

**OSC STAFF NOTICE 23-706**  
**OMEGA SECURITIES INC.**

Staff of the Commission filed an Application for a temporary order to suspend the registration of Omega Securities Inc. (OSI) as an investment dealer in Ontario and to cease trading on OSI. Further to the Application, the Office of the Secretary issued a Notice of Hearing.

The matter will be heard on Friday, November 17<sup>th</sup>, 2017 at 10:00 a.m.

The grounds set out in Staff's Application are unproven. However, in the context of the Order Protection Rule, marketplaces and marketplace participants may consider declaring "self-help" under subsection 6.2(a) or paragraph 6.4(a)(i) of National Instrument 23-101 *Trading Rules* (as applicable) until further notice.

Marketplaces and marketplace participants are required to notify IIROC of their intentions.

Questions regarding this notice may be directed to the OSC Contact Centre.

OSC Contact Centre  
Tel: 1-877-785-1555  
Email: [inquiries@osc.gov.on.ca](mailto:inquiries@osc.gov.on.ca)  
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