvo Research Inc.)	Common Shares	Chiasson, Bernard	5	2020-02-24	00		1,150	
Nuvo Pharmaceuticals Inc. (formerly, Nuvo Research Inc.)	Common Shares	Dobranowski, Anthony Edward	4	2020-03-05	10	0.84	42,181	2,915
Nuvo Pharmaceuticals Inc. (formerly, Nuvo Research Inc.)	Common Shares	Harris, Robert Paul	4	2017-05-11	00			
Nuvo Pharmaceuticals Inc. (formerly, Nuvo Research Inc.)	Common Shares	Harris, Robert Paul	4	2020-02-27	10	0.89	2,000	2,000
Nuvo Pharmaceuticals Inc. (formerly, Nuvo Research Inc.)	Common Shares	Harris, Robert Paul	4	2020-02-28	10	0.94	4,000	2,000
Nuvo Pharmaceuticals Inc. (formerly, Nuvo Research Inc.)	Common Shares	Harris, Robert Paul	4	2020-02-28	10	0.88	8,000	4,000
Nuvo Pharmaceuticals Inc. (formerly, Nuvo Research Inc.)	Common Shares	Harris, Robert Paul	4	2020-02-28	10	0.87	12,000	4,000
Nuvo Pharmaceuticals Inc. (formerly, Nuvo Research Inc.)	Common Shares	Harris, Robert Paul	4	2020-03-02	10	0.9	20,000	8,000
Nuvo Pharmaceuticals Inc. (formerly, Nuvo Research Inc.)	Common Shares	Harris, Robert Paul	4	2020-03-04	10	0.97	24,000	4,000

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Nuvo Pharmaceuticals Inc. (formerly, Nuvo Research Inc.)	Common Shares	Harris, Robert Paul	4	2020-03-05	10	0.8	26,000	2,000
Nuvo Pharmaceuticals Inc. (formerly, Nuvo Research Inc.)	Common Shares	Harris, Robert Paul	4	2020-03-05	10	0.81	30,500	4,500
Nuvo Pharmaceuticals Inc. (formerly, Nuvo Research Inc.)	Common Shares	Harris, Robert Paul	4	2020-03-05	10	0.83	33,000	2,500
Nuvo Pharmaceuticals Inc. (formerly, Nuvo Research Inc.)	Common Shares	Harris, Robert Paul	4	2020-03-05	10	0.84	34,000	1,000
Nuvo Pharmaceuticals Inc. (formerly, Nuvo Research Inc.)	Common Shares	Ledger, Jesse Francis	5	2020-03-04	10	0.966	17,386	2,500
O3 Mining Inc.	Common Shares	Burzynski, John Feliks	4, 6	2020-03-06	10	2	32,000	2,000
OceanaGold Corporation	Common Shares	RABY, GEOFFREY WILLIAM	4	2020-03-06	51	33333		33,333
OceanaGold Corporation	Common Shares	RABY, GEOFFREY WILLIAM	4	2020-03-06	51		57,349	33,333
OceanaGold Corporation	Options	RABY, GEOFFREY WILLIAM	4	2020-03-05	51		0	-33,333
OceanaGold Corporation	Common Shares	Whipperman, Cody	5	2020-03-02	10	1.4999	90,000	10,000
OceanaGold Corporation	Common Shares	Whipperman, Cody	5	2020-03-04	10	2.22	100,000	10,000
OceanaGold Corporation	Common Shares	Whipperman, Cody	5	2020-03-04	10	1.63	110,000	10,000
ONEX CORPORATION	Subordinate Voting Shares	Kovensky, Stuart Richard	7	2020-03-02	10	56.3	7,000	2,000
Orefinders Resources Inc.	Common Shares	2176423 Ontario Ltd.	3	2020-02-28	00			
Orefinders Resources Inc.	Common Shares	2176423 Ontario Ltd.	3	2020-02-28	16	0.05	12,857,160	12,857,160
Orefinders Resources Inc.	Warrants	2176423 Ontario Ltd.	3	2020-02-28	00			
Orefinders Resources Inc.	Warrants	2176423 Ontario Ltd.	3	2020-02-28	16	0.08	6,428,580	6,428,580
Orefinders Resources Inc.	Common Shares	Sprott, Eric S.	3	2020-02-28	00			
Orefinders Resources Inc.	Common Shares	Sprott, Eric S.	3	2020-02-28	16	0.05	12,857,160	12,857,160
Orefinders Resources Inc.	Warrants	Sprott, Eric S.	3	2020-02-28	00			
Orefinders Resources Inc.	Warrants	Sprott, Eric S.	3	2020-02-28	16	0.08	6,428,580	6,428,580
Organigram Holdings Inc.	Common Shares	Machum, Donald Geoffrey	4	2020-02-25	00			
Organigram Holdings Inc.	Common Shares	Machum, Donald Geoffrey	4	2020-03-06	10	2.71	4,500	4,500
Organto Foods Inc.	Warrants	Bromley, Steven	4	2020-03-02	53		169,231	169,231
Organto Foods Inc.	Warrants	Langner, Ralf	5	2020-03-02	53		256,410	256,410
Organto Foods Inc.	Warrants	Schreier, Claudio	4	2020-03-02	53	0.065	162,398	76,923
Oroco Resource Corp.	Common Shares	Dalziel, Craig James	4, 5	2020-03-02	10	0.26	14,420,000	8,302,000
Oroco Resource Corp.	Common Shares	Rose, David William	5	2020-03-02	11		9,391,750	8,302,000
Orvana Minerals Corp.	Common Shares	Gavidia, Juan Hernando	5	2016-08-04	00			
Orvana Minerals Corp.	Common Shares	Gavidia, Juan Hernando	5	2020-02-28	10	0.16	10,000	10,000
Orvana Minerals Corp.	Common Shares	Gavidia, Juan Hernando	5	2020-02-28	10	0.1584	29,500	19,500
Orvana Minerals Corp.	Common Shares	Gavidia, Juan Hernando	5	2020-02-28	10	0.154	30,000	500
Orvana Minerals Corp.	Common Shares	Gavidia, Juan Hernando	5	2020-02-28	10	0.18	39,000	9,000
Orvana Minerals Corp.	Common Shares	Gavidia, Juan Hernando	5	2020-02-28	10	0.1739	58,000	19,000
Orvana Minerals Corp.	Common Shares	Gavidia, Juan Hernando	5	2020-02-28	10	0.14	87,500	29,500
Orvana Minerals Corp.	Common Shares	Gavidia, Juan Hernando	5	2020-02-28	10	0.1399	128,000	40,500
Oryx Petroleum Corporation Limited	Common Shares	Karim, Baz Raouf	3, 6	2019-09-16	11	0.2123		23,901,430
Oryx Petroleum Corporation Limited	Common Shares	Karim, Baz Raouf	3, 6	2019-09-16	11	0.2123	119,625,033	6,711,444
Oryx Petroleum Corporation Limited	Common Shares	Zeg Oil and Gas Ltd.	3	2019-09-16	11	0.2123		23,901,430
Oryx Petroleum Corporation Limited	Common Shares	Zeg Oil and Gas Ltd.	3	2019-09-16	11	0.2123	119,625,033	6,711,444
Osino Resources Corp. (formerly Romulus Resources Ltd.)	Options	Andrew, Jonathan Mark	7	2020-02-27	50	0.8	426,151	150,000
Osino Resources Corp. (formerly Romulus Resources Ltd.)	Options	beukman, eugene	4	2020-02-27	50	0.8	384,101	100,000

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Osino Resources Corp. (formerly Romulus Resources Ltd.)	Options	da Silva, Tony	5	2020-02-27	50	0.8	334,101	100,000
Osino Resources Corp. (formerly Romulus Resources Ltd.)	Options	Daun, Heye Edmund	4, 5	2020-02-27	50	0.8	1,328,454	300,000
Osino Resources Corp. (formerly Romulus Resources Ltd.)	Options	Friedman, Alan Mark	4	2020-02-27	50	0.8	1,028,454	200,000
Osino Resources Corp. (formerly Romulus Resources Ltd.)	Options	Hodgson, David Lancaster	4	2020-02-27	50	0.8	359,101	100,000
Osino Resources Corp. (formerly Romulus Resources Ltd.)	Options	Ratzlaff, Leanne	5	2020-02-27	50	0.8	80,000	50,000
Osino Resources Corp. (formerly Romulus Resources Ltd.)	Options	Shigwedha, Lazarus Openituuka	4	2020-02-27	50	0.8	359,101	100,000
Osino Resources Corp. (formerly Romulus Resources Ltd.)	Options	Underwood, David Tilford	5	2020-02-27	50	0.8	501,151	150,000
OSISKO GOLD ROYALTIES LTD	Common Shares	Brunet, Benoit	5	2020-02-20	00			
OSISKO GOLD ROYALTIES LTD	Common Shares	Brunet, Benoit	5	2020-03-04	10	11.59	800	800
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	John, William Murray	4	2020-02-19	00			
OSISKO GOLD ROYALTIES LTD	Rights Deferred Share Units/Unités d'actions différées	John, William Murray	4	2020-03-06	56	11.95	16,740	16,740
Osisko Mining Inc.	Common Shares	Calderon, Bernardo Alvarez	4	2020-02-28	10	2.9	65,233	50,000
Osisko Mining Inc.	Common Shares	Mance, Lili	5	2020-02-28	10	2.9	59,700	20,000
Osisko Mining Inc.	Common Shares	Mance, Lili	5	2020-03-03	10	3.37	40,400	-19,300
Otis Gold Corp.	Options	Ranta, Donald Eli	4	2015-07-16	11	0.05	945,000	400,000
Otis Gold Corp.	Options	Ranta, Donald Eli	4	2018-01-22	11	0.23	1,045,000	125,000
Ovintiv Inc.	Restricted Share Units	Alexander, Joanne Linette	5	2020-02-27	38	17.11	10,808	-3,660
Ovintiv Inc.	Restricted Share Units	Alexander, Joanne Linette	5	2020-02-28	56	17.11	42,953	32,145
Ovintiv Inc.	Rights Performance Share Unit Plan	Alexander, Joanne Linette	5	2020-02-27	38	17.11	21,617	-7,319
Ovintiv Inc.	Rights Performance Share Unit Plan	Alexander, Joanne Linette	5	2020-02-28	56	17.11	53,762	32,145
Ovintiv Inc.	Restricted Share Units	Campbell, Stephen Carter	5	2020-02-28	56	12.68	46,305	28,096
Ovintiv Inc.	Rights Performance Share Unit Plan	Campbell, Stephen Carter	5	2020-02-28	56	12.68	50,343	28,096
Ovintiv Inc.	Restricted Share Units	Code, Corey Douglas	5	2020-02-27	38	17.11	5,743	-2,545
Ovintiv Inc.	Restricted Share Units	Code, Corey Douglas	5	2020-02-28	56	17.11	49,578	43,835
Ovintiv Inc.	Rights Performance Share Unit Plan	Code, Corey Douglas	5	2020-02-27	38	17.11	22,672	-5,090
Ovintiv Inc.	Rights Performance Share Unit Plan	Code, Corey Douglas	5	2020-02-28	56	17.11	66,507	43,835
Ovintiv Inc.	Director Restricted Share Units	Dea, Peter Allen	4	2020-02-28	30	12.68		13,900
Ovintiv Inc.	Director Restricted Share Units	Dea, Peter Allen	4	2020-02-28	56	12.68	22,206	13,900
Ovintiv Inc.	Common Shares	Fowler, Fred John	4	2020-03-05	10	9.6	20,000	10,000
Ovintiv Inc.	Director Restricted Share Units	Fowler, Fred John	4	2020-02-28	56	12.68	21,261	13,309
Ovintiv Inc.	Restricted Share Units	Givens, Gregory Dean	5	2020-02-28	56	17.11	72,999	59,149
Ovintiv Inc.	Rights Performance Share Unit Plan	Givens, Gregory Dean	5	2020-02-28	56	17.11	84,931	59,149
Ovintiv Inc.	Common Shares	Hill, David Glen	5	2020-03-05	10	9.5	9,000	1,000
Ovintiv Inc.	Restricted Share Units	Hill, David Glen	5	2020-02-28	38	12.68	19,266	-6,552
Ovintiv Inc.	Restricted Share Units	Hill, David Glen	5	2020-02-28	56	12.68	78,415	59,149

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Ovintiv Inc.	Rights Performance Share Unit Plan	Hill, David Glen	5	2020-02-28	38	12.68	38,532	-13,104
Ovintiv Inc.	Rights Performance Share Unit Plan	Hill, David Glen	5	2020-02-28	56	12.68	97,681	59,149
Ovintiv Inc.	Restricted Share Units	Kimmitt, Russell Paul	7	2020-02-28	38	12.68	5,148	-1,867
Ovintiv Inc.	Restricted Share Units	Kimmitt, Russell Paul	7	2020-02-28	56	12.68	21,611	16,463
Ovintiv Inc.	Rights Performance Share Unit Plan	Kimmitt, Russell Paul	7	2020-02-28	38	12.68	10,295	-3,734
Ovintiv Inc.	Rights Performance Share Unit Plan	Kimmitt, Russell Paul	7	2020-02-28	56	12.68	26,758	16,463
Ovintiv Inc.	Director Restricted Share Units	Mayson, Howard John	4	2020-02-28	56	12.68	22,206	13,900
Ovintiv Inc.	Restricted Share Units	McAllister, Michael	5	2020-02-28	38	17.11	29,478	-9,980
Ovintiv Inc.	Restricted Share Units	McAllister, Michael	5	2020-02-28	56	17.11	131,758	102,280
Ovintiv Inc.	Rights Performance Share Unit Plan	McAllister, Michael	5	2020-02-28	38	17.11	68,033	-19,960
Ovintiv Inc.	Rights Performance Share Unit Plan	McAllister, Michael	5	2020-02-28	56	17.11	170,313	102,280
Ovintiv Inc.	Common Shares	McCracken, Brendan Michael	5	2020-03-06	10	11.17	7,522	5,000
Ovintiv Inc.	Restricted Share Units	McCracken, Brendan Michael	5	2020-02-28	38	17.11	7,499	-2,943
Ovintiv Inc.	Restricted Share Units	McCracken, Brendan Michael	5	2020-02-28	56	17.11	51,334	43,835
Ovintiv Inc.	Rights Performance Share Unit Plan	McCracken, Brendan Michael	5	2020-02-28	38	17.11	19,182	-5,886
Ovintiv Inc.	Rights Performance Share Unit Plan	McCracken, Brendan Michael	5	2020-02-28	56	17.11	63,017	43,835
Ovintiv Inc.	Director Restricted Share Units	McIntire, Lee Alan	4	2020-02-28	56	12.68	21,261	13,309
Ovintiv Inc.	Director Restricted Share Units	McKenzie, Margaret Anne	4	2020-02-28	56	12.68	21,261	13,309
Ovintiv Inc.	Director Restricted Share Units	Nance, Steven Wayne	4	2020-02-28	56	12.68	18,091	13,309
Ovintiv Inc.	Director Restricted Share Units	Nimocks, Suzanne Paquin	4	2020-02-28	56	12.68	22,679	14,196
Ovintiv Inc.	Director Restricted Share Units	Ricks, Thomas Gregory	4	2020-02-28	56	12.68	18,091	13,309
Ovintiv Inc.	Restricted Share Units	Rogers, Andrew Lee	7	2020-02-27	38	12.68	9,695	-2,228
Ovintiv Inc.	Restricted Share Units	Rogers, Andrew Lee	7	2020-02-28	56	12.68	36,349	26,654
Ovintiv Inc.	Rights Performance Share Unit Plan	Rogers, Andrew Lee	7	2020-02-27	38	12.68	19,391	-4,455
Ovintiv Inc.	Rights Performance Share Unit Plan	Rogers, Andrew Lee	7	2020-02-28	56	12.68	46,045	26,654
Ovintiv Inc.	Director Restricted Share Units	Shaw, Brian Gordon	4	2020-02-28	56	12.68	21,261	13,309
Ovintiv Inc.	Common Shares	Suttles, Douglas James	4, 5	2020-03-05	10	9.5	65,335	2,000
Ovintiv Inc.	Common Shares	Suttles, Douglas James	4, 5	2020-03-05	10	9.945	67,535	2,200
Ovintiv Inc.	Common Shares	Suttles, Douglas James	4, 5	2020-03-05	10	9.91	67,835	300
Ovintiv Inc.	Common Shares	Suttles, Douglas James	4, 5	2020-03-06	10	8.55	69,335	1,500
Ovintiv Inc.	Restricted Share Units	Suttles, Douglas James	4, 5	2020-02-27	38	12.68	109,123	-37,137
Ovintiv Inc.	Restricted Share Units	Suttles, Douglas James	4, 5	2020-02-28	56	12.68	444,297	335,174
Ovintiv Inc.	Rights Performance Share Unit Plan	Suttles, Douglas James	4, 5	2020-02-27	38	12.68	218,247	-74,273
Ovintiv Inc.	Rights Performance Share Unit Plan	Suttles, Douglas James	4, 5	2020-02-28	56	12.68	553,421	335,174
Ovintiv Inc.	Director Restricted Share Units	Waterman, Bruce G.	4	2020-02-28	56	12.68	23,151	14,492
Ovintiv Inc.	Restricted Share Units	Williams, Michael	4	2020-02-27	38	17.11	12,283	-4,158
Ovintiv Inc.	Restricted Share Units	Williams, Michael	4	2020-02-28	56	17.11		36,529
Ovintiv Inc.	Restricted Share Units	Williams, Michael	4	2020-02-28	56	17.11		36,529
Ovintiv Inc.	Restricted Share Units	Williams, Michael	4	2020-02-28	56	17.11	48,812	36,529
Ovintiv Inc.	Rights Performance Share Unit Plan	Williams, Michael	4	2020-02-27	38	17.11	24,565	-8,317

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Ovintiv Inc.	Rights Performance Share Unit Plan	Williams, Michael	4	2020-02-28	56	17.11	61,094	36,529
Ovintiv Inc.	Director Restricted Share Units	Woitas, Clayton	4	2020-02-28	56	12.68	37,797	23,660
Ovintiv Inc.	Restricted Share Units	Zemljak, Renee	5	2020-02-27	38	12.68	19,266	-6,552
Ovintiv Inc.	Restricted Share Units	Zemljak, Renee	5	2020-02-28	56	12.68	78,415	59,149
Ovintiv Inc.	Rights Performance Share Unit Plan	Zemljak, Renee	5	2020-02-27	38	12.68	38,532	-13,104
Ovintiv Inc.	Rights Performance Share Unit Plan	Zemljak, Renee	5	2020-02-28	56	12.68	97,681	59,149
Pacific Empire Minerals Corp.	Common Shares	Peters, Bradley	4, 5	2020-03-02	10	0.05	1,579,500	20,000
Pacific Empire Minerals Corp.	Common Shares	Peters, Bradley	4, 5	2020-03-03	10	0.047	1,610,500	31,000
Palamina Corp.	Common Shares	Thomson, Andrew	4	2020-02-28	10	0.23	1,412,602	3,000
Palamina Corp.	Common Shares	Thomson, Andrew	4	2020-02-28	10	0.21	1,414,602	2,000
Palamina Corp.	Common Shares	Thomson, Andrew	4	2020-02-28	10	0.22	419,416	5,000
Palamina Corp.	Common Shares	Thomson, Andrew	4	2020-02-28	10	0.23	422,416	3,000
Palamina Corp.	Common Shares	Thomson, Andrew	4	2020-02-28	10	0.24	423,416	1,000
Paramount Resources Ltd.	Common Shares Class A	Sousa, Rodrigo	5	2020-03-05	10	3.31	6,000	1,025
Park Lawn Corporation	Deferred Share Units	Scott, Steven	4	2020-02-28	56		10,033	680
Partners Value Split Corp.	Capital Shares	Partners Value Investments Inc.	3	2020-02-28	37		39,786,100	5,996,800
Pason Systems Inc.	Common Shares	Fenez, Natalie	5	2019-05-06	00			
Pason Systems Inc.	Common Shares	Fenez, Natalie	5	2020-03-02	10	12.57	1,600	1,600
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	2020-03-02	10	12.509	65,000	2,077
Pathfinder Income Fund	Trust Units	Pathfinder Convertible Debenture Fund	1	2020-02-27	38	7.78	11,156,098	1,000
Pathfinder Income Fund	Trust Units	Pathfinder Convertible Debenture Fund	1	2020-03-05	38	7.7514	11,156,798	700
Peekaboo Beans Inc.	Common Shares	Saunders, Graham Edward	3	2018-11-05	00			
Peekaboo Beans Inc.	Common Shares	Saunders, Graham Edward	3	2018-11-05	00			
Peekaboo Beans Inc.	Common Shares	Saunders, Graham Edward	3	2018-11-05	00			
Peekaboo Beans Inc.	Common Shares	Saunders, Graham Edward	3	2018-11-05	00		4,314,834	
Peekaboo Beans Inc.	Warrants	Saunders, Graham Edward	3	2018-11-05	00			
Peekaboo Beans Inc.	Warrants	Saunders, Graham Edward	3	2018-11-05	00			
Peekaboo Beans Inc.	Warrants	Saunders, Graham Edward	3	2018-11-05	00			
Peekaboo Beans Inc.	Warrants	Saunders, Graham Edward	3	2018-11-05	00			
Peloton Minerals Corporation	Common Shares	ELLWOOD, EDWARD LEITH	5	2020-03-04	10	0.08	4,097,796	2,000
Pembina Pipeline Corporation	Rights DSU	Ainsworth, Anne-Marie	4	2019-05-15	99			-202
Pembina Pipeline Corporation	Rights DSU	Ainsworth, Anne-Marie	4	2019-05-15	99		13,496	-657
Pembina Pipeline Corporation	Rights DSU	Ainsworth, Anne-Marie	4	2019-12-31	30			657
Pembina Pipeline Corporation	Rights DSU	Ainsworth, Anne-Marie	4	2019-12-31	30			657
Pembina Pipeline Corporation	Rights DSU	Ainsworth, Anne-Marie	4	2019-12-31	30		14,154	658
Pembina Pipeline Corporation	Common Shares	Charlesworth, Allan	5	2019-12-31	30	48.31	4,448	690
Pembina Pipeline Corporation	Common Shares	Forrest, Jennifer	5	2020-02-01	00			
Pembina Pipeline Corporation	Common Shares	Forrest, Jennifer	5	2020-03-02	10	48.97	500	500
Pembina Pipeline Corporation	Common Shares	Goldade, Cameron	5	2019-12-31	30	48.29	2,217	521
Pembina Pipeline Corporation	Common Shares	Hecker, Tracy Krista	5	2019-09-23	10	49.47		-167
Pembina Pipeline Corporation	Common Shares	Hecker, Tracy Krista	5	2019-09-23	10	49.47		-167
Pembina Pipeline Corporation	Common Shares	Hecker, Tracy Krista	5	2019-09-23	10	47.54		-256

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Pembina Pipeline Corporation	Common Shares	Hecker, Tracy Krista	5	2019-09-23	10	47.54		-256
Pembina Pipeline Corporation	Common Shares	Hecker, Tracy Krista	5	2019-09-23	10	49.47	-72	-167
Pembina Pipeline Corporation	Common Shares	Hecker, Tracy Krista	5	2019-12-31	30	48.55		72
Pembina Pipeline Corporation	Common Shares	Hecker, Tracy Krista	5	2019-12-31	30	48.55		72
Pembina Pipeline Corporation	Common Shares	Hecker, Tracy Krista	5	2019-12-31	30	48.55		72
Pembina Pipeline Corporation	Common Shares	Hecker, Tracy Krista	5	2019-12-31	30	48.55		72
Pembina Pipeline Corporation	Common Shares	Hecker, Tracy Krista	5	2019-12-31	30	47.54		256
Pembina Pipeline Corporation	Common Shares	Hecker, Tracy Krista	5	2019-12-31	30	47.54		256
Pembina Pipeline Corporation	Common Shares	Hecker, Tracy Krista	5	2019-12-31	30	48.55	0	72
Pembina Pipeline Corporation	Common Shares	Hecker, Tracy Krista	5	2019-12-31	30	47.54	256	256
Pembina Pipeline Corporation	Common Shares	Kohlsmith, Brad	5	2019-12-31	30	48.28	1,269	487
Pembina Pipeline Corporation	Common Shares	Rousch, Christopher Wayne	5	2019-12-31	30	48.28	1,014	129
Pembina Pipeline Corporation	Common Shares	Sprott, Jaret	5	2019-12-31	30	48.37	4,406	319
Pembina Pipeline Corporation	Common Shares	Walsh, Patrick Scott	5	2019-11-14	30			-619
Pembina Pipeline Corporation	Common Shares	Walsh, Patrick Scott	5	2019-11-14	30			-619
Pembina Pipeline Corporation	Common Shares	Walsh, Patrick Scott	5	2019-12-31	30	48.29		242
Pembina Pipeline Corporation	Common Shares	Walsh, Patrick Scott	5	2019-12-31	30	48.29		242
Pembina Pipeline Corporation	Common Shares	Walsh, Patrick Scott	5	2019-12-31	30	48.28	673	245
Pembina Pipeline Corporation	Common Shares	Walsh, Patrick Scott	5	2017-09-07	00			
Pembina Pipeline Corporation	Common Shares	Walsh, Patrick Scott	5	2017-09-07	00			
Pembina Pipeline Corporation	Common Shares	Walsh, Patrick Scott	5	2019-11-14	30			434
Pembina Pipeline Corporation	Common Shares	Walsh, Patrick Scott	5	2019-11-14	30			434
Pembina Pipeline Corporation	Common Shares	Walsh, Patrick Scott	5	2019-12-31	30	47.14		1
Pembina Pipeline Corporation	Common Shares	Walsh, Patrick Scott	5	2019-12-31	30	47.14		1
Pembina Pipeline Corporation	Common Shares	Wiun, Jason Travis	5	2019-12-31	30	48.31	5,178	828
Pembina Pipeline Corporation	Common Shares	Young, John	5	2019-12-31	30	48.33	4,827	559
Pender Growth Fund Inc.	Common Shares Class C	Pender Growth Fund Inc.	1	2020-02-21	00			
Pender Growth Fund Inc.	Common Shares Class C	Pender Growth Fund Inc.	1	2020-02-21	00			
Pender Growth Fund Inc.	Common Shares Class C	Pender Growth Fund Inc.	1	2020-02-21	38		-6,300	-6,300
Pender Growth Fund Inc.	Common Shares Class C	Pender Growth Fund Inc.	1	2020-02-21	38	3.5	0	6,300
Pender Growth Fund Inc.	Common Shares Class C	Pender Growth Fund Inc.	1	2020-02-28	38	3.3783	15,000	15,000
Pender Growth Fund Inc.	Common Shares Class C	Pender Growth Fund Inc.	1	2020-02-28	38		0	-15,000
Perisson Petroleum Corporation	Common Shares	Brad, Perry	4, 5	2020-03-02	10	0.11	3,000	1,000
Perisson Petroleum Corporation	Common Shares	Brad, Perry	4, 5	2020-03-03	10	0.115	4,000	1,000
Perk Labs Inc. (formerly Glance Technologies Inc.)	Restricted share units	Hoyles, Jonathan	4, 5	2020-03-03	56		633,292	117,094
Perk Labs Inc. (formerly Glance Technologies Inc.)	Common Shares	Zhang, Jian Qun	5	2020-03-02	46	0.095	379,883	42,105

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Petro-Victory Energy Corp.	Common Shares Class A	Scruggs, Mark Read	5	2020-01-01	00			
Petro-Victory Energy Corp.	Common Shares Class A	Scruggs, Mark Read	5	2020-01-01	00			
Petro-Victory Energy Corp.	Common Shares Class A	Scruggs, Mark Read	5	2020-02-26	10	0.65	500	500
Petro-Victory Energy Corp.	Common Shares Class A	Scruggs, Mark Read	5	2020-02-26	10	0.64	3,000	2,500
Petro-Victory Energy Corp.	Common Shares Class A	Scruggs, Mark Read	5	2020-02-28	10	0.5	7,500	4,500
Petro-Victory Energy Corp.	Common Shares Class A	Scruggs, Mark Read	5	2020-03-02	10	0.52	8,000	500
Petro-Victory Energy Corp.	Common Shares Class A	Scruggs, Mark Read	5	2020-03-02	10	0.56	8,500	500
Petro-Victory Energy Corp.	Common Shares Class A	Scruggs, Mark Read	5	2020-03-03	10	0.58	9,500	1,000
Petro-Victory Energy Corp.	Common Shares Class A	Scruggs, Mark Read	5	2020-03-03	10	0.58	10,000	500
PetroShale Inc.	Common Shares	PetroShale Inc	1	2020-03-03	38	0.43	19,500	19,500
PetroShale Inc.	Common Shares	PetroShale Inc	1	2020-03-03	38	0.43	0	-19,500
PetroShale Inc.	Common Shares	PetroShale Inc	1	2020-03-04	38	0.43	12,500	12,500
PetroShale Inc.	Common Shares	PetroShale Inc	1	2020-03-04	38	0.43	0	-12,500
Phoenix Canada Oil Company Limited	Common Shares	Burns, Charles	4	2020-03-02	10	1.33	209,400	10,000
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Athaide, Judith	4	2020-03-03	57		43,466	-8,334
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Athaide, Judith	4	2020-03-05	56	2.19	89,128	45,662
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Blanchard, Daniel Asa	5	2020-03-03	57		5,000	-3,334
PHX Energy Services Corp.	Options	Brown, Craig	5	2020-03-05	50	2.19	945,000	50,000
PHX Energy Services Corp.	Options	Brown, Craig	5	2020-03-06	52	6.87	825,000	-120,000
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Brown, Craig	5	2020-03-03	57		311,913	-16,667
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Brown, Craig	5	2020-03-05	56	2.19	461,913	150,000
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Brown, Craig	5	2020-03-05	56	2.19	501,867	39,954
PHX Energy Services Corp.	Options	Buker, Michael Leslie	5	2020-03-05	50	2.19		150,000
PHX Energy Services Corp.	Options	Buker, Michael Leslie	5	2020-03-05	50	2.19	920,000	50,000
PHX Energy Services Corp.	Options	Buker, Michael Leslie	5	2020-03-06	52	6.87	800,000	-120,000
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Buker, Michael Leslie	5	2020-03-03	57		301,691	-16,667
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Buker, Michael Leslie	5	2020-03-05	56	2.19	497,353	195,662
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Charron, Randolph M	4	2020-03-03	57		41,118	-8,334
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Charron, Randolph M	4	2020-03-05	56	2.19	86,780	45,662
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Freeman, Terrance	4	2020-03-05	56	2.19	92,569	45,662
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Hibbard, Lawrence M.	4	2020-03-03	57		81,314	-8,334
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Hibbard, Lawrence M.	4	2020-03-05	56	2.19	126,976	45,662
PHX Energy Services Corp.	Options	Hooks, John Michael	5	2020-03-05	50	2.19	1,020,000	50,000
PHX Energy Services Corp.	Options	Hooks, John Michael	5	2020-03-06	52	6.87	900,000	-120,000
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Hooks, John Michael	5	2020-03-03	57		337,670	-16,667
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Hooks, John Michael	5	2020-03-05	56	2.19	521,916	184,246
PHX Energy Services Corp.	Options	Ritchie, Cameron Michael	5	2020-03-05	50	2.19	853,334	50,000
PHX Energy Services Corp.	Options	Ritchie, Cameron Michael	5	2020-03-06	52	6.87	733,334	-120,000
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Ritchie, Cameron Michael	5	2020-03-03	57		308,587	-16,667
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Ritchie, Cameron Michael	5	2020-03-05	56	2.19	504,249	195,662
PHX Energy Services Corp.	Options	Shafer, Jeffery John	5	2020-03-05	50	2.19	475,000	50,000
PHX Energy Services Corp.	Options	Shafer, Jeffery John	5	2020-03-06	52	6.87	362,500	-112,500
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Shafer, Jeffery John	5	2020-03-03	57		293,921	-10,000

