

5.1.6 NI 31-102 National Registration Database Amendment Instrument

**NATIONAL INSTRUMENT 31-102  
NATIONAL REGISTRATION DATABASE  
AMENDMENT INSTRUMENT**

1. *The title of Multilateral Instrument 31-102 National Registration Database is amended by striking out “Multilateral” and substituting “National”.*

2. *The table of contents of the Instrument is amended by*

(a) *striking out the following:*

**PART 7 TRANSITION**

- 7.1 Definitions
- 7.2 NRD Enrolment for Transition Firms
- 7.3 NRD Submissions before NRD Access Date
- 7.4 Accuracy of Business Location Information
- 7.5 Individuals Included in the Data Transfer
- 7.6 Individuals not Included in the Data Transfer
- 7.7 Changes to Form 4 Information – Registered Individuals
- 7.8 Changes to Form 4 Information – Non-registered Individuals
- 7.9 Pending Application to Change Individual’s Registration Category
- 7.10 Currency of Form 33-109F4
- 7.11 Termination or Cessation of Relationship

**PART 8 EFFECTIVE DATE**

- 8.1 Effective Date, *and*

(b) *adding the following after “6.1 Exemption”:*

**PART 7 INCONSISTENT PROVISIONS**

- 7.1 Inconsistent Provisions

3. *Section 1.1 of the Instrument is amended by striking out the definition of “MI 33-109” and substituting the following:*

“NI 33-109” means National Instrument 33-109 *Registration Information*,

4. *The definition of “NRD number” in section 1.1 of the Instrument is amended by striking out “non-registered individual” and substituting “permitted individual”.*

5. *Paragraph 3.1(1)(b) of the Instrument is amended by striking out “non-registered individual” and substituting “permitted individual”.*

6. *Subsection 5.1(4) of the Instrument is amended by striking out “MULTILATERAL” and substituting “NATIONAL”.*

7. *Section 6.1 of the Instrument is amended by adding the following subsection after subsection 6.1(2):*

(3) Except in Ontario, an exemption referred to in subsection (1) is granted under the statute referred to in Appendix B of National Instrument 14-101 Definitions, opposite the name of the local jurisdiction.

8. *Part 7 of this Instrument is repealed and the following is substituted:*

**PART 7 INCONSISTENT PROVISIONS**

**7.1 Inconsistent Provisions**

In Québec, the provisions of this Instrument take precedence over any inconsistent provisions of Title V of the Securities Regulation.

9. *Part 8 of this Instrument is repealed.*

10. *This Instrument comes into force on May 15, 2007.*