

1.1.3 CSA Staff Notice 13-320 Regarding Implementation of Multilateral Instrument 13-102 System Fees for SEDAR and NRD and Related Consequential Amendments to CSA National Systems Rules



Canadian Securities
Administrators

Autorités canadiennes
en valeurs mobilières

**CSA Staff Notice 13-320
Regarding Implementation of
Multilateral Instrument 13-102 System Fees for SEDAR and NRD and
Related Consequential Amendments to CSA National Systems Rules**

October 8, 2013

This notice provides an update on the transition of the operation of SEDAR, SEDI and NRD (the CSA National Systems) from CDS INC. to CGI Information Systems and Management Consultants Inc. (CGI) and the implementation of Multilateral Instrument 13-102 *System Fees for SEDAR and NRD* (MI 13-102) and related amendments to:

- National Instrument 13-101 *System for Electronic Document Analysis and Retrieval (SEDAR)* (NI 13-101),
- National Instrument 31-102 *National Registration Database* (NI 31-102), and
- National Instrument 55-102 *System for Electronic Disclosure by Insiders (SEDI)* (NI 55-102),

(the Related Consequential Amendments).

In April the Canadian Securities Administrators (CSA) announced that a new service agreement had been signed with CGI pursuant to which CGI will assume responsibility for hosting, operating and maintaining the CSA National Systems when the agreements with the current service provider expire. CSA staff previously announced that CGI would assume responsibility for SEDAR, SEDI and NRD related services on October 12, 2013. This implementation cutover has now been rescheduled to December 2, 2013.

The system fees that are described in MI 13-102 will still be implemented on October 12. However, the CSA will delay implementing the Related Consequential Amendments until December 2. CSA members, other than the Ontario Securities Commission (OSC), have either amended the effective date of the Related Consequential Amendments to December 2, 2013 or intend to issue blanket orders to delay the effective date of the Related Consequential Amendments.

While the OSC cannot delay the effective date of the amendments, OSC staff request that, until December 2, 2013, Ontario market participants continue to treat CDS INC. as the SEDAR filing service contractor under NI 13-101, the SEDI operator under NI 55-102 and the NRD administrator under NI 31-102 and OSC Rule 31-509 *National Registration Database (Commodity Futures Act)*, as if the Related Consequential Amendments were not in force.

Questions

Please refer your questions to any of the following:

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