

Chapter 1

Notices

1.1 Notices

1.1.1 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

OSC STAFF NOTICE 11-739 (REVISED)

POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of June 30, 2019 has been posted to the OSC Website at www.osc.gov.on.ca.

Table of Concordance

Item Key
The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; r3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

Reformulation

Instrument	Title	Status
21-101	Marketplace Operation – Amendments	Published for comment April 18, 2019
11-739	Policy Reformulation Table of Concordance and List of New Instrument	Published May 2, 2019
13-103	System Replacement Rule	Published for comment May 2, 2019
13-101	System for Electronic Document Analysis and Retrieval	Proposed repeal published May 2, 2019
11-102	Passport System – Amendment (tied to 13-103)	Published for comment May 2, 2019
41-101	General Prospectus Requirements – Amendments (tied to 13-103)	Published for comment May 2, 2019
43-101	Standards of Disclosure for Mineral Projects – Amendments (tied to 13-103)	Published for comment May 2, 2019
44-101	Short Form Prospectus Distributions – Amendments (tied to 13-103) (tied to 13-103)	Published for comment May 2, 2019
44-102	Shelf Distributions – Amendments (tied to 13-103)	Published for comment May 2, 2019
45-102	Resale of Securities – Amendments (tied to 13-103)	Published for comment May 2, 2019
45-106	Prospectus Exemptions – Amendments (tied to 13-103)	Published for comment May 2, 2019
45-108	Crowdfunding – Amendments (tied to 13-103)	Published for comment May 2, 2019
51-101	Standards of Disclosure for Oil and Gas Activities – Amendments (tied to 13-103)	Published for comment May 2, 2019
51-102	Continuous Disclosure Obligations – Amendments (tied to 13-103)	Published for comment May 2, 2019
51-105	Issuers Quoted in the U.S. Over-the-Counter Markets – Amendments (tied to 13-103)	Published for comment May 2, 2019

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54-101	Communication with Beneficial Owners of Securities of a Reporting Issuer – Amendments (tied to 13-103)	Published for comment May 2, 2019
58-101	Disclosure of Corporate Governance Practices - Amendments (tied to 13-103)	Published for comment May 2, 2019
62-104	Take-Over Bids and Issuer Bids – Amendments (tied to 13-103)	Published for comment May 2, 2019
81-101	Mutual Fund Prospectus Disclosure – Amendments (tied to 13-103)	Published for comment May 2, 2019
81-106	Investment Fund Continuous Disclosure – Amendments (tied to 13-103)	Published for comment May 2, 2019
81-107	Independent Review Committee for Investment Funds – Amendment (tied to 13-103)	Published for comment May 2, 2019
11-201	Electronic Delivery of Documents -Amendments (tied to 13-103)	Published for comment May 2, 2019
11-202	Process for Prospectus Reviews in Multiple Jurisdictions – Amendments (tied to 13-103)	Published for comment May 2, 2019
11-203	Process for Exemptive Relief Application in Multiple Jurisdictions – Amendments (tied to 13-103)	Published for comment May 2, 2019
11-206	Process for Cease to be a reporting Issuer Applications – Amendments (tied to 13-103)	Published for comment May 2, 2019
11-207	Failure-to-File Cease Trade Orders and Revocations in Multiple Jurisdictions – Amendments (tied to 13-103)	Published for comment May 2, 2019
12-202	Revocation of Certain Cease Trade Orders – Amendments (tied to 13-103)	Published for comment May 2, 2019
12-203	Management Cease Trade Orders – Amendments (tied to 13-103)	Published for comment May 2, 2019
46-201	Escrow for Initial Public Offerings – Amendments (tied to 13-103)	Published for comment May 2, 2019
47-201	Trading Securities Using the Internet and Other Electronic Means – Amendments (tied to 13-103)	Published for comment May 2, 2019
51-201	Disclosure Standards – Amendments (tied to 13-103)	Published for comment May 2, 2019
58-201	Corporate Governance Guidelines – Amendments (tied to 13-103)	Published for comment May 2, 2019
61-101CP	Protection of Minority Security Holders in Special Transactions – Amendments (tied to 13-103)	Published for comment May 2, 2019
62-203	Take-Over Bids and Issuer Bids – Amendments (tied to 13-103)	Published for comment May 2, 2019
71-102CP	Continuous Disclosure and Other Exemptions Relating to Foreign Issuers – Amendments (tied to 13-103)	Published for comment May 2, 2019
	Policy Statement to 81-107 Respecting Independent Review Committee for Investment Funds – Amendments (tied to 13-103)	Published for comment May 2, 2019
13-102	System Fees for SEDAR and NRD – Proposed Repeal and Replacement	Published for comment May 2, 2019
44-102	Shelf Distributions – Amendments	Published for comment May 9, 2019

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13-502	Fees – Amendments	Commission Approval Published May 16, 2019
13-503	(Commodity Futures Act) Fees – Amendments	Commission Approval Published May 16, 2019
91-306	Compliance Review Findings for Reporting Counterparties	Published June 6, 2019
43-706	Pre-Filing Review of Mining Technical Disclosure	Published June 6, 2019
31-103	Registration Requirements, Exemptions and Ongoing registrant Obligations – Amendments	Ministerial approval published June 6, 2019
11-786	Notice of Statement of Priorities for Financial Year to End March 31, 2020	Published June 27, 2019
31-354	Suggested Practices for Engaging with Older or Vulnerable Clients	Published June 27, 2019
81-901	Mutual Fund Trusts: Approval of Trustees under Clause 213(3)(b) of the Loan and Trust Corporations Act	Published June 27, 2019

For further information, contact:

Darlene Watson
Project Specialist
Ontario Securities Commission
416-593-8148

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