

5.1.2 Amendments to OSC Rule 13-503 (Commodity Futures Act) Fees

**AMENDMENTS TO OSC RULE 13-503 (COMMODITY FUTURES ACT) FEES**

1. **OSC Rule 13-503 (Commodity Futures Act) Fees is amended by this Instrument.**
2. **Section 2.4 is replaced with the following:**
  - 2.4 **Certification**
  - (1) A form required to be filed under section 2.2 or 2.3 must contain a certificate signed by any one of the following:
    - (a) the chief compliance officer of the registrant firm;
    - (b) a specified officer of the registrant firm, or an individual acting in a similar capacity;
    - (c) a director of the registrant firm.
  - (2) For the purposes of subsection (1)(b), "specified officer" of a registrant firm, means an individual with any or more of the following positions in relation to the registrant firm:
    - (a) chief executive officer;
    - (b) chief financial officer;
    - (c) chief operating officer.
3. **Form 13-503F1 (Commodity Futures Act) Participation Fee Calculation is amended**
  - (a) **in the "General Instructions" by replacing paragraph 7 with the following:**
    7. Information reported on this form must be certified by an individual specified in section 2.4 of this Rule to attest to its completeness and accuracy.; **and**
  - (b) **replacing the heading "Chief Compliance Officer's Certification" with "Certification".**
4. **This Instrument comes into force on October 18, 2019.**