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PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Shafer, Jeffery John	5	2020-03-05	56	2.19	489,583	195,662
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Tetreault, Myron Arthur	4	2020-03-03	57		88,154	-8,334
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Tetreault, Myron Arthur	4	2020-03-05	57	2.19	133,816	45,662
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Thomas, Roger Dale	4	2020-03-03	57		60,669	-8,334
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Thomas, Roger Dale	4	2020-03-05	56	2.19	106,331	45,662
Pine Cliff Energy Ltd.	Common Shares	Disbrow, Robert	3	2020-03-05	10	0.1	22,136,750	2,250
Pine Cliff Energy Ltd.	Common Shares	Disbrow, Robert	3	2020-03-05	10	0.1	22,152,250	2,250
Pine Trail Real Estate Investment Trust (formerly, Pine Trail Capital Trust)	Trust Units	Shapack, Andrew Irwin	4, 5	2020-02-28	10	0.13	127,000	5,000
Pioneering Technology Corp.	Options	Kraft, Michael	4	2020-02-24	50		150,000	100,000
Pipestone Energy Corp. (formerly, Blackbird Energy Inc.)	Common Shares	Sankappanavar, Geeta Hanamantagouda	4, 6	2020-02-25	10	1.14		30,600
Pipestone Energy Corp. (formerly, Blackbird Energy Inc.)	Common Shares	Sankappanavar, Geeta Hanamantagouda	4, 6	2020-02-25	10	1.14		30,600
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Pivot Technology Solutions, Inc.	1	2020-02-05	10	1.79	21,015	21,015
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Pivot Technology Solutions, Inc.	1	2020-02-06	10	1.7899	34,930	13,915
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Pivot Technology Solutions, Inc.	1	2020-02-07	10	1.8	55,930	21,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Pivot Technology Solutions, Inc.	1	2020-02-11	10	1.8328	64,745	8,815
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Pivot Technology Solutions, Inc.	1	2020-02-12	10	1.8066	85,760	21,015
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Pivot Technology Solutions, Inc.	1	2020-02-13	10	1.7971	98,375	12,615
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Pivot Technology Solutions, Inc.	1	2020-02-14	10	1.8044	114,690	16,315
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Pivot Technology Solutions, Inc.	1	2020-02-18	10	1.8141	135,705	21,015
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Pivot Technology Solutions, Inc.	1	2020-02-19	10	1.81	137,520	1,815
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Pivot Technology Solutions, Inc.	1	2020-02-20	10	1.8546	157,435	19,915
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Pivot Technology Solutions, Inc.	1	2020-02-21	10	1.8972	175,750	18,315
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Pivot Technology Solutions, Inc.	1	2020-02-24	10	1.8934	196,765	21,015
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Pivot Technology Solutions, Inc.	1	2020-02-25	10	1.8854	212,680	15,915
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Pivot Technology Solutions, Inc.	1	2020-02-26	10	1.8172	232,895	20,215
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Pivot Technology Solutions, Inc.	1	2020-02-27	10	1.7365	253,910	21,015

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Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Pivot Technology Solutions, Inc.	1	2020-02-28	38		0	-253,910
Plateau Energy Metals Inc.	Common Shares	Relling, Thomas Peter Max	3	2020-03-02	10	0.34	7,287,118	25,000
Plateau Energy Metals Inc.	Common Shares	Relling, Thomas Peter Max	3	2020-03-05	10	0.29	7,294,118	7,000
Platinum Group Metals Ltd.	Common Shares	Jones, R. Michael	4, 5	2020-03-02	10	1.76	30,198	83
Plaza Retail REIT	Rights Deferred Units	Johnson, Stephen Edward	4	2019-02-26	56	4.23	30,678	1,507
Plaza Retail REIT	Rights Deferred Units	Johnson, Stephen Edward	4	2019-05-23	56	4.17	33,106	2,428
Plaza Retail REIT	Rights Deferred Units	Johnson, Stephen Edward	4	2019-08-08	56	4.23	34,613	1,507
Plaza Retail REIT	Rights Deferred Units	Johnson, Stephen Edward	4	2019-08-12	56	4.23	36,977	2,364
Plaza Retail REIT	Rights Deferred Units	Johnson, Stephen Edward	4	2019-11-05	56	4.48		1,591
Plaza Retail REIT	Rights Deferred Units	Johnson, Stephen Edward	4	2019-11-05	56	4.48	38,567	1,590
Plaza Retail REIT	Rights Deferred Units	Johnson, Stephen Edward	4	2019-12-31	56	4.26	40,813	2,246
Plaza Retail REIT	Rights Deferred Units	Losier, Denis	4	2019-08-12	56	4.23	12,378	2,364
Plaza Retail REIT	Rights Deferred Units	Losier, Denis	4	2019-12-31	56	4.26	13,107	729
Plaza Retail REIT	Trust Units	Marshall, S. Jane	4	2020-03-04	10	4.52	19,867	6,867
Plaza Retail REIT	Trust Units	Plaza Retail REIT	1	2020-02-03	38	4.6	12,300	4,700
Plaza Retail REIT	Trust Units	Plaza Retail REIT	1	2020-02-04	38	4.6	13,000	700
Plaza Retail REIT	Trust Units	Plaza Retail REIT	1	2020-02-07	38	4.59	17,900	4,900
Plaza Retail REIT	Trust Units	Plaza Retail REIT	1	2020-02-24	38	4.59	20,400	2,500
Plaza Retail REIT	Trust Units	Plaza Retail REIT	1	2020-02-25	38	4.58	25,300	4,900
Plaza Retail REIT	Trust Units	Plaza Retail REIT	1	2020-02-26	38	4.55	29,800	4,500
Plaza Retail REIT	Trust Units	Plaza Retail REIT	1	2020-02-27	38	4.43	34,700	4,900
Plaza Retail REIT	Trust Units	Plaza Retail REIT	1	2020-02-28	38		32,000	-2,700
Plaza Retail REIT	Trust Units	Plaza Retail REIT	1	2020-02-28	38		27,100	-4,900
Plaza Retail REIT	Trust Units	Plaza Retail REIT	1	2020-02-28	38		22,400	-4,700
Plaza Retail REIT	Trust Units	Plaza Retail REIT	1	2020-02-28	38		21,700	-700
Plaza Retail REIT	Trust Units	Plaza Retail REIT	1	2020-02-28	38		16,800	-4,900
Plaza Retail REIT	Trust Units	Plaza Retail REIT	1	2020-02-28	38		14,300	-2,500
Plaza Retail REIT	Trust Units	Plaza Retail REIT	1	2020-02-28	38		9,400	-4,900
Plaza Retail REIT	Trust Units	Plaza Retail REIT	1	2020-02-28	38		4,900	-4,500
Plaza Retail REIT	Trust Units	Plaza Retail REIT	1	2020-02-28	38	4.29	9,800	4,900
Plaza Retail REIT	Rights Deferred Units	Trenholm, Barbara	4	2019-02-26	56	4.23	46,134	2,423
Plaza Retail REIT	Rights Deferred Units	Trenholm, Barbara	4	2019-05-23	56	4.17	49,851	3,717
Plaza Retail REIT	Rights Deferred Units	Trenholm, Barbara	4	2019-08-08	56	4.23	52,452	2,601
Plaza Retail REIT	Rights Deferred Units	Trenholm, Barbara	4	2019-08-12	56	4.23	54,816	2,364
Plaza Retail REIT	Rights Deferred Units	Trenholm, Barbara	4	2019-11-05	56	4.48	57,941	3,125
Plaza Retail REIT	Rights Deferred Units	Trenholm, Barbara	4	2019-12-31	56	4.26	61,304	3,363
Points International Ltd.	Common Shares	Points International Ltd.	1	2020-02-03	38	16.81	4,411	1,500
Points International Ltd.	Common Shares	Points International Ltd.	1	2020-02-04	38	16.88	5,911	1,500
Points International Ltd.	Common Shares	Points International Ltd.	1	2020-02-05	38	16.99	7,411	1,500
Points International Ltd.	Common Shares	Points International Ltd.	1	2020-02-06	38	16.92	8,911	1,500
Points International Ltd.	Common Shares	Points International Ltd.	1	2020-02-07	38	17.08	10,411	1,500
Points International Ltd.	Common Shares	Points International Ltd.	1	2020-02-10	38	17.66	10,954	543
Points International Ltd.	Common Shares	Points International Ltd.	1	2020-02-18	38	18	10,991	37
Points International Ltd.	Common Shares	Points International Ltd.	1	2020-02-20	38	17.98	11,457	466
Points International Ltd.	Common Shares	Points International Ltd.	1	2020-02-24	38	17.99	12,000	543
Points International Ltd.	Common Shares	Points International Ltd.	1	2020-02-25	38	17.82	13,500	1,500
Points International Ltd.	Common Shares	Points International Ltd.	1	2020-02-26	38	17.28	15,000	1,500
Points International Ltd.	Common Shares	Points International Ltd.	1	2020-02-27	38	16.5	16,500	1,500
Points International Ltd.	Common Shares	Points International Ltd.	1	2020-02-28	38	15.7	18,000	1,500
Points International Ltd.	Common Shares	Points International Ltd.	1	2020-02-28	38		2,911	-15,089
Pool Safe Inc.	Common Shares	Berger, David	4	2017-04-18	00			
Pool Safe Inc.	Common Shares	Berger, David	4	2020-02-28	11	0.05	75,000	75,000
Pool Safe Inc.	Common Shares	Glaser, Steven	4, 5	2020-02-28	11	0.05	1,177,240	25,000
Pool Safe Inc.	Common Shares	Mintz, Steven Michael	4	2020-02-28	11	0.05	642,464	50,000
Poplar Creek Resources Inc.	Common Shares	Edgar, Richard Norman	4, 5	2020-02-03	37		78,333	-1,096,667
Postmedia Network Canada Corp.	Class C Voting Shares	Cooperman, Leon	3	2018-10-04	00			

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Postmedia Network Canada Corp.	Class C Voting Shares	Cooperman, Leon	3	2018-10-04	00			
Postmedia Network Canada Corp.	Class C Voting Shares	Cooperman, Leon	3	2018-10-04	00			
Postmedia Network Canada Corp.	Class C Voting Shares	Cooperman, Leon	3	2018-10-04	10	0.7585		7,218,382
Postmedia Network Canada Corp.	Class C Voting Shares	Cooperman, Leon	3	2019-02-06	10	0.7556		13,500
Postmedia Network Canada Corp.	Class C Voting Shares	Cooperman, Leon	3	2019-04-24	10	1.3		100
Postmedia Network Canada Corp.	Class C Voting Shares	Cooperman, Leon	3	2019-05-02	10	1.3		1,600
Postmedia Network Canada Corp.	Class C Voting Shares	Cooperman, Leon	3	2019-05-03	10	1.25		800
Postmedia Network Canada Corp.	Class C Voting Shares	Cooperman, Leon	3	2019-05-15	10	1.25		100
Postmedia Network Canada Corp.	Class C Voting Shares	Cooperman, Leon	3	2019-06-04	10	1.25		400
Postmedia Network Canada Corp.	Class C Voting Shares	Cooperman, Leon	3	2019-06-07	10	1.26		200
Postmedia Network Canada Corp.	Class C Voting Shares	Cooperman, Leon	3	2019-06-14	10	1.24		200
Postmedia Network Canada Corp.	Class C Voting Shares	Cooperman, Leon	3	2019-06-18	10	1.25		300
Postmedia Network Canada Corp.	Class C Voting Shares	Cooperman, Leon	3	2019-07-15	10	1.24		100
Postmedia Network Canada Corp.	Class C Voting Shares	Cooperman, Leon	3	2019-07-18	10	1.25		500
Postmedia Network Canada Corp.	Class C Voting Shares	Cooperman, Leon	3	2018-10-04	00			
Postmedia Network Canada Corp.	Class C Voting Shares	Cooperman, Leon	3	2018-10-04	00			
Postmedia Network Canada Corp.	Class C Voting Shares	Cooperman, Leon	3	2019-02-28	10	2.6626		-2,400
Postmedia Network Canada Corp.	Class C Voting Shares	Cooperman, Leon	3	2019-07-19	10	1.6		2,400
Postmedia Network Canada Corp.	Class C Voting Shares	Cooperman, Leon	3	2019-08-09	10	3.47		-3,300
Postmedia Network Canada Corp.	Class C Voting Shares	Cooperman, Leon	3	2020-02-06	10	2.83		6,000
Postmedia Network Canada Corp.	Class C Voting Shares	Cooperman, Leon	3	2020-02-11	10	3.35		-900
Postmedia Network Canada Corp.	Class NC Variable Voting Shares	Cooperman, Leon	3	2018-10-04	10	0.7585	11,083,882	7,218,382
Postmedia Network Canada Corp.	Class NC Variable Voting Shares	Cooperman, Leon	3	2018-10-04	00			
Postmedia Network Canada Corp.	Class NC Variable Voting Shares	Cooperman, Leon	3	2018-10-04	00		3,865,500	
Postmedia Network Canada Corp.	Class NC Variable Voting Shares	Cooperman, Leon	3	2019-02-06	10	0.7556	11,097,382	13,500
Postmedia Network Canada Corp.	Class NC Variable Voting Shares	Cooperman, Leon	3	2019-04-24	10	1.3	11,097,482	100
Postmedia Network Canada Corp.	Class NC Variable Voting Shares	Cooperman, Leon	3	2019-05-02	10	1.3	11,099,082	1,600
Postmedia Network Canada Corp.	Class NC Variable Voting Shares	Cooperman, Leon	3	2019-05-03	10	1.25	11,099,882	800
Postmedia Network Canada Corp.	Class NC Variable Voting Shares	Cooperman, Leon	3	2019-05-15	10	1.25	11,099,982	100
Postmedia Network Canada Corp.	Class NC Variable Voting Shares	Cooperman, Leon	3	2019-06-04	10	1.25	11,100,382	400
Postmedia Network Canada Corp.	Class NC Variable Voting Shares	Cooperman, Leon	3	2019-06-07	10	1.26	11,100,582	200
Postmedia Network Canada Corp.	Class NC Variable Voting Shares	Cooperman, Leon	3	2019-06-14	10	1.24	11,100,782	200
Postmedia Network Canada Corp.	Class NC Variable Voting Shares	Cooperman, Leon	3	2019-06-18	10	1.25	11,101,082	300

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Postmedia Network Canada Corp.	Class NC Variable Voting Shares	Cooperman, Leon	3	2019-07-15	10	1.24	11,101,182	100
Postmedia Network Canada Corp.	Class NC Variable Voting Shares	Cooperman, Leon	3	2019-07-18	10	1.25	11,101,682	500
Postmedia Network Canada Corp.	Class NC Variable Voting Shares	Cooperman, Leon	3	2020-02-24	90		7,236,182	-3,865,500
Postmedia Network Canada Corp.	Class NC Variable Voting Shares	Cooperman, Leon	3	2018-10-04	00			
Postmedia Network Canada Corp.	Class NC Variable Voting Shares	Cooperman, Leon	3	2018-10-04	00		2,000,000	
Postmedia Network Canada Corp.	Class NC Variable Voting Shares	Cooperman, Leon	3	2019-02-28	10	2.6626	1,997,600	-2,400
Postmedia Network Canada Corp.	Class NC Variable Voting Shares	Cooperman, Leon	3	2019-07-19	10	1.6	2,000,000	2,400
Postmedia Network Canada Corp.	Class NC Variable Voting Shares	Cooperman, Leon	3	2019-08-09	10	3.47	1,996,700	-3,300
Postmedia Network Canada Corp.	Class NC Variable Voting Shares	Cooperman, Leon	3	2020-02-06	10	2.83	2,002,700	6,000
Postmedia Network Canada Corp.	Class NC Variable Voting Shares	Cooperman, Leon	3	2020-02-11	10	3.35	2,001,800	-900
Postmedia Network Canada Corp.	Class NC Variable Voting Shares	Cooperman, Leon	3	2020-02-24	90		5,867,300	3,865,500
Power Corporation of Canada	Equity Forward Contract	Power Corporation of Canada	1	2020-02-27	70	33.188	56	1
Power Corporation of Canada	Equity Swap - Long Position PCC 12 (2017-03)	Power Corporation of Canada	1	2000-02-17	00			
Power Corporation of Canada	Equity Swap - Long Position PCC 12 (2017-03)	Power Corporation of Canada	1	2020-02-27	70	33.37	1	1
Power Corporation of Canada	Equity Swap - Long Position PCC 13 (2018-03)	Power Corporation of Canada	1	2000-02-17	00			
Power Corporation of Canada	Equity Swap - Long Position PCC 13 (2018-03)	Power Corporation of Canada	1	2020-02-27	70	33.37	1	1
Power Corporation of Canada	Equity Swap - Long Position PCC 14	Power Corporation of Canada	1	2000-02-17	00			
Power Corporation of Canada	Equity Swap - Long Position PCC 14	Power Corporation of Canada	1	2020-02-27	70	33.37	1	1
Power Corporation of Canada	Equity Swap - Long Position PCC 15 (2020-02)	Power Corporation of Canada	1	2000-02-17	00			
Power Corporation of Canada	Equity Swap - Long Position PCC 15 (2020-02)	Power Corporation of Canada	1	2020-02-27	70	33.37	1	1
Power Corporation of Canada	Equity Swap - Long Position PCC 9	Power Corporation of Canada	1	2020-02-27	70	33.29	3	-1
Power Corporation of Canada	Equity Swap - Long Position PCC-10 (2019-04)	Power Corporation of Canada	1	2020-02-27	70	33.37	5	1
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2020-02-20	38	34.165	92,300	92,300
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2020-02-20	38		0	-92,300
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2020-02-21	38	34.075	293,300	293,300
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2020-02-21	38		0	-293,300
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2020-02-24	38	34.165		92,300
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2020-02-24	38	34.165		92,300
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2020-02-24	38	33.168	293,300	293,300
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2020-02-24	38		0	-293,300

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2020-02-25	38	32.816	293,300	293,300
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2020-02-25	38		0	-293,300
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2020-02-26	38	32.118	293,300	293,300
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2020-02-26	38		0	-293,300
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2020-02-27	38	30.837	219,200	219,200
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2020-02-27	38		0	-219,200
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2020-02-28	38	29.816	293,300	293,300
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2020-02-28	38		0	-293,300
Power Financial Corporation	Equity Forward Contract	POWER FINANCIAL CORPORATION	1	2020-02-27	70	33.188	73	-1
Power Financial Corporation	Equity Swap - Long Position PFC 13 (2017-03)	POWER FINANCIAL CORPORATION	1	2001-10-05	00			
Power Financial Corporation	Equity Swap - Long Position PFC 13 (2017-03)	POWER FINANCIAL CORPORATION	1	2020-02-27	70	33.37	1	1
Power Financial Corporation	Equity Swap - Long Position PFC 14 (2018-03)	POWER FINANCIAL CORPORATION	1	2001-10-05	00			
Power Financial Corporation	Equity Swap - Long Position PFC 14 (2018-03)	POWER FINANCIAL CORPORATION	1	2020-02-27	70	33.37	1	1
Power Financial Corporation	Equity Swap - Long Position PFC 15 (2019-04)	POWER FINANCIAL CORPORATION	1	2001-10-05	00			
Power Financial Corporation	Equity Swap - Long Position PFC 15 (2019-04)	POWER FINANCIAL CORPORATION	1	2020-02-27	70	33.37	1	1
Power Financial Corporation	Equity Swap - Long Position PFC 2016-03	POWER FINANCIAL CORPORATION	1	2020-02-27	70	33.29	12	-1
Power Financial Corporation	Equity Swap - Long Position PFC12 (2019-04)	POWER FINANCIAL CORPORATION	1	2020-02-27	70	33.29	4	-1
PrairieSky Royalty Ltd.	Common Shares	GAVAN, JANE	4	2020-03-04	10	12.551	5,000	2,800
PrairieSky Royalty Ltd.	Common Shares	PrairieSky Royalty Ltd.	1	2020-02-28	38	14.31	103,700	93,700
PrairieSky Royalty Ltd.	Common Shares	PrairieSky Royalty Ltd.	1	2020-02-28	38		8,700	-95,000
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-03	38	1.5818	213,300	56,700
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-03	38	1.184	238,400	25,100
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-04	38	1.5936	294,780	56,380
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-04	38	1.1939	319,781	25,001
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-05	38	1.6711	373,581	53,800
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-05	38	1.2576	397,285	23,704
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-06	38	1.6613	451,385	54,100
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-06	38	1.2548	475,285	23,900
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-07	38	1.6195	530,685	55,400
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-07	38	1.2152	555,185	24,500
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-10	38	1.5898	611,795	56,610
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-10	38	1.1895	636,868	25,073

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-11	38	1.6269	691,868	55,000
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-11	38	1.2203	714,868	23,000
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-12	38	1.6522	769,268	54,400
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-12	38	1.2444	792,268	23,000
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-13	38	1.7658	843,068	50,800
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-13	38	1.3632	864,968	21,900
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-14	38	1.8621	912,968	48,000
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-14	38	1.3962	934,368	21,400
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-18	38	1.7827	984,778	50,410
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-18	38	1.3476	1,006,116	21,338
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-25	38	1.5939	1,106,116	100,000
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-25	38	1.198	1,129,599	23,483
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-26	38	1.5902	1,134,699	5,100
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-27	38	1.584	1,159,699	25,000
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-27	38	1.1894	1,164,685	4,986
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-28	38	1.598	1,189,685	25,000
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-28	38	1.1899	1,201,885	12,200
Precision Drilling Corporation	Common Shares	Precision Drilling Corporation	1	2020-02-28	38		67,186	-1,134,699
Premier Gold Mines Limited	Common Shares	Begeman, John A.	4	2020-03-04	15	1.5	586,056	20,000
Premier Gold Mines Limited	Common Shares	Downie, Ewan Stewart	4	2020-03-04	15	1.5	4,497,778	200,000
Premier Gold Mines Limited	Common Shares	Filipovic, Steven John	5	2020-03-04	15	1.5	295,751	25,000
Premier Gold Mines Limited	Common Shares	Gollat, Matthew	5	2020-03-04	15	1.5	54,840	6,600
Premier Gold Mines Limited	Common Shares	Lemasson, Claude	4	2020-03-04	15	1.5	179,500	40,000
Premier Gold Mines Limited	Common Shares	Little, Ron	4	2020-03-04	15	1.5	96,050	40,000
Premier Gold Mines Limited	Common Shares	Orion Mine Finance Management II Limited	3	2020-03-04	15	1.5	33,204,776	8,853,000
Premier Gold Mines Limited	Common Shares	Vitton, Michael Stephen	4	2020-03-04	15	1.5	657,000	100,000
Premier Health of America Inc. (formerly known as Physinorth Acquisition Corporation Inc.)	Common Shares	Chouinard, Eric	4	2020-02-28	36		922,219	222,222
Premier Health of America Inc. (formerly known as Physinorth Acquisition Corporation Inc.)	Reçu de souscription	Chouinard, Eric	4	2020-02-28	36		0	-222,222
Premier Health of America Inc. (formerly known as Physinorth Acquisition Corporation Inc.)	Warrants	Chouinard, Eric	4	2017-12-19	00			
Premier Health of America Inc. (formerly known as Physinorth Acquisition Corporation Inc.)	Warrants	Chouinard, Eric	4	2020-02-28	36		111,111	111,111
Premier Health of America Inc. (formerly known as Physinorth Acquisition Corporation Inc.)	Common Shares	Côté, Anne	4	2020-02-24	00			

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Premier Health of America Inc. (formerly known as Physinorth Acquisition Corporation Inc.)	Common Shares	Côté, Anne	4	2020-02-28	36		66,666	66,666
Premier Health of America Inc. (formerly known as Physinorth Acquisition Corporation Inc.)	Warrants	Côté, Anne	4	2020-02-24	00			
Premier Health of America Inc. (formerly known as Physinorth Acquisition Corporation Inc.)	Warrants	Côté, Anne	4	2020-02-28	36		33,333	33,333
Premier Health of America Inc. (formerly known as Physinorth Acquisition Corporation Inc.)	Common Shares	Laberge, Marie	4	2020-02-24	00			
Premier Health of America Inc. (formerly known as Physinorth Acquisition Corporation Inc.)	Common Shares	Laberge, Marie	4	2020-02-28	36		44,000	44,000
Premier Health of America Inc. (formerly known as Physinorth Acquisition Corporation Inc.)	Reçu de souscription	Laberge, Marie	4	2020-02-28	36		0	-44,000
Premier Health of America Inc. (formerly known as Physinorth Acquisition Corporation Inc.)	Warrants	Laberge, Marie	4	2020-02-24	00			
Premier Health of America Inc. (formerly known as Physinorth Acquisition Corporation Inc.)	Warrants	Laberge, Marie	4	2020-02-28	36		22,000	22,000
Premier Health of America Inc. (formerly known as Physinorth Acquisition Corporation Inc.)	Common Shares	Marleau, Hubert	4	2020-02-24	00			
Premier Health of America Inc. (formerly known as Physinorth Acquisition Corporation Inc.)	Common Shares	Marleau, Hubert	4	2020-02-28	36		100,000	100,000
Premier Health of America Inc. (formerly known as Physinorth Acquisition Corporation Inc.)	Reçu de souscription	Marleau, Hubert	4	2020-02-28	36		0	-100,000
Premier Health of America Inc. (formerly known as Physinorth Acquisition Corporation Inc.)	Warrants	Marleau, Hubert	4	2020-02-24	00			
Premier Health of America Inc. (formerly known as Physinorth Acquisition Corporation Inc.)	Warrants	Marleau, Hubert	4	2020-02-28	36		50,000	50,000
Primo Water Corporation (formerly, Cott Corporation)	Common Shares	Hinson, Charles Ronald	7	2020-02-28	57	14.25	210,141	10,471
Primo Water Corporation (formerly, Cott Corporation)	Common Shares	Hinson, Charles Ronald	7	2020-02-28	57	14.25	214,795	4,654
Primo Water Corporation (formerly, Cott Corporation)	Common Shares	Hinson, Charles Ronald	7	2020-02-28	30	14.25	311,310	96,515
Primo Water Corporation (formerly, Cott Corporation)	Performance Share Units	Hinson, Charles Ronald	7	2020-02-28	57	14.25	8,687	-10,471
Primo Water Corporation (formerly, Cott Corporation)	Performance Share Units	Hinson, Charles Ronald	7	2020-02-28	38		0	-8,687
Primo Water Corporation (formerly, Cott Corporation)	Restricted Share Units	Hinson, Charles Ronald	7	2020-02-28	57	14.25	3,861	-4,654
Primo Water Corporation (formerly, Cott Corporation)	Restricted Share Units	Hinson, Charles Ronald	7	2020-02-28	38		0	-3,861
Prodigy Ventures Inc.	Common Shares	Beckerman, Tom	4, 5	2020-02-28	10	0.12	11,755,481	-500
Prodigy Ventures Inc.	Common Shares	Beckerman, Tom	4, 5	2020-03-02	10	0.12	11,734,481	-21,000
Prodigy Ventures Inc.	Common Shares	Beckerman, Tom	4, 5	2020-03-03	10	0.12	11,720,481	-14,000
Prodigy Ventures Inc.	Common Shares	Beckerman, Tom	4, 5	2020-03-04	10	0.12	11,719,981	-500

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Prodigy Ventures Inc.	Common Shares	Kieran, Andrew	3	2020-02-28	10	0.12	11,755,481	-500
Prodigy Ventures Inc.	Common Shares	Kieran, Andrew	3	2020-03-02	10	0.12	11,734,481	-21,000
Prodigy Ventures Inc.	Common Shares	Kieran, Andrew	3	2020-03-03	10	0.12	11,720,481	-14,000
Prodigy Ventures Inc.	Common Shares	Kieran, Andrew	3	2020-03-04	10	0.12	11,719,981	-500
Progressive Planet Solutions Inc.	Common Shares	Richardson, George David	3	2020-02-26	10	0.0449	4,033,333	177,000
Progressive Planet Solutions Inc.	Common Shares	Richardson, George David	3	2020-02-28	10	0.035	4,126,333	93,000
Progressive Planet Solutions Inc.	Common Shares	Richardson, George David	3	2020-03-02	10	0.035	4,326,333	200,000
Progressive Planet Solutions Inc.	Common Shares	Richardson, George David	3	2020-03-04	10	0.035	4,526,333	200,000
Prospero Silver Corp.	Common Shares	Oliver, Murray	4	2020-03-03	10	0.01	127,000	-5,000
Providence Gold Mines Inc.	Common Shares	coombes, ronald allan	4	2020-02-28	10		932,500	16,500
Quarterhill Inc. (formerly, Wi-LAN Inc.)	Common Shares	HANSON, RANDY	7	2018-07-12	00			
Quarterhill Inc. (formerly, Wi-LAN Inc.)	Common Shares	HANSON, RANDY	7	2020-02-28	57		8,000	8,000
Quarterhill Inc. (formerly, Wi-LAN Inc.)	Performance Stock Units	HANSON, RANDY	7	2020-02-28	57			-8,000
Quarterhill Inc. (formerly, Wi-LAN Inc.)	Performance Stock Units	HANSON, RANDY	7	2020-02-28	57		32,000	-8,000
Quarterhill Inc. (formerly, Wi-LAN Inc.)	Common Shares	Vladescu, Michael	5	2020-02-28	57		99,500	7,000
Quarterhill Inc. (formerly, Wi-LAN Inc.)	Common Shares	Vladescu, Michael	5	2020-02-28	38		95,755	-3,745
Quarterhill Inc. (formerly, Wi-LAN Inc.)	Common Shares	Vladescu, Michael	5	2020-02-28	10	1.8	115,755	20,000
Quarterhill Inc. (formerly, Wi-LAN Inc.)	Options	Vladescu, Michael	5	2020-03-02	50		564,220	300,000
Quarterhill Inc. (formerly, Wi-LAN Inc.)	Performance Stock Units	Vladescu, Michael	5	2020-02-28	57		28,000	-7,000
Quarterhill Inc. (formerly, Wi-LAN Inc.)	Common Shares	Watchmaker, Prashant	5	2020-02-28	57		84,412	34,312
Quarterhill Inc. (formerly, Wi-LAN Inc.)	Common Shares	Watchmaker, Prashant	5	2020-02-28	38		66,055	-18,357
Quarterhill Inc. (formerly, Wi-LAN Inc.)	Options	Watchmaker, Prashant	5	2020-03-02	50		204,660	60,000
Quarterhill Inc. (formerly, Wi-LAN Inc.)	Performance Stock Units	Watchmaker, Prashant	5	2020-02-28	57		137,248	-34,312
Quebecor Inc.	Subordinate Voting Shares Catégorie B	Quebecor inc.	1	2020-02-03	38	33.009	20,000	20,000
Quebecor Inc.	Subordinate Voting Shares Catégorie B	Quebecor inc.	1	2020-02-04	38	33.285	40,000	20,000
Quebecor Inc.	Subordinate Voting Shares Catégorie B	Quebecor inc.	1	2020-02-05	38	33.144	60,000	20,000
Quebecor Inc.	Subordinate Voting Shares Catégorie B	Quebecor inc.	1	2020-02-06	38	33.241	80,000	20,000
Quebecor Inc.	Subordinate Voting Shares Catégorie B	Quebecor inc.	1	2020-02-07	38	33.18	100,000	20,000
Quebecor Inc.	Subordinate Voting Shares Catégorie B	Quebecor inc.	1	2020-02-10	38	33.426	120,000	20,000
Quebecor Inc.	Subordinate Voting Shares Catégorie B	Quebecor inc.	1	2020-02-11	38	33.232	140,000	20,000
Quebecor Inc.	Subordinate Voting Shares Catégorie B	Quebecor inc.	1	2020-02-12	38	33.059	160,000	20,000
Quebecor Inc.	Subordinate Voting Shares Catégorie B	Quebecor inc.	1	2020-02-13	38	30.91	180,000	20,000
Quebecor Inc.	Subordinate Voting Shares Catégorie B	Quebecor inc.	1	2020-02-14	38	33	200,000	20,000
Quebecor Inc.	Subordinate Voting Shares Catégorie B	Quebecor inc.	1	2020-02-29	38		0	-200,000
Radiant Technologies Inc.	Warrants	Gougoux, Yves	4	2020-02-07	00			
Radiant Technologies Inc.	Warrants	Gougoux, Yves	4	2020-03-04	53	0.7	200,000	200,000
Radiant Technologies Inc.	Warrants	Taschuk, Denis Michael	4, 5	2020-03-04	16		208,300	62,500

