5.1.3 Amendment to OSC Rule 31-501 Registrant Relationships

AMENDMENT TO ONTARIO SECURITIES COMMISSION RULE 31-501 REGISTRANT RELATIONSHIPS

PART 1 AMENDMENTS

- 1.1 Amendments Rule 31-501 Registrant Relationships is amended by
 - (a) deleting the portion of subsection 2.2(1) before clause (a) and substituting for it
 - "Except as provided in subsections (2) and (3), an individual who";
 - (b) adding the following subsection to section 2.2
 - "(3) Despite subsection (1), if the application or amendment is submitted electronically through the National Registration Database pursuant to Multilateral Instrument 31-102, the individual shall
 - (a) send the disclosure by electronic mail to registration@osc.gov.on.ca,
 - (b) send the disclosure on the same day as the application or amendment is submitted, and
 - (c) include in the disclosure the unique submission number generated by the National Registration Database to identify the application or amendment."

PART 2 EFFECTIVE DATE

2.1 **Effective Date** – These amendments come into force on March 31, 2003.

February 14, 2002 (2002) 26 OSCB 1434