

The Ontario Securities Commission

# OSC Bulletin

## Cumulative Index 2018

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The Ontario Securities Commission administers the  
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*Commodity Futures Act* of Ontario (R.S.O. 1990, c. C.20)

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# Introduction to the OSC Bulletin Cumulative Index

This is a cumulative index of documents published in the OSC Bulletin (Volume 41) for the period January – December 2018, inclusive.

The index includes material found in Chapters 1 (Notices), 2 (Decisions, Orders and Rulings), 3 (Reasons: Decisions, Orders and Rulings), 4 (Cease Trading Orders), 5 (Rules and Policies), 6 (Request for Comments), 9 (Legislation), 12 (Registrations), 13 (SROs, Marketplaces and Clearing Agencies) and 25 (Other Information).

Chapters 7 (Insider Reporting) and 11 (IPOs, New Issues and Secondary Financings) are not indexed.

All corporate and personal names that appear in the bold titles of each document published in the indexed chapters are indexed. The tables found in Chapters 4 and 12 are indexed by corporate name only. Where tables appear in Chapter 25, they are indexed by corporate name only.

Index entries are arranged alphabetically. Each index entry includes a corporate or personal name, a statutory instrument cited (if applicable) and finding information consisting of the issue number, issue date and page number. Citations are to the *Securities Act*, if not otherwise specified.

## Supplements in 2018

The Supplements published in 2018 were:

- **Client Focused Reforms – Proposed Amendments to National Instrument 31-103 and Companion Policy 31-103CP** – Volume 41, Issue 25, Supplement 1 (June 21, 2018)
- **CSA Notice of Amendments – Modernization of Investment Fund Product Regulation – Alternative Mutual Funds** – Volume 41, Issue 41, Supplement 2 (October 11, 2018)

Particular Instruments, Policies and Forms affected appear in the Cumulative Index.

