

# Chapter 1

## Notices

### 1.1 Notices

#### 1.1.1 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

##### OSC STAFF NOTICE 11-739 (REVISED)

##### POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of December 31, 2019 has been posted to the OSC Website at [www.osc.gov.on.ca](http://www.osc.gov.on.ca).

##### Table of Concordance

###### Item Key

The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

##### Reformulation

Instrument	Title	Status
31-103	Registration Requirements, Exemptions and Ongoing Registrant Obligations – Amendments (Client-Registrant Relationship)	Commission approval published October 3, 2019
52-108	Auditor Oversight – Amendments	Published for comment October 3, 2019
58-311	Report on Fifth Staff Review of Disclosure regarding Women on Boards and in Executive Officer Positions	Published October 3, 2019
11-739	Policy Reformulation Table of Concordance and List of New Instruments	Published October 10, 2019
13-502	Fees – Amendments	Ministerial approval published October 24, 2019
13-503	Fees ( <i>Commodity Futures Act</i> ) – Amendments	Ministerial approval published October 24, 2019
11-737	Securities Advisory Committee – Vacancies	Published November 14, 2019
51-359	Corporate Governance Related Disclosure Expectations for Reporting Issuers in the Cannabis Industry	Published November 14, 2019
	Reducing Regulatory Burden in Ontario's Capital Markets – 2019	Published December 5, 2019
13-315	Securities Regulatory Authority Closed Dates 2020	Published December 12, 2019
31-103	Registration Requirements, Exemptions and Ongoing Registrant Obligations – Amendments (Client-Registrant Relationship)	Ministerial approval published December 12, 2019
45-326	Update on Amendments to NI 45-106 Prospectus Exemptions and NI 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations – Syndicated Mortgages	Published December 19, 2019

**Notices**

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51-730	Corporate Finance Branch 2019 Annual Report	<b>Published December 19, 2019</b>
81-332	Next Steps on Proposals to Prohibit Certain Investment Fund Embedded Commissions	<b>Published December 19, 2019</b>
81-730	Consideration of Alternative Approaches to Address Concerns Related to Deferred Sales Charges	<b>Published December 19, 2019</b>

For further information, contact:

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