

1.1.2 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

OSC STAFF NOTICE 11-739 (REVISED)

POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of December 31, 2016 has been posted to the OSC Website at www.osc.gov.on.ca.

Table of Concordance

Item Key
The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

Reformulation

Instrument	Title	Status
	None	

New Instruments

Instrument	Title	Status
11-737	Security Advisory Committee – Vacancies (Revised)	<i>Published October 20, 2016</i>
11-739	Policy Reformulation Table of Concordance and List of New Instruments (Revised)	<i>Published October 20, 2016</i>
11-776	Investor Office Activity Report 2015-2016	<i>Published November 17, 2016</i>
31-347	Guidance for Portfolio Managers for Service Arrangements with IIROC Dealer members	<i>Published November 17, 2016</i>
52-723	Office of the Chief Accountant Financial Reporting Bulletin	<i>Published November 24, 2016</i>
11-333	Withdrawal of Notices	<i>December 1, 2016</i>
11-316	Notice of Local Amendments –British Columbia	<i>Withdrawn December 1, 2016</i>
11-324	Extension of Comment Period – Implementation of Modernization of Investment Fund Product Regulation	<i>Withdrawn December 1, 2016</i>
12-307	Applications for a Decision that an Issuer is not a Reporting Issuer	<i>Withdrawn December 1, 2016</i>
21-309	Information Processor for Exchange Traded Securities other than Options	<i>Withdrawn December 1, 2016</i>
21-310	Information Processor for Corporate Debt Securities	<i>Withdrawn December 1, 2016</i>
21-314	Information Processor for Corporate Debt Securities	<i>Withdrawn December 1, 2016</i>
51-332	Continuous Disclosure Review Program Activities for the fiscal year ended March 31, 2010	<i>Withdrawn December 1, 2016</i>
51-334	Continuous Disclosure Review Program Activities for the fiscal year ended March 31, 2011	<i>Withdrawn December 1, 2016</i>
51-337	Continuous Disclosure Review Program Activities for the fiscal year ended March 31, 2012	<i>Withdrawn December 1, 2016</i>

New Instruments

Instrument	Title	Status
52-402	Possible Changes to Securities Rules Relating to International Financial Reporting Standards	<i>Withdrawn December 1, 2016</i>
81-302	Sales of Mutual Funds in the Upcoming RRSP Season	<i>Withdrawn December 1, 2016</i>
81-306	Disclosure by Mutual Funds of Changes in Calculation of Management Expense Ratio	<i>Withdrawn December 1, 2016</i>
81-311	Report on Consultation Paper 81-403 Rethinking Point of Sale Disclosure for Mutual Funds and Segregated Funds	<i>Withdrawn December 1, 2016</i>
81-314	Removal of Foreign Content Restrictions for Registered Plans – Eliminating Indirect Foreign Content Exposure in Certain RSP Funds	<i>Withdrawn December 1, 2016</i>
81-319	Status Report on the Implementation of Point of Sale Disclosure for Mutual Funds	<i>Withdrawn December 1, 2016</i>
81-321	Early Use of the Fund Facts to Satisfy Prospectus Delivery Requirements	<i>Withdrawn December 1, 2016</i>
81-322	Status Report on the Implementation of the Modernization of Investment Fund Product Regulation Project and Request for Comment on Phase 2 Proposals	<i>Withdrawn December 1, 2016</i>
33-723	Fair Allocation of Investment Opportunities – Commodity Derivatives	<i>Withdrawn December 1, 2016</i>
81-706	Treatment of Sales Commissions in the Calculation of Net Asset Value of Labour Sponsored Investment Funds	<i>Withdrawn December 1, 2016</i>
13-315	Securities Regulatory Closed Dates 2017	<i>Published December 8, 2016</i>
21-319	Data Fees Methodology	<i>Published December 8, 2016</i>
81-102	Investment Funds – Amendments	<i>Commission approval published Dec. 8, 2016</i>
81-101	Mutual Fund Prospectus Disclosure – Amendments	<i>Commission approval published Dec. 8, 2016</i>
41-101	General Prospectus Requirements – Amendments	<i>Commission approval published Dec. 8, 2016</i>
81-106	Investment Fund Continuous Disclosure – Amendments	<i>Commission approval published Dec. 8, 2016</i>
33-318	Review of Practices Firms Use to Compensate and Provide Incentives to their Representatives	<i>Published December 15, 2016</i>
13-502	Fees – Amendments	<i>Commission approval published Dec. 15, 2016</i>
13-503	Fees (Commodity Futures Act) – Amendments	<i>Commission approval published Dec. 15, 2016</i>

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