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Range Energy Resources Inc.	Common Shares	Pawluk, Richard Walter	4	2018-01-15	10	0.015	479,000	479,000
Razor Energy Corp.	Common Shares	Bailey, Douglas George	4, 5	2020-02-28	30	0.4861	1,363,063	34,758
Razor Energy Corp.	Common Shares	Braun, Kevin Edward	5	2020-02-28	30	0.4861	234,662	34,758
Razor Energy Corp.	Common Shares	Mottahed, Shahin (Sonny)	4	2020-02-28	30	0.4861	433,078	10,285
Razor Energy Corp.	Common Shares	Mueller, Lisa Ann	5	2020-02-28	30	0.4861	169,673	34,758
Razor Energy Corp.	Common Shares	Muller, Frank Peter	4, 5	2020-02-28	30	0.4861	1,091,499	34,758
Razor Energy Corp.	Common Shares	Saxon, Vick	4	2020-02-28	30	0.4861	453,826	10,285
Razor Energy Corp.	Common Shares	Sundstrom, Devin Kent	5	2020-02-28	30	0.4861	241,056	34,758
Razor Energy Corp.	Common Shares	Sych, Stephen George	5	2020-02-28	30	0.4861	122,936	34,758
Real Matters Inc.	Common Shares	Real Matters Inc.	1	2020-02-03	38	14	300,000	300,000
Real Matters Inc.	Common Shares	Real Matters Inc.	1	2020-02-03	38	14	0	-300,000
Real Matters Inc.	Common Shares	Real Matters Inc.	1	2020-02-27	38	13.935	2,800	2,800
Real Matters Inc.	Common Shares	Real Matters Inc.	1	2020-02-27	38	13.935	0	-2,800
Real Matters Inc.	Common Shares	Real Matters Inc.	1	2020-02-28	38	13.999	51,500	51,500
Real Matters Inc.	Common Shares	Real Matters Inc.	1	2020-02-28	38	13.999	0	-51,500
Regency Gold Corp.	Options	Radvak, William Joseph	5	2019-09-30	50	0.12		600,000
Regency Gold Corp.	Options	Radvak, William Joseph	5	2019-09-30	50	0.12		600,000
Relay Medical Corp. (formerly, ChroMedX Corp).	Common Shares	Invidx Corp	3	2020-02-26	10		15,955,552	-286,500
Relay Medical Corp. (formerly, ChroMedX Corp).	Common Shares	Invidx Corp	3	2020-03-02	10		15,788,052	-167,500
Rex Opportunity Corp.	Common Shares	Boyle, James Patrick	4, 5	2020-02-28	46	0.01	8,945,057	8,625,028
Rex Opportunity Corp.	Common Shares	Reid, Bruce	3, 4	2020-02-28	11	0.01	30,733,602	15,944,443
Richelieu Hardware Ltd.	Unités d'actions différées	Chicoyne, Denyse	4	2020-02-29	56	28.1	64,202	667
Richelieu Hardware Ltd.	Unités d'actions différées	Courteau, Robert	4, 5	2020-02-29	56	28.1	65,942	756
Richelieu Hardware Ltd.	Unités d'actions différées	Gauvin, Mathieu	4	2020-02-29	56	28.1	73,232	756
Richelieu Hardware Ltd.	Unités d'actions différées	Pomerleau, Pierre	4	2020-02-29	56	28.1	10,185	667
Richelieu Hardware Ltd.	Unités d'actions différées	Poulin, Marc	4	2020-02-29	56	28.1	20,357	667
Richelieu Hardware Ltd.	Unités d'actions différées	Vachon, Sylvie	4	2020-02-29	56	28.1	10,338	667
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2020-02-28	10	16.51	9,628,453	1,080
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2020-03-02	10	16.51	9,629,613	1,160
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2020-03-02	10	16.51	9,629,132	-481
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2020-03-03	10	16.425	9,643,774	14,642
Rio2 Limited	Common Shares	Williams, Timothy	5	2020-02-25	10	0.4	1,258,475	-3,500
Rio2 Limited	Common Shares	Williams, Timothy	5	2020-03-02	10	0.37	1,240,475	-18,000
Rio2 Limited	Common Shares	Williams, Timothy	5	2020-03-03	10	0.37	1,218,475	-22,000
RIOCAN REAL ESTATE INVESTMENT TRUST	Performance Equity Units	Ballantyne, John	5	2020-03-02	56	26.19	35,765	9,068
RIOCAN REAL ESTATE INVESTMENT TRUST	REU for Senior Executives	Ballantyne, John	5	2020-03-02	56	26.19	15,479	9,068
RIOCAN REAL ESTATE INVESTMENT TRUST	Performance Equity Units	Duncan, Andrew William	5	2020-03-02	56	26.19	28,688	9,068
RIOCAN REAL ESTATE INVESTMENT TRUST	REU for Senior Executives	Duncan, Andrew William	5	2020-03-02	56	26.19	14,583	9,068
RIOCAN REAL ESTATE INVESTMENT TRUST	Performance Equity Units	Gitlin, Jonathan	5	2020-03-02	56	26.19		12,409
RIOCAN REAL ESTATE INVESTMENT TRUST	Performance Equity Units	Gitlin, Jonathan	5	2020-03-02	56	26.19	53,140	20,045
RIOCAN REAL ESTATE INVESTMENT TRUST	REU for Senior Executives	Gitlin, Jonathan	5	2020-03-02	56	26.19		12,409
RIOCAN REAL ESTATE INVESTMENT TRUST	REU for Senior Executives	Gitlin, Jonathan	5	2020-03-02	56	26.19	29,558	20,045
RIOCAN REAL ESTATE INVESTMENT TRUST	Performance Equity Units	Harrison, Oliver	7	2019-02-01	00			

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RIOCAN REAL ESTATE INVESTMENT TRUST	Performance Equity Units	Harrison, Oliver	7	2020-03-02	56	26.19	5,512	5,512
RIOCAN REAL ESTATE INVESTMENT TRUST	REU for Senior Executives	Harrison, Oliver	7	2019-02-01	00			
RIOCAN REAL ESTATE INVESTMENT TRUST	REU for Senior Executives	Harrison, Oliver	7	2020-03-02	56	26.19	5,512	5,512
RIOCAN REAL ESTATE INVESTMENT TRUST	REUs for Employees	Kim, Rocky	7	2020-03-02	56	26.19	3,105	1,956
RIOCAN REAL ESTATE INVESTMENT TRUST	REUs for Employees	Lee, Kim	5	2020-03-02	56	26.19	5,116	5,116
RIOCAN REAL ESTATE INVESTMENT TRUST	REUs for Employees	Levy, Sandra Elizabeth	5	2020-03-02	56	26.19	11,313	4,047
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Marshall, S. Jane	4	2020-03-05	30		16,846	168
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	RioCan Real Estate Investment Trust	1	2020-02-24	10	27.508		51,952
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	RioCan Real Estate Investment Trust	1	2020-02-24	10	27.508		51,952
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	RioCan Real Estate Investment Trust	1	2020-02-26	30	27.508		-51,952
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	RioCan Real Estate Investment Trust	1	2020-02-26	30	27.508		-51,952
RIOCAN REAL ESTATE INVESTMENT TRUST	Performance Equity Units	Ross, Jeffrey	5	2020-03-02	56	26.19	35,765	9,068
RIOCAN REAL ESTATE INVESTMENT TRUST	REU for Senior Executives	Ross, Jeffrey	5	2020-03-02	56	26.19	15,479	9,068
RIOCAN REAL ESTATE INVESTMENT TRUST	REUs for Employees	Smith, Franca	5	2020-03-02	56	26.19	12,938	4,624
RIOCAN REAL ESTATE INVESTMENT TRUST	Performance Equity Units	Sonshine, Edward	4, 5	2020-03-02	56	26.19	202,931	49,637
RIOCAN REAL ESTATE INVESTMENT TRUST	REU for Senior Executives	Sonshine, Edward	4, 5	2020-03-02	56	26.19	125,941	49,637
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Sonshine, Edward	4, 5	2020-02-27	10	26.05	129,505	-3
RIOCAN REAL ESTATE INVESTMENT TRUST	REUs for Employees	Sturm, Naftali	8	2020-03-02	56	26.19	15,188	4,639
RIOCAN REAL ESTATE INVESTMENT TRUST	Performance Equity Units	Suess, Jennifer	5	2020-03-02	56	26.19	15,068	4,811
RIOCAN REAL ESTATE INVESTMENT TRUST	REU for Senior Executives	Suess, Jennifer	5	2020-03-02	56	26.19	9,507	4,811
RIOCAN REAL ESTATE INVESTMENT TRUST	Performance Equity Units	Tang, Qi	5	2020-03-02	56	26.19	40,090	12,409
RIOCAN REAL ESTATE INVESTMENT TRUST	REU for Senior Executives	Tang, Qi	5	2020-03-02	56	26.19	22,392	12,409
RIOCAN REAL ESTATE INVESTMENT TRUST	REUs for Employees	Wong, Jason	7	2020-03-02	56	26.19	10,270	3,539
Ritchie Bros. Auctioneers Incorporated	Common Shares	Ritchie Bros. Auctioneers Incorporated	1	2020-03-03	38	54	31,957	31,957
Ritchie Bros. Auctioneers Incorporated	Common Shares	Ritchie Bros. Auctioneers Incorporated	1	2020-03-03	38	54	0	-31,957
Ritchie Bros. Auctioneers Incorporated	Common Shares	Ritchie Bros. Auctioneers Incorporated	1	2020-03-03	38	40.44	72,801	72,801
Ritchie Bros. Auctioneers Incorporated	Common Shares	Ritchie Bros. Auctioneers Incorporated	1	2020-03-03	38	40.44	0	-72,801
Ritchie Bros. Auctioneers Incorporated	Options	Wohler, Todd	5	2020-03-04	59	41	134,832	-22,565
RIWI Corp.	Common Shares	Adelman, Kenneth	4	2020-02-28	10	1.74	8,000	3,000
Rogers Communications Inc.	Options (Non-Performance)	Agius, Eric Philip	5	2020-02-03	00			
Rogers Communications Inc.	Options (Non-Performance)	Agius, Eric Philip	5	2020-03-02	50		46,240	46,240
Rogers Communications Inc.	Performance Restricted Share Units	Agius, Eric Philip	5	2020-02-03	00			
Rogers Communications Inc.	Performance Restricted Share Units	Agius, Eric Philip	5	2020-03-02	56		5,595	5,595
Rogers Communications Inc.	Stock Appreciation Rights (Non-Performance)	Agius, Eric Philip	5	2020-02-03	00			

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Rogers Communications Inc.	Stock Appreciation Rights (Non-Performance)	Agius, Eric Philip	5	2020-03-02	56		46,240	46,240
Rogers Communications Inc.	Options (Non-Performance)	Banks, Jordan	5	2020-03-02	50		198,655	107,345
Rogers Communications Inc.	Performance Restricted Share Units	Banks, Jordan	5	2020-03-02	56		25,410	12,990
Rogers Communications Inc.	Stock Appreciation Rights (Non-Performance)	Banks, Jordan	5	2020-03-02	56		198,655	107,345
Rogers Communications Inc.	Options (Non-Performance)	Durocher, Lisa	5	2020-03-02	50		97,715	52,845
Rogers Communications Inc.	Performance Restricted Share Units	Durocher, Lisa	5	2020-03-02	56		17,122	6,395
Rogers Communications Inc.	Restricted Share Units	Durocher, Lisa	5	2020-03-01	59	62.556	3,895	-2,896
Rogers Communications Inc.	Stock Appreciation Rights (Non-Performance)	Durocher, Lisa	5	2020-03-02	56		97,715	52,845
Rogers Communications Inc.	Options (Non-Performance)	Fernandes, Jorge Manuel Capelas	5	2020-03-02	50		257,595	125,510
Rogers Communications Inc.	Performance Restricted Share Units	Fernandes, Jorge Manuel Capelas	5	2020-03-02	56		47,020	15,190
Rogers Communications Inc.	Stock Appreciation Rights (Non-Performance)	Fernandes, Jorge Manuel Capelas	5	2020-03-02	56		257,595	125,510
Rogers Communications Inc.	Options (Non-Performance)	Hartling, Philip	7	2020-03-02	50		109,825	59,455
Rogers Communications Inc.	Performance Restricted Share Units	Hartling, Philip	7	2020-03-02	56		14,045	7,195
Rogers Communications Inc.	Restricted Share Units	Hartling, Philip	7	2020-03-01	59	62.556	22,343	-4,344
Rogers Communications Inc.	Stock Appreciation Rights (Non-Performance)	Hartling, Philip	7	2020-03-02	56		109,825	59,455
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Horn, Alan Douglas	4, 6, 7, 5	2018-12-31	30		85,049	828
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Horn, Alan Douglas	4, 6, 7, 5	2018-12-31	30		85,838	789
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Horn, Alan Douglas	4, 6, 7, 5	2018-12-31	30		86,823	985
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Horn, Alan Douglas	4, 6, 7, 5	2019-12-31	30		79,769	829
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Horn, Alan Douglas	4, 6, 7, 5	2019-12-31	30		80,559	790
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Horn, Alan Douglas	4, 6, 7, 5	2019-12-31	30		81,310	751
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Horn, Alan Douglas	4, 6, 7, 5	2020-02-27	59	65.146	52,648	-28,662
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Horn, Alan Douglas	4, 6, 7, 5	2020-02-27	56		79,511	26,863
Rogers Communications Inc.	Options (Non-Performance)	Johnston, Brent Russell	5	2020-03-02	50		253,885	125,510
Rogers Communications Inc.	Performance Restricted Share Units	Johnston, Brent Russell	5	2020-03-02	56		44,275	15,190
Rogers Communications Inc.	Stock Appreciation Rights (Non-Performance)	Johnston, Brent Russell	5	2020-03-02	56		253,885	125,510
Rogers Communications Inc.	Options (Non-Performance)	McPhail, Graeme Howard	5	2020-03-02	50		102,385	49,545

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Rogers Communications Inc.	Performance Restricted Share Units	McPhail, Graeme Howard	5	2020-03-02	56		18,726	5,995
Rogers Communications Inc.	Stock Appreciation Rights (Non-Performance)	McPhail, Graeme Howard	5	2020-03-02	56		102,385	49,545
Rogers Communications Inc.	Options (Non-Performance)	Natale, Joe	4, 5	2020-03-02	50		931,375	528,450
Rogers Communications Inc.	Performance Restricted Share Units	Natale, Joe	4, 5	2020-03-02	56		252,001	63,945
Rogers Communications Inc.	Stock Appreciation Rights (Non-Performance)	Natale, Joe	4, 5	2020-03-02	56		931,375	528,450
Rogers Communications Inc.	Options (Non-Performance)	Palvetzian, Sevaun Taleen	5	2020-02-24	00			
Rogers Communications Inc.	Options (Non-Performance)	Palvetzian, Sevaun Taleen	5	2020-03-02	50		39,635	39,635
Rogers Communications Inc.	Performance Restricted Share Units	Palvetzian, Sevaun Taleen	5	2020-02-24	00			
Rogers Communications Inc.	Performance Restricted Share Units	Palvetzian, Sevaun Taleen	5	2020-03-02	56		4,800	4,800
Rogers Communications Inc.	Stock Appreciation Rights (Non-Performance)	Palvetzian, Sevaun Taleen	5	2020-02-24	00			
Rogers Communications Inc.	Stock Appreciation Rights (Non-Performance)	Palvetzian, Sevaun Taleen	5	2020-03-02	56		39,635	39,635
Rogers Communications Inc.	Options (Non-Performance)	Prevost, Dean	5	2020-03-02	50		261,260	125,510
Rogers Communications Inc.	Performance Restricted Share Units	Prevost, Dean	5	2020-03-02	56		48,674	15,190
Rogers Communications Inc.	Stock Appreciation Rights (Non-Performance)	Prevost, Dean	5	2020-03-02	56		261,260	125,510
Rogers Communications Inc.	Options (Non-Performance)	Reid, James M.	5	2020-03-02	50		255,380	92,480
Rogers Communications Inc.	Performance Deferred Share Units	Reid, James M.	5	2020-03-01	56		67,953	1,032
Rogers Communications Inc.	Performance Restricted Share Units	Reid, James M.	5	2020-03-02	56		37,291	11,190
Rogers Communications Inc.	Stock Appreciation Rights (Non-Performance)	Reid, James M.	5	2020-03-02	56		255,380	92,480
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers Control Trust	3	2018-12-31	30		164,722	1,080
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers Control Trust	3	2018-12-31	30		165,755	1,033
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers Control Trust	3	2018-12-31	30		167,004	1,249
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers Control Trust	3	2019-03-12	59	73	125,095	-41,909
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers Control Trust	3	2019-03-12	56		162,305	37,210
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers Control Trust	3	2019-12-31	30		163,386	1,081
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers Control Trust	3	2019-12-31	30		164,420	1,034
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers Control Trust	3	2019-12-31	30		165,530	1,110

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Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers Control Trust	3	2020-02-27	59	65.146	128,112	-37,418
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers Control Trust	3	2020-02-27	56		162,975	34,863
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Edward	4, 6, 7, 5	2018-12-31	30		6,225	63
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Edward	4, 6, 7, 5	2018-12-31	30		6,286	61
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Edward	4, 6, 7, 5	2018-12-31	30		6,352	66
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Edward	4, 6, 7, 5	2019-12-31	30		6,211	63
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Edward	4, 6, 7, 5	2019-12-31	30		6,272	61
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Edward	4, 6, 7, 5	2019-12-31	30		6,332	60
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Edward	4, 6, 7, 5	2020-02-27	59	65.146	4,143	-2,189
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Edward	4, 6, 7, 5	2020-02-27	56		6,143	2,000
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Loretta A.	4, 6	2018-12-31	30		6,225	63
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Loretta A.	4, 6	2018-12-31	30		6,286	61
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Loretta A.	4, 6	2018-12-31	30		6,352	66
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Loretta A.	4, 6	2019-12-31	30		10,211	63
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Loretta A.	4, 6	2019-12-31	30		10,272	61
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Loretta A.	4, 6	2019-12-31	30			179
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Loretta A.	4, 6	2019-12-31	30			179
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Loretta A.	4, 6	2019-12-31	30		10,451	179

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Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Loretta A.	4, 6	2020-02-27	59	65.146	8,262	-2,189
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Loretta A.	4, 6	2020-02-27	56		10,262	2,000
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Martha	4, 6	2018-12-31	30		6,225	63
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Martha	4, 6	2018-12-31	30		6,286	61
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Martha	4, 6	2018-12-31	30		6,352	66
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Martha	4, 6	2019-12-31	30		6,211	63
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Martha	4, 6	2019-12-31	30		6,272	61
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Martha	4, 6	2019-12-31	30		6,332	60
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Martha	4, 6	2020-02-27	59	65.146	4,143	-2,189
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Martha	4, 6	2020-02-27	56		6,143	2,000
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Melinda M.	4, 6, 7	2018-12-31	30		6,225	63
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Melinda M.	4, 6, 7	2018-12-31	30		6,286	61
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Melinda M.	4, 6, 7	2018-12-31	30		6,352	66
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Melinda M.	4, 6, 7	2019-12-31	30		6,211	63
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Melinda M.	4, 6, 7	2019-12-31	30		6,272	61
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Melinda M.	4, 6, 7	2019-12-31	30		6,332	60
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Melinda M.	4, 6, 7	2020-02-27	59	65.146	4,143	-2,189
Rogers Communications Inc.	Restricted Share Units of Rogers Telecommunications Limited	Rogers, Melinda M.	4, 6, 7	2020-02-27	56		6,143	2,000

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Rogers Communications Inc.	Options (Non-Performance)	Staffieri, Anthony	5	2019-03-14	38		278,385	-16,160
Rogers Communications Inc.	Options (Non-Performance)	Staffieri, Anthony	5	2019-03-14	38		262,745	-15,640
Rogers Communications Inc.	Options (Non-Performance)	Staffieri, Anthony	5	2019-03-14	38		245,785	-16,960
Rogers Communications Inc.	Options (Non-Performance)	Staffieri, Anthony	5	2019-03-14	38		228,207	-17,578
Rogers Communications Inc.	Options (Non-Performance)	Staffieri, Anthony	5	2020-03-02	50		380,137	151,930
Rogers Communications Inc.	Performance Restricted Share Units	Staffieri, Anthony	5	2020-03-01	56		78,643	1,651
Rogers Communications Inc.	Performance Restricted Share Units	Staffieri, Anthony	5	2020-03-01	59	62.556	48,031	-30,612
Rogers Communications Inc.	Performance Restricted Share Units	Staffieri, Anthony	5	2020-03-02	56		66,416	18,385
Rogers Communications Inc.	Stock Appreciation Rights (Non-Performance)	Staffieri, Anthony	5	2019-03-14	59	72.224		-16,160
Rogers Communications Inc.	Stock Appreciation Rights (Non-Performance)	Staffieri, Anthony	5	2019-03-14	59	27.243	278,385	-16,160
Rogers Communications Inc.	Stock Appreciation Rights (Non-Performance)	Staffieri, Anthony	5	2019-03-14	59	72.224		-15,640
Rogers Communications Inc.	Stock Appreciation Rights (Non-Performance)	Staffieri, Anthony	5	2019-03-14	59	22.27	262,745	-15,640
Rogers Communications Inc.	Stock Appreciation Rights (Non-Performance)	Staffieri, Anthony	5	2019-03-14	59	72.224		-16,960
Rogers Communications Inc.	Stock Appreciation Rights (Non-Performance)	Staffieri, Anthony	5	2019-03-14	59	15.528	245,785	-16,960
Rogers Communications Inc.	Stock Appreciation Rights (Non-Performance)	Staffieri, Anthony	5	2019-03-14	59	72.224		-17,578
Rogers Communications Inc.	Stock Appreciation Rights (Non-Performance)	Staffieri, Anthony	5	2019-03-14	59	13.774	228,207	-17,578
Rogers Communications Inc.	Stock Appreciation Rights (Non-Performance)	Staffieri, Anthony	5	2020-03-02	56		380,137	151,930
Rogers Sugar Inc.	Common Shares	holliday, john	5	2020-03-04	30	5.63	89,913	499
Rogers Sugar Inc.	Common Shares	Rogers Sugar Inc	1	2020-02-28	38		0	-190,646
ROK Resources Inc. (formerly Petrodorado Energy Ltd.)	Common Shares	Taylor, Cameron Wesley	3, 4, 5	2019-11-27	00		449,000	
ROK Resources Inc. (formerly Petrodorado Energy Ltd.)	Common Shares	Taylor, Cameron Wesley	3, 4, 5	2020-03-02	10	0.1	591,000	142,000
Rokmaster Resources Corp.	Common Shares	Cowin, Michael	4	2020-03-04	16	0.06	1,428,000	400,000
Rokmaster Resources Corp.	Warrants	Cowin, Michael	4	2020-02-28	53	0.1	1,900,000	1,300,000
Rokmaster Resources Corp.	Warrants	Cowin, Michael	4	2020-03-04	16	0.1	1,150,000	400,000
Rokmaster Resources Corp.	Common Shares	Mirko, John Martin	4, 5	2020-03-02	10	0.06	3,674,560	10,000
Rokmaster Resources Corp.	Common Shares	Mirko, John Martin	4, 5	2020-03-04	16	0.06	3,968,394	293,834
Rokmaster Resources Corp.	Warrants	Mirko, John Martin	4, 5	2020-03-04	53	0.06	1,493,834	293,834
Rokmaster Resources Corp.	Common Shares	Pankratz, Adam	4	2020-03-04	11	0.06	327,125	100,000
Rokmaster Resources Corp.	Warrants	Pankratz, Adam	4	2020-03-04	11	0.1	290,000	100,000
Royal Bank of Canada	Common Shares - Share Purchase Plans (RESSOP, DSSP, DSPP etc.)	Bolger, Rod	5	2019-12-31	30		5,289	795
Royal Bank of Canada	Common Shares	Dobbins, Michael	5	2019-12-31	30		1,936	72
Royal Bank of Canada	Common Shares - Share Purchase Plans (RESSOP, DSSP, DSPP etc.)	Dobbins, Michael	5	2019-12-31	30		2,713	336
Royal Bank of Canada	Common Shares	Gottschling, Helena	5	2019-12-31	30		1,273	54
Royal Bank of Canada	Common Shares - Share Purchase Plans (RESSOP, DSSP, DSPP etc.)	Gottschling, Helena	5	2019-12-31	30		12,951	919

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Royal Bank of Canada	Common Shares - Share Purchase Plans (RESSOP, DSSP, DSPP etc.)	guzman, douglas antony	5	2019-12-31	30		4,597	313
Royal Bank of Canada	Common Shares - Share Purchase Plans (RESSOP, DSSP, DSPP etc.)	Hepworth, Graeme Ashley	5	2019-12-31	30		642	194
Royal Bank of Canada	Common Shares - Share Purchase Plans (RESSOP, DSSP, DSPP etc.)	McKay, David Ian	4, 5	2019-12-31	30		31,358	2,467
Royal Bank of Canada	Common Shares - Share Purchase Plans (RESSOP, DSSP, DSPP etc.)	McLaughlin, Neil	5	2019-12-31	30		6,167	828
Royal Bank of Canada	Common Shares - Share Purchase Plans (RESSOP, DSSP, DSPP etc.)	Neldner, Derek Arthur	5	2019-12-31	30		12,359	118
Royal Bank of Canada	Common Shares - Share Purchase Plans (RESSOP, DSSP, DSPP etc.)	Ross, Bruce Washington	5	2019-12-31	30		3,642	614
Royal Bank of Canada	Common Shares	Royal Bank of Canada	1	2020-02-25	38	106.2	100,000	100,000
Royal Bank of Canada	Common Shares	Royal Bank of Canada	1	2020-02-25	38		0	-100,000
Royal Bank of Canada	Common Shares	Royal Bank of Canada	1	2020-02-27	38	102.17	165,600	165,600
Royal Bank of Canada	Common Shares	Royal Bank of Canada	1	2020-02-27	38		0	-165,600
Royal Bank of Canada	Common Shares	Royal Bank of Canada	1	2020-02-28	38	99.103	201,800	201,800
Royal Bank of Canada	Common Shares	Royal Bank of Canada	1	2020-02-28	38		0	-201,800
Rubicon Minerals Corporation	Common Shares	Jones, Peter Rhys	4	2020-02-27	16	1.02	29,600	19,600
Rubicon Minerals Corporation	Common Shares	Ogilvie, George O'Neil	4, 5	2020-02-27	16	1.02	580,479	98,039
Rupert Resources Ltd.	Options	Seabrook, Charlotte Louise	7	2020-03-05	00		1,200,000	
Rusoro Mining Ltd.	Common Shares	Agapov, Andre Vladimir	3, 4, 5	2020-02-28	10	0.05	85,648,167	511,000
Rusoro Mining Ltd.	Common Shares	Agapov, Andre Vladimir	3, 4, 5	2020-03-02	10	0.05	87,066,167	1,418,000
Seabridge Gold Inc.	Common Shares	Skurski, Michael George	5	2020-03-02	10	9.95	25,000	10,000
Secure Energy Services Inc.	Common Shares	Callihoo, Michael Wayne	5	2020-03-04	10	3.8	11,659	700
Secure Energy Services Inc.	Common Shares	Callihoo, Michael Wayne	5	2020-03-06	10	3.3	12,659	1,000
Secure Energy Services Inc.	Common Shares	Gransch, Allen Peter	5	2020-03-02	10	4.05	51,450	7,450
Secure Energy Services Inc.	Common Shares	Magus, Chad William	5	2017-09-05	00			
Secure Energy Services Inc.	Common Shares	Magus, Chad William	5	2020-02-28	10	3.774	7,500	7,500
Secure Energy Services Inc.	Common Shares	Mikuska, Michael Francis Guy	5	2020-02-28	10	3.99	32,479	6,300
Secure Energy Services Inc.	Common Shares	Paterson, Richard Shaun	5	2020-02-28	10	3.887	17,100	12,600
Seven Generations Energy Ltd.	Common Shares Class A	Johnston, Kevin James	5	2020-02-28	10	5.45	7,511	2,000
Seven Generations Energy Ltd.	Common Shares Class A	Proctor, Marty Leigh	4, 5	2020-03-02	10	5.3507	271,829	10,000
Seven Generations Energy Ltd.	Common Shares Class A	Seven Generations Energy Ltd.	1	2020-02-03	38	6.52	165,000	55,000
Seven Generations Energy Ltd.	Common Shares Class A	Seven Generations Energy Ltd.	1	2020-02-04	38	6.52	220,000	55,000
Seven Generations Energy Ltd.	Common Shares Class A	Seven Generations Energy Ltd.	1	2020-02-05	38	6.88	275,000	55,000
Seven Generations Energy Ltd.	Common Shares Class A	Seven Generations Energy Ltd.	1	2020-02-06	38	6.87	330,000	55,000
Seven Generations Energy Ltd.	Common Shares Class A	Seven Generations Energy Ltd.	1	2020-02-07	38	6.84	385,000	55,000
Seven Generations Energy Ltd.	Common Shares Class A	Seven Generations Energy Ltd.	1	2020-02-10	38	6.78	440,000	55,000
Seven Generations Energy Ltd.	Common Shares Class A	Seven Generations Energy Ltd.	1	2020-02-11	38	6.84	495,000	55,000
Seven Generations Energy Ltd.	Common Shares Class A	Seven Generations Energy Ltd.	1	2020-02-12	38	6.79	550,000	55,000

