

# Chapter 1

## Notices / News Releases

### 1.1 Notices

#### 1.1.1 OSC Staff Notice 11-739 (Revised) – Policy Reformulation – Table of Concordance and List of New Instruments

#### OSC STAFF NOTICE 11-739 (REVISED)

#### POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of December 31, 2015 has been posted to the OSC Website at [www.osc.gov.on.ca](http://www.osc.gov.on.ca).

#### Table of Concordance

Item Key
The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

#### Reformulation

Instrument	Title	Status
	None	

#### New Instruments

Instrument	Title	Status
58-307	Staff Review of Women on Boards and in Executive Officer Positions – Compliance with NI 58-101 Disclosure of Corporate Governance Practices	<b><i>Published October 1, 2015</i></b>
21-101	Marketplace Operation – Amendments	<b><i>Ministerial approval published October 1, 2015</i></b>
23-101	Trading Rules – Amendments	<b><i>Ministerial approval published October 1, 2015</i></b>
21-706	Marketplaces' Initial Operations and Material System Changes	<b><i>Published October 1, 2015</i></b>
13-502	Fees – Amendments	<b><i>Commission approval published October 1, 2015</i></b>
11-739	Policy Reformulation Table of Concordance and New Instruments (Revised)	<b><i>Published October 8, 2015</i></b>
45-714	Summaries of Exempt Distribution Information	<b><i>Published October 15, 2015</i></b>
11-737	Securities Advisory Committee (Revised)	<b><i>Published October 22, 2015</i></b>
45-106	Prospectus Exemptions – Amendments (Offering Memorandum)	<b><i>Commission approval published October 29, 2015</i></b>
52-107	Acceptable Accounting Principles and Auditing Standards – Amendments (Offering Memorandum)	<b><i>Commission approval published October 29, 2015</i></b>
45-102	Resale of Securities – Amendments (Offering Memorandum)	<b><i>Commission approval published October 29, 2015</i></b>
11-203	Process for Exempt Relief Applications in Multiple Jurisdictions – Amendments (Offering Memorandum)	<b><i>Commission approval published October 29, 2015</i></b>
11-501	Requirement for the Electronic Delivery of Documents to the Ontario Securities Commission – Amendments (Offering Memorandum)	<b><i>Commission approval published October 29, 2015</i></b>
13-502	Fees – Amendments (Offering Memorandum)	<b><i>Commission approval published October 29, 2015</i></b>

