

Chapter 1

Notices / News Releases

1.1 Notices

1.1.1 CSA Staff Notice 93-301 Derivatives Business Conduct Rule – No Overlap with Derivatives Registration Rule Comment Period



Canadian Securities
Administrators

Autorités canadiennes
en valeurs mobilières

CSA STAFF NOTICE 93-301

DERIVATIVES BUSINESS CONDUCT RULE – NO OVERLAP WITH DERIVATIVES REGISTRATION RULE COMMENT PERIOD

June 15, 2017

On April 4, 2017, the Canadian Securities Administrators (the **CSA** or **we**) published for comment Proposed National Instrument 93-101 *Derivatives: Business Conduct* and Proposed Companion Policy 93-101 *Derivatives: Business Conduct* (collectively, the **Business Conduct Rule**). The comment period for the Business Conduct Rule will close on September 1, 2017.

We are also in the process of developing a proposed registration regime for derivatives dealers, derivatives advisers and potentially other derivatives market participants. We initially expected to publish Proposed National Instrument 93-102 *Derivatives: Registration* and a related companion policy (collectively, the **Registration Rule**) for comment during the consultation period for the Business Conduct Rule.

However, the Registration Rule is now scheduled to be published after September 1, 2017. The Business Conduct Rule comment period will thus no longer overlap with the Registration Rule comment period. We expect comments relating to the Business Conduct Rule to be sent in by the deadline date of September 1, 2017.

Once the Registration Rule is published, we will consider further comments on the Business Conduct Rule that may arise as a consequence of your review of the Registration Rule. These comments will be due by the expiry of the comment period for the Registration Rule.

Questions

Please refer your questions to any of the following:

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