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Seven Generations Energy Ltd.	Common Shares Class A	Seven Generations Energy Ltd.	1	2020-02-13	38	6.78	605,000	55,000
Seven Generations Energy Ltd.	Common Shares Class A	Seven Generations Energy Ltd.	1	2020-02-14	38	6.71	660,000	55,000
Seven Generations Energy Ltd.	Common Shares Class A	Seven Generations Energy Ltd.	1	2020-02-18	38	6.66	715,000	55,000
Seven Generations Energy Ltd.	Common Shares Class A	Seven Generations Energy Ltd.	1	2020-02-19	38	6.8	770,000	55,000
Seven Generations Energy Ltd.	Common Shares Class A	Seven Generations Energy Ltd.	1	2020-02-20	38	6.84	825,000	55,000
Seven Generations Energy Ltd.	Common Shares Class A	Seven Generations Energy Ltd.	1	2020-02-21	38	6.78	880,000	55,000
Seven Generations Energy Ltd.	Common Shares Class A	Seven Generations Energy Ltd.	1	2020-02-24	38	6.4	935,000	55,000
Seven Generations Energy Ltd.	Common Shares Class A	Seven Generations Energy Ltd.	1	2020-02-25	38	6.07	990,000	55,000
Seven Generations Energy Ltd.	Common Shares Class A	Seven Generations Energy Ltd.	1	2020-02-26	38	5.93	1,045,000	55,000
Seven Generations Energy Ltd.	Common Shares Class A	Seven Generations Energy Ltd.	1	2020-02-27	38	5.59	1,100,000	55,000
Seven Generations Energy Ltd.	Common Shares Class A	Seven Generations Energy Ltd.	1	2020-02-28	38		55,000	-1,045,000
Sharc International Systems Inc. (formerly, International Wastewater Systems Inc.)	Warrants	Pannu, Hanspaul	5	2020-02-11	16	0.1		1,100,000
Sharc International Systems Inc. (formerly, International Wastewater Systems Inc.)	Warrants	Pannu, Hanspaul	5	2020-02-11	16	0.25	1,100,000	1,100,000
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	Estate of James R. Shaw	3	2020-02-27	30	24.584	459	11
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	Shaw, JR	3, 4, 5	2020-02-27	30	24.584	64,233	364
ShawCor Ltd.	Options	Anderson, Thomas Young	5	2020-02-29	50		42,195	14,595
ShawCor Ltd.	Common Shares	Blackwood, Derek Stuart	4	2011-05-12	00			
ShawCor Ltd.	Common Shares	Blackwood, Derek Stuart	4	2020-03-04	10	7.4298	25,000	25,000
ShawCor Ltd.	Common Shares	Blackwood, Derek Stuart	4	2020-03-05	10	7.2826	45,000	20,000
ShawCor Ltd.	Options	Cistrone, Frank	5	2020-02-29	50		71,619	17,519
ShawCor Ltd.	Options	Dewey, Simon Laurence	5	2020-02-29	50		20,586	11,786
ShawCor Ltd.	Common Shares	Dunn, Ronald Joseph	5	2020-03-04	57	7.45	38,157	4,970
ShawCor Ltd.	Employee Share Unit	Dunn, Ronald Joseph	5	2020-03-04	57	7.45	6,533	-4,970
ShawCor Ltd.	Options	Dunn, Ronald Joseph	5	2020-02-29	50		117,182	14,972
ShawCor Ltd.	Common Shares	ewert, darrell	5	2020-03-02	10	8.33	1,090	400
ShawCor Ltd.	Common Shares	ewert, darrell	5	2020-03-02	10	8.34	1,390	300
ShawCor Ltd.	Common Shares	ewert, darrell	5	2020-03-02	10	8.37	1,690	300
ShawCor Ltd.	Options	ewert, darrell	5	2020-02-29	50		44,212	6,012
ShawCor Ltd.	Options	Havern, Christopher	5	2020-02-29	50		24,810	14,310
ShawCor Ltd.	Options	Hutzul, Timothy	5	2020-02-29	50		20,596	11,996
ShawCor Ltd.	Common Shares	Orr, Stephen Michael	5	2020-03-04	10	7.45	37,865	9,000
ShawCor Ltd.	Common Shares	Orr, Stephen Michael	5	2020-03-04	57	7.45	89,175	51,310
ShawCor Ltd.	Employee Share Unit	Orr, Stephen Michael	5	2020-03-04	57	7.45	109,749	-51,310
ShawCor Ltd.	Options	Orr, Stephen Michael	5	2020-02-29	50		726,642	163,142
ShawCor Ltd.	Common Shares	Pierce, Pamela Sue	4	2020-03-03	10	5.93	17,000	5,000
ShawCor Ltd.	Common Shares	Pierroz, Paul Anthony	5	2020-03-02	57	8.41	13,607	3,740
ShawCor Ltd.	Common Shares	Pierroz, Paul Anthony	5	2020-03-02	10	841		-3,740
ShawCor Ltd.	Common Shares	Pierroz, Paul Anthony	5	2020-03-02	10	8.41	9,867	-3,740
ShawCor Ltd.	Common Shares	Pierroz, Paul Anthony	5	2020-03-04	57	7.39	13,607	3,740
ShawCor Ltd.	Common Shares	Pierroz, Paul Anthony	5	2020-03-06	57	6.93	20,276	6,669
ShawCor Ltd.	Common Shares	Pierroz, Paul Anthony	5	2020-03-06	57	7.39		3,740
ShawCor Ltd.	Employee Share Unit	Pierroz, Paul Anthony	5	2020-03-02	57	8.41	18,124	-3,740
ShawCor Ltd.	Employee Share Unit	Pierroz, Paul Anthony	5	2020-03-04	57	7.39	14,384	-3,740
ShawCor Ltd.	Employee Share Unit	Pierroz, Paul Anthony	5	2020-03-06	57	6.93	7,715	-6,669
ShawCor Ltd.	Options	Pierroz, Paul Anthony	5	2020-02-29	50		117,331	26,531
ShawCor Ltd.	Options	Reizer, Kevin Dominic	5	2020-02-29	50		106,015	33,615

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ShawCor Ltd.	Options	Smith, Geoffrey William	5	2020-01-06	00			
ShawCor Ltd.	Options	Smith, Geoffrey William	5	2020-02-29	50		11,615	11,615
ShawCor Ltd.	Common Shares	Tabak, Joseph	5	2020-03-06	57	7.07	12,179	8,679
ShawCor Ltd.	Employee Share Unit	Tabak, Joseph	5	2020-03-06	57	7.07	7,273	-8,679
ShawCor Ltd.	Options	Tabak, Joseph	5	2020-02-29	50		58,940	17,140
ShawCor Ltd.	Common Shares	Tano, Gaston Alfonso	5	2020-03-06	57	6.32	9,613	5,613
ShawCor Ltd.	Common Shares	Tano, Gaston Alfonso	5	2020-03-06	56	6.31		7,700
ShawCor Ltd.	Common Shares	Tano, Gaston Alfonso	5	2020-03-06	57	6.31	17,313	7,700
ShawCor Ltd.	Employee Share Unit	Tano, Gaston Alfonso	5	2020-03-06	57	6.32	40,606	-5,613
ShawCor Ltd.	Employee Share Unit	Tano, Gaston Alfonso	5	2020-03-06	57	6.31	32,906	-7,700
ShawCor Ltd.	Options	Tano, Gaston Alfonso	5	2020-02-29	50		114,625	71,425
ShawCor Ltd.	Options	Tausch, Henricus Adrianus Antonius Maria Tausch	5	2020-02-29	50		167,569	50,669
Sherritt International Corporation	Performance Share Units	Dobson, Timothy Leigh	5	2020-02-28	38		785,898	-206,125
Sherritt International Corporation	Performance Share Units	Dobson, Timothy Leigh	5	2020-02-28	59	0.16	688,898	-97,000
Sherritt International Corporation	Restricted Share Units	Dobson, Timothy Leigh	5	2020-02-28	59	0.16	611,298	-101,042
Sherritt International Corporation	Performance Share Units	Pathe, David V.	4, 5	2020-02-28	38		2,894,049	-495,833
Sherritt International Corporation	Performance Share Units	Pathe, David V.	4, 5	2020-02-28	59	0.16	2,660,715	-233,334
Sherritt International Corporation	Restricted Share Units	Pathe, David V.	4, 5	2020-02-28	59	0.16	2,310,715	-364,583
Sherritt International Corporation	Performance Share Units	Saruk, Elvin	5	2020-02-28	38		753,565	-137,416
Sherritt International Corporation	Performance Share Units	Saruk, Elvin	5	2020-02-28	59	0.16	688,898	-64,667
Sherritt International Corporation	Restricted Share Units	Saruk, Elvin	5	2020-02-28	59	0.16	611,298	-101,042
Sherritt International Corporation	Performance Share Units	Sellers, Edward Arnold	5	2020-02-28	38		776,872	-141,666
Sherritt International Corporation	Performance Share Units	Sellers, Edward Arnold	5	2020-02-28	59	0.16	710,205	-66,667
Sherritt International Corporation	Restricted Share Units	Sellers, Edward Arnold	5	2020-02-28	59	0.16	630,205	-104,167
Sherritt International Corporation	Performance Share Units	Snowden, Andrew Peter	5	2020-02-28	38		710,205	-85,000
Sherritt International Corporation	Performance Share Units	Snowden, Andrew Peter	5	2020-02-28	59	0.16	670,205	-40,000
Sherritt International Corporation	Restricted Share Units	Snowden, Andrew Peter	5	2019-11-04	59	0.25	691,961	-93,024
Sherritt International Corporation	Restricted Share Units	Snowden, Andrew Peter	5	2019-11-04	38		668,705	-23,256
Sherritt International Corporation	Restricted Share Units	Snowden, Andrew Peter	5	2020-02-28	59	0.16	606,205	-62,500
Sherritt International Corporation	Performance Share Units	Trenton, Karen Lynn	5	2020-02-28	38		736,872	-120,416
Sherritt International Corporation	Performance Share Units	Trenton, Karen Lynn	5	2020-02-28	59	0.16	680,205	-56,667
Sherritt International Corporation	Restricted Share Units	Trenton, Karen Lynn	5	2020-02-28	59	16	612,205	-88,542
Sherritt International Corporation	Performance Share Units	Wood, Stephen James	5	2020-02-28	38		877,865	-160,083
Sherritt International Corporation	Performance Share Units	Wood, Stephen James	5	2020-02-28	59	0.16	802,531	-75,334
Sherritt International Corporation	Restricted Share Units	Wood, Stephen James	5	2020-02-28	59	0.16	712,131	-117,708
Shopify Inc.	DSU	ASHE, ROBERT GERARD	4	2020-01-01	56	403.85		40
Shopify Inc.	DSU	ASHE, ROBERT GERARD	4	2020-01-01	56	403.85	712	40
Shopify Inc.	Options	Finkelstein, Harley Michael	5	2020-03-02	50	465.6	141,205	13,942
Shopify Inc.	RSU	Finkelstein, Harley Michael	5	2020-03-02	56		26,060	5,370
Shopify Inc.	RSU	Finkelstein, Harley Michael	5	2020-03-02	57		24,839	-1,221
Shopify Inc.	RSU	Finkelstein, Harley Michael	5	2020-03-03	57		20,661	-4,178

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Shopify Inc.	Subordinate Voting Shares Class A	Finkelstein, Harley Michael	5	2020-03-02	57		1,221	1,221
Shopify Inc.	Subordinate Voting Shares	Finkelstein, Harley Michael	5	2020-03-02	10	478.64	569	-652
Shopify Inc.	Subordinate Voting Shares Class A	Finkelstein, Harley Michael	5	2020-03-03	57		4,747	4,178
Shopify Inc.	Subordinate Voting Shares	Finkelstein, Harley Michael	5	2020-03-03	10	491.1	2,453	-2,294
Shopify Inc.	Subordinate Voting Shares Class A	Finkelstein, Harley Michael	5	2020-03-05	30	492.15	1,916	-537
Shopify Inc.	Subordinate Voting Shares	Finkelstein, Harley Michael	5	2020-03-06	30	488.26	78	-1,838
Shopify Inc.	Multiple Voting Shares Class B Multiple Voting Shares	Forsyth, Brittany Jean	5	2020-03-02	51	4.22	2,076	2,076
Shopify Inc.	Multiple Voting Shares Class B Multiple Voting Shares	Forsyth, Brittany Jean	5	2020-03-02	36		0	-2,076
Shopify Inc.	Options	Forsyth, Brittany Jean	5	2020-03-02	51	4.22	13,488	-2,076
Shopify Inc.	Options	Forsyth, Brittany Jean	5	2020-03-02	50	465.6	68,533	13,942
Shopify Inc.	RSU	Forsyth, Brittany Jean	5	2020-03-02	56		16,097	5,370
Shopify Inc.	RSU	Forsyth, Brittany Jean	5	2020-03-02	57		15,486	-611
Shopify Inc.	RSU	Forsyth, Brittany Jean	5	2020-03-03	57		14,442	-1,044
Shopify Inc.	Subordinate Voting Shares Class A	Forsyth, Brittany Jean	5	2020-03-02	36		2,076	2,076
Shopify Inc.	Subordinate Voting Shares	Forsyth, Brittany Jean	5	2020-03-02	30	475	0	-2,076
Shopify Inc.	Subordinate Voting Shares Class A	Forsyth, Brittany Jean	5	2020-03-02	57		611	611
Shopify Inc.	Subordinate Voting Shares	Forsyth, Brittany Jean	5	2020-03-02	10	478.64	284	-327
Shopify Inc.	Subordinate Voting Shares Class A	Forsyth, Brittany Jean	5	2020-03-03	57		1,328	1,044
Shopify Inc.	Subordinate Voting Shares	Forsyth, Brittany Jean	5	2020-03-03	10	491.1	755	-573
Shopify Inc.	Subordinate Voting Shares Class A	Forsyth, Brittany Jean	5	2020-03-05	30	492.13	486	-269
Shopify Inc.	Subordinate Voting Shares	Forsyth, Brittany Jean	5	2020-03-06	30	487.68	27	-459
Shopify Inc.	Options	Frasca, Joseph Andrew	5	2020-03-02	50	465.6	34,007	8,365
Shopify Inc.	RSU	Frasca, Joseph Andrew	5	2020-03-02	56		11,218	3,222
Shopify Inc.	RSU	Frasca, Joseph Andrew	5	2020-03-02	57		10,760	-458

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Shopify Inc.	Subordinate Voting Shares Class A	Frasca, Joseph Andrew	5	2020-03-02	57		1,466	458
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Subordinate Voting Shares Class A	Frasca, Joseph Andrew	5	2020-03-02	10	478.64	1,221	-245
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Multiple Voting Shares Class B Multiple Voting Shares	Lemieux, Jean-Michel	5	2020-03-02	51	5.17	1,000	1,000
Shopify Inc.	Multiple Voting Shares Class B Multiple Voting Shares	Lemieux, Jean-Michel	5	2020-03-02	36		0	-1,000
Shopify Inc.	Options	Lemieux, Jean-Michel	5	2020-03-02	51	5.17	37,574	-1,000
Shopify Inc.	Options	Lemieux, Jean-Michel	5	2020-02-26	51	62.15	55,949	-1,000
Shopify Inc.	Options	Lemieux, Jean-Michel	5	2020-03-02	50	465.6	75,468	19,519
Shopify Inc.	RSU	Lemieux, Jean-Michel	5	2020-03-02	56		16,558	7,518
Shopify Inc.	RSU	Lemieux, Jean-Michel	5	2020-03-02	57		16,100	-458
Shopify Inc.	RSU	Lemieux, Jean-Michel	5	2020-03-03	57		15,056	-1,044
Shopify Inc.	Subordinate Voting Shares Class A	Lemieux, Jean-Michel	5	2020-02-26	51	62.15	12,461	1,000
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Subordinate Voting Shares Class A	Lemieux, Jean-Michel	5	2020-03-02	36		13,461	1,000
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Subordinate Voting Shares Class A	Lemieux, Jean-Michel	5	2020-03-02	30	475	12,461	-1,000
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Subordinate Voting Shares Class A	Lemieux, Jean-Michel	5	2020-03-02	57		12,919	458
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Subordinate Voting Shares Class A	Lemieux, Jean-Michel	5	2020-03-02	10	478.64	12,674	-245
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Subordinate Voting Shares Class A	Lemieux, Jean-Michel	5	2020-03-02	47	637.33	11,674	-1,000
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Subordinate Voting Shares Class A	Lemieux, Jean-Michel	5	2020-03-03	57		12,718	1,044
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Subordinate Voting Shares Class A	Lemieux, Jean-Michel	5	2020-03-03	10	488.4	12,142	-576
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Options	Lutke, Tobias Albin	4, 5	2020-03-02	50	465.6	296,181	83,650
Shopify Inc.	Options	Lutke, Tobias Albin	4, 5	2020-03-02	51	136.55	282,679	-13,502
Shopify Inc.	RSU	Lutke, Tobias Albin	4, 5	2020-03-02	57		21,833	-2,441
Shopify Inc.	Subordinate Voting Shares Class A	Lutke, Tobias Albin	4, 5	2020-03-02	51	136.55	13,502	13,502
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Subordinate Voting Shares Class A	Lutke, Tobias Albin	4, 5	2020-03-02	30	473.53	0	-13,502
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Subordinate Voting Shares Class A	Lutke, Tobias Albin	4, 5	2020-03-02	57		2,441	2,441
Shopify Inc.	Subordinate Voting Shares							

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Shopify Inc.	Subordinate Voting Shares Class A	Lutke, Tobias Albin	4, 5	2020-03-02	10	478.64	1,137	-1,304
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Subordinate Voting Shares Class A	Lutke, Tobias Albin	4, 5	2020-03-05	30	492.14	63	-1,074
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Multiple Voting Shares Class B Multiple Voting Shares	Miller, Craig Stuart	5	2020-03-04	51	6.22	2,916	2,916
Shopify Inc.	Multiple Voting Shares Class B Multiple Voting Shares	Miller, Craig Stuart	5	2020-03-04	36		0	-2,916
Shopify Inc.	Options	Miller, Craig Stuart	5	2020-03-04	51	6.22	121,529	-2,916
Shopify Inc.	Options	Miller, Craig Stuart	5	2020-03-02	50	465.6	148,112	19,519
Shopify Inc.	RSU	Miller, Craig Stuart	5	2020-03-02	56		29,092	7,518
Shopify Inc.	RSU	Miller, Craig Stuart	5	2020-03-02	57		27,261	-1,831
Shopify Inc.	Subordinate Voting Shares Class A	Miller, Craig Stuart	5	2020-03-02	57		1,831	1,831
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Subordinate Voting Shares Class A	Miller, Craig Stuart	5	2020-03-02	10	478.64	853	-978
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Subordinate Voting Shares Class A	Miller, Craig Stuart	5	2020-03-04	36		3,769	2,916
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Subordinate Voting Shares Class A	Miller, Craig Stuart	5	2020-03-04	30	488.49	853	-2,916
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Subordinate Voting Shares Class A	Miller, Craig Stuart	5	2020-03-06	30	487.62	47	-806
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Options	Shapero, Amy Elizabeth	5	2020-03-02	50	465.6	69,806	16,730
Shopify Inc.	Options	Shapero, Amy Elizabeth	5	2020-03-05	51	137.72	69,151	-655
Shopify Inc.	RSU	Shapero, Amy Elizabeth	5	2020-03-02	56		37,132	6,444
Shopify Inc.	Subordinate Voting Shares Class A	Shapero, Amy Elizabeth	5	2020-03-05	51	137.72	10,753	655
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Subordinate Voting Shares Class A	Shapero, Amy Elizabeth	5	2020-03-05	30	495	10,098	-655
Shopify Inc.	Subordinate Voting Shares							
Sienna Senior Living Inc. (formerly Leisureworld Senior Care Corporation)	Common Shares	Alaimo, Cristina	5	2019-12-31	30	18.44		124
Sienna Senior Living Inc. (formerly Leisureworld Senior Care Corporation)	Common Shares	Alaimo, Cristina	5	2019-12-31	30	18.44		124
Sienna Senior Living Inc. (formerly Leisureworld Senior Care Corporation)	Common Shares	Alaimo, Cristina	5	2019-12-31	30	18.44	3,178	124
Sienna Senior Living Inc. (formerly Leisureworld Senior Care Corporation)	Common Shares	Alaimo, Cristina	5	2019-12-31	30	18.44	846	3
Sierra Wireless, Inc.	Options	Cataford, Paul G.	4	2020-03-03	52		4,157	-1,918
Sierra Wireless, Inc.	Common Shares	Overton, Marc Anthony John McHardy	5	2020-02-24	10	8.93	0	-6,459
Sierra Wireless, Inc.	Options	Sieber, Thomas	4	2020-03-03	52		5,457	-1,918
Silver Viper Minerals Corp.	Options	Brittliffe, Dale Andrew	5	2020-02-28	50		600,000	200,000
Silver Viper Minerals Corp.	Common Shares	Cope, Gary Gordon	4	2020-03-03	10	0.37	3,113,500	100,000
Silver Viper Minerals Corp.	Options	Cope, Gary Gordon	4	2020-02-28	50		450,000	150,000
Silver Viper Minerals Corp.	Options	Cope, Stephen Anson	4, 5	2020-02-28	50		900,000	300,000

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Silver Viper Minerals Corp.	Options	Freeze, Arthur Charles	4	2020-02-28	50		450,000	150,000
Silver Viper Minerals Corp.	Options	Hartzenberg, Carla	4, 5	2020-02-28	50		600,000	200,000
Silver Viper Minerals Corp.	Options	Tsakumis, Alexander	5	2020-02-28	50		600,000	200,000
Silver Viper Minerals Corp.	Options	Wilmot, Ross	4	2020-02-28	50	0.32	450,000	150,000
SilverCrest Metals Inc.	Common Shares	Glanville, Ross Owen	4	2020-03-01	11	8.05	415,859	-200,000
Sintana Energy Inc.	Rights	Austin, Sean	5	2020-01-20	56			50,000
Sintana Energy Inc.	Rights	Austin, Sean	5	2020-01-30	56		150,000	50,000
Sintana Energy Inc.	Common Shares	Bose, Robert	4	2020-02-14	54	0.1		3,325,000
Sintana Energy Inc.	Common Shares	Bose, Robert	4	2020-02-14	54	0.1	10,091,307	1,662,500
Sintana Energy Inc.	Rights	Cherry, David	5	2020-01-20	56			50,000
Sintana Energy Inc.	Rights	Cherry, David	5	2020-01-30	56		150,000	50,000
Sintana Energy Inc.	Rights	Manner, Douglas Glenn	4, 5	2020-01-20	56			50,000
Sintana Energy Inc.	Rights	Manner, Douglas Glenn	4, 5	2020-01-30	56		150,000	50,000
Sintana Energy Inc.	Rights	Spickelmier, Keith Dean	4, 5	2020-01-20	56			50,000
Sintana Energy Inc.	Rights	Spickelmier, Keith Dean	4, 5	2020-01-30	56		150,000	50,000
SKRR Exploration Inc.(formerly Canex Energy Corp.)	Common Shares	McElroy, Ross E.	4	2020-03-03	11	0.25	512,353	80,000
SKRR Exploration Inc.(formerly Canex Energy Corp.)	Common Shares	McElroy, Ross E.	4	2017-05-25	00			
Skyharbour Resources Ltd.	Warrants	Huston, Donald Carl	4	2020-03-02	55	0.3	0	-15,000
Skyharbour Resources Ltd.	Warrants	Kusmirski, Rick T.	4	2020-03-02	55	0.3	355,000	-100,000
Skyharbour Resources Ltd.	Warrants	PETTIT, JAMES, GAYDON	4	2020-03-02	55	0.3	30,000	-50,000
Skyharbour Resources Ltd.	Common Shares	Trimble, Jordan	4	2020-03-04	10	0.145	1,215,250	2,500
Skyharbour Resources Ltd.	Warrants	Trimble, Jordan	4	2020-03-02	55	0.3	565,000	-25,000
SLANG Worldwide Inc.	Common Shares	Driessen, Christopher Lee	4	2020-03-05	10	0.2696	1,968,847	-38,000
SLANG Worldwide Inc.	Common Shares	Driessen, Christopher Lee	4	2020-03-06	10	0.2554	1,920,847	-48,000
Slate Retail REIT	Trust Units Class U Units	Dunn, David Andrew	5	2019-12-16	00		400	
Slate Retail REIT	Trust Units Class U Units	Dunn, David Andrew	5	2020-02-28	10	12.407	2,500	2,100
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Trust Units	Gobin, Rudy	5	2020-02-28	10	28.99	10,000	2,000
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Trust Units	Goldhar, Mitchell	3	2020-02-28	10	2.65		4,000
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Trust Units	Goldhar, Mitchell	3	2020-02-28	10	28.65	1,286,778	4,000
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Trust Units	Goldhar, Mitchell	3	2020-02-28	10	28.69	1,291,778	5,000
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Trust Units	Goldhar, Mitchell	3	2020-02-28	10	28.71	1,292,278	500
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Trust Units	Goldhar, Mitchell	3	2020-02-28	10	28.72	1,293,678	1,400
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Trust Units	Goldhar, Mitchell	3	2020-02-28	10	28.73	1,299,678	6,000
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Trust Units	Goldhar, Mitchell	3	2020-02-28	10	28.74	1,307,678	8,000
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Trust Units	Goldhar, Mitchell	3	2020-02-28	10	28.745	1,308,378	700

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SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Trust Units	Goldhar, Mitchell	3	2020-02-28	10	28.755	1,310,378	2,000
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Trust Units	Goldhar, Mitchell	3	2020-02-28	10	28.76	1,312,378	2,000
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Trust Units	Goldhar, Mitchell	3	2020-02-28	10	28.765	1,315,178	2,800
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Trust Units	Goldhar, Mitchell	3	2020-02-28	10	28.77	1,315,978	800
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Trust Units	Goldhar, Mitchell	3	2020-02-28	10	28.78	1,316,778	800
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Deferred Units	Scully, Allan	5	2020-02-27	59	31.35	8,925	-1,950
SNC-Lavalin Group Inc.	Equity Swap-Long Position 3,900 Shares/Exp: Mar 9, 2023	Groupe SNC-Lavalin inc.	1	2003-06-01	00			
SNC-Lavalin Group Inc.	Equity Swap-Long Position 3,900 Shares/Exp: Mar 9, 2023	Groupe SNC-Lavalin inc.	1	2020-03-02	70		1	1
SNC-Lavalin Group Inc.	Equity Swap-Long Position 396,100 Shares/Exp: Mar 9, 2023	Groupe SNC-Lavalin inc.	1	2003-06-01	00			
SNC-Lavalin Group Inc.	Equity Swap-Long Position 396,100 Shares/Exp: Mar 9, 2023	Groupe SNC-Lavalin inc.	1	2020-03-02	70		1	1
SNC-Lavalin Group Inc.	Common Shares	Taylor, Alexander S.	5	2020-03-03	10	32.52	11,825	-800
SNC-Lavalin Group Inc.	Common Shares	Taylor, Alexander S.	5	2020-03-03	10	32.53	11,625	-200
SNC-Lavalin Group Inc.	Common Shares	Taylor, Alexander S.	5	2020-03-03	10	32.54	9,900	-1,725
SNC-Lavalin Group Inc.	Common Shares	Taylor, Alexander S.	5	2020-03-03	10	32.55	9,400	-500
SNC-Lavalin Group Inc.	Common Shares	Taylor, Alexander S.	5	2020-03-03	10	32.57	6,400	-3,000
SNC-Lavalin Group Inc.	Common Shares	Taylor, Alexander S.	5	2020-03-03	10	32.58	4,700	-1,700
SNC-Lavalin Group Inc.	Common Shares	Taylor, Alexander S.	5	2020-03-03	10	32.59	4,500	-200
SNC-Lavalin Group Inc.	Common Shares	Taylor, Alexander S.	5	2020-03-03	10	32.6	4,200	-300
SNC-Lavalin Group Inc.	Common Shares	Taylor, Alexander S.	5	2020-03-03	10	32.61	4,100	-100
SNC-Lavalin Group Inc.	Common Shares	Taylor, Alexander S.	5	2020-03-03	10	32.62	2,900	-1,200
SNC-Lavalin Group Inc.	Common Shares	Taylor, Alexander S.	5	2020-03-03	10	32.63	2,500	-400
SNC-Lavalin Group Inc.	Common Shares	Taylor, Alexander S.	5	2020-03-03	10	32.64	1,100	-1,400
SNC-Lavalin Group Inc.	Common Shares	Taylor, Alexander S.	5	2020-03-03	10	32.65	800	-300
SNC-Lavalin Group Inc.	Common Shares	Taylor, Alexander S.	5	2020-03-03	10	32.66	600	-200
SNC-Lavalin Group Inc.	Common Shares	Taylor, Alexander S.	5	2020-03-03	10	32.67	300	-300
SNC-Lavalin Group Inc.	Common Shares	Taylor, Alexander S.	5	2020-03-03	10	32.68	0	-300
Solarvest BioEnergy Inc.	Common Shares	Greenham, Gerri Joseph	4	2020-03-02	10	0.09	8,367,000	10,000
Solarvest BioEnergy Inc.	Common Shares	Greenham, Gerri Joseph	4	2020-03-02	10	0.1	8,391,000	24,000
Solarvest BioEnergy Inc.	Common Shares	Greenham, Gerri Joseph	4	2020-03-03	10	0.085	8,395,000	4,000
Solarvest BioEnergy Inc.	Common Shares	Greenham, Gerri Joseph	4	2020-03-03	10	0.08	8,395,500	500
Solarvest BioEnergy Inc.	Common Shares	Greenham, Gerri Joseph	4	2020-03-04	10	0.09	8,421,000	25,500
Solarvest BioEnergy Inc.	Common Shares	Greenham, Gerri Joseph	4	2020-03-05	10	0.1	8,437,000	16,000
Sonoro Metals Corp.	Common Shares	Darch, John Michael	4	2020-03-02	10	0.135	599,000	10,000
Sonoro Metals Corp.	Common Shares	Darch, John Michael	4	2020-03-05	10	0.135	609,000	10,000
Spin Master Corp.	Subordinate Voting Shares	Beardall, Chris	5	2020-03-06	10	16.642	206,261	50,000
Spin Master Corp.	Subordinate Voting Shares	Varadi, Ben	3, 4, 2	2020-03-06	10	16.998	84,078	59,000
SponsorsOne Inc.	Common Shares	Bartholomew, Myles	3	2020-02-10	10	0.04	3,217,596	-40,000
SponsorsOne Inc.	Common Shares	Bartholomew, Myles	3	2020-02-25	10	0.034	3,126,596	-91,000

