

1.1.3 OSC Staff Notice 11-739 (Revised) – Policy Reformulation – Table of Concordance and List of New Instruments

OSC STAFF NOTICE 11-739 (REVISED)

POLICY REFORMULATION
TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of December 31, 2014 has been posted to the OSC Website at www.osc.gov.on.ca.

Table of Concordance

Item Key
The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

Reformulation

Instrument	Title	Status
	None	

New Instruments

Instrument	Title	Status
52-108	Auditor Oversight – Repeal and Replacement	<i>Minister's approval published October 9, 2014</i>
41-101	General Prospectus Requirements – Amendments (tied to 52-108)	<i>Minister's approval published October 9, 2014</i>
51-101	Continuous Disclosure Obligations – Amendments (tied to 52-108)	<i>Minister's approval published October 9, 2014</i>
71-102	Continuous Disclosure and Other Exemptions Relating to Foreign Issuers (tied to 52-108)	<i>Minister's approval published October 9, 2014</i>
11-739	Policy Reformulation Table of Concordance and List of New Instruments	<i>Published October 9, 2014</i>
62-307	Update on Proposed Amendments to Multilateral Instrument 62-104 Take-Over Bids and Issuer Bids, National Instrument 62-103 Early Warning System and Related Issues and National Policy 62-203 Take-Over Bids and Issuer Bids	<i>Published October 16, 2014</i>
58-101	Multilateral CSA Notice of Amendments to NI 58-101 Disclosure of Corporate Governance Practices	<i>Commission approval published October 16, 2014</i>
31-103	Registration Requirements, Exemptions and Ongoing Registrant Obligations – Amendments	<i>Commission approval published October 16, 2014</i>
33-109	Registration Information – Amendments (tied to NI 31-103)	<i>Commission approval published October 16, 2014</i>
52-107	Acceptable Accounting Principles and Auditing Standards (tied to NI 31-103)	<i>Commission approval published October 16, 2014</i>
33-506	(Commodity Futures Act) Registration Information – Amendments (tied to NI 31-103)	<i>Commission approval published October 16, 2014</i>

New Instruments

Instrument	Title	Status
23-102	Use of Client Brokerage Commissions – Amendments (tied to NI 31-103)	Commission approval published October 16, 2014
24-101	Institutional Trade Matching and Settlement – Amendments (tied to NI 31-103)	Commission approval published October 16, 2014
81-107	Independent Review Committee for Investment Funds – Amendments (tied to NI 31-103)	Commission approval published October 16, 2014
11-737	(Revised) – Securities Advisory Committee – Vacancies	Published October 23, 2014
21-101	Marketplace Operation – Amendments	Commission approval published October 23, 2014
24-102	Clearing Agency Requirements and Related Companion Policy 24-102CP	Request for comment published November 27, 2014
45-106	Prospectus and Registration Exemptions – Amendments	Request for comment published November 27, 2014
41-101	General Prospectus Requirements – Amendments	Request for comment published November 27, 2014
44-101	Short Form Prospectus Distributions – Amendments	Request for comment published November 27, 2014
45-102	Resale Restrictions – Amendments	Request for comment published November 27, 2014
45-101	Rights Offering – Proposed Repeal	Request for comment published November 27, 2014
45-501	Ontario Prospectus and Registration Exemptions – Amendments	Commission approval published November 27, 2014
13-315	(Revised) Securities Regulatory Authority Closed Dates 2015	Published December 4, 2014
51-101	Standards of Disclosure for Oil and Gas Activities and Companion Policy 51-101 Standards of Disclosure Oil and Gas Activities – Amendments	Commission approval published December 4, 2014
52-324	Revised Glossary to NI 51-101 Standards of Disclosure for Oil and Gas Activities	Published December 4, 2014
51-327	Revised Guidance on Oil and Gas Disclosure	Published December 4, 2014
58-101	Disclosure of Corporate Governance Practices and Form 58-101F1 Corporate Governance Disclosure – Amendments	Minister's approval published December 11, 2014
81-101	Implementation of the Final Stage of Point of Sale Disclosure for Mutual Funds: Pre-Sale Delivery of Fund Facts – CSA Notice of Amendments to NI 81-101 Mutual Fund Prospectus Disclosure and to Companion Policy 81-101CP Mutual Fund Prospectus Disclosure	Commission approval published December 11, 2014
41-101	General Prospectus Requirements – Amendments (Related to Aequitas Neo Exchange Inc.)	Request for comment published December 11, 2014
44-101	Short Form Prospectus Distributions – Amendments (Related to Aequitas Neo Exchange Inc.)	Request for comment published December 11, 2014
45-106	Prospectus and Registration Exemptions – Amendments (Related to Aequitas Neo Exchange Inc.)	Request for comment published December 11, 2014

New Instruments

Instrument	Title	Status
46-201	Escrow for Initial Public Offerings – Amendments (Aequitas Neo Exchange Inc.)	<i>Request for comment published December 11, 2014</i>
51-102	Continuous Disclosure Obligations – Amendments (Related to Aequitas Neo Exchange Inc.)	<i>Request for comment published December 11, 2014</i>
52-109	Certification of Disclosure in Issuers' Annual and Interim Filings – Amendments (Related to Aequitas Neo Exchange Inc.)	<i>Request for comment published December 11, 2014</i>
52-110	Audit Committees – Amendments (Related to Aequitas Neo Exchange Inc.)	<i>Request for comment published December 11, 2014</i>
58-101	Disclosure of Corporate Governance Practices – Amendments (Related to Aequitas Neo Exchange Inc.)	<i>Request for comment published December 11, 2014</i>
61-101	Protection of Minority Security Holders in Special Transactions – Amendments (Related to Aequitas Neo Exchange Inc.)	<i>Request for comment published December 11, 2014</i>
71-102	Continuous Disclosure and Other Exemptions Relating to Foreign Issuers – Amendments (Related to Aequitas Neo Exchange Inc.)	<i>Request for comment published December 11, 2014</i>
81-101	Mutual Fund Prospectus Disclosure – Amendments (Related to Aequitas Neo Exchange Inc.)	<i>Request for comment published December 11, 2014</i>
81-101	Mutual Fund Prospectus Disclosure – Amendments	<i>Commission approval published December 11, 2014</i>
21-101	Marketplace Operation and Companion Policy 21-101CP – Amendments	<i>Minister's approval published December 30, 2014</i>
11-742	(Revised) – Securities Advisory Committee	<i>Published December 30, 2014</i>

For further information, contact:

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