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<b>Deer Horn Capital Inc.</b>	
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<b>Desjardins Global Asset Management Inc.</b>	
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<b>Desjardins, Daniel</b>	
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<b>EA Education Group Inc.</b>	
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<b>EHP Funds Inc.</b>	
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<b>Enbridge Gas Distribution Inc.</b>	
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<b>Enbridge Pipelines Inc.</b>	
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<b>Enercare Inc.</b>	
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<b>Énergir Inc.</b>	
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<b>Enfield Exploration Corp.</b>	
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<b>Essilor International S.A.</b>	
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<b>European Metals Corp.</b>	
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<b>Evolve Funds Group Inc.</b>	
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<b>Ewing Morris &amp; Co. Investment Partners Ltd.</b>	
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<b>Excel Blue Chip Equity Fund</b>	
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<b>Excel Funds Management Inc.</b>	
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<b>Excel Investment Counsel Inc.</b>	
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<b>Extuple Management Inc.</b>	
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<b>Fakhry, Frank</b>	
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<b>FDP US Dividend Equity Portfolio</b>	
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<b>Fidelity Canadian Equity Investment Trust</b>	
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<b>Fidelity Canadian Real Return Bond Index Investment Trust</b>	
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<b>Fidelity Canadian Short Term Fixed Income Investment Trust</b>	
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<b>Fidelity Concentrated Canadian Equity Investment Trust</b>	
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<b>Fidelity Conservative Income Private Pool</b>	
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<b>Fidelity Convertible Securities Investment Trust</b>	
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<b>Fidelity Emerging Markets Debt Investment Trust</b>	
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<b>Fidelity Floating Rate High Income Investment Trust</b>	
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<b>Fidelity Global Asset Allocation Currency Neutral Private Pool</b>	
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<b>Fidelity Global Asset Allocation Private Pool</b>	
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<b>Fidelity Global Bond Currency Neutral Investment Trust</b>	
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<b>Fidelity Global Bond Investment Trust</b>	
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<b>Fidelity Global Credit Ex-U.S. Investment Trust</b>	
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<b>Fidelity Global Equity Investment Trust</b>	
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<b>Fidelity Global Innovators Investment Trust</b>	
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<b>Fidelity International Growth Investment Trust</b>	
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<b>Fidelity Investments Canada ULC</b>	
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<b>Fidelity Management &amp; Research (Canada) ULC</b>	
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<b>Fidelity Premium Fixed Income Private Pool</b>	
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<b>Fidelity Premium Money Market Private Pool</b>	
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<b>Fidelity Premium Tactical Fixed Income Private Pool</b>	
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<b>Fidelity U.S. Bond Investment Trust</b>	
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<b>Fidelity U.S. Equity Investment Trust</b>	
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<b>Fidelity U.S. Growth and Income Private Pool</b>	
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<b>Fidelity U.S. Money Market Investment Trust</b>	
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<b>Fidelity U.S. Multi-Cap Investment Trust</b>	
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<b>Financial Decisions Inc.</b>	
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<b>Firm Capital Mortgage Investment Corporation</b>	
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<b>Firminvest Asset Management Canada Inc.</b>	
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<b>First Affiliated Family Office Group Inc.</b>	
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<b>First Asset Diversified Convertible Debenture Fund</b>	
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<b>First Asset Investment Management Inc.</b>	
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Decision.....	#43 (October 25) 8391
<b>First Asset Morningstar US Consumer Defensive Index Fund</b>	
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<b>First Asset North American Convertibles Fund</b>	
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<b>First Asset Resource Fund Inc.</b>	
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<b>First Avenue Investment Counsel Inc.</b>	
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<b>First Block Capital Inc.</b>	
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<b>First Global Data Limited</b>	
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<b>FirstCaribbean International Bank Limited</b>	
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<b>Focused Capital II Corp.</b>	
Consent – s. 4(b) of the Regulation.....	#34 (August 23) 6805
<b>Foremost Capital Inc.</b>	
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<b>Fortis Inc.</b>	
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<b>Frame Global Asset Management Limited</b>	
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<b>Franklin Advisers, Inc.</b>	
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<b>Franklin Bissett Canadian Bond Fund</b>	
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<b>Franklin Templeton Institutional, LLC</b>	
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<b>Franklin Templeton International Services S.à.r.l.</b>	
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<b>Franklin Templeton Investment Management Limited</b>	
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<b>Frontenac Mortgage Investment Corporation</b>	
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<b>FT Balanced Growth Pool</b>	
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<b>FT Balanced Income Pool</b>	
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<b>FT Growth Pool</b>	
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<b>FT Portfolios Canada Co.</b>	
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<b>Funding Portal Inc. (The)</b>	
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<b>Fundserv Inc.</b>	
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<b>Future Farm Technologies Inc.</b>	
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<b>Gallagher, Liam</b>	
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<b>Gazit Canada Financial Inc.</b>	
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<b>Gazit-Globe Ltd.</b>	
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<b>GB Minerals Ltd.</b>	
Order .....	#13 (March 29) 2539
<b>Gelum Capital Ltd.</b>	
Cease Trading Order.....	#37 (September 13) 7187
<b>Gemini Asset Management Inc.</b>	
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<b>Gendis Inc.</b>	
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<b>Genworth Financial, Inc.</b>	
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<b>Genworth MI Canada Inc.</b>	
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<b>Gestion Financiere Cape Cove Inc.</b>	
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<b>GFI Securities LLC</b>	
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<b>Gimini Corporation</b>	
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<b>Global Capital Securities Trust</b>	
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<b>Global Champions Split Corp.</b>	
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<b>Global RESP Corporation</b>	
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<b>Globalive Capital Inc.</b>	
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<b>Golden Harp Resources Inc.</b>	
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<b>GoldPoint Partners Select Manager Canada Fund IV, LP</b>	
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<b>Goss, Donald Alexander (Sandy)</b>	
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<b>Gosselin, Daniel</b>	
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<b>Gran Tierra Energy Inc.</b>	
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<b>Gran Tierra Exchangeco Inc.</b>	
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<b>Great Prairie Energy Inc.</b>	
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<b>Greenbank Capital Inc.</b>	
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<b>Greystone Alternative Plus Fund</b>	
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<b>Greystone Managed Investments Inc.</b>	
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<b>Greystone Short Bond Plus Fund</b>	
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<b>H&amp;R Real Estate Investment Trust</b>	
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<b>Haber, Lawrence P.</b>	
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<b>Hable, Volkmar Guido</b>	
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<b>Harbour Global Analyst Fund</b>	
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<b>Hawkeye Wealth Ltd.</b>	
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<b>Healthy Retirement Group Inc. (The)</b>	
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<b>Heirloom Investment Management (Canada) Inc.</b>	
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<b>Henderson, Tim</b>	
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<b>Ho, George</b>	
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<b>Home Capital Group Inc.</b>	
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<b>Horsley, David</b>	
Notice from the Office of the Secretary .....	#28 (July 12) 5571
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<b>Hueniken Asset Management Inc.</b>	
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<b>Hung, Alfred C.T.</b>	
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<b>Husky Energy Inc.</b>	
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**Hutchison, Robert P.**