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SponsorsOne Inc.	Common Shares	Bartholomew, Myles	3	2020-02-27	10	0.03	3,026,596	-100,000
Spot Coffee (Canada) Ltd.	Common Shares	Lorenzo, John Michael	4	2020-03-03	10	0.055	10,426,050	20,000
Spot Coffee (Canada) Ltd.	Common Shares	Lorenzo, John Michael	4	2020-03-04	10	0.06	10,436,050	10,000
Sprott Inc.	Common Shares	Coyne, Edward C.	5	2020-02-27	00		60,000	
Sprott Inc.	Restricted Share Units	Coyne, Edward C.	5	2020-02-27	00		40,000	
Sprott Inc.	Restricted Share Units	George, Whitney	7, 5	2015-02-27	00			
Sprott Inc.	Restricted Share Units	George, Whitney	7, 5	2020-03-03	56		652,799	652,799
SQI Diagnostics Inc.	Common Shares	Connor, Gerald R.	3, 4	2020-03-03	97		3,107,134	-4,466
SQI Diagnostics Inc.	Common Shares	Matthews, Wilmot Leslie	3, 4	2020-03-05	16	0.09	65,329,430	14,814,814
SQI Diagnostics Inc.	Warrants	Matthews, Wilmot Leslie	3, 4	2020-03-05	16		60,912,951	14,814,814
Standard Lithium Ltd.	Special Warrants	Alvaro, Anthony	1	2017-01-23	00			
Standard Lithium Ltd.	Special Warrants	Alvaro, Anthony	1	2017-01-23	00			
Standard Lithium Ltd.	Special Warrants	Alvaro, Anthony	1	2017-01-23	00			
Standard Lithium Ltd.	Special Warrants	Alvaro, Anthony	1	2020-02-21	53		400,000	400,000
Stantec Inc.	Common Shares	Castro, Leonardo De Oliveira	7	2020-03-02	51	32.9	3,964	3,964
Stantec Inc.	Common Shares	Castro, Leonardo De Oliveira	7	2020-03-02	10	40.834	0	-3,964
Stantec Inc.	Common Shares	Castro, Leonardo De Oliveira	7	2020-03-02	51	32.83	11,930	11,930
Stantec Inc.	Common Shares	Castro, Leonardo De Oliveira	7	2020-03-02	10	40.666	0	-11,930
Stantec Inc.	Options	Castro, Leonardo De Oliveira	7	2020-03-02	51	32.9	41,897	-3,964
Stantec Inc.	Options	Castro, Leonardo De Oliveira	7	2020-03-02	51	32.83	29,967	-11,930
Stantec Inc.	Common Shares	DiManno, Valentino	7, 5	2020-03-02	51	32.9	38,735	11,534
Stantec Inc.	Common Shares	DiManno, Valentino	7, 5	2020-03-02	10	40.59	27,201	-11,534
Stantec Inc.	Common Shares	DiManno, Valentino	7, 5	2020-03-02	51	32.83	45,601	18,400
Stantec Inc.	Common Shares	DiManno, Valentino	7, 5	2020-03-02	10	40.562	27,201	-18,400
Stantec Inc.	Options	DiManno, Valentino	7, 5	2020-03-02	51	32.9	69,009	-11,534
Stantec Inc.	Options	DiManno, Valentino	7, 5	2020-03-02	51	32.83	50,609	-18,400
Stantec Inc.	Share Appreciation Rights	Schefer, Catherine Margaret	7, 5	2020-03-02	59	40.1	20,841	-10,899
Stantec Inc.	Common Shares	Seager, Robert Harold	7	2020-03-02	51	32.9	12,174	5,574
Stantec Inc.	Common Shares	Seager, Robert Harold	7	2020-03-02	10	40.996	6,600	-5,574
Stantec Inc.	Common Shares	Seager, Robert Harold	7	2020-03-02	51	32.01	13,653	7,053
Stantec Inc.	Common Shares	Seager, Robert Harold	7	2020-03-02	10	40.988	6,600	-7,053
Stantec Inc.	Common Shares	Seager, Robert Harold	7	2020-03-02	51	32.83	16,648	10,048
Stantec Inc.	Common Shares	Seager, Robert Harold	7	2020-03-02	10	40.902	6,600	-10,048
Stantec Inc.	Common Shares	Seager, Robert Harold	7	2020-03-02	51	31.75	13,668	7,068
Stantec Inc.	Common Shares	Seager, Robert Harold	7	2020-03-02	10	41.018	6,600	-7,068
Stantec Inc.	Common Shares	Seager, Robert Harold	7	2020-03-02	51	32.98	9,750	3,150
Stantec Inc.	Common Shares	Seager, Robert Harold	7	2020-03-02	10	40.992	6,600	-3,150
Stantec Inc.	Options	Seager, Robert Harold	7	2020-03-02	51	32.9	37,154	-5,574
Stantec Inc.	Options	Seager, Robert Harold	7	2020-03-02	51	32.01	30,101	-7,053
Stantec Inc.	Options	Seager, Robert Harold	7	2020-03-02	51	32.83	20,053	-10,048
Stantec Inc.	Options	Seager, Robert Harold	7	2020-03-02	51	31.75	12,985	-7,068
Stantec Inc.	Options	Seager, Robert Harold	7	2020-03-02	51	32.98	9,835	-3,150
Stantec Inc.	Common Shares	Siaroff, Alexander Emree	7, 5	2020-01-01	00			
Stantec Inc.	Common Shares	Siaroff, Alexander Emree	7, 5	2020-03-02	51	32.01	3,412	3,412
Stantec Inc.	Common Shares	Siaroff, Alexander Emree	7, 5	2020-03-02	10	41	0	-3,412
Stantec Inc.	Common Shares	Siaroff, Alexander Emree	7, 5	2020-03-02	51	32.83	5,742	5,742
Stantec Inc.	Common Shares	Siaroff, Alexander Emree	7, 5	2020-03-02	10	41	0	-5,742
Stantec Inc.	Options	Siaroff, Alexander Emree	7, 5	2020-03-02	51	32.01	21,883	-3,412
Stantec Inc.	Options	Siaroff, Alexander Emree	7, 5	2020-03-02	51	32.83	16,141	-5,742
Stantec Inc.	Common Shares	Walter, Susan Furman	7	2020-01-01	00			
Stantec Inc.	Common Shares	Walter, Susan Furman	7	2020-03-05	51	32.9	4,000	4,000
Stantec Inc.	Common Shares	Walter, Susan Furman	7	2020-03-05	10	41.614	0	-4,000
Stantec Inc.	Common Shares	Walter, Susan Furman	7	2020-03-05	51	32.83	3,332	3,332

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Stantec Inc.	Common Shares	Walter, Susan Furman	7	2020-03-05	10	41.606	0	-3,332
Stantec Inc.	Options	Walter, Susan Furman	7	2020-03-05	51	32.9	16,317	-4,000
Stantec Inc.	Options	Walter, Susan Furman	7	2020-03-05	51	32.83	12,985	-3,332
Stantec Inc.	Common Shares	Wlad, Russell Edward	7	2020-03-04	51	20.875	13,600	7,000
Stantec Inc.	Common Shares	Wlad, Russell Edward	7	2020-03-04	10	41.118	6,600	-7,000
Stantec Inc.	Options	Wlad, Russell Edward	7	2020-03-04	51	20.875	36,404	-7,000
Starlight U.S. Multi-Family (No. 1) Core Plus Fund	Limited Partnership Units Class C	Drimmer, Daniel	4, 5	2019-12-10	00			
Starlight U.S. Multi-Family (No. 1) Core Plus Fund	Limited Partnership Units Class C	Drimmer, Daniel	4, 5	2020-02-28	15	10	387,500	387,500
Starlight U.S. Multi-Family (No. 1) Core Plus Fund	Limited Partnership Units Class C	Hanick, David Hartley	5	2019-12-10	00			
Starlight U.S. Multi-Family (No. 1) Core Plus Fund	Limited Partnership Units Class C	Hanick, David Hartley	5	2020-02-28	15	10	15,000	15,000
Starlight U.S. Multi-Family (No. 1) Core Plus Fund	Limited Partnership Units Class C	Kirsh, Evan Mitchell	5	2019-12-10	00			
Starlight U.S. Multi-Family (No. 1) Core Plus Fund	Limited Partnership Units Class C	Kirsh, Evan Mitchell	5	2020-02-28	15	10	100,000	100,000
Starlight U.S. Multi-Family (No. 1) Core Plus Fund	Limited Partnership Units Class C	Liddell, Martin	5	2019-12-10	00			
Starlight U.S. Multi-Family (No. 1) Core Plus Fund	Limited Partnership Units Class C	Liddell, Martin	5	2020-02-28	15	10	50,000	50,000
Starlight U.S. Multi-Family (No. 1) Core Plus Fund	Limited Partnership Units Class C	Rosenbaum, Harry	4	2020-01-31	00			
Starlight U.S. Multi-Family (No. 1) Core Plus Fund	Limited Partnership Units Class C	Rosenbaum, Harry	4	2020-02-28	15	10	20,000	20,000
Starlight U.S. Multi-Family (No. 1) Core Plus Fund	Limited Partnership Units Class C	Rosenberg, Graham Lawrence	4	2020-01-31	00			
Starlight U.S. Multi-Family (No. 1) Core Plus Fund	Limited Partnership Units Class C	Rosenberg, Graham Lawrence	4	2020-02-28	15	10	50,000	50,000
Starrex International Ltd.	Common Shares	Burns, Charles	4	2020-03-05	10	0.51	734,000	500
Stelco Holdings Inc.	Restricted Share Units	Simon, Paul	5	2019-12-31	30		6,313	556
Stelco Holdings Inc.	Restricted Share Units	Simon, Paul	5	2020-02-27	59	8	3,603	-2,710
Stella-Jones Inc.	Common Shares	Vachon, Eric	7	2016-10-03	90		0	-1,320
Stella-Jones Inc.	Common Shares	Vachon, Eric	7	2019-06-02	30	47.23		5
Stella-Jones Inc.	Common Shares	Vachon, Eric	7	2019-06-02	30	47.23		5
Stella-Jones Inc.	Common Shares	Vachon, Eric	7	2019-06-02	30	47.23		5
Stella-Jones Inc.	Common Shares	Vachon, Eric	7	2019-06-02	30	47.23	1,612	4
Stella-Jones Inc.	Common Shares	Vachon, Eric	7	2019-06-15	30	40.79		116
Stella-Jones Inc.	Common Shares	Vachon, Eric	7	2019-06-15	30	40.79		116
Stella-Jones Inc.	Common Shares	Vachon, Eric	7	2019-06-15	30	40.79		125
Stella-Jones Inc.	Common Shares	Vachon, Eric	7	2019-06-15	30	40.79		125
Stella-Jones Inc.	Common Shares	Vachon, Eric	7	2019-06-15	30	40.79	1,700	88
Stella-Jones Inc.	Common Shares	Vachon, Eric	7	2019-09-15	30	35.404		115
Stella-Jones Inc.	Common Shares	Vachon, Eric	7	2019-09-15	30	35.404		115
Stella-Jones Inc.	Common Shares	Vachon, Eric	7	2019-09-15	30	35.404		122
Stella-Jones Inc.	Common Shares	Vachon, Eric	7	2019-09-15	30	35.404		122
Stella-Jones Inc.	Common Shares	Vachon, Eric	7	2019-09-15	30	35.404	1,786	86
Stella-Jones Inc.	Common Shares	Vachon, Eric	7	2019-09-20	30	39.52		6
Stella-Jones Inc.	Common Shares	Vachon, Eric	7	2019-09-20	30	39.52		6
Stella-Jones Inc.	Common Shares	Vachon, Eric	7	2019-09-20	30	39.52		4
Stella-Jones Inc.	Common Shares	Vachon, Eric	7	2019-09-20	30	39.52		4
Stella-Jones Inc.	Common Shares	Vachon, Eric	7	2019-09-20	30	39.52	1,790	4
Stella-Jones Inc.	Common Shares	Vachon, Eric	7	2019-12-15	30	32.962	1,905	115
Stella-Jones Inc.	Common Shares	Vachon, Eric	7	2019-12-19	30	38.02	1,909	4
Stella-Jones Inc.	Common Shares	Vachon, Eric	7	2016-10-03	90		3,680	1,320
Stingray Group Inc.	Subordinate Voting Shares	Stingray Group Inc.	1	2020-02-03	38	6.4203	48,000	16,000
Stingray Group Inc.	Subordinate Voting Shares	Stingray Group Inc.	1	2020-02-04	38	6.3234	64,000	16,000

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Stingray Group Inc.	Subordinate Voting Shares	Stingray Group Inc.	1	2020-02-05	38	5.9589	275,500	211,500
Stingray Group Inc.	Subordinate Voting Shares	Stingray Group Inc.	1	2020-02-06	38	6.1404	291,500	16,000
Stingray Group Inc.	Subordinate Voting Shares	Stingray Group Inc.	1	2020-02-07	38	6.0867	307,504	16,004
Stingray Group Inc.	Subordinate Voting Shares	Stingray Group Inc.	1	2020-02-10	38	6.0889	323,508	16,004
Stingray Group Inc.	Subordinate Voting Shares	Stingray Group Inc.	1	2020-02-11	38	6.0273	339,512	16,004
Stingray Group Inc.	Subordinate Voting Shares	Stingray Group Inc.	1	2020-02-12	38	6.2739	355,516	16,004
Stingray Group Inc.	Subordinate Voting Shares	Stingray Group Inc.	1	2020-02-13	38	6.1938	371,520	16,004
Stingray Group Inc.	Subordinate Voting Shares	Stingray Group Inc.	1	2020-02-14	38	6.2171	387,524	16,004
Stingray Group Inc.	Subordinate Voting Shares	Stingray Group Inc.	1	2020-02-18	38	6.2284	403,528	16,004
Stingray Group Inc.	Subordinate Voting Shares	Stingray Group Inc.	1	2020-02-19	38	6.2954	419,532	16,004
Stingray Group Inc.	Subordinate Voting Shares	Stingray Group Inc.	1	2020-02-20	38	6.2289	435,536	16,004
Stingray Group Inc.	Subordinate Voting Shares	Stingray Group Inc.	1	2020-02-21	38	6.1098	451,540	16,004
Stingray Group Inc.	Subordinate Voting Shares	Stingray Group Inc.	1	2020-02-24	38	5.9992	553,244	101,704
Stingray Group Inc.	Subordinate Voting Shares	Stingray Group Inc.	1	2020-02-25	38	5.8146	569,248	16,004
Stingray Group Inc.	Subordinate Voting Shares	Stingray Group Inc.	1	2020-02-26	38	5.71	617,648	48,400
Stingray Group Inc.	Subordinate Voting Shares	Stingray Group Inc.	1	2020-02-26	38	5.7413	619,252	1,604
Stingray Group Inc.	Subordinate Voting Shares	Stingray Group Inc.	1	2020-02-27	38	5.4769	630,056	10,804
Stingray Group Inc.	Subordinate Voting Shares	Stingray Group Inc.	1	2020-02-28	38	5.36	696,856	66,800
Stingray Group Inc.	Subordinate Voting Shares	Stingray Group Inc.	1	2020-02-28	38	5.3874	698,260	1,404
Stingray Group Inc.	Subordinate Voting Shares	Stingray Group Inc.	1	2020-02-28	38		79,008	-619,252
StorageVault Canada Inc.	Common Shares	Fleming, Jay Lynne	4	2018-05-30	00		2,000,000	
StorageVault Canada Inc.	Common Shares	Fleming, Jay Lynne	4	2018-05-30	00		1,000,000	
StorageVault Canada Inc.	Common Shares	Fleming, Jay Lynne	4	2018-05-30	00		2,000,000	
StorageVault Canada Inc.	Common Shares	Khan, Iqbal	4, 5	2020-03-03	10	3.5	294,473	28,500
StorageVault Canada Inc.	Rights RSU	Khan, Iqbal	4, 5	2020-03-04	56		180,970	90,000
StorageVault Canada Inc.	Common Shares	Scott, Steven Robert	4, 5	2020-03-03	10	3.55	95,762	8,250
StorageVault Canada Inc.	Common Shares	Scott, Steven Robert	4, 5	2020-03-03	10	3.55	16,952	1,394
StorageVault Canada Inc.	Common Shares	Scott, Steven Robert	4, 5	2015-04-28	00			
StorageVault Canada Inc.	Common Shares	Scott, Steven Robert	4, 5	2020-03-03	10	3.55	1,640	1,640
StorageVault Canada Inc.	Common Shares	Scott, Steven Robert	4, 5	2020-03-03	10	3.55	92,192	5,921
StorageVault Canada Inc.	Common Shares	Scott, Steven Robert	4, 5	2020-03-03	10	3.55	7,764	1,669
StorageVault Canada Inc.	Rights RSU	Scott, Steven Robert	4, 5	2020-03-04	56		201,078	100,000
StorageVault Canada Inc.	Common Shares	StorageVault Canada Inc.	1	2020-02-05	10	3.75	18,000	18,000
StorageVault Canada Inc.	Common Shares	StorageVault Canada Inc.	1	2020-02-07	38		0	-18,000
Strad Inc. (formerly Strad Energy Services Ltd.)	Common Shares	Cannell Capital LLC	3	2019-09-12	00		343,232	
Strad Inc. (formerly Strad Energy Services Ltd.)	Common Shares	Cannell Capital LLC	3	2020-01-27	10	1.3718	360,268	17,036
Strad Inc. (formerly Strad Energy Services Ltd.)	Common Shares	Cannell Capital LLC	3	2020-01-27	10	1.368	367,350	7,082
Strad Inc. (formerly Strad Energy Services Ltd.)	Common Shares	Cannell Capital LLC	3	2020-02-20	10	1.3548	398,744	31,394
Strad Inc. (formerly Strad Energy Services Ltd.)	Common Shares	Cannell Capital LLC	3	2019-09-12	00		1,474,917	

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Strad Inc. (formerly Strad Energy Services Ltd.)	Common Shares	Cannell Capital LLC	3	2020-01-27	10	1.3718	1,614,256	139,339
Strad Inc. (formerly Strad Energy Services Ltd.)	Common Shares	Cannell Capital LLC	3	2020-01-27	10	1.368	1,672,174	57,918
Strad Inc. (formerly Strad Energy Services Ltd.)	Common Shares	Cannell Capital LLC	3	2020-02-11	10	1.3548	1,773,357	101,183
Strad Inc. (formerly Strad Energy Services Ltd.)	Common Shares	Cannell Capital LLC	3	2020-02-20	10	1.3576	1,909,050	135,693
Strad Inc. (formerly Strad Energy Services Ltd.)	Common Shares	Cannell Capital LLC	3	2019-09-12	00		1,269,424	
Strad Inc. (formerly Strad Energy Services Ltd.)	Common Shares	Cannell Capital LLC	3	2019-09-12	00		2,585,337	
Strad Inc. (formerly Strad Energy Services Ltd.)	Common Shares	Strad Inc.	1	2020-02-03	38	1.79	18,290	2,998
Strad Inc. (formerly Strad Energy Services Ltd.)	Common Shares	Strad Inc.	1	2020-02-04	38	1.79	21,288	2,998
Strad Inc. (formerly Strad Energy Services Ltd.)	Common Shares	Strad Inc.	1	2020-02-05	38	1.79	24,286	2,998
Strad Inc. (formerly Strad Energy Services Ltd.)	Common Shares	Strad Inc.	1	2020-02-06	38	1.8	27,186	2,900
Strad Inc. (formerly Strad Energy Services Ltd.)	Common Shares	Strad Inc.	1	2020-02-06	38		20,888	-6,298
Strad Inc. (formerly Strad Energy Services Ltd.)	Common Shares	Strad Inc.	1	2020-02-07	38	1.79	23,886	2,998
Strad Inc. (formerly Strad Energy Services Ltd.)	Common Shares	Strad Inc.	1	2020-02-07	38		11,894	-11,992
Strad Inc. (formerly Strad Energy Services Ltd.)	Common Shares	Strad Inc.	1	2020-02-10	38	1.79	14,892	2,998
Strad Inc. (formerly Strad Energy Services Ltd.)	Common Shares	Strad Inc.	1	2020-02-11	38	1.79	16,892	2,000
Strad Inc. (formerly Strad Energy Services Ltd.)	Common Shares	Strad Inc.	1	2020-02-12	38	1.8	19,890	2,998
Strad Inc. (formerly Strad Energy Services Ltd.)	Common Shares	Strad Inc.	1	2020-02-14	38	1.79	19,990	100
Strad Inc. (formerly Strad Energy Services Ltd.)	Common Shares	Strad Inc.	1	2020-02-18	38	1.8	22,890	2,900
Strad Inc. (formerly Strad Energy Services Ltd.)	Common Shares	Strad Inc.	1	2020-02-19	38	1.79	25,888	2,998
Strad Inc. (formerly Strad Energy Services Ltd.)	Common Shares	Strad Inc.	1	2020-02-20	38	1.8	26,586	698
Strad Inc. (formerly Strad Energy Services Ltd.)	Common Shares	Strad Inc.	1	2020-02-21	38	1.8	29,584	2,998
Strad Inc. (formerly Strad Energy Services Ltd.)	Common Shares	Strad Inc.	1	2020-02-21	38		6,694	-22,890
Strad Inc. (formerly Strad Energy Services Ltd.)	Common Shares	Strad Inc.	1	2020-02-28	38		0	-6,694
Stuhini Exploration Ltd.	Options	Aliperti, Fiore	4	2020-02-28	50	0.25	210,000	45,000
Stuhini Exploration Ltd.	Options	Fogarassy, Tony	4	2020-02-28	50	0.25	130,000	45,000
Stuhini Exploration Ltd.	Options	KAMIMURA, CHARLES SHIGEO	5	2020-02-28	50		95,000	35,000
Stuhini Exploration Ltd.	Options	Nohdomi, Kazuki	4	2020-02-28	50	0.25	130,000	45,000
Stuhini Exploration Ltd.	Options	O'Brien, David Bruce	5	2020-02-27	50			45,000
Stuhini Exploration Ltd.	Options	O'Brien, David Bruce	5	2020-02-28	50		210,000	45,000
Stuhini Exploration Ltd.	Options	Siliina, Yanika	5	2020-02-28	50	0.25	105,000	35,000
Stuhini Exploration Ltd.	Options	Thompson, Gary Robert	4	2020-02-28	50	0.25	210,000	45,000
Summit Industrial Income REIT	Trust Units	Dykeman, Paul	4, 5	2020-02-27	90		1,593,666	823,250
Summit Industrial Income REIT	Trust Units	Dykeman, Paul	4, 5	2012-09-25	00			
Summit Industrial Income REIT	Trust Units	Dykeman, Paul	4, 5	2020-02-27	90		1	1
Summit Industrial Income REIT	Trust Units	Maroun, Louis	4	2019-04-17	10	11.26		2,691
Summit Industrial Income REIT	Trust Units	Maroun, Louis	4	2019-04-17	10	11.26		2,691

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Summit Industrial Income REIT	Trust Units	Maroun, Louis	4	2019-04-17	10	11.26	707,231	2,691
Summit Industrial Income REIT	Trust Units	Maroun, Louis	4	2019-05-17	10	11.72	792,264	2,593
Summit Industrial Income REIT	Trust Units	Maroun, Louis	4	2019-06-20	10	12.33	795,812	2,589
Summit Industrial Income REIT	Trust Units	Maroun, Louis	4	2019-07-17	10	12.55	798,365	2,553
Summit Industrial Income REIT	Trust Units	Maroun, Louis	4	2019-08-19	10	12.35	800,969	2,604
Summit Industrial Income REIT	Trust Units	Maroun, Louis	4	2019-09-18	10	11.99	803,662	2,693
Summit Industrial Income REIT	Trust Units	Maroun, Louis	4	2019-10-09	10	12.49	808,167	4,505
Summit Industrial Income REIT	Trust Units	Maroun, Louis	4	2019-10-18	10	12.62	811,032	2,865
Summit Industrial Income REIT	Trust Units	Maroun, Louis	4	2019-11-19	10	11.97	814,080	3,048
Summit Industrial Income REIT	Trust Units	Maroun, Louis	4	2019-12-23	10	11.98	817,137	3,057
Summit Industrial Income REIT	Trust Units	Maroun, Louis	4	2020-01-24	10	11.31	820,386	3,249
Summit Industrial Income REIT	Trust Units	Maroun, Louis	4	2020-02-19	10	12.89	823,250	2,864
Summit Industrial Income REIT	Trust Units	Maroun, Louis	4	2020-02-27	90		0	-823,250
Summit Industrial Income REIT	Trust Units	Maroun, Louis	4	2019-05-17	11			6,666,666
Summit Industrial Income REIT	Trust Units	Maroun, Louis	4	2019-05-17	11		6,669,544	6,666,666
Sun Life Financial Inc.	Common Shares	Connor, Dean	4, 5	2020-03-02	51	21.53	114,150	28,103
Sun Life Financial Inc.	Common Shares	Connor, Dean	4, 5	2020-03-02	10	57.558	86,047	-28,103
Sun Life Financial Inc.	Options	Connor, Dean	4, 5	2020-03-02	51	21.53	1,499,484	-28,103
Sun Life Financial Inc.	Common Shares	Sun Life Financial	1	2020-02-19	38	65.241	104,700	104,700
Sun Life Financial Inc.	Common Shares	Sun Life Financial	1	2020-02-20	38	65.348	272,000	167,300
Sun Life Financial Inc.	Common Shares	Sun Life Financial	1	2020-02-21	38	65.052	534,400	262,400
Sun Life Financial Inc.	Common Shares	Sun Life Financial	1	2020-02-21	38		429,700	-104,700
Sun Life Financial Inc.	Common Shares	Sun Life Financial	1	2020-02-24	38	63.614	654,600	224,900
Sun Life Financial Inc.	Common Shares	Sun Life Financial	1	2020-02-25	38	62.832	789,600	135,000
Sun Life Financial Inc.	Common Shares	Sun Life Financial	1	2020-02-26	38	62.212	939,600	150,000
Sun Life Financial Inc.	Common Shares	Sun Life Financial	1	2020-02-27	38	60.754	1,069,600	130,000
Sun Life Financial Inc.	Common Shares	Sun Life Financial	1	2020-02-28	38	57.567	1,242,981	173,381
Sun Life Financial Inc.	Common Shares	Sun Life Financial	1	2020-02-28	38		303,381	-939,600
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-03	30	187259		187,259
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-03	30	187259		187,259
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-03	30			-187,259
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-03	30			-187,259
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-03	30	30.294	187,259	187,259
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-03	30		0	-187,259
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-04	30	185860		185,860
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-04	30	185860		185,860
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-04	30			-185,860
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-04	30			-185,860
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-04	30	30.348	185,860	185,860
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-04	30		0	-185,860
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-05	30	184824		184,824
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-05	30	184824		184,824
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-05	30			-184,824
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-05	30			-184,824
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-05	30	30.588	184,824	184,824
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-05	30		0	-184,824
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-06	30	29.831	220,628	220,628

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Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-06	30		0	-220,628
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-07	30	29.17	225,644	225,644
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-07	30		0	-225,644
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-10	30	29.041	226,461	226,461
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-10	30		0	-226,461
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-11	30	29.441	223,069	223,069
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-11	30		0	-223,069
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-12	30	29.504	222,988	222,988
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-12	30		0	-222,988
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-13	30	29.453	224,305	224,305
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-13	30		0	-224,305
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-14	30	29.665	222,510	222,510
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-14	30		0	-222,510
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-18	30	29.962	220,373	220,373
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-18	30		0	-220,373
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-19	30	30.352	186,401	186,401
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-19	30		0	-186,401
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-20	30	30.582	185,350	185,350
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-20	30		0	-185,350
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-21	30	30.334	186,475	186,475
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-21	30		0	-186,475
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-24	30	29.447	224,804	224,804
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-24	30		0	-224,804
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-25	30	29.157	225,912	225,912
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-25	30		0	-225,912
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-26	30	28.909	227,822	227,822
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-26	30		0	-227,822
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-27	30	27.783	270,219	270,219
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-27	30		0	-270,219
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-28	30	27.039	276,870	276,870
Suncor Energy Inc.	Common Shares	Suncor Energy Inc.	1	2020-02-28	30		0	-276,870
SunOpta Inc.	Common Shares	Barnett, Jill	5	2020-03-06	30	2.1284	31,892	2,960
SunOpta Inc.	Common Shares	Buick, Mike	5	2020-03-06	30	2.1284	61,876	2,777
Superior Plus Corp.	Rights Performance Share Units	Bechberger, Edward Joseph	5	2020-01-16	59	12.522	75,209	-10,505
Superior Plus Corp.	Rights Performance Share Units	Bechberger, Edward Joseph	5	2020-02-28	56		97,278	22,069
Superior Plus Corp.	Rights Restricted Share Units	Bechberger, Edward Joseph	5	2020-01-16	59	12.522	20,816	-20,492
Superior Plus Corp.	Rights Restricted Share Units	Bechberger, Edward Joseph	5	2020-02-28	56		42,885	22,069
Superior Plus Corp.	Rights Deferred Share Units	Best, Catherine May	4	2020-02-28	56		73,678	7,703
Superior Plus Corp.	Rights Deferred Share Units	Bissell, Eugene V.N.	4	2020-02-28	56		65,129	10,345
Superior Plus Corp.	Rights Deferred Share Units	BRADEEN, RICHARD	4	2020-02-28	56		48,035	481
Superior Plus Corp.	Rights Deferred Share Units	BRADEEN, RICHARD	4	2020-02-28	56		48,516	481
Superior Plus Corp.	Rights Deferred Share Units	BRADEEN, RICHARD	4	2020-02-28	56		48,997	481
Superior Plus Corp.	Rights Deferred Share Units	BRADEEN, RICHARD	4	2020-02-28	56		56,700	7,703
Superior Plus Corp.	Rights Performance Share Units	Desjardins, Luc	4, 5	2020-01-16	59	12.522	315,544	-44,090
Superior Plus Corp.	Rights Performance Share Units	Desjardins, Luc	4, 5	2020-02-28	56		395,586	80,042
Superior Plus Corp.	Rights Restricted Share Units	Desjardins, Luc	4, 5	2020-01-16	59	12.522	88,388	-86,906
Superior Plus Corp.	Rights Restricted Share Units	Desjardins, Luc	4, 5	2020-02-28	56		168,430	80,042
Superior Plus Corp.	Common Shares	Engelen, John	5	2020-02-28	10	10	0	-2,400

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Superior Plus Corp.	Rights Performance Share Units	Engelen, John	5	2020-01-16	59	12.522	27,778	-5,676
Superior Plus Corp.	Rights Performance Share Units	Engelen, John	5	2020-02-28	56		36,536	8,758
Superior Plus Corp.	Rights Restricted Share Units	Engelen, John	5	2020-01-16	59	12.522	11,387	-10,931
Superior Plus Corp.	Rights Restricted Share Units	Engelen, John	5	2020-02-28	56		20,145	8,758
Superior Plus Corp.	Rights Deferred Share Units	Findlay, Randall J.	4	2020-02-28	56		78,249	674
Superior Plus Corp.	Rights Deferred Share Units	Findlay, Randall J.	4	2020-02-28	56		78,923	674
Superior Plus Corp.	Rights Deferred Share Units	Findlay, Randall J.	4	2020-02-28	56		79,597	674
Superior Plus Corp.	Rights Deferred Share Units	Findlay, Randall J.	4	2020-02-28	56		87,300	7,703
Superior Plus Corp.	Rights Deferred Share Units	Gottschalk, Patrick Edward	4	2020-02-28	56		27,296	1,262
Superior Plus Corp.	Rights Deferred Share Units	Gottschalk, Patrick Edward	4	2020-02-28	56		28,571	1,275
Superior Plus Corp.	Rights Deferred Share Units	Gottschalk, Patrick Edward	4	2020-02-28	56		29,835	1,264
Superior Plus Corp.	Rights Deferred Share Units	Gottschalk, Patrick Edward	4	2020-02-28	56		40,180	10,345
Superior Plus Corp.	Rights Deferred Share Units	Harrison, Douglas	4	2020-02-28	56		43,655	7,703
Superior Plus Corp.	Rights Performance Share Units	Hribar, Darren Bart	5	2020-01-16	59	12.522	44,231	-7,969
Superior Plus Corp.	Rights Performance Share Units	Hribar, Darren Bart	5	2020-02-28	56		62,582	18,351
Superior Plus Corp.	Rights Restricted Share Units	Hribar, Darren Bart	5	2020-01-16	59	12.522	18,303	-17,484
Superior Plus Corp.	Rights Restricted Share Units	Hribar, Darren Bart	5	2020-02-28	56		36,654	18,351
Superior Plus Corp.	Rights Deferred Share Units	Jordan, Mary	4	2020-02-28	56		61,423	481
Superior Plus Corp.	Rights Deferred Share Units	Jordan, Mary	4	2020-02-28	56		61,904	481
Superior Plus Corp.	Rights Deferred Share Units	Jordan, Mary	4	2020-02-28	56		62,385	481
Superior Plus Corp.	Rights Deferred Share Units	Jordan, Mary	4	2020-02-28	56		70,088	7,703
Superior Plus Corp.	Rights Performance Share Units	McCamus, Gregory Lorne	5	2020-01-16	59	12.522	84,460	-14,253
Superior Plus Corp.	Rights Performance Share Units	McCamus, Gregory Lorne	5	2020-02-28	56		111,467	27,007
Superior Plus Corp.	Rights Restricted Share Units	McCamus, Gregory Lorne	5	2020-01-16	59	12.522	25,870	-26,169
Superior Plus Corp.	Rights Restricted Share Units	McCamus, Gregory Lorne	5	2020-02-28	56		52,877	27,007
Superior Plus Corp.	Rights Performance Share Units	Minhas, Inder Zora	5	2020-01-16	59	12.522	14,085	-2,441
Superior Plus Corp.	Rights Performance Share Units	Minhas, Inder Zora	5	2020-02-28	56		22,751	8,666
Superior Plus Corp.	Rights Restricted Share Units	Minhas, Inder Zora	5	2020-01-16	59	12.522	10,601	-5,283
Superior Plus Corp.	Rights Restricted Share Units	Minhas, Inder Zora	5	2020-02-28	56		19,267	8,666
Superior Plus Corp.	Common Shares	Peyton, Andrew John	5	2020-03-02	10	7.6209	38,000	13,500
Superior Plus Corp.	Rights Performance Share Units	Peyton, Andrew John	5	2020-01-16	59	12.522	47,048	-10,294
Superior Plus Corp.	Rights Performance Share Units	Peyton, Andrew John	5	2020-02-28	56		69,601	22,553
Superior Plus Corp.	Rights Restricted Share Units	Peyton, Andrew John	5	2020-01-16	59	12.522	18,947	-19,206
Superior Plus Corp.	Rights Restricted Share Units	Peyton, Andrew John	5	2020-02-28	56		41,500	22,553

