

1.1.3 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

OSC STAFF NOTICE 11-739 (REVISED)

POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of June 30, 2016 has been posted to the OSC Website at www.osc.gov.on.ca.

Table of Concordance

Item Key
The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

Reformulation

Instrument	Title	Status
	None	

New Instruments

Instrument	Title	Status
31-344	OBSI Joint Regulators Committee Annual Report for 2015	<i>Published April 7, 2016</i>
45-308	Guidance for Preparing and Filing Reports of Exempt Distribution under National Instrument 45-106 Prospectus Exemptions (Revised)	<i>Published April 7, 2016</i>
33-317	Next Steps in the CSA's Work to Enhance the Obligations of Advisers, Dealers and Representatives Toward their Clients	<i>Published April 7, 2016</i>
23-101	Notice of Approval – Amendments to National Instrument 23-101 Trading Rules and Companion Policy 23-101CP to National Instrument 23-101 Trading Rules	<i>Commission approval published April 7, 2016</i>
45-106	Prospectus Exemptions – Amendments	<i>Commission approval published April 7, 2016</i>
23-101	Trading Rules – Amendments	<i>Published for comment April 7, 2016</i>
31-345	Cost Disclosure, Performance Reporting and Client Statements – Frequently Asked Questions and Additional Guidance	<i>Published April 14, 2016</i>
11-739	Policy Reformulation Table of Concordance and New Instruments (Revised)	<i>Published April 14, 2016</i>
21-317	Next Steps in Implementation of a Plan to Enhance Regulation of the Fixed Income Market	<i>Published April 21, 2016</i>
33-404	Proposals to Enhance the Obligations of Advisers, Dealers, and Representatives toward their Clients	<i>Published for comment April 28, 2016</i>
62-104	Take-Over Bids and Issuer Bids – Amendments	<i>Ministerial approval published May 5, 2016</i>
62-203	Take-Over Bids and Issuer Bids – Amendments	<i>Commission approval published May 5, 2016</i>
13-102	System Fees for SEDAR and NRD – Amendments	<i>Ministerial approval published May 5, 2016</i>

New Instruments

Instrument	Title	Status
43-101	Standards of Disclosure for Mineral Projects – Amendments	<i>Ministerial approval published May 5, 2016</i>
55-104CP	Insider Reporting Requirements and Exemptions – Amendments	<i>Commission approval published May 5, 2016</i>
61-101	Protection of Minority Security Holders in Special Transactions – Amendments	<i>Ministerial approval published May 5, 2016</i>
61-101CP	Protection of Minority Security Holders in Special Transactions – Amendments	<i>Commission approval published May 5, 2016</i>
62-103	The Early Warning System and Related Take-Over Bid and Insider Reporting Issues – Amendments	<i>Ministerial approval published May 5, 2016</i>
62-504	Take-Over Bids and Issuer Bids	<i>Ministerial approval of repeal published May 5, 2016</i>
13-502	Fees – Amendments	<i>Ministerial approval published May 5, 2016</i>
14-501	Definitions – Amendments	<i>Ministerial approval published May 5, 2016</i>
48-501	Trading During Distributions, Formal Bids and Share Exchange Transactions – Amendments	<i>Ministerial approval published May 5, 2016</i>
71-801	Implementing the Multijurisdictional Disclosure System – Amendments	<i>Ministerial approval published May 5, 2016</i>
71-802	Implementing National Instrument 71-102 Continuous Disclosure and Other Exemptions Relating to Foreign Issuers – Amendments	<i>Ministerial approval published May 5, 2016</i>
91-502	Trades in Recognized Options – Amendments	<i>Ministerial approval published May 5, 2016</i>
62-203	Take-Over Bids and Issuer Bids – Amendments	<i>Commission approval published May 5, 2016</i>
62-103	The Early Warning System and Related Take-Over Bid and Insider Reporting Issues – Amendments	<i>Ministerial approval published May 5, 2016</i>
91-507	Trade Repositories and Derivatives Data Reporting – Amendments	<i>Commission approval published May 5, 2016</i>
91-507CP	Trade Repositories and Derivatives Data Reporting – Amendments	<i>Commission approval published May 5, 2016</i>
24-314	Preparing for the Implementation of T+2 Settlement: Letter to Registered Firms	<i>Published May 26, 2016</i>
45-106	Prospectus Exemptions – Amendments (Harmonized Report of Exempt Distributions)	<i>Ministerial approval published June 9, 2016</i>
11-501	Electronic Delivery of Documents to the Ontario Securities Commission – Amendments	<i>Ministerial approval published June 9, 2016</i>
13-502	Fees – Amendments	<i>Ministerial approval published June 9, 2016</i>
45-501	Ontario Prospectus and Registration Exemptions – Amendments	<i>Ministerial approval published June 9, 2016</i>
45-708	Introduction of Electronic Report of Exempt Distribution on Form 45-106F1	<i>Withdrawn June 30, 2016</i>
45-709	Tips for Filing Reports of Exempt Distribution	<i>Withdrawn June 30, 2016</i>

New Instruments

Instrument	Title	Status
45-713	Reports of Exempt Distribution – Compliance with Filing Requirements	<i>Withdrawn June 30, 2016</i>
11-775	Notice of Statement of Priorities for Financial Year to End March 31, 2017	<i>Published June 9, 2016</i>
23-704	Compliance with National Instrument 23-103 Electronic Trading and Direct Access to Marketplaces	<i>Published June 9, 2016</i>
24-102CP	Clearing Agency Requirements – Amendments	<i>Commission approval published June 9, 2016</i>
12-703	Applications for a Decision that an Issuer is not a Reporting Issuer (Revised)	<i>Published June 16, 2016</i>
11-330	Notice of Local Amendments – Alberta, Manitoba, New Brunswick, Nova Scotia, Quebec, Ontario and Saskatchewan	<i>Published June 16, 2016</i>
23-316	Order Protection Rule: Implementation of the Market Share Threshold and Amendments to Companion Policy 23-101 Trading Rules	<i>Published June 20, 2016</i>
11-102	Passport System – Amendments	<i>Published June 23, 2016</i>
11-103	Failure-to-File Cease Trade Orders in Multiple Jurisdictions	<i>Published June 23, 2016</i>
23-101	Trading Rules – Amendments	<i>Ministerial approval published June 23, 2016</i>
23-316	Order Protection Rule: Implementation of the Market Share Threshold and Amendments to Companion Policy 23-101 Trading Rules	<i>Published June 23, 2016</i>
21-318	Information Processor for Corporate Debt Securities	<i>Published June 23, 2016</i>
11-206	Process for Cease to be a Reporting Issuer Applications	<i>Commission approval published June 23, 2016</i>
11-207	Failure-to-File Cease Trade Orders and Revocations in Multiple Jurisdictions	<i>Commission approval published June 23, 2016</i>
12-202	Revocation of Certain Cease Trade Orders	<i>Commission approval published June 23, 2016</i>
12-203	Management Cease Trade Orders	<i>Commission approval published June 23, 2016</i>
81-327	Next Steps in the CSA's Examination of Mutual Fund Fees	<i>Published June 30, 2016</i>
72-503	Distributions Outside of Canada	<i>Published for comment June 30, 2016</i>

For further information, contact:

Darlene Watson
 Project Specialist
 Ontario Securities Commission
 416-593-8148

July 28, 2016