

Chapter 1

Notices / News Releases

1.1 Notices

1.1.1 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

OSC STAFF NOTICE 11-739 (REVISED)

POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of December 31, 2017 has been posted to the OSC Website at www.osc.gov.on.ca.

Table of Concordance

Item Key
The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

Reformulation

Instrument	Title	Status
	None	

New Instruments

Instrument	Title	Status
58-309	Staff Review of Women on Boards and in Executive Officer Positions – Compliance with NI 58-101 Disclosure of Corporate Governance Practices	<i>Published October 5, 2017</i>
11-739	Reformulation Table of Concordance and List of New Instruments	<i>Published October 12, 2017</i>
94-101	Mandatory Central Counterparty Clearing of Derivatives – Amendments	<i>Published for comment October 12, 2017</i>
33-321	Cyber Security and Social Media	<i>Published October 19, 2017</i>
51-352	Issuers with U.S. Marijuana Related Activities	<i>Published October 19, 2017</i>
52-404	Approach to Director and Audit Committee Member Independence	<i>Published for comment October 26, 2017</i>
11-737	Securities Advisory Committee – Vacancies	<i>Published November 2, 2017</i>
81-102	Investment Funds – Amendments	<i>Ministerial approval published November 9, 2017</i>
81-104	Commodity Pools – Amendments	<i>Ministerial approval published November 9, 2017</i>
31-103	Registration Requirements, Exemptions and Ongoing Registrant Obligations – Amendments	<i>Ministerial approval published November 30, 2017</i>

New Instruments

Instrument	Title	Status
33-109	Registration Information – Amendments	<i>Ministerial approval published November 30, 2017</i>
33-506	(Commodity Futures Act) Registration Information – Amendments	<i>Ministerial approval published November 30, 2017</i>
11-337	Notice of Local Amendments and Changes in Alberta, Manitoba, and New Brunswick	<i>Published December 7, 2017</i>
23-319	Joint CSA/IIROC Staff Notice 23-319 Internalization in the Canadian Market	<i>Published December 7, 2017</i>
31-351	Joint CSA Staff Notice, IIROC Notice 17-0229, MFDA Bulletin #0736-M – Complying with requirements regarding the Ombudsman for Banking Services and Investments	<i>Published December 7, 2017</i>
91-102	Prohibition of Binary Options	<i>Ministerial approval published December 7, 2017</i>
13-315	Securities Regulatory Authority Closed Dates 2018	<i>Published December 14, 2017</i>
23-320	Consideration of the Markets in Financial Instruments Directive (MiFID II) Unbundling Requirements on the Regulatory Requirements in Canada	<i>Published December 14, 2017</i>
21-320	Update: National Instrument 21-101 Marketplace Operation and Related Companion Policy – Dealing with Government Transparency	<i>Published December 14, 2017</i>
72-503	Distributions Outside Canada	<i>Commission approval published December 21, 2017</i>

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