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**Huxton Black Ltd.**

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**Hydro One Limited**

Decision .....	#13 (March 29) 2528
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**Hydro66 Holdings Corp.**

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**IA Clarington Emerging Markets Bond Fund**

Decision .....	#39 (September 27) 7464
Decision .....	#39 (September 27) 7471

**IA Clarington Global Bond Fund**

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**IA Clarington Investments Inc.**

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**IAM Securities Corp**

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**ICAP SEF (US) LLC**

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**ICE Clear Canada, Inc.**

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Verification Requirements – Request for Comment .....	#15 (April 12) 3215
SROs – Variation and Restatement of Recognition Order – Notice of Commission Approval.....	#15 (April 12) 3217
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SROs – Proposed Amendments Respecting Client Identifiers – Request for Comment .....	#26 (June 28) 5411

SROs – Notice of Proposed Amendments Respecting Order Execution Only Service Eligibility and Adviser Identifiers .....	#30 (July 26) 6139
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<b>IPC Securities Corporation</b>	
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<b>J. Priest Investment Management Inc.</b>	
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<b>J.P. Morgan Securities PLC</b>	
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<b>Jagercor Energy Corp.</b>	
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<b>Jarislowsky, Fraser Limited</b>	
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<b>JSL Asset Management Inc.</b>	
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<b>Just Energy Group Inc.</b>	
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<b>K2 &amp; Associates Investment Management Inc.</b>	
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**Katanga Mining Limited**

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Cease Trading Order.....	#6 (February 8) 1153
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Cease Trading Order.....	#12 (March 22) 2361
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Cease Trading Order.....	#14 (April 5) 2823
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Cease Trading Order.....	#22 (May 31) 4371
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Cease Trading Order.....	#25 (June 21) 5161
Cease Trading Order.....	#26 (June 28) 5317
Cease Trading Order.....	#27 (July 5) 5465
Cease Trading Order.....	#28 (July 12) 5633
Cease Trading Order.....	#29 (July 19) 5905
Cease Trading Order.....	#30 (July 26) 6063
Cease Trading Order.....	#31 (August 2) 6195
Cease Trading Order.....	#32 (August 9) 6333
Cease Trading Order.....	#33 (August 16) 6493
Cease Trading Order.....	#34 (August 23) 6699
Cease Trading Order.....	#35 (August 30) 6879
Cease Trading Order.....	#36 (September 6) 7011
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Cease Trading Order.....	#41 (October 11) 7949
Cease Trading Order.....	#42 (October 18) 8189
Cease Trading Order.....	#43 (October 25) 8463
Cease Trading Order.....	#44 (November 1) 8679
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Cease Trading Order.....	#50 (December 13) 9781
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<b>Martina Minerals Corp.</b>	
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**MEAG MUNICH ERGO Asset Management GmbH**