Instrument	Title	Status
45-501	Exempt Distributions – Amendments (Offering Memorandum)	<b>Commission approval published October 29, 2015</b>
15-601	Whistleblower Policy	<b>Request for comment published October 29, 2015</b>
48-501	Trading During Distributions, Formal Bids and Share Exchange Transactions – Amendments	<b>Ministerial approval of amendments published October 29, 2015</b>
45-108	Crowdfunding	<b>Commission approval published November 5, 2015</b>
45-102	Resale of Securities – Amendments (Crowdfunding)	<b>Commission approval published November 5, 2015</b>
11-501	Requirement for Electronic Delivery of Documents to the Ontario Securities Commission – Amendments (Crowdfunding)	<b>Commission approval published November 5, 2015</b>
51-345	Disclosure of Abandonment and Reclamation Costs in National Instrument 51-101 Standards of Disclosure for Oil and Gas Activities and Related Forms	<b>Published November 5, 2015</b>
11-773	The Investor Perspective	<b>Published November 5, 2015</b>
41-101	General Prospectus Requirements – Amendments (Aequitas)	<b>Ministerial approval published November 5, 2015</b>
44-101	Short Form Prospectus Distribution – Amendments (Aequitas)	<b>Ministerial approval published November 5, 2015</b>
45-106	Prospectus Exemptions – Amendments (Aequitas)	<b>Ministerial approval published November 5, 2015</b>
51-102	Continuous Disclosure Obligations – Amendments (Aequitas)	<b>Ministerial approval published November 5, 2015</b>
52-109	Certification of Disclosure in Issuers' Annual and Interim Filings (Aequitas)	<b>Ministerial approval published November 5, 2015</b>
52-110	Audit Committees – Amendments (Aequitas)	<b>Ministerial approval published November 5, 2015</b>
58-101	Disclosure of Corporate Governance Practices – Amendments (Aequitas)	<b>Ministerial approval published November 5, 2015</b>
61-101	Protection of Minority Security Holders in Special Transactions – Amendments (Aequitas)	<b>Ministerial approval published November 5, 2015</b>
71-102	Continuous Disclosure and Other Exemptions Relating to Foreign Issuers – Amendments (Aequitas)	<b>Ministerial approval published November 5, 2015</b>
81-101	Mutual Fund Prospectus Disclosure – Amendments (Aequitas)	<b>Ministerial approval published November 5, 2015</b>
46-201	Escrow for Initial Public Offerings – Amendments (Aequitas)	<b>Ministerial approval published November 5, 2015</b>
56-501	Restricted Shares – Amendments (Aequitas)	<b>Ministerial approval published November 5, 2015</b>
31-343	Conflicts of interest in distributing securities of related or connected issuers	<b>Published November 26, 2015</b>
91-507	Trade Repositories and Derivatives Trade Reporting – Amendments	<b>Request for comment published November 5, 2015</b>
24-102	Clearing Agency Requirements	<b>Commission approval published December 3, 2015</b>
45-106	Prospectus Exemptions – Amendments (Rights Offerings)	<b>Ministerial approval published December 3, 2015</b>
41-101	General Prospectus Requirements – Amendments (Rights Offerings)	<b>Ministerial approval published December 3, 2015</b>
44-101	Short Form Prospectus Distributions – Amendments (Rights Offerings)	<b>Ministerial approval published December 3, 2015</b>

**Notices / News Releases**

Instrument	Title	Status
45-102	Resale of Securities – Amendments (Rights Offerings)	<b>Ministerial approval published December 3, 2015</b>
45-101	Rights Offerings – Amendments (Rights Offerings)	<b>Ministerial approval of repeal published December 3, 2015</b>
13-101	System for Electronic Document Analysis and Retrieval (SEDAR) – Amendments (Rights Offerings)	<b>Ministerial approval published December 3, 2015</b>
13-102	System Fees for SEDAR and NRD – Amendments (Rights Offerings)	<b>Ministerial approval published December 3, 2015</b>
11-501	Electronic Delivery of Documents to the Ontario Securities Commission – Amendments (Rights Offerings)	<b>Ministerial approval published December 3, 2015</b>
13-502	Fees – Amendments (Rights Offerings)	<b>Ministerial approval published December 3, 2015</b>
61-101	Protection of Minority Security Holders in Special Transactions – Amendments (Rights Offerings)	<b>Ministerial approval published December 3, 2015</b>
13-502	Fees – Amendments	<b>Ministerial approval published December 3, 2015</b>
24-102CP	Clearing Agency Requirements – Amendments	<b>Request for comment published December 3, 2015</b>
13-315	Securities Regulatory Authority Closed Dates 2016 (Revised)	<b>Published December 10, 2015</b>
81-102	Investment Funds – Amendments (CSA Mutual Fund Risk Classification Methodology)	<b>Request for comment published December 10, 2015</b>
81-101	Mutual Fund Prospectus Disclosure – Amendments (CSA Mutual Fund Risk Classification Methodology)	<b>Request for comment published December 10, 2015</b>
41-101	General Prospectus Requirements – Amendments (CSA Mutual Fund Risk Classification Methodology)	<b>Request for comment published December 10, 2015</b>
13-706	SEDAR Filer Manual Update	<b>Published December 17, 2015</b>

For further information, contact:

Darlene Watson  
Project Specialist  
Ontario Securities Commission  
416-593-8148

January 14, 2016