

Chapter 1

Notices / News Releases

1.1 Notices

1.1.1 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

OSC STAFF NOTICE 11-739 (REVISED)

POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of September 30, 2018 has been posted to the OSC Website at www.osc.gov.on.ca.

Table of Concordance

Item Key
The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

Reformulation

Instrument	Title	Status
11-781	Notice of Statement of Priorities for Financial Year to End March 31, 2019	Published July 5, 2018
11-782	Getting Started: Human-Centred Solutions to Engage Ontario Millennials in Investing	Published July 12, 2018
45-106	Prospectus Exemptions – Amendments	Commission approval published July 19, 2018
45-308	Guidance for Preparing and Filing Reports of Exemption Distribution under NI 45-106 Prospectus Exemptions – Revised	Published July 19, 2018
51-355	Continuous Disclosure Review Program Activities for the fiscal years ended March 31, 2018 and March 31, 2017	Published July 19, 2018
45-323	Update on Use of the Rights Offering Exemption in NI 45-106 Prospectus Exemptions	Published July 26, 2018
45-308	Guidance for Preparing and Filing Reports of Exemption Distribution under Ni 45-106 Prospectus Exemptions – Revised – Notice of Correction	Published July 26, 2018
52-330	Update on CSA Consultation Paper 52-404 Approach to Director and Audit Committee Member Independence	Published July 26, 2018
11-783	Encouraging Retirement Planning through Behavioural Insights	Published August 2, 2018
11-739	Policy Reformulation Table of Concordance and List of New Instruments	Published August 9, 2018
33-749	Compliance and Registrant Regulation – Annual Summary Report for Dealers, Advisers and Investment Fund Managers	Published August 23, 2018

Notices / News Releases

46-309	Bail-in-Debt	Published August 23, 2018
81-331	Investment Funds Investing in Bail-in-Debt	Published August 23, 2018
52-112	Non-GAAP and other Financial Measures Disclosure	Published for comment September 6, 2018
81-105	Mutual Fund Sales Practices	Published for comment September 13, 2018
58-310	Report on Fourth Staff Review of Disclosure regarding Women on Boards and in Executive Officer Positions	Published September 27, 2018

For further information, contact:

Darlene Watson
Project Specialist
Ontario Securities Commission
416-593-8148

October 18, 2018