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<b>NBCN Inc.</b>	
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<b>Neuberger Berman Europe Limited</b>	
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<b>Nevado Resources Corporation</b>	
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Decision.....	#26 (June 28) 5288
<b>Norrep Core Canadian</b>	
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<b>NS Partners (Canada) Ltd.</b>	
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<b>NYX Gaming Group Limited</b>	
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<b>OceanRock Investments Inc.</b>	
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<b>Oechsle International Advisors, LLC</b>	
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<b>Potash Corporation of Saskatchewan Inc.</b>	
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<b>Qtrade Securities Inc.</b>	
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<b>Quadrex Hedge Capital Management Ltd.</b>	
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<b>Quadrex Secured Assets Inc.</b>	
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<b>Quadrus Investment Services Ltd.</b>	
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<b>Ravenstone Capital Management Inc.</b>	
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<b>Razore Rock Resources Inc.</b>	
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<b>RBC Capital Trust</b>	
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<b>RBC Investease Inc./RBC Investivite Inc.</b>	
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<b>RCM Partners Inc.</b>	
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<b>Red Eagle Mining Corporation</b>	
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<b>Redwood Advantage Monthly Income Fund</b>	
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<b>Redwood Asset Management Inc.</b>	
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<b>Redwood Balanced Income Fund</b>	
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**Singer, Mark Lee**

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**Smith, Clayton**

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**Sona Wealth Counsel Inc.**

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**Soo, Siu Kon “Bonnie”**

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<b>Sprott Small Cap Equity Fund</b>	
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<b>Stark Investments (Canada) Corp.</b>	
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<b>Starlight Global Real Estate Fund</b>	
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<b>Starlight Investments Capital LP</b>	
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<b>Stars Group Inc. (The)</b>	
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<b>State Farm Investor Services (Canada) Co.</b>	
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<b>State Street Global Advisors, Ltd.</b>	
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<b>Storeyworks Capital Inc.</b>	
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<b>Sun Life Financial Inc.</b>	
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<b>Sun Life Institutional Investments (Canada) Inc.</b>	
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<b>Sun Life Investment Management Inc.</b>	
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<b>Sunset Creek Resources Inc.</b>	
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**ValueTrend Wealth Management Inc.**

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**Vancity Investment Management Limited**

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**Vanguard International Growth Fund**

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**Vanguard Investments Canada Inc.**

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**Vanguard Windsor U.S. Value Fund**

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**Veolia Environnement S.A.**

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**Verisante Technology, Inc.**

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**Véronneau, Louis**

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**Vingoe, D. Grant**

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**Visavis Investment Counsel Inc.**

New Registration.....	#45 (November 8) 8889
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**Vivione Biosciences Inc.**

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**Volk, Peter**

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<b>Walton Edgemont Development Corporation</b>	
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<b>Walton Westphalia Development Corporation</b>	
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<b>Waypoint Investment Partners Inc.</b>	
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<b>Wealthsimple Advisor Services Inc.</b>	
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<b>Wealthsimple Inc.</b>	
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<b>Webster, Paul</b>	
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<b>Wellington Management Canada LLC</b>	
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<b>Wellington Management Canada ULC</b>	
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<b>Wells Fargo Securities, LLC</b>	
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<b>West Fraser Timber Co. Ltd.</b>	
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<b>Westcoast Energy Inc.</b>	
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<b>White Commercial Corporation</b>	
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<b>White Gold Corp.</b>	
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<b>Whitehorse Liquidity Partners Inc.</b>	
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<b>Williams, M. Cecilia</b>	
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<b>Wolfenden, John Richard</b>	
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<b>Wong, Siu Mui “Debbie”</b>	
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<b>X.X.</b>	
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<b>Xenon Pharmaceuticals Inc.</b>	
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<b>Xtreme Drilling Corp.</b>	
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