

1.1.2 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

OSC STAFF NOTICE 11-739 (REVISED)

POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of September 30, 2016 has been posted to the OSC Website at www.osc.gov.on.ca.

Table of Concordance

Item Key
The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

Reformulation

Instrument	Title	Status
	None	

New Instruments

Instrument	Title	Status
31-103	Registration Requirements, Exemptions and Ongoing Registrant Obligations – Amendments	<i>Published for comment July 7, 2016</i>
33-109	Registration Information – Amendments	<i>Published for comment July 7, 2016</i>
33-506	(Commodity Futures Act) Registration Information – Amendments	<i>Published for comment July 7, 2016</i>
95-401	Margin and Collateral Requirements for Non-Centrally Cleared Derivatives	<i>Published for comment July 7, 2016</i>
45-320	Exemption for Certain Foreign Issuers from the Requirement to Identify Purchasers as Registrants or Insiders in Reports of Exempt Distribution	<i>Published July 7, 2016</i>
45-106	Prospectus Exemptions – Amendments	<i>Commission approval published July 7, 2016</i>
15-601	Whistleblower Program	<i>Commission approval published July 14, 2016</i>
51-346	Continuous Disclosure Review Program Activities for the fiscal year ended March 31, 2016	<i>Published July 21, 2016</i>
45-106	Prospectus Exemptions – Amendments	<i>Ministerial approval published July 21, 2016</i>
33-747	Annual Summary Report for Dealers, Advisers and Investment Fund Managers – Compliance and Registrant Regulation	<i>Published July 28, 2016</i>
11-739	Policy Reformulation Table of Concordance and List of New Instruments	<i>Published July 28, 2016</i>
51-727	Corporate Finance Branch – 2015-2016 Annual Report	<i>Published July 28, 2016</i>
91-507	Trade Repositories and Derivatives Data Reporting - Amendments	<i>Ministerial approval published August 18, 2016</i>
24-101	Institutional Trade Matching and Settlement – Amendments	<i>Published for comment August 18, 2016</i>

New Instruments

Instrument	Title	Status
24-402	Policy Considerations for Enhancing Settlement Discipline in a T+2 Settlement Cycle Environment	<i>Published for comment August 18, 2016</i>
31-346	Guidance as to the Scope of the International Dealer Exemption in relation to Foreign-Currency Fixed Income Offerings by Canadian Issuers	<i>Published September 1, 2016</i>
81-104	Commodity Pools	<i>Proposed repeal published September 22, 2016</i>
81-102	Investment Funds – Amendments	<i>Published for comment September 22, 2016</i>
81-101	Mutual Fund Prospectus Disclosure – Amendments	<i>Published for comment September 22, 2016</i>
81-107	Independent Review Committee for Investment Funds – Amendments	<i>Published for comment September 22, 2016</i>
41-101	General Prospectus Requirements – Amendments	<i>Published for comment September 22, 2016</i>
81-106	Investment Fund Continuous Disclosure – Amendments	<i>Published for comment September 22, 2016</i>
45-308	Guidance for Preparing and Filing Reports of Exempt Distribution under National Instrument 45-106 Prospectus Exemptions – Revised	<i>Published September 29, 2016</i>
58-308	Staff Review of Women on Boards and in Executive Officer Positions – Compliance with NI 58-101 Disclosure of Corporate Governance Practices	<i>Published September 29, 2016</i>

For further information, contact:
 Darlene Watson
 Project Specialist
 Ontario Securities Commission
 416-593-8148

October 20, 2016