

Dear Mr. Stevenson

It has recently come to my attention that the Canadian Securities Administrators (CSA) are proposing a number of changes in securities policies and regulations.

I am particularly concerned about one proposed change that would require a "Know your client" form to be required before one may invest in an "Exempt Market Security". I believe it is totally unacceptable that I should be required to disclose a vast majority of my private personal financial information to a financial advisor/broker before being allowed to invest in an Exempt Market security. It also seems to me to be appropriate that such an advisor/broker would be able to decide whether or not the investment was suitable for me.

Sincerely

Dr. Ken Newman

cc Hon. Iris Evans, Minister of Finance, Government of Alberta