

1.1.2 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

OSC STAFF NOTICE 11-739 (REVISED)

POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

April 9, 2015

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of March 31, 2015 has been posted to the OSC Website at www.osc.gov.on.ca.

Table of Concordance

Item Key
The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

Reformulation

Instrument	Title	Status
	None	

New Instruments

Instrument	Title	Status
31-103	Registration Requirements, Exemptions and Ongoing Registrant Obligations – Amendments	<i>Minister's approval published January 15, 2015</i>
33-109	Registration Information – Amendments	<i>Minister's approval published January 15, 2015</i>
52-107	Acceptable Accounting Principles and Auditing Standards – Amendments	<i>Minister's approval published January 15, 2015</i>
23-102	Use of Client Brokerage Commissions – Amendments	<i>Minister's approval published January 15, 2015</i>
24-101	Institutional Trade Matching and Settlement – Amendments	<i>Minister's approval published January 15, 2015</i>
81-107	Independent Review Committee for Investment Funds – Amendments	<i>Minister's approval published January 15, 2015</i>
45-501CP	Companion Policy 45-501CP – Ontario Prospectus and Registration Exemptions - Amendments	<i>Minister's approval published January 15, 2015</i>
91-501	Strip Bonds – Amendments	<i>Minister's approval published January 15, 2015</i>
91-502	Trades in Recognized Options – Amendments	<i>Minister's approval published January 15, 2015</i>
33-506	<i>(Commodity Futures Act)</i> Registration Information – Amendments	<i>Minister's approval published January 15, 2015</i>
35-502	Non-Resident Advisers – Amendments	<i>Minister's approval published January 15, 2015</i>
44-305	2015 Update – Structured Notes Distributed Under the Shelf Prospectus System	<i>Published January 22, 2015</i>

New Instruments

Instrument	Title	Status
13-705	Reduced Late Fee for Certain Outside Business Activities Filings	<i>Published January 22, 2015</i>
11-739	Policy Reformulation – Table of Concordance and List of New Instruments (Revised)	<i>Published January 22, 2015</i>
81-325	Status Report on Consultation under CSA Notice 81-324 and Request for Comment on Proposed CSA Mutual Fund Risk Classification Methodology for Use in Fund Facts	<i>Published January 29, 2015</i>
54-303	Progress Report on Review of the Proxy Voting Infrastructure	<i>Published January 29, 2015</i>
92-401	Derivatives Trading Facilities	<i>Published for comment on January 29, 2015</i>
51-724	Report on Staff's Review of REIT Distributions Disclosure	<i>Published January 29, 2015</i>
11-312	National Numbering System (Revised)	<i>Published January 29, 2015</i>
51-723	Report on Staff's Review of Related Party Transaction Disclosure and Guidance	<i>Published January 29, 2015</i>
13-502	Fees – Revocation and Replacement	<i>Commission approval published February 5, 2015</i>
13-503	(Commodity Futures Act) Fees – Revocation and Replacement	<i>Commission approval published February 5, 2015</i>
45-501	Ontario Prospectus and Registration Exemptions – Amendments	<i>Ministerial approval of amendments published February 5, 2015</i>
45-102	Resale of Securities – Amendments	<i>Ministerial approval of amendments published February 5, 2015</i>
15-401	Proposed Framework for an OSC Whistleblower Program	<i>Published for comment February 5, 2015</i>
81-326	Update on an Alternative Funds Framework for Investment Funds	<i>Published February 12, 2015</i>
81-101	Mutual Fund Prospectus Disclosure – Amendments	<i>Minister's approval published February 12, 2015</i>
91-506	Derivatives: Product Determination – Amendments	<i>Commission approval published February 12, 2015</i>
91-507	Trade Repositories and Derivatives Data Reporting – Amendments	<i>Commission approval published February 12, 2015</i>
94-101	Mandatory Central Counterparty Clearing of Derivatives	<i>Published for comment on February 12, 2015</i>
45-106	Prospectus and Registration Exemptions – Amendments	<i>Commission approval published February 19, 2015</i>
45-102	Resale of Securities – Amendments	<i>Commission approval published February 19, 2015</i>
45-501	Ontario Prospectus and Registration Exemptions – Amendments	<i>Commission approval published February 19, 2015</i>
13-102	System Fees for SEDAR and NRD – Amendments	<i>Commission approval published February 19, 2015</i>
31-103	Registration Requirements, Exemptions and Ongoing Registrant Obligations – Amendments (includes amendments to 31-103CP)	<i>Commission approval published February 19, 2015</i>

New Instruments

Instrument	Title	Status
32-102	Registration Exemptions for Non-Resident Investment Fund Managers – Amendments	<i>Commission approval published February 19, 2015</i>
33-105	Underwriting Conflicts – Amendments	<i>Commission approval published February 19, 2015</i>
41-101	General Prospectus Requirements – Amendments	<i>Commission approval published February 19, 2015</i>
45-102	Resale of Securities – Amendments (<i>includes amendments to 45-102CP</i>)	<i>Commission approval published February 19, 2015</i>
51-102	Continuous Disclosure Obligations – Amendments	<i>Commission approval published February 19, 2015</i>
52-107	Acceptable Accounting Principles and Auditing Standards – Amendments	<i>Commission approval published February 19, 2015</i>
62-103	Early Warning System and Related Take-Over Bid and Reporting Issues – Amendments	<i>Commission approval published February 19, 2015</i>
62-104	Take-Over Bids and Issuer Bids – Amendments	<i>Commission approval published February 19, 2015</i>
11-203	Process for Exemptive Relief Applications in Multiple Jurisdictions – Amendments	<i>Commission approval published February 19, 2015</i>
23-103CP	Electronic Trading and Direct Electronic Access to Marketplaces – Amendments	<i>Commission approval published February 19, 2015</i>
81-726	2014 Summary Report for Investment Fund and Structured Product Issuers	<i>Published February 26, 2015</i>
51-342	Staff Review of Issuers Entering into Medical Marijuana Business Opportunities	<i>Published February 26, 2015</i>
51-101	Standards of Disclosure for Oil and Gas Activities – Amendments	<i>Ministerial approval published March 5, 2015</i>
11-328	Notice of Local Amendments in Alberta and the Adoption of Multilateral Amendments in Yukon	<i>Published March 12, 2015</i>
31-340	OBSI Joint Regulators Committee Annual Report for 2014	<i>Published March 19, 2015</i>

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