

This document is an unofficial consolidation of all amendments to Ontario Securities Commission Rule 31-505 *Conditions of Registration*, applying from **September 28, 2009**. This document is for reference purposes only and is not an official statement of the law.

**ONTARIO SECURITIES COMMISSION RULE 31-505
CONDITIONS OF REGISTRATION**

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**ONTARIO SECURITIES COMMISSION RULE 31-505
CONDITIONS OF REGISTRATION**

PART 1 [Repealed]

PART 2 GENERAL DUTIES

2.1 General Duties

- (1) A registered dealer or adviser shall deal fairly, honestly and in good faith with its clients.
- (2) A representative of a registered dealer or registered adviser shall deal fairly, honestly and in good faith with his or her clients.
- (3) [Repealed]

PART 3 [Repealed]

PART 4 EXEMPTION

- 4.1 Exemption** - The Director may grant an exemption to this Rule, in whole or in part, subject to such conditions or restrictions as may be imposed in the exemption.