

1.1.2 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

OSC STAFF NOTICE 11-739 (REVISED)

POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of September 30, 2014 has been posted to the OSC Website at www.osc.gov.on.ca.

Table of Concordance

Item Key
The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

Reformulation

Instrument	Title	Status
	None	

New Instruments

Instrument	Title	Status
21-313	Information Processor for Exchange-Traded Securities Other Than Options	<i>Published July 3, 2014</i>
21-314	Information Processor for Corporate Debt Securities	<i>Published July 3, 2014</i>
91-507	Trade Repositories and Derivatives Data Reporting – Amendments	<i>Minister's approval published July 3, 2014</i>
23-703	Order Protection Rule – Industry Roundtable	<i>Published July 3, 2014</i>
11-739	Policy Reformulation Table – Table of Concordance and List of New Instruments	<i>Published July 10, 2014</i>
51-341	Continuous Disclosure Review Program Activities for the Fiscal Year Ended March 31, 2014	<i>Published July 17, 2014</i>
24-310	Status Update on Proposed Local Rules 24-503 Clearing Agency Requirements and Related Companion Policies	<i>Published July 17, 2014</i>
52-108	Auditor Oversight – Repeal and Replacement	<i>Commission approval published July 17, 2014</i>
41-101	General Prospectus Requirements – Amendments (tied to NI 52-108)	<i>Commission approval published July 17, 2014</i>
51-102	Continuous Disclosure Obligations – Amendments (tied to NI 52-108)	<i>Commission approval published July 17, 2014</i>
71-102	Continuous Disclosure and Other Exemptions Relating to Foreign Issuers – Amendments (tied to NI 52-108)	<i>Commission approval published July 17, 2014</i>
24-311	Qualifying Central Counterparties	<i>Published July 17, 2014</i>
81-725	Recent Amendments to Part XXI Insider Trading and Self-Dealing of the Securities Act (Ontario) – Transition Issues	<i>Published August 7, 2014</i>
91-507	Trade Repositories and Derivatives Data Reporting – Amendments	<i>Minister's approval published August 14, 2014</i>

New Instruments

Instrument	Title	Status
62-306	Update on Proposed National Instrument 62-105 Security Holder Rights Plans and AMF Consultation Paper An Alternative Approach to Securities Regulator's Intervention in Defensive Tactics	<i>Published September 11, 2014</i>
81-102	Mutual Funds – Amendments	<i>Minister's approval published September 18, 2014</i>
81-106	Investment Fund Continuous Disclosure – Amendments (tied to NI 81-102)	<i>Minister's approval published September 18, 2014</i>
81-101	Mutual Fund Prospectus Disclosure – Amendments (tied to NI 81-102)	<i>Minister's approval published September 18, 2014</i>
41-101	General Prospectus Requirements – Amendments (tied to NI 81-102)	<i>Minister's approval published September 18, 2014</i>
81-107	Independent Review Committee For Investment Funds – Amendments (tied to NI 81-102)	<i>Minister's approval published September 18, 2014</i>
24-101	Institutional Trade Matching Settlement – Amendments (tied to NI 81-102)	<i>Minister's approval published September 18, 2014</i>
31-103	Registration Requirements, Exemptions and Ongoing Registrant Obligations – Amendments (tied to NI 81-102)	<i>Minister's approval published September 18, 2014</i>
33-109	Registration Information – Amendments (tied to NI 81-102)	<i>Minister's approval published September 18, 2014</i>
44-102	Shelf Distributions – Amendments (tied to NI 81-102)	<i>Minister's approval published September 18, 2014</i>
45-106	Prospectus and Registration Exemptions – Amendments (tied to NI 81-102)	<i>Minister's approval published September 18, 2014</i>
62-103	The Early Warning System and Related Take-Over Bid and Insider Reporting Issues – Amendments (tied to NI 81-102)	<i>Minister's approval published September 18, 2014</i>
81-104	Commodity Pools – Amendments (tied to NI 81-102)	<i>Minister's approval published September 18, 2014</i>
81-105	Mutual Fund Sales Practices – Amendments (tied to NI 81-102)	<i>Minister's approval published September 18, 2014</i>
11-203	Process for Exemptive Relief Applications in Multiple Jurisdictions – Amendments	<i>Minister's approval published September 18, 2014</i>
13-502	Fees – Amendments	<i>Published for comment September 18, 2014</i>
33-744	Availability of registration exemptions to foreign dealers in connection with trades in options and futures contracts under the Commodity Futures Act (Ontario)	<i>Published September 18, 2014</i>
33-745	Compliance and Registrant Regulation – Annual Summary Report for Dealers, Advisers and Investment Fund Managers	<i>Published September 25, 2014</i>

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October 9, 2014