

**1.1.7 Concept Paper 23-402 - Best Execution and Soft Dollar Arrangements**

**CONCEPT PAPER 23-402  
BEST EXECUTION AND SOFT DOLLAR  
ARRANGEMENTS**

**Introduction**

The Commission, along with the British Columbia Securities Commission, the Alberta Securities Commission, the Manitoba Securities Commission and the Autorité des marchés financiers du Québec, is publishing Concept paper 23-402 *Best execution and soft dollar arrangements* for comment. The purpose of the concept paper is to set out a number of issues related to best execution and soft dollar arrangements for discussion and obtain feedback. We will take the feedback received through the consultation process into account in our assessment of what, if any, further steps are appropriate.

**Request for Comment**

We welcome your comments on the issues identified in the concept paper in both hard copy and email form. Please submit them in writing on or before May 6, 2005.

Please address your submission to all of the CSA listed below in care of the OSC, in duplicate as indicated below:

British Columbia Securities Commission  
Alberta Securities Commission  
Manitoba Securities Commission  
Ontario Securities Commission

c/o John Stevenson, Secretary  
Ontario Securities Commission  
20 Queen Street West  
Suite 1903, Box 55  
Toronto, Ontario M5H 3S8  
Fax: (416) 593-2318  
Email: [jstevenson@osc.gov.on.ca](mailto:jstevenson@osc.gov.on.ca)

Please also send your submission to the Autorité des marchés financiers as follows:

Anne-Marie Beaudoin, Directrice du secrétariat  
Autorité des marchés financiers  
Tour de la Bourse  
800, square Victoria  
C.P. 246, 22e étage  
Montréal, Québec H4Z 1G3  
Email: [consultation-en-cours@lautorite.com](mailto:consultation-en-cours@lautorite.com)

We cannot keep submissions confidential because securities legislation in certain provinces requires us to publish a summary of written comments received during the comment period.

The paper is published in Chapter 6 of this bulletin.

**Questions**

Please refer your questions to any of the following people:

Cindy Petlock  
Ontario Securities Commission  
(416) 593-2351  
[cpetlock@osc.gov.on.ca](mailto:cpetlock@osc.gov.on.ca)

Susan Greenglass  
Ontario Securities Commission  
(416) 593-8140  
[sgreenglass@osc.gov.on.ca](mailto:sgreenglass@osc.gov.on.ca)

Veronica Armstrong  
British Columbia Securities Commission  
(604) 899-6738  
[varmstrong@bcsc.bc.ca](mailto:varmstrong@bcsc.bc.ca)

Patty Johnston  
Alberta Securities Commission  
(403) 297-2074  
[patty.johnston@seccom.ab.ca](mailto:patty.johnston@seccom.ab.ca)

Elizabeth Osler  
Alberta Securities Commission  
(403) 297-5167  
[elizabeth.osler@seccom.ab.ca](mailto:elizabeth.osler@seccom.ab.ca)

Serge Boisvert  
Autorité des marchés financiers  
(514) 940-2199 x4358  
[serge.boisvert@lautorite.qc.ca](mailto:serge.boisvert@lautorite.qc.ca)

Doug Brown  
Manitoba Securities Commission  
(204) 945-0605  
[doubrown@gov.mb.ca](mailto:doubrown@gov.mb.ca)

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