

John Stevenson, Ontario Securities Commission;
Honorable Iris Evans, Alberta Minister of Finance;

I am against some proposed changes to securities policies and regulations that would see investors have to provide private financial information to sellers of exempt market securities. Proposed N1 31-103 goes to far in mandating that personal financial information needs to be provided before an investment can be purchased. Providing financial information to an investment dealer does nothing to protect investors. If a trust relation is built between client and seller this information could be voluntarily provided and advice given but it should not be mandatory or be grounds to refuse purchase of an investment.

Do not include mandatory Client Information Forms for the purchase of exempt market securities in any regulation changes.

Thank You
Scott McPherson, Longview Alberta.