Chapter 1

Notices / News Releases

1.1 Notices

1.1.1 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments OSC STAFF NOTICE 11-739 (REVISED)

POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of March 31, 2018 has been posted to the OSC Website at www.osc.gov.on.ca.

Table of Concordance

Item Key

The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

Reformulation

Instrument	Title	Status
	Interpretation Note 1, Distribution of Securities Outside Ontario	Withdrawn effective March 31, 2018

New Instruments

Instrument	Title	Status
21-711	Multilateral Trading Facilities – Exemption from Requirement to be Recognized as an Exchange	Published January 4, 2018
11-742	Securities Advisory Committee (Revised)	Published January 11, 2018
21-322	Applicability of Regulation to the Operation of MTFs or OTFs in Canada	Published January 11, 2018
11-739	Policy Reformulation Table of Concordance and List of New Instruments	Published January 18, 2018
15-601	Whistleblower Program – Amendment	Published for comment January 18, 2018
23-321	Order Protection Rule: Market Share Threshold for the period April 1, 2018 to March 31, 2019	Published February 1, 2018
51-352	Issuers with U.S. Marijuana Related Activities – Revised	Published February 15, 2018
31-352	Monthly Suppression of Terrorism and Canadian Sanctions Reporting	Published March 1, 2018
51-711	Refilings and Corrections of Errors – Revised	Published March 8, 2018
45-106	Prospectus Exemptions – Amendments (Related to Syndicated Mortgages)	Published for comment March 8, 2018

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New Instruments

Instrument	Title	Status
31-103	Registration Requirements, Exemptions and Ongoing Registrant Obligations – Amendments (Related to Syndicated Mortgages)	Published for comment March 8, 2018
13-707	Fees under OSC Rule 13-502 Fees and OSC Rule 13-503 (Commodity Futures Act)	Published March 15, 2018
72-503	Distributions Outside Canada	Ministerial approval published March 22, 2018
11-501	Electronic Delivery of Documents to the Ontario Securities Commission – Amendments	Ministerial approval published March 22, 2018
11-779	Seniors Strategy	Published March 22, 2018
23-322	Trading Fee Rebate Pilot Study	Published March 22, 2018
51-353	Update on CSA Consultation Paper 51-404 Considerations for Reducing Regulatory Burden for Non-Investment Fund Reporting Issuers	Published March 29, 2018
11-780	Statement of Priorities – Request for Comments Regarding Statement of Priorities for Financial Year to End March 31, 2019	Published for comment March 29, 2018
31-353	OBSI Joint Regulators Committee Annual Report	Published March 29, 2018
45-102	Resale of Securities – Amendments	Commission approval published March 29, 2018
31-103	Registration Requirements, Exemptions and Ongoing Registrant Obligations – Amendments	Commission approval published March 29, 2018
11-206	Process for Cease to be a Reporting Issuer Applications - Amendments	Commission approval published March 29, 2018
72-503	Distributions Outside Canada – Amendments	Commission approval published March 29, 2018
72-503CP	Distributions Outside Canada – Amendments	Commission approval published March 29, 2018
31-103	Registration Requirements, Exemptions and Ongoing Registrant Obligations – Amendments (Related to Designated Rating Organizations)	Commission approval published March 29, 2018
33-109	Registration Information – Amendments (Related to Designated Rating Organizations)	Commission approval published March 29, 2018
41-101	General Prospectus Requirements – Amendments	Commission approval published March 29, 2018
44-101	Short Form Prospectus Distributions – Amendments (Related to Designated Rating Organizations)	Commission approval published March 29, 2018
44-102	Shelf Distributions – Amendments (Related to Designated Rating Organizations)	Commission approval published March 29, 2018
45-106	Prospectus Exemptions – Amendments (Related to Designated Rating Organizations)	Commission approval published March 29, 2018
51-102	Continuous Disclosure Obligations – Amendments (Related to Designated Rating Organizations)	Commission approval published March 29, 2018

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New Instruments

Instrument	Title	Status
81-102	Investment Funds – Amendments (Related to Designated Rating Organizations)	Commission approval published March 29, 2018
81-106	Investment Fund Continuous Disclosure – Amendments (Related to Designated Rating Organizations)	Commission approval published March 29, 2018
21-101CP	Marketplace Operation – Amendments (Related to Designated Rating Organizations)	Commission approval published March 29, 2018
81-102CP	Investment Funds – Amendments (Related to Designated Rating Organizations)	Commission approval published March 29, 2018
33-506	(Commodity Futures Act) Registration Information – Amendments (Related to Designated Rating Organizations)	Commission approval published March 29, 2018

For further information, contact:

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