

1.1.2 CSA Staff Notice 13-315 (Revised) – Securities Regulatory Authority Closed Dates 2013



CSA Staff Notice 13-315 (Revised)
*Securities Regulatory Authority Closed Dates 2013**

December 20, 2012

We have a review system for prospectuses (including long form, short form and mutual fund prospectuses), prospectus amendments, pre-filings, and waiver applications. It is described in National Policy 11-202 - *Process for Prospectus Reviews in Multiple Jurisdictions* (NP 11-202).

Under NP 11-202, a filer that receives a receipt from the principal regulator will be deemed to have a receipt in each passport jurisdiction where the prospectus was filed. However, the principal regulator's receipt will only evidence that the OSC has issued a receipt if the OSC is open on the date of the principal regulator's receipt and has indicated that it is "clear for final". If the OSC is not open on the date of the principal regulator's receipt, the principal regulator will issue a second receipt that evidences that the OSC has issued a receipt on the next day that the OSC is open.

A dealer may solicit expressions of interest in a non-principal jurisdiction only after a receipt has been issued by that jurisdiction. In addition, an issuer may distribute its securities in the non-principal jurisdiction only at that time.

The following is a list of the closed dates of the securities regulatory authorities for 2013. These dates should be noted by issuers in structuring their affairs.

1. Saturdays and Sundays (all)
2. Tuesday January 1 (all)
3. Wednesday January 2 (QC)
4. Monday February 11 (BC)
5. Monday February 18 (AB, SK, MB, ON, PE)
6. Friday February 22 (YT)
7. Monday March 18 (NL)
8. Friday March 29 (all)
9. Monday April 1 (all except AB, SK, ON, NL)
10. Monday April 22 (NL)
11. Monday May 20 (all)
12. Friday June 21 (NT)
13. Monday June 24 (QC, NL)
14. Monday July 1 (all)
15. Tuesday July 9 (NU)
16. Monday July 15 (NL)
17. Friday August 2 (SK)
18. Monday August 5 (all except QC, NL, PE, YT)
19. Wednesday August 7 (NL**)
20. Friday August 16 (PE)
21. Monday August 19 (YT)
22. Monday September 2 (all)
23. Monday October 14 (all)
24. Monday November 11 (all except AB, ON, QC)
25. Tuesday December 24 (QC, NT)
26. Tuesday December 24 after 12:00 p.m. (AB, NB, NS, PE), after 1:00 p.m. (BC, MB, YT), after 3:00 p.m. (NU)
27. Wednesday December 25 (all)
28. Thursday December 26 (all)
29. Tuesday December 31 (QC, NT)
30. Tuesday December 31 after 12:00 p.m. (NB), after 1:00 p.m. (BC), after 3:00 p.m. (NU)
31. Wednesday January 1, 2014 (all)
32. Thursday January 2, 2014 (QC)

*Bracketed information indicates those jurisdictions that are closed on the particular date.

**Weather permitting, otherwise observed on the first following acceptable weather day, such determination made on morning of holiday.