

## Chapter 5

# Rules and Policies

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### 5.1.1 Amendment Instrument for NI 14-101 Definitions

#### AMENDMENT INSTRUMENT TO NATIONAL INSTRUMENT 14-101 DEFINITIONS

#### Part 1: Definitions and Interpretations

**1** *This Instrument amends National Instrument 14-101 Definitions.*

**2** *Section 1.1(3) is amended by repealing the definition of “person or company” and substituting the following:*

“person or company”, for the purpose of a national instrument or multilateral instrument, means,

- (a) in British Columbia, a “person” as defined in section 1(1) of the *Securities Act* (British Columbia);
- (b) in New Brunswick, a “person” as defined in section 1(1) of the *Securities Act* (New Brunswick);
- (c) in Prince Edward Island, a “person” as defined in section 1 of the *Securities Act* (Prince Edward Island);
- (d) in Québec, a “person” as defined in section 5.1 of the *Securities Act* (Québec); and
- (e) in Yukon Territory, a “person” as defined in section 1 of the *Securities Act* (Yukon Territory).

**3** *Appendix B is amended,*

(a) *in the text opposite “New Brunswick”, by striking out “Security Frauds Prevention Act” and substituting “Securities Act”, and*

(b) *by repealing the text opposite “Québec” and substituting the following:*

*Securities Act and the regulations under that Act, An Act respecting the Autorité des marchés financiers and the blanket rulings and orders issued by the securities regulatory authority.*

**4** *Appendix C is amended,*

(a) *by repealing the text opposite “New Brunswick” and substituting “New Brunswick Securities Commission”,*

(b) *by repealing the text opposite “Prince Edward Island” and substituting “Superintendent of Securities, Prince Edward Island”,*

(c) *by repealing the text opposite “Québec” and substituting “Autorité des marchés financiers or, where applicable, the Bureau de décision et de révision en valeurs mobilières”, and*

(d) *by repealing the text opposite “Yukon Territory” and substituting “Superintendent of Securities, Yukon Territory”.*

**5** *Appendix D is amended,*

(a) *by repealing the text opposite “New Brunswick” and substituting “Executive Director as defined in section 1 of the Securities Act (New Brunswick).”,*

(b) *by repealing the text opposite “Prince Edward Island” and substituting “Superintendent, as defined in section 1 of the Securities Act (Prince Edward Island).”,*

- (c) **by repealing the text opposite “Québec” and substituting “Autorité des marchés financiers.”, and**
- (d) **by repealing the text opposite “Yukon Territory” and substituting “Superintendent, as defined in section 1 of the Securities Act (Yukon Territory).”.**

**6 This Instrument comes into force on March 17, 2008.**