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Superior Plus Corp.	Rights Deferred Share Units	Smith, David Paul	4	2020-02-28	56		116,638	14,925
Superior Plus Corp.	Rights Performance Share Units	Summers, Elizabeth	5	2020-01-16	59	12.522	62,093	-12,986
Superior Plus Corp.	Rights Performance Share Units	Summers, Elizabeth	5	2020-02-28	56		88,337	26,244
Superior Plus Corp.	Rights Restricted Share Units	Summers, Elizabeth	5	2020-01-16	59	12.522	24,811	-25,147
Superior Plus Corp.	Rights Restricted Share Units	Summers, Elizabeth	5	2020-02-28	56		51,055	26,244
Superior Plus Corp.	Rights Performance Share Units	Vammen, Shawn Bradley	7	2020-01-16	59	12.522	37,085	-6,916
Superior Plus Corp.	Rights Performance Share Units	Vammen, Shawn Bradley	7	2020-02-28	56		50,083	12,998
Superior Plus Corp.	Rights Restricted Share Units	Vammen, Shawn Bradley	7	2020-01-16	59	12.522	10,940	-11,901
Superior Plus Corp.	Rights Restricted Share Units	Vammen, Shawn Bradley	7	2020-02-28	56		23,938	12,998
Surge Energy Inc.	Common Shares	Bye, Murray	5	2020-01-15	30	1.06	134,184	1,666
Surge Energy Inc.	Common Shares	Bye, Murray	5	2020-01-31	30	1.01	135,339	1,155
Surge Energy Inc.	Common Shares	Bye, Murray	5	2020-02-18	30	0.99	137,145	1,806
Surge Energy Inc.	Common Shares	Bye, Murray	5	2020-02-28	30	0.84	138,533	1,388
Surge Energy Inc.	Common Shares	Christie, Derek Wayne	5	2020-02-18	30	0.99	316,178	1,119
Surge Energy Inc.	Common Shares	Christie, Derek Wayne	5	2020-02-28	30	0.84	317,457	1,279
Surge Energy Inc.	Common Shares	Elekes, Margaret Ann	5	2020-02-18	30	0.99	81,132	1,565
Surge Energy Inc.	Common Shares	Elekes, Margaret Ann	5	2020-02-28	30	0.84	82,411	1,279
Synex International Inc.	Common Shares	Russell, Daniel	3	2020-03-05	10	0.22	13,889,000	3,500
Synex International Inc.	Common Shares	Russell, Daniel	3	2020-03-06	10	0.22	13,899,000	10,000
Tamarack Valley Energy Ltd.	Common Shares	GMT Capital Corp	3	2020-03-02	10	0.96	12,233,340	25,400
Tamarack Valley Energy Ltd.	Common Shares	GMT Capital Corp	3	2020-03-03	10	1	12,327,540	94,200
Tamarack Valley Energy Ltd.	Common Shares	GMT Capital Corp	3	2020-03-04	10	0.99	12,364,040	36,500
Tamarack Valley Energy Ltd.	Common Shares	GMT Capital Corp	3	2020-03-02	10	0.96	14,590,090	53,300
Tamarack Valley Energy Ltd.	Common Shares	GMT Capital Corp	3	2020-03-03	10	1	14,787,990	197,900
Tamarack Valley Energy Ltd.	Common Shares	GMT Capital Corp	3	2020-03-04	10	0.99	14,864,690	76,700
Tamarack Valley Energy Ltd.	Common Shares	GMT Capital Corp	3	2020-03-02	10	0.96	21,930,230	54,700
Tamarack Valley Energy Ltd.	Common Shares	GMT Capital Corp	3	2020-03-03	10	1	22,133,280	203,050
Tamarack Valley Energy Ltd.	Common Shares	GMT Capital Corp	3	2020-03-04	10	0.99	22,211,980	78,700
Tamarack Valley Energy Ltd.	Common Shares	GMT Capital Corp	3	2020-03-02	10	0.96	2,014,700	5,600
Tamarack Valley Energy Ltd.	Common Shares	GMT Capital Corp	3	2020-03-03	10	1	2,035,600	20,900
Tamarack Valley Energy Ltd.	Common Shares	GMT Capital Corp	3	2020-03-04	10	0.99	2,043,700	8,100
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2020-03-02	10	0.1	6,550,000	2,000
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2020-03-02	10	0.11	6,551,500	1,500
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2020-03-04	10	0.09	6,559,500	8,000
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2020-03-04	10	0.095	6,567,500	8,000
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2020-03-04	10	0.1	6,569,500	2,000
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2020-03-04	10	0.11	6,572,500	3,000
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2020-03-05	10	0.1	6,598,500	26,000
TC Energy Corporation	Common Shares	Brett, Sean M.	7	2020-03-02	51	56.58	18,189	13,695
TC Energy Corporation	Common Shares	Brett, Sean M.	7	2020-03-02	10	71.032	4,494	-13,695
TC Energy Corporation	Options Granted Feb 19, 2015 @ \$56.58 CDN (expire Feb 19, 2022)	Brett, Sean M.	7	2020-03-02	51		0	-13,695
TC Energy Corporation	Common Shares	DAVIS, MARY CATHARINE	7	2020-03-02	51	56.58	1,200	1,200
TC Energy Corporation	Common Shares	DAVIS, MARY CATHARINE	7	2020-03-02	10	70	0	-1,200
TC Energy Corporation	Options Granted Feb 19, 2015 @ \$56.58 CDN (expire Feb 19, 2022)	DAVIS, MARY CATHARINE	7	2020-03-02	51		10,000	-1,200
TC Energy Corporation	Common Shares	Engel, Kevin B.	7	2020-03-02	10	70.73	606	290
TC Energy Corporation	Common Shares	Gibbon, Joshua	7	2020-03-04	10	54.52	1,000	1,000
TC Energy Corporation	Common Shares	Jacobucci, Robert C.	7	2020-03-05	10	73.54	85	-285

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TC Energy Corporation	Common Shares	Newton, Lauri	7	2019-05-01	00			
TC Energy Corporation	Common Shares	Newton, Lauri	7	2019-05-01	00		102	
TC Energy Corporation	Common Shares	Poirier, Francois Lionel	5	2020-03-03	51	56.58	41,910	22,610
TC Energy Corporation	Common Shares	Poirier, Francois Lionel	5	2020-03-03	10	72.071	19,300	-22,610
TC Energy Corporation	Common Shares	Poirier, Francois Lionel	5	2020-03-03	51	48.44	50,166	30,866
TC Energy Corporation	Common Shares	Poirier, Francois Lionel	5	2020-03-03	10	72.049	19,300	-30,866
TC Energy Corporation	Options Granted Feb 19, 2015 @ \$56.58 CDN (expire Feb 19, 2022)	Poirier, Francois Lionel	5	2020-03-03	51		0	-22,610
TC Energy Corporation	Options Granted March 22, 2016 @ \$48.44 CDN (expire March 22, 2023)	Poirier, Francois Lionel	5	2020-03-03	51		0	-30,866
Teck Resources Limited	Class B Subordinate Voting Shares	Andres, Dale Edwin	5	2020-02-28	10	13.15	90,000	10,000
Teck Resources Limited	Class B Subordinate Voting Shares	Dowling, Edward Camp	4	2020-03-02	10	10.425	9,600	9,600
Teck Resources Limited	Class B Subordinate Voting Shares	Power, Una Marie	4	2020-03-02	10	13.91	5,300	5,300
Teck Resources Limited	Class B Subordinate Voting Shares	Teck Resources Limited	1	2020-02-28	38	13.27	994,378	994,378
Teck Resources Limited	Class B Subordinate Voting Shares	Teck Resources Limited	1	2020-02-28	38	9.8011	1,358,341	363,963
TELUS Corporation	Restricted Share Units	Arora, Navin	5	2020-02-27	30	51.4	65,391	22,952
TELUS Corporation	Restricted Share Units	Arora, Navin	5	2020-02-27	30		65,882	491
TELUS Corporation	Restricted Share Units	Entwistle, Darren	4, 5	2020-02-27	30		407,523	4,657
TELUS Corporation	Restricted Share Units	Entwistle, Darren	4, 5	2020-02-27	30	51.4	587,491	179,968
TELUS Corporation	Restricted Share Units	French, Douglas	5	2020-02-27	30	50.38	108,491	4,652
TELUS Corporation	Restricted Share Units	French, Douglas	5	2020-02-27	30	51.4	155,187	46,696
TELUS Corporation	Restricted Share Units	Geheran, Tony	5	2020-02-27	30	50.38	99,188	4,652
TELUS Corporation	Restricted Share Units	Geheran, Tony	5	2020-02-27	30	51.4	145,884	46,696
TELUS Corporation	Restricted Share Units	Gratton, Francois	5	2020-02-27	30	50.38	90,098	4,103
TELUS Corporation	Restricted Share Units	Gratton, Francois	5	2020-02-27	30	51.4	129,010	38,912
TELUS Corporation	Restricted Share Units	Lewis, Stephen Flynn	5	2020-02-27	30	51.4	15,498	4,636
TELUS Corporation	Restricted Share Units	Lewis, Stephen Flynn	5	2020-02-27	30		15,623	125
TELUS Corporation	Restricted Share Units	Mawji, Zainul	5	2020-02-27	30	51.4	66,668	24,279
TELUS Corporation	Restricted Share Units	Mawji, Zainul	5	2020-02-27	30		67,158	490
TELUS Corporation	Restricted Share Units	McIntosh, Sandy	5	2020-02-27	30	50.38	89,880	3,785
TELUS Corporation	Restricted Share Units	McIntosh, Sandy	5	2020-02-27	30	51.4	122,960	33,080
TELUS Corporation	Restricted Share Units	Senko, Jim	5	2020-02-27	30	51.4	66,519	22,434
TELUS Corporation	Restricted Share Units	Senko, Jim	5	2020-02-27	30		67,029	510
TELUS Corporation	Restricted Share Units	Spadotto, Eros	7	2020-02-27	30	50.38	106,637	4,521
TELUS Corporation	Restricted Share Units	Spadotto, Eros	7	2020-02-27	30	51.4	145,549	38,912
TELUS Corporation	Restricted Share Units	WOOD, ANDREA LOUISE	5	2020-02-27	30	51.4	39,521	14,902
TELUS Corporation	Restricted Share Units	WOOD, ANDREA LOUISE	5	2020-02-27	30		39,806	285
Teranga Gold Corporation	Deferred Share Units	Biggar, William John	4	2020-02-28	56	8.23	86,000	3,000
Teranga Gold Corporation	Restricted Share Units	Biggar, William John	4	2016-06-07	00			
Teranga Gold Corporation	Restricted Share Units	Biggar, William John	4	2020-02-28	56	8.23	11,000	11,000
Teranga Gold Corporation	Options	Chawrun, William Paul	5	2020-02-28	50	8.23	804,000	144,000
Teranga Gold Corporation	Restricted Share Units	Chawrun, William Paul	5	2020-02-28	56	8.23	163,169	30,000
Teranga Gold Corporation	Restricted Share Units	Chawrun, William Paul	5	2020-02-28	59	8.23	92,671	-70,498
Teranga Gold Corporation	Options	DA SILVA, CHANTAL MARIE	5	2020-02-28	50	8.23	224,498	62,000
Teranga Gold Corporation	Restricted Share Units	DA SILVA, CHANTAL MARIE	5	2020-02-28	56	8.23	50,998	13,000
Teranga Gold Corporation	Restricted Share Units	DA SILVA, CHANTAL MARIE	5	2020-02-28	59	8.23	31,666	-19,332
Teranga Gold Corporation	Options	Dyal, Navin	5	2020-02-28	50	8.23	688,000	123,000
Teranga Gold Corporation	Restricted Share Units	Dyal, Navin	5	2020-02-28	56	8.23	109,336	20,000
Teranga Gold Corporation	Restricted Share Units	Dyal, Navin	5	2020-02-28	59	8.23	60,669	-48,667
Teranga Gold Corporation	Deferred Share Units	Frazer, Jendayi Elizabeth Juliet	4	2020-02-28	56	8.23	123,166	10,000
Teranga Gold Corporation	Deferred Share Units	Goldenberg, Edward	4	2020-02-28	56	8.23	123,166	10,000

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Teranga Gold Corporation	Options	Guillen, Gwennael Rene Joseph	5	2020-02-28	50	8.23	82,000	62,000
Teranga Gold Corporation	Restricted Share Units	Guillen, Gwennael Rene Joseph	5	2020-02-28	56	8.23	27,500	13,000
Teranga Gold Corporation	Restricted Share Units	Guillen, Gwennael Rene Joseph	5	2020-02-28	59	8.23	22,667	-4,833
Teranga Gold Corporation	Deferred Share Units	Hill, Alan Richard	4	2020-02-28	56	8.23	219,333	16,000
Teranga Gold Corporation	Deferred Share Units	Lattanzi, Christopher	4	2020-02-28	56	8.23	117,111	10,000
Teranga Gold Corporation	Options	Lee, Nancy	5	2020-02-28	50	8.23	199,425	62,000
Teranga Gold Corporation	Restricted Share Units	Lee, Nancy	5	2020-02-28	56	8.23	54,333	13,000
Teranga Gold Corporation	Restricted Share Units	Lee, Nancy	5	2020-02-28	59	8.23	34,333	-20,000
Teranga Gold Corporation	Options	Mallo, David William	5	2020-02-28	50	8.23	484,000	99,000
Teranga Gold Corporation	Restricted Share Units	Mallo, David William	5	2020-02-28	56	8.23	106,335	17,000
Teranga Gold Corporation	Restricted Share Units	Mallo, David William	5	2020-02-28	59	8.23	57,668	-48,667
Teranga Gold Corporation	Deferred Share Units	Mimran, David Jacques	3, 4	2020-02-28	56	8.23	85,000	10,000
Teranga Gold Corporation	Options	MORAN, Patricia	5	2020-02-28	50	8.23	223,680	62,000
Teranga Gold Corporation	Restricted Share Units	MORAN, Patricia	5	2020-02-28	56	8.23	71,665	13,000
Teranga Gold Corporation	Restricted Share Units	MORAN, Patricia	5	2020-02-28	59	8.23	37,667	-33,998
Teranga Gold Corporation	Options	OMOUMI, LEYLI	5	2020-02-28	50	8.23	112,000	62,000
Teranga Gold Corporation	Restricted Share Units	OMOUMI, LEYLI	5	2020-02-28	56	8.23	35,500	13,000
Teranga Gold Corporation	Restricted Share Units	OMOUMI, LEYLI	5	2020-02-28	59	8.23	28,000	-7,500
Teranga Gold Corporation	Options	Savarie, David Roger	5	2020-02-28	50	8.23	788,000	123,000
Teranga Gold Corporation	Restricted Share Units	Savarie, David Roger	5	2020-02-28	56	8.23	109,336	20,000
Teranga Gold Corporation	Restricted Share Units	Savarie, David Roger	5	2020-02-28	59	8.23	60,669	-48,667
Teranga Gold Corporation	Restricted Share Units	Thomas, Alan Richard	4	2010-10-13	00			
Teranga Gold Corporation	Restricted Share Units	Thomas, Alan Richard	4	2020-02-28	56	8.23	10,000	10,000
Teranga Gold Corporation	Options	WATERMAN, CINDY	5	2020-02-28	50	8.23	33,560	6,000
Teranga Gold Corporation	Restricted Share Units	WATERMAN, CINDY	5	2020-02-28	56	8.23	13,500	3,000
Teranga Gold Corporation	Restricted Share Units	WATERMAN, CINDY	5	2020-02-28	59	8.23	8,000	-5,500
Teranga Gold Corporation	Deferred Share Units	Wheatley, Frank David	4	2020-02-28	56	8.23	117,111	10,000
Teranga Gold Corporation	Options	Young, Richard Scott	4, 5	2020-02-28	50	8.23	1,627,000	267,000
Teranga Gold Corporation	Restricted Share Units	Young, Richard Scott	4, 5	2020-02-28	56	8.23	279,502	67,000
Teranga Gold Corporation	Restricted Share Units	Young, Richard Scott	4, 5	2020-02-28	59	8.23	170,337	-109,165
Terra Firma Capital Corporation	Options	Kaplan, John David	3, 4	2020-02-17	52	7.7		20,000
Terra Firma Capital Corporation	Options	Kaplan, John David	3, 4	2020-02-17	52	7.7	40,000	-20,000
Terra Firma Capital Corporation	Options	Kaplan, John David	3, 4	2020-02-17	52	6.4	0	-40,000
Terrace Global Inc. (formerly Apolo II Acquisition Corp.)	Common Shares	Galego, Michael	3, 4	2020-02-27	10	0.19	22,513,000	10,000
Terrace Global Inc. (formerly Apolo II Acquisition Corp.)	Common Shares	Galego, Michael	3, 4	2020-02-28	10	0.18	22,517,000	4,000
Terrace Global Inc. (formerly Apolo II Acquisition Corp.)	Common Shares	Galego, Michael	3, 4	2020-02-28	10	0.2	22,518,000	1,000
Terrace Global Inc. (formerly Apolo II Acquisition Corp.)	Common Shares	Ortiz von Bismarck, Francisco	3, 4, 5	2020-02-28	10	0.2	46,635,600	3,000
TFI International Inc.	Common Shares	TFI International Inc.	1	2020-02-03	38	42.41	50,000	25,000
TFI International Inc.	Common Shares	TFI International Inc.	1	2020-02-04	38	42.96	63,500	13,500
TFI International Inc.	Common Shares	TFI International Inc.	1	2020-02-07	38		0	-63,500
TFI International Inc.	Common Shares	TFI International Inc.	1	2020-02-11	38	42.99	12,700	12,700
TFI International Inc.	Common Shares	TFI International Inc.	1	2020-02-28	38	41.6	70,845	58,145
TFI International Inc.	Common Shares	TFI International Inc.	1	2020-02-28	38		58,145	-12,700
The Descartes Systems Group Inc.	Restricted Stock Unit - Cash-settled	Diederik, Raimond	5	2020-02-29	97		3,085	-666
The Descartes Systems Group Inc.	Restricted Stock Unit - Cash-settled	Gardner, Edward James	5	2020-02-29	97		3,873	-789
The Descartes Systems Group Inc.	Restricted Stock Unit - Cash-settled	Jones, Christopher	5	2020-02-29	97		3,455	-781
The Descartes Systems Group Inc.	Restricted Stock Unit - Cash-settled	Parker, Robert	5	2020-02-29	97		2,730	-584

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The Descartes Systems Group Inc.	Restricted Stock Unit - Cash-settled	Roszko, Andrew	5	2020-02-29	97		779	-87
The Descartes Systems Group Inc.	Restricted Stock Unit - Cash-settled	Verhoeve, Michael	5	2020-02-29	97		3,650	-679
The Descartes Systems Group Inc.	Restricted Stock Unit - Cash-settled	Wood, Kenneth Edward	5	2020-02-29	97		2,257	-481
The Stars Group Inc.	Common Shares	ashkenazi, rafael	4, 5	2020-03-02	51	20	342,331	225,000
The Stars Group Inc.	Common Shares	ashkenazi, rafael	4, 5	2020-03-02	10	31.246	117,331	-225,000
The Stars Group Inc.	Common Shares	ashkenazi, rafael	4, 5	2020-03-02	51	19.72	167,331	50,000
The Stars Group Inc.	Common Shares	ashkenazi, rafael	4, 5	2020-03-02	10	31.241	117,331	-50,000
The Stars Group Inc.	Common Shares	ashkenazi, rafael	4, 5	2020-03-03	57	31.3	206,131	88,800
The Stars Group Inc.	Common Shares	ashkenazi, rafael	4, 5	2020-03-05	10	31.45	206,129	-2
The Stars Group Inc.	Options	ashkenazi, rafael	4, 5	2020-03-02	51	20	50,000	-225,000
The Stars Group Inc.	Options	ashkenazi, rafael	4, 5	2020-03-02	51	19.72	0	-50,000
The Stars Group Inc.	Rights Performance Share Units	ashkenazi, rafael	4, 5	2020-03-03	57	31.3	438,172	-88,800
The Stars Group Inc.	Common Shares	Chhabra, Robindar	5	2020-03-03	57	31.3	59,852	45,996
The Stars Group Inc.	Common Shares	Chhabra, Robindar	5	2020-03-05	10	31.45	37,019	-22,833
The Stars Group Inc.	Rights Performance Share Units	Chhabra, Robindar	5	2020-03-03	57	31.3	129,624	-45,996
The Stars Group Inc.	Common Shares	Goldstein, Marlon	5	2020-03-03	57	31.3	40,499	34,998
The Stars Group Inc.	Common Shares	Goldstein, Marlon	5	2020-03-05	10	31.45	31,578	-8,921
The Stars Group Inc.	Rights Performance Share Units	Goldstein, Marlon	5	2020-03-03	57	31.3	130,358	-34,998
The Stars Group Inc.	Common Shares	Kyle, Brian Scott	5	2020-03-03	57	31.3	51,478	26,298
The Stars Group Inc.	Common Shares	Kyle, Brian Scott	5	2020-03-05	10	31.45	38,656	-12,822
The Stars Group Inc.	Rights Performance Share Units	Kyle, Brian Scott	5	2020-03-03	57	31.3	128,458	-26,298
The Stars Group Inc.	Common Shares	Templer, Guy Nigel	5	2020-03-03	57	31.3	34,569	28,296
The Stars Group Inc.	Common Shares	Templer, Guy Nigel	5	2020-03-03	51	20	259,569	225,000
The Stars Group Inc.	Common Shares	Templer, Guy Nigel	5	2020-03-03	10	31.595	34,569	-225,000
The Stars Group Inc.	Common Shares	Templer, Guy Nigel	5	2020-03-05	10	31.45	34,567	-2
The Stars Group Inc.	Options	Templer, Guy Nigel	5	2020-03-03	51	20	0	-225,000
The Stars Group Inc.	Rights Performance Share Units	Templer, Guy Nigel	5	2020-03-03	57	31.3	130,340	-28,296
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-03	38	74.04	300,000	300,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-03	38	74.04	0	-300,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-04	38	74.04	200,000	200,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-04	38	74.04	0	-200,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-06	38	74.04	200,000	200,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-06	38	74.04	0	-200,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-07	38	74.04	200,000	200,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-07	38	74.04	0	-200,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-10	38	74.04	200,000	200,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-10	38	74.04	0	-200,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-11	38	74.04	200,000	200,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-11	38	74.04	0	-200,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-12	38	74.04	200,000	200,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-12	38	74.04	0	-200,000

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The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-13	38	74.04	200,000	200,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-13	38	74.04	0	-200,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-14	38	74.04	200,000	200,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-14	38	74.04	0	-200,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-18	38	74.04	200,000	200,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-18	38	74.04	0	-200,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-19	38	74.04	200,000	200,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-19	38	74.04	0	-200,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-20	38	74.04	200,000	200,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-20	38	74.04	0	-200,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-21	38	74.04	200,000	200,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-21	38	74.04	0	-200,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-24	38	74.04	200,000	200,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-24	38	74.04	0	-200,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-25	38	74.04	300,000	300,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-25	38	74.04	0	-300,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-26	38	74.04	300,000	300,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-26	38	74.04	0	-300,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-27	38	74.04	309,100	309,100
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-27	38	74.04	0	-309,100
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-28	38	74.04	400,000	400,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2020-02-28	38	74.04	0	-400,000
The Western Investment Company of Canada Limited	Common Shares	Tannas, Scott	4, 7, 5	2020-03-02	10	28.5	923,808	2,000
The Yield Growth Corp.	Options	Frankel, Amy	5	2020-03-02	50		582,000	40,000
The Yield Growth Corp.	Options	HUANG, YU CAI	4, 5	2020-03-02	50		522,000	40,000
The Yield Growth Corp.	Options	Karla Deeaan, Williams	5	2020-03-02	50		552,000	40,000
The Yield Growth Corp.	Options	Margaris, Spiros	4	2020-03-02	50		1,312,000	40,000
The Yield Growth Corp.	Options	Melck, Tamara Ingrid	5	2020-03-02	50		552,000	40,000
The Yield Growth Corp.	Common Shares	White, Penny Olga	3, 4, 5	2020-02-25	10	0.245	16,749,500	-25,000
The Yield Growth Corp.	Common Shares	White, Penny Olga	3, 4, 5	2020-03-04	10	0.225	16,722,500	-27,000
The Yield Growth Corp.	Common Shares	White, Penny Olga	3, 4, 5	2020-03-05	10	0.25	16,672,500	-50,000
The Yield Growth Corp.	Common Shares	White, Penny Olga	3, 4, 5	2020-03-06	10	0.235	16,612,500	-60,000
The Yield Growth Corp.	Common Shares	White, Penny Olga	3, 4, 5	2020-02-24	10	0.24	2,225,200	6,000
The Yield Growth Corp.	Options	White, Penny Olga	3, 4, 5	2020-03-02	50		462,000	40,000
Theratechnologies Inc.	Options	Colussi, Marie-Noël	5	2020-02-26	50	3.22	125,193	27,900
Thomson Reuters Corporation	Common Shares	Masterson, Neil Thomas	7, 5	2020-03-04	51	36.63	7,426	5,643
Thomson Reuters Corporation	Common Shares	Masterson, Neil Thomas	7, 5	2020-03-04	51	42.3	11,877	4,451
Thomson Reuters Corporation	Common Shares	Masterson, Neil Thomas	7, 5	2020-03-04	10	77.84	6,830	-5,047

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Thomson Reuters Corporation	Options	Masterson, Neil Thomas	7, 5	2020-03-04	51	36.63	193,909	-22,711
Thomson Reuters Corporation	Options	Masterson, Neil Thomas	7, 5	2020-03-04	51	42.3	172,964	-20,945
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	2020-02-03	38	107.37	212,588	51,400
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	2020-02-03	38	80.782	282,845	70,257
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	2020-02-04	38	108.75	336,445	53,600
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	2020-02-04	38	81.866	368,410	31,965
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	2020-02-05	38	106.88	460,710	92,300
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	2020-02-05	38	80.45	519,817	59,107
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	2020-02-06	38	108.34	587,417	67,600
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	2020-02-06	38	81.524	630,951	43,534
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	2020-02-07	38	108.24	678,151	47,200
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	2020-02-07	38	81.34	705,306	27,155
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	2020-02-07	38		185,489	-519,817
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	2020-02-10	38	108.82	211,089	25,600
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	2020-02-10	38	81.697	223,119	12,030
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	2020-02-14	38		0	-223,119
ThreeD Capital Inc.(formerly Brownstone Energy Inc.)	Common Shares	1313366 Ontario Inc.	3	2020-02-28	10	0.025	4,584,615	250,000
ThreeD Capital Inc.(formerly Brownstone Energy Inc.)	Common Shares	1313366 Ontario Inc.	3	2020-03-04	10	0.03	4,100,000	-484,615
ThreeD Capital Inc.(formerly Brownstone Energy Inc.)	Common Shares	Kopman, Jeff	6	2020-02-28	10	0.025	4,584,615	250,000
ThreeD Capital Inc.(formerly Brownstone Energy Inc.)	Common Shares	Kopman, Jeff	6	2020-03-04	10	0.03	4,100,000	-484,615
Titanium Transportation Group Inc.	Options Third Party Option	Bradley, David	4	2020-01-15	50	1.5	113,600	48,600
Titanium Transportation Group Inc.	Options Third Party Option	Chyfetz, William	4	2020-01-15	50	1.5	226,600	48,600
Titanium Transportation Group Inc.	Options Third Party Option	Fu, Chun Kit	5	2020-01-15	50	1.5	85,000	50,000
Titanium Transportation Group Inc.	Options Third Party Option	Galasso, Luciano	4	2020-01-15	50	1.5	226,600	48,600
TMX Group Limited	Common Shares	Fortin, Luc	5	2019-12-31	30	119		119
TMX Group Limited	Common Shares	Fortin, Luc	5	2019-12-31	30	119		119
TMX Group Limited	Common Shares	Fortin, Luc	5	2019-12-31	30	96.83	350	119
TMX Group Limited	Rights Performance Share Units	Fortin, Luc	5	2019-12-31	56	98.72	5,556	148
TMX Group Limited	Rights Restricted Share Units	Fortin, Luc	5	2019-12-31	56	98.72	5,062	133
TMX Group Limited	Common Shares	Graden, Cheryl	5	2019-12-31	30	96.83	335	109
TMX Group Limited	Rights Deferred Share Units	Graden, Cheryl	5	2019-12-31	56	98.72	4,263	109
TMX Group Limited	Rights Performance Share Units	Graden, Cheryl	5	2019-12-31	56	98.72	5,001	103
TMX Group Limited	Rights Restricted Share Units	Graden, Cheryl	5	2019-12-31	56	98.72	2,631	94
TMX Group Limited	Common Shares	Hukezalie, Mary Lou	5	2019-12-31	30	96.83	3,144	177
TMX Group Limited	Rights Deferred Share Units	Hukezalie, Mary Lou	5	2019-12-31	56	98.72	10,285	263

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TMX Group Limited	Rights Performance Share Units	Hukezalie, Mary Lou	5	2019-12-31	56	98.72	4,254	109
TMX Group Limited	Rights Restricted Share Units	Hukezalie, Mary Lou	5	2019-12-31	56	98.72	6,272	99
TMX Group Limited	Common Shares	McIver, Shaun	5	2019-12-31	30	96.83	439	105
TMX Group Limited	Rights Deferred Share Units	McIver, Shaun	5	2019-12-31	56	98.72	1,846	47
TMX Group Limited	Rights Performance Share Units	McIver, Shaun	5	2019-12-31	56	98.72	3,151	87
TMX Group Limited	Rights Restricted Share Units	McIver, Shaun	5	2019-12-31	56	98.72	2,991	78
TMX Group Limited	Common Shares	McKenzie, John	5	2019-12-31	30	96.83	3,016	320
TMX Group Limited	Rights Deferred Share Units	McKenzie, John	5	2019-12-31	56	98.72	7,389	187
TMX Group Limited	Rights Performance Share Units	McKenzie, John	5	2019-12-31	56	98.72	6,140	156
TMX Group Limited	Rights Restricted Share Units	McKenzie, John	5	2019-12-31	56	98.72	5,474	138
TMX Group Limited	Common Shares	Rajarathinam, Jayakumar	5	2019-12-31	30	96.83	1,133	326
TMX Group Limited	Rights Performance Share Units	Rajarathinam, Jayakumar	5	2019-12-31	56	98.72	6,093	155
TMX Group Limited	Rights Restricted Share Units	Rajarathinam, Jayakumar	5	2019-12-31	56	98.72	5,607	138
TORC Oil & Gas Ltd.	Common Shares	de Lacy, Catharine	4	2020-03-04	10	2.49	23,293	13,253
Torex Gold Resources Inc.	Common Shares	Batoff, Mary Delores	5	2020-03-04	57		10,013	503
Torex Gold Resources Inc.	Rights Performance Share Units under Employee Share Unit Plan	Batoff, Mary Delores	5	2020-03-04	57		17,428	-503
Torex Gold Resources Inc.	Common Shares	MacGibbon, Terry	4	2020-02-28	10	17.47	39,950	-31,576
Toromont Industries Ltd.	Rights Deferred Share Units (cash settled)	Blake, Peter James	4	2020-02-28	56		1,885	237
Toromont Industries Ltd.	Rights Deferred Share Units (cash settled)	Chisholm, Jeffrey Scott	4	2020-02-28	56		21,076	237
Toromont Industries Ltd.	Rights Deferred Share Units (cash settled)	Cranston, Cathryn Elizabeth	4	2020-02-28	56		24,992	237
Toromont Industries Ltd.	Common Shares	Cuddy, Mike	5	2020-02-27	10	66.8	101,404	-100
Toromont Industries Ltd.	Common Shares	Cuddy, Mike	5	2020-02-27	10	66.75	97,504	-3,900
Toromont Industries Ltd.	Rights Deferred Share Units (cash settled)	Gill, James Wendell	4	2020-02-28	56		13,873	237
Toromont Industries Ltd.	Rights Deferred Share Units (cash settled)	Hill, Wayne S.	4	2020-02-28	56		13,596	237
Toromont Industries Ltd.	Rights Deferred Share Units (cash settled)	Hodgson, Sharon	4	2020-02-28	56		1,668	237
Toromont Industries Ltd.	Common Shares	McMillan, Michael Stanley Howie	5	2020-03-01	00		3,000	
Toromont Industries Ltd.	Rights Deferred Share Units (cash settled)	Ogilvie, Robert M.	4	2020-02-28	56		55,162	583
Toromont Industries Ltd.	Rights Deferred Share Units (cash settled)	Rethy, Katherine Anne	4	2020-02-28	56		22,354	237
Toromont Industries Ltd.	Rights Deferred Share Units (cash settled)	Roy, Richard G	4	2020-02-28	56		3,069	237
Torrent Capital Ltd.	Common Shares	Armoyan, Sime	3	2020-03-05	10	0.41	2,035,000	43,500
Torrent Capital Ltd.	Common Shares	Fisher, Glynn David	3	2020-03-04	00		2,300,000	
Torrent Capital Ltd.	Common Shares	Fisher, Glynn David	3	2020-03-04	00		1,314,000	
Touchstone Exploration Inc.	Common Shares	Baay, Paul Raymond	4, 5	2020-03-02	30	0.62	1,405,740	6,250
Touchstone Exploration Inc.	Common Shares	Budau, Christopher Scott	5	2020-03-02	30	0.62	307,602	4,839
Touchstone Exploration Inc.	Common Shares	Shipka, James	5	2020-03-02	30	0.62	467,006	4,839
Tourmaline Oil Corp.	Common Shares	Rose, Mike	5	2020-03-06	10	10.619	10,635,237	5,000
TransAlta Renewables Inc.	DSU (Deferred Share Units)	Drinkwater, David William	4	2020-02-28	56	16.41	26,460	126
TransAlta Renewables Inc.	DSU (Deferred Share Units)	Hagerman, Allen R.	4	2020-02-28	56	16.41	29,169	139
TransAlta Renewables Inc.	DSU (Deferred Share Units)	McQuade, Kathryn Ann Bova	4	2020-02-28	56	16.41	29,321	139

