

Chapter 1

Notices

1.1 Notices

1.1.1 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

OSC STAFF NOTICE 11-739 (REVISED)

POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of December 31, 2018 has been posted to the OSC Website at www.osc.gov.on.ca.

Table of Concordance

Item Key
The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

Reformulation

Instrument	Title	Status
81-102	Investment Funds – Amendments	Commission approval published October 4, 2018
81-104	Commodity Pools – Amendments	Commission approval published October 4, 2018
41-101	General Prospectus Requirements – Amendments	Commission approval published October 4, 2018
81-101	Mutual Fund Prospectus Disclosure - Amendments	Commission approval published October 4, 2018
81-106	Investment Fund Continuous Disclosure - Amendments	Commission approval published October 4, 2018
81-107	Independent Review Committee for Investment Funds – Amendments	Commission approval published October 4, 2018
45-106	Prospectus Exemptions – Amendments	Ministerial approval published October 4, 2018
72-503	Distributions Outside Canada- Amendments	Ministerial approval published October 4, 2018
45-308	Guidance for Preparing and Filing Reports of Exempt Distribution under NI 45-106 Prospectus Exemptions (Revised)	Published October 4, 2018
51-729	Corporate Finance 2017-18 Annual Report	Published October 4, 2018
15-601	Whistleblower Program – Amendment	Commission approval published October 4, 2018
51-357	Staff Review of Reporting Issuers in the Cannabis Industry	Published October 11, 2018

Reformulation

Instrument	Title	Status
11-739	Policy Reformulation Table of Concordance and List of New Instruments (revised)	Published October 18, 2018
24-102	Clearing Agency Requirements – Amendments	Published for comment October 18, 2018
11-737	Securities Advisory Committee – Vacancies (Revised)	Published October 25, 2018
31-103	Registration Requirements, Exemptions and Ongoing Registrant Obligations – Amendments	Published for comment October 25, 2018
11-339	Notice of Local Changes in Alberta	Published November 8, 2018
51-356	Problematic promotional activities by issuers	Published November 29, 2018
45-716	Ontario Exempt Market Report	Published November 29, 2018
13-315	Securities Regulatory Authority Closed Dates 2019	Published December 13, 2018
81-102	Investment Funds – Amendments	Ministerial approval published December 20, 2018
81-104	Commodity Pools – Amendments	Ministerial approval published December 20, 2018
41-101	General Prospectus Requirements – Amendments	Ministerial approval published December 20, 2018
81-101	Mutual Fund Prospectus Disclosure – Amendments	Ministerial approval published December 20, 2018
81-106	Investment Fund Continuous Disclosure – Amendments	Ministerial approval published December 20, 2018
81-107	Independent Review Committee for Investment Funds – Amendments	Ministerial approval published December 20, 2018
23-323	Trading Fee Rebate Pilot Study	Published for comment December 20, 2018

For further information, contact:

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