

Chapter 5

Rules and Policies

5.1.1 Amendments to National Instrument 14-101 Definitions

AMENDMENTS TO NATIONAL INSTRUMENT 14-101 DEFINITIONS

1. National Instrument 14-101 Definitions is amended in section 1.1 (3) by
 - (a) repealing the definition of “insider reporting requirement” and substituting the following:

“insider reporting requirement” means the requirement in securities legislation for an insider of a reporting issuer to file reports disclosing:

 - (a) the insider’s direct or indirect beneficial ownership of, or control or direction over, securities of the reporting issuer, or
 - (b) any change or changes to such ownership of, or control or direction over, securities of the reporting issuer;
 - (b) in the definition of “jurisdiction”, adding “or “jurisdiction of Canada”” before “means a province”;
 - (c) repealing the definitions of “multilateral instrument” and “national instrument” ;
 - (d) adding the following definitions after the definition of “prospectus requirement” :

“provincial and territorial securities directions” means the instruments listed in Appendix A;

“provincial and territorial securities legislation” means the statutes and the other instruments listed in Appendix B;

“provincial and territorial securities regulatory authorities” means the securities commissions and similar regulatory authorities listed in Appendix C;
2. National Instrument 14-101 Definitions is amended in Appendix A by
 - (a) repealing the title to Appendix A and substituting the following title:

PROVINCIAL AND TERRITORIAL SECURITIES DIRECTIONS/
CANADIAN SECURITIES DIRECTIONS;
 - (b) adding the following entry:

Nunavut	The policy statements and the written interpretations issued by the securities regulatory authority.
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3. National Instrument 14-101 Definitions is amended in Appendix B by:
 - (a) repealing the title and substituting the following:

PROVINCIAL AND TERRITORIAL SECURITIES LEGISLATION/
CANADIAN SECURITIES LEGISLATION;

- (b) adding the following entry :

Nunavut	<i>Securities Act</i> and the regulations under that Act and the blanket rulings and orders issued by the securities regulatory authority.
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4. National Instrument 14-101 Definitions is amended in Appendix C by;

- (a) repealing the title and substituting the following:

PROVINCIAL AND TERRITORIAL SECURITIES REGULATORY AUTHORITIES/
CANADIAN SECURITIES REGULATORY AUTHORITIES;

- (b) adding the following entry:

Nunavut	Registrar of Securities, Nunavut
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5. National Instrument 14-101 Definitions is amended in Appendix D by adding the following entry:

Nunavut	Registrar, as defined under section 1 of the <i>Securities Act</i> (Nunavut)
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6. These amendments shall come into force on December 31, 2002.