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TransAlta Renewables Inc.	DSU (Deferred Share Units)	TAYLOR, PAUL H. E.	4	2020-02-28	56	16.41	31,378	150
Trevali Mining Corporation	Common Shares	Gardiner, Jill Veronica	4	2019-07-31	00			
Trevali Mining Corporation	Common Shares	Gardiner, Jill Veronica	4	2020-03-03	10	0.135	75,000	75,000
Trevali Mining Corporation	Deferred Share Units (DSUs)	Gardiner, Jill Veronica	4	2019-07-31	00			
Trevali Mining Corporation	Deferred Share Units (DSUs)	Gardiner, Jill Veronica	4	2020-01-02	56	0.23	92,391	92,391
Trevali Mining Corporation	Common Shares	Thomopoulos, Joanne	5	2020-03-04	10	0.13	43,800	24,000
Trican Well Service Ltd.	Common Shares	Cox, Robert, John	5	2020-03-02	10	0.86	18,943	-10,295
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	2020-02-03	38	1.03	475,000	175,000
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	2020-02-04	38	1.0403	650,000	175,000
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	2020-02-05	38	1.0685	825,000	175,000
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	2020-02-06	38	1.0466	1,000,000	175,000
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	2020-02-07	38	0.9952	1,175,000	175,000
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	2020-02-07	38		350,000	-825,000
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	2020-02-10	38	0.9667	501,000	151,000
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	2020-02-14	38		0	-501,000
Tricon Capital Group Inc.	Options	Berman, David	3, 4, 6, 5	2020-03-03	38		260,000	-190,000
Tricon Capital Group Inc.	Common Shares	Berman, Gary	4, 5	2020-03-03	51	11.27	468,182	46,761
Tricon Capital Group Inc.	Common Shares	Berman, Gary	4, 5	2019-12-31	30		1,329	60
Tricon Capital Group Inc.	Common Shares	Berman, Gary	4, 5	2019-12-31	30		39,767	1,680
Tricon Capital Group Inc.	Options	Berman, Gary	4, 5	2020-03-03	38		1,055,000	-330,000
Tricon Capital Group Inc.	Options	Berman, Gary	4, 5	2020-03-03	38		1,001,761	-53,239
Tricon Capital Group Inc.	Options	Berman, Gary	4, 5	2020-03-03	51		955,000	-46,761
Tricon Capital Group Inc.	Options	Berman, Gary	4, 5	2020-03-05	38		885,000	-70,000
Tricon Capital Group Inc.	Options	Ellenzweig, Jonathan	5	2020-03-03	38		377,029	-210,000
Tricon Capital Group Inc.	Common Shares	Francis, Wissam	5	2019-12-31	30		14,935	426
Tricon Capital Group Inc.	Options	Francis, Wissam	5	2020-03-03	38		150,000	-38,334
Tricon Capital Group Inc.	Options	MATUS, GEOFFREY	3, 4, 6, 5	2020-03-03	38		67,856	-90,000
Tricon Capital Group Inc.	Options	MATUS, GEOFFREY	3, 4, 6, 5	2020-03-03	38		140,000	-50,000
Tricon Capital Group Inc.	Options	Quesnel, Douglas Paul	5	2020-03-02	38		55,000	-50,000
Tricon Capital Group Inc.	Options	Sacks, Peter	4	2020-03-02	38		75,000	-50,000
Tricon Capital Group Inc.	Common Shares	Tricon Capital Group Inc.	1	2020-03-04	11	11.65	1,867,675	1,867,675
Tricon Capital Group Inc.	Common Shares	Tricon Capital Group Inc.	1	2020-03-04	38	11.65	0	-1,867,675
Tricon Capital Group Inc.	Common Shares	Veneziano, David	5	2020-03-04	51	10.57	467	467
Tricon Capital Group Inc.	Options	Veneziano, David	5	2020-03-04	51	10.57	111,941	-467
Tricon Capital Group Inc.	Options	Veneziano, David	5	2020-03-04	38	10.57	102,408	-9,533
Trilogy Metals Inc. (formerly NovaCopper Inc.)	Rights Deferred Share Unit	Giardini, Tony Serafino	4	2020-03-02	56		199,599	2,872
Trilogy Metals Inc. (formerly NovaCopper Inc.)	Common Shares	Gowans, James Kitchener	4	2020-03-04	10	2.09	53,000	3,000
Trilogy Metals Inc. (formerly NovaCopper Inc.)	Common Shares	Gowans, James Kitchener	4	2020-03-05	10	2.08	63,300	10,300
Trilogy Metals Inc. (formerly NovaCopper Inc.)	Common Shares	Gowans, James Kitchener	4	2020-03-06	10	1.99	67,300	4,000
Trilogy Metals Inc. (formerly NovaCopper Inc.)	Rights Deferred Share Unit	Gowans, James Kitchener	4	2020-03-02	56		77,872	2,872
Trilogy Metals Inc. (formerly NovaCopper Inc.)	Rights Deferred Share Unit	Hayden, William	4	2020-03-02	56		94,715	2,872
Trilogy Metals Inc. (formerly NovaCopper Inc.)	Rights Deferred Share Unit	Hensley, William L. Iggiagruk	4	2020-03-02	56		92,676	2,872
Trilogy Metals Inc. (formerly NovaCopper Inc.)	Rights Deferred Share Unit	Lang, Gregory Anthony	4	2020-03-02	56		194,152	2,872
Trilogy Metals Inc. (formerly NovaCopper Inc.)	Rights Deferred Share Unit	Madhavpeddi, Kalidas	4	2020-03-02	56		204,749	2,872
Trilogy Metals Inc. (formerly NovaCopper Inc.)	Rights Deferred Share Unit	Stairs, Janice Alayne	4	2020-03-02	56		198,441	2,872
Trilogy Metals Inc. (formerly NovaCopper Inc.)	Rights Deferred Share Unit	Walters, Diana	4	2020-03-02	56		117,421	2,872
Trisura Group Ltd.	Common Shares	Grant, Richard William	7	2020-02-24	00			
Trisura Group Ltd.	Common Shares	Grant, Richard William	7	2020-02-24	00			

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Trisura Group Ltd.	Common Shares	Grant, Richard William	7	2020-02-24	00			
Trisura Group Ltd.	Common Shares	Grant, Richard William	7	2020-02-24	00		9,275	
Trisura Group Ltd.	Common Shares	Kopecky, Paul Rodney	7	2020-02-24	00		490	
Triumph Gold Corp.	Common Shares	Barresi, Tony	4, 5	2020-03-02	10	0.17		25,000
Triumph Gold Corp.	Common Shares	Barresi, Tony	4, 5	2020-03-02	10	0.17		25,000
Triumph Gold Corp.	Common Shares	Barresi, Tony	4, 5	2020-03-04	10	0.18	47,000	27,000
Triumph Gold Corp.	Common Shares	Barresi, Tony	4, 5	2019-09-24	00			
Triumph Gold Corp.	Common Shares	Barresi, Tony	4, 5	2020-03-02	10	0.17	25,000	25,000
Troilus Gold Corp. (formerly Pitchblack Resources Ltd.)	Rights Restricted Share Units	Hadjigeorgiou, John	4	2020-01-21	00			
Troilus Gold Corp. (formerly Pitchblack Resources Ltd.)	Rights Restricted Share Units	Hadjigeorgiou, John	4	2020-03-05	56		103,333	103,333
Troilus Gold Corp. (formerly Pitchblack Resources Ltd.)	Rights Restricted Share Units	Lamontagne, Eric	4	2020-01-21	00			
Troilus Gold Corp. (formerly Pitchblack Resources Ltd.)	Rights Restricted Share Units	Lamontagne, Eric	4	2020-03-05	56		103,333	103,333
True North Commercial Real Estate Investment Trust	Options	Allison, Margaret Ann	5	2020-03-05	51	6.04	50,000	-10,000
True North Commercial Real Estate Investment Trust	Options	Allison, Margaret Ann	5	2020-03-05	51	6.28	40,000	-10,000
True North Commercial Real Estate Investment Trust	Options	Allison, Margaret Ann	5	2020-03-05	51	6.17	33,334	-6,666
True North Commercial Real Estate Investment Trust	Options	Allison, Margaret Ann	5	2020-03-05	51	6.44	26,668	-6,666
True North Commercial Real Estate Investment Trust	Options	Allison, Margaret Ann	5	2020-03-05	51	6.43	23,335	-3,333
True North Commercial Real Estate Investment Trust	Options	Allison, Margaret Ann	5	2020-03-05	51	6.66	20,002	-3,333
True North Commercial Real Estate Investment Trust	Trust Units	Allison, Margaret Ann	5	2020-03-05	51	6.04	11,810	1,596
True North Commercial Real Estate Investment Trust	Trust Units	Allison, Margaret Ann	5	2020-03-05	51	6.28	13,180	1,370
True North Commercial Real Estate Investment Trust	Trust Units	Allison, Margaret Ann	5	2020-03-05	51	6.17	14,162	982
True North Commercial Real Estate Investment Trust	Trust Units	Allison, Margaret Ann	5	2020-03-05	51	6.44	14,974	812
True North Commercial Real Estate Investment Trust	Trust Units	Allison, Margaret Ann	5	2020-03-05	51	6.43	15,383	409
True North Commercial Real Estate Investment Trust	Trust Units	Allison, Margaret Ann	5	2020-03-05	51	6.66	15,631	248
True North Commercial Real Estate Investment Trust	Trust Units	Poklar, Sandy Ivan	4	2020-03-05	10	7.65	6,669	375
True North Commercial Real Estate Investment Trust	Trust Units	Poklar, Sandy Ivan	4	2020-03-05	10	7.64	24,591	135
True North Commercial Real Estate Investment Trust	Trust Units	Poklar, Sandy Ivan	4	2020-03-05	10	7.64	17,813	4,340
True North Commercial Real Estate Investment Trust	Options	Sherren, Tracy	4, 5	2020-03-05	51	6.04	290,000	-45,000
True North Commercial Real Estate Investment Trust	Options	Sherren, Tracy	4, 5	2020-03-05	51	6.28	240,000	-50,000
True North Commercial Real Estate Investment Trust	Options	Sherren, Tracy	4, 5	2020-03-05	51	6.17	200,000	-40,000
True North Commercial Real Estate Investment Trust	Options	Sherren, Tracy	4, 5	2020-03-05	51	6.44	160,000	-40,000
True North Commercial Real Estate Investment Trust	Options	Sherren, Tracy	4, 5	2020-03-05	51	6.43	140,000	-20,000
True North Commercial Real Estate Investment Trust	Options	Sherren, Tracy	4, 5	2020-03-05	51	6.66	120,000	-20,000
True North Commercial Real Estate Investment Trust	Trust Units	Sherren, Tracy	4, 5	2020-03-05	51	6.04	35,444	7,003
True North Commercial Real Estate Investment Trust	Trust Units	Sherren, Tracy	4, 5	2020-03-05	51	6.28	42,478	7,034
True North Commercial Real Estate Investment Trust	Trust Units	Sherren, Tracy	4, 5	2020-03-05	51	6.17	48,532	6,054
True North Commercial Real Estate Investment Trust	Trust Units	Sherren, Tracy	4, 5	2020-03-05	51	6.44	53,538	5,006

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True North Commercial Real Estate Investment Trust	Trust Units	Sherren, Tracy	4, 5	2020-03-05	51	6.43	56,060	2,522
True North Commercial Real Estate Investment Trust	Trust Units	Sherren, Tracy	4, 5	2020-03-05	51	6.66	58,136	2,076
Turmalina Metals Corp.	Common Shares	Slusarchuk, Bryan James Rees	4	2020-03-06	10	0.54	2,261,500	1,000
Turmalina Metals Corp.	Common Shares	Slusarchuk, Bryan James Rees	4	2020-03-06	10	0.55	2,266,500	5,000
Ubique Minerals Limited	Common Shares	Harper, Gerald	4, 5	2020-03-02	10	0.045	3,379,379	1,000
Ubique Minerals Limited	Common Shares	Harper, Gerald	4, 5	2020-03-04	10	0.045	3,383,379	4,000
Unigold Inc.	Common Shares	McLean, Donna Ruth	5	2020-03-04	00		50,000	
Unigold Inc.	Common Shares	Tremblay, Norman	4	2020-03-05	10	0.155	4,568,494	60,000
Unigold Inc.	Common Shares	Tremblay, Norman	4	2020-03-06	10	0.135	4,633,494	65,000
Uniserve Communications Corporation	Common Shares	Morley, Owen Stewart	3	2020-02-28	10	0.08	10,207,000	30,000
Uniserve Communications Corporation	Common Shares	Scholz, Michael Curt	4	2020-03-02	10	0.08	1,045,247	30,000
Uranium Energy Corp.	Common Shares	ABRAHAM, Edward Spencer	4	2020-03-02	46	0.6001	177,011	5,416
Uranium Energy Corp.	Common Shares	Melbye, Scott Eric	5	2020-03-02	46	0.6001	304,511	6,019
Uranium Energy Corp.	Common Shares	Obara, Pat	5	2020-03-02	46	0.6001	369,552	2,838
Urbanimmersive Inc.	Common Shares	Bedard, Simon	4	2020-03-02	10	0.05	1,485,786	231,000
Urbanimmersive Inc.	Common Shares	Bedard, Simon	4	2020-03-02	10	0.055	1,495,786	10,000
Urbanimmersive Inc.	Common Shares	Bedard, Simon	4	2020-03-05	10	0.05	1,541,786	46,000
Urbanimmersive Inc.	Common Shares	Felsenthal, Scott	4	2020-03-02	10	0.055	1,675,000	25,000
Urbanimmersive Inc.	Common Shares	Felsenthal, Scott	4	2020-03-03	10	0.055	1,680,000	5,000
Urbanimmersive Inc.	Common Shares	Felsenthal, Scott	4	2020-03-06	10	0.055	1,695,000	15,000
Vanadium One Iron Corp.	Common Shares	Martin, Ashley Steven	5	2020-03-06	10	0.07	4,695,000	60,000
Velan Inc.	Subordinate Voting Shares	Kernaghan, Edward Hume	3	2020-03-02	10	7	1,089,500	1,600
Velan Inc.	Subordinate Voting Shares	Kernaghan, Edward Hume	3	2020-03-02	10	6.95	1,090,800	1,300
Velan Inc.	Subordinate Voting Shares	Kernaghan, Edward Hume	3	2020-03-03	10	7	1,092,800	2,000
Velan Inc.	Subordinate Voting Shares	Kernaghan, Edward Hume	3	2020-03-04	10	7	1,093,300	500
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	2020-02-03	38	7.2081	2,800	1,600
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	2020-02-04	38	7.12	3,300	500
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	2020-02-05	38	7.2692	4,600	1,300
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	2020-02-06	38	7.4119	6,200	1,600
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	2020-02-07	38	7.1593	7,700	1,500
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	2020-02-11	38	7.25	7,900	200
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	2020-02-12	38	7.225	8,300	400
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	2020-02-13	38	7.2425	9,100	800
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	2020-02-28	38		0	-9,100
Vermilion Energy Inc.	Common Shares	Glemser, Lars William	5	2020-02-29	30	18.07	14,251	246
Vermilion Energy Inc.	Common Shares	Hatcher, Dion	5	2020-02-29	30	18.07	49,564	199
Vermilion Energy Inc.	Common Shares	Hergott, Terrance Gerald	5	2020-02-29	30	18.07	3,927	252
Vermilion Energy Inc.	Common Shares	Jasinski, Mona Jean	5	2020-02-29	30	18.07	44,035	254
Vermilion Energy Inc.	Common Shares	Kaluza, Michael Sam	5	2020-02-29	30	18.07	111,832	526
Vermilion Energy Inc.	Common Shares	Marino, Anthony William	5	2020-02-29	30	18.07	378,036	790
Vermilion Energy Inc.	Common Shares	Marino, Anthony William	5	2020-02-29	30	18.07	4,992	58
Vermilion Energy Inc.	Common Shares	Preston, Kyle	5	2020-02-29	30	18.07	24,811	221
Vermilion Energy Inc.	Common Shares	Tan, Jenson Jit-Chang	5	2020-02-29	30	18.07	45,999	213

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VersaBank	Common Shares	Beker, Jean-Paul Martin	5	2020-02-27	10	6.95	18,053	3,365
VersaBank	Common Shares	Carter, Richard William	4	2020-03-02	10	6.9	30,600	600
VersaBank	Common Shares	Carter, Richard William	4	2020-03-02	10	6.8613	33,000	2,400
VersaBank	Common Shares	George, Patrick	6	2020-03-04	10	6.85	634,264	700
VersaBank	Common Shares	George, Patrick	6	2020-03-05	10	6.85	635,364	1,100
VersaBank	Common Shares	George, Patrick	6	2020-03-06	10	6.85	653,564	18,200
VersaBank	Common Shares	Litton, Colin Edward	4	2020-02-28	10	6.85	52,576	2,000
VersaBank	Common Shares	Min, Andrien Young	5	2020-02-27	10	7.01	1,200	200
VersaBank	Common Shares	Min, Andrien Young	5	2020-02-27	10	7.02	1,800	600
VersaBank	Common Shares	Min, Andrien Young	5	2020-02-27	10	7.16	1,900	100
VersaBank	Common Shares	Min, Andrien Young	5	2020-02-27	10	7.17	2,000	100
Victoria Gold Corp.	Common Shares	McConnell, John Charles	4, 5	2020-02-28	10	7	42,782	1,100
Victoria Gold Corp.	Common Shares	Rendall, Marty	5	2020-02-28	10	7.1145	156,000	2,667
Vigil Health Solutions Inc.	Common Shares	Vigil Health Solutions Inc.	1	2020-02-13	38	0.35	5,000	5,000
Vigil Health Solutions Inc.	Common Shares	Vigil Health Solutions Inc.	1	2020-02-28	38		0	-5,000
VIQ Solutions Inc.	Warrants	Gordon, Harvey	4	2020-02-03	54	2.14		-8,057
VIQ Solutions Inc.	Warrants	Gordon, Harvey	4	2020-03-03	54	2.14	808	-8,057
VIQ Solutions Inc.	Warrants	Gordon, Harvey	4	2020-03-03	54	2.14	8,865	8,057
VIQ Solutions Inc.	Common Shares	Taylor, Larry Douglas	4	2020-02-28	54	2.14	410,544	53,985
VIQ Solutions Inc.	Warrants	Taylor, Larry Douglas	4	2020-01-31	54	2.14		-53,985
VIQ Solutions Inc.	Warrants	Taylor, Larry Douglas	4	2020-02-28	54	2.14	5,412	-53,985
Vista Gold Corp.	DSU	Clark, John	4	2020-03-01	56		121,000	60,000
Vista Gold Corp.	RSU	Earnest, Frederick H.	5	2020-03-01	56		964,334	466,000
Vista Gold Corp.	DSU	Eppler, W. Durand	7	2020-03-01	56		121,000	60,000
Vista Gold Corp.	DSU	Friedman, Deborah	4	2020-03-01	56		121,000	60,000
Vista Gold Corp.	DSU	Ogryzlo, Charles Thomas	4	2020-03-01	56		121,000	60,000
Vista Gold Corp.	DSU	Richings, Michael	4	2020-03-01	56		121,000	60,000
Vista Gold Corp.	RSU	Rozelle, John W.	5	2020-03-01	56		337,066	172,000
Vista Gold Corp.	RSU	Solly, Pamela A.	5	2020-03-01	56		251,000	124,000
Vista Gold Corp.	DSU	Stevenson, Tracy	4	2020-03-01	56		121,000	60,000
Vista Gold Corp.	RSU	Tobler, Douglas Lee	5	2020-03-01	56		322,500	259,000
Vitalhub Corp.	Common Shares	Shen, Francis Nelson	4	2020-03-04	10	1.8	27,000	18,000
Vitalhub Corp.	Common Shares	Shen, Francis Nelson	4	2019-04-23	00			
Vitalhub Corp.	Common Shares	Shen, Francis Nelson	4	2020-03-04	10	1.8	10,000	10,000
ViveRE Communities Inc.	Common Shares	Nicoll, James David	5	2020-02-28	10	0.275	1,607,332	50,000
VIVO Cannabis Inc.	Common Shares	Laflamme, Daniel	3, 4, 5	2020-03-02	10	0.265	35,238,539	-20,000
VIVO Cannabis Inc.	Common Shares	Laflamme, Daniel	3, 4, 5	2020-03-03	10	0.2952	35,218,539	-20,000
VIVO Cannabis Inc.	Common Shares	Laflamme, Daniel	3, 4, 5	2020-03-04	10	0.28	35,198,539	-20,000
VIVO Cannabis Inc.	Common Shares	Laflamme, Daniel	3, 4, 5	2020-03-05	10	0.2825	35,178,539	-20,000
VIVO Cannabis Inc.	Common Shares	Laflamme, Daniel	3, 4, 5	2020-03-06	10	0.2667	35,158,539	-20,000
VIVO Cannabis Inc.	Options	Laflamme, Daniel	3, 4, 5	2019-12-12	50			225,000
VIVO Cannabis Inc.	Options	Laflamme, Daniel	3, 4, 5	2019-12-12	50			225,000
Wajax Corporation	2020 Performance Share Units	Auld, Stuart Harrison	5	2014-11-04	00			
Wajax Corporation	2020 Performance Share Units	Auld, Stuart Harrison	5	2020-03-03	56	13.71	11,625	11,625
Wajax Corporation	2020 Restricted Share Units	Auld, Stuart Harrison	5	2014-11-04	00			
Wajax Corporation	2020 Restricted Share Units	Auld, Stuart Harrison	5	2020-03-03	56	13.71	11,625	11,625
Wajax Corporation	2020 Performance Share Units	Baratto, Donna Jean	5	2016-07-01	00			
Wajax Corporation	2020 Performance Share Units	Baratto, Donna Jean	5	2020-03-03	56	13.71	2,046	2,046
Wajax Corporation	2020 Restricted Share Units	Baratto, Donna Jean	5	2016-07-01	00			
Wajax Corporation	2020 Restricted Share Units	Baratto, Donna Jean	5	2020-03-03	56	13.71	6,138	6,138
Wajax Corporation	2020 Performance Share Units	Carson, Trevor	5	2020-01-13	00			

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Wajax Corporation	2020 Performance Share Units	Carson, Trevor	5	2020-03-03	56	13.71	2,507	2,507
Wajax Corporation	2020 Restricted Share Units	Carson, Trevor	5	2020-01-13	00			
Wajax Corporation	2020 Restricted Share Units	Carson, Trevor	5	2020-03-03	56	13.71	7,522	7,522
Wajax Corporation	2020 Performance Share Units	Deck, Steven	5	2014-03-03	00			
Wajax Corporation	2020 Performance Share Units	Deck, Steven	5	2020-03-03	56	13.71	13,175	13,175
Wajax Corporation	2020 Restricted Share Units	Deck, Steven	5	2014-03-03	00			
Wajax Corporation	2020 Restricted Share Units	Deck, Steven	5	2020-03-03	56	13.71	13,175	13,175
Wajax Corporation	2020 Performance Share Units	Foote, Alan Mark	4	2012-03-06	00			
Wajax Corporation	2020 Performance Share Units	Foote, Alan Mark	4	2020-03-03	56	13.71	42,998	42,998
Wajax Corporation	2020 Restricted Share Units	Foote, Alan Mark	4	2012-03-06	00			
Wajax Corporation	2020 Restricted Share Units	Foote, Alan Mark	4	2020-03-03	56	13.71	42,998	42,998
Wajax Corporation	2020 Performance Share Units	Stretton, Irene	5	2019-05-07	00			
Wajax Corporation	2020 Performance Share Units	Stretton, Irene	5	2020-03-03	56	13.71	1,823	1,823
Wajax Corporation	2020 Restricted Share Units	Stretton, Irene	5	2019-05-07	00			
Wajax Corporation	2020 Restricted Share Units	Stretton, Irene	5	2020-03-03	56	13.71	5,470	5,470
Wajax Corporation	2020 Performance Share Units	Tam, Andrew	5	2017-03-08	00			
Wajax Corporation	2020 Performance Share Units	Tam, Andrew	5	2020-03-03	56	13.71	2,268	2,268
Wajax Corporation	2020 Restricted Share Units	Tam, Andrew	5	2017-03-08	00			
Wajax Corporation	2020 Restricted Share Units	Tam, Andrew	5	2020-03-03	56	13.71	6,804	6,804
Waste Connections, Inc. (formerly Progressive Waste Solutions Ltd.)	Common Shares	Harlan, Michael W	4	2020-03-02	10	97.257	7,398	-10,600
Waste Connections, Inc. (formerly Progressive Waste Solutions Ltd.)	Common Shares	Little, James M.	5	2020-03-03	10	99.28	27,014	-3,000
Waste Connections, Inc. (formerly Progressive Waste Solutions Ltd.)	Common Shares	Shea, Patrick J	5	2020-03-02	10	98.004	21,706	-9,000
Waterloo Brewing Ltd. (formerly Brick Brewing Co. Limited)	Common Shares	Brick Brewing Co. Limited	1	2020-02-03	38	3.2332	2,200	2,200
Waterloo Brewing Ltd. (formerly Brick Brewing Co. Limited)	Common Shares	Brick Brewing Co. Limited	1	2020-02-04	38	3.2259	4,587	2,387
Waterloo Brewing Ltd. (formerly Brick Brewing Co. Limited)	Common Shares	Brick Brewing Co. Limited	1	2020-02-05	38	3.1845	6,974	2,387
Waterloo Brewing Ltd. (formerly Brick Brewing Co. Limited)	Common Shares	Brick Brewing Co. Limited	1	2020-02-06	38	3.2323	8,274	1,300
Waterloo Brewing Ltd. (formerly Brick Brewing Co. Limited)	Common Shares	Brick Brewing Co. Limited	1	2020-02-07	38	3.1993	10,661	2,387
Waterloo Brewing Ltd. (formerly Brick Brewing Co. Limited)	Common Shares	Brick Brewing Co. Limited	1	2020-02-11	38	3.26	10,761	100
Waterloo Brewing Ltd. (formerly Brick Brewing Co. Limited)	Common Shares	Brick Brewing Co. Limited	1	2020-02-12	38	3.3134	13,148	2,387

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Waterloo Brewing Ltd. (formerly Brick Brewing Co. Limited)	Common Shares	Brick Brewing Co. Limited	1	2020-02-13	38	3.3099	15,535	2,387
Waterloo Brewing Ltd. (formerly Brick Brewing Co. Limited)	Common Shares	Brick Brewing Co. Limited	1	2020-02-14	38	3.258	16,535	1,000
Waterloo Brewing Ltd. (formerly Brick Brewing Co. Limited)	Common Shares	Brick Brewing Co. Limited	1	2020-02-18	38	3.2828	18,922	2,387
Waterloo Brewing Ltd. (formerly Brick Brewing Co. Limited)	Common Shares	Brick Brewing Co. Limited	1	2020-02-19	38	3.374	20,422	1,500
Waterloo Brewing Ltd. (formerly Brick Brewing Co. Limited)	Common Shares	Brick Brewing Co. Limited	1	2020-02-20	38	3.2487	21,209	787
Waterloo Brewing Ltd. (formerly Brick Brewing Co. Limited)	Common Shares	Brick Brewing Co. Limited	1	2020-02-21	38	3.2958	23,596	2,387
Waterloo Brewing Ltd. (formerly Brick Brewing Co. Limited)	Common Shares	Brick Brewing Co. Limited	1	2020-02-24	38	3.2434	25,983	2,387
Waterloo Brewing Ltd. (formerly Brick Brewing Co. Limited)	Common Shares	Brick Brewing Co. Limited	1	2020-02-25	38	3.2469	27,583	1,600
Waterloo Brewing Ltd. (formerly Brick Brewing Co. Limited)	Common Shares	Brick Brewing Co. Limited	1	2020-02-26	38	3.2066	29,970	2,387
Waterloo Brewing Ltd. (formerly Brick Brewing Co. Limited)	Common Shares	Brick Brewing Co. Limited	1	2020-02-27	38	3.1467	30,570	600
Waterloo Brewing Ltd. (formerly Brick Brewing Co. Limited)	Common Shares	Brick Brewing Co. Limited	1	2020-02-28	38	3.0462	32,670	2,100
Waterloo Brewing Ltd. (formerly Brick Brewing Co. Limited)	Common Shares	Brick Brewing Co. Limited	1	2020-02-28	38		0	-32,670
Wescan Goldfields Inc.	Common Shares	MacNeill, Tom	6	2020-03-03	10	0.04	1,123,587	3,000
West Fraser Timber Co. Ltd.	Common Shares	Gorman, James William	5	2020-02-27	51	40.97	2,000	1,000
West Fraser Timber Co. Ltd.	Options	Gorman, James William	5	2020-02-27	51		32,365	-1,000
West Fraser Timber Co. Ltd.	Common Shares	Watkins, Jr., Charles Henry	5	2020-03-04	10	37.111	3,414	14
West Fraser Timber Co. Ltd.	Common Shares	Watkins, Jr., Charles Henry	5	2020-03-04	10	37.104	3,614	200
West Fraser Timber Co. Ltd.	Common Shares	Watkins, Jr., Charles Henry	5	2020-03-04	10	37.082	4,214	600
West Fraser Timber Co. Ltd.	Common Shares	Watkins, Jr., Charles Henry	5	2020-03-04	10	37.074	4,314	100
West Fraser Timber Co. Ltd.	Common Shares	Watkins, Jr., Charles Henry	5	2020-03-04	10	37.07	4,710	396
West Kirkland Mining Inc.	Common Shares	Hallam, Frank	5	2020-03-05	10	0.062	2,243,767	25,000
Western Energy Services Corp.	Common Shares	Armoyan, Sime	3	2020-02-27	10	0.3	10,165,500	117,000
Western Energy Services Corp.	Common Shares	Armoyan, Sime	3	2020-02-27	10	0.31	10,180,000	14,500
Western Energy Services Corp.	Common Shares	Armoyan, Sime	3	2020-02-28	10	0.313	10,260,000	80,000
Western Energy Services Corp.	Common Shares	Armoyan, Sime	3	2020-02-28	10	0.31	10,285,000	25,000
Western Energy Services Corp.	Common Shares	Armoyan, Sime	3	2020-02-28	10	0.311	10,310,000	25,000
Western Energy Services Corp.	Common Shares	Armoyan, Sime	3	2020-02-28	10	0.31	10,340,000	30,000
Western Energy Services Corp.	Common Shares	Armoyan, Sime	3	2020-02-28	10	0.305	10,358,000	18,000
Western Energy Services Corp.	Common Shares	Armoyan, Sime	3	2020-03-02	10	0.31	10,459,000	101,000
Western Energy Services Corp.	Common Shares	Armoyan, Sime	3	2020-03-03	10	0.31	10,470,500	11,500
Western Energy Services Corp.	Common Shares	Armoyan, Sime	3	2020-03-05	10	0.31	14,273,000	3,802,500
Western Energy Services Corp.	Common Shares	Harrison, Richard Merle	5	2019-12-31	30		202,940	117,966

Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Western Energy Services Corp.	Common Shares	Harrison, Richard Merle	5	2019-12-31	30			117,966
Western Energy Services Corp.	Common Shares	Western Energy Services Corp.	1	2020-02-03	38	0.326	26,000	25,000
Western Energy Services Corp.	Common Shares	Western Energy Services Corp.	1	2020-02-04	38	0.32	50,000	24,000
Western Energy Services Corp.	Common Shares	Western Energy Services Corp.	1	2020-02-06	38	0.312	75,000	25,000
Western Energy Services Corp.	Common Shares	Western Energy Services Corp.	1	2020-02-07	38	0.31	76,500	1,500
Western Energy Services Corp.	Common Shares	Western Energy Services Corp.	1	2020-02-11	38	0.31	77,000	500
Western Energy Services Corp.	Common Shares	Western Energy Services Corp.	1	2020-02-13	38	0.3	1,412,500	1,335,500
Western Energy Services Corp.	Common Shares	Western Energy Services Corp.	1	2020-02-24	38	0.3	1,427,500	15,000
Western Energy Services Corp.	Common Shares	Western Energy Services Corp.	1	2020-02-25	38	0.3	1,436,000	8,500
Western Energy Services Corp.	Common Shares	Western Energy Services Corp.	1	2020-02-27	38		0	-1,436,000
Western Forest Products Inc.	Performance Share Units (Cash Settled)	Alexander, Bruce Lindsay	5	2019-12-31	30		83,672	5,272
Western Forest Products Inc.	Performance Share Units (Cash Settled)	Demens, Donald Eugene	5	2020-02-28	59		518,911	-230,258
Western Forest Products Inc.	Performance Share Units (Cash Settled)	Foster, Jennifer Eileen	5	2019-12-31	30		152,813	3,544
Western Forest Products Inc.	Performance Share Units (Cash Settled)	Foster, Jennifer Eileen	5	2019-12-31	30		155,563	2,750
Western Forest Products Inc.	Performance Share Units (Cash Settled)	Foster, Jennifer Eileen	5	2019-12-31	30		159,307	3,744
Western Forest Products Inc.	Performance Share Units (Cash Settled)	Foster, Jennifer Eileen	5	2020-02-28	59		103,064	-56,243
Western Forest Products Inc.	Performance Share Units (Cash Settled)	Janzen, Shannon	5	2019-12-31	30		136,306	3,108
Western Forest Products Inc.	Performance Share Units (Cash Settled)	Janzen, Shannon	5	2019-12-31	30		138,596	2,290
Western Forest Products Inc.	Performance Share Units (Cash Settled)	Janzen, Shannon	5	2019-12-31	30		142,152	3,556
Western Forest Products Inc.	Performance Share Units (Cash Settled)	Janzen, Shannon	5	2020-02-28	59		92,816	-49,336
Western Forest Products Inc.	Performance Share Units (Cash Settled)	McGregor, Donald George	5	2019-12-31	30		49,510	3,120
Western Forest Products Inc.	Performance Share Units (Cash Settled)	Williams, Stephen D.A.	5	2019-12-31	30		332,971	6,530
Western Forest Products Inc.	Performance Share Units (Cash Settled)	Williams, Stephen D.A.	5	2019-12-31	30		339,504	6,533
Western Forest Products Inc.	Performance Share Units (Cash Settled)	Williams, Stephen D.A.	5	2019-12-31	30		348,397	8,893
Western Forest Products Inc.	Performance Share Units (Cash Settled)	Williams, Stephen D.A.	5	2020-02-28	59		244,783	-103,614
White Metal Resources Corp.	Common Shares	Strashin, Elliot Phillip	3, 4, 5	2020-03-03	10	0.04	4,399,300	182,342
White Metal Resources Corp.	Common Shares	Strashin, Elliot Phillip	3, 4, 5	2020-03-05	10	0.04	4,499,300	100,000
WildBrain Ltd. (formerly, DHX Media Ltd.)	Equity Swap - Long Position (120,000 common shares - expires February 18, 2021)	EastBay Asset Management, LLC	3	2020-02-26	70			1
WildBrain Ltd. (formerly, DHX Media Ltd.)	Equity Swap - Long Position (120,000 common shares - expires February 18, 2021)	EastBay Asset Management, LLC	3	2020-02-26	70			1
WildBrain Ltd. (formerly, DHX Media Ltd.)	Equity Swap - Long Position (130,000 common shares - expires Feb 18, 2021) 03/06/2019	EastBay Asset Management, LLC	3	2020-02-28	70		0	-1

Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
WildBrain Ltd. (formerly, DHX Media Ltd.)	Equity Swap - Long Position (130,000 common shares - expires Feb 18, 2021) 03/06/2019	EastBay Asset Management, LLC	3	2020-02-28	70		1	1
WildBrain Ltd. (formerly, DHX Media Ltd.)	Equity Swap - Long Position (130,000 common shares - expires Feb 18, 2021) 03/06/2019	EastBay Asset Management, LLC	3	2020-03-03	70		0	-1
WildBrain Ltd. (formerly, DHX Media Ltd.)	Equity Swap - Long Position (130,000 common shares - expires Feb 18, 2021) 03/08/2019	EastBay Asset Management, LLC	3	2020-03-03	70		0	-1
WildBrain Ltd. (formerly, DHX Media Ltd.)	Equity Swap - Long Position (130,000 common shares - expires Feb 18, 2021) 03/08/2019	EastBay Asset Management, LLC	3	2020-03-03	70		1	1
WildBrain Ltd. (formerly, DHX Media Ltd.)	Equity Swap - Long Position (130,000 common shares - expires February 18, 2021)	EastBay Asset Management, LLC	3	2020-02-26	70		0	-1
WildBrain Ltd. (formerly, DHX Media Ltd.)	Equity Swap - Long Position (130,000 common shares - expires February 18, 2021)	EastBay Asset Management, LLC	3	2020-02-26	70		1	1
WildBrain Ltd. (formerly, DHX Media Ltd.)	Equity Swap - Long Position (130,000 common shares - expires February 18, 2021)	EastBay Asset Management, LLC	3	2020-02-28	70		0	-1
WildBrain Ltd. (formerly, DHX Media Ltd.)	Equity Swap - Long Position (250,000 common shares - expires June 9, 2020)	EastBay Asset Management, LLC	3	2020-03-03	70		0	-1
WildBrain Ltd. (formerly, DHX Media Ltd.)	Equity Swap - Long Position (250,000 common shares - expires June 9, 2020)	EastBay Asset Management, LLC	3	2020-03-03	70		1	1
WildBrain Ltd. (formerly, DHX Media Ltd.)	Equity Swap - Long Position (250,000 common shares - expires June 9, 2020)	EastBay Asset Management, LLC	3	2020-03-03	70		0	-1
WildBrain Ltd. (formerly, DHX Media Ltd.)	Equity Swap - Long Position (250,000 common shares - expires June 9, 2020)	EastBay Asset Management, LLC	3	2018-12-18	00			
WildBrain Ltd. (formerly, DHX Media Ltd.)	Equity Swap - Long Position (28,800 common shares - expiry date is November 19, 2020)	EastBay Asset Management, LLC	3	2018-12-18	00			
WildBrain Ltd. (formerly, DHX Media Ltd.)	Equity Swap - Long Position (28,800 common shares - expiry date is November 19, 2020)	EastBay Asset Management, LLC	3	2020-02-28	70		1	1
WildBrain Ltd. (formerly, DHX Media Ltd.)	Equity Swap - Long Position (51,200 Common Shares - Expiry Augsut 15, 2029)	EastBay Asset Management, LLC	3	2018-12-18	00			
WildBrain Ltd. (formerly, DHX Media Ltd.)	Equity Swap - Long Position (51,200 Common Shares - Expiry Augsut 15, 2029)	EastBay Asset Management, LLC	3	2020-02-28	70		1	1
WildBrain Ltd. (formerly, DHX Media Ltd.)	Variable Voting Shares	EastBay Asset Management, LLC	3	2020-02-28	10	1.15	18,079,117	-3,000,000
WildBrain Ltd. (formerly, DHX Media Ltd.)	Common Voting Shares	Machum, Donald Geoffrey	4	2020-03-02	10	1.16	154,368	7,500
WildBrain Ltd. (formerly, DHX Media Ltd.)	Common Voting Shares	Machum, Donald Geoffrey	4	2020-03-04	10	1.2	155,368	1,000
WPT Industrial Real Estate Investment Trust	Subscription Receipts	Her Majesty the Queen in Right of The Province of Alberta	3	2016-01-20	00			
WPT Industrial Real Estate Investment Trust	Subscription Receipts	Her Majesty the Queen in Right of The Province of Alberta	3	2020-02-27	16	14.35	2,578,400	2,578,400

Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
WSP Global Inc.	Common Shares	Michaud, Alain	5	2020-02-27	00		1,289	
WSP Global Inc.	Options	Michaud, Alain	5	2020-02-27	00		11,960	
WSP Global Inc.	Performance Share Unit	Michaud, Alain	5	2020-02-27	00		4,281	
WSP Global Inc.	Restricted Shares Units	Michaud, Alain	5	2020-02-27	00		1,713	
Ximen Mining Corp.	Common Shares	Anderson, Christopher Ross	4	2020-02-21	10	0.475	4,129,037	-35,000
Ximen Mining Corp.	Common Shares	Anderson, Christopher Ross	4	2020-02-21	10	0.47	4,089,037	-40,000
Ximen Mining Corp.	Common Shares	Anderson, Christopher Ross	4	2020-02-21	10	0.47	4,046,537	-42,500
Ximen Mining Corp.	Warrants	Anderson, Christopher Ross	4	2020-02-27	53		3,013,674	243,903
XORTX Therapeutics Inc. (formerly: APAC Resources Inc.)	Common Shares	Davidoff, Allen Warren	4, 5	2020-02-28	10	0.14	4,812,220	357,143
XORTX Therapeutics Inc. (formerly: APAC Resources Inc.)	Warrants	Davidoff, Allen Warren	4, 5	2018-01-09	00		357,143	
XORTX Therapeutics Inc. (formerly: APAC Resources Inc.)	Common Shares	Fairbairn, James Neville	5	2020-02-28	16	0.14	200,000	150,000
XORTX Therapeutics Inc. (formerly: APAC Resources Inc.)	Warrants	Fairbairn, James Neville	5	2018-11-05	00			
XORTX Therapeutics Inc. (formerly: APAC Resources Inc.)	Warrants	Fairbairn, James Neville	5	2020-02-28	16		150,000	150,000
XORTX Therapeutics Inc. (formerly: APAC Resources Inc.)	Common Shares	Rowlands, William Bruce	4	2020-02-28	16	0.14	759,643	357,143
XORTX Therapeutics Inc. (formerly: APAC Resources Inc.)	Warrants	Rowlands, William Bruce	4	2018-01-09	00			
XORTX Therapeutics Inc. (formerly: APAC Resources Inc.)	Warrants	Rowlands, William Bruce	4	2020-02-28	16		357,143	357,143
Yamana Gold Inc.	Common Shares	Bouchard, Yohann	5	2019-12-31	30		51,773	267
Yamana Gold Inc.	Common Shares	Bouchard, Yohann	5	2020-02-27	10	4.97	21,992	7,182
Yamana Gold Inc.	Common Shares	CAMPBELL, RICHARD	5	2019-12-31	30		62,459	330
Yamana Gold Inc.	Common Shares	Gallinger, Ross Douglas	5	2019-12-31	30		37,110	192
Yamana Gold Inc.	Common Shares	Marrone, Peter	4, 5	2020-03-02	10	5.307	400,000	125,000
Yamana Gold Inc.	Common Shares	Marsden, Henry	5	2019-12-31	30		8,766	86
Yamana Gold Inc.	Common Shares	Racine, Daniel	5	2019-12-31	30			1,301
Yamana Gold Inc.	Common Shares	Racine, Daniel	5	2019-12-31	30		370,754	1,301
Yamana Gold Inc.	Common Shares	Tsakos, Sofia	5	2019-12-31	30		259,920	440
YDX Innovation Corp.	Common Shares	Garcia, Jamil Fernando	5	2020-03-02	46		53,031	53,031
Yellow Pages Limited	Common Shares	Cooper, Treena	4	2020-02-19	57	9.55	6,708	4,794
Yellow Pages Limited	Common Shares	Cooper, Treena	4	2020-02-19	57	9.55	10,303	3,595
Yellow Pages Limited	Options	Cooper, Treena	4	2020-02-25	50	12.103		35,794
Yellow Pages Limited	Options	Cooper, Treena	4	2020-02-25	50	12.103	90,497	35,794
Yellow Pages Limited	Performance Share Unit	Cooper, Treena	4	2020-02-19	57	9.55	1,199	-3,595
Yellow Pages Limited	Performance Share Unit	Cooper, Treena	4	2020-02-19	38	9.55	0	-1,199
Yellow Pages Limited	Restricted Share Unit	Cooper, Treena	4	2020-02-19	57	9.55	10,558	-4,794
Yellow Pages Limited	Options	Ireland, John	5	2020-02-25	50	12.103		124,407
Yellow Pages Limited	Options	Ireland, John	5	2020-02-25	50	12.103		124,407
Yellow Pages Limited	Options	Ireland, John	5	2020-02-25	50	12.103	413,074	124,407
Yellow Pages Limited	Common Shares	King, Sherilyn Ann	5	2020-02-19	57	9.55	6,611	5,753
Yellow Pages Limited	Common Shares	King, Sherilyn Ann	5	2020-02-19	57	9.55	10,925	4,314
Yellow Pages Limited	Options	King, Sherilyn Ann	5	2020-02-25	50	12.103		31,903
Yellow Pages Limited	Options	King, Sherilyn Ann	5	2020-02-25	50	12.103	87,842	31,903
Yellow Pages Limited	Performance Share Unit	King, Sherilyn Ann	5	2020-02-19	57	9.55	1,439	-4,314
Yellow Pages Limited	Performance Share Unit	King, Sherilyn Ann	5	2020-02-19	38	9.55	0	-1,439
Yellow Pages Limited	Restricted Share Unit	King, Sherilyn Ann	5	2020-02-19	57	9.55	8,862	-5,753

Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Yellow Pages Limited	Common Shares	Sciannamblo, Franco	5	2020-02-19	57	9.55	18,021	14,703
Yellow Pages Limited	Common Shares	Sciannamblo, Franco	5	2020-02-19	57	9.55	29,048	11,027
Yellow Pages Limited	Options	Sciannamblo, Franco	5	2020-02-25	50	12.103		107,085
Yellow Pages Limited	Options	Sciannamblo, Franco	5	2020-02-25	50	12.103	250,360	107,085
Yellow Pages Limited	Performance Share Unit	Sciannamblo, Franco	5	2020-02-19	57	9.55	3,311	-11,027
Yellow Pages Limited	Performance Share Unit	Sciannamblo, Franco	5	2020-02-19	38	9.55	-365	-3,676
Yellow Pages Limited	Restricted Share Unit	Sciannamblo, Franco	5	2020-02-19	57	9.55	5,442	-14,703
Zargon Oil & Gas Ltd.	Common Shares	Doetzel, Randolph John	5	2020-02-17	30	0.1473	30,139	12,327
Zargon Oil & Gas Ltd.	Common Shares	Doetzel, Randolph John	5	2020-03-02	30	0.1192	45,376	15,237
Zedcor Energy Inc.	Common Shares	Swanberg, Dean Sylvan	3, 4, 5	2020-03-02	11	0.0529	13,182,787	197,072
Zenith Capital Corp.	Units Restricted Share Units	Cann, Aaron Bradley	5	2019-12-08	58 - Expiration of rights		107,500	-500,000
Zenith Capital Corp.	Units Restricted Share Units	Hansen, Henrik Claus	5	2019-12-08	58 - Expiration of rights		562,155	-350,000
Zenith Capital Corp.	Units Restricted Share Units	MCCAFFREY, DONALD J.	4	2019-12-09	58 - Expiration of rights		646,436	-1,000,000

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Chapter 11

IPOs, New Issues and Secondary Financings

INVESTMENT FUNDS

Issuer Name:

Horizons Big Data & Hardware Index ETF (formerly
Horizons Blockchain Technology & Hardware Index ETF)
Horizons Pipelines & Energy Services Index ETF (formerly
Horizons Canadian Midstream Oil & Gas Index ETF)
Principal Regulator - Ontario

Type and Date:

Amendment #3 to Final Long Form Prospectus dated
February 26, 2020

NP 11-202 Receipt dated March 4, 2020

Offering Price and Description:

Class A Units

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

Horizons ETFs Management (Canada) Inc.

Project #2881931

Issuer Name:

Sun Life Granite Income Portfolio
Sun Life Sentry Value Fund
Sun Life Dynamic American Fund
Sun Life Templeton Global Bond Fund
Sun Life BlackRock Canadian Equity Fund
Sun Life BlackRock Canadian Balanced Fund
Sun Life MFS Canadian Equity Fund (formerly Sun Life
MFS Canadian Equity Growth Fund)
Sun Life Franklin Bissett Canadian Equity Class
Sun Life Invesco Canadian Class
Principal Regulator - Ontario

Type and Date:

Amendment #3 to Final Simplified Prospectus and
Amendment #4 to AIF dated February 26, 2020

NP 11-202 Receipt dated March 6, 2020

Offering Price and Description:

Series C securities

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

N/A

Project #2858300

Issuer Name:

Dynamic Retirement Income+ Fund
Principal Regulator – Ontario

Type and Date:

Preliminary Simplified Prospectus dated Mar 6, 2020

NP 11-202 Preliminary Receipt dated Mar 6, 2020

Offering Price and Description:

Series H Units, Series I Units, Series A Units, Series O
Units, Series FH Units and Series F Units

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

N/A

Project #3026444

Issuer Name:

Canoe Defensive Global Balanced Fund
Principal Regulator – Alberta (ASC)

Type and Date:

Preliminary Simplified Prospectus dated Mar 6, 2020

NP 11-202 Preliminary Receipt dated Mar 6, 2020

Offering Price and Description:

Series A securities and Series F securities

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

N/A

Project #3026625

Issuer Name:

First Trust Cboe Vest U.S. Equity Buffer ETF - February
Principal Jurisdiction - Ontario

Type and Date:

Amendment #1 to Final Long Form Prospectus dated
February 25, 2020

NP 11-202 Final Receipt dated Mar 3, 2020

Offering Price and Description:

Hedged Units and Units

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

N/A

Project #3007470

Issuer Name:

Sun Life MFS Global Total Return Fund
Sun Life MFS Monthly Income Fund
Sun Life Dynamic Energy Fund
Sun Life Excel China Fund
Sun Life Excel Emerging Markets Balanced Fund
Sun Life Excel India Balanced Fund
Sun Life Excel India Fund
Sun Life Excel New India Leaders Fund
Sun Life Tactical Fixed Income ETF Portfolio
Sun Life Tactical Balanced ETF Portfolio
Sun Life BlackRock Canadian Balanced Class
Sun Life BlackRock Canadian Composite Equity Class
Sun Life BlackRock Canadian Equity Class
Sun Life Dynamic Equity Income Class
Sun Life Dynamic Strategic Yield Class
Sun Life MFS Dividend Income Class
Sun Life MFS Canadian Equity Growth Class
Sun Life Sentry Value Class
Principal Jurisdiction - Ontario

Type and Date:

Amendment #2 to Final Simplified Prospectus dated February 26, 2020

NP 11-202 Final Receipt dated Mar 6, 2020

Offering Price and Description:

Series C securities, Series DB securities, Series FC securities and Series O securities

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

N/A

Project #2930189

Issuer Name:

Lazard Global Managed Volatility Fund (now Lazard Defensive Global Dividend Fund)
Lazard Global Equity Income Fund
Lazard Emerging Markets Multi-Asset Fund
Principal Jurisdiction - Ontario

Type and Date:

Amendment #2 to Final Simplified Prospectus dated February 13, 2020

NP 11-202 Final Receipt dated Mar 3, 2020

Offering Price and Description:

Series A securities, Series AH securities, Series F securities, Series FH securities, Series I securities and Series IH securities

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

N/A

Project #2914040

Issuer Name:

Harvest Healthcare Leaders Income ETF
Harvest Brand Leaders Plus Income ETF
Harvest Tech Achievers Growth & Income ETF
Harvest Energy Leaders Plus Income ETF
Principal Jurisdiction - Ontario

Type and Date:

Amendment #1 to Final Long Form Prospectus dated February 27, 2020

NP 11-202 Final Receipt dated Mar 3, 2020

Offering Price and Description:

Class B Unhedged Units

Underwriter(s) or Distributor(s):

N/A

Promoter(s):

N/A

Project #2915165

NON-INVESTMENT FUNDS

Algonquin Power & Utilities Corp.
Principal Regulator - Ontario

Type and Date:

Preliminary Shelf Prospectus dated March 6, 2020
NP 11-202 Preliminary Receipt dated March 9, 2020

Offering Price and Description:

US\$3,000,000,000.00
Debt Securities (unsecured)
Subscription Receipts
Preferred Shares
Common Shares
Warrants
Share Purchase Contracts
Share Purchase or Equity Units
Units

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #3026607

Issuer Name:

CubicFarm Systems Corp.
Principal Regulator - British Columbia

Type and Date:

Preliminary Short Form Prospectus dated March 4, 2020
NP 11-202 Preliminary Receipt dated March 4, 2020

Offering Price and Description:

\$*
*COMMON SHARES

Underwriter(s) or Distributor(s):

RAYMOND JAMES LTD.
CANACCORD GENUITY CORP.
BEACON SECURITIES LIMITED

Promoter(s):

Leo Benne
Project #3025688

Issuer Name:

H&R Real Estate Investment Trust
Principal Regulator - Ontario

Type and Date:

Preliminary Shelf Prospectus dated March 3, 2020
NP 11-202 Preliminary Receipt dated March 3, 2020

Offering Price and Description:

\$2,000,000,000.00 - REIT Units, Debt Securities,
Subscription Receipts, Warrants, Units

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #3025233

Issuer Name:

Israel Capital Canada Corp.
Principal Regulator - British Columbia

Type and Date:

Preliminary CPC Prospectus dated March 6, 2020
NP 11-202 Preliminary Receipt dated March 9, 2020

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

Canaccord Genuity Corp.

Promoter(s):

Stephen Davis
Project #3026743

Issuer Name:

Medicenna Therapeutics Corp.
Principal Regulator - Ontario

Type and Date:

Preliminary Short Form Prospectus dated March 3, 2020
NP 11-202 Preliminary Receipt dated March 3, 2020

Offering Price and Description:

\$* (* Offered Shares)
Price: \$* per Offered Share

Underwriter(s) or Distributor(s):

BLOOM BURTON SECURITIES INC.
MACKIE RESEARCH CAPITAL CORPORATION
HAYWOOD SECURITIES INC.

Promoter(s):

Fahar Merchant
Rosemina Merchant
Project #3025238

Issuer Name:

Medicenna Therapeutics Corp.
Principal Regulator - Ontario

Type and Date:

Amended and Restated Preliminary Short Form Prospectus
dated March 4, 2020 to amending and restating Preliminary
Short Form Prospectus dated March 3, 2020
NP 11-202 Preliminary Receipt dated March 5, 2020

Offering Price and Description:

\$35,000,001.30 (11,290,323 Offered Shares)
Price: \$3.10 per Offered Share

Underwriter(s) or Distributor(s):

BLOOM BURTON SECURITIES INC.
MACKIE RESEARCH CAPITAL CORPORATION
HAYWOOD SECURITIES INC.

Promoter(s):

Fahar Merchant
Rosemina Merchant
Project #3025238

Issuer Name:

Ovintiv Inc.
Principal Regulator - Alberta

Type and Date:

Preliminary Shelf Prospectus dated March 6, 2020
NP 11-202 Preliminary Receipt dated March 6, 2020

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #3026586

Issuer Name:

Revival Gold Inc.
Principal Regulator - Ontario

Type and Date:

Preliminary Short Form Prospectus dated March 5, 2020
NP 11-202 Preliminary Receipt dated March 5, 2020

Offering Price and Description:

\$5,000,040.00 - 7,353,000 Units
PRICE: \$0.68 PER UNIT

Underwriter(s) or Distributor(s):

ECHELON WEALTH PARTNERS INC.
PARADIGM CAPITAL INC.
BEACON SECURITIES LIMITED

Promoter(s):

-

Project #3025670

Issuer Name:

Spectral Medical Inc.
Principal Regulator - Ontario

Type and Date:

Preliminary Short Form Prospectus dated March 5, 2020
NP 11-202 Preliminary Receipt dated March 5, 2020

Offering Price and Description:

Up to \$*
Up to * Common Shares

Underwriter(s) or Distributor(s):

PARADIGM CAPITAL INC.

Promoter(s):

-

Project #3026048

Issuer Name:

Valencia Capital Inc.
Principal Regulator - British Columbia

Type and Date:

Preliminary CPC Prospectus dated March 3, 2020
NP 11-202 Preliminary Receipt dated March 4, 2020

Offering Price and Description:

Minimum Offering: \$350,000.00 (3,500,000 Common Shares)

Maximum Offering: \$1,000,000.00 (10,000,000 Common Shares)

Price: \$0.10 per Common Share

Underwriter(s) or Distributor(s):

PI FINANCIAL CORP.

Promoter(s):

EDWARD DUDA

Project #3025328

Issuer Name:

Choice Properties Real Estate Investment Trust
Principal Regulator - Ontario

Type and Date:

Final Shelf Prospectus dated March 4, 2020
NP 11-202 Receipt dated March 5, 2020

Offering Price and Description:

\$2,000,000,000.00 - Units, Debt Securities

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #3019769

Issuer Name:

Fairfax Africa Holdings Corporation
Principal Regulator - Ontario

Type and Date:

Final Shelf Prospectus dated March 5, 2020
NP 11-202 Receipt dated March 5, 2020

Offering Price and Description:

US\$500,000,000.00 - Subordinate Voting Shares, Preference Shares, Debt Securities, Subscription Receipts, Warrants, Units

Underwriter(s) or Distributor(s):

-

Promoter(s):

FAIRFAX FINANCIAL HOLDINGS LIMITED

Project #3002441

Issuer Name:

Fairfax India Holdings Corporation
Principal Regulator - Ontario

Type and Date:

Final Shelf Prospectus dated March 5, 2020
NP 11-202 Receipt dated March 5, 2020

Offering Price and Description:

US\$1,500,000,000.00
Subordinate Voting Shares
Preference Shares
Debt Securities
Subscription Receipts
Warrants
Units

Underwriter(s) or Distributor(s):

-

Promoter(s):

FAIRFAX FINANCIAL HOLDINGS LIMITED
Project #3002440

Issuer Name:

H&R Real Estate Investment Trust
Principal Regulator - Ontario

Type and Date:

Final Shelf Prospectus dated March 6, 2020
NP 11-202 Receipt dated March 6, 2020

Offering Price and Description:

\$2,000,000,000.00 - REIT Units Debt Securities
Subscription Receipts Warrants
Units

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Project #3025233

Issuer Name:

kneat.com, inc.
Principal Regulator - Nova Scotia

Type and Date:

Final Short Form Prospectus dated March 5, 2020
NP 11-202 Receipt dated March 5, 2020

Offering Price and Description:

\$2.10 - 5,238,500 Common Shares
Price: \$2.10 per Offered Share

Underwriter(s) or Distributor(s):

CORMARK SECURITIES INC.
CANACCORD GENUITY CORP.
ECHELON WEALTH PARTNERS INC.
MACKIE RESEARCH CAPITAL CORPORATION

Promoter(s):

-

Project #3021518

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Chapter 12

Registrations

12.1.1 Registrants

Type	Company	Category of Registration	Effective Date
New Registration	KVB Kunlun Financial Canada Inc.	Exempt Market Dealer	March 3, 2020
Voluntary Surrender	Extuple Management Inc.	Investment Fund Manager, Portfolio Manager, and Exempt Market Dealer	March 4, 2020
New Registration	Cadogan Park Advisors Inc.	Portfolio Manager	March 6, 2020

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Chapter 13

SROs, Marketplaces, Clearing Agencies and Trade Repositories

13.2 Marketplaces

13.2.1 Canadian Securities Exchange – Housekeeping Amendment to Form 1A – Notice of Housekeeping Rule Amendment

CANADIAN SECURITIES EXCHANGE

NOTICE OF HOUSEKEEPING RULE AMENDMENT

HOUSEKEEPING AMENDMENT TO FORM 1A

Introduction

In accordance with the “Process for the Review and Approval of Rules and the Information Contained in Form 21-101F1 and the Exhibits Thereto” (the “Protocol”) of the CSE Recognition Order, the CSE has adopted, and the Ontario Securities Commission has approved, the Housekeeping Rule amendment to the Form 1A Application Letter described in this notice.

The amendment is a Housekeeping Rule amendment under the Protocol and therefore was not published for comment. The Ontario Securities Commission has not disagreed with the categorization of the amendment as a Housekeeping Rule.

A. DESCRIPTION OF THE PROPOSED AMENDMENTS

The specific monetary amount for the non-refundable portion of the listing fee (often considered a deposit, or application fee) is being removed from the Form 1A Application Letter so that any future fee changes will not require form amendments.

Blacklined text of the amendments:	Text of the amended Form 1A:
Please find enclosed, in duplicate, Form 1B Listing Application, Form 2A Listing Statement, Form 2B Listing Summary, executed Listing Agreement, the supporting documents set out in Appendix A to the Listing Application and a cheque representing the non-refundable portion of the application fee of \$2,500 plus HST/GST.	Please find enclosed, in duplicate, Form 1B Listing Application, Form 2A Listing Statement, Form 2B Listing Summary, executed Listing Agreement, the supporting documents set out in Appendix A to the Listing Application and a cheque representing the non-refundable portion of the application fee plus HST/GST.

B. EFFECTIVE DATE

The amendments will be effective immediately.

C. CLASSIFICATION

The amendments have been classified as housekeeping and were not published for comment.

D. QUESTIONS

Questions regarding this notice may be directed to:

Mark Faulkner
Vice President, Listings and Regulation
CNSX Markets Inc.
Email: Mark.Faulkner@thecse.com

13.2.2 NASDAQ CXC Limited – Introduction of Broker Preferencing for Anonymous Orders on the CXD Trading Book

NASDAQ CXC LIMITED

NOTICE OF APPROVAL

INTRODUCTION OF BROKER PREFERENCING FOR ANONYMOUS ORDERS ON THE CXD TRADING BOOK

In accordance with the requirements set out in the Process for the Review and Approval of Rules and the Information Contained in Form 21-101F1 and the Exhibits Thereto (Exchange Protocol), on March 10th, 2020, the Commission approved significant changes to the Trading Rules and Policies of Nasdaq CXC Limited (Nasdaq Canada) reflecting the introduction of broker preferencing for anonymous orders on the CXD Trading Book.

Nasdaq Canada's Notice and Request for Comment on the proposed functionality was published on the Commission's website and in the Commission's Bulletin on December 19th, 2019 at (2019) 42 OSCB 9890. No comment letters were received.

This new functionality is expected to be introduced in the second quarter of 2020.

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