

# Chapter 1

## Notices / News Releases

### 1.1 Notices

#### 1.1.1 OSC Staff Notice 11-739 (Revised) – Policy Reformulation – Table of Concordance and List of New Instruments

#### OSC STAFF NOTICE 11-739 (REVISED)

#### POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of June 30, 2014 has been posted to the OSC Website at [www.osc.gov.on.ca](http://www.osc.gov.on.ca).

#### Table of Concordance

Item Key
The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

#### Reformulation

Instrument	Title	Status
	None	

#### New Instruments

Instrument	Title	Status
11-769	Statement of Priorities – Request for Comments Regarding the Statement of Priorities for Financial Year to End March 31, 2015	<i>Published for comment April 3, 2014</i>
91-507	Trade Repositories and Derivatives Data Reporting – Amendments	<i>Commission approval published April 17, 2014</i>
21-101	Marketplace Operation – Amendments	<i>Published for comment April 24, 2014</i>
23-101	Trading Rules – Amendments	<i>Published for comment April 24, 2014</i>
25-201	Guidance for Proxy Advisory Firms	<i>Published for comment April 24, 2014</i>
31-338	Guidance on Dispute Resolution Services – Client Disclosure for Registered Dealers and Advisers that are not Members of a Self-Regulatory Organization	<i>Published May 1, 2014</i>
11-739	Policy Reformulation Table of Concordance and List of New Instruments – Revised	<i>Published May 1, 2014</i>
81-724	Report on Staff's Continuous Disclosure Review of the Fees and Expenses Disclosure by Investment Funds	<i>Published May 8, 2014</i>
23-101	Trading Rules – Amendments	<i>Published for comment May 15, 2014</i>
51-102	Continuous Disclosure Obligations – Amendments	<i>Published for comment May 22, 2014</i>
41-101	General Prospectus Requirements – Amendments	<i>Published for comment May 22, 2014</i>
52-110	Audit Committees – Amendments	<i>Published for comment May 22, 2014</i>

**New Instruments**

<b>Instrument</b>	<b>Title</b>	<b>Status</b>
31-339	Omnibus/Blanket Orders Exempting IIROC and MFDA Registrants from Certain Provisions of NI 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations	<i>Published May 29, 2014</i>
11-327	Extension of Consultation Period – Proposed National Policy 25-201 Guidance for Proxy Advisory Firms	<i>Published June 12, 2014</i>
33-743	Guidance on sales practices, expense allocation and other relevant areas developed from the results of the targeted review of large investment fund managers	<i>Published June 19, 2014</i>
81-102	Mutual Funds – Amendments	<i>Commission approval published June 19, 2014</i>
81-106	Investment Fund Continuous Disclosure – Amendments (tied to 81-102)	<i>Commission approval published June 19, 2014</i>
81-101	Mutual Fund Prospectus Disclosure – Amendments (tied to 81-102)	<i>Commission approval published June 19, 2014</i>
41-101	General Prospectus Requirements – Amendments (tied to 81-102)	<i>Commission approval published June 19, 2014</i>
81-107	Independent Review Committee for Investment Funds – Amendments (tied to 81-102)	<i>Commission approval published June 19, 2014</i>
81-104	Commodity Pools – Amendment (tied to 81-102)	<i>Commission approval published June 19, 2014</i>
31-103	Registration Requirements, Exemptions and Ongoing Registrant Obligations – Amendments (tied to 81-102)	<i>Commission approval published June 19, 2014</i>
11-203	Process for Exemptive Relief Applications in Multiple Jurisdictions – Amendments (tied to 81-102)	<i>Commission approval published June 19, 2014</i>
91-507	Trade Repositories and Derivatives Data Reporting – Amendments	<i>Commission approval published June 26, 2014</i>
11-770	Statement of Priorities for Financial Year to End March 31, 2015	<i>Published June 26, 2014</i>
21-313	Information Processor for Exchange-Traded Securities other than Options	<i>Published June 27, 2014</i>
21-314	Information Processor for Corporate Debt Securities	<i>Published June 27, 2014</i>

For